



FY 2013

ADOPTED BUDGET PLAN

Fairfax County, Virginia

Volume 2: Capital Construction and Other Operating Funds

Fairfax County Board of Supervisors

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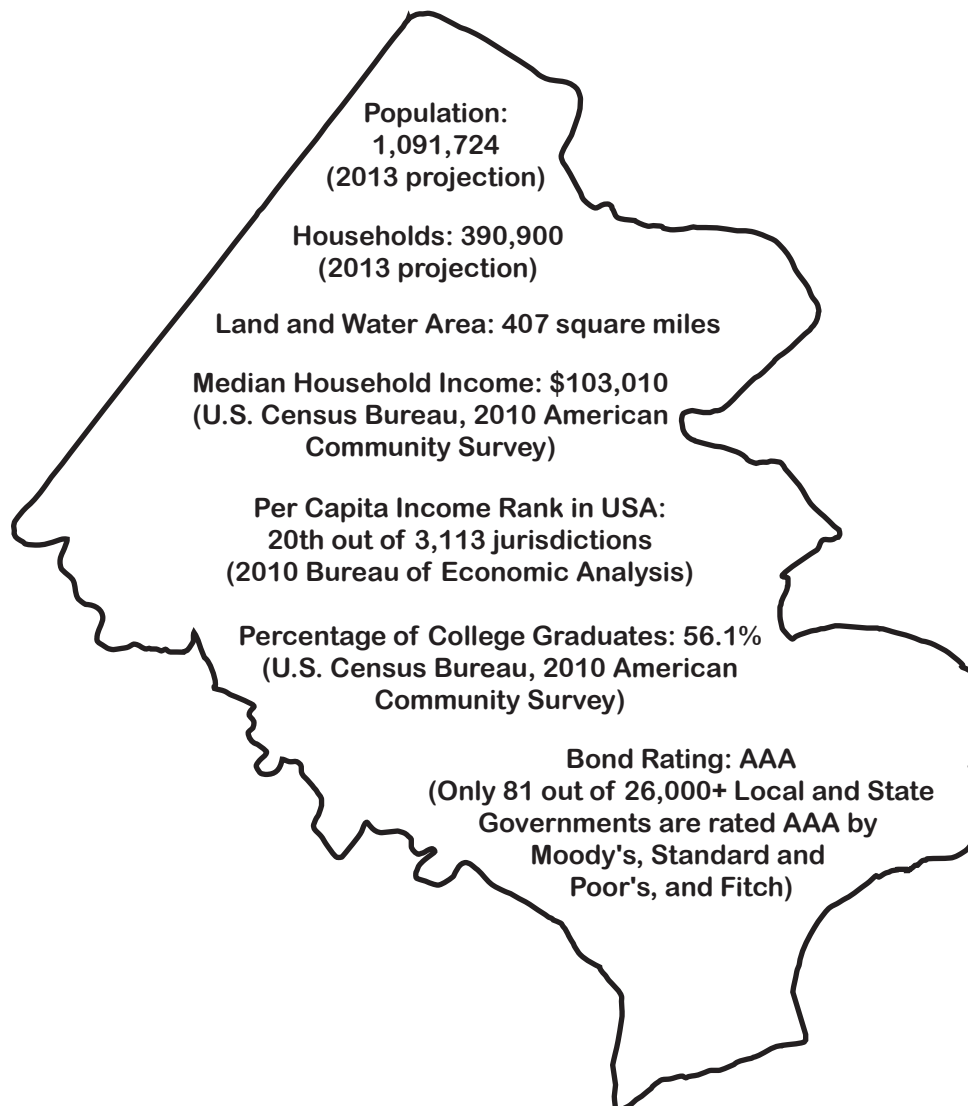
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Fairfax County, Virginia...At a Glance



Fairfax County, Virginia

Fiscal Year 2013 Adopted Budget

Volume 2: Capital Construction and Other Operating Funds



1742

Prepared by the
Fairfax County Department of Management and Budget
12000 Government Center Parkway
Suite 561
Fairfax, Virginia 22035

<http://www.fairfaxcounty.gov/dmb/>

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1742



GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished
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**Fairfax County
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For the Fiscal Year Beginning

July 1, 2011

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The Government Finance Officers Association of the United States and Canada (GFOA) presented an award of Distinguished Budget Presentation to Fairfax County, Virginia for its annual budget for the fiscal year beginning July 1, 2011.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device.

This award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

BUDGET CALENDAR

For preparation of the FY 2013 Budget

July 1, 2011

Distribution of the FY 2013 budget development guide. Fiscal Year 2012 begins.



September - October 2011

Agencies forward completed budget submissions to the Department of Management and Budget (DMB) for review.



February 9, 2012

School Board adopts its advertised FY 2013 Budget.



February 28, 2012

County Executive's presentation of the FY 2013 Advertised Budget Plan. Board authorization for publishing FY 2013 tax and budget advertisement.



April 10, 11, and 12, 2012

Public hearings on proposed FY 2013 budget, *FY 2012 Third Quarter Review* and FY 2013-2017 Capital Improvement Program (with Future Years to 2022) (CIP).



July 1, 2012

Fiscal Year 2013 begins.



June 30, 2012

Distribution of the FY 2013 Adopted Budget Plan. Fiscal Year 2012 ends.



May 1, 2012

Adoption of the FY 2013 budget plan, Tax Levy and Appropriation Ordinance by the Board of Supervisors.



April 24, 2012

Board action on *FY 2012 Third Quarter Review*. Board mark-up of the FY 2013 proposed budget.



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Board Goals & Priorities

Adopted by the Board in December 2009. Reaffirmed by the Board in February 2012.

By **engaging** our residents and businesses in the process of addressing these challenging times, **protecting investment** in our most critical priorities, and by **maintaining strong responsible fiscal stewardship**, **we must ensure**:

✓ **A quality educational system**

Education is Fairfax County's highest priority. We will continue the investment needed to protect and enhance this primary community asset. Our children are our greatest resource. Because of our excellent schools, businesses are eager to locate here and our children are able to find good jobs. A well-educated constituency is best able to put back into their community.

✓ **Safe streets and neighborhoods**

Fairfax County is the safest community of our size in the U.S. We will continue to invest in public safety to respond to emergency situations, as well as efforts to prevent and intervene in destructive behaviors, such as gang activity and substance abuse.

✓ **A clean, sustainable environment**

Fairfax County will continue to protect our drinking water, air quality, stream valleys and tree canopy through responsible environmental regulations and practices. We will continue to take a lead in initiatives to address energy efficiency and sustainability and to preserve and protect open space for our residents to enjoy.

✓ **Liveable, caring and affordable communities**

As Fairfax County continues to grow we will do so in ways that address **environmental** and **mobility** challenges. We will encourage housing that is affordable to our children, seniors and members of our workforce. We will provide compassionate and efficient services to members of our community who are in need. We will continue to protect and support our stable lower density neighborhoods. We will encourage and support participation in community organizations and other activities that address community needs and opportunities.

✓ **A vibrant economy**

Fairfax County has a well-earned reputation as a business-friendly community. We will vigorously pursue **economic development** and **revitalization** opportunities. We will support the business community and encourage this healthy partnership. We will continue to be sensitive and responsive to the needs of our corporate neighbors in the areas of **workforce development** and **availability, affordable housing, regulation and taxation**.

✓ **Efficient transportation network**

Fairfax County makes it a priority to connect People and Places. We will continue to plan for and invest in transportation improvements to include comprehensive bicycle and pedestrian initiatives, bus and para transit, road and intersection improvements and expansion of Metrorail and VRE.

✓ **Recreational and cultural opportunities**

A desirable community is one where there is a lot going on that residents can enjoy. Fairfax County will continue to provide for athletic, artistic, intellectual and recreational activities, in our communities, parks, libraries and schools.

✓ **Taxes that are affordable**

The property tax is Fairfax County's primary source of revenue to provide services. We will ensure that taxes are affordable for our residents and businesses, and we will seek ways to diversify County revenues in order to make our tax base more equitable. We will ensure that County programs and services are efficient, effective and well run.

Fairfax County Vision Elements

To protect and enrich the quality of life for the people, neighborhoods, and diverse communities of Fairfax County by:

Maintaining Safe and Caring Communities -

The needs of a diverse and growing community are met through innovative public and private services, community partnerships and volunteer opportunities. As a result, residents feel safe and secure, capable of accessing the range of services and opportunities they need, and are willing and able to give back to their community.

Building Livable Spaces -

Together, we encourage distinctive “built environments” that create a sense of place, reflect the character, history, and natural environment of the community, and take a variety of forms – from identifiable neighborhoods, to main streets, to town centers. As a result, people throughout the community feel they have unique and desirable places to live, work, shop, play, and connect with others.

Connecting People and Places -

Transportation, technology, and information effectively and efficiently connect people and ideas. As a result, people feel a part of their community and have the ability to access places and resources in a timely, safe, and convenient manner.

Maintaining Healthy Economies -

Investments in the work force, jobs, and community infrastructure and institutions support a diverse and thriving economy. As a result, individuals are able to meet their needs and have the opportunity to grow and develop their talent and income according to their potential.

Practicing Environmental Stewardship -

Local government, industry, and residents seek ways to use all resources wisely and to protect and enhance the County’s natural environment and open space. As a result, residents feel good about their quality of life and embrace environmental stewardship as a personal and shared responsibility.

Creating a Culture of Engagement -

Individuals enhance community life by participating in and supporting civic groups, discussion groups, public-private partnerships, and other activities that seek to understand and address community needs and opportunities. As a result, residents feel that they can make a difference and work in partnership with others to understand and address pressing public issues.

Exercising Corporate Stewardship -

Fairfax County government is accessible, responsible, and accountable. As a result, actions are responsive, providing superior customer service and reflecting sound management of County resources and assets.

Note: The Board of Supervisors adopted its own goals and priorities in December 2009 (see previous page). In addition, in 2004 County staff developed long-term vision elements for strategic planning purpose.

TABLE OF CONTENTS

CAPITAL CONSTRUCTION AND OTHER OPERATING FUNDS

How to Read the Budget

How to Read the Budget.....	1
-----------------------------	---

Summary Schedules, All Funds

FY 2013 Revenue All Funds	7
FY 2013 Expenditures All Funds	8
Revenue and Receipts by Fund, Summary of Appropriated Funds	9
Expenditures by Fund, Summary of Appropriated Funds.....	13
Changes in Fund Balance, Summary of Appropriated Funds	16

General Fund Group

Fund 002, Revenue Stabilization	19
---------------------------------------	----

Special Revenue Funds

Special Revenue Funds Overview.....	21
Fund 090, Public School Operating	25
Fund 100, County Transit Systems.....	28
Fund 102, Federal/State Grant Fund	40
Fund 103, Aging Grants and Programs	65
Fund 104, Information Technology	69
Fund 105, Cable Communications	86
Fund 106, Fairfax-Falls Church Community Services Board (CSB).....	98
Fund 111, Reston Community Center	140
Fund 113, McLean Community Center	152
Fund 115, Burgundy Village Community Center	162
Fund 116, Integrated Pest Management Program.....	165
Fund 118, Consolidated Community Funding Pool.....	174
Fund 119, Contributory Fund	191
Fund 120, E-911	222
Fund 121, Dulles Rail Phase I Transportation Improvement District	230
Fund 122, Dulles Rail Phase II Transportation Improvement District	236
Fund 124, County and Regional Transportation Projects	241
Fund 125, Stormwater Services.....	249
Fund 141, Elderly Housing Programs	(Refer to Housing Section)
Fund 142, Community Development Block Grant.....	(Refer to Housing Section)
Fund 143, Homeowner and Business Loan Programs.....	(Refer to Housing Section)
Fund 144, Housing Trust Fund.....	(Refer to Housing Section)
Fund 145, HOME Investment Partnership Grant	(Refer to Housing Section)
Fund 191, Public School Food and Nutrition Services Fund	264
Fund 192, Public School Grants and Self-Supporting Programs Fund	266
Fund 193, Public School Adult and Community Education Fund	268

TABLE OF CONTENTS

CAPITAL CONSTRUCTION AND OTHER OPERATING FUNDS

Solid Waste Management:

Solid Waste Management Program Overview	270
Unclassified Administrative Expenses - Solid Waste General Fund Programs.....	278
Fund 108, Leaf Collection.....	280
Fund 109, Refuse Collection and Recycling Operations	283
Fund 110, Refuse Disposal	291
Fund 112, Energy/Resource Recovery Facility	298
Fund 114, I-95 Refuse Disposal.....	304

Debt Service Funds

Fund 200 and 201, Consolidated County and Schools Debt Service Fund.....	311
--	-----

Capital Project Funds

Capital Projects Funds Overview	323
Fund 301, Contributed Roadway Improvement Fund.....	324
Fund 302, Library Construction.....	328
Fund 303, County Construction	332
Fund 304, Transportation Improvements.....	348
Fund 307, Pedestrian Walkway Improvements	352
Fund 309, Metro Operations and Construction.....	356
Fund 312, Public Safety Construction.....	362
Fund 315, Commercial Revitalization Program	366
Fund 316, Pro Rata Share Drainage Construction.....	369
Fund 317, Capital Renewal Construction.....	373
Fund 319, The Penny for Affordable Housing Fund	(Refer to Housing Section)
Fund 340, Housing Assistance Program	(Refer to Housing Section)
Fund 370, Park Authority Bond Construction.....	382
Fund 390, Public School Construction	388

TABLE OF CONTENTS

CAPITAL CONSTRUCTION AND OTHER OPERATING FUNDS

Enterprise Funds

Wastewater Management Program Overview	391
Fund 400, Sewer Revenue.....	397
Fund 401, Sewer Operation and Maintenance.....	402
Fund 402, Sewer Construction Improvements	412
Fund 403, Sewer Bond Parity Debt Service.....	415
Fund 406, Sewer Bond Debt Reserve	417
Fund 407, Sewer Bond Subordinate Debt Service	419
Fund 408, Sewer Bond Construction.....	422

Internal Service Funds

Internal Service Funds Overview	425
Fund 501, County Insurance Fund.....	426
Fund 503, Department of Vehicle Services (DVS)	434
Fund 504, Document Services Division.....	451
Fund 505, Technology Infrastructure Services	456
Fund 506, Health Benefits Fund	463
Fund 590, Public School Insurance Fund	469
Fund 591, Public School Health and Flexible Benefits	471
Fund 592, Public School Central Procurement	473

Trust and Agency Funds

Trust and Agency Funds Overview	475
Employee Retirement Systems Overview	477
Retirement Administration Agency.....	482
Fund 600, Uniformed Employees Retirement Trust Fund.....	490
Fund 601, Fairfax County Employees Retirement Trust Fund.....	491
Fund 602, Police Retirement Trust Fund	492
Fund 603, OPEB Trust Fund.....	493
Fund 691, Educational Employees Supplementary Retirement Fund	499
Fund 692, Public School OPEB Trust Fund.....	501
Fund 700, Route 28 Tax District.....	503
Fund 716, Mosaic District Community Development Authority	508

TABLE OF CONTENTS

CAPITAL CONSTRUCTION AND OTHER OPERATING FUNDS

Housing and Community Development Programs

Housing Program Overview	511
Department of Housing and Community Development - Consolidated Fund Statement	525
Housing Programs - FY 2013 Source of Funds Chart	526
Housing Programs - FY 2013 Expenditures Chart	527
Department of Housing and Community Development - Budget Summary	528
Housing Fund Structure	531
Fund 001, General Operating	534
Fund 141, Elderly Housing Programs	540
Fund 142, Community Development Block Grant.....	546
Fund 143, Homeowner and Business Loan Programs.....	553
Fund 144, Housing Trust Fund.....	557
Fund 145, Home Investment Partnership Grant	560
Fund 319, The Penny for Affordable Housing Fund	564
Fund 340, Housing Assistance Program	570
Fund 940, FCRHA General Operating.....	573
Fund 941, Fairfax County Rental Program	578
Fund 945, FCRHA Non-County Appropriated Rehabilitation Loan Program	583
Fund 946, FCRHA Revolving Development.....	586
Fund 948, FCRHA Private Financing.....	589
Fund 949, FCRHA Internal Service Fund	592
Fund 950, Housing Partnerships	594
Fund 965, Housing Grant Fund.....	598
Fund 966, Housing Choice Voucher Program.....	601
Fund 967, Public Housing Under Management.....	606
Fund 969, Public Housing Projects Under Modernization	613

Summary Schedules, Non-Appropriated Funds

Revenue and Receipts by Fund, Summary of Non-Appropriated Funds	617
Expenditures by Fund, Summary of Non-Appropriated Funds.....	619
Changes in Fund Balance, Summary of Non-Appropriated Funds	621
Summary of Expenditures for Programs with Appropriated and Non-Appropriated Funds	623

Fairfax County Park Authority Trust Funds

Fairfax County Park Authority Trust Funds Overview	625
Fund 170, Park Revenue Fund.....	626
Fund 371, Park Capital Improvement Fund	639

Alcohol Safety Action Program

Fund 117, Alcohol Safety Action Program.....	645
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How to Read the Budget

Volume 2 contains information on non-General Fund budgets or “Other Funds.” A fund accounts for a specific set of activities that a government performs. For example, refuse disposal is an activity and therefore, a fund that is classified as a Special Revenue Fund.

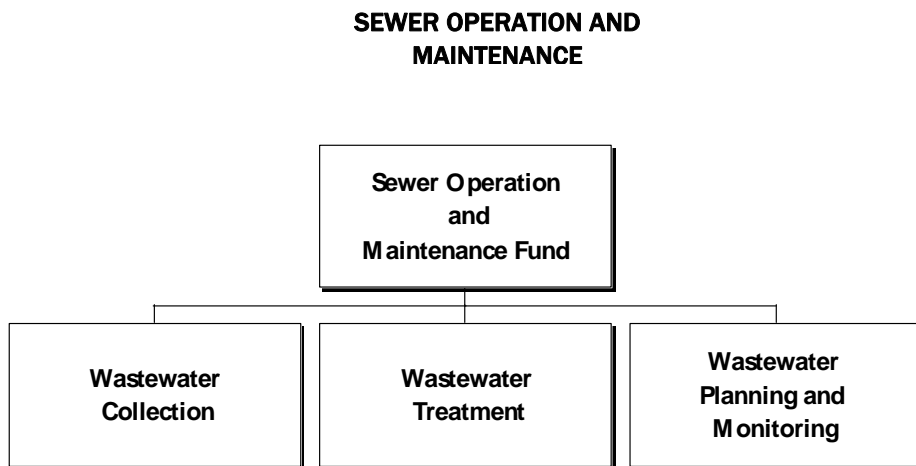
Each County fund is represented with its own narrative that contains programming and budgetary information. The narratives have several elements including:

- Organization Chart
- Agency Mission and Focus
- Budget and Staff Resources
- FY 2013 Funding Adjustments / Changes to the FY 2012 Adopted Budget Plan
- Cost Centers (funding and position detail)
- Cost Center Specific Goals, Objectives and Key Performance Measures
- Performance Measurement Results
- Fund Statement
- Summary of Capital Projects

Not all narratives will contain each of these components. For example, funds that are classified as Capital Funds will not have organization charts because staff positions are not budgeted in these funds; that is, they only provide funding for the purchase and construction of capital construction projects. However, Capital Funds do have a summary of capital projects that lists the cost of each project in a Fund. A brief example of each section follows.

Organization Chart

The organization chart displays the organizational structure of each fund. An example depicting the organizational structure of the Sewer Operation and Maintenance fund is shown below.



How to Read the Budget

Agency Mission and Focus

The agency mission is a broad statement reflecting intended accomplishments for achievement of the agency's public purpose. It describes the unique contribution of the organization to the County government and residents receiving services and provides a framework within which an agency operates. The agency focus section includes a description of the agency's programs and services. The agency's relationship with County boards, authorities or commissions may be discussed here, as well as key drivers or trends that may be influencing how the agency is conducting business. The focus section is also designed to inform the reader about the strategic direction of the agency and the challenges that it is currently facing.

Budget and Staff Resources

It is important to note that expenditures are summarized in three categories. *Personnel Services* consist of expenditure categories including regular pay, shift differential, limited and part-time salaries, and overtime pay. *Operating Expenses* are the day-to-day expenses involved in the administration of the agency, such as office supplies, printing costs, repair and maintenance for equipment, and utilities. *Capital Equipment* includes items that have a value that exceeds \$5,000 and an expected life of more than one year, such as an automobile or other heavy equipment. In addition, some agencies will also have a fourth expenditure category entitled *Recovered Costs*. Recovered Costs are reimbursements from other County agencies for specific services or work performed or reimbursements of work associated with capital construction projects. These reimbursements are reflected as a negative figure in the agency's budget, thus offsetting expenditures.

A Summary Table is provided including the agency's positions, expenditures less recovered costs, and income/revenue (if applicable).

FY 2013 Funding Adjustments / Changes to the FY 2012 Adopted Budget Plan

This section summarizes changes to the budget. The first part of this section includes adjustments from the FY 2012 Adopted Budget Plan necessary to support the FY 2013 program. Where applicable, a table summarizing reductions necessary to balance the FY 2013 budget is included in this section.

The second part of this section includes revisions to the current year budget that have been made since its adoption. All adjustments to the FY 2012 budget as a result of the *FY 2011 Carryover Review*, *FY 2012 Third Quarter Review*, and any other changes through April 24, 2012 are reflected here. Funding adjustments are generally presented programmatically and include Personnel Services, Operating Expenses and other costs.

Cost Centers

As an introduction to the more detailed information contained for each functional area or cost center, a brief description of the cost centers is included. In addition, each cost center is highlighted by several icons which indicate the various vision elements that are supported by the programs and services within the cost center. A listing of the staff resources for each cost center is also included.

How to Read the Budget

Cost Center Specific Goals, Objectives and Key Performance Measures

Most cost centers include goals, objectives and performance indicators. Goals are broad statements of purpose, generally indicating what service or product is provided, for whom, and why. Objectives are outcome-based statements of specifically what will be accomplished during the budget year. Ideally, these objectives should support the goal statement, reflect the planned benefit(s) to customers, be written to allow measurement of progress and describe a quantifiable target. Indicators are the first-level data for reporting performance on those objectives.

A Family of Measures is provided to present an overall view of a program so that factors such as cost can be balanced with customer satisfaction and the outcome ultimately achieved. The concept of a Family of Measures encompasses the following types of indicators and serves as the structure for a performance measurement model that presents a comprehensive picture of program performance as opposed to a single-focus orientation.

- Input: Value of resources used to produce an output.
- Output: Quantity or number of units produced.
- Efficiency: Inputs used per unit of output.
- Service Quality: Degree to which customers are satisfied with a program, or the accuracy or timeliness with which the product/service is provided.
- Outcome: Qualitative consequences associated with a program.

Performance Measurement Results

This section includes a discussion and analysis of how the agency's performance measures relate to the provision of activities, programs, and services stated in the agency mission. The results of current performance measures are discussed, as well as conditions that contributed to the level of performance achieved and action plans for future-year improvement of performance targets.

How to Read the Budget

Fund Statement

A fund statement provides a breakdown of all collected revenues and total expenditures and disbursements for a given fiscal year. It also provides the total funds available at the beginning of a fiscal year and an ending balance. An example follows:

FUND STATEMENT															
Fund Type	Fund Type H94, FCRHA General Revenue			Fund 940, FCRHA General Operating			Fund								
	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan										
	Beginning Balance					\$11,751,863	\$11,830,714	\$12,723,010	\$12,906,582	\$13,191,964	← Funds available at the beginning of the fiscal year				
Revenue Categories	Revenue:														
	Investment Income	\$25,362	\$88,000	\$26,763	\$26,763	\$26,763									
	Monitoring/Developer Fees ^{1,2}	1,220,607	760,632	1,212,359	923,536	923,536									
	Rental Income	87,051	73,803	73,803	77,122	77,122									
	Program Income ³	1,672,521	1,371,054	1,679,431	1,767,428	1,767,428									
	Other Income	333,548	309,046	309,046	319,946	319,946									
	Total Revenue	\$3,339,089	\$2,602,535	\$3,301,402	\$3,114,795	\$3,114,795					← Revenue available for expenditure during the fiscal year				
Expenditure Categories	Total Available														
	\$15,090,952										\$14,433,249	\$16,024,412	\$16,021,377	\$16,306,759	←
	Expenditures:														
	Personnel Services ^{2,4}	\$1,715,521	\$1,804,340	\$1,919,504	\$1,998,675	\$2,020,827									
	Operating Expenses ⁵	652,421	712,285	912,944	1,116,120	1,116,120									
	Total Expenditures	\$2,367,942	\$2,516,625	\$2,832,448	\$3,114,795	\$3,136,947									
Total Funds Available minus Total Disbursements	Total Disbursements														
	\$2,367,942										\$2,516,625	\$2,832,448	\$3,114,795	\$3,136,947	←
	Ending Balance⁶														
	\$12,723,010										\$11,916,624	\$13,191,964	\$12,906,582	\$13,169,812	← Ending Balance minus escrow
	Debt Service Reserve on														
	One University Plaza	\$2,195,925	\$2,195,925	\$1,754,520	\$1,754,520	\$1,754,520									
	Cash with Fiscal Agent	6,854,000	6,854,000	7,290,154	7,290,154	7,290,154									
	Unreserved Ending Balance	\$3,673,085	\$2,866,699	\$4,147,290	\$3,861,908	\$4,125,138					←				

¹ The FY2013 increase over the FY2012 Adopted Budget Plan is primarily due to an increase in developer fees anticipated for Cedar Ridge and Olley Glen.

² In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$73,760.41 have been reflected as an increase of \$68,433.00 in FY 2011 revenues to record management fee and servicing fee income, and an increase of \$142,193.41 in FY 2011 expenditures to record expenses for personnel services accruals and accrued audit fees in the appropriate fiscal year. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

³ The FY2013 increase over the FY2012 Adopted Budget Plan primarily reflects management fee income from selected FCRHA properties including Crecent Apartments, Wedgewood and Olley Glen.

⁴ The FY 2013 increase over the FY 2012 Adopted Budget Plan is primarily due to market rate and salary adjustments, as well as fringe benefit increases based on actual experience.

⁵ The FY 2013 increase over the FY 2012 Adopted Budget Plan is primarily due to rehabilitation of FCRHA properties and a balloon mortgage payment for the Tavenner Apartments partnership.

⁶ Ending balances fluctuate due to adjustments in revenues and expenditures, as well as the carryover of balances each year.

How to Read the Budget

Summary of Capital Projects:

A summary of capital projects is included in all Capital Project Funds, and selected Enterprise Funds, Housing Funds and Special Revenue Funds that support capital expenditures. The summary of capital projects provides detailed financial information about each capital project within each fund, including: total project estimates, prior year expenditures, revised budget plans, and proposed funding levels. The summary of capital projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects, or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

FY 2013 Summary of Capital Projects						
Fund: 303, County Construction						
Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
005021	Athletic Fields- Sports Scholar		149,998.10	150,066.61	150,000	150,000
007012	School Aged Child Care		750,000.00	750,000.00	750,000	750,000
008043	Northern Virginia Community		1,271,647.00	1,554,710.00	1,897,721	1,897,721
009399	ADA Compliance - DPWES		0.00	800,000.00	0	0
009406	ADA Compliance - Countywide		129,960.73	2,861,175.83	2,000,000	2,000,000
009416	ADA Compliance - FCPA		264,413.35	1,953,790.03	1,000,000	1,000,000
009417	Parks - General Maintenance		312,167.25	1,140,806.38	213,000	213,000
009422	Maintenance -		373,540.19	431,627.52	405,000	405,000
009425	South County Government	5,529,950	12,971.85	0.00	0	0
009429	Security Improvements		129,866.89	54,171.88	0	0
009432	Telecommunication and Network Connections		230,060.31	1,829,739.12	0	0
009442	Parks - Grounds Maintenance					787,076
009443	Parks - Facility/Equip. Maint.					470,000
009444	Laurel Hill Development					1,052,383

Total Project Estimate: A capital project Total Project Estimate (TPE) is composed of funds already expended, currently appropriated, proposed or adopted in the budget year, and proposed for future years. In short, it is the total amount proposed to be expended over the life of the project.

FOR ADDITIONAL INFORMATION

Information regarding the contents of this or other budget volumes can be provided by calling the Fairfax County Department of Management and Budget at 703-324-2391 from 8:00 a.m. to 4:30 p.m.

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<http://www.fairfaxcounty.gov/budget>

Reference copies of all budget volumes are available on compact disc at all branches of the Fairfax County Public Library:

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Centreville Regional
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Centreville, VA 20121-2299
703-830-2223

Great Falls
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Great Falls, VA 22066-2634
703-757-8560

John Marshall
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703-971-0010

Dolley Madison
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McLean, VA 22101-2818
703-356-0770

Thomas Jefferson
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703-573-1060

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703-437-8855

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Lorton, VA 22079-2124
703-339-7385

Richard Byrd
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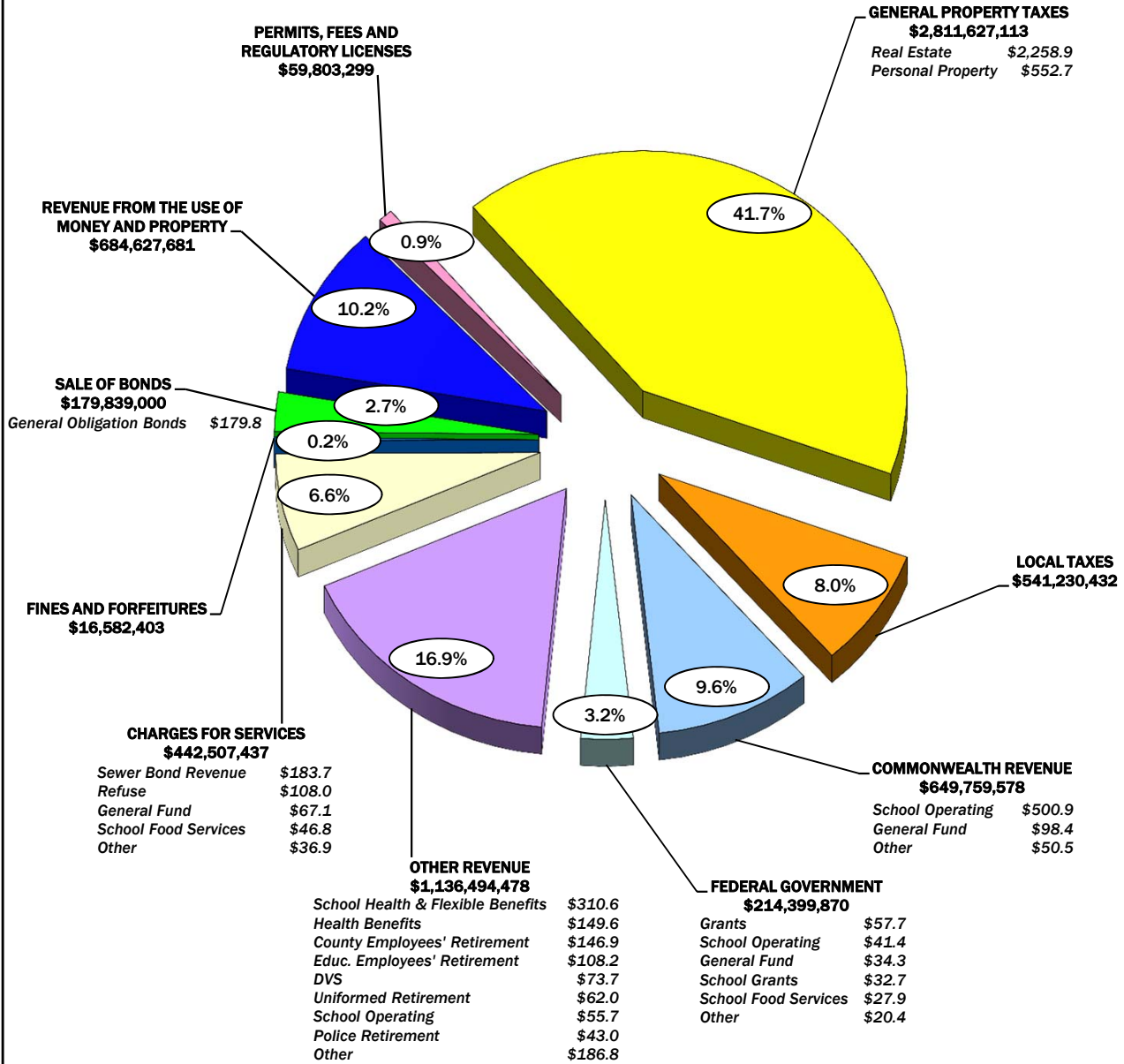
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Additional copies of budget documents are also available on compact disc (CD) from the Department of Management and Budget (DMB) at no extra cost. Please call DMB in advance to confirm availability of all budget publications.

Department of Management and Budget
12000 Government Center Parkway, Suite 561
Fairfax, VA 22035-0074
(703) 324-2391

FY 2013 ADOPTED BUDGET PLAN REVENUE ALL FUNDS

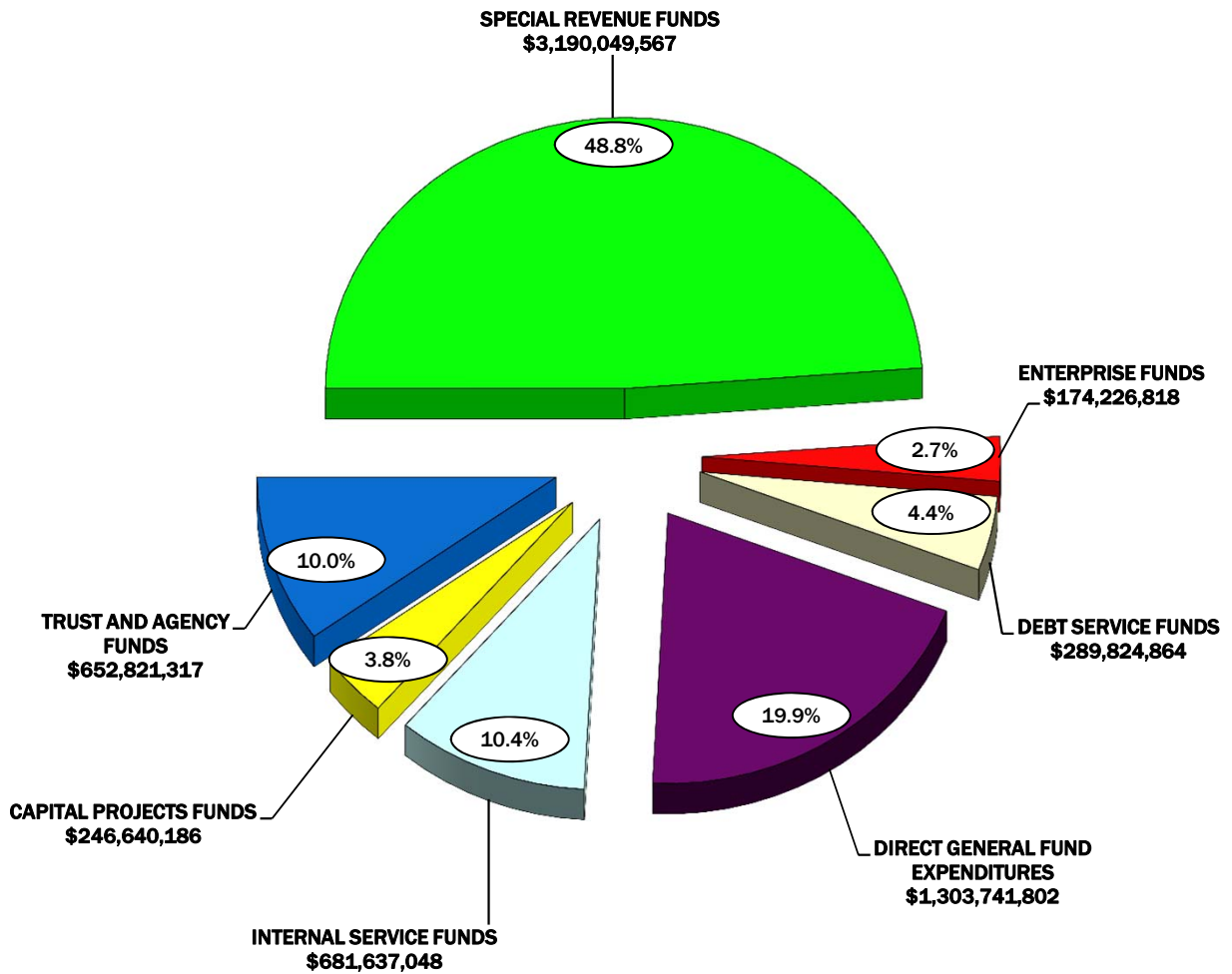
(subcategories in million)



TOTAL REVENUE = \$6,736,871,291

For presentation purposes, Personal Property Taxes of \$211,313,944 that are reimbursed by the Commonwealth as a result of the Personal Property Tax Relief Act of 1998 are included in the Personal Property Taxes category.

FY 2013 ADOPTED BUDGET PLAN EXPENDITURES ALL FUNDS



TOTAL EXPENDITURES = \$6,538,941,602

FY 2013 ADOPTED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Actual ¹	FY 2012 Adopted Budget Plan ²	FY 2012 Revised Budget Plan ³	FY 2013 Advertised Budget Plan ⁴	FY 2013 Adopted Budget Plan ⁵	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
GOVERNMENTAL FUNDS							
G00 General Fund Group							
001 General Fund	\$3,321,010,273	\$3,306,952,451	\$3,354,520,264	\$3,459,441,429	\$3,473,825,765	\$119,305,501	3.56%
002 Revenue Stabilization Fund	815,350	0	0	997,603	997,603	997,603	-
Total General Fund Group	\$3,321,825,623	\$3,306,952,451	\$3,354,520,264	\$3,460,439,032	\$3,474,823,368	\$120,303,104	3.59%
G10 Special Revenue Funds							
090 Public School Operating	\$582,159,332	\$560,152,894	\$603,452,081	\$598,014,266	\$598,014,266	(\$5,437,815)	(0.90%)
100 County Transit Systems	33,790,238	40,888,622	42,388,622	29,392,195	29,392,195	(12,996,427)	(30.66%)
102 Federal/State Grant Fund	78,073,908	63,567,362	170,866,701	82,184,239	82,184,239	(88,682,462)	(51.90%)
103 Aging Grants & Programs	3,960,624	0	0	0	0	0	-
104 Information Technology	1,452,348	300,000	851,825	300,000	300,000	(551,825)	(64.78%)
105 Cable Communications	21,130,020	19,315,370	21,734,759	24,827,920	24,827,920	3,093,161	14.23%
106 Fairfax-Falls Church Community Services Board	52,865,662	50,402,751	40,864,432	42,198,401	42,198,401	1,333,969	3.26%
108 Leaf Collection	1,933,530	1,920,354	1,920,354	2,124,762	2,124,762	204,408	10.64%
109 Refuse Collection and Recycling Operations	21,353,838	20,693,934	20,693,934	21,071,810	21,071,810	377,876	1.83%
110 Refuse Disposal	50,202,534	51,242,247	51,242,247	50,253,752	50,253,752	(988,495)	(1.93%)
111 Reston Community Center	6,835,270	7,700,355	6,930,938	7,011,132	7,011,132	80,194	1.16%
112 Energy Resource Recovery (ERR) Facility	28,741,298	32,048,249	32,048,249	31,893,600	31,893,600	(154,649)	(0.48%)
113 McLean Community Center	4,952,389	5,290,432	5,290,432	5,039,038	5,039,038	(251,394)	(4.75%)
114 I-95 Refuse Disposal	5,958,218	6,880,668	6,880,668	8,003,548	8,003,548	1,122,880	16.32%
115 Burgundy Village Community Center	44,699	43,096	45,775	49,120	49,120	3,345	7.31%
116 Integrated Pest Management Program	1,937,794	1,752,316	1,752,316	1,793,417	1,793,417	41,101	2.35%
120 E-911 Fund	22,851,294	22,441,353	22,747,449	21,751,524	21,751,524	(995,925)	(4.38%)
121 Dulles Rail Phase I Transportation Improvement District	22,592,524	23,221,610	23,221,610	24,416,727	24,416,727	1,195,117	5.15%
122 Dulles Rail Phase II Transportation Improvement District	3,247,355	6,719,320	6,719,320	11,062,172	11,062,172	4,342,852	64.63%
124 County & Regional Transportation Projects	47,302,459	42,000,000	202,730,000	44,436,000	44,436,000	(158,294,000)	(78.08%)
125 Stormwater Services	27,903,576	28,800,000	30,117,190	49,750,000	39,775,000	9,657,810	32.07%
141 Elderly Housing Programs	2,313,825	2,349,439	2,429,993	2,299,568	2,299,568	(130,425)	(5.37%)
142 Community Development Block Grant	8,680,066	6,463,133	13,602,850	5,418,429	5,418,429	(8,184,421)	(60.17%)
143 Homeowner and Business Loan Programs	2,536,773	4,514,316	9,993,681	3,910,249	3,910,249	(6,083,432)	(60.87%)
144 Housing Trust Fund	559,939	348,814	348,814	451,361	451,361	102,547	29.40%
145 HOME Investment Partnerships Grant	2,351,758	2,692,612	9,810,213	2,383,767	2,383,767	(7,426,446)	(75.70%)
191 Public School Food & Nutrition Services	73,838,009	74,254,586	74,254,586	75,590,775	75,590,775	1,336,189	1.80%
192 Public School Grants & Self Supporting Programs	46,555,293	45,382,516	61,263,474	44,928,175	44,928,175	(16,335,299)	(26.66%)
193 Public School Adult & Community Education	8,944,041	10,354,438	10,635,424	10,354,438	10,354,438	(280,986)	(2.64%)
Total Special Revenue Funds	\$1,165,068,614	\$1,131,740,787	\$1,474,837,937	\$1,200,910,385	\$1,190,935,385	(\$283,902,552)	(19.25%)

FY 2013 ADOPTED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Actual ¹	FY 2012 Adopted Budget Plan ²	FY 2012 Revised Budget Plan ³	FY 2013 Advertised Budget Plan ⁴	FY 2013 Adopted Budget Plan ⁵	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
G20 Debt Service Funds							
200/201 Consolidated Debt Service	\$3,694,957	\$378,770	\$3,561,061	\$380,000	\$3,562,291	\$1,230	0.03%
G30 Capital Project Funds							
301 Contributed Roadway Improvement Fund	\$463,020	\$110,000	\$400,375	\$110,000	\$110,000	(\$290,375)	(72.53%)
302 Library Construction	0	0	11,380,000	0	0	(11,380,000)	(100.00%)
303 County Construction	8,190,295	1,400,000	66,296,594	5,400,000	5,400,000	(60,896,594)	(91.85%)
304 Transportation Improvements	18,208,822	0	94,375,331	0	0	(94,375,331)	(100.00%)
306 Northern Virginia Regional Park Authority	2,700,000	3,000,000	0	0	0	0	-
307 Pedestrian Walkway Improvements	500,047	0	3,568,354	0	0	(3,568,354)	(100.00%)
309 Metro Operations & Construction	20,100,000	24,773,000	16,804,723	21,839,000	21,839,000	5,034,277	29.96%
311 County Bond Construction	9,337,508	0	0	0	0	0	-
312 Public Safety Construction	970,616	0	86,719,134	0	0	(86,719,134)	(100.00%)
314 Neighborhood Improvement Program	0	0	0	0	0	0	-
315 Commercial Revitalization Program	386,464	0	3,679,745	0	0	(3,679,745)	(100.00%)
316 Pro Rata Share Drainage Construction	3,175,828	0	6,970,317	0	0	(6,970,317)	(100.00%)
317 Capital Renewal Construction	387,502	15,000,000	29,000,000	15,000,000	15,000,000	(14,000,000)	(48.28%)
318 Stormwater Management Program	2,988,157	0	0	0	0	0	-
319 The Penny for Affordable Housing Fund	14,742,094	14,668,400	19,668,400	15,443,400	15,443,400	(4,225,000)	(21.48%)
340 Housing Assistance Program	6,684,961	0	4,670,061	0	0	(4,670,061)	(100.00%)
370 Park Authority Bond Construction	11,309,528	0	44,315,000	0	0	(44,315,000)	(100.00%)
390 Public School Construction	133,281,256	155,386,000	371,270,136	155,306,000	155,306,000	(215,964,136)	(58.17%)
Total Capital Project Funds	\$233,426,098	\$214,337,400	\$759,118,170	\$213,098,400	\$213,098,400	(\$546,019,770)	(71.93%)
TOTAL GOVERNMENTAL FUNDS	\$4,724,015,292	\$4,653,409,408	\$5,592,037,432	\$4,874,827,817	\$4,882,419,444	(\$709,617,988)	(12.69%)
PROPRIETARY FUNDS							
G40 Enterprise Funds							
400 Sewer Revenue	\$154,882,861	\$164,003,500	\$187,768,866	\$184,591,364	\$184,591,364	(\$3,177,502)	(1.69%)
406 Sewer Bond Debt Reserve	0	0	9,706,000	0	0	(9,706,000)	(100.00%)
408 Sewer Bond Construction	48,368,200	500,000	123,785,916	400,000	400,000	(123,385,916)	(99.68%)
Total Enterprise Funds	\$203,251,061	\$164,503,500	\$321,260,782	\$184,991,364	\$184,991,364	(\$136,269,418)	(42.42%)

FY 2013 ADOPTED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Actual ¹	FY 2012 Adopted Budget Plan ²	FY 2012 Revised Budget Plan ³	FY 2013 Advertised Budget Plan ⁴	FY 2013 Adopted Budget Plan ⁵	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
G50 Internal Service Funds							
501 County Insurance Fund	\$830,213	\$895,859	\$895,859	\$895,859	\$895,859	\$0	0.00%
503 Department of Vehicle Services	74,688,398	68,958,686	76,812,884	74,089,330	74,324,976	(2,487,908)	(3.24%)
504 Document Services Division	3,079,242	3,475,115	3,475,115	3,389,107	3,389,107	(86,008)	(2.47%)
505 Technology Infrastructure Services	26,471,117	27,578,688	27,578,688	27,725,734	27,725,734	147,046	0.53%
506 Health Benefits Fund	124,176,610	129,608,596	143,579,072	149,790,703	149,790,703	6,211,631	4.33%
590 Public School Insurance Fund	19,110,784	14,034,221	14,034,221	14,081,339	14,081,339	47,118	0.34%
591 Public School Health and Flexible Benefits	278,741,169	289,573,878	287,968,206	318,066,554	318,066,554	30,098,348	10.45%
592 Public School Central Procurement	11,891,884	14,000,000	14,000,000	6,500,000	6,500,000	(7,500,000)	(53.57%)
Total Internal Service Funds	\$538,989,417	\$548,125,043	\$568,344,045	\$594,538,626	\$594,774,272	\$26,430,227	4.65%
TOTAL PROPRIETARY FUNDS	\$742,240,478	\$712,628,543	\$889,604,827	\$779,529,990	\$779,765,636	(\$109,839,191)	(12.35%)
FIDUCIARY FUNDS							
G60 Trust Funds							
600 Uniformed Employees Retirement Trust Fund	\$299,629,255	\$144,539,401	\$144,539,401	\$158,254,115	\$158,254,115	\$13,714,714	9.49%
601 Fairfax County Employees' Retirement Trust Fund	717,895,461	350,110,336	350,110,336	378,863,125	378,863,125	28,752,789	8.21%
602 Police Retirement Trust Fund	253,219,426	112,581,103	112,581,103	124,634,407	124,634,407	12,053,304	10.71%
603 OPEB Trust Fund	24,419,825	5,199,562	14,447,562	5,543,233	5,543,233	(8,904,329)	(61.63%)
691 Educational Employees' Retirement	444,942,750	316,733,260	321,864,452	343,065,199	343,065,199	21,200,747	6.59%
692 Public School OPEB Trust Fund	51,015,525	39,289,000	48,284,000	52,255,000	52,255,000	3,971,000	8.22%
Total Trust Funds	\$1,791,122,242	\$968,452,662	\$991,826,854	\$1,062,615,079	\$1,062,615,079	\$70,788,225	7.14%
G70 Agency Funds							
700 Route 28 Taxing District	\$8,398,553	\$9,765,406	\$9,765,406	\$10,578,633	\$10,578,633	\$813,227	8.33%
716 Mosaic District Community Development Authority	0	0	0	1,492,499	1,492,499	1,492,499	-
Total Agency Funds	\$8,398,553	\$9,765,406	\$9,765,406	\$12,071,132	\$12,071,132	\$2,305,726	23.61%
TOTAL FIDUCIARY FUNDS	\$1,799,520,795	\$978,218,068	\$1,001,592,260	\$1,074,686,211	\$1,074,686,211	\$73,093,951	7.30%
TOTAL APPROPRIATED FUNDS	\$7,265,776,565	\$6,344,256,019	\$7,483,234,519	\$6,729,044,018	\$6,736,871,291	(\$746,363,228)	(9.97%)
Appropriated From (Added to) Surplus	(\$1,335,158,558)	(\$324,964,076)	\$430,890,602	(\$266,131,808)	(\$216,615,051)	(\$647,505,653)	(150.27%)
TOTAL AVAILABLE	\$5,930,618,007	\$6,019,291,943	\$7,914,125,121	\$6,462,912,210	\$6,520,256,240	(\$1,393,868,881)	(17.61%)
Less: Internal Service Funds	(\$538,989,417)	(\$548,125,043)	(\$568,344,045)	(\$594,538,626)	(\$594,774,272)	(\$26,430,227)	4.65%
NET AVAILABLE	\$5,391,628,590	\$5,471,166,900	\$7,345,781,076	\$5,868,373,584	\$5,925,481,968	(\$1,420,299,108)	(19.33%)

FY 2013 ADOPTED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Actual ¹	FY 2012 Adopted Budget Plan ²	FY 2012 Revised Budget Plan ³	FY 2013 Advertised Budget Plan ⁴	FY 2013 Adopted Budget Plan ⁵	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
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EXPLANATORY NOTE:

The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Appropriated Funds," net of any transfers between funds.

¹ Not reflected are the following adjustments to balance in FY 2011:

- Fund 090, Public School Operating, assumes carryover of FY 2010 Reserve of \$33,941,985.
- Fund 191, School Food and Nutrition Services, change in inventory of (\$291,776).
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000).
- Fund 501, County Insurance, net change in accrued liability of \$6,037,049.
- Fund 590, Public School Insurance, net change in accrued liability of \$7,144,891.

² Not reflected are the following adjustments to balance in FY 2012:

- Fund 001, General Fund, assumes carryover of \$15,000,000 set aside at the FY 2010 Carryover Review for retirement requirements.
- Fund 103, Aging Grants and Programs, assumes (\$250,000) in projected available FY 2011 balance to be transferred out of fund as part of the FY 2011 Carryover Review due to the elimination of the fund.
- Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of \$13,591,947.
- Fund 192, Public School Grants and Self-Supporting Programs, assumes available FY 2011 balance of \$1,357,741 and does not reflect a reduction in balance of (\$1,208,474) from an anticipated increase in FY 2012 expenditures as a result of the reconciliation of the transfer in from Fund 105, Cable Communications, and the transfer assumed in the School Board's FY 2012 Advertised Budget.
- Fund 193, Public School Adult and Community Education, assumes available FY 2011 balance of \$86,271.
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000).
- Fund 590, Public School Insurance, assumes carryover of Allocated Reserves of \$4,842,320.
- Fund 591, Public School Health and Flexible Benefits, claims stabilization reserve of \$46,713,537.

³ Not reflected are the following adjustments to balance in FY 2012:

- Fund 090, Public School Operating, includes adjustment of \$18,763 to FY 2012 beginning balance.
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000).
- Fund 590, Public School Insurance, net change in accrued liability of \$2,023,690 and reconciliation adjustment to FY 2012 Third Quarter Review appropriation level of \$6,626,721.

⁴ Not reflected are the following adjustments to balance in FY 2013:

- Fund 090, Public School Operating, reflects \$600,000 as a transfer from Fund 105, Cable Communications. Fund 105 reflects this funding as a transfer to Fund 192, Public School Grants and Self-Supporting Programs.
- Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of \$16,983,484.
- Fund 192, Public School Grants and Self-Supporting Programs, assumes available FY 2012 balance of \$686,953, does not reflect (\$600,000) as a transfer from Fund 105, Cable Communications (this amount is shown in Fund 090, Public School Operating), and does not reflect a reduction in balance of (\$1,250,051) from an anticipated increase in FY 2013 expenditures as a result of the reconciliation of the transfer in from Fund 105, Cable Communications, and the transfer assumed in the School Board's FY 2013 Advertised Budget.
- Fund 193, Public School Adult and Community Education, assumes available FY 2012 balance of \$86,271.
- Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000).
- Fund 591, Public School Health and Flexible Benefits, claims stabilization reserve of \$48,259,277.

⁵ Not reflected are the following adjustments to balance in FY 2013:

- Fund 001, General Fund, assumes available balance of \$1,500,000 set aside in reserve in Agency 87, Unclassified Administrative Expenses, for the Child Care Assistance and Referral program.
- Fund 090, Public School Operating Fund, reflects \$600,000 as a transfer from Fund 105, Cable Communications. Fund 105 reflects this funding as a transfer to Fund 192, Public School Grants and Self-Supporting Programs.
- Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of \$16,983,484.
- Fund 192, Public School Grants and Self-Supporting Programs, assumes available FY 2012 balance of \$686,953, does not reflect (\$600,000) as a transfer from Fund 105, Cable Communications (this amount is shown in Fund 090, Public School Operating), and does not reflect a reduction in balance of (\$1,250,501) from an anticipated increase in FY 2013 expenditures as a result of the reconciliation of the transfer in from Fund 105, Cable Communications, and the transfer assumed in the School Board's FY 2013 Advertised Budget.
- Fund 193, Public School Adult and Community Education, assumes available FY 2012 balance of \$86,271.
- Fund 403, Sewer Bond Parity Debt Service, does not reflect non-appropriated amortization expense of (\$25,000).

**FY 2013 ADOPTED EXPENDITURES BY FUND
SUMMARY OF APPROPRIATED FUNDS**

Fund Type/Fund	FY 2011 Estimate	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
GOVERNMENTAL FUNDS								
G00 General Fund Group								
001 General Fund	\$1,257,276,305	\$1,188,325,666	\$1,236,415,028	\$1,295,930,028	\$1,287,088,407	\$1,303,741,802	\$7,811,774	0.60%
G10 Special Revenue Funds								
090 Public School Operating ¹	\$2,248,251,991	\$2,094,313,600	\$2,171,559,534	\$2,331,543,378	\$2,353,308,729	\$2,353,308,729	\$21,765,351	0.93%
100 County Transit Systems	101,406,721	72,646,671	98,000,389	123,325,134	99,781,260	99,781,260	(23,543,874)	(19.09%)
102 Federal/State Grant Fund	200,527,310	78,048,814	67,818,214	209,788,127	86,811,968	86,811,968	(122,976,159)	(58.62%)
103 Aging Grants & Programs	10,847,744	7,437,652	0	0	0	0	0	-
104 Information Technology	59,284,918	29,627,495	9,251,579	52,714,141	8,841,579	8,841,579	(43,872,562)	(83.23%)
105 Cable Communications	16,384,504	9,558,332	10,950,136	18,060,107	10,469,160	10,515,599	(7,544,508)	(41.77%)
106 Fairfax-Falls Church Community Services Board	153,586,823	149,919,221	146,255,981	141,887,642	141,359,619	142,620,028	732,386	0.52%
108 Leaf Collection	2,300,780	2,229,308	2,404,038	2,404,038	2,546,035	2,546,035	141,997	5.91%
109 Refuse Collection and Recycling Operations	20,908,316	18,646,378	20,238,318	22,068,596	21,963,179	22,059,800	(8,796)	(0.04%)
110 Refuse Disposal	61,407,069	48,675,351	51,244,631	54,488,466	53,462,576	53,560,609	(927,857)	(1.70%)
111 Reston Community Center	9,850,107	8,114,268	7,748,352	9,925,354	8,277,726	8,317,770	(1,607,584)	(16.20%)
112 Energy Resource Recovery (ERR) Facility	33,779,516	29,669,142	18,143,313	18,988,846	19,660,223	19,667,593	678,747	3.57%
113 McLean Community Center	5,968,797	4,919,038	5,579,357	6,105,990	6,070,810	6,093,358	(12,632)	(0.21%)
114 I-95 Refuse Disposal	23,540,506	8,221,057	8,211,546	22,541,694	9,869,255	9,900,325	(12,641,369)	(56.08%)
115 Burgundy Village Community Center	44,065	32,309	44,065	44,326	44,791	44,791	465	1.05%
116 Integrated Pest Management Program	3,282,472	2,070,117	3,023,352	3,107,495	3,069,083	3,084,119	(23,376)	(0.75%)
118 Consolidated Community Funding Pool	9,154,331	8,871,622	8,970,687	9,253,396	9,419,221	9,867,755	614,359	6.64%
119 Contributory Fund	12,038,305	12,001,932	12,212,942	14,819,042	15,623,588	15,733,588	914,546	6.17%
120 E-911 Fund	47,068,932	34,316,433	37,245,287	49,118,474	38,539,515	39,131,428	(9,987,046)	(20.33%)
121 Dulles Rail Phase I Transportation Improvement District	66,000,000	47,300,851	25,000,000	45,000,000	52,066,583	52,066,583	7,066,583	15.70%
122 Dulles Rail Phase II Transportation Improvement District	500,000	232,424	500,000	500,000	500,000	500,000	0	0.00%
124 County & Regional Transportation Projects	142,589,301	39,472,503	22,540,528	240,584,235	17,734,014	17,734,014	(222,850,221)	(92.63%)
125 Stormwater Services	31,869,191	19,785,839	28,800,000	49,737,209	49,750,000	39,775,000	(9,962,209)	(20.03%)
141 Elderly Housing Programs	5,201,767	4,553,654	4,159,501	4,948,132	4,206,682	4,219,074	(729,058)	(14.73%)
142 Community Development Block Grant	17,122,933	8,454,534	6,463,133	14,608,812	5,418,429	5,418,429	(9,190,383)	(62.91%)
143 Homeowner and Business Loan Programs	8,629,710	3,077,573	4,514,316	10,066,452	3,910,249	3,910,249	(6,156,203)	(61.16%)
144 Housing Trust Fund	4,235,632	77,529	348,814	4,841,856	451,361	451,361	(4,390,495)	(90.68%)
145 HOME Investment Partnerships Grant	9,069,673	1,989,720	2,692,612	10,188,569	2,383,767	2,383,767	(7,804,802)	(76.60%)
191 Public School Food & Nutrition Services	87,778,280	70,927,597	87,846,533	92,915,497	92,574,259	92,574,259	(341,238)	(0.37%)
192 Public School Grants & Self Supporting Programs ²	96,567,320	61,801,484	63,625,695	93,612,729	68,289,788	68,289,788	(25,322,941)	(27.05%)
193 Public School Adult & Community Education	11,469,416	9,944,090	10,840,709	11,233,172	10,840,709	10,840,709	(392,463)	(3.49%)
Total Special Revenue Funds	\$3,500,666,430	\$2,886,936,538	\$2,936,233,562	\$3,668,420,909	\$3,197,244,158	\$3,190,049,567	(\$478,371,342)	(13.04%)
G20 Debt Service Funds								
200/201 Consolidated Debt Service	\$298,986,562	\$287,386,517	\$287,850,034	\$299,450,266	\$289,824,864	\$289,824,864	(\$9,625,402)	(3.21%)

FY 2013 ADOPTED EXPENDITURES BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Estimate	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
G30 Capital Project Funds								
301 Contributed Roadway Improvement Fund	\$41,453,288	\$594,287	\$0	\$40,365,503	\$0	\$0	(\$40,365,503)	(100.00%)
302 Library Construction	18,758,661	4,886,150	0	13,872,511	0	0	(13,872,511)	(100.00%)
303 County Construction	46,144,454	20,836,626	16,723,869	135,103,564	20,537,806	20,537,806	(114,565,758)	(84.80%)
304 Transportation Improvements	124,109,947	16,079,552	0	105,495,396	0	0	(105,495,396)	(100.00%)
306 Northern Virginia Regional Park Authority	2,700,000	2,700,000	3,000,000	0	0	0	0	-
307 Pedestrian Walkway Improvements	4,030,357	600,075	100,000	4,276,750	300,000	300,000	(3,976,750)	(92.99%)
309 Metro Operations & Construction	21,920,231	16,874,147	33,965,733	36,404,834	30,943,110	30,943,110	(5,461,724)	(15.00%)
311 County Bond Construction	78,529,272	4,439,367	0	0	0	0	0	-
312 Public Safety Construction	121,714,044	14,368,959	442,595	114,633,959	0	0	(114,633,959)	(100.00%)
315 Commercial Revitalization Program	4,098,234	289,075	0	3,809,160	0	0	(3,809,160)	(100.00%)
316 Pro Rata Share Drainage Construction	10,404,336	3,174,532	0	6,977,884	0	0	(6,977,884)	(100.00%)
317 Capital Renewal Construction	40,519,520	8,445,360	15,000,000	47,461,662	15,285,000	15,285,000	(32,176,662)	(67.80%)
318 Stormwater Management Program	16,913,243	8,755,236	0	0	0	0	0	-
319 The Penny for Affordable Housing Fund	19,864,899	10,824,709	14,668,400	29,092,284	15,443,400	16,502,150	(12,590,134)	(43.28%)
340 Housing Assistance Program	8,355,876	782,786	515,000	7,727,170	515,000	0	(7,727,170)	(100.00%)
370 Park Authority Bond Construction	62,736,313	16,208,118	0	47,317,723	0	0	(47,317,723)	(100.00%)
390 Public School Construction	575,242,805	162,465,201	163,084,711	454,014,900	163,072,120	163,072,120	(290,942,780)	(64.08%)
Total Capital Project Funds	\$1,197,495,480	\$292,324,180	\$247,500,308	\$1,046,553,300	\$246,096,436	\$246,640,186	(\$799,913,114)	(76.43%)
TOTAL GOVERNMENTAL FUNDS	\$6,254,424,777	\$4,654,972,901	\$4,707,998,932	\$6,310,354,503	\$5,020,253,865	\$5,030,256,419	(\$1,280,098,084)	(20.29%)
PROPRIETARY FUNDS								
G40 Enterprise Funds								
401 Sewer Operation and Maintenance	\$89,828,572	\$84,646,658	\$93,287,604	\$91,553,047	\$93,687,778	\$93,920,987	\$2,367,940	2.59%
402 Sewer Construction Improvements	50,723,363	17,705,913	29,000,000	62,017,450	30,000,000	30,000,000	(32,017,450)	(51.63%)
403 Sewer Bond Parity Debt Service	19,827,531	16,253,905	26,104,805	26,104,805	23,549,186	23,549,186	(2,555,619)	(9.79%)
406 Sewer Bond Debt Reserve	0	0	0	0	0	0	0	-
407 Sewer Bond Subordinate Debt Service	24,910,740	24,845,462	26,724,284	26,724,284	26,756,645	26,756,645	32,361	0.12%
408 Sewer Bond Construction	228,100,596	68,275,639	0	171,364,116	0	0	(171,364,116)	(100.00%)
Total Enterprise Funds	\$413,390,802	\$211,727,577	\$175,116,693	\$377,763,702	\$173,993,609	\$174,226,818	(\$203,536,884)	(53.88%)
G50 Internal Service Funds								
501 County Insurance Fund	\$22,111,815	\$28,035,713	\$21,777,676	\$21,792,725	\$22,523,548	\$22,539,885	\$747,160	3.43%
503 Department of Vehicle Services	77,875,191	74,983,789	69,398,301	86,430,836	80,538,514	80,774,160	(5,656,676)	(6.54%)
504 Document Services Division	7,640,509	5,588,104	6,050,787	6,478,178	6,084,209	6,092,037	(386,141)	(5.96%)
505 Technology Infrastructure Services	30,655,413	28,342,886	29,483,564	30,946,458	34,052,702	34,114,566	3,168,108	10.24%
506 Health Benefits Fund	133,712,937	124,261,241	129,853,306	148,472,671	148,713,403	148,713,403	240,732	0.16%
590 Public School Insurance Fund ³	17,872,964	16,843,118	18,884,727	23,016,743	16,577,166	16,577,166	(6,439,577)	(27.98%)
591 Public School Health and Flexible Benefits	323,613,352	276,431,304	336,287,415	291,721,814	366,325,831	366,325,831	74,604,017	25.57%
592 Public School Central Procurement	14,000,000	12,126,377	14,000,000	14,000,000	6,500,000	6,500,000	(7,500,000)	(53.57%)
Total Internal Service Funds	\$627,482,181	\$566,612,532	\$625,735,776	\$622,859,425	\$681,315,373	\$681,637,048	\$58,777,623	9.44%
TOTAL PROPRIETARY FUNDS	\$1,040,872,983	\$778,340,109	\$800,852,469	\$1,000,623,127	\$855,308,982	\$855,863,866	(\$144,759,261)	(14.47%)

FY 2013 ADOPTED EXPENDITURES BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Estimate	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
FIDUCIARY FUNDS								
G60 Trust Funds								
600 Uniformed Employees Retirement Trust Fund	\$77,763,515	\$70,289,824	\$79,650,095	\$80,654,662	\$90,429,197	\$90,433,096	\$9,778,434	12.12%
601 Fairfax County Employees' Retirement Trust Fund	213,982,858	202,111,908	220,823,834	228,845,147	248,781,882	248,800,074	19,954,927	8.72%
602 Police Retirement Trust Fund	58,963,783	54,106,521	61,716,542	62,721,109	65,905,261	65,909,160	3,188,051	5.08%
603 OPEB Trust Fund	17,700,229	13,923,151	7,144,556	16,544,085	7,625,991	7,627,316	(8,916,769)	(53.90%)
691 Educational Employees' Retirement	170,034,426	165,618,093	179,749,264	180,274,611	190,645,039	190,645,039	10,370,428	5.75%
692 Public School OPEB Trust Fund	30,723,000	30,527,063	32,552,500	33,804,500	37,335,500	37,335,500	3,531,000	10.45%
Total Trust Funds	\$569,167,811	\$536,576,560	\$581,636,791	\$602,844,114	\$640,722,870	\$640,750,185	\$37,906,071	6.29%
G70 Agency Funds								
700 Route 28 Taxing District	\$10,646,111	\$8,363,398	\$9,765,406	\$9,800,864	\$10,578,633	\$10,578,633	\$777,769	7.94%
716 Mosaic District Community Development Authority	88,400,000	0	0	0	1,492,499	1,492,499	1,492,499	-
Total Agency Funds	\$99,046,111	\$8,363,398	\$9,765,406	\$9,800,864	\$12,071,132	\$12,071,132	\$2,270,268	23.16%
TOTAL FIDUCIARY FUNDS	\$668,213,922	\$544,939,958	\$591,402,197	\$612,644,978	\$652,794,002	\$652,821,317	\$40,176,339	6.56%
TOTAL APPROPRIATED FUNDS	\$7,963,511,682	\$5,978,252,968	\$6,100,253,598	\$7,923,622,608	\$6,528,356,849	\$6,538,941,602	(\$1,384,681,006)	(17.48%)
Less: Internal Service Funds ⁴	(\$627,482,181)	(\$566,612,532)	(\$625,735,776)	(\$622,859,425)	(\$681,315,373)	(\$681,637,048)	(\$58,777,623)	9.44%
NET EXPENDITURES	\$7,336,029,501	\$5,411,640,436	\$5,474,517,822	\$7,300,763,183	\$5,847,041,476	\$5,857,304,554	(\$1,443,458,629)	(19.77%)

¹ Pending School Board approval, FY 2013 expenditures for Fund 090, Public School Operating, are reduced by \$63,347,534 from the amount shown in the School Board's FY 2013 Advertised Budget to offset the discrepancy between the County's proposed Transfer Out from the General Fund to Fund 090 and the transfer reflected in the School Board's FY 2013 Advertised Budget. Final adjustments will be reflected at the FY 2012 Carryover Review.

² The FY 2013 budget reflects expenditures for Fund 192, Public School Grants and Self-Supporting Programs, based on the transfer from Fund 105, Cable Communications, as shown in the School Board's FY 2013 Advertised Budget, and as appropriated by the Board of Supervisors when adopting the FY 2013 budget. As the actual transfer from Fund 105 was higher than that included in the School Board's FY 2013 Advertised Budget, the increased expenditures the transfer supports will be reflected at the FY 2012 Carryover Review. Similarly, the FY 2012 Adopted Budget Plan reflects expenditures based on the transfer from Fund 105 as shown in the School Board's FY 2012 Advertised Budget.

³ The FY 2012 Revised Budget Plan for Fund 590, Public School Insurance, reflects expenditures as approved by the Board of Supervisors as part of the FY 2012 Third Quarter Review.

⁴ Total Appropriated Funds Expenditures are reduced by Internal Service Fund Expenditures, as the amounts are already included.

FY 2013 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	Balance 6/30/13	Appropriated From/ (Added to) Surplus
GOVERNMENTAL FUNDS					
G00 General Fund Group					
001 General Fund	\$240,276,899	\$236,235,961	\$130,624,545	\$74,934,091	\$55,690,454
002 Revenue Stabilization Fund	103,827,504	104,642,854	104,642,854	105,640,457	(997,603)
Total General Fund Group	\$344,104,403	\$340,878,815	\$235,267,399	\$180,574,548	\$54,692,851
G10 Special Revenue Funds					
090 Public School Operating	\$155,788,704	\$260,709,316	\$118,091,613	\$15,400,000	\$102,691,613
100 County Transit Systems	23,678,258	29,752,106	4,946,111	110,957	4,835,154
102 Federal/State Grant Fund	29,093,113	32,032,208	742,262	742,262	0
103 Aging Grants & Programs	3,896,167	3,380,628	0	0	0
104 Information Technology	37,418,535	30,010,737	0	0	0
105 Cable Communications	21,519,673	24,288,130	7,746,933	4,475,325	3,271,608
106 Fairfax-Falls Church Community Services Board	5,783,119	526,828	0	0	0
108 Leaf Collection	3,510,308	3,214,530	2,730,846	2,309,573	421,273
109 Refuse Collection and Recycling Operations	8,559,226	11,266,686	9,892,024	8,904,034	987,990
110 Refuse Disposal	13,787,425	15,314,608	12,068,389	6,261,532	5,806,857
111 Reston Community Center	8,746,167	7,467,169	4,472,753	3,166,115	1,306,638
112 Energy Resource Recovery (ERR) Facility	21,578,204	22,395,866	35,455,269	47,681,276	(12,226,007)
113 McLean Community Center	12,551,599	12,584,950	11,769,392	10,715,072	1,054,320
114 I-95 Refuse Disposal	53,175,316	50,912,477	35,251,451	33,354,674	1,896,777
115 Burgundy Village Community Center	258,254	270,644	272,093	276,422	(4,329)
116 Integrated Pest Management Program	3,250,878	3,118,555	1,763,376	472,674	1,290,702
118 Consolidated Community Funding Pool	183,644	282,709	0	0	0
119 Contributory Fund	291,881	328,254	122,154	72,154	50,000
120 E-911 Fund	12,062,616	14,655,780	2,661,747	538,621	2,123,126
121 Dulles Rail Phase I Transportation Improvement District	90,099,993	65,391,666	43,613,276	15,963,420	27,649,856
122 Dulles Rail Phase II Transportation Improvement District	0	3,014,931	9,234,251	19,796,423	(10,562,172)
124 County & Regional Transportation Projects	60,351,365	57,313,707	0	0	0
125 Stormwater Services	3,869,191	11,986,928	0	0	0
141 Elderly Housing Programs	1,843,707	1,593,103	1,079,147	1,202,938	(123,791)
142 Community Development Block Grant	496,240	721,772	0	0	0
143 Homeowner and Business Loan Programs	3,876,924	3,336,124	3,263,353	3,263,353	0
144 Housing Trust Fund	4,239,692	4,722,102	229,060	229,060	0
145 HOME Investment Partnerships Grant	16,318	378,356	0	0	0
191 Public School Food & Nutrition Services	16,042,275	18,660,911	0	0	0
192 Public School Grants & Self Supporting	13,216,096	14,855,343	0	0	0
193 Public School Adult & Community Education	797,797	197,748	0	0	0
Total Special Revenue Funds	\$609,982,685	\$704,684,872	\$305,405,500	\$174,935,885	\$130,469,615

FY 2013 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	Balance 6/30/13	Appropriated From/ (Added to) Surplus
G20 Debt Service Funds					
200/201 Consolidated Debt Service	\$12,468,562	\$14,905,001	\$162,457	\$162,457	\$0
G30 Capital Project Funds					
301 Contributed Roadway Improvement Fund	\$40,316,395	\$40,075,128	\$0	\$0	\$0
302 Library Construction	7,378,661	2,492,511	0	0	0
303 County Construction	20,938,093	21,278,123	0	0	0
304 Transportation Improvements	8,740,795	10,870,065	0	0	0
306 Northern Virginia Regional Park Authority	0	0	0	0	0
307 Pedestrian Walkway Improvements	708,424	608,396	0	0	0
309 Metro Operations & Construction	1,732,294	10,407,378	0	0	0
310 Storm Drainage Bond Construction	0	0	0	0	0
311 County Bond Construction	22,206,837	27,104,978	0	0	0
312 Public Safety Construction	40,870,573	27,472,230	0	0	0
314 Neighborhood Improvement Program	250,939	0	0	0	0
315 Commercial Revitalization Program	32,026	129,415	0	0	0
316 Pro Rata Share Drainage Construction	6,271	7,567	0	0	0
317 Capital Renewal Construction	23,519,520	18,461,662	0	0	0
318 Stormwater Management Program	13,400,170	7,633,091	0	0	0
319 The Penny for Affordable Housing Fund	5,506,499	9,423,884	0	0	0
340 Housing Assistance Program	(3,852,467)	2,564,708	22,599	22,599	0
370 Park Authority Bond Construction	7,901,313	3,002,723	0	0	0
390 Public School Construction	94,573,900	75,306,105	0	0	0
Total Capital Project Funds	\$284,230,243	\$256,837,964	\$22,599	\$22,599	\$0
TOTAL GOVERNMENTAL FUNDS	\$1,250,785,893	\$1,317,306,652	\$540,857,955	\$355,695,489	\$185,162,466
PROPRIETARY FUNDS					
G40 Enterprise Funds					
400 Sewer Revenue	\$86,560,787	\$96,393,648	\$117,973,930	\$130,815,294	(\$12,841,364)
401 Sewer Operation and Maintenance	16,887,651	5,640,993	87,946	(83,041)	170,987
402 Sewer Construction Improvements	26,223,363	33,017,450	0	0	0
403 Sewer Bond Parity Debt Service	(2,773,887)	3,597,208	3,022,363	448,177	2,574,186
406 Sewer Bond Debt Reserve	16,555,123	16,555,123	26,261,123	26,261,123	0
407 Sewer Bond Subordinate Debt Service	1,510,452	1,164,990	74,330	317,685	(243,355)
408 Sewer Bond Construction	67,485,639	47,578,200	0	400,000	(400,000)
Total Enterprise Funds	\$212,449,128	\$203,947,612	\$147,419,692	\$158,159,238	(\$10,739,546)

FY 2013 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF APPROPRIATED FUNDS

Fund Type/Fund	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	Balance 6/30/13	Appropriated From/ (Added to) Surplus
G50 Internal Service Funds					
501 County Insurance Fund	\$40,515,660	\$42,234,526	\$48,392,026	\$47,765,317	\$626,709
503 Department of Vehicle Services	44,890,336	40,594,945	30,976,993	24,527,809	6,449,184
504 Document Services Division	2,298,809	2,188,180	1,583,350	1,278,653	304,697
505 Technology Infrastructure Services	5,641,038	5,583,372	4,029,705	2,261,176	1,768,529
506 Health Benefits Fund	27,473,477	27,388,846	22,495,247	23,572,547	(1,077,300)
590 Public School Insurance Fund	31,488,395	40,900,952	40,568,841	38,073,014	2,495,827
591 Public School Health and Flexible Benefits	49,703,020	52,012,885	48,259,277	0	48,259,277
592 Public School Central Procurement	457,516	223,023	223,023	223,023	0
Total Internal Service Funds	\$202,468,251	\$211,126,729	\$196,528,462	\$137,701,539	\$58,826,923
TOTAL PROPRIETARY FUNDS	\$414,917,379	\$415,074,341	\$343,948,154	\$295,860,777	\$48,087,377
FIDUCIARY FUNDS					
G60 Trust Funds					
600 Uniformed Employees Retirement Trust Fund	\$991,072,541	\$1,220,411,972	\$1,284,296,711	\$1,352,117,730	(\$67,821,019)
601 Fairfax County Employees' Retirement Trust Fund	2,469,080,091	2,984,863,644	3,106,128,833	3,236,191,884	(130,063,051)
602 Police Retirement Trust Fund	836,033,056	1,035,145,961	1,085,005,955	1,143,731,202	(58,725,247)
603 OPEB Trust Fund	62,653,494	87,050,168	112,690,645	138,606,562	(25,915,917)
691 Educational Employees' Retirement	1,607,663,423	1,886,988,080	2,028,577,921	2,180,998,081	(152,420,160)
692 Public School OPEB Trust Fund	19,562,623	40,051,085	54,530,585	69,450,085	(14,919,500)
Total Trust Funds	\$5,986,065,228	\$7,254,510,910	\$7,671,230,650	\$8,121,095,544	(\$449,864,894)
G70 Agency Funds					
700 Route 28 Taxing District	\$303	\$35,458	\$0	\$0	\$0
716 Mosaic District Community Development Authority	0	0	0	0	0
Total Agency Funds	\$303	\$35,458	\$0	\$0	\$0
TOTAL FIDUCIARY FUNDS	\$5,986,065,531	\$7,254,546,368	\$7,671,230,650	\$8,121,095,544	(\$449,864,894)
TOTAL APPROPRIATED FUNDS	\$7,651,768,803	\$8,986,927,361	\$8,556,036,759	\$8,772,651,810	(\$216,615,051)

Fund 002

Revenue Stabilization

Focus

The Board of Supervisors, during deliberations on the *FY 1999 Carryover Review*, approved the establishment of Fund 002, Revenue Stabilization Fund (RSF). The purpose of this fund is to provide a mechanism for maintaining a balanced budget without resorting to tax increases and expenditure reductions that aggravate the stresses imposed by the cyclical nature of the economy.

The Board of Supervisors established the Reserve under the directive that the Revenue Stabilization Fund will not be used as a method of addressing the demand for new or expanded services; it is solely to be used as a financial tool in the event of a significant economic downturn. Therefore, the Board of Supervisors established a policy for utilizing the Revenue Stabilization Fund that identified three specific criteria that must be met in order to make a withdrawal from the Fund:

- Projected revenues reflect a decrease greater than 1.5 percent from the current year estimate;
- Withdrawals from the Fund shall not exceed one-half of the fund balance in any fiscal year; and
- Withdrawals from the Reserve shall be used in combination with spending cuts or other measures.

The Revenue Stabilization Fund has a target balance of 3.0 percent of General Fund disbursements. The Fund shall be separate and distinct from the County's 2.0 percent Managed Reserve, which was initially established in FY 1983. However, the aggregate balance of both reserves shall not exceed 5.0 percent of General Fund disbursements.

The target balance of 3.0 percent of General Fund disbursements was to be accomplished by transferring funds from the General Fund over a multi-year period. The Board of Supervisors determined that a minimum of 40 percent of non-recurring balances identified at quarterly reviews would be transferred to the Revenue Stabilization Fund and the Fund would retain the interest earnings on the balance, and the retention of interest would continue until the Reserve was fully funded. As a result of Board of Supervisors' approved General Fund transfers, along with projected interest earnings, the fund achieved fully funded status in FY 2006 by reaching its target level of 3.0 percent of General Fund disbursements. Based on the projected earnings on the balance in the fund and depending on the average yield for the portfolio, it is anticipated that the fund will remain fully funded by retaining its interest earnings. However, if adjustments to disbursements result in a target level which exceeds the amount of interest projected to be earned by the fund, a General Fund Transfer to this fund would be required to maintain the 3.0 percent of disbursements fully funded target level. Conversely, if the amount of interest projected to be earned by the fund exceeds the amount required to maintain fully funded status, Fund 001, General Fund, will retain the additional interest earnings.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no adjustments to this fund since approval of the FY 2012 Adopted Budget Plan.

Fund 002 Revenue Stabilization

FUND STATEMENT

Fund Type G00, General Fund

Fund 002, Revenue Stabilization

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$103,827,504	\$103,827,504	\$104,642,854	\$104,642,854	\$104,642,854
Revenue:					
Interest Earnings ¹	\$815,350	\$0	\$0	\$997,603	\$997,603
Total Revenue	\$815,350	\$0	\$0	\$997,603	\$997,603
Transfer In:					
General Fund (001)	\$0	\$0	\$0	\$0	\$0
Total Transfer In	\$0	\$0	\$0	\$0	\$0
Total Available	\$104,642,854	\$103,827,504	\$104,642,854	\$105,640,457	\$105,640,457
Transfer Out:					
General Fund (001)	\$0	\$0	\$0	\$0	\$0
Total Transfer In	\$0	\$0	\$0	\$0	\$0
Total Disbursements	\$0	\$0	\$0	\$0	\$0
Ending Balance	\$104,642,854	\$103,827,504	\$104,642,854	\$105,640,457	\$105,640,457

¹ Based on the anticipated balance in the fund and budgeted County Disbursements in FY 2012 and FY 2013, it is anticipated that this fund will need to retain interest earnings in FY 2012 and FY 2013 to remain fully funded.

Special Revenue Funds

Overview

Special Revenue Funds account for the proceeds of specific revenue sources that are restricted to expenditures for specified purposes. These proceeds include state and federal aid, income derived through activities performed by the Solid Waste Management Program, special levies, program activity revenue and operation of the public school system. The funds that are classified within the Special Revenue Funds group are listed below.

STATE AND FEDERAL AID

These funds administer programs that benefit Fairfax County residents in accordance with County policy. Included are funds for programs that attempt to identify and alleviate the causes of poverty; manage grant resources for a variety of County programs ranging from public safety to human services issues; aid aging citizens within Fairfax County; and conserve and upgrade low and moderate-income neighborhoods. As a result of the County's new integrated finance, budget, purchasing and human resources computer system, grant funding associated with Fund 103, Aging Grants and Programs was consolidated into Fund 102, Federal/State Grants Fund as part of the FY 2012 Adopted Budget Plan. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system was transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund. Associated positions were transferred as part of the *FY 2011 Carryover Review*.

- **Fund 102 - Federal/State Grant Fund**
- **Fund 103 - Aging Grants and Programs**
- **Fund 142 - Community Development Block Grant**
- **Fund 145 - HOME Investment Partnership Grant**

CONSOLIDATED COMMUNITY FUNDING POOL

These grants enable community-based organizations to leverage their existing program funding to provide services that are most appropriately delivered by non-governmental organizations. The Consolidated Community Funding Pool awards grants on a two-year funding cycle to provide increased stability for the community-based organizations.

- **Fund 118 – Consolidated Community Funding Pool**

INFORMATION TECHNOLOGY (IT)

This fund supports the critical role of information technology in improving the County's business processes and customer service, and in recognition of the ongoing investment necessary to achieve such improvements.

- **Fund 104 - Information Technology**

Special Revenue Funds

FAIRFAX-FALLS CHURCH COMMUNITY SERVICES BOARD (CSB)

Funding to support CSB programs in the areas of mental health, intellectual disability, alcohol and drug, and early intervention services is derived from a variety of sources including the cities of Fairfax and Falls Church, the state and federal governments, client/program fees and transfers from the General Fund. It should be noted that as a result of the County's new integrated computer system, grant funding and associated positions from Fund 106, Fairfax-Falls Church Community Services Board, were consolidated into Fund 102, Federal/State Grants Fund, as part of the *FY 2011 Carryover Review*.

- **Fund 106 - Fairfax-Falls Church Community Services Board**

SOLID WASTE MANAGEMENT

These funds provide for the collection and disposal of refuse within Fairfax County, as well as the disposal of refuse delivered by local jurisdictions. Revenue is derived from collection and disposal charges of the various program components.

- **Fund 108 - Leaf Collection**
- **Fund 109 - Refuse Collection and Recycling Operations**
- **Fund 110 - Refuse Disposal**
- **Fund 112 - Energy/Resource Recovery Facility (E/RRF)**
- **Fund 114 - I-95 Refuse Disposal**

COMMUNITY CENTERS

These funds provide for the construction, operation, and maintenance of community centers for use by the residents within the special tax districts who pay a special levy based on assessed value of real property.

- **Fund 111 - Reston Community Center**
- **Fund 113 - McLean Community Center**
- **Fund 115 - Burgundy Village Community Center**

SERVICE DISTRICTS

These funds are service districts that provide a specific service to County residents. The Integrated Pest Management Program gains revenue through a special countywide tax levy on residential, commercial, and industrial properties to allow for the treatment of the gypsy moth, cankerworm and emerald ash borer population as well as the prevention of the West Nile Virus. The Stormwater Services Program district was established in FY 2010. The FY 2013 service district levy is \$0.020 per \$100 of assessed real estate value. This amount will support both staff operating requirements and stormwater capital projects. Capital Projects include: repairs to stormwater infrastructure, measures to improve water quality, stream stabilization, rehabilitation and safety upgrades of dams, repair and replacement of underground pipe systems and surface channels, structural flood proofing and Best Management Practices (BMP) site retrofits. This funding also supports implementation of watershed master plans, increased public outreach efforts and stormwater monitoring activities.

- **Fund 116 - Integrated Pest Management Program**
- **Fund 125 - Stormwater Services**

Special Revenue Funds

CONTRIBUTORY AGENCIES

This fund was established to reflect the General Fund support of contributory agencies. Funding for the County's contribution to various organizations and/or projects is reflected in this fund.

- **Fund 119 - Contributory Fund**

E-911 FUND

This fund was created to satisfy a state legislative requirement that E-911 revenues and expenditures be accounted for separately. All expenditures associated with the Public Safety Communications Center (PSCC) are budgeted in this fund.

- **Fund 120 - E-911**

DULLES RAIL PHASE I TRANSPORTATION IMPROVEMENT DISTRICT

The District was formed by the Board of Supervisors on February 23, 2004 based on petition of the owners of commercial and industrial property in order to fund the extension of the Metrorail Orange line in the vicinity of West Falls Church to Wiehle Avenue in Reston. The District will contribute up to \$400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority, there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. On November 4, 2010, the Virginia Supreme Court affirmed the lower court ruling. On May 9 and 10, 2010, the bonds for the project were sold to provide the proportional share of project funding required in accordance with the funding agreement with the Metropolitan Washington Airports Authority and the County.

- **Fund 121 – Dulles Rail Phase I Transportation District Improvements**

DULLES RAIL PHASE II TRANSPORTATION IMPROVEMENT DISTRICT

Phase II of the Dulles Metrorail project will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the project on either side of the right-of-way of the Dulles Airport Access and Toll Road (DTR) within Fairfax County, will be taxed to help Fairfax County fund the County's share of the project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax rate of \$0.05 per hundred dollars of assessed value was proposed for FY 2011 for commercial and industrial properties within the Phase II District. According to the Petition, for FY 2013, this tax rate increases to \$0.15 per hundred dollars of assessed value. It is expected to yield approximately \$11.1 million in revenue for the fund. The Petition proposed annual tax increases of \$0.05 cents per year until the rate reaches \$0.20 cents per \$100 of assessed value in FY 2014.

- **Fund 122– Dulles Rail Phase II Transportation District Improvements**

Special Revenue Funds

COUNTY AND REGIONAL TRANSPORTATION

These funds provide for planning, coordinating and implementing a multi-modal transportation system for Fairfax County that moves people and goods, consistent with the values of the community and is supported by commercial and industrial taxes for transportation.

- **Fund 124 - County and Regional Transportation Projects**

PROGRAM ACTIVITY REVENUE

These funds support the County's bus and commuter rail service, and the County's cable operations. The primary sources of revenue for program activity funds are derived from receipts generated through program operations.

- **Fund 100 - County Transit Systems**
- **Fund 105 - Cable Communications**

OPERATION OF THE PUBLIC SCHOOL SYSTEM

These funds provide for recording expenditures required to operate, maintain, and support the Fairfax County Public School system programs, as well as the procurement, preparation, and serving of student breakfasts, snacks, and lunches. Primary sources of revenue include federal and state aid, transfers from the General Fund and receipts derived through food sales.

- **Fund 090 - Public School Operating**
- **Fund 191 - Public School Food and Nutrition Services**
- **Fund 192 - Public School Grants and Self-Supporting Programs**
- **Fund 193 - Public School Adult and Community Education**

DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT

Narratives for Fund 141, Elderly Housing Programs; Fund 142, Community Development Block Grant; Fund 143, Homeowner and Business Loan Programs; Fund 144, Housing Trust Fund; and Fund 145, HOME Investment Partnership Grant can be found in the Housing and Community Development Programs section of this Volume.

Fund 090 Public School Operating

Focus

Expenditures required for operating, maintaining and supporting the instructional program of Fairfax County Public Schools (FCPS) are recorded in Fund 090, Public School Operating. These expenditures include the costs for salaries and related employee benefits, materials, equipment and services, as well as costs for projected changes in membership and inflation. Revenue to support these expenditures is provided by a transfer from the County General Fund, state and federal aid, tuition payments from the City of Fairfax, as well as other fees and transfers.



It should be noted that the following fund statement reflects the FY 2013 Fairfax County Public School Superintendent's Proposed Budget, which was released on January 12, 2012 and included a request for an 8.4 percent increase to the General Fund transfer. Adjustments to the Superintendent's Proposed Budget, adopted by the Fairfax County School Board on February 9, 2012 were discussed in the Overview volume of the County's FY 2013 Advertised Budget Plan.

All financial schedules included in the FY 2013 Adopted Budget Plan reflect a 4.5 percent increase in the General Fund transfer as adopted by the Board of Supervisors on May 1, 2012. The adopted County General Fund transfer for school operations in FY 2013 totals \$1,683,322,285.

More details on the FCPS budget can be found at <http://www.fcps.edu/news/fy2013.shtml>.

Fund 090 Public School Operating

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 090, Public School Operating Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan
Beginning Balance²	\$155,788,704	\$53,818,854	\$160,250,705	\$57,491,613	\$57,491,613
Revenue:					
Sales Tax	\$152,174,593	\$148,621,812	\$153,068,860	\$157,048,649	\$157,048,649
State Aid	298,023,991	293,490,848	318,998,373	343,880,420	343,880,420
Federal Aid	76,651,735	63,197,897	76,163,572	41,367,235	41,367,235
City of Fairfax Tuition	35,256,195	35,433,040	37,951,980	37,785,143	37,785,143
Tuition, Fees, and Other	20,052,818	19,409,297	17,269,296	17,932,819	17,932,819
Total Revenue	\$582,159,332	\$560,152,894	\$603,452,081	\$598,014,266	\$598,014,266
Reserve Available	\$33,941,985	\$47,993,007	\$100,477,374	\$60,600,000	\$60,600,000
Transfers In:					
County General Fund (001)	\$1,610,334,722	\$1,610,834,722	\$1,610,834,722	\$1,746,669,819	\$1,683,322,285
County General Fund (001) - Priority School Initiative	1,255,755	0	0	0	0
County Cable Communications (Fund 105) ³	0	0	600,000	600,000	600,000
Total Transfers In	\$1,611,590,477	\$1,610,834,722	\$1,611,434,722	\$1,747,269,819	\$1,683,922,285
Total Available	\$2,383,480,498	\$2,272,799,477	\$2,475,614,882	\$2,463,375,698	\$2,400,028,164
Total Expenditures ⁴	\$2,094,313,600	\$2,171,559,534	\$2,331,543,378	\$2,416,656,263	\$2,353,308,729
Transfers Out:					
School Construction Fund (390)	\$9,916,150	\$7,698,711	\$7,438,659	\$7,766,120	\$7,766,120
School Grants & Self- Supporting Fund (192)	14,367,709	14,367,709	14,367,709	19,376,992	19,376,992
School Adult & Community Education Fund (193)	400,000	400,000	400,000	400,000	400,000
Consolidated County & Schools Debt Fund (200 & 201)	3,773,723	3,773,523	3,773,523	3,776,323	3,776,323
Total Transfers Out	\$28,457,582	\$26,239,943	\$25,979,891	\$31,319,435	\$31,319,435
Total Disbursements	\$2,122,771,182	\$2,197,799,477	\$2,357,523,269	\$2,447,975,698	\$2,384,628,164

Fund 090 Public School Operating

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 090, Public School Operating Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan
Ending Balance	\$260,709,316	\$75,000,000	\$118,091,613	\$15,400,000	\$15,400,000
Flexibility Reserve	\$8,000,000	\$0	\$0	\$0	\$0
VRS Reserve Available from Prior Years	44,993,007	75,000,000	60,600,000	15,400,000	15,400,000
Employee Compensation Reserve	3,000,000	0	0	0	0
Other Commitments	90,507,356	0	0	0	0
Budgeted Beginning Balance	57,314,083	0	0	0	0
Future Year Beginning Balance	52,484,367	0	57,491,613	0	0
Centralized Textbook Fund	4,410,503	0	0	0	0
Available Ending Balance	\$0	\$0	\$0	\$0	\$0

¹ The FY 2012 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their FY 2012 Third Quarter Review.

² The FY 2012 Revised Budget Plan beginning balance includes an adjustment of \$18,763 which is not reflected in the FY 2011 ending balance.

³ The County's total transfer from Fund 105, Cable Communications Fund, to support school requirements in FY 2012 is \$3,726,203, of which \$600,000 is reflected in this fund statement and \$3,126,203 is reflected in the fund statement for Fund 192, Public School Grants and Self-Supporting Programs. Similarly, \$600,000 from the FY 2013 transfer from Fund 105 to Fund 192 is also reflected in this fund statement.

⁴ Pending School Board approval, FY 2013 Adopted Budget Plan expenditures have been reduced by \$63,347,534 to offset the discrepancy between the County General Fund transfer adopted by the Board of Supervisors and the transfer request in the Superintendent's Proposed Budget. Final adjustments will be reflected at the FY 2012 Carryover Review.

Fund 100

County Transit Systems

Mission

To provide safe, reliable, clean and effective public transportation service that complements the other elements of the multi-modal transportation system in Fairfax County and provides a cost-saving alternative to Washington Metropolitan Area Transit Authority (WMATA) Metrobus service. To fund the County's share of operating costs for the Virginia Railway Express (VRE).

Focus

FAIRFAX CONNECTOR Bus System

Fund 100, County Transit Systems, provides funding for operating and capital expenses for the FAIRFAX CONNECTOR bus system. The Fairfax County Department of Transportation (FCDOT) manages, oversees and coordinates the activities of the FAIRFAX CONNECTOR bus system, which in FY 2013 is projected to operate 69 routes providing primarily intra-county service and access to Metrorail stations serving County residents. The system includes an authorized fleet of 264 buses, of which 220 are in service, 19 are on order to meet existing service requirements and 25 are expansion buses approved in FY 2012 that will support future HOT lanes requirements. The FY 2013 budget also includes another 15 buses (for an overall fleet total of 279) for expanded service requirements in future years associated with Dulles Rail Phase I expansion.



Buses operate from three bus operations centers, Huntington, Reston-Herndon and West Ox, owned by the County. FAIRFAX CONNECTOR service is operated by a private contractor from these County sites.

The Huntington Division provides local service to the Huntington, Van Dorn and Franconia-Springfield Metrorail Stations, express service to the Pentagon Metrorail Station and cross-county service between Springfield and Tysons Corner. The Reston-Herndon Division includes express service from Reston and Herndon to the West Falls Church – VT/UVA Metrorail Station, express service from Reston to the Pentagon and Crystal City, local service between Herndon, Reston, and Tysons Corner, local service within Reston, and cross-county service between Fair Oaks and Reston.



The new West Ox Bus Operations Center commenced operations on June 29, 2009.

Fund 100 County Transit Systems

In June 2009, the West Ox Division became operational, providing service to the Centreville and Chantilly areas in the western part of the County and to the Vienna Metro Station along I-66. The new West Ox Bus Operations Center, at the intersection of West Ox Road and Lee Highway, is a facility jointly funded and occupied by the County and WMATA. Construction was completed on the West Ox Bus Operations Center in fall 2008. The site will eventually support 300 buses. Phase I has a total maximum space for 100 WMATA buses and 75 County buses. Under the Joint Use Agreement with WMATA, WMATA pays its share of on-going operating and maintenance costs to the County. The new center provides more optimal and effective service to the western portion of the County, including FAIRFAX CONNECTOR services that replaced WMATA's 12s, 20s and 2W non-regional Metrobus routes, as approved by the Board of Supervisors in February 2006.

FAIRFAX CONNECTOR will be modifying and expanding service over the 2012-2014 fiscal years in conjunction with the opening of two major transportation infrastructure projects in Fairfax County: The I-495 High Occupancy Toll Lanes (HOT Lanes) and the first phase of Dulles Rail. The majority of new FAIRFAX CONNECTOR bus service in support of these projects will occur in Tysons Corner. The FAIRFAX CONNECTOR will be starting express service to Tysons Corner, providing a faster connection via the HOT Lanes, in late 2012 or early 2013. At the end of 2013, a new FAIRFAX CONNECTOR circulator bus system will begin operating in Tysons Corner, feeding the four new Metrorail Stations on the Silver Line. All service will operate out of the West Ox bus operations center.



In addition to the opening of the new West Ox Operations Center, there have been a number of other CONNECTOR initiatives in recent years. In FY 2008, FAIRFAX CONNECTOR equipped all buses with front-mounted bike racks able to carry two bikes. Bike racks have been well received across the County by FAIRFAX CONNECTOR riders, as they offer a healthier, more environmentally friendly commuting choice. In future years, new buses that are purchased will have the capacity to carry up to three bikes.

FCDOT continues its commitment to the Emission Reduction Program as an agency focus. The program includes an idling reduction program, auto shutdown program and reduced horsepower in the 30 ft fleet, and 139 buses with engines that include diesel particulate filters (DPF). In addition, the agency installed DPF filters on many of the older buses and have equipped 45 buses with Engineered Machine Products (EMP) technology. EMP technology electrifies many of the systems, making the bus a Mini-Hybrid and reducing fuel consumption in the buses with this technology.

All buses purchased in FY 2012 and beyond will have a greater reduction in emissions. The Environmental Protection Agency (EPA) has slowly been restricting the Particulate Matter (PM) and the Nitrogen oxide (NOx) material and gases produced in diesel engines since 1978. The goal is to achieve 0 emissions, a 98 percent reduction. In 2010 an EPA mandate restricted all diesel engines to 0.2 g/HP-hr for NOx and 0.01g/HP-hr for PM. The manufacturers of diesel engines have achieved this goal by adding several components to the engine: Turbos, Air-Air charge coolers, Exhaust Gas Recirculation (EGR) equipment, Diesel Particulate Filters (DPF), and Selective Catalytic Reduction equipment, which work in conjunction with a dosing fluid, Diesel Exhaust Fluid (DEF). All these changes have produced a cleaner public transportation vehicle.

Fund 100 County Transit Systems

An additional initiative was the completion of a bus rebuilding program, enabling FAIRFAX CONNECTOR to take retired buses and create a reserve fleet. This program resulted in the rebuilding of buses with new engines, transmissions, bulkheads, wheelchair lifts and other major components. These rebuilt buses enable FAIRFAX CONNECTOR to have a more adequate spare ratio to address maintenance requirements, provide more protection to the active fleet, deploy standby buses to provide system reliability and dependability, enable training without impacting service delivery, and provide a contingency fleet in the event of unforeseen regional emergencies.

FAIRFAX CONNECTOR service is supported from a combination of sources, including fare and advertising revenue, state aid held at Northern Virginia Transportation Commission (NVTC), a transfer of commercial and industrial real estate tax for transportation revenue from Fund 124, County and Regional Transportation Projects, a transfer from Fund 309, Metro Operations and Construction, and a General Fund transfer. It should be noted that the FY 2013 budget reflects a modest increase in fare revenue compared to the FY 2012 Adopted Budget Plan due in part to service modifications such as increased frequency and the addition of new routes. Some of these changes have arisen from the need for additional public transportation associated with Base Realignment and Closure (BRAC) as well as increases associated with the anticipated HOT lanes expected to open in FY 2013.

FY 2013 Bus Services Funding

Total FY 2013 funding of \$94.6 million is provided for bus services, an increase of \$1.5 million or 1.6 percent over the FY 2012 Adopted Budget Plan total of \$93.1 million. Of this total \$91.3 million is included for FAIRFAX CONNECTOR existing and new services (including bus purchase and replacement), and \$3.3 million is for WMATA reimbursable facility and fuel costs at the West Ox Bus Operations Center. A breakdown of the \$91.3 million is included in the table below.

Existing (Baseline) Bus Service	\$70.5
Bus Replacement (20)	\$9.7
New Bus Service	\$3.8
New Bus Purchases (15)	\$7.3
Total (\$ in millions)	\$91.3

Of this total, \$7.3 million is included for the purchase of 15 new expansion buses in support of Dulles Rail Phase I Bus Service. It is necessary to order new expansion buses in FY 2013 to provide adequate lead time for the bus acquisition process which requires at least 18 months prior to the anticipated initiation of service to allow time for competitive bidding and the building of buses according to specifications. This cost will be supported by a mix of commercial and industrial (C&I) tax funding and General Fund support. Funding is also included in the FY 2013 budget to continue the timely replacement of aging FAIRFAX CONNECTOR buses, in accordance with the Board of Supervisors' approved FAIRFAX CONNECTOR Transit Bus Fleet Replacement Policy. In FY 2013, \$9.7 million will support the replacement of 20 FAIRFAX CONNECTOR buses that reach established replacement criteria, thus minimizing maintenance issues and ensuring future bus service reliability. Finally, funding in the amount of \$3.8 million is included in support of a number of CONNECTOR service expansions, including priority recommendations identified in the Transportation Development Plan (TDP) and 6 months of HOT lanes service. Increased support from C&I funding will primarily support these expansions to meet the most critical service needs.

Fund 100

County Transit Systems

Commercial and Industrial Tax Funding

Commercial and industrial tax funding of \$26.70 million is included in FY 2013, an increase of \$7.24 million over the FY 2012 Adopted Budget Plan level. This increased funding is being used primarily to partially support the purchase of 15 new expansion buses in support of Dulles Rail Phase I Bus Service and to support HOT lanes service projected to commence in January 2013. The commercial real estate tax revenue is posted to Fund 124, County and Regional Transportation Projects, and then a portion is transferred to the County Transit Systems budget. In FY 2013, the transportation tax provides continued support for West Ox Division rush hour and midday service, enables the continuation of increased frequencies on overcrowded priority bus routes (Routes 171, 401/402 and 950), and continues support for previous years' service expansions at all three operating divisions. It also allows the addition of a route from Tysons to Dulles Airport, as endorsed by the Board on July 27, 2010; improves the frequency of Richmond Highway corridor routes; and improves the frequency of Route 310 servicing Franconia Road to Rolling Valley, where headways will decrease from every 30 minutes to every 20 minutes.

General Fund Support

General Fund support is provided to Fund 100, County Transit Systems, for CONNECTOR requirements and for the County share of the subsidy for commuter rail services operated by the Virginia Railway Express (VRE). The FY 2013 General Fund transfer to Fund 100 is \$36.5 million, an increase of \$2.1 million over the FY 2012 Adopted Budget Plan. This transfer supports existing CONNECTOR services including those associated with Fort Belvoir (BRAC), additional bus replacement requirements and a \$0.25 million increase in the County's subsidy requirements for Virginia Railway Express (VRE).

Virginia Railway Express (VRE)

Fund 100, County Transit Systems, includes the County's share of the subsidy for commuter rail services operated by the Virginia Railway Express (VRE). The Board of Supervisors approved the County's participation in the regional rail service on August 1, 1988. The service is a joint effort among NVTC, the Potomac and Rappahannock Transportation Commission, the Virginia Department of Rail and Public Transportation, and the participating jurisdictions of Fairfax County, Manassas, Manassas Park, Fredericksburg, Prince William County, and Stafford County. The City of Alexandria and Arlington County are also contributing jurisdictions.

The operation and maintenance costs associated with the commuter rail system are funded from a combination of ridership revenues (which accrue directly to VRE), state contributions and contributions from the participating and contributing local jurisdictions. According to the VRE Master Agreement, at least 50 percent of the operating costs must be paid by passenger fares, with the remainder funded by the participating jurisdictions according to a funding formula. In spring 2007, the VRE Operations Board and member jurisdictions approved a change in the funding formula to transition from the previous calculation based on 90 percent ridership and 10 percent population, to a purely ridership-based formula more favorable to Fairfax County. The FY 2013 Fairfax County subsidy, as approved by the VRE Operations Board and NVTC is \$5.16 million, an increase of \$0.25 million or 5.1 percent over the FY 2012 Adopted Budget Plan total of \$4.91 million.

Fund 100

County Transit Systems

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
<u>Bus Services</u>					
Huntington	\$35,445,477	\$46,189,762	\$58,914,325	\$40,953,599	\$40,953,599
Reston/Herndon	21,551,462	26,468,981	34,865,605	23,798,139	23,798,139
West Ox	10,743,039	20,434,953	23,138,511	29,872,371	29,872,371
Systemwide Projects	0	0	1,500,000	0	0
Subtotal - Bus Services, CONNECTOR & WMATA	\$67,739,978	\$93,093,696	\$118,418,441	\$94,624,109	\$94,624,109
Commuter Rail (VRE)	\$4,906,693	\$4,906,693	\$4,906,693	\$5,157,151	\$5,157,151
Total Expenditures	\$72,646,671	\$98,000,389	\$123,325,134	\$99,781,260	\$99,781,260
Income:					
Miscellaneous Revenue	\$693,565	\$162,778	\$162,778	\$162,778	\$162,778
Fare Revenue	11,765,020	6,179,464	6,179,464	7,492,078	7,492,078
Bus Shelter Program	125,000	0	0	0	0
Tysons Lunch Shuttle					
Reimbursement	655,503	0	0	0	0
Advertising Revenue	7,417	200,000	200,000	200,000	200,000
WMATA Reimbursements, West Ox	2,341,855	3,644,502	3,644,502	3,335,461	3,335,461
State Aid (NVTC) Operations	15,701,878	18,201,878	18,201,878	18,201,878	18,201,878
State Aid (NVTC) Projects	2,500,000	12,500,000	14,000,000	0	0
Total Income	\$33,790,238	\$40,888,622	\$42,388,622	\$29,392,195	\$29,392,195
Net Cost to the County	\$38,856,433	\$57,111,767	\$80,936,512	\$70,389,065	\$70,389,065

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Bus Replacement** **\$3,980,000**
An increase of \$3,980,000, when combined with the \$5,720,000 in the baseline budget, is included to fund the replacement of 20 buses in the CONNECTOR fleet based on age and maintenance criteria.

- ◆ **Transit Development Plan (TDP) Critical Service** **\$3,194,968**
A net increase of \$3,194,968 is due to 35,551 additional revenue hours supported by increases to the C&I transfer and additional fare revenue. New hours support priority routes services identified in the Transit Development Plan (TDP) such as the Burke and Lorton VRE lots to Tysons Corner as well as Springfield to Tysons Corner and 6 months of Beltway HOT Lanes service.

- ◆ **Bus Operations Contract** **\$1,321,206**
An increase of \$1,321,206 is necessary to support the contractually obligated increase of 2.4 percent in the bus operations contract.

Fund 100 County Transit Systems

- ◆ **Other CONNECTOR Costs of Operations** **\$994,455**
 An increase of \$638,993 is necessary to support anticipated increases in insurance, fuel for new bus service hours, and the continued phasing in of the use of Diesel Exhaust Fluid (DEF). An additional \$355,462 is included for increased Department of Vehicle Services (DVS) charges based on anticipated charges for fuel-related costs.
- ◆ **Virginia Railway Express (VRE) Local Jurisdiction Subsidy Increase** **\$250,458**
 An increase of \$250,458 is included to fund Fairfax County's estimated share of VRE expenses. The FY 2013 VRE subsidy total of \$5,157,151 reflects an increase of 5.1 percent over the FY 2012 Revised Budget Plan total of \$4,906,693.
- ◆ **Bus Purchases** **(\$5,225,000)**
 Funding of \$7,275,000 is included in FY 2013 for the purchase of 15 buses to be used in the implementation of new bus services associated with Dulles Rail Phase I. This amount is more than offset by a decrease of \$12,500,000 in one-time FY 2012 funding used to purchase of 25 new buses for HOT Lanes services anticipated to begin in January 2013.
- ◆ **Reduced Expenditure Requirements** **(\$1,727,212)**
 A reduction of \$1,085,900 in expenditures is associated with \$585,900 in decreased funding for support contracts at West Ox and \$500,000 in decreased funding for reimbursements of engine and transmission replacement as originally budgeted levels were higher than required. In addition, a decrease of \$641,312 reflects a small reduction in baseline hours between the amount budgeted in FY 2012 and the base amount projected for FY 2013. The FY 2012 budget was based on an estimated amount of service hours; however, actual experience in FY 2011 showed that the base amount for building the FY 2013 budget should be slightly reduced.
- ◆ **WMATA Facility and Service Costs at West Ox** **(\$309,041)**
 A decrease of \$309,041 in expenditures and associated WMATA reimbursements is based on actual WMATA operational requirements at the West Ox Bus Operations Center, as demonstrated by experience in WMATA's first year of operations at that site (FY 2010). Under the Joint Use Agreement, WMATA pays its share of on-going operating and maintenance costs to the County.
- ◆ **Reductions** **(\$698,963)**
 A decrease of \$698,963 reflects the following reduction utilized to balance the FY 2013 budget:

Title	Impact	Posn	SYE	Reduction
Increase Fairfax Connector Fare	In lieu of service reductions, the Fairfax Connector Bus System proposes an increase in bus fares to mirror those projected for WMATA in FY 2013. The estimated 7 percent fare increase results in a decrease of \$1,510,245 to the General Fund Transfer. Of this amount, \$698,963 is reflected in this table as contractor credits (or expenditure savings) for cash receipts received by the County's bus contractor, while the remaining \$811,282 reflects increased SmarTrip revenue.	0	0.0	\$698,963

Fund 100

County Transit Systems

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

◆ **Carryover Adjustments** **\$25,324,745**

As part of the FY 2011 Carryover Review, the Board of Supervisors approved \$25,324,745, including \$19,995,417 in encumbered carryover primarily associated with previously approved Northern Virginia Transportation Commission (NVTC) projects and services, unencumbered carryover of \$3,829,328, and \$1,500,000 to appropriate NVTC revenues for a countywide transit network study was to address long range planning requirements associated with the need for a countywide high quality interconnected transit network. Of this amount, \$3,295,102 is included for previously approved NVTC-supported items, for which NVTC revenues were received in FY 2011 and prior years. These items include \$2,500,000 for Advanced Public Transit Systems (APTS) design and implementation; \$295,102 to complete critical repairs to the Reston/Herndon Bus Operations Center; and \$500,000 to study and evaluate the current CONNECTOR bus operations contract model to identify potential cost efficient alternatives for the future for which a consultant is still being identified. The balance of \$534,226 in unencumbered carryover was based on new price requirements for replacement buses. The remaining \$1,500,000 reflects funding for the countywide transit network study noted above.

Cost Centers

There are two cost centers in Fund 100, County Transit Systems. The first represents the FAIRFAX CONNECTOR bus service, including three divisions, Huntington, Reston-Herndon, and the new West Ox division that began in summer 2009. The second cost center is focused on Commuter Rail, the Virginia Railway Express (VRE).

FAIRFAX CONNECTOR   

Key Performance Measures

Objectives

- ◆ To provide service to 10,591,812 Fairfax CONNECTOR passengers in FY 2013 an increase of 1.75 percent.
- ◆ To provide an exemplary transit bus system, which is cost effective and competitive in the Washington Metropolitan Region by providing 725,330 platform hours of service and 11,124,456 platform miles of service in FY 2013.

Fund 100 County Transit Systems

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Authorized fleet size	220	220	239 / 240	264	279
Routes served	58	63	67 / 72	66	69
Passengers transported	9,576,635	9,643,793	9,858,630 / 10,283,313	10,409,798	10,591,812
Platform hours provided	541,458	587,173	635,540 / 608,166	694,183	725,330
Platform miles provided	7,710,795	8,902,255	9,735,086 / 9,397,084	10,646,752	11,124,456
Revenue hours	475,870	531,378	579,661 / 560,652	633,300	661,715
Revenue miles generated	6,803,738	7,714,382	8,604,674 / 8,202,161	9,422,338	9,845,100
Efficiency:					
Passengers/revenue mile	1.41	1.25	1.15 / 1.25	1.06	1.07
Operating costs (1)	\$45,015,820	\$57,934,861	\$68,739,450 / \$59,341,007	\$76,800,262	\$79,952,563
Farebox revenue	\$7,979,136	\$9,379,386	\$10,156,500 / \$11,565,126	\$11,750,532	\$13,131,142
Operating subsidy	\$37,036,684	\$48,555,025	\$58,582,950 / \$47,775,881	\$65,049,730	\$66,821,421
Farebox revenue as a percent of operating costs	17.73%	16.19%	14.78% / 19.49%	15.30%	16.42%
Operating cost/passenger	\$4.70	\$6.01	\$6.97 / \$5.77	\$7.70	\$7.55
Operating subsidy/passenger	\$3.87	\$5.03	\$5.94 / \$4.65	\$6.52	\$6.31
Operating cost/platform hour	\$83.14	\$98.67	\$108.16 / \$97.57	\$110.63	\$110.23
Operating cost/platform mile	\$5.84	\$6.51	\$7.06 / \$6.31	\$7.21	\$7.19
Service Quality:					
Complaints per 100,000 passengers	8	7	7 / 4	7	7
Outcome:					
Percent change in FAIRFAX CONNECTOR passengers	(2.38%)	0.70%	2.23% / 6.63%	1.23%	1.75%
Percent change in service provided for platform hours	(0.88%)	8.44%	8.24% / 3.58%	14.14%	4.50%
Percent change in service provided for platform miles	(4.96%)	15.45%	9.36% / 5.56%	13.30%	4.50%

(1) Excludes WMATA bus services operated from West Ox Bus Operations Center. The FY 2012 figure also excludes the expenditure credit related to cash bus fare revenue collected by the contractor.

Fund 100

County Transit Systems

Performance Measurement Results

CONNECTOR ridership in both FY 2009 and FY 2010 was impacted by the economic downturn, resulting in fewer working commuters. However, FY 2011 ridership levels did show a marginal increase over the prior year actuals, despite FY 2010 route eliminations and service reductions to meet targeted General Fund reductions. Some of this ridership growth was attributable to new ridership associated with the opening of a third division at the West Ox Bus Operations Center. In FY 2011 and FY 2012, the expansion of critical high priority routes (supported by commercial real estate tax revenue), the creation of a new route between Tysons and Dulles Airport, and General Fund supported transit expansions associated with BRAC (Fort Belvoir growth) are anticipated to result in increased ridership systemwide.

The Efficiency indicator charted above for “passengers per revenue mile” reflects the changing annual composition of CONNECTOR routes. New routes and service hours implemented in FY 2010 and following years in part served the transit needs in the western part of the County, where there are fewer passengers per revenue mile because there are more miles to travel between the western County passenger boarding points and transit center/Metro station destinations. The FAIRFAX CONNECTOR will continue to evaluate all FY 2013 routes to maximize ridership while at the same time achieving the best alignment of service to balance commuter needs during rush hours and the needs of riders who depend on bus service at other hours as their only means of transportation.

Commuter Rail

Key Performance Measures

Objectives

- ◆ To provide a reliable alternative mode of transportation to Fairfax County residents utilizing the Virginia Railway Express (VRE).

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Annual Fairfax County VRE subsidy (\$ in millions)	\$5.51	\$5.00	\$4.91 / \$4.91	\$4.91	\$4.91
Daily trains operated	30	30	30 / 30	30	30
Stations maintained in Fairfax County	5	5	5 / 5	5	5
Parking spaces provided in Fairfax County	2,955	2,955	2,955 / 2,955	2,955	2,955
Daily A.M. boardings at Fairfax County stations	1,605	1,746	1,798 / 1,952	1,852	1,852
Estimated annual boardings / alightings at Fairfax County stations	786,450	855,540	881,020 / 1,030,656	1,061,576	1,061,576
Efficiency:					
Cost per County VRE trip	\$7.00	\$5.84	\$5.57 / \$4.76	\$4.59	\$4.59
Outcome:					
Percent change in VRE passengers boarding at stations in Fairfax County	(15.3%)	8.8%	3.0% / 20.5%	3.0%	3.0%

Fund 100

County Transit Systems

Performance Measurement Results

VRE annual ridership continues to increase. FY 2013 ridership is projected to rise another 3 percent over the current year, following ridership growth of nearly 9 percent in FY 2010 and 21 percent in FY 2011. Ridership growth is fueled by a number of factors, including an increased FY 2010 federal government subsidy for commuter fares, VRE operational efficiencies such as new rail cars and extended platforms, and more conveniently located maintenance yards where trains can be parked midday (thus reducing the operating costs of running trains far away to a distant maintenance yard for parking). The previous FY 2009 dip in ridership appears to have been a temporary event, resulting in part from the elimination of County EZ bus service transporting passengers from temporary satellite lots to the Burke VRE Station while the new Burke VRE garage was being constructed. Once the garage was operational, commuters adjusted their parking pattern to using the new garage, and FY 2010 ridership began to grow once again. Operational efficiencies and increased ridership have had a positive impact on the County VRE subsidy and subsequent cost per County VRE trip. The cost per trip for a County VRE user is projected to decrease in FY 2012 to \$4.59 per trip from the FY 2011 actual level of \$4.76 per trip.

VRE continues to implement a number of operational and capital efforts to address on-time performance issues. These efforts are anticipated to have a positive impact on present and future ridership in the system. However, it should be noted that effective FY 2011 the methodology for determining boarding's per station has been adjusted. While the growth factors discussed above are the primary growth drivers, this methodology change is also partially responsible for the large increase in VRE passengers between FY 2010 and FY 2011.

Fund 100 County Transit Systems

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 100, County Transit Systems

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$23,678,258	\$981,250	\$29,752,106	\$4,946,111	\$4,946,111
Revenue:					
Miscellaneous Revenue ¹	\$693,565	\$162,778	\$162,778	\$162,778	\$162,778
SmarTrip Revenue ²	11,765,020	6,179,464	6,179,464	7,492,078	7,492,078
Tyson's Lunch Shuttle					
Reimbursement ³	655,503	0	0	0	0
Bus Advertising	7,417	200,000	200,000	200,000	200,000
Bus Shelter Program ⁴	125,000	0	0	0	0
WMATA Reimbursements, West Ox					
Bus Operations Center ⁵	2,341,855	3,644,502	3,644,502	3,335,461	3,335,461
State Aid (NVTC) Operations ⁶	15,701,878	18,201,878	18,201,878	18,201,878	18,201,878
State Aid (NVTC) Projects ^{7,8}	2,500,000	12,500,000	14,000,000	0	0
Total Revenue	\$33,790,238	\$40,888,622	\$42,388,622	\$29,392,195	\$29,392,195
Transfers In:					
General Fund (001) ⁹	\$31,992,047	\$34,455,482	\$34,455,482	\$36,547,739	\$36,547,739
County and Regional					
Transportation ¹⁰	10,867,614	19,459,472	19,459,472	26,701,986	26,701,986
Metro Operations & Construction (309)	2,070,620	2,215,563	2,215,563	2,304,186	2,304,186
Total Transfers In	\$44,930,281	\$56,130,517	\$56,130,517	\$65,553,911	\$65,553,911
Total Available	\$102,398,777	\$98,000,389	\$128,271,245	\$99,892,217	\$99,892,217
Expenditures:					
FAIRFAX CONNECTOR					
Huntington Division					
Operating Expenses	\$30,008,046	\$32,969,762	\$45,003,910	\$31,253,599	\$31,253,599
Capital Equipment ⁸	5,437,431	13,220,000	13,910,415	9,700,000	9,700,000
Subtotal - Huntington Division	\$35,445,477	\$46,189,762	\$58,914,325	\$40,953,599	\$40,953,599
Reston-Herndon Division					
Operating Expenses	\$20,977,949	\$23,468,981	\$31,336,219	\$23,798,139	\$23,798,139
Capital Equipment	117,670	3,000,000	3,234,284	0	0
Capital Projects	455,843	0	295,102	0	0
Subtotal - Reston-Herndon	\$21,551,462	\$26,468,981	\$34,865,605	\$23,798,139	\$23,798,139
West Ox Division, County CONNECTOR					
Operating Expenses	\$8,355,013	\$14,790,451	\$17,464,063	\$19,261,910	\$19,261,910
Capital Equipment ⁸	46,171	2,000,000	2,029,946	7,275,000	7,275,000
Subtotal - West Ox Division, County	\$8,401,184	\$16,790,451	\$19,494,009	\$26,536,910	\$26,536,910
West Ox Division, WMATA ⁵	\$2,341,855	\$3,644,502	\$3,644,502	\$3,335,461	\$3,335,461
Subtotal - West Ox Division, County and WMATA	\$10,743,039	\$20,434,953	\$23,138,511	\$29,872,371	\$29,872,371
Total CONNECTOR Service	\$65,398,123	\$89,449,194	\$113,273,939	\$91,288,648	\$91,288,648
Total WMATA Service	\$2,341,855	\$3,644,502	\$3,644,502	\$3,335,461	\$3,335,461
Total Bus Services, CONNECTOR & WMATA	\$67,739,978	\$93,093,696	\$116,918,441	\$94,624,109	\$94,624,109

Fund 100 County Transit Systems

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 100, County Transit Systems

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Systemwide Projects ⁷	\$0	\$0	\$1,500,000	\$0	\$0
Commuter Rail ¹¹	4,906,693	4,906,693	4,906,693	5,157,151	5,157,151
Total Expenditures ²	\$72,646,671	\$98,000,389	\$123,325,134	\$99,781,260	\$99,781,260
Total Disbursements	\$72,646,671	\$98,000,389	\$123,325,134	\$99,781,260	\$99,781,260
Ending Balance¹²	\$29,752,106	\$0	\$4,946,111	\$110,957	\$110,957
Transportation-Related Requirements	\$981,250	\$0	\$4,821,111	\$0	\$0
Reserve for Bus Shelter Program ⁴	125,000	0	125,000	110,957	110,957
Unreserved Balance	\$28,645,856	\$0	\$0	\$0	\$0

¹ Miscellaneous revenue includes such items as reimbursement from the Washington Metropolitan Area Transit Authority (WMATA) for the value of WMATA tokens collected on FAIRFAX CONNECTOR routes, insurance recoveries, and miscellaneous developer contributions.

² Fare revenue is received either directly by the County as SmarTrip fare payments, or indirectly through the contractor, who credits cash receipts to the monthly bus operations contract bill. In FY 2013, SmarTrip fare revenue increases by \$1,312,614, with additional contractor expenditure credits for cash fares also anticipated. This fare revenue growth is projected as a result of a fare increase, ridership experience and planned service expansions.

³ In November 2009, the County initiated the Tysons Lunch Shuttle, as part of a collaborative effort with the state to minimize midday traffic in the Tysons area during the construction of Dulles rail. The State has provided reimbursements for this service through the Virginia Megaprojects Transportation Management Plan. This service was discontinued on December 31, 2010 due to limited consumer use.

⁴ The Bus Shelter Program was established in FY 2011 as a public-private partnership to provide for bus shelter construction and maintenance. This revenue is held in reserve for unanticipated County maintenance expenditures related to this program in the event the developer defaults on the Bus Advertising Contract.

⁵ WMATA reimburses the County for its share of space at the West Ox Bus Operations Center, a joint use facility for WMATA and the County CONNECTOR. WMATA initiated operations from this site in spring 2009. Both WMATA expenditures and the offsetting WMATA reimbursement are lowered in FY 2013 to more accurately reflect the actual experience to date.

⁶ State Aid for mass transit is disbursed to the Northern Virginia Transportation Commission (NVTC), where it is made available to the County. Beginning in FY 2009, State Aid operating support was first made available due to the addition to NVTC balances of recordation fees, state bonds for transit capital and the redirection of funds from closed out transit projects.

⁷ State Aid for Projects may support one time infrastructure or equipment requirements. As part of the *FY 2011 Carryover Review*, \$1.5 million was appropriated to Systemwide Projects in support of a countywide transit network study. This is a multimodal study that will include an evaluation of efforts underway by other entities and jurisdictions in the region.

⁸ FY 2013 capital equipment purchases total to \$16,975,000. Of this total, \$9.7 million is provided to allow for the replacement of 20 buses meeting age and mileage criteria in FY 2013. The remaining \$7.3 million is to allow for the purchase of 15 new buses in support of the Dulles Rail Phase I bus service. An 18 month lead time is required for the acquisition of these buses prior to the implementation of new service.

⁹ The FY 2013 General Fund transfer includes an increase of \$2,092,257 primarily supporting additional bus replacement and new bus purchase requirements.

¹⁰ A transfer from Fund 124, County and Regional Transportation Project, was implemented in FY 2010 to support the expansion of additional bus transit services and the opening of the new West Ox Bus Operations Center. The source of these funds is annual revenue available from the 11 cent commercial and industrial tax for transportation, as approved by the Board of Supervisors. The state Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this tax, which was first implemented in FY 2009.

¹¹ Fairfax County participates in the VRE Master Agreement, and provides an annual subsidy to Virginia Railway Express (VRE) operations and construction.

¹² The fund balance in Fund 100, County Transit Systems, fluctuates based on projected operating and capital equipment requirements. These costs change annually and a substantial percentage of unspent funding is carried forward each year, thus resulting in changes to the ending balance.

Fund 102

Federal/State Grant Fund

Mission

To provide reserves for unanticipated and anticipated grants awarded to Fairfax County from federal, state, and other funding sources. The reserves enable Fairfax County to accept grant funding to enhance services provided to the residents of Fairfax County.

Focus

In order to provide a comprehensive summary of grant awards to be received by the County in FY 2013, awards *already received* and awards *anticipated to be received* by the County for FY 2013 are included in the Fund 102, Federal/State Grant Fund budget. The total FY 2013 appropriation within Fund 102, Federal/State Grant Fund is \$86,811,968, an increase of \$18,993,754, or 28 percent, over the FY 2012 Adopted Budget Plan total of \$67,818,214.



As a result of the County's new integrated finance, budget, purchasing and human resources computer system, grant funding and associated positions from Fund 106, Fairfax-Falls Church Community Services Board was consolidated into Fund 102, Federal/State Grants Fund as part of the *FY 2011 Carryover Review*. The FY 2013 Anticipated table reflects this change.

In order to secure grant funding, the grantor often requires that a certain percentage of funds be matched from local funding sources. In FY 2013, the General Fund commitment for Local Cash Match totals \$4,627,729, an increase of \$376,877, or 8.9 percent, over the total FY 2012 anticipated need for Local Cash Match of \$4,250,852.

Prior appropriation of the anticipated grants will allow for grants to be handled in an expeditious manner when actual awards are received. As specific grants are awarded and approved, a supplemental appropriation of the required funds is made to the specific agency or agencies administering a grant. This increase in a specific agency grant appropriation is offset by a concurrent decrease in the grant reserve. The list of anticipated grants to be received in FY 2013 was developed based on prior awards and the most recent information available concerning future awards. A detailed list of grant programs by agency, including a description of the grant programs funded, the number of positions supported, the residents served, and the funding sources (federal/state grant funds, General Fund support, and other support) is included. In addition, an amount of \$5,075,000 is included as part of the reserve to allow for grant awards that cannot be anticipated.

The current County policy for grant application and award is based on certain pre-established criteria. If a grant is \$100,000 or less, with a required Local Cash Match of \$25,000 or less, with no significant policy implications, and if the grantor does not require Board of Supervisors' approval, the agency can work directly with the Department of Management and Budget to receive the award and reallocate funding from the anticipated/unanticipated reserve directly to the agency. Please note it is anticipated that this policy will be reviewed and updated based on the requirements of the new computer system. If an award exceeds these limitations but was listed in the Anticipated Grant Awards table in the Adopted Budget for the current fiscal year, the Board of Supervisors' approval is not required unless the actual funding received differs significantly from the projected funding listed in the budget. For any grant that does not meet all of the specified criteria, the agency must obtain Board of Supervisors' approval in order to apply for or accept the grant award.

Fund 102 Federal/State Grant Fund

Funding in Reserve within Fund 102

An amount of \$86,811,968 is included in FY 2013 as a reserve for grant awards. Grant awards are principally funded by two general sources – federal/state grant funding and Local Cash Match. The FY 2013 reserves for each of these sources are estimated for anticipated grant awards and for unanticipated grant awards. The Reserve for Grant Funding and the Reserve for Local Cash Match are shown on the fund statement as both estimated revenue and estimated expenditures.

In FY 2013, the Reserve for Grant funding is \$82,184,239, including the Reserve for Anticipated Grant Funding of \$77,184,239 and the Reserve for Unanticipated Grant Funding of \$5,000,000. This reflects an increase of \$18,616,877, or 29.3 percent, over the FY 2012 Adopted Budget Plan Reserve for Grant Funding of \$63,567,362. This increase is primarily attributable to the transition of grants from Fund 106, Fairfax-Falls Church Community Services Board, into Fund 102, Federal/State Grant Fund as a result of implementation of the County's new integrated finance, budget, purchasing and human resources computer system. In addition, the increase is attributable to increases in estimated funding for grants in the Department of Transportation, Department of Family Services, Health Department, Office to Prevent and End Homelessness, Department of Neighborhood and Community Services, General District Court, Police Department, Fire and Rescue Department and the Office of Emergency Preparedness.

In FY 2013, the Reserve for Local Cash Match is \$4,627,729 including the Reserve for Anticipated Local Cash Match of \$4,552,729 and the Reserve for Unanticipated Local Cash Match of \$75,000. This reflects an increase of \$376,877, or 8.9 percent, over the FY 2012 Adopted Budget Plan Reserve for Local Cash Match of \$4,250,852. This increase in Local Cash Match requirements is due to an increase in requirements for the Department of Family Services, the Department of Neighborhood and Community Services and the Police Department. The Reserve for Local Cash Match is a projection of the County contributions required for anticipated and unanticipated grant awards. The anticipated Local Cash Match required by agencies is as follows:

AGENCY	FY 2013 ADOPTED LOCAL CASH MATCH
Department of Transportation	\$140,000
Department of Family Services	\$3,700,401
Office to Prevent and End Homelessness	\$500,837
Department of Neighborhood and Community Services	\$122,073
Juvenile and Domestic Relations District Court	\$9,089
Police Department	\$55,247
Fire and Rescue Department	\$25,082
Reserve for Unanticipated Grant Awards	\$75,000
Total	\$4,627,729

Fund 102 Federal/State Grant Fund

The following table provides funding levels for the FY 2013 Adopted Budget Plan for the fund including the awards in the reserves for anticipated and unanticipated awards. Actual grant awards received in FY 2013 may differ from the attached list.

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Office of Human Rights and Equity Programs					
U.S. Equal Employment Opportunity Commission Contract (39005G)	2/2.0	\$84,600	\$0	\$84,600	\$0
The U.S. Equal Employment Opportunity Commission (EEOC) program is the result of a contractual agreement reached between the Fairfax County Office of Human Rights and the Federal EEOC. This agreement requires the Office of Human Rights to investigate complaints of employment discrimination in Fairfax County. Any individual who applies for employment or is employed in Fairfax County is eligible to use these services.					
HUD Fair Housing Complaints Grant (39006G)	3/3.0	\$60,000	\$0	\$60,000	\$0
The U.S. Department of Housing and Urban Development (HUD) provides funding to assist the Fairfax County Office of Human Rights and Equity Programs, Human Rights Division, with its education and outreach program on fair housing and to enforce compliance (includes investigating complaints of illegal housing discrimination in Fairfax County) with the County's Fair Housing Act.					
TOTAL-OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS	5/5.0	\$144,600	\$0	\$144,600	\$0
Department of Transportation					
Marketing and Ridesharing Program (40001G)	7/7.0	\$700,000	\$140,000	\$560,000	\$0
The Virginia Department of Transportation Marketing and Ridesharing grant encourages commuters to rideshare, assists commuters in their ridesharing efforts, and promotes the use of Fairfax County bus and rail services. Any County resident or any non-County resident working in Fairfax County may use this program. A 20 percent Local Cash Match is required.					
Employer Outreach Program (40013G)	2/2.0	\$210,530	\$0	\$210,530	\$0
Funding provided by the Virginia Department of Transportation for the Employer Outreach Program is used to decrease air pollution by promoting alternative commuting modes. Transportation Demand Management programs, customized for each participant employment site, are implemented in partnership between the employer and the County.					
CMAQ Countywide Transit Stores (40017G)	0/ 0.0	\$500,000	\$0	\$500,000	\$0
Transportation Efficiency Improvement funds provided by the Virginia Department of Rail and Public Transportation for the Springfield Mall Transit Store are used to provide an Information Center at the Springfield Mall. The Information Center provides information regarding the status of the interchange project and answers to residents' questions about the project.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Base Realignment and Closure Act (BRAC) (40021G)	4/4.0	\$1,600,000	\$0	\$1,600,000	\$0
Funding from the Office of Economic Adjustment, Department of Defense, will continue to be used to undertake studies of transportation, land use and public facilities impacts associated with the 2005 BRAC actions at Fort Belvoir. Funding for the required Local Cash Match of 10 percent will be met using In-kind match. It should be noted that 1/1.0 SYE position supporting this grant is located in Agency 35 (Department of Planning and Zoning) and that 3/3.0 SYE positions supporting this grant are located in Agency 40 (Department of Transportation).					
Transportation Projects (TBD)	0/0.0	\$2,500,000	\$0	\$2,000,000	\$500,000
The Department of Transportation receives funding for transportation projects from various sources, including the Congestion Mitigation Air Quality (CMAQ) program, Regional Surface Transportation Program (RSTP), Job Access/Reverse Commute (JARC) program, and Federal Appropriations. Due to appropriation cycle of the federal government, it is unknown specifically how much Fairfax County will receive in FY 2013; however, it is anticipated that the County will receive at least \$2,500,000, including \$500,000 in Local Cash Match. Please note, funding in Fund 124, County and Regional Transportation Projects, will be used to meet the Local Cash Match requirements. The Department of Transportation will formally notify the Board of Supervisors and obtain the Board's concurrence prior to spending these funds.					
TOTAL – DEPARTMENT OF TRANSPORTATION	13/13.0	\$5,510,530	\$140,000	\$4,870,530	\$500,000
Department of Family Services					
Sexual Assault Treatment and Prevention (67202G)	3/1.5	\$101,054	\$0	\$101,054	\$0
The Department of Criminal Justice Services provides funding through federal Victims of Crime Act (VOCA) monies to provide trauma recovery treatment for victims of sexual assault, and outreach to community groups and service providers to expand their knowledge of sexual violence issues and available services within the community.					
V-Stop (67203G)	1/0.5	\$29,457	\$0	\$29,457	\$0
The Department of Criminal Justice Services provides funding through federal Violence Against Women Act (VAWA) monies to provide one part-time volunteer coordinator for the Victim Assistance Network (VAN). Volunteers are then trained to staff VAN's 24-hour hotline for sexual and domestic violence calls, facilitate domestic violence and sexual assault supports groups, provide community education and assist with office duties. It should be noted that this grant (formerly 75053G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services.					
Domestic Violence Crisis (67204G)	0/0.0	\$30,000	\$0	\$30,000	\$0
The Virginia Department of Social Services provides funding to assist victims of domestic violence and their families who are in crisis. The grant supports one apartment unit at the Women's Shelter, as well as basic necessities such as groceries and utilities.					
Workforce Investment Act (WIA)					
Fairfax County receives funding from the U.S. Department of Labor for the Workforce Investment Act (WIA) of 1998. WIA is a work-first approach to employment and training for adults, youth and dislocated workers. Funding in the following programs is anticipated.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
WIA Adult Program (67300G)	12/12.0	\$889,500	\$0	\$889,500	\$0
The WIA Adult Program focuses on meeting needs of businesses for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area, and other services for dislocated workers.					
WIA Youth Program (67302G)	6/6.0	\$967,565	\$0	\$967,565	\$0
The WIA Youth Program focuses on preparation for post-secondary educational opportunities or employment by linking academic and occupational learning. Programs include tutoring, study skills training and instruction leading to completion of secondary school, alternative school services, mentoring by adults, paid and unpaid work experience, occupational skills training, leadership development, support services and other services for disadvantaged youth ages 14 to 21.					
WIA Dislocated Worker Program (67304G)	14/14.0	\$1,598,944	\$0	\$1,598,944	\$0
The WIA Dislocated Worker Program focuses on meeting the business needs for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop Centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area and other services for dislocated workers.					
Subtotal--WIA	32/32.0	\$3,456,009	\$0	\$3,456,009	\$0
Virginia Serious and Violent Offender Re-Entry (VASAVOR) (67321G)	1/1.0	\$123,760	\$0	\$123,760	\$0
The Virginia Serious and Violent Offender Re-Entry (VASAVOR) program provides services to ex-offenders recently released from prison. Services include job skills training, education, career assessment, employment counseling and job seeking skills.					
Fairfax Bridges to Success (67325G)	2/2.0	\$229,447	\$0	\$229,447	\$0
The U.S. Department of Health and Human Services provides this funding through the Virginia Department of Social Services to facilitate successful employment and movement toward self-sufficiency for Temporary Assistance for Needy Families (TANF) participants who have disabilities. This program combines the former TANF Hard-to-Serve (67314G) and the TANF Job Retention/Wage Advancement (67318G) grants into a single award.					
Inova Health System (67329G)	13/13.0	\$907,066	\$0	\$0	\$907,066
Funding under the Inova Health Systems grant covers the personnel costs of grant eligibility workers stationed at the Inova Fairfax and Inova Mount Vernon hospitals for the purposes of identifying, accepting and processing applications for financial/medical assistance of County residents who are at the time hospitalized. Inova reimburses Fairfax County for 100 percent of all personnel services costs (salary and County benefits) on a monthly basis for the positions.					
Base Realignment and Closure (67331G)	0/0.0	\$909,520	\$0	\$909,520	\$0
The Department of Labor provides this grant to Fairfax County to assist workers who are impacted by the closure of military bases in Northern Virginia. Funding for this grant is used to pay for training expenses of eligible dislocated workers.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Jail Pre-Release Center (67332G)	0/0.0	\$9,934	\$0	\$9,934	\$0
The Department of Justice provides this grant to Fairfax County to provide training for those who are currently in prison to prepare them to enter the work force after leaving prison.					
Volunteer Income Tax Assistance (VITA) (67334G)	0/0.0	\$73,477	\$0	\$73,477	\$0
The Internal Revenue Service provides funding directly to Fairfax County to provide free tax preparation services for the underserved low income population, which includes the elderly, disabled, limited English proficient, non-urban and Native American taxpayers.					
Northern Virginia Growing America Through Entrepreneurship (GATE) (67336G)	0/0.0	\$67,500	\$0	\$67,500	\$0
The U.S. Department of Labor provides funding to help dislocated workers over the age of 45 who are starting and expanding their own small businesses in Northern Virginia.					
Educating Youth through Employment (EYE) Program (67338G)	0/0.0	\$72,494	\$0	\$72,494	\$0
The U.S. Department of Labor provides funding for a summer initiative that recruits, screens and matches youth ages 16 to 21 with professional opportunities in the private sector and other area businesses. Participants are required to attend intensive training workshops before and during their summer work experiences.					
MegaJob Fair (67339G)	0/0.0	\$15,000	\$0	\$0	\$15,000
This funding from the Skill Source Group supports the annual MegaJob Fair and Career Expo, which invites public and private employers to the Fairfax County Government Center to provide information to job seekers about potential employment opportunities.					
<i>Fairfax Area Agency on Aging</i>					
The Department of Family Services administers Aging Grants which includes federal funds granted to localities under the Older Americans Act and state funds from the Virginia Department for the Aging. With additional support from the County, these funds provide community-based services such as case management/consultation services, legal assistance, insurance counseling, transportation, information and referral, volunteer home services, home delivered meals, nutritional supplements and congregate meals. In addition, the regional Northern Virginia Long-Term Care Ombudsman Program serves the jurisdictions of Alexandria, Arlington, Fairfax, Loudoun, and Prince William.					
Community Based Services (67460G)	8/8.0	\$800,613	\$0	\$748,549	\$52,064
Community-Based Services provides services to adults age 60 and older to enable them to live as independently as possible in the community. This includes assisted transportation, information and referral, telephone reassurance, volunteer home services, insurance counseling, and other related services.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Long Term Care Ombudsman (67461G)	6/6.0	\$739,453	\$534,833	\$84,417	\$120,203
The Long Term Care Ombudsman Program, serving the City of Alexandria and the counties of Arlington, Fairfax, Loudoun and Prince William, improves quality of life for the more than 10,000 residents in 110 nursing and assisted living facilities by educating residents and care providers about patient rights and by resolving complaints against nursing and assisted living facilities, as well as home care agencies, through counseling, mediation and investigation. More than 60 trained volunteers are part of this program. The program also provides information about long-term care providers and educates the community about long-term care issues.					
Homemaker/Fee for Service (67462G)	0/0.0	\$243,007	\$0	\$243,007	\$0
Fee for Service provides home-based care to adults age 60 and older to enable them to remain in their homes rather than in more restrictive settings. Services are primarily targeted toward those older adults who are frail, isolated, of a minority group, or in economic need.					
Congregate Meals Program (67463G)	0/0.0	\$1,808,816	\$1,060,969	\$533,721	\$214,126
The Congregate Meals program provides one meal a day, five days a week that meets one third of the dietary reference intake for older adults. Congregate Meals are provided in 29 congregated meal sites around the County including the County's senior and adult day health centers, several private senior centers and other sites serving older adults such as the Alzheimer's Family Day Center. Congregate Meals are also provided to residents of the five County senior housing complexes.					
Home Delivered Meals (67464G)	0/0.0	\$1,177,033	\$89,976	\$906,695	\$180,362
Funding supports the Home-Delivered Meal program and the Nutritional Supplement program. Home-Delivered Meals provides meals to frail, homebound, low-income residents age 60 and older who cannot prepare their own meals. Meals are delivered through partnerships with 22 community volunteer organizations that drive 49 delivery routes. The Nutritional Supplement program targets low-income and minority individuals who are unable to consume sufficient calories from solid food due to chronic disabling conditions, dementia, or terminal illnesses.					
Care Coordination (67465G)	8/8.0	\$724,847	\$446,658	\$278,189	\$0
Care Coordination Services are provided to elderly persons at risk of institutionalization who have deficiencies in two or more activities of daily living through the DFS "Adult Care Network" Program. Care Coordination Services include intake, assessment, plan of care development, implementation of the plan of care, service monitoring, follow-up and reassessment.					
Family Caregiver (67466G)	1/1.0	\$373,478	\$165,891	\$201,587	\$6,000
Caregiver Support provides education and support services to caregivers of persons 60 and older, or older adults caring for grandchildren. Services include scholarships for respite care, gap-filling respite and bathing services, assisted transportation (which is also reflected in Community-Based Services), assistance paying for supplies and services, and other activities that contribute to the well-being of senior adults and help to relieve caregiver stress.					
Subtotal – Fairfax Area Agency on Aging	23/23.0	\$5,867,247	\$2,298,327	\$2,996,165	\$572,755

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Independent Living Initiatives Grant Program (67500G)	0/0.0	\$71,411	\$0	\$71,411	\$0
The U.S. Department of Health and Human Services Independent Living Initiatives Grant Program, administered through the Virginia Department of Social Services, provides comprehensive services for older youth in foster care to develop skills necessary to live productive, self-sufficient and responsible adult lives. The program directly serves youth in foster care through the age of 20.					
Foster and Adoptive Parent Training Grant (67501G)	0/0.0	\$276,267	\$176,858	\$99,409	\$0
The Virginia Department of Social Services Foster and Adoptive Parent Training Grant provides for: the enhancement of community education regarding foster care and adoption; pre-service training, in-service training, and in-home support of agency-approved foster and adoptive parents and volunteers; training for child welfare staff; and employee educational stipends. A 64 percent Local Cash Match is required.					
Promoting Safe and Stable Families (67516G)	9/8.5	\$578,788	\$89,712	\$489,076	\$0
These Virginia Department of Social Services funds are used to develop, expand, and deliver family preservation and family support services. Required Local Cash Match for this program is 15.5 percent.					
USDA Child and Adult Care Food Program (67600G)	9/8.0	\$3,946,015	\$0	\$3,946,015	\$0
The U.S. Department of Agriculture (USDA) Child and Adult Care Food Program provides partial reimbursement for snacks served to children in family day care homes. Funds also provide for nutrition training, monitoring, and technical assistance. The program serves children from ages infant to age 12 in approved day care homes.					
USDA SACC Snacks (67601G)	0/0.0	\$300,000	\$0	\$300,000	\$0
The U.S. Department of Agriculture (USDA) provides partial reimbursement for snacks served to children in the School-Age Child Care program. The program serves school-age children, grades K-6.					
<i>U.S. Department of Health and Human Services Head Start Programs</i>					
Head Start is a national child development program that serves income eligible families with very young children. Families served by Head Start grants receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. The overall match requirements for Head Start grants are 20 percent. In addition to Local Cash Match, the agency uses in-kind services to meet this required match total.					
Head Start Federal Program Grant (67602G)	32/31.5	\$5,051,148	\$659,106	\$4,392,042	\$0
Head Start is a national child development program that serves income-eligible families with children 3 to 5 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 434 children.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Early Head Start (67610G)	27/27.0	\$3,973,291	\$360,680	\$3,612,611	\$0
<p>The Early Head Start program is a national child development program that serves income eligible families with children 0 to 3 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 252 children 0 to 3 years of age, as well as pregnant mothers. Please note that this grant includes funds that were awarded to the County to serve an additional 40 children, families, and pregnant women through the American Reinvestment and Recovery Act of 2009 (ARRA).</p>					
Subtotal – Head Start Programs	59/58.5	\$9,024,439	\$1,019,786	\$8,004,653	\$0
USDA Greater Mount Vernon Head Start (67624G)	0/0.0	\$99,000	\$0	\$99,000	\$0
<p>The Virginia Department of Health, with federal pass-through funds from the U.S. Department of Agriculture (USDA), provides partial reimbursement for meals and snacks served to Head Start children in the Greater Mount Vernon Community Head Start program. Please note that this funding was previously reflected under grant 67602G (Head Start).</p>					
USDA Greater Mount Vernon Early Head Start (67625G)	0/0.0	\$25,000	\$0	\$25,000	\$0
<p>The Virginia Department of Health, with federal pass-through funds from the U.S. Department of Agriculture (USDA), provides partial reimbursement for meals and snacks served to Early Head Start children in the Greater Mount Vernon Community Head Start program. Please note that this funding was previously reflected under grant 67610G (Early Head Start).</p>					
Virginia Preschool Initiative (67604G)	5/5.0	\$3,745,000	\$100,000	\$3,645,000	\$0
<p>The Virginia Department of Education Preschool Initiative allows Fairfax County to serve approximately 969 at risk four-year-olds in a comprehensive preschool program in various settings throughout the County, including community pre-schools, family child care homes, and Fairfax County Public Schools. The Virginia Department of Education requires a Local Cash Match, which varies from year to year based on the state composite index. The anticipated state composite index for FY 2013 will require \$50,000 in Local Cash Match from the County, with the balance of required Local Cash Match provided by the Fairfax County Public Schools.</p>					
Virginia Infant and Toddler Specialist (ITS) Network (67619G)	3/2.5	\$193,234	\$0	\$193,234	\$0
<p>Funds are provided by Child Development Resources, Inc. to establish a Virginia Infant and Toddler Specialist Network office in the Northern 1 Region (encompassing Arlington County, Fairfax County, Loudoun County, City of Alexandria, City of Fairfax, and City of Falls Church) to provide training and professional development to child care centers and family child care providers to strengthen practices and enhance the healthy growth and development of infants and toddlers (birth to 36 months of age).</p>					
Child Care Quality Initiative Program (67621G)	1/1.0	\$101,406	\$15,718	\$85,688	\$0
<p>The Virginia Department of Social Services provides funds to develop, expand, and deliver family preservation and family support services to enhance the quality and supply of child care services in the community. This grant was formerly included in 67605G, Child Care Assistance and Referral. A 15.5 percent Local Cash Match is required.</p>					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Virginia Child Care Resource and Referral Network (VACCRRN) (67622G)	0/0.0	\$21,281	\$0	\$21,281	\$0
Funds for the Virginia Child Care Resource and Referral Network (VACCRRN), provided by the Virginia Department of Social Services, are used to enhance the quality of child care resources and referral activities. This grant was formerly included in 67605G, Child Care Assistance and Referral.					
Virginia Star Quality Initiative Program (67623G)	0/0.0	\$58,000	\$0	\$58,000	\$0
The Virginia Department of Social Services provides funds to support the implementation of a quality rating and improvement system for a select number of early childhood programs in Fairfax County. The initiative was formerly funded with ARRA funds under grant S6710G. No Local Cash Match is required.					
TOTAL - DEPARTMENT OF FAMILY SERVICES	161/156.5	\$30,331,806	\$3,700,401	\$25,136,584	\$1,494,821
Health Department					
Immunization Action Plan (71006G)	0/0.0	\$67,843	\$0	\$67,843	\$0
The U.S. Department of Health and Human Services Immunization Action Plan provides funding for outreach and education services regarding immunizations for children from low-income families within the community.					
Women, Infants, and Children (WIC) (71007G Detail 001)	49/49.0	\$3,521,321	\$0	\$3,521,321	\$0
The U.S. Department of Agriculture provides funding for the Women, Infants, and Children (WIC) Grant. This program provides food, nutrition education, and breastfeeding promotion for pregnant, postpartum, or breastfeeding women, infants, and children under age 5.					
WIC - Peer Counseling Program (71007G Detail 002)	0/0.0	\$370,632	\$0	\$370,632	\$0
The U.S. Department of Agriculture provides funding for the Women, Infants, and Children (WIC) Grant. This program provides enhancements to the continuity and consistency of WIC's breastfeeding promotion efforts by offering mother-to-mother breastfeeding support.					
<i>Women, Infants, and Children (WIC) Subtotal</i>	<i>49/49.0</i>	<i>\$3,959,796</i>	<i>\$0</i>	<i>\$3,959,796</i>	<i>\$0</i>
Perinatal Health Services (71010G)	4/4.0	\$269,444	\$0	\$269,444	\$0
The U.S. Department of Health and Human Services Perinatal Health Services Grant provides nutrition counseling for low-income pregnant women to reduce the incidence of low birth weight in Fairfax County.					
Tuberculosis Grant (71014G)	2/2.0	\$168,000	\$0	\$168,000	\$0
The Centers for Disease Control and Prevention Tuberculosis Control Program, administered by the Virginia Department of Health, Tuberculosis Control Division, provides funding to coordinate tuberculosis case investigation, case management, and reporting activity for Fairfax County. These efforts include timely reporting of newly diagnosed cases, monitoring the follow-up of tuberculosis suspects to ensure timely diagnosis and treatment, and assisting nursing staff with investigation of contact with active cases of tuberculosis in the County.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
PHEP&R (Public Health Emergency Preparedness & Response) for Bioterrorism (71025G)	2/2.0	\$199,924	\$0	\$199,924	\$0
<p>For the Public Health Emergency Preparedness and Response (PHEP&R) grants, the Centers for Disease Control and Prevention (CDC) provide funding for ongoing development of public health preparedness and response efforts through the Virginia Department of Health. The major goal is to have an emergency response plan that is coordinated with local agencies, hospitals, physicians, and laboratories in the County and the region.</p> <p>The Citizen's Readiness Initiative and Risk-Based Funds components of this grant fund the salary for a 1/1.0 SYE Emergency Planner grant position that serves the Fairfax/Falls Church Health District. For FY 2013, total projected funding for the Citizen Readiness Initiative and Risk-Based Fund components of the grant are \$35,000 and \$70,132, respectively (for a total of \$105,132)</p> <p>The CDC also provides funding for Focus Area B of the Bioterrorism Grant through the Virginia Department of Health to fund a district epidemiologist who will provide surveillance and investigation of general communicable diseases, disease outbreaks and other diseases of public health significance in Virginia. For FY 2013, total projected funding for the Focus Area B component of the grant is \$94,792 for 1/1.0 SYE grant position.</p>					
Medical Reserve Corp Capacity Building Grant - NACCHO	0/0.0	\$5,000	\$0	\$0	\$5,000
<p>The Health Department receives \$5,000 from the National Association of City and County Health Officials. These funds will be used to build the capacity of the Fairfax Medicaid Reserve Corp. unit specifically to support recruitment and outreach activities.</p>					
TOTAL - HEALTH DEPARTMENT	57/57.0	\$4,602,164	\$0	\$4,597,164	\$5,000
Office to Prevent and End Homelessness					
Community Housing and Resource Program - Award Three (73001G)	0/0.0	\$865,417	\$433,837	\$431,580	\$0
<p>The U.S. Department of Housing and Urban Development (HUD) Community Housing and Resource Program assists homeless families in making the transition from living in shelters to permanent housing. The program offers 36 transitional housing units and various supportive services.</p>					
RISE (Reaching Independence through Support and Education) Supportive Housing Grant (73002G)	0/0.0	\$520,346	\$67,000	\$453,346	\$0
<p>The U.S. Department of Housing and Urban Development (HUD) RISE Supportive Housing Grant is a renewable grant that provides 20 units of transitional housing. Funding also provides support services for families through a partnership of private nonprofit organizations and County agencies.</p>					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Housing Locators for Homeless Families Freddie Mac Foundation Grant (73003G)	0/0.0	\$150,000	\$0	\$0	\$150,000
The U.S. Department of Housing and Urban Development (HUD) RISE Supportive Housing Grant is a renewable grant that provides 20 units of transitional housing. Funding also provides support services for families through a partnership of private nonprofit organizations and County agencies.					
Emergency Solution Grant (73004G)	0/0.0	\$500,000	\$0	\$500,000	\$0
The U.S. Department of Housing and Urban Development (HUD) Emergency Solutions Grant (ESG) funding must be used for prevention activities. Elements of Homeless Prevention and Rapid Re-housing (HPRP) are incorporated. HUD allocates funding in two phases at different times of the fiscal year with approximately 65 percent of funds arriving early in the year, and 35 percent arriving in the latter part of the year.					
TOTAL - OFFICE TO PREVENT AND END HOMELESSNESS	0/0.0	\$2,035,763	\$500,837	\$1,384,926	\$150,000
Fairfax-Falls Church Community Services Board					
Homeless Assistance Program, PATH (75028G)	3/3.0	\$171,031	\$0	\$171,031	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services provides funding which is used to provide services to individuals who are homeless or at imminent risk of becoming homeless and who suffer from serious mental illness (SMI), or SMI and co-occurring substance use disorders (SUDs). The total funding is \$255,434 including an in-kind match of \$84,403 through Fund 106.					
IDEA Part C (75043G)	17/17.0	\$1,784,527	\$0	\$1,784,527	\$0
The Commonwealth of Virginia, Department of Behavioral Health & Developmental Services (DBHDS) provides funding for the early intervention of infants and toddlers ages birth to 3 years who need strategies to assist them in acquiring basic developmental skills such as sitting, crawling, walking and talking. The Infant and Toddler Connection (ITC) is a statewide program that provides federally-mandated early intervention services as outlined in Part C of the Individuals with Disabilities Education Act (IDEA). ITC provides services including: physical, occupational and speech therapy; developmental services; medical, health and nursing services; hearing and vision services; assistive technology (e.g., hearing aids, adapted toys and mobility aids); family training and counseling; service coordination; and transportation. A level of maintenance or in-kind match is required; however, there is no exact percentage, the County just needs to prove that there are County dollars supporting this effort, which is accomplished in Fund 106, Fairfax-Falls Church Community Services Board.					
Probation and Parole (75045G)	1/1.0	\$74,913	\$0	\$74,913	\$0
The Department of Corrections (DOC) funding is used to provide outpatient substance abuse services to persons on Probation through the DOC.					
High Intensity Drug Trafficking Area (HIDTA) (75049G)	3/3.0	\$400,000	\$0	\$400,000	\$0
Federal funding through a sub-award with the University of Maryland - Washington/Baltimore HIDTA. The funding is used to provide residential and day treatment medical detoxification services. The program seeks to reduce the incidence and prevalence of alcohol and drug abuse by providing prevention, treatment, and rehabilitation.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
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			GENERAL FUND	FEDERAL/ STATE	OTHER
Supportive Housing Program, Self-Sufficiency Through Housing & Treatment- HUD (75055G)	1/1.0	\$260,213	\$0	\$254,652	\$5,561
In addition to the grant position, one benefit eligible (status B) administrative position is paid with grant funds. Client Fees are collected to off-set costs that exceed the grant funding. Residential treatments services are purchased through a contract vendor and services are provided at the Steps to Recovery program, including leasing of 2 apartments. Match requirement are met by providing directly operated services at Crossroads to persons that meet the criteria of homelessness through the grant award.					
Jail & Offender Services Initiative, non-Competitive State Allocation (75058G)	3/3.0	\$185,857	\$0	\$185,857	\$0
Federal pass-through and State funding used to provide treatment services in the Adult Detention Center.					
VASAVOR - Going Home to Stay (75071G)	1/1.0	\$134,417	\$0	\$134,417	\$0
The Commonwealth of Virginia, Department of Correction provides funding which is used to provide focus, evidence-based mental health therapeutic interventions for supervised referred offenders who are being released from the Fairfax County Adult Detention Center or from a Department of Corrections Facility and assigned to Probation and Parole District 29 as part of the Virginia Serious and Violent Offender Reentry (VASAVOR) Initiative.					
Regional Acute Care (75072G)	5/5.0	\$2,349,705	\$0	\$2,349,705	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to Health Planning Region II for local inpatient purchase of service for consumers with risk issues who need inpatient treatment but cannot be admitted to the state psychiatric hospital due to capacity or complex clinical issues.					
Regional Discharge Assistance Project (75076G)	0/0.0	\$1,749,374	\$0	\$1,749,374	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to Health Planning Region II to provide discharge assistance purchase of service for consumers with serious mental illness who have not been able to leave a state hospital without funding for a specialized treatment program.					
Regional Crisis Stabilization (75077G)	16/16.0	\$1,842,321	\$0	\$1,842,321	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to Health Planning Region II to provide crisis stabilization services for both individuals in crisis who need a crisis stabilization program or individuals with intellectual disabilities and mental illness who are at risk of hospitalization.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
VFHY - Al's Pals (75081G)	0/0.0	\$180,000	\$0	\$180,000	\$0
<p>The Commonwealth of Virginia, Virginia Foundation for Healthy Youths (VFHY), provide funding of \$180,00 for three years at \$60,000 per year (July 2012 through June 2015) to implement the Al's Pals: Kids Making Healthy Choices Program. The VFHY (formerly known as Virginia Tobacco Settlement Foundation) was created in 1999 by the General Assembly to distribute monies from the Virginia Tobacco Settlement Fund to localities to provide tobacco use prevention programs for youth. The Al's Pals program is an early childhood prevention curriculum and teacher training program for children ages three to eight years old, which uses interactive lessons to develop children's pro-social skills, self-control, problem-solving abilities and an understanding that they are not to use tobacco, alcohol, and other drugs.</p>					
Regional Recovery Services (75082G)	0/0.0	\$622,392	\$0	\$622,392	\$0
<p>The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to Health Planning Region II to provide project-based services with and for consumers who are empowered in their recovery through participation in consumer-run services.</p>					
State Jail Diversion Y315 (75085G)	4/3.5	\$321,050	\$0	\$321,050	\$0
<p>The Commonwealth of Virginia Department of Behavioral Health and Developmental Services provides funding for supporting the jail diversion initiatives. This program consists of four main support areas: crisis intervention trained police officers; a drop-off assessment and triage center based at Woodburn; a jail-based forensic unit; and intensive case management services.</p>					
MH Initiative - State, SED Initiative (non-Mandated CSA HM) (75086G)	4/4.0	\$322,592	\$0	\$322,592	\$0
<p>The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to provide mental health services (utilizing the approved taxonomy as outlined on the ISP Summary form) to seriously emotionally disturbed children. Case management services are provided to children eligible under the State Plan who reside in a community setting, in gaining access to needed medical, social, educational and other services.</p>					
MH Juvenile Detention (75087G)	2/1.5	\$110,000	\$0	\$110,000	\$0
<p>The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to provide services to children and adolescents in juvenile detention centers receiving CSB services. Services include Emergency, Consumer Monitoring, Assessment and Evaluation, or Early Intervention Services.</p>					
MH Transformation, Forensic Discharge Planner (75088G)	1/1.0	\$70,000	\$0	\$70,000	\$0
<p>The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to provide pre-discharge planning for person being discharged from a State mental health facility. Discharge is the process by which the CSB documents the completion of a person's episode of care in a program area.</p>					
MH Law Reform Emergency Services & Comprehensive Treatment & Recovery (75089G)	6/5.5	\$530,387	\$0	\$530,387	\$0
<p>The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to provide provided mandatory outpatient treatment (MOT) services. Funding is used only for individuals for whom a judge or special justice has issued a mandatory outpatient treatment order and for whom the CSB has developed an initial mandatory outpatient treatment plan.</p>					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
MH Child & Adolescent Services - Children's Intensive Wrap-Around Services; Juvenile Detention Psychiatric Services (75090G)	1/1.0	\$75,000	\$0	\$75,000	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocation to provide intensive care coordination /wrap around services to court involved children and adolescents. Funding also provide additional psychiatric services for children and adolescents placed in juvenile detention centers.					
Regional ID Crisis Stabilization/START (75095G)	0/0.0	\$985,000	\$0	\$985,000	\$0
START (Systematic, Therapeutic, Assessment, Respite and Treatment) is a linkage model to promote a system of care in the provision of community services, natural supports and mental health treatment to people with intellectual and developmental disability and mental health issues. Services provided help divert individuals from unnecessary institutionalization and keep them in their communities and homes, and include: mobile crisis teams, alternative crisis community placements, and short-term crisis stabilization beds in small settings.					
TOTAL - FAIRFAX-FALLS CHURCH COMMUNITY SERVICES BOARD	68/66.5	\$12,168,779	\$0	\$12,163,218	\$5,561
Department of Neighborhood and Community Services					
Summer Lunch Program (79001G)	0/0.0	\$489,021	\$122,073	\$366,948	\$0
The U. S. Department of Agriculture (USDA) awarded funding to the Department of Neighborhood and Community Services to provide free lunches to all children 18 years of age or younger that attend eligible sites for Rec Pac/RECQuest or any other approved community location during the summer months. This program distributes nutritious lunches to children throughout the County and site participation is increased annually pursuant to request by the Board of Supervisors.					
Local Government Challenge Grant (79004G)	0/0.0	\$5,000	\$0	\$5,000	\$0
The Virginia Commission for the Arts Local Government Challenge Grant is awarded to jurisdictions that support local arts programs for improving the quality of the arts. The funding awarded to Fairfax County will be provided to the Arts Council of Fairfax County for distribution.					
Youth Smoking Prevention Program (79009G)	1/1.0	\$60,000	\$0	\$0	\$60,000
The Virginia Tobacco Settlement Foundation awards funding for a comprehensive tobacco, alcohol, and drug prevention program for teens. The program's goals include educating youth about tobacco products and addictions, including empowering them with life skills on resisting substance use by providing information on the social and health benefits for staying tobacco, alcohol, and drug free.					
Joey Pizzano Memorial Fund (79012G)	1/1.0	\$76,975	\$0	\$0	\$76,975
The Joey Pizzano Memorial Fund funds a swim and water safety program for school-aged children with disabilities that helps develop new leisure activities for beginning swimmers and enhance levels of more experienced swimmers.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Computer Sciences Corporation (CSC) - Computer Learning Center Partnership (CLCP) Grant (79022G)	0/0.0	\$50,000	\$0	\$0	\$50,000
The CSC Contribution/CLCP Grant Program funds the establishment of a three-year partnership with the Office of Public-Private Partnerships (OP3), DNCS, and CSC to provide funding, volunteer, and operational support to the Kingsley Commons Computer Learning Center Partnership. The program uses emerging technology to support the growth of communities and provides participants with access to high tech resources. The funding supports staffing, including a homework tutor, specialty software, and provision of technology mentors to share expertise in computer science technology.					
Community Transformation Grant (79028G)	3/3.0	\$499,559	\$0	\$499,559	\$0
The Community Transformation Grant from the U.S. Department of Health and Human Services, Centers for Disease Control and Prevention funds the creation of healthier communities by building capacity to implement broad evidence and practice-based policy, environmental, programmatic and infrastructure changes. The project will result in the development of a community-driven plan for the implementation of strategies designed to promote health and prevent chronic disease in the Fairfax community.					
TOTAL - DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES	5/5.0	\$1,180,555	\$122,073	\$871,507	\$186,975
Juvenile and Domestic Relations District Court					
Juvenile Accountability Incentive Block Grant (81013G)	0/0.0	\$90,893	\$9,089	\$81,804	\$0
Federal funding from the Office of Juvenile Justice and Delinquency Prevention through the Virginia Department of Criminal Justice Services is used to provide Juvenile Drug Treatment Court support, contract treatment services for juvenile offenders and skills training for probation staff. A 10 percent Local Cash Match is required.					
Opportunity Neighborhoods (81028G)	0/0.0	\$65,000	\$0	\$0	\$65,000
The Opportunity Neighborhood project, located in the Mount Vernon area of the county, is designed to develop a community of opportunity, centered around strong schools, that allow children to learn, grow, and succeed. The goal is to wrap children in high-quality, coordinated health, social, community, and educational supports from the cradle to college to career. The project is being undertaken collaboratively among FCPS (with support from corporate partner Capital One), the CSU, Fairfax County human services agencies, the Police Department and Center for the Study of Social Policy (CSSP). DCJS grant funds will support the project in two areas. First, CSSP consultants will guide specific portions of the planning for the development especially in the area of community engagement process that gives voice across all diverse populations. Second, a portion of the funds will be set aside to support testing creative approaches for services to youth involved in or on the risk-pathway to involvement in the juvenile justice system and their families.					
TOTAL - JUVENILE AND DOMESTIC RELATIONS COURT	0/0.0	\$155,893	\$9,089	\$81,804	\$65,000

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
General District Court					
Comprehensive Community Corrections Act (85006G)	9/8.8	\$731,069	\$0	\$731,069	\$0
<p>The Court Services Division of the General District Court provides pre-trial and post-trial supervision of defendants and offenders in the community as mandated by the Comprehensive Community Corrections Act (CCCA) Grant. This award from the Virginia Department of Criminal Justice Services will continue to support 9/8.8 SYE grant positions that provide pre-trial services, including supervision of staff in the Court Services Division and client services in the General District Court, and provide probation services in the General District Court and the Juvenile and Domestic Relations District Court.</p>					
Police Department					
Seized Funds (90002G)	0/0.0	\$1,248,237	\$0	\$1,248,237	\$0
<p>The Seized Funds Program provides additional funding for law enforcement activities under authority of the Comprehensive Crime Control Act of 1984 and the Anti-Drug Abuse Act of 1986. These funds are released by the Department of Justice from asset seizures stemming from illegal narcotics activity. This program serves residents of Fairfax County.</p>					
Victim Witness Assistance (90016G)	5/5.0	\$182,029	\$0	\$182,029	\$0
<p>The Virginia Department of Criminal Justice Services provides funding to support 5/5.0 SYE positions in the Victim Witness Unit who deliver critical services to victims and witnesses of criminal activity.</p>					
Someplace Safe (90025G)	1/1.0	\$52,993	\$13,248	\$39,745	\$0
<p>The Virginia Department of Criminal Justice Services provides funding for the Victim Witness Unit's "Someplace Safe" Program, which provides a police response to domestic violence cases in Fairfax County. The required Local Cash Match is 25 percent.</p>					
I-95/495 Patrol Augmentation (90027G)	0/0.0	\$294,000	\$0	\$294,000	\$0
<p>The Virginia Department of Transportation provides funding to support incident management operations and local neighborhood enforcement efforts in the areas adjacent to the High Occupancy Toll (HOT) Lanes project.</p>					
Bulletproof Vest Program (90031G)	0/0.0	\$83,998	\$41,999	\$41,999	\$0
<p>The U.S. Department of Justice, Bureau of Justice Assistance provides funding for the purchase of new or replacement ballistic vests for the protection of sworn law enforcement officers. One vest may be purchased per officer per year under the provisions of this program. A Local Cash Match of 50 percent is required.</p>					
DMV Traffic Safety Programs (90067G)	0/0.0	\$110,300	\$0	\$110,300	\$0
<p>The Virginia Department of Motor Vehicles (DMV) provides funding to support the cost of an information and enforcement program in Fairfax County. It should be noted that this grant reflects consolidation of the following seven separate grants: 90022G (Smooth Operator), 90039G (District Challenge), 90043G (Click It or Ticket), 90044G (Speed/Racing Abatement), 90060G (Operation Strikeforce), 90061G (Pedestrian Safety), and 90063G (Traffic Safety Training Programs).</p>					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Justice Assistance Grant (JAG) (90068G)	0/0.0	\$110,500	\$0	\$110,500	\$0
Formerly the Local Law Enforcement Block Grant program, the Justice Assistance Grant provides funding for equipment, technology, and other services designed to reduce crime and improve public safety in Fairfax County.					
VDOT - Dulles Metrorail Project (90074G)	0/0.0	\$475,000	\$0	\$475,000	\$0
The Virginia Department of Transportation provides funding to support incident management operations and local neighborhood enforcement efforts in the areas adjacent to the Dulles Metrorail construction project.					
TOTAL – POLICE DEPARTMENT	6/6.0	\$2,557,057	\$55,247	\$2,501,810	\$0
Fire and Rescue Department					
Virginia Department of Fire Programs (92001G)	10/9.0	\$2,392,950	\$0	\$2,392,950	\$0
The Fire Programs Fund award provides funding for: fire services training; constructing, improving and expanding regional fire service training facilities; public fire safety education; purchasing firefighting equipment or firefighting apparatus; or purchasing protective clothing and protective equipment for firefighting personnel. Program revenues may not be used to supplant County funding for these activities. The program serves residents of Fairfax County, as well as the towns of Clifton and Herndon.					
Four-for-Life (92004G)	0/0.0	\$935,733	\$0	\$935,733	\$0
The Virginia Department of Health, Division of Emergency Services Four-for-Life Program is funded from the \$4 fee included as part of the annual Virginia motor vehicle registration. Funds are set aside by the Commonwealth for local jurisdictions for emergency medical services purposes, including the training of Emergency Medical Services (EMS) personnel and the purchase of necessary equipment and supplies. Funds are allocated based on the vehicle registrations processed in each locality.					
Assistance to Firefighters Act Grant (92020G)	0/0.0	\$120,750	\$24,150	\$96,600	\$0
The primary goal of the Assistance to Firefighters Grants is to meet the firefighting and emergency response needs of fire departments and nonaffiliated emergency medical services organizations. Awards are made to local fire departments on a competitive basis. Eligible categories for a specific award period are determined by the Federal Emergency Management Agency (FEMA). Categories include training, wellness and fitness programs, vehicles, equipment, personal protective equipment, and fire prevention programs. Required Local Cash Match for this program is 20 percent.					
Virginia Fire Services Board Training Mini-Grant (92024G)	0/0.0	\$9,319	\$932	\$8,387	\$0
The Virginia Fire Services Board awards "Mini-Grants" from the interest earned by the Fire Programs Fund. Guidelines restrict grants to projects that will positively impact and/or further fire service training within the Commonwealth.					

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
FEMA Urban Search and Rescue (92100G Series) (92100G)	4/4.0	\$1,100,000	\$0	\$1,100,000	\$0
The responsibilities and procedures for national urban search and rescue activities under the Robert T. Stafford Disaster Relief Emergency Act are set forth in a cooperative agreement between the Federal Emergency Management Agency (FEMA) and the County. Funding is provided to enhance, support and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies.					
FEMA Urban Search and Rescue Activation (92200G Series) (92200G)	0/0.0	\$1,000,000	\$0	\$1,000,000	\$0
The responsibilities and procedures for national urban search and rescue activities provided by the Department's Urban Search and Rescue Team are identified in cooperative agreements with the Federal Emergency Management Agency (FEMA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to activations are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (VA-TF1).					
OFDA International Urban Search and Rescue (92300G Series) (92300G)	3/3.0	\$1,000,000	\$0	\$1,000,000	\$0
A cooperative agreement with the U.S. Agency for International Development (USAID), Office of Foreign Disaster Assistance (OFDA) exists to provide emergency urban search and rescue services internationally. Funding is provided to enhance, support, and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies. Year four of a five year agreement is anticipated to begin FY 2013. The total value of this agreement over the five-year grant period (exclusive of deployment costs) is anticipated to be in excess of \$5,000,000.					
OFDA International Urban Search and Rescue Activations (92400G Series) (92400G)	0/0.0	\$2,500,000	\$0	\$2,500,000	\$0
The responsibilities and procedures for international urban search and rescue activities provided by the Department's Urban Search and Rescue Team are identified in a cooperative agreement with the Office of Foreign Disaster Assistance (OFDA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to an activation are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (USAID SAR 1).					
TOTAL – FIRE AND RESCUE DEPARTMENT	17/16.0	\$9,058,752	\$25,082	\$9,033,670	\$0

Fund 102 Federal/State Grant Fund

FY 2013 ANTICIPATED GRANT AWARDS					
FY 2013 ANTICIPATED GRANT AWARDS	FY 2013 GRANT FUNDED POSITION/SYE	FY 2013 TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Emergency Preparedness					
Homeland Security Grant Programs (DHSFRP)	0/0.0	\$13,260,000	\$0	\$13,260,000	\$0
<p>The Department of Homeland Security (DHS) funds several initiatives to support state and local emergency preparedness efforts through its First Responder Programs, including the Urban Areas Security Initiative (UASI) program and Homeland Security Grant Program. The purpose of the UASI program is to allow local governments to enhance capabilities in the areas of law enforcement, emergency medical services, emergency management, fire service, public works, public safety communications, and public health through the purchase of response equipment that will be necessary to prepare for and respond to emergencies arising out of terrorist or other mass casualty events affecting public safety. The purpose of the Homeland Security Grant Program is to enhance the capacity of state and local emergency responders to prevent, respond to and recover from a weapons-of-mass destruction terrorism incident involving chemical, biological, radiological, nuclear and explosive devices and cyber-attacks. The Homeland Security Grant Program combines several previous grants into one program, including the State Homeland Security Program, Law Enforcement Terrorism Prevention Program, and Citizens Corps Grant program. It is anticipated that Fairfax County will receive at least \$13,260,000 in FY 2013 through the DHS First Responder Programs.</p>					
Fund 102 Summary					
<i>Reserve for Anticipated Grants (subtotal of grants in above table)</i>	341/333.8	\$81,736,968	\$4,552,729	\$74,776,882	\$2,407,357
<i>Reserve for Unanticipated Grants</i>	0/0.0	\$5,075,000	\$75,000	\$5,000,000	\$0
TOTAL FUND ¹	341/333.8	\$86,811,968	\$4,627,729	\$79,776,882	\$2,407,357

¹The total number of grant positions in Fund 102, Federal/State Grant Fund, includes 1/1.0 SYE Management Analyst and 3/3.0 SYE Emergency Management Specialists III that are not summarized in the *Anticipated Grant Awards* table. These positions in the Office of Emergency Management are associated with the Emergency Management Performance Grant (02915G) and the Urban Areas Security Initiative Grant (02917G). Therefore, the overall position total in Fund 102, Federal/State Grant Fund, is 345/337.8 SYE.

Fund 102

Federal/State Grant Fund

Agency Position Summary

345 Grant Positions / 337.8 Grant Staff Years

Position Detail Information

OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS

EEOC (39005G)

- 1 Human Rights Specialist II
- 1 Administrative Assistant II
- 2 Positions
- 2.0 Staff Years

HUD (39006G)

- 1 Administrative Assistant III
- 2 Human Rights Specialists II
- 3 Positions
- 3.0 Staff Years

DEPARTMENT OF TRANSPORTATION Marketing and Ridesharing Program (40001G)

- 1 Transportation Planner III
- 1 Transportation Planner II
- 1 Communications Specialist I
- 1 Communications Specialist II
- 1 Communication Specialist III
- 2 Administrative Assistants II
- 7 Positions
- 7.0 Staff Years

Employer Outreach Program (40013G)

- 1 Transportation Planner II
- 1 Transportation Planner I
- 2 Positions
- 2.0 Staff Years

Base Realignment and Closure Act (BRAC) (40021G)

- 2 Transportation Planners III
- 1 Transportation Planner V
- 1 Planner III (DPZ)
- 4 Positions
- 4.0 Staff Years

DEPARTMENT OF FAMILY SERVICES

Sexual Assault Treatment and Prevention (67202G)

- 2 Social Workers III, 2 PT
- 1 Social Worker II, PT
- 3 Positions
- 1.5 Staff Years

V-Stop (67203G)

- 1 Volunteer Services Coordinator, PT
- 1 Position
- 0.5 Staff Year

WIA Adult Program (67300G)

- 1 Human Service Worker V
- 2 Human Service Workers IV
- 6 Human Service Workers III
- 3 Administrative Assistants II
- 12 Positions
- 12.0 Staff Years

WIA Youth Program (67302G)

- 1 Human Service Worker IV
- 4 Human Service Workers II
- 1 Administrative Assistant II
- 6 Positions
- 6.0 Staff Years

WIA Dislocated Worker Program (67304G)

- 1 Financial Specialist II
- 1 Management Analyst II
- 1 Human Services Assistant
- 1 Human Service Worker IV
- 10 Human Service Workers III
- 14 Positions
- 14.0 Staff Years

Virginia Serious and Violent Offender Re-Entry (VASAVOR) (67321G)

- 1 Human Service Worker III
- 1 Position
- 1.0 Staff Year

Fairfax Bridges to Success (67325G)

- 1 Human Service Workers III
- 1 Human Service Worker II
- 2 Positions
- 2.0 Staff Years

Inova Health System (67329G)

- 1 Human Service Worker IV
- 4 Human Service Workers III
- 7 Human Service Workers II
- 1 Administrative Assistant II
- 13 Positions
- 13.0 Staff Years

Community Based Services (67460G)

- 1 Social Work Supervisor
- 2 Social Workers III
- 4 Social Workers II
- 1 Administrative Assistant II
- 8 Positions
- 8.0 Staff Years

Long Term Care Ombudsman (67461G)

- 1 Social Work Supervisor
- 5 Social Workers III
- 6 Positions
- 6.0 Staff Years

Care Coordination (67465G)

- 2 Public Health Nurses II
- 1 Social Work Supervisor
- 1 Administrative Assistant II
- 2 Social Workers II
- 1 Mental Health Therapist
- 1 Social Worker III
- 8 Positions
- 8.0 Staff Years

Family Caregiver (67466G)

- 1 Management Analyst II
- 1 Position
- 1.0 Staff Year

Promoting Safe and Stable Families (67516G)

- 1 Management Analyst II, PT
- 3 Social Workers III
- 3 Social Workers II
- 1 Human Services Coordinator II
- 1 Administrative Assistant II
- 9 Positions
- 8.5 Staff Years

USDA Child and Adult Care Food Program (67600G)

- 1 Child Care Specialist III
- 4 Child Care Specialists I, 2 PT
- 1 Business Analyst II
- 1 Administrative Assistant V
- 2 Administrative Assistants IV
- 9 Positions
- 8.0 Staff Years

Fund 102

Federal/State Grant Fund

Head Start Federal Program (67602G)

1 Financial Specialist III
 1 Child Care Program Admin. I
 1 Head Start Coordinator
 1 Public Health Nurse III
 3 Child Care Specialists II
 1 Child Care Specialist I
 3 Human Service Workers II
 7 Day Care Center Teachers II
 8 Day Care Center Teachers I
 1 Day Care Center Aide, PT
 2 Administrative Assistants IV
 1 Administrative Assistant III
 1 Human Services Assistant
 1 Cook's Aide
 32 Positions
 31.5 Staff Years

Virginia Preschool Initiative (67604G)

1 Child Care Specialist III
 4 Child Care Specialists II
 5 Positions
 5.0 Staff Years

Early Head Start (67610G)

1 Head Start Coordinator
 1 Business Analyst I
 1 Child Care Specialist III
 3 Child Care Specialists II
 8 Child Care Specialists I
 5 Day Care Center Teachers II
 6 Day Care Center Teachers I
 1 Administrative Assistant III
 1 Cook's Aide
 27 Positions
 27.0 Staff Years

Virginia ITS Network (67619G)

3 Child Care Specialists II, 1 PT
 3 Positions
 2.5 Staff Years

Child Care Quality Init Prog (67621G)

1 Child Care Specialist II
 1 Position
 1.0 Staff Year

HEALTH DEPARTMENT

WIC (71007G)

1 Nutrition Program Coordinator
 1 Nutrition Program Supervisor
 4 Sr. Public Health Nutritionists
 10 Public Health Nutritionists
 23 Nutritionist Assistants I
 8 Nutritionist Assistants II
 1 Information Technology Tech I
 1 Administrative Assistant IV
 49 Positions
 49.0 Staff Years

Perinatal Health Services (71010G)

4 Human Services Assistants
 4 Positions
 4.0 Staff Years

Tuberculosis Grant (71014G)

1 Public Health Nurse III
 1 Human Services Assistant
 2 Positions
 2.0 Staff Years

EP&R for Bioterrorism Grant (71025G)

1 Management Analyst III
 1 Epidemiologist
 2 Positions
 2.0 Staff Years

FAIRFAX-FALLS CHURCH COMMUNITY

SERVICES BOARD

Homeless Assistance Program, PATH (75028G)

3 Mental Health Therapists
 3 Positions
 3.0 Staff Years

IDEA Part C (75043G)

12 Intellectual Disability Specialists II
 2 Physical Therapists II
 1 Speech Pathologist II
 1 Administrative Assistant III
 1 Occupational Therapist II
 17 Positions
 17.0 Staff Years

Probation and Parole (75045G)

1 Substance Abuse Counselor II
 1 Position
 1.0 Staff Year

High Intensity Drug Trafficking Area (HIDTA) (75049G)

3 Substance Abuse Counselors II
 3 Positions
 3.0 Staff Years

Supportive Housing Program, Self Sufficiency Through Housing & Treatment - HUD (75055G)

1 Substance Abuse Counselor II
 1 Position
 1.0 Staff Year

Jail & Offender Services Initiative (75058G)

1 Mental Health Therapist
 1 Substance Abuse Counselor II
 1 Mental Health Sup./Spec.
 3 Positions
 3.0 Staff Years

VASAVOR - Going Home to Stay (75071G)

1 Mental Health Therapist
 1 Position
 1.0 Staff Year

Regional Acute Care (75072G)

1 Mental Health Manager
 1 Mental Health Sup./Spec.
 1 CSB Service Director
 1 Administrative Assistant III
 1 Financial Specialist II
 5 Positions
 5.0 Staff Years

Regional Crisis Stabilization (75077G)

6 Mental Health Therapists
 5 Licensed Practical Nurses
 1 Psychiatrist
 3 Peer Support Specialists
 1 Mental Health Supv./Spec.
 16 Positions
 16.0 Staff Years

State Jail Diversion (75085G)

2 Mental Health Therapists, 1 PT
 1 Mental Health Manager
 1 MH/ID/ADS Aide
 4 Positions
 3.5 Staff Years

MH Initiative - State, SED Initiative (non-mandated CSA) (75086G)

4 Mental Health Therapists
 4 Positions
 4.0 Staff Years

MH Juvenile Detention (75087G)

2 MH/ID/ADS Sr. Clinicians, 1PT
 2 Positions
 1.5 Staff Years

MH Transformation, Forensic

Discharge Planner (75088G)

1 MH/ID/ADS Sr. Clinician
 1 Position
 1.0 Staff Year

MH Law Reform Emergency Services & Comprehensive Treatment & Recovery (75089G)

1 Mental Health Therapist
 1 Food Service Supervisor
 3 Mental Health Sup./Specs.
 1 MH/ID/ADS Aide, PT
 6 Positions
 5.5 Staff Years

MH Child & Adolescent Services - Children's Intensive Wrap-Around Services; Juvenile Detention Psychiatric Services (75090G)

1 Mental Health Therapist
 1 Position
 1.0 Staff Year

Fund 102

Federal/State Grant Fund

DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES

Youth Smoking Prevention (79009G)

- 1 Park/Recreation Specialist I
- 1 Position
- 1.0 Staff Year

Joey Pizzano Memorial Fund (79012G)

- 1 Park/Recreation Specialist II
- 1 Position
- 1.0 Staff Year

Community Transformation Grant (79028G)

- 1 Program Manager
- 1 Management Analyst II
- 1 Management Analyst III
- 3 Positions
- 3.0 Staff Years

GENERAL DISTRICT COURT

CCCA Grant (85006G)

- 1 Probation Counselor III
- 6 Probation Counselors II, 1 PT
- 1 Probation Supervisor I
- 1 Administrative Assistant II
- 9 Positions
- 8.8 Staff Years

POLICE DEPARTMENT

Victim Witness Assistance (90016G)

- 1 Probation Counselor III
- 3 Probation Counselors II
- 1 Human Services Assistant
- 5 Positions
- 5.0 Staff Years

Someplace Safe (90025G)

- 1 Probation Counselor II
- 1 Position
- 1.0 Staff Year

FIRE AND RESCUE DEPARTMENT

Fire Programs (92001G)

- 1 Fire Battalion Chief, PT
- 2 Fire Lieutenants
- 1 Management Analyst I
- 2 Fire Technicians
- 1 Communications Specialist II
- 2 Life Safety Education Specialists
- 1 Photographic Specialist, PT
- 10 Positions
- 9.0 Staff Years

Urban Search & Rescue (92108G)

- 1 Financial Specialist III
- 1 Management Analyst I
- 1 Fire Technician
- 1 Administrative Assistant III
- 4 Positions
- 4.0 Staff Years

International Search & Rescue (92306G)

- 1 Fire Battalion Chief
- 1 Program and Procedures Coord.
- 1 Material Mgmt Specialist III
- 3 Positions
- 3.0 Staff Years

OFFICE OF EMERGENCY MANAGEMENT

Emergency Management Performance Grant (02915G)

- 1 Financial Specialist II
- 1 Position
- 1.0 Staff Year

Urban Areas Security Initiative Grant (02917G)

- 3 Emergency Management Specialists III
- 3 Positions
- 3.0 Staff Years

PT Denotes Part Time

Fund 102 Federal/State Grant Fund

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 102, Federal/State Grant Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance¹	\$29,093,113	\$229,520	\$32,032,208	\$742,262	\$742,262
Revenue:					
Federal Funds	\$52,358,447	\$0	\$120,071,151	\$0	\$0
Federal Funds-ARRA ²	6,053,638	0	1,327,328	0	0
State Funds	17,331,949	0	26,522,768	0	0
Other Match	49,408	0	2,398,273	0	0
Other Non-profit Grants	95,762	0	21,341	0	0
Seized Funds	954,041	0	0	0	0
Interest - Seized Funds	14,369	0	0	0	0
Interest - Fire Programs Funds	49,220	0	15,443	0	0
Miscellaneous Revenue	1,167,074	0	2,875,170	0	0
Reserve for Estimated Grant Funding	0	63,567,362	17,635,227	82,184,239	82,184,239
Total Revenue	\$78,073,908	\$63,567,362	\$170,866,701	\$82,184,239	\$82,184,239
Transfers In:					
General Fund (001)					
Local Cash Match ³	\$2,888,106	\$0	\$4,203,332	\$0	\$0
Reserve for Estimated Local Cash Match	25,895	4,250,852	47,520	4,627,729	4,627,729
Aging Grants and Programs (103)	0	0	3,380,628	0	0
Total Transfers In	\$2,914,001	\$4,250,852	\$7,631,480	\$4,627,729	\$4,627,729
Total Available	\$110,081,022	\$68,047,734	\$210,530,389	\$87,554,230	\$87,554,230

Fund 102

Federal/State Grant Fund

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 102, Federal/State Grant Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
ARRA Funding	\$7,092,304	\$0	\$1,514,287	\$0	\$0
Emergency Preparedness ⁴	14,408,249	0	37,939,454	0	0
Office of County Executive	0	0	27,293	0	0
Department of Human Resources	0	0	25,000	0	0
Economic Development Authority	4,834,000	0	1,500,000	0	0
Capital Facilities	516,390	0	11,432,014	0	0
Planning and Zoning	4,321	0	0	0	0
Department of Housing and Community Development	1,248,590	0	2,348,091	0	0
Office of Human Rights	279,817	0	576,457	0	0
Department of Transportation	6,438,083	0	29,332,368	0	0
Fairfax County Public Library	154,749	0	0	0	0
Department of Family Services	27,461,916	0	40,788,378	0	0
Health Department	4,340,866	0	6,527,886	0	0
Office to Prevent and End Homelessness	973,050	0	2,108,476	0	0
Fairfax-Falls Church Community Services	0	0	15,987,631	0	0
Department of Neighborhood and Community Services	875,633	0	2,305,261	0	0
Circuit Court and Records	9,682	0	0	0	0
Juvenile and Domestic Relations District Court	690,917	0	2,595,222	0	0
Commonwealth's Attorney	95,170	0	432,261	0	0
General District Court	694,705	0	692,114	0	0
Police Department	2,481,291	0	8,643,169	0	0
Office of the Sheriff	0	0	195,313	0	0
Fire and Rescue Department	5,449,081	0	23,384,910	0	0
Unclassified Administrative Expenses	0	67,818,214	21,432,542	86,811,968	86,811,968
Total Expenditures	\$78,048,814	\$67,818,214	\$209,788,127	\$86,811,968	\$86,811,968
Total Disbursements	\$78,048,814	\$67,818,214	\$209,788,127	\$86,811,968	\$86,811,968
Ending Balance⁵	\$32,032,208	\$229,520	\$742,262	\$742,262	\$742,262

¹ The FY 2012 Revised Budget Plan Beginning Balance reflects \$7,766,049 in Local Cash Match carried over from FY 2011, including \$2,215,250 in Local Cash Match previously appropriated to agencies but not yet expended and \$5,550,798 in the Reserve for Estimated Local Cash Match consisting of the balance of the Reserve not used during FY 2011 plus Local Cash Match returned to the Reserve as the result of grant closeouts. Thus, the total Reserve for Estimated Local Cash Match in FY 2012 is \$3,797,315.

² Represents funding received by the Department of Family Services, Department of Administration for Human Services, Health Department, Office to Prevent and End Homelessness, Fairfax-Falls Church Community Services Board, Office of the Commonwealth's Attorney, and the Department of Vehicle Services as part of the American Recovery and Reinvestment Act of 2009 (ARRA).

³ The FY 2012 Estimated Local Cash Match appropriated to agencies totals \$6,004,335 but \$1,801,003 has been taken from available Local Cash Match balances due to unspent funds from previous years.

⁴ Emergency Preparedness grant funding is reflected as a separate category in order to centrally identify grant funds earmarked for security and emergency preparedness requirements. Agencies currently involved in this effort include the Department of Information Technology, Health Department, Police Department, Fire and Rescue Department, and the Office of Emergency Management.

⁵ The Ending Balance in Fund 102, Federal/State Grant Fund, fluctuates primarily due to timing, as some revenues received late in the fiscal year have not been by spent by June 30 as the time period for spending grant funds often continues beyond the end of the fiscal year.

Fund 103 Aging Grants and Programs

As a result of the County's new integrated finance, budget, purchasing and human resources computer system, grant funding associated with Fund 103, Aging Grants and Programs was consolidated into Fund 102, Federal/State Grants Fund as part of the FY 2012 Adopted Budget Plan. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system was transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund. Associated positions were transferred as part of the *FY 2011 Carryover Review*.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Grant	51 / 50.5	51 / 50.5	0 / 0	0 / 0	0 / 0
Expenditures:					
Personnel Services	\$4,019,011	\$0	\$0	\$0	\$0
Operating Expenses	3,418,641	0	0	0	0
Capital Equipment	0	0	0	0	0
Total Expenditures	\$7,437,652	\$0	\$0	\$0	\$0
Revenue:					
Federal	\$2,260,572	\$0	\$0	\$0	\$0
State	1,020,570	0	0	0	0
Project Income	450,289	0	0	0	0
Other Jurisdictions' Share of the					
Ombudsman Program	118,354	0	0	0	0
City of Fairfax	63,276	0	0	0	0
City of Falls Church	36,306	0	0	0	0
Private Corporations	11,257	0	0	0	0
Total Revenue	\$3,960,624	\$0	\$0	\$0	\$0
Net Cost to the County	\$3,477,028	\$0	\$0	\$0	\$0

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ Due to the consolidation of Fund 103, Aging Grants and Programs into Fund 102, Federal/State Grants Fund, Agency 67, Department of Family Services and Agency 79, Department of Neighborhood and Community Services, there were no adjustments made to this fund.

Fund 103

Aging Grants and Programs

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Transfer of Grant Positions Due to Replacement of the Legacy Computer System** **\$0**
As part of the FY 2011 Carryover Review, 51/50.5 SYE grant positions from Fund 103, Aging Grants and Programs were transferred to either Fund 102, Federal/State Grant Fund, Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund due to a change in the treatment of some grants as a result of the replacement of the County's legacy computer system.

Key Performance Measures

Fund 103, Aging Grants and Programs performance measures are consistent with the performance measures of the Adult and Aging Services cost center in Agency 67, Department of Family Services in the General Fund. Please refer to the Agency 67, Department of Family Services General Fund narrative in the Health and Welfare program area section of Volume 1 for a discussion of performance measures.

Fund 103

Aging Grants and Programs

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 103, Aging Grants and Programs

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance¹	\$3,896,167	\$0	\$3,380,628	\$0	\$0
Revenue:					
Federal Funds	\$2,157,630	\$0	\$0	\$0	\$0
Federal Funds - ARRA	102,942	0	0	0	0
State Funds ²	1,020,570	0	0	0	0
Project Income	450,289	0	0	0	0
Other Jurisdictions' Share of Ombudsman Program	118,354	0	0	0	0
City of Fairfax	63,276	0	0	0	0
City of Falls Church	36,306	0	0	0	0
Private Corporations	11,257	0	0	0	0
Total Revenue	\$3,960,624	\$0	\$0	\$0	\$0
Transfer In:					
General Fund (001)	\$2,961,489	\$0	\$0	\$0	\$0
Total Transfer In	\$2,961,489	\$0	\$0	\$0	\$0
Total Available	\$10,818,280	\$0	\$3,380,628	\$0	\$0
Grant Expenditures:					
67460G, Title III B, Community-Based					
Social Services	\$1,530,732	\$0	\$0	\$0	\$0
67461G, Title VII Ombudsman	542,784	0	0	0	0
67462G, Fee for Services/ Homemaker	274,583	0	0	0	0
67463G, Title III C(1) Congregate Meals	2,216,235	0	0	0	0
67464G, Title III C(2) Home-Delivered Meals	1,659,933	0	0	0	0
67465G, Care Coordination for the Elderly Virginian	725,598	0	0	0	0
67466G, Caregiver Support	384,194	0	0	0	0
S6704G, ARRA Funding	103,593	0	0	0	0
Total Grant Expenditures	\$7,437,652	\$0	\$0	\$0	\$0
Transfers Out:					
Federal/State Grant Fund (102)	\$0	\$0	\$3,380,628	\$0	\$0
Total Transfers Out	\$0	\$0	\$3,380,628	\$0	\$0
Total Disbursements	\$7,437,652	\$0	\$3,380,628	\$0	\$0
Ending Balance³	\$3,380,628	\$0	\$0	\$0	\$0

Fund 103

Aging Grants and Programs

¹ As a result of the County's new integrated finance, budget, purchasing and human resources computer system, grant funding associated with Fund 103, Aging Grants and Programs was consolidated into Fund 102, Federal/State Grants Fund as part of the FY 2012 Adopted Budget Plan. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system was transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund. Associated positions were transferred as part of the *FY 2011 Carryover Review*.

² In order to account for revenues in the proper fiscal year, audit adjustments in the amount of \$1,637.00 have been reflectd as increases to FY 2011 revenues to properly record revenue accruals to the correct program year. This impacts the amount carried forward resulting in a net increase of \$1,637.00 to the *FY 2012 Revised Budget Plan*. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the Audit Adjustments will be included in the FY 2012 Carryover Package.

³ The FY 2011 actual ending fund balance is \$3,380,628; however, it has been transferred to Fund 102, Federal/State Grant Fund to partially offset program year 2011 grant expenditures in FY 2012 based on program year requirements.

Fund 104

Information Technology

Mission

Fund 104, Information Technology (IT), supports the County's strategic IT investments in major technology projects that improve access to County services, promote government operational efficiencies and effectiveness, customer service and increase performance and security capabilities. They include automation for County agencies, requirements aligned with countywide strategic importance, enterprise technology infrastructure, and enterprise-level or inter-agency corporate systems.

Focus

Fund 104, Information Technology, was established in FY 1995 to strengthen centralized management of available resources by consolidating major IT projects in one fund. A General Fund transfer, revenue from the State Technology Trust Fund and other internal revenue funds, and interest earnings are sources for investment in IT projects.

The County's technological improvement strategy has two key elements. The first element is to provide an adequate infrastructure of basic technology for agencies in making quality operational improvements and efficiencies. The second is to redesign business processes and apply technology to achieve large-scale improvements in service quality and achieve administrative efficiencies. The County's long-term commitment to providing quality customer service through the effective use of technology is manifested in service enhancements, expedited response to citizen inquiries, improved operational efficiencies, better information for management decisions and increased performance capabilities.

The Senior Information Technology Steering Committee, which is comprised of the County Executive and senior County managers, adopted five IT priorities which guide the direction of Fund 104. They include:

- ◆ **Mandated Requirements:** Provide support for requirements enacted by the federal government, Commonwealth of Virginia, Board of Supervisors, or Court ordered or a result of County regulation changes.
- ◆ **Completion of Prior Investments:** Provide support for multi-year lease purchases and to implement a project phase or to complete a planned project.
- ◆ **Enhanced County Security:** Provide support for homeland security, physical security, information security and privacy requirements.
- ◆ **Improved Service and Efficiency:** Promote consolidated business practices; support more efficient government; optimize management and use of County assets and data; enhance systems to meet the expectations and needs of citizens; and promote service that can be provided through the Internet/e-government. This includes corporate and strategic initiatives that add demonstrable value to a broad sector of government or to the County as a whole, which also provide productivity benefits and/or effectively manages the County's information and knowledge assets.
- ◆ **Maintaining a Current and Supportable Technology Infrastructure:** Focus on technology infrastructure modernizations which upgrade, extend or enhance the overall architecture or major County infrastructure components, including hardware and software and its environment. Ensure that citizens, businesses and County employees have appropriate access to information and services.

Fund 104 Information Technology

In accordance with the FY 2013 Budget Guidelines funding requests for Fund 104 IT projects were limited to IT projects requiring a funding increment to meet project milestones, contractual obligations, and security and infrastructure requirements for enterprise-wide IT systems. The projects recommended for funding meet one or more of the IT priorities established by the Senior IT Steering Committee and align with the County's strategic and business requirements.

In keeping with established procedures, a Project Review Team consisting of business and technical staff from the Department of Information Technology (DIT) and the Department of Management and Budget (DMB) evaluated submissions requesting additional funding for clear alignment with project plans and anticipated deliverables. Projects were reviewed for continued alignment with project plans from both a business and a technical perspective, including whether the continued implementation of the project would realize proposed benefits. Benefits of the projects were weighed against the cost and several risk factors, including potential unknown related expenses, changes in scope necessitated by new business drivers, technological relevance, operational transformation needs, project schedule viability and the impact of not funding or otherwise delaying the project. Technical factors examined include alignment with County technology architecture and standards, impact on existing County IT infrastructure and availability of viable products and services. Also considered is the organizational experience with the solutions that support the project business goals, and the availability of human resources both in DIT and the sponsoring agency to implement the projects.

FY 2013 Initiatives

In FY 2013, funding of \$8.84 million, which includes a General Fund transfer of \$5.28 million, a transfer from Fund 105, Cable Communications of \$3.26 million, and interest income of \$0.30 million, is provided for initiatives that meet one or multiple priorities established by the Senior Information Technology Steering Committee. These initiatives include a mix of projects that provide benefits for both citizens and employees and that adequately balance new and continuing initiatives with the need for securing and strengthening the County's technology infrastructure. Funded projects will support initiatives in general County services, public safety, human services and enterprise technology security and infrastructure. Although many initiatives meet more than one of the technology priorities, for narrative purposes below, projects have been grouped into only one priority area.

Priority	FY 2013 Adopted Funding
Completion of Prior Investments	\$1.19 million
Improved Services and Efficiency	\$3.26 million
Maintaining a Current and Supportable Technology Infrastructure	<u>\$4.39 million</u>
TOTAL	<u>\$8.84 million</u>

Completion of Prior Investments - \$1.19 million

The County's IT program focuses on using technology as an essential tool to enable cost-effective delivery of services, and continues to stress the need to build reliable, supportable projects for these services in a timely manner. Many projects funded can be completed within that fiscal year, while others are multi-phase projects that require more than one year of funding.

Fund 104

Information Technology

Funding of \$337,744 is included for continued support for the County's planned on-going maintenance of essential Geographic Information System (GIS) data. Through a series of complex geospatial transformations the raw imagery, taken from aerial imagery flown by the state, is converted to GIS data available to many County agencies including: Police, Fire and Rescue, the Departments of Transportation, Housing and Community Development, Public Works and Environmental Services, Planning and Zoning, and Tax Administration.

Funding of \$550,167 is included for operational support of the County's Public Service Radio System network infrastructure. The project replaced a 20 year old Public Service Communications System, which provided two-way radio communications for all County non-public safety agencies, as well as the Fairfax County Public Schools Transportation Department (school buses), and Fairfax Water, with updated technology that meets the needs of user agencies.

Funding of \$300,000 is included to support the Department of Family Services (DFS) Data Reporting System. DFS currently utilizes multiple IT systems ranging from mandated Virginia of Department of Social Services case management systems to customized off-the-shelf systems, to locally developed and maintained databases. This project provides funding for the development of a data warehouse of electronically stored data from the multiple divisions within DFS in order to facilitate effective reporting and analysis. A data repository provides a standardized, consistent, clean and integrated form of data sourced from various operational systems in use in the department, structured in a way to specifically address the reporting and analytic requirements of each of the divisions as well as the department as a whole. It provides a systematic means to retrieve and analyze data, to extract, transform and load data, and to create management reports. It is not yet known if this funding level is sufficient to complete the project pending final vendor negotiations on specific system requirements.

Improved Service and Efficiency - \$3.26 million

Projects funded in FY 2013 provide for improved service and efficiency in provision of services to the residents and the business community in Fairfax County. These included projects supporting the county's e-government programs as well as initiatives that improved County processes resulting in improved efficiencies and service delivery.

Funding of \$400,000, supported by funding from Fund 105, Cable Communications, is included for the County's continuing commitment to e-government initiatives that improve public accessibility to County information and services. The project supports of the County's web and e-government services, web content, social media integration, transparency, Web 3.0, and compliance with e-health records. Additionally, the e-government program enhances citizen participation with county government through online public input processes.

Funding of \$1,860,000, supported by funding from Fund 105, Cable Communications, is for the second year of a two-year project to install digital surveillance video cameras in the Police Department's fleet of 800 patrol vehicles. The In Car Video System enables accurate recording of events, statements, and scenes, enhances both the Commonwealth and County Attorneys' abilities to prove their cases, and improves the Department's accountability to the public. The use of in-car video supports the Police Department's commitment to provide safe, fair, unbiased and responsible service in carrying out law enforcement duties. Video evidence provides the Police Department with an invaluable objective perspective when reviewing the actions of officers on the scene. Each system includes a software license

Fund 104

Information Technology

package and a five year required maintenance agreement. The system meets standards published by the International Association of Chiefs of Police (IACP) for in-car video surveillance.

Funding of \$1,000,000, supported by funding from Fund 105, Cable Communications, is included to support the first year of a two-year Tax Systems Modernization Project. Project goals are to eliminate the technology risks and functionality gaps of existing mainframe systems for the Personal Property and Business Professional and Occupational Licensing (BPOL). The current systems designed and developed during the 1980s and 1990s use outdated technology and programming languages, which have reached the end of their viability. The outdated technology platform limits integration with other County and State systems, as well as limits citizen interaction and self-service opportunities via web-based technologies. Integration with Virginia State Department of Motor Vehicles and Department of Tax Administration applications which are critical for assessment, taxation, and enforcement purposes, cannot be automated due to limitations within Personal Property and Business Professional and Occupational Licensing. All of these issues have a direct impact on the County's revenue.

Maintain a Current and Supportable Technology Infrastructure - \$4.39 million

In an ever evolving technology and communications environment, maintaining current and supportable technology architecture is a challenge that must be continually addressed to ensure performance, operability, security and integrity of business operations and information. The County's technological improvement strategy strives to balance business needs that require technology investments with the desire to adopt contemporary but relevant and supportable technology industry trends, as well as the ability to leverage existing infrastructure. Projects funded in FY 2013 will support the goal of updating and strengthening the technology foundation where practical, and ensuring that residents, the business community and County staff have appropriate and reliable access to information and services.

Funding of \$3,500,000 is included for strategic infrastructure and services required for implementation of complex multi-phase enterprise-wide business transformation IT systems for County general services, enterprise technology, security and infrastructure, and corporate systems including implementation of the County's ERP (Enterprise Resource Planning) and related business systems. This funding supports necessary integration of business application and infrastructure systems components to meet the County's IT architecture and interoperability goals in alignment with County enterprise technology plans to enhance opportunities for County/Schools shared cost efficiency goals.

Funding of \$500,000 is included to continue support for the conversion and migration of the County's remaining legacy financial, public works, public safety, personal property, and human services mainframe systems. Significant historical data on the remaining legacy systems needs to migrate off the mainframe onto more contemporary IT platforms. Upon completion, the County's legacy mainframe platform will be substantially retired.

Funding of \$200,000 is included to support growing need for internal County users to access County systems remotely. This project supports telework capabilities, disaster recovery, and increasing reliance of agency mobile workers on wireless solutions. Enterprise wide standardized access control methodology enables secure identity authentication for authorized access to County networks, data, and systems. This project supports secure access from remote locations and provides improved security, reporting, and data analysis.

Fund 104

Information Technology

Funding of \$193,668 is included to provide for on-going information technology training and certification in recognition of the challenges associated with maintaining skills at the pace of technological changes and to ensure that the rate of change in information technology does not out-pace the County's ability to maintain proficiency. As the County's workforce becomes increasingly dependent on information technology, training support has become more essential.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustment** **\$43,293,813**

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$43,293,813 due to carryover of unexpended project balances of \$30,040,500 and a net increase due to higher than budgeted FY 2011 revenue of \$353,315, partially offset by a \$2 reduction reconciliation adjustment for the actual beginning balance. In addition, funding of \$10,000,000, supported by an increase in the General Fund transfer, was included to support anticipated milestone payments, infrastructure training, and other obligations for the FOCUS project in FY 2012. An additional \$900,000, also supported by an increase in the General Fund transfer, was included for hardware and system infrastructure requirements, application testing, and disaster recovery requirements for major County computer systems. Finally, funding of \$2,000,000, supported by a transfer from Fund 105, Cable Communications, was included for deployment of up-to-date technology to support secure access of new web-based social media functionalities as directed by the Board of Supervisors during their deliberations on the FY 2012 Adopted Budget Plan.

- ◆ **Third Quarter Adjustments** **\$551,825**

As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved increases totaling \$551,825. Of this total, an increase of \$606,307, fully supported by additional State Technology Trust Fund revenue, is included for Circuit Court technology modernization projects and an increase of \$99,550, fully supported by additional Court Public Access Network (CPAN) revenue, is included to support the Circuit Court's state-mandated redaction project. These increases are partially offset by a decrease of \$154,032 in interest income which will be reflected with a reduction to Project IT0022, Tactical Initiatives. It should be noted that several project reallocations within Information Technology (IT) projects in Fund 104 were undertaken as part of the *FY 2012 Third Quarter Review*, resulting in \$2,176,091 being redirected to Project IT0022, Tactical Initiatives for critical hardware and system infrastructure requirements as well as specialized technical staff augmentation for major County computer systems, including the FOCUS project to keep pace with the project schedule. Reallocations include \$1,676,091 from Project IT0061, Information Technology Security, previously approved to support secure access of new web-based social media functionalities; \$300,000 from Project IT0048, Incident Reporting and Training System as that project is substantially complete; and \$200,000 from Project IT0058, Remote Access, as there is sufficient existing remote access capacity to address user requirements for the balance of FY 2012.

Fund 104 Information Technology

FY 2013 Funded Project Summary Table

The following Project Summary table lists the projects contained in Fund 104, Information Technology. Descriptions for FY 2013 funded projects are included on the following pages. Information regarding technology initiatives can also be found in the [FY 2013 Information Technology Plan](#) prepared by the Department of Information Technology.

Agency Summary		
Project	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
IT0004, Geographic Information System (GIS)	\$337,744	\$337,744
IT0010, Information Technology Training	193,668	193,668
IT0022, Tactical Initiatives	3,500,000	3,500,000
IT0024, Public Access to Information	400,000	400,000
IT0050, Public Service Communications Replacements	550,167	550,167
IT0058, Remote Access	200,000	200,000
IT0088, Retirement of Legacy Systems	500,000	500,000
IT0089, DFS - Data Reporting	300,000	300,000
IT0090, Police In Car Video System	1,860,000	1,860,000
IT0092, Tax Systems Modernization	1,000,000	1,000,000
Total Funds	\$8,841,579	\$8,841,579

Fund 104 Information Technology

IT0004, Geographic Information System (GIS)	IT Priorities: <ul style="list-style-type: none"> • Completion of Prior Investments; • Improved Service and Efficiency; • Maintaining a Current and Supportable Technology Infrastructure
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$526,509	\$819,170	\$337,744	\$337,744

Description and Justification: This project provides continued funding for the County’s planned multiyear implementation and maintenance of essential Geographic Information System (GIS) data including oblique imagery and planimetric data. GIS provides County staff and citizens the means to electronically access, analyze and display land related data. The imagery used in the My Neighborhood viewer, the Digital map viewer, the 3-D Virtual Fairfax application, and all of the County’s web and desktop mapping applications.

In FY 2013, funding of \$337,744 is recommended for the County’s planned on-going maintenance of essential Geographic Information System (GIS) data. Through a series of complex geospatial transformations the raw imagery, taken from aerial imagery flown by the state, will be converted to GIS data available to many County agencies including: Police, Fire and Rescue, the Departments of Transportation, Housing and Community Development, Public Works and Environmental Services, Planning and Zoning, and Tax Administration. The project includes planimetric impervious surface features including: driveways, building footprints, streams, sidewalks, pools, edges of roads and centerlines which are critically needed by key agencies such as DPWES (Stormwater) and by Public Safety.

Return on Investment (ROI): The updated GIS data enhances the County’s security oriented applications such as emergency response preparedness, preplanning fire and rescue, hazardous material spills, and crime mapping. Planimetric data is also a key data sets used by the Computer Aided Dispatch system’s mobile units in Police and Fire and Rescue vehicles. The GIS database with new impervious features and contouring facilitates key agencies land use applications following Fairfax County’s Environmental Quality Advisory Council (EQAC) recommendations and contribute to overall improved services and efficiency. The updated GIS data provides County agencies readily accessible data necessary for engineering and design projects in any location as well as the ability to view field conditions from a desktop without traveling to the site, providing significant savings to County staff in various agencies.

GIS imagery is also the source of the 3-D building imagery displayed in Virtual Fairfax (VF) a web based 3-D visualization tool available on the County’s website. VF enables users to fluidly navigate the county and surrounding areas, view 3-D images of buildings in key county areas, determine the terrain, shadow impacts and building elevations. VF contains direct links to key county land information systems containing assessment data (DTA), zoning and building activity (LDSNet), school and magisterial districts, and parks.

Fund 104 Information Technology

IT0010, Information Technology Training	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$55,938	\$189,950	\$193,668	\$193,668

Description and Justification: This project provides funding for information technology training in recognition of the challenges associated with maintaining skills at the same pace as technology changes. The rate of change in information technology is an ongoing challenge for the County in maintaining relevant proficiencies for its technology workforce, and enabling quick adoption of technology that is beneficial in meeting the County's mission, goals and objectives. As the County's business has become increasingly dependent on information technology, training support has become more essential.

Funding of \$193,668 is recommended in FY 2013 to provide for information technology training and certification. The Department of Information Technology anticipates additional required training for county staff in SAP software implementation and integration tools and related applications.

Return on Investment (ROI): Continued funding will address instruction in new technologies, network management, computer operations, and software applications development and maintenance to assist County staff and systems.

Fund 104 Information Technology

IT0022, Enterprise Architecture and Support	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Improved Service and Efficiency
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$5,062,297	\$6,515,529	\$3,500,000	\$3,500,000

Description and Justification: This project supports strategic infrastructure and services required for implementation of complex multi-phase enterprise-wide business transformation IT systems for County general services, enterprise technology, security and infrastructure, and corporate systems including implementation of the County’s ERP and related business systems.

Funding of \$3,500,000 is recommended for strategic infrastructure and services necessary for integration of business application and infrastructure systems components to meet the County’s IT architecture and interoperability goals in alignment with county enterprise technology plans to enhance opportunities for County/Schools shared cost efficiency goals. The funding supports projected system integration and configuration services and includes various product platforms, security, document management, and web services enabling seamless system integration and flexible IT architecture.

Return on Investment (ROI): This initiative continues to support the County’s on-going technology modernization program in line with the IT investment priorities that provide for a stable and secure IT architecture while leveraging IT investments. Automation and modernization of County systems empowers both employees and managers to execute processes more efficiently, and make the best strategic decisions based on the most timely and accurate information and provide effective service to the citizens and the community. This project will enable the County to incorporate fully integrated best business practices, improve back office functional areas, improve the quality and accessibility of information, and reduce redundant data entry, storage and paper processing.

Fund 104 Information Technology

IT00024, E-Government - Public Access to Information	IT Priorities: <ul style="list-style-type: none"> • Improved Service and Efficiency • Maintaining a Current and Supportable Technology Infrastructure
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$119,385	\$757,033	\$400,000	\$400,000

Description and Justification: This project provides funding for initiatives that improve public accessibility to government information and services. A comprehensive approach is employed to ensure efficient infrastructure capable of supporting multiple business solutions.

In FY 2013, funding of \$400,000 is recommended to provide economies of scale by providing the necessary support required for the increasing demand for the County's web and e-government services including web content, social media integration, transparency, Web 3.0, and compliance with e-health records system. This project also supports the County's Interactive Voice Response system extensively used by multiple agencies. The project will also allow the County to extend the e-commerce/e-services to citizens via mobile devices. It should be noted that funding from Fund 105, Cable Communications, is being used to support this project in FY 2013.

Return on Investment (ROI): E-government is a foundational program with a comprehensive strategy that includes multiple channels using enabling technology, policy and processes that integrate the County's website, social media, interactive voice response (IVR) platforms, and mobile devices for cohesive public access to County information and services. In addition to the benefits to constituents by providing more opportunities for access to services and information on-line and improved customer service, public access technologies continue to provide County government greater internal efficiencies that enable effective response to growing demand for services associated with County growth and diversity.

This project continues to provide single information architecture and supporting infrastructure for platforms needed to provide new information and services to the public. The project also develops and promotes the sharing of data across agency and jurisdictional lines, thereby increasing the scope and value of information and services provided to citizens. It expands the capabilities of content management systems in order to improve automated workflow, revision control, indexing, and search and retrieval for countywide systems to improve operational efficiencies and collaboration. Internet and Intranet initiatives provide significant and wide-ranging opportunities to use technology as a means of making information more readily available to the public. Public access technologies minimize staff resources needed to provide basic information, thereby allowing staff to be deployed to more complex tasks, as well as respond to requests requiring more detailed or specialized information.

Fund 104 Information Technology

IT0050, Public Service Communications Replacements	IT Priorities: <ul style="list-style-type: none"> • Completion of Prior Investments • Maintaining a Current and Supportable Technology Infrastructure
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$674,156	\$2,887,093	\$550,167	\$550,167

Description and Justification: This project provides continued funding for the Public Service Communications System, which provides two-way radio communications for all County non-public safety agencies, as well as the Fairfax County Public Schools Transportation Department (school buses), and Fairfax Water, with updated technology that meets the needs of user agencies. The completed system provides adequate call processing capacity and area coverage to more than 90 percent of the area within the jurisdictional boundaries of Fairfax County.

Funding of \$550,167 is recommended in FY 2013 for the on-going operational requirements including site leases, inter-site network charges, and system maintenance. It should be noted that total FY 2013 funding of \$1,209,196 is included for this project; however, based on a portion of project costs derived from the number of radio users operating on the Public Service Radio System, \$659,029 will be recovered from user groups (FCPS and Fairfax Water), resulting in the \$550,167 cost to the County.

Return on Investment (ROI): The replacement system provides reliable radio coverage to many areas of the County that are not covered by the old radio system. This provides the necessary protection and safety for bus drivers and other staff that depends on reliable communications, improves customer service to County citizens and County agencies, and reduces reliance on commercial wireless networks in addition to future cost avoidance and other non-quantifiable benefits. The completed system is fully compatible with the mobile and portable radios used by the County's public safety radio system which allows for direct communication between public safety and public service users for incident or disaster management, and provides a separate backup system for the public safety system.

Fund 104 Information Technology

IT0058 Remote Access	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Enhanced County Security
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$0	\$27,140	\$200,000	\$200,000

Description and Justification: This project supports enhanced and expanded capability of internal users to access the County's systems from remote locations for service field activities, telework, and possible pandemic outbreak access.

Funding of \$200,000 is recommended in FY 2013 to support remote access capabilities. This project established an enterprise-wide standardized remote access control methodology that provides a solution for employees and external system users, and expands access to partners and County customers and residents to authenticate their identity in order to gain access to relevant data and do business in a secure manner. All user authentication management is policy based and centrally managed allowing for comprehensive audit and reporting services to support and log information on the extensive user base. This project supports increased security, simplified management, rapid reporting and data analysis, and secure access from remote locations.

Return on Investment (ROI): This project provides a cost effective approach to enhance the County's infrastructure in order to provide flexibility for a variety of remote access devices that may be used by County staff. The capability encourages more employees to take advantage of telecommuting in line with regional goals supported by the Board of Supervisors and also provides County staff necessary remote access capacity in case of emergency events such as hurricanes, snow storms, or pandemic outbreaks.

Fund 104 Information Technology

IT0088, Retirement of Legacy Systems	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Improved Service and Efficiency
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$0	\$500,000	\$500,000	\$500,000

Description and Justification: The FOCUS/ERP project will replace the County's existing legacy mainframe systems for budget, human resources, finance, and procurement. The Retirement of Legacy Systems project supports assessment, conversion, and migration of remaining legacy data, databases, and systems off the mainframe onto more contemporary platforms. This project will support validation of existing data prior to migration and development of inquiry and reporting capabilities.

Funding of \$500,000 is recommended to support the assessment, conversion and migration of the County's legacy mainframe systems. Substantial historical flat file and other related data needs to migrate off the mainframe onto more contemporary server based and virtual platforms. New relational databases and new indexing schemes are required to store legacy mainframe data. Upon completion of the data migration and conversion, the County's mainframe platform will be substantially retired.

Return on Investment (ROI): Many efficiencies and cost savings will be achieved with migration off and eventual retirement of the mainframe system. These include support and licensing costs for mainframe database platforms, tools, utilities and monitoring modules. With retirement of the mainframe system the County will achieve savings by ending lease payments for hardware, software utilities, mainframe data storage devices, as well as the cost of separate Resource Access Control Facility (RACF) mainframe security software. Furthermore the converted legacy systems can utilize more efficient virtualized server environment thus providing opportunities for additional savings in the County's data center.

Fund 104 Information Technology

IT0089, DFS Data Reporting	IT Priorities: <ul style="list-style-type: none"> • Completion of Prior Investments • Improved Service and Efficiency
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$0	\$100,000	\$300,000	\$300,000

Description and Justification: Project goals include the development of a data warehouse to enable effective management of information and enhance reporting capabilities for various divisions of the Department of Family Services (DFS) which is the largest of the County’s Human Service agencies, providing a vast array of programs and services to the community and citizens of Fairfax County.

FY 2013 funding of \$300,000 is recommended for continued support of the Department of Family Services Data Reporting System. DFS currently utilizes multiple IT systems ranging from mandated Virginia of Department of Social Services case management systems to customized off-the-shelf systems, to locally developed and maintained databases. This project provides funding for the development of a data warehouse of electronically stored data from multiple divisions within DFS in order to facilitate effective reporting and analysis throughout the agency. Currently, multiple IT systems ranging from mandated Virginia Department of Social Services case management systems to customized off-the-shelf systems to locally developed and maintained databases are used to support the department. A data warehouse will provide a systematic means to retrieve and analyze data, to extract, transform and load data and to create management reports that will increase efficiency and effectiveness.

Return on Investment (ROI): A strategic planning process identified the need for a more integrated use of information technology system in order to improve management decision making and customer service. A data repository provides a standardized, consistent, clean and integrated form of data sourced from various operational systems in use in the department, structured in a way to specifically address the reporting and analytic requirements of each of the divisions as well as the department as a whole. The system will help streamline processes, improve communication, collaboration and data sharing, reduce dual data entry, enhance data integrity, and improve delivery of quality customer service in the Human Services area.

Fund 104 Information Technology

IT0090, Police In Car Video System	IT Priorities: <ul style="list-style-type: none"> • Enhanced County Security • Improved Service and Efficiency
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$0	\$3,670,000	\$1,860,000	\$1,860,000

Description and Justification: The goal of this project is to install digital surveillance video cameras in the Department's patrol vehicles which currently have no in-car video capabilities. The In Car Video system enables accurate recording of events, statements, and scenes, enhances both the Commonwealth and County Attorneys abilities to prove their cases, improve on-scene safety and the Police Department's accountability to the public.

FY 2013 funding of \$1,860,000 is recommended to support the second year of a two-year project to install digital surveillance video cameras in the Fairfax County Police Department's fleet of 800 patrol vehicles. The project will provide in-car video capabilities for the Fairfax County Police Department and will meet standards published by the International Association of Chiefs of Police (IACP). Each patrol vehicle will require a video package that will include an in-car video camera, controller, a display component, digital recording device, and wireless data communications. Included with each system would be a software license package and a five year required maintenance agreement.

It should be noted that funding from Fund 105, Cable Communications, is being used to support this project in FY 2013.

Return on Investment (ROI): In-car video provides benefits to the public, the law enforcement community and the legal system across the nation. Locally, the use of in-car video supports the Police Department's commitment to provide fair, unbiased and responsible service to the residents of Fairfax County in a number of ways. First, in-car video is a valuable aide to criminal investigations through accurate recording of events, statements, and scenes. Video evidence enhances both the Commonwealth and County Attorneys' abilities to adjudicate court cases. Second, in-car video enhances police accountability to the public, and provides an invaluable, objective perspective for reviewing the actions of officers when it is necessary to prove or disprove an allegation of wrongdoing. Third, in-car video provides the Police Department with a means to observe and assess its primary method of service delivery. Video footage can be reviewed, critiqued, and then used to develop better practices, policies, and training for staff. This can improve officer safety, quality of service, and public satisfaction. The overall return on investment is increased trust and confidence by the public in their Police Department.

Fund 104 Information Technology

IT0092, Tax System Modernization Project	IT Priorities: <ul style="list-style-type: none"> • Improved Service and Efficiency • Enhanced County Security • Maintaining a Current and Supportable Technology Infrastructure
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FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget	FY 2013 Adopted Budget
\$0	\$0	\$1,000,000	\$1,000,000

Description and Justification: This project will eliminate the technology risks and functionality gaps of existing mainframe systems for the Personal Property and Business Professional and Occupational Licensing (BPOL). The current systems which were designed and developed during the 1980s and 1990s use outdated technology and programming languages, which have reached the end of their viability.

FY 2013 funding of \$1,000,000 is recommended to support the first year of a two-year Tax Systems Modernization Project. The legacy mainframe platform for the Personal Property system and BPOL limits integration with other County and State systems, as well as, limits citizen interaction and self-service opportunities via web based technologies. In addition to the technology constraints, in-house and contract programmer expertise to support the applications is increasingly difficult to obtain and rapidly becoming more expensive to secure. As a result, both tax applications can no longer support efficient assessment, valuation and collection activities. System enhancements and modifications, many of which are required by changes in State and County Code, cannot be made economically, and require lengthy development periods. Integration with Virginia State Department of Motor Vehicles and Department of Tax Administration applications which are critical for assessment, taxation, and enforcement purposes, cannot be automated due to limitations within Personal Property and BPOL.

It should be noted that funding from Fund 105, Cable Communications, is being used to support this project in FY 2013.

Return on Investment (ROI): This project will eliminate the risks to County revenue generated from the assessment and collection of Personal Property and BPOL taxes. Modern technology platforms will enable the Department of Tax Administration to enhance customer access and improved services to citizens and the business community. This project will enhance the security and use of web technologies for self service functions increasingly used by the community to interact with County systems. This project will also provide for automated integration with other County and state systems directly impacting the County's revenue collection activities.

Fund 104 Information Technology

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 104, Information Technology

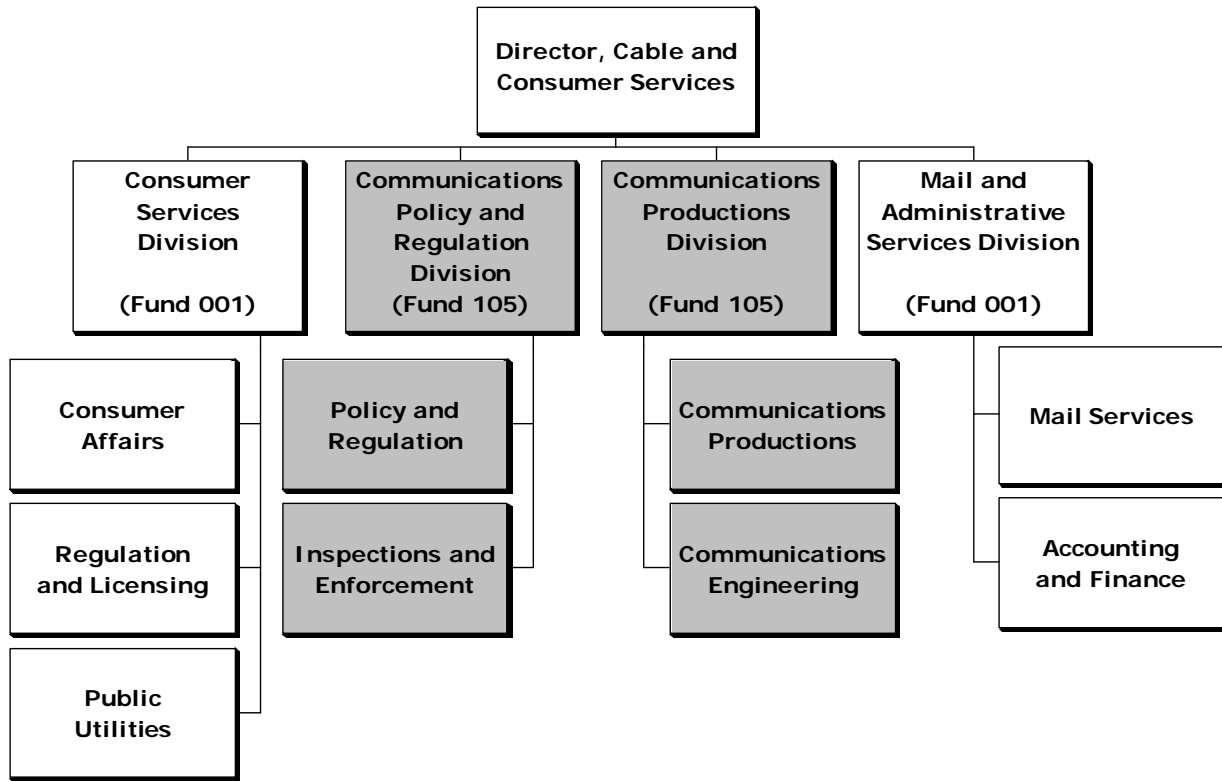
	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$37,418,535	\$0	\$30,010,737	\$0	\$0
Revenue:					
Interest	\$165,507	\$300,000	\$145,968	\$300,000	\$300,000
Other Revenue ¹	1,286,841	0	705,857	0	0
Total Revenue	\$1,452,348	\$300,000	\$851,825	\$300,000	\$300,000
Transfers In:					
General Fund (001)	\$19,025,349	\$5,281,579	\$16,181,579	\$5,281,579	\$5,281,579
Cable Communications (105)	1,742,000	3,670,000	5,670,000	3,260,000	3,260,000
Total Transfers In	\$20,767,349	\$8,951,579	\$21,851,579	\$8,541,579	\$8,541,579
Total Available	\$59,638,232	\$9,251,579	\$52,714,141	\$8,841,579	\$8,841,579
Expenditures:					
IT Projects ²	\$29,627,495	\$9,251,579	\$52,714,141	\$8,841,579	\$8,841,579
Total Expenditures	\$29,627,495	\$9,251,579	\$52,714,141	\$8,841,579	\$8,841,579
Total Disbursements	\$29,627,495	\$9,251,579	\$52,714,141	\$8,841,579	\$8,841,579
Ending Balance³	\$30,010,737	\$0	\$0	\$0	\$0

¹ In FY 2012, Other Revenue reflects \$606,307 in Technology Trust Fund revenue for continued support of Circuit Court technology modernization projects and \$99,550 in Court Public Access Network (CPAN) revenue which supports the state-mandated redaction project. All revenues received in this category are fully appropriated in the appropriate IT projects.

² In order to account for expenditures in the proper fiscal year, an audit adjustment in the amount of \$383,076.50 has been reflected as an increase to FY 2011 expenditures. This adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

³ Information Technology projects are budgeted based on total project costs. Most projects span multiple years. Therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 105 Cable Communications



The Department of Cable and Consumer Services (DCCS) is the umbrella agency for four distinct functions: Communications Policy and Regulation; Communications Productions; Consumer Services; and Mail and Administrative Services. The total agency staff is dispersed over two funding sources. The Cable Communications function, which includes the Communications Policy and Regulation Division and the Communications Productions Division, is responsible for communications regulation and for television programming, and is presented in Fund 105 (Volume 2). Fund 105 is supported principally by revenue received from local cable operators through franchise agreements. Consumer Services, which mediates complaints, educates consumers, regulates taxicabs, issues licenses, and provides utility rate case intervention, is presented within the Public Safety Program Area (Volume 1) and is fully supported by the General Fund. Mail and Administrative Services manages mail services as well as accounting and finance services. Mail Services along with Accounting and Finance are programs presented in the Legislative-Executive Functions/Central Services Program Area (Volume 1) and are fully supported by the General Fund. While the functions of the Department of Cable and Consumer Services provide diverse services, they all provide quality customer service to the community and work collaboratively with County agencies, neighboring jurisdictions, and professional organizations.



Fairfax County Government Channel 16 is one of the best government access cable television stations in the nation.

Fund 105

Cable Communications

Mission

To promote the County's cable communications policy; to enforce public safety, customer service, and regulatory requirements among the County's franchised cable operators; and to produce television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN).

To accomplish the mission, Cable Communications encourages competition, innovation, and inclusion of local community interests in the countywide deployment of cable communications services; negotiates, drafts, and provides regulatory oversight and enforcement of cable communications contracts, ordinances, statutes, and customer service policies; protects the health, safety, and welfare of the public by enforcing safety codes and construction standards; ensures community access to public, educational, and governmental programming; maintains a reliable means of mass communication of official information during emergencies; provides digital media production services to create informational programming for County residents accessible through a variety of distribution channels; and supports internal communications, including remote origination and viewing of training programs for County employees and emergency first-responders.

Focus

The Cable Communications Fund (CCF) was established by the Board of Supervisors in 1982 to provide accurate and auditable accounting of revenues and expenses associated with the administration of the County's cable communications ordinance and franchise agreements, communications productions, and cable-related consumer and policy services. CCF revenue supporting this fund comes from Institutional Network (I-Net) and Public, Educational, and Governmental (PEG) access capital grants and state communications sales and use taxes received from local cable operators based on the operators' gross revenues.

The Communications Policy and Regulation Division (CPRD) negotiates cable franchise agreements and is responsible for regulatory oversight of the County's three franchised cable television providers. At the end of FY 2011, there were over 280,000 cable subscribers within the County. More than two-thirds of County households now have a choice of cable service providers. Comcast and Cox provide service in separate, non-overlapping franchise areas, and Verizon's build-out is on target to provide service throughout the County by 2012. Franchised cable service providers continue to offer video, broadband Internet access, and voice services. CPRD ensures that cable operators provide high quality customer service, safe cable system construction and operation, and access to PEG programming and emergency information.

CPRD enforces construction codes and standards on a competitively neutral basis. In FY 2011, more than 94 percent of inspected work sites were in compliance with applicable codes. Verizon will complete build-out of the fiber-optic cable communications system in FY 2013, and Cox and Comcast will continue to replace and upgrade portions of the hybrid fiber-coaxial systems resulting in a continued need for construction-related inspections and complaint investigations.

In FY 2011, CPRD worked to analyze proposed state and federal cable, broadband, and telecommunications legislation and regulation. CPRD continues to work with the other County agencies to monitor the fiscal impact on the CCF of the Virginia General Assembly's 2007 Communications Sales and Use Tax legislation. CPRD will also continue to work with the County Executive's legislative liaison and TeleCommUnity, a local government alliance, to monitor new developments in cable and broadband

Fund 105 Cable Communications

legislation, regulation and technology, and to work with other DCCS staff to develop related consumer education materials.

CPRD continues to administer financial support for the Institutional Network (I-Net). The I-Net is comprised of more than 4,000 kilometers of fiber linking over 400 County and Fairfax County Public Schools (FCPS) locations. CPRD will continue to support the construction of new I-Net sites and efforts to migrate video, high-speed data, and voice services to the I-Net in designated County and FCPS facilities. CPRD also continues to be active with public safety and new technology initiatives, assisting the Department of Information Technology in filing a waiver petition with the Federal Communications Commission with the aim of enabling the County to move forward with an advanced public safety radio system.

The Communications Productions Division (CPD) is responsible for the production of television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN). Channel 16 programming includes both Board-directed programming and the highest-rated program proposals submitted by County agencies. In FY 2013, Channel 16 will televise an estimated 330 live meetings of the Board of Supervisors, Planning Commission, Board of Zoning Appeals, County Executive projects, Board-directed special programming, town meetings, and monthly video newsletters for members of the Board of Supervisors. In addition, Channel 16 will televise programs and teleconferences highlighting the services of County agencies. The final number of informational programs produced in FY 2013 will be determined based on the needs of County agencies. Channel 16 reaches an estimated 635,000 residents with information about County programs and services available in the community. In addition Channel 16 programming is available via streaming and video-on-demand, reaching an even larger audience. CPD is also reaching out to an increasingly diverse community by offering translated programming including Spanish, Korean, and Vietnamese, as requested by County agencies.



In addition to programming for the public, CPD is responsible for programming on closed-circuit FCTN via the Fairfax County I-Net. In FY 2013, CPD will televise training and internal communications productions, as well as national satellite conferences, telecommunication courses, video training, and lectures on areas such as leadership, ethics, public health and safety, and management techniques. FCTN programming reaches approximately 25,000 combined County and Fairfax County Public Schools' employees, providing the latest training and professional development programming to improve services to the public.

During FY 2013, CPD will continue to operate an emergency message system for the public, serve as a centralized resource for loan pool equipment for County agencies, manage a satellite downlink, and support video teleconferencing. CPD also provides engineering support services to County agencies and new County facilities that require complex audio and video installations.

CPD will continue to evaluate and redesign Channel 16 and FCTN programming, enhance current operations and customer service through technology changes, and support live remote testimony for public hearings. CPD will continue to maintain a national presence, be a leader in the quality of programming produced, and research new services to enhance operations.

Fund 105

Cable Communications

In FY 2012 the Meeting Space Management and Event Support (MSMES) group was consolidated into CPD. MSMES is responsible for staffing and operation of the Government Center Conference Center, including reservations and scheduling, room set-up, and audio-visual support. MSMES supports the Fairfax County Board of Supervisors; Fairfax County agencies, Boards, Authorities, and Commissions (BACs); non-profit organizations; and others who need meeting and event space at the Government Center.

During FY 2012 and FY 2013, approximately \$12.7 million of the Fund 105 balance has been used to support critical IT projects. Of this total, \$5.5 million has supported the installation of in-car video technology in 800 Police Department cruisers, \$2.9 million supports the first-year of a multi-year commitment to refresh core elements of the Institutional Network (I-Net), \$2.0 million supports the DIT Tactical Initiatives project, \$1.0 million supports a tax system modernization project, and \$1.3 million has supported various other I-Net related technology projects.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	46 / 46	51 / 51	51 / 51	52 / 52	52 / 52
Expenditures:					
Personnel Services	\$4,322,513	\$4,951,569	\$5,010,504	\$5,237,451	\$5,283,890
Operating Expenses	4,471,692	5,698,567	12,522,926	4,931,709	4,931,709
Capital Equipment	764,127	300,000	526,677	300,000	300,000
Total Expenditures	\$9,558,332	\$10,950,136	\$18,060,107	\$10,469,160	\$10,515,599

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$132,299**
 An increase of \$132,299 in Personnel Services reflects \$85,860 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$46,439 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$77,227**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$77,227 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆ **Other Post-Employment Benefits** **\$37,857**
 An increase of \$37,857 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for

Fund 105 Cable Communications

the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

- ◆ **Cable-Related Utilities Position Adjustment** **\$84,938**
An increase of \$84,938 and 1/1.0 SYE position is included to appropriately charge Fund 105 for utilities-related services provided by the Department of Cable and Consumer Services (DCCS). This expenditure increase is offset by a corresponding decrease in the Public Safety section of Agency 04, DCCS.

- ◆ **I-Net Operating Expenses** **(\$766,858)**
A decrease of \$766,858 in Operating Expenses is based on available I-Net revenue in FY 2013. It should be noted that \$2.8 million, fully covered by I-Net revenue, is being transferred to Fund 505, Technology Infrastructure Services reflecting the first year of a three-year commitment to replace and refresh core elements of the I-Net.

- ◆ **Capital Equipment** **\$300,000**
Capital Equipment funding of \$300,000 includes \$250,000 for digital video production equipment in support of the Communication Production Division. The remaining \$50,000 reflects funds for I-Net maintenance.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$6,190,582**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$58,935 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$1,508,042 in Operating Expenses and \$4,623,605 in unencumbered carryover primarily attributable to unexpended funds related to the design and operation of the I-Net.

- ◆ **Third Quarter Adjustments** **\$919,389**
As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$919,389 to reflect the receipt and appropriation of one-time Public, Educational, and Government (PEG) revenues received from Cox Communications to correct for previous year underpayments.

Cost Centers

The two cost centers within Fund 105, Cable Communications that work together to achieve the mission of the Fund are the Communications Policy and Regulation Division and Communications Productions Division. A large portion of the Communications Policy and Regulation Division is dedicated for I-Net initiatives.

Fund 105 Cable Communications

Communications Policy and Regulation Division

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	21 / 21	22 / 22	22 / 22	23 / 23	23 / 23
Total Expenditures	\$6,213,992	\$7,140,332	\$14,007,098	\$6,537,191	\$6,561,491

Position Summary		
<p>Office of the Director</p> <p>1 Director, DCCS</p> <p>1 Administrative Assistant V</p> <p>Regulation and Licensing</p> <p>1 Administrative Assistant III</p> <p>Administrative Services</p> <p>1 Financial Specialist III</p> <p>1 Administrative Assistant IV</p>	<p>Communications Policy and Regulation Division</p> <p>1 Director, Policy and Regulation</p> <p>1 Administrative Assistant IV</p> <p>Policy and Regulation</p> <p>2 Management Analysts III</p> <p>Public Utilities</p> <p>2 Utilities Analysts (1T)</p>	<p>Inspections and Enforcement</p> <p>1 Engineer III</p> <p>1 Engineering Technician III</p> <p>1 Communications Engineer</p> <p>6 Senior Electrical Inspectors</p> <p>Consumer Affairs</p> <p>1 Consumer Specialist II</p> <p>1 Consumer Specialist I</p> <p>1 Administrative Assistant II</p>
<p>TOTAL POSITIONS</p> <p>23 Positions (1T) / 23.0 Staff Years (1.0T)</p>		<p>(T) Denotes Transferred Position</p>

Key Performance Measures

Goal

To encourage competition and innovation in countywide deployment of cable communications services; to protect the public by enforcing cable communications construction safety codes and procedures, customer service regulations, consumer protection statutes, franchise agreements, the Fairfax County Communications Ordinance and applicable law; to respond to public and County agency inquiries regarding communications policy, statutes, regulations, and technological developments; to support development of community networks to cost-effectively transport video and data; and to maintain reliable means of mass communication of official information during public safety emergencies.

Objectives

- ◆ To inspect 29 percent of cable communications construction work sites within the County and achieve 100 percent correction of all identified instances of non-compliance with applicable federal, state, and County cable construction and public right-of-way codes and standards.
- ◆ To inspect 100 percent of all homeowner cable communications construction complaints requiring investigation by inspectors within 1 business day and to complete 100 percent of such complaint investigations.
- ◆ To achieve a 95 percent favorable resolution rate of cable communications service complaint investigations.
- ◆ To complete 99 percent of all inquiries while meeting response deadlines for regulatory, legislative, and policy inquiries.

Fund 105 Cable Communications

- ◆ To meet measurement requirements for construction, activation, and repair of the I-Net.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Cable communications construction work sites	103,168	68,157	65,000 / 52,138	53,000	50,000
Homeowner cable construction complaints inspected	224	185	160 / 210	185	185
Cable service complaints investigated	324	251	220 / 332	280	280
Regulatory, legislative and policy inquiries	568	239	200 / 130	150	150
I-Net locations constructed	36	19	26 / 19	20	15
I-Net locations activated for video transport	9	7	4 / 3	4	3
I-Net incidents repaired	149	164	150 / 127	130	130
Efficiency:					
Inspector hours per cable communications construction work site inspected	0.31	0.37	0.45 / 0.50	0.50	0.50
Inspector hours per inspected homeowner cable construction complaint	3.2	3.8	3.8 / 2.6	3.4	3.4
Staff hours per cable service complaint	4.7	4.6	5.5 / 4.7	5.0	5.0
Inquiry responses prepared per staff	284	99	83 / 56	63	63
Staff hours per I-Net location constructed	20	28	30 / 30	30	30
Staff hours per I-Net location for video activation	24	21	20 / 20	20	20
Staff hours per I-Net incident repaired	5	6	6 / 6	6	6
Service Quality:					
Percent of cable communications construction work site deficiencies/non-compliance notices corrected	100%	100%	100% / 100%	100%	100%
Percent of homeowner cable construction complaints inspected within one business day	100%	100%	100% / 100%	100%	100%
Percent of cable service complaints responded to within 2 business days of receipt	100%	100%	100% / 100%	100%	100%
Percent of inquiry responses meeting response deadlines	99%	100%	95% / 100%	95%	95%
Percent of I-Net locations constructed on time	100%	100%	100% / 100%	100%	100%
Percent of on-time I-Net video activations	100%	100%	100% / 100%	100%	100%
Percent of I-Net incident repairs completed within 8 hours	100%	100%	100% / 100%	100%	100%

Fund 105 Cable Communications

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Outcome:					
Percent of cable communications construction work sites inspected	23%	27%	23% / 27%	28%	29%
Percent of homeowner cable construction complaints completed	100%	100%	100% / 100%	100%	100%
Percent of favorably resolved cable service complaints	98%	100%	95% / 97%	95%	95%
Percent of inquiries completed	99%	103%	99% / 100%	99%	99%
Percent of I-Net locations constructed	189%	100%	100% / 73%	100%	100%
Percent of total I-Net locations activated for video	90%	140%	100% / 75%	100%	100%
Percent of I-Net overall uptime	99.9%	99.9%	99.9% / 99.9%	99.9%	99.9%

Performance Measurement Results

Verizon's fiber optic cable communications system construction will continue in FY 2012 and FY 2013; however, construction is not likely to increase from FY 2011 levels as Verizon completes build-out.

Communications Productions Division

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	25 / 25	29 / 29	29 / 29	29 / 29	29 / 29
Total Expenditures	\$3,344,340	\$3,809,804	\$4,053,009	\$3,931,969	\$3,954,108

Position Summary		
Communications Productions Division	Communications Engineering	Conference Center
1 Director, Comm. Productions	1 Network Telecom Analyst III	1 Video Engineer
2 Administrative Assistants II	2 Network Telecom Analysts II	1 Administrative Assistant III
	1 Network Telecom Analyst I	1 Administrative Assistant II
		1 Administrative Associate
Communications Productions	Consumer Affairs	
1 Instructional Cable TV Specialist	1 Administrative Assistant II	Regulation and Licensing
5 Producers/Directors		1 Administrative Assistant III
6 Assistant Producers		
4 Media Technicians		
TOTAL POSITIONS		
29 Positions / 29.0 Staff Years		

Fund 105

Cable Communications

Key Performance Measures

Goal

To provide a centralized video production center and satellite conferencing facility for the Board of Supervisors, County Executive, and all County agencies in order to communicate critical County information to the public and training for employees, and to provide related production services in new technologies to benefit the public and County operations.

Objectives

- ◆ To serve the public information needs of the County and the educational needs of the County workforce by completing 98 percent of program hours requested for both Channel 16 and FCTN while maintaining cost, quality, and work hour efficiencies.
- ◆ To maintain 99.5 percent uptime for Channel 16 program transmission.
- ◆ To complete 100 percent of duplication requests within required deadline.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Original live program hours	627.5	650.1	664.0 / 591.5	NA	NA
Original studio program hours	62.0	75.1	52.0 / 54.3	NA	NA
Original field program hours	122.6	117.5	148.5 / 127.8	NA	NA
Original program hours	812.1	842.7	864.5 / 773.6	864.5	864.5
Hours of program transmission	NA	NA	8,716 / 8,677	8,716	8,716
Completed duplication requests	NA	NA	443 / 481	443	443
Efficiency:					
Live program work hours per program hour	4.9	4.9	5.0 / 4.9	NA	NA
Studio program work hours per program hour	38.1	37.2	50.0 / 65.6	NA	NA
Field program work hours per program hour	128.2	135.5	159.5 / 101.9	NA	NA
Work hours per program hour	26.1	26.0	33.9 / 25.2	33.9	33.9
Staff hours per transmission interruption resolution	NA	NA	12.0 / 0.4	6.0	6.0
Staff hours per duplication request	NA	NA	0.8 / 0.7	0.8	0.8

Fund 105 Cable Communications

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Service Quality:					
Percent of clients satisfied with live programs	100%	100%	97% / 100%	NA	NA
Percent of clients satisfied with studio programs	100%	100%	97% / 100%	NA	NA
Percent of clients satisfied with field programs	100%	100%	97% / 100%	NA	NA
Percent of clients satisfied with programs	100%	100%	97% / 100%	97%	97%
Percent of transmission interruptions resolved within 8 hours	NA	NA	80% / 92%	88%	88%
Percent of completed duplication requests meeting customer requirements	NA	NA	98% / 100%	98%	98%
Outcome:					
Percent of requested live programs completed	100%	100%	98% / 100%	NA	NA
Percent of requested studio programs completed	98%	99%	98% / 101%	NA	NA
Percent of requested field programs completed	98%	101%	98% / 100%	NA	NA
Percent of requested programs completed	99%	101%	98% / 100%	98%	98%
Percent of program transmission uptime	NA	NA	99.5% / 99.0%	99.5%	99.5%
Percent of duplication requests completed within required deadline	NA	NA	100% / 91%	100%	100%

Performance Measurement Results

In FY 2012, CPD combined live, studio, and field program hours into a single measure and introduced two new families of measures to report on other lines of service. In FY 2011, Original Program Hours decreased as total program hours may vary as requested by the Board of Supervisors, County Executive, and County agencies. In FY 2011, Hours of Program Transmission's Efficiency and Service Quality measures were lower than estimated due to less staff time required to resolve transmission outages, resulting in a revised estimate for FY 2012.

Fund 105 Cable Communications

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 105, Cable Communications

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$21,519,673	\$13,257,162	\$24,288,130	\$7,746,933	\$7,746,933
Revenue:					
Miscellaneous Revenue	\$776	\$1,200	\$1,200	\$1,200	\$1,200
Fines and Penalties	66,400	0	0	0	0
I-Net and Equipment Grant	5,940,784	5,142,674	6,062,063	7,188,349	7,188,349
Franchise Operating Fees	15,122,060	14,171,496	15,671,496	17,638,371	17,638,371
Total Revenue	\$21,130,020	\$19,315,370	\$21,734,759	\$24,827,920	\$24,827,920
Total Available	\$42,649,693	\$32,572,532	\$46,022,889	\$32,574,853	\$32,574,853
Expenditures:					
Personnel Services	\$4,322,513	\$4,951,569	\$5,010,504	\$5,237,451	\$5,283,890
Operating Expenses	4,471,692	5,698,567	12,522,926	4,931,709	4,931,709
Capital Equipment	764,127	300,000	526,677	300,000	300,000
Subtotal Expenditures	\$9,558,332	\$10,950,136	\$18,060,107	\$10,469,160	\$10,515,599
Transfers Out:					
General Fund (001) ¹	\$2,729,399	\$6,901,043	\$6,901,043	\$4,270,457	\$4,270,457
Schools Grants & Self Supporting Programs (192) ²	2,267,729	3,476,203	3,476,203	4,898,169	4,898,169
Schools Grants & Self Supporting Programs (192) ³	250,000	250,000	250,000	250,000	250,000
Information Technology (104) ⁴	1,742,000	3,670,000	5,670,000	3,260,000	3,260,000
County Construction (303) ⁵	0	404,500	1,904,500	0	0
Public Safety Construction (312) ⁶	0	200,000	200,000	0	0
Capital Renewal Construction (317) ⁷	0	0	0	285,000	285,000
Technology Infrastructure Services (505) ⁸	1,814,103	1,814,103	1,814,103	4,620,303	4,620,303
Total Transfers Out	\$8,803,231	\$16,715,849	\$20,215,849	\$17,583,929	\$17,583,929
Total Disbursements	\$18,361,563	\$27,665,985	\$38,275,956	\$28,053,089	\$28,099,528
Ending Balance⁹	\$24,288,130	\$4,906,547	\$7,746,933	\$4,521,764	\$4,475,325
Reserve for PC Replacement	\$31,500	\$31,500	\$31,500	\$31,500	\$31,500
Unreserved Ending Balance	\$24,256,630	\$4,875,047	\$7,715,433	\$4,490,264	\$4,443,825

Fund 105

Cable Communications

¹ The base Transfer Out to the General Fund represents compensation for staff and services provided by the County primarily for cable-related activities and is calculated as 20 percent of the franchise operating fees. In addition, annual reconciliation of the revenue and subsequent transfer is conducted and necessary adjustments have been incorporated in the FY 2013 budget. It should be noted that the FY 2012 transfer to the General Fund includes an additional \$2.0 million redirected by delaying an IT project and \$1.3 million identified by the Auditor to the Board.

² The base Transfer Out to the Schools funding reflects compensation for staff and services provided by the Fairfax County Public Schools and is calculated as 20 percent of the franchise operating fees. In addition, annual reconciliation of the revenue and subsequent transfer is conducted and necessary adjustments have been incorporated in the FY 2013 budget.

³ This funding reflects a direct transfer to FCPS to support a replacement equipment grant of \$250,000.

⁴ In FY 2013, this funding reflects a direct transfer of \$3.26 million to Fund 104, Information Technology, of which \$1.86 million will support the second year of a two-year commitment to purchase in-car video technology in 800 police cruisers, \$1.0 million supports the first year of a two-year Tax Systems Modernization project, and \$0.4 million supports the E-Government project.

⁵ In FY 2012, \$404,500 reflects a transfer to Fund 303, County Construction, to support extending the I-Net and voice/data systems to new and expanded County facilities, while the remaining \$1,500,000 reflects funding in support of artificial turf field development approved by the Board of Supervisors as part of the *FY 2012 Third Quarter Review*.

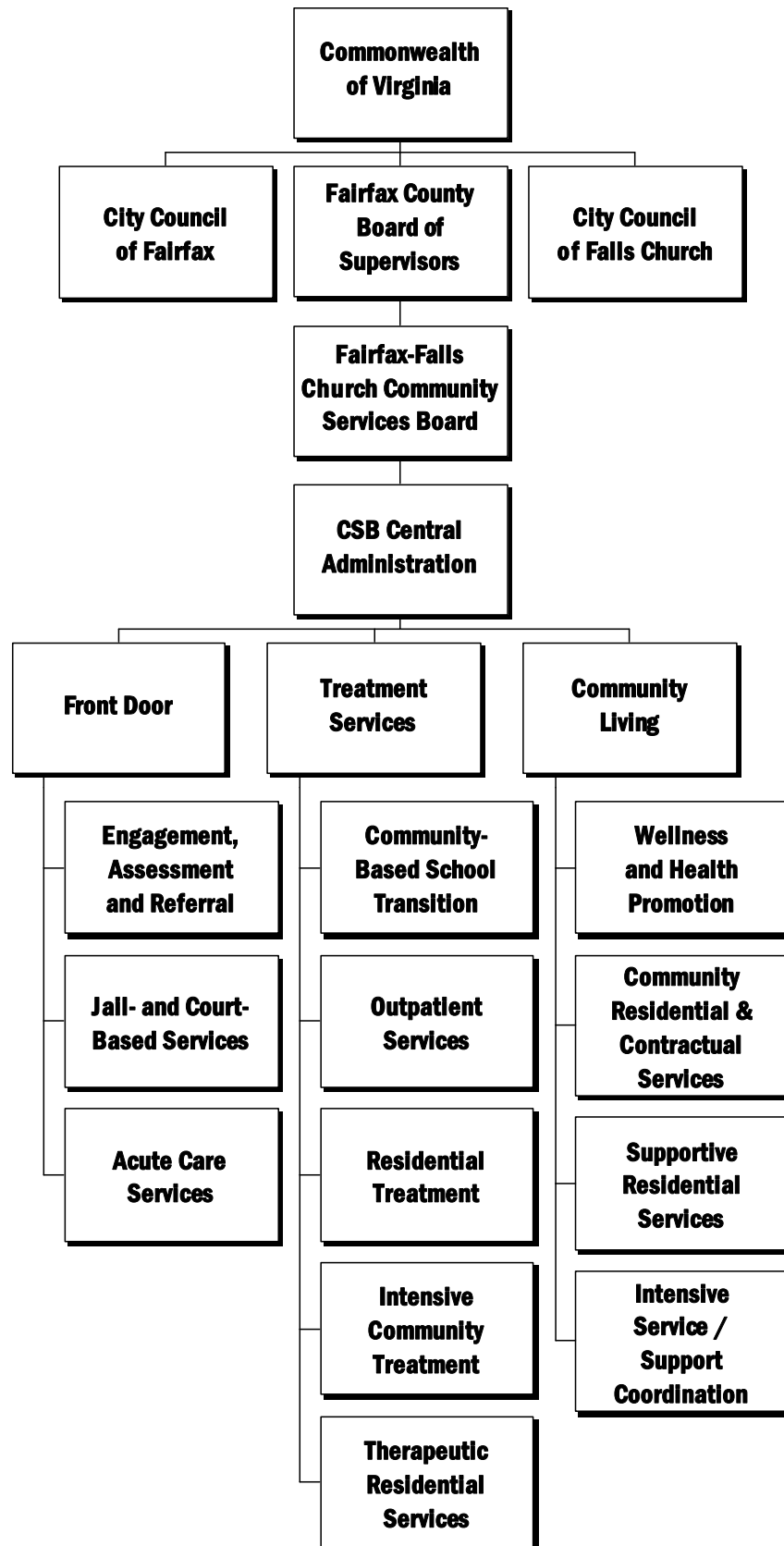
⁶ In FY 2012, this funding reflects a direct transfer to Fund 312, Public Safety Construction, to support technology-related costs associated with courtroom renovations.

⁷ In FY 2013, this funding reflects a direct transfer to Fund 317, Capital Renewal Construction, to support seating and carpeting renovations in the Government Center auditorium for on-camera use.

⁸ Funding of \$1,814,103 reflects a direct transfer to Fund 505, Technology Infrastructure Services, to support staff and equipment costs related to construction of the I-Net. In addition, in FY 2013 an amount of \$2,806,200 is included reflecting the first year of a multi-year commitment to replace and refresh core elements of the I-Net.

⁹ Actual ending balances fluctuate year to year, as ending balances are reappropriated within Fund 105. Equipment and services expenditure requirements fluctuate year to year based on I-Net construction and maintenance schedule.

Fund 106 Community Services Board (CSB)



Fund 106

Community Services Board (CSB)

Mission

The Fairfax-Falls Church Community Services Board (CSB) partners with individuals, families and the community to empower and support Fairfax-Falls Church residents with, or at risk of developing, developmental delays, intellectual disabilities, mental illness, and substance use disorders or dependency. The department provides leadership to ensure the integration of the principles of resilience, recovery and self-determination in the development and provision of services. The department maintains accountability by ensuring that continuous system improvement is anchored in best practice, outcome and effectiveness measurement, and the efficient use of resources. As the public support network, the CSB provides services which assist, improve and maximize the potential of individuals affected by these conditions and strengthens their capacity for living self-determined, productive and valued lives within the community.

Focus

System Transformation

In FY 2012, the CSB reorganized and realigned resources to better serve those who need services the most. The transformation process was guided by four goals: 1) establishment of a service structure based on the priority needs of those served; 2) establishment of an organizational structure that is primarily driven by the data that measures effectiveness in achieving the priority needs; 3) establishment of an administrative structure that supports the service structure, with all of the tools needed so that the primary concentration is on service delivery and providers' ongoing interactions with those served; and 4) accountability for a restructuring process based on building upon strengths within the department, the County and the larger community.

Services are now organized according to how they are provided, in an integrated system of care with a focus on recovery and self-determination, rather than by disability areas. Each service area is accountable for consistently assessing its capacity to respond to the needs of the people it serves, within available resources. As the CSB completes the department's transition from the previous organizational structure by disability area, performance measures will continue to be refined to ensure the goals, objectives, baseline targets, and the complete "family of measures" associated with program performance will enable staff to measure the success of CSB's new lines of service in achieving intended program outcomes. Please note that performance measures for prior lines of service will not systematically match performance measures for FY 2013, and similarly, performance measure results for FY 2011 will not consistently match the FY 2013 lines of services to which they are paired. Complete FY 2011 Actual performance data and results for the previous organizational structure by disability areas can be found at: <http://www.fairfaxcounty.gov/dmb/fy2012/adopted/CSB-PMs.pdf>.

Budget Management Plan

Given the County's fiscal climate, increases and changes in service demands, and growing costs to operate services, services currently provided by the CSB are currently being reviewed and some will likely need to be restructured to contain costs. This may include decreasing the intensity of services, establishing waiting lists, finding business efficiencies, continuing to maximize revenue collections, enlisting assistance from private providers, and/or eliminating programs. The CSB will work closely with the Office of the County Executive, Department of Management and Budget, Department of Administration for Human Services, as well as advocates, the community, and County agencies to address how to establish a new service model for all services. The CSB will present a final FY 2013 Budget Management Plan to the Board of Supervisors as part of the *FY 2012 Carryover Review*.

Fund 106

Community Services Board (CSB)

Key Areas of Accomplishment

Restructured Organization

- Reorganized CSB Board structure with a focus on cohesive advocacy, community outreach and oversight.
- Launched department-wide restructure to improve service delivery, to be more flexible and responsive in a changing environment, and to better serve people with complex needs.

Improvements and efficiencies in funding and business processes

- Created a Financial Assessment and Screening Team (FAST) to help people discover and access benefits for which they might be eligible.
- Focused on developing new revenue sources each year.
- Created a 501(c)(3) organization to help focus and encourage innovation and diverse community support.
- Created new performance and outcome measures to enhance cross-system accountability.
- Continued building partnerships with other service providers and community organizations, including Inova Health Systems and George Mason University.
- Launched the new CSB Electronic Health Record on March 1, 2012.

Building a service delivery system that supports recovery, resilience and independence

- Integrated all clinical intake and referral processes into one point of entry for all services (call center).
- Implemented Intensive Community Treatment teams to bring the right services to people where they need them in the community.
- Provided services for people with co-occurring disorders (substance use disorders and mental illness) at all CSB sites.
- Established inclusive roles for consumers as peer counselors and included consumers as active participants and partners in service delivery.
- Helped people receiving CSB services find safe, affordable and stable housing.

Increased community education, prevention and early intervention efforts

- Partnered with QoL Pharmacies to create in-house pharmaceutical services so that individuals can access their medications at CSB clinics and have a pharmacist available for consultation.
- Launched public outreach on Mental Health First Aid.
- Established a Wellness and Health Promotion service area to focus prevention and early intervention efforts around strategic priorities.
- Increased use of media and community networks for key messages and improved communication.

Helping people who receive CSB services connect with primary health care

- Built and expanded collaborative relationships with the County's Community Health Care Network (CHCN), other key primary care providers and Medicaid managed care organizations.
- With grant funding and a partnership with George Mason University, trained CSB nurses to assess for key, primary health care indicators.
- Established a Behavioral Health Care Network of providers to build and enhance the community behavioral health safety net.

Fund 106

Community Services Board (CSB)

Continuing Challenges

Standard housing options generally do not meet the needs of people receiving CSB services.

- A “housing plus” approach that offers affordable, safe housing plus individualized case management and supportive services increases the likelihood that consumers will successfully maintain their housing, work toward recovery and independence, and have fewer episodes of crisis.
- Increasing affordable rental stock is a fundamental step for bringing housing within reach for CSB consumers. Rental subsidies are needed for 70 percent of those on the CSB waitlist for housing.
- Both private and public options are needed.
- New policies and programs are critical to address major barriers CSB consumers face, including accessibility, poor credit and criminal records.

Various types of employment opportunities are needed to support recovery and build independence.

- Independent and supported employment opportunities should be encouraged and developed.
- Many CSB consumers need some type of supported employment, at least initially, for long-term success.

Transportation is key to improving recovery and resilience.

- Many CSB consumers lack a private means of transportation in a community that is difficult to navigate without it.
- All transportation is a challenge when it is not affordable. Sixty-six percent of people receiving County services in 2010 for mental illness, substance use disorder or intellectual disability had incomes below \$10,000.
- Many CSB consumers cannot drive due to disability or use of certain medications.
- Transportation is critical, not only to access services but also to experience all facets of community life.

Many CSB consumers lack adequate access to primary health care.

- The people CSB serves often do not or cannot get their needs addressed in the traditional health care system.
- There is a dramatic disparity nationwide in life expectancy for people with serious mental illness compared with that of the general population.
- The CSB will need to focus on serving those who are disabled by their mental illness and/or substance use disorder and cannot be served by other providers. As a result, the CSB may have less service availability for others. More alternative community and private resources must be developed to meet the community’s overall needs for behavioral health services.

Current Issues and Trends

- 1) All Medicaid eligible services, including behavioral health, primary health care and ID/DD waivers (for people with intellectual/developmental disabilities) will likely need to be restructured as a result of recent events such as federal health care reform, the Department of Justice settlement with the Commonwealth regarding the closure of all but one of its large institutions for the developmentally disabled, and a new Department of Medical Assistance Services (DMAS) contract for behavioral managed care.
- 2) The impact of potential additional federal cuts on CSB services is still unknown. However, these reductions will inevitably lead to decreased access to funding sources for some existing programs and activities.

Fund 106 Community Services Board (CSB)

- 3) The CSB will continue to increase the use of new technologies, including teleconferencing and web based services, to more efficiently and effectively provide services.
- 4) CSB consumers and customers will be expected to take on increasing responsibility for their needs unless they are significantly disabled.
- 5) New available medications will allow the CSB to stabilize the symptoms of more people but may be cost prohibitive to wider use. In addition, those who respond only to these newer medications will have extensive rehabilitative costs.
- 6) Medicare will be an increasing source of funding for some of the CSB's health care services and may rival Medicaid as a leading funding source for some services.
- 7) The CSB's new electronic health record system will give the department a much better look at the return on investment and other business intelligence, which will help make decision making more informed.

Budget and Staff Resources



Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	897 / 894.25	921 / 918.25	1018 / 1014.75	1020 / 1016.75	1020 / 1016.75
Grant	151 / 149.5	147 / 145.5	0 / 0	0 / 0	0 / 0
Expenditures:					
Personnel Services	\$91,445,518	\$90,474,968	\$91,688,873	\$91,336,244	\$92,596,653
Operating Expenses	61,821,322	56,837,912	51,097,743	51,147,349	51,147,349
Capital Equipment	109,115	0	0	0	0
Subtotal	\$153,375,955	\$147,312,880	\$142,786,616	\$142,483,593	\$143,744,002
Less:					
Recovered Costs	(\$3,456,734)	(\$1,056,899)	(\$898,974)	(\$1,123,974)	(\$1,123,974)
Total Expenditures	\$149,919,221	\$146,255,981	\$141,887,642	\$141,359,619	\$142,620,028

Fund 106 Community Services Board (CSB)

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
CSB Service Area Expenditures					
CSB Administration/ Cross-Cutting Services	\$17,147,179	\$13,858,300	\$15,127,838	\$14,182,463	\$14,329,835
Front Door	14,925,179	14,920,618	15,072,442	18,347,383	18,530,965
Treatment Services	59,033,057	59,259,408	61,645,813	57,215,597	57,858,251
Community Living	48,192,857	48,640,689	50,041,549	51,614,176	51,900,977
Grants*	10,620,949	9,576,966	0	0	0
Total Expenditures	\$149,919,221	\$146,255,981	\$141,887,642	\$141,359,619	\$142,620,028
Non-County Revenue by Source					
Fairfax City	\$1,309,903	\$1,309,902	\$1,309,902	\$1,336,100	\$1,336,100
Falls Church City	593,720	593,720	593,720	605,595	605,595
State DBHDS	21,326,035	20,430,277	13,017,516	12,871,445	12,871,445
State Other	187,251	272,397	74,592	0	0
Federal Block Grant	4,607,216	4,609,327	4,299,364	4,245,895	4,245,895
Federal Other	2,355,013	1,810,093	180,087	0	0
Federal ARRA	821,407	0	17,777	0	0
Medicaid Waiver	3,366,329	2,260,214	2,260,214	2,671,003	2,671,003
Medicaid Option	10,850,166	12,791,939	12,791,939	12,711,947	12,711,947
Program/Client Fees	5,544,875	4,652,738	4,647,177	6,084,272	6,084,272
CSA Pooled Funds	1,822,028	1,616,020	1,616,020	1,616,020	1,616,020
Miscellaneous	81,719	56,124	56,124	56,124	56,124
Total Revenue	\$52,865,662	\$50,402,751	\$40,864,432	\$42,198,401	\$42,198,401
Fund Balance	\$3,926,452	\$127,904	\$526,828	\$0	\$0
County Transfer to CSB	\$93,127,107	\$95,725,326	\$100,496,382	\$99,161,218	\$100,421,627
County Transfer as a Percentage of Total CSB Expenditures					
	62.1%	65.5%	70.8%	70.1%	70.4%

* Fund 106 Grants were transferred to Fund 102, Federal/State Grant Fund as a result of a change in definition of grant funding in the new financial reporting system.

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$3,148,834**
An increase of \$3,148,834 in Personnel Services reflects \$1,888,425 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$1,260,409 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

Fund 106 Community Services Board (CSB)

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$1,670,653**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$1,670,653 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆ **Contract Rate Adjustment** **\$1,075,814**
An increase of \$1,075,814 in Operating Expenses is associated with contracted administration, mental health, intellectual disability, alcohol and drug, early intervention and CSB-wide services.
- ◆ **Infant and Toddler Connection** **\$823,456**
An increase of \$823,456 in Operating Expenses, offset by a commensurate increase in fee revenue, will support additional contractor services to provide clinical and therapeutic services to the increasing population of eligible children.
- ◆ **Support Coordination for Consumers with Intellectual Disabilities** **\$175,683**
An increase of \$175,683 in Personnel Services, offset by a commensurate increase in Medicaid revenue, is associated with the establishment of 2/2.0 SYE Intellectual Disability Specialists II for Intensive Service/Support Coordination case management services for consumers with intellectual disabilities.
- ◆ **Fairfax Detoxification Center** **\$0**
The establishment of 3/3.0 SYE positions is associated with the CSB's plan to convert social detoxification beds at the Fairfax Detoxification Center to become medical detoxification beds. No additional funding is needed to support the new positions.
- ◆ **Alignment of Costs** **(\$105,000)**
A decrease of \$105,000 is required to reallocate to Agency 68, Department of Administration for Human Services (DAHS) to properly align costs within the human services system. DAHS supports critical activities within the human services system and has not had sufficient resources in the last several fiscal years to meet ongoing and emergency requirements, including revenue collection and contract administration. This reallocation was included as part of the *FY 2011 Carryover Review*, and is made by the agencies partnering with DAHS in recognition of their reliance on the services the agency performs on their behalf to meet the mission of the human services system.
- ◆ **Miscellaneous Adjustments** **(\$9,775,893)**
A net decrease of \$9,775,893 is associated with necessary grant and non-grant adjustments including a decrease of \$9,576,966 to transfer existing Fund 106 grants to Fund 102, Federal /State Grant Fund as a result of a change in definition of grant funding in the new financial reporting system, a decrease of \$150,000 for administrative adjustments, a decrease of \$87,000 in Personnel Services associated with the transfer of limited term funding to Agency 11, Department of Human Resources to properly align positions within the correct organizational unit, offset by an increase of \$38,073 for the services contract for the Cities of Fairfax and Falls Church.

Fund 106 Community Services Board (CSB)

◆ **Reductions**

(\$649,500)

A decrease of \$649,500 and 3/3.0 SYE positions reflects reductions utilized to balance the FY 2013 budget. In addition, there are revenue enhancements totaling \$357,500. As a result of these adjustments, there is a savings of \$1,007,000 to the General Fund Transfer. The following chart provides details on the specific reductions approved.

Title	Impact	Posn	SYE	Reduction
Decrease Contracted Interpreter Services Costs	This reduction is a business efficiency that maintains access to interpreter services but replaces more costly face-to-face services with telephonic services. The CSB would establish a new business protocol identifying telephonic interpreter services as the approved method of delivery.	0	0.0	\$250,000
Find Alternative Funding for the CSA Youth Resource Team	This reduction replaces County funding with non-mandated CSA State funding from the Virginia Department of Behavioral Health and Developmental Services to cover the personnel costs of two clinicians on the CSB's CSA Resource Team. This would transfer 2/2.0 SYE merit positions and \$150,000 in expenditures from Fund 106 to an existing Mental Health Initiative (MHI) Grant in Fund 102, Federal/State Grant Fund. By allocating a greater percentage of the State MHI allocation of \$515,529 to cover existing personnel costs, this would cause a net reduction in funds for individual purchase of service for the non-mandated at-risk youth. As a result of this repurposing, \$192,937 of State MHI funds would be available for purchase of service or other non-mandated CSA covered activities. In combination with local MHI funds budgeted in Fund 106, there would then be a total of \$688,355 available for purchase of service or other non-mandated CSA covered activities.	2	2.0	\$150,000
Increase Medicaid Revenue Target in Therapeutic Residential Services	<p>This reduction replaces County funding with alternative revenue sources such as Medicaid funding for the Residential Intensive Care-Comprehensive Program for persons with mental illness. The County currently funds a small percentage of the cost of the community-based highly intensive residential program.</p> <p>This reduction sets a goal of having 50 percent of the individuals served have Medicaid coverage. Such a prioritization may result in fewer individuals getting the service they need because they do not have Medicaid benefits for which the CSB can bill for covered Medicaid State Plan Option services. It may also increase the wait time for non-Medicaid eligible consumers who need mental health residential services. As a result of the actions above, there is a savings of \$100,000 to the General Fund Transfer.</p>	0	0.0	N/A

Fund 106 Community Services Board (CSB)

Title	Impact	Posn	SYE	Reduction
Prioritize HIDTA Admissions to Crossroads Adult Program	This reduction prioritizes High Intensity Drug-Trafficking Activity (HIDTA)-funded admissions to the Crossroads Adult program, which may result in fewer non-HIDTA funded consumers receiving services. Crossroads is a long-term, 9-to-18 month, residential substance abuse treatment facility that provides substance abuse education; individual, group and family counseling; vocational rehabilitation services; drug testing; and comprehensive case management. HIDTA-funded consumers are hard core substance dependent offenders who are under criminal justice supervision, have been referred to a substance abuse treatment program, and meet other criteria.	0	0.0	\$100,000
Increase Revenue for the Fairfax Detoxification Center	This reduction replaces County funding with alternative revenue sources such as Medicaid. However, if additional revenue cannot be generated, the CSB will have to find cost-savings of \$100,000, which may result in a reduction in the number of individuals receiving services or longer wait times. In addition, this reduction may create admission criteria that would require consumers to have Medicaid or third party-coverage. Currently, the majority of individuals served with a substance abuse-only diagnosis are not eligible to receive Medicaid, and individuals with co-occurring disorders are also not typically eligible for Medicaid unless diagnosed with schizophrenia. As a result of the actions above, there is a savings of \$100,000 to the General Fund Transfer.	0	0.0	N/A
Decrease Funding for Prevention and Student Assistance Services	This reduction eliminates 1/1.0 SYE position and will impact 81 youth who will not receive Student Assistance Program (SAP) services at three of 15 high schools that currently offer the program. The SAP program is a year-round, school-based alcohol and drug screening, assessment and early intervention program serving County high school youth. This reduction represents a 10 percent cut in the Wellness and Health Promotion service area and will impact the ability to intervene early with youth, helping divert them from more costly and intensive services in the future.	1	1.0	\$99,500
Increase Medicaid Revenue Target in Supportive Residential Services	This reduction replaces County funding with alternative revenue sources such as Medicaid. The County currently funds 76 percent of the combined cost of the supportive and intensive supervised service programs for individuals with a serious mental illness. The reduction would set two goals: a) supportive level of care would have 50 percent of the individuals served have Medicaid coverage; and b) intensive supervised level of care would have 60 percent of the individuals served have Medicaid coverage. Such a prioritization may result in fewer individuals getting the service they need because they do not have Medicaid benefits for which the CSB can bill for covered Medicaid State Plan Option services. In addition, it may increase the wait time of non-Medicaid eligible consumers for supportive residential services. As a result of the actions above, there is a savings of \$75,000 to the General Fund Transfer.	0	0.0	N/A

Fund 106 Community Services Board (CSB)

Title	Impact	Posn	SYE	Reduction
Increase Medicaid Funding for Directly-Operated Group Homes for Individuals with Intellectual Disabilities	This reduction replaces County funding with Medicaid Waiver funding for directly-operated group homes for individuals with intellectual disabilities. Should there not be availability in the group homes for individuals with Medicaid Waivers, the CSB must find cost-savings of \$75,000. This may result in a reduction in total bed capacity, leading to fewer individuals getting the service they need as the wait time for residential services would increase. In addition, giving priority to Medicaid-eligible consumers would weaken the safety net for non-Medicaid eligible consumers. As a result of the actions above, there is a savings of \$75,000 to the General Fund Transfer.	0	0.0	N/A
Find Alternative Funding for the Consumer and Family Affairs Training Specialist	This reduction replaces County funding with Regional Recovery grant funding awarded by the Northern Virginia Regional Projects Office for a Consumer and Family Affairs Wellness Recovery Action Plan (WRAP) training specialist. Currently, the CSB receives \$478,585 in Regional Recovery funds and this reduction decreases the amount available to support consumer-run centers and peer support education and training. In addition, this reduction would result in delaying the development of new recovery support systems within the community and developing additional peer specialists to address systemwide needs.	0	0.0	\$50,000
Increase Revenue for Substance Abuse Residential Admissions Unit	This reduction replaces County funding with alternative revenue sources such as Medicaid. The Substance Abuse Residential Admissions Unit (RAU) provides intensive outpatient service for consumers waiting for residential treatment. Individuals served by RAU are typically in the pre-contemplative stage of treatment and/or are unable to remain in a period of abstinence without being in a controlled therapeutic environment. If individuals are assessed to need a residential level of care, this likely means they are not able to maintain sobriety in the community and are not able to maintain stability required to benefit from an intensive outpatient group. An inability to generate fee-for-service business may result in a reduction in expenditures and the total number of consumers served or an increase in wait time. As a result of the actions above, there is a savings of \$7,500 to the General Fund Transfer.	0	0.0	N/A

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

◆ **Carryover Adjustments** (\$8,368,339)

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved a net decrease of \$8,368,339, comprised of decreases of \$2,126,095 in Personnel Services and \$6,400,169 in Operating Expenses, offset by a decrease of \$157,925 in Recovered Costs. This includes decreases of \$9,576,966 to transfer existing Fund 106 grants to Fund 102, Federal/State Grant Fund in preparation for the implementation of the new financial reporting system, and \$105,000 to reallocate to the Department

Fund 106 Community Services Board (CSB)

of Administration for Human Services to properly align costs within the human services system, offset by increases of \$17,777 for the carryover of unexpended federal stimulus grant balances, \$20,870 to appropriate additional revenue from ADS' Dual Diagnosis program for professional contractual services, and \$1,274,980 for a 2.0 percent market rate adjustment, effective September 24, 2011.

- ◆ **Third Quarter Adjustments** \$4,000,000
As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$4,000,000 to partially cover a budget shortfall resulting from lower than anticipated revenues, higher than anticipated program growth, and an inability to control personnel costs.

- ◆ **Management of Grant Positions Due to Replacement of the Legacy Computer System** \$0
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved the conversion of 97/96.0 SYE Merit Grant positions to Merit Regular positions due to a change in the treatment of some grants as a result of the replacement of the County's legacy computer system. In addition, 50/49.5 SYE Merit Grant positions were transferred from Fund 106 to Fund 102, Federal/State Grant Fund, as a result of how grants are managed in the new financial reporting system.

Cost Centers

Service Area Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
CSB Administration/Cross-Cutting					
Services					
Personnel Services	\$11,257,408	\$9,975,561	\$11,245,099	\$10,741,432	\$10,888,804
Operating Expenses	5,808,894	3,882,739	3,882,739	3,491,031	3,491,031
Capital Equipment	109,115	0	0	0	0
Subtotal	\$17,175,417	\$13,858,300	\$15,127,838	\$14,232,463	\$14,379,835
Less:					
Recovered Costs	(\$28,238)	\$0	\$0	(\$50,000)	(\$50,000)
Total Expenditures	\$17,147,179	\$13,858,300	\$15,127,838	\$14,182,463	\$14,329,835

Position Summary		
CSB Administration		
1 Executive Director	1 Management Analyst IV	1 Business Analyst IV
1 Deputy Director	1 Management Analyst III	2 Business Analysts III
2 Planning/Development Directors	1 Management Analyst II	1 Business Analyst II
1 Neighborhood/Comm Svcs Div Dir	1 Substance Abuse Counselor V	1 Administrative Assistant V
1 Resid. and Facilities Dev. Mgr.	1 Training Specialist II	2 Administrative Assistants IV
1 Mental Health Manager	2 Mental Health Therapists	1 Administrative Assistant III
1 Medical Records Administrator	1 MH/ID/ADS Aide	1 Information Officer III
1 Program Manager	1 Human Service Worker IV	1 Communications Specialist I
	7 Human Service Workers II	
TOTAL POSITIONS		
35 Positions/35.0 Staff Years		

Fund 106

Community Services Board (CSB)

Position Summary			
<u>Service Area Mgmt and Support</u>			
1 Director of ID Services	2 ID Specialists V	1 Administrative Assistant V	
1 Director of ADS	1 ID Specialist IV	13 Administrative Assistants IV	
1 Director of Clinical Operations	1 ID Specialist III	20 Administrative Assistants III	
1 Medical Director of CSB	2 ID Specialists II	33 Administrative Assistants II	
6 Mental Health Division Directors	1 Management Analyst III	2 Administrative Associates	
2 Mental Health Managers	1 Management Analyst I	4 Substance Abuse Counselors V	
1 BHN Clinician/Case Manager	3 Business Analysts II	1 Substance Abuse Counselor IV	
2 MH/ID/ADS Aides	1 Volunteer Services Coordinator II	1 Substance Abuse Counselor III	
TOTAL POSITIONS			
102 Positions/102.0 Staff Years			
21/21.0 SYE Grant Positions in Fund 102, Federal/State Grant Fund			

CSB Administration

CSB Administration includes the leadership and business supports for the entire CSB. The Executive Director Office is responsible for the overall functioning of the agency with specific emphasis on developing the CSB Administration into an enterprise driven unit that will support the service mission through maximizing resources and partnerships. The Deputy Director Office is responsible for implementing a person-centered service delivery system. The service models are developed based on the requirements of those receiving services. The goal is to ensure a qualitative, effective and seamless system comprised of directly operated and private sector services. In addition to restructuring the complaint and human rights system to maximize the department's ability to problem solve consumer issues in a timely, customer-friendly manner, the Consumer and Family Affairs Office is developing the ability of the consumer community to increasingly assume a role in the provision of services and support in the community. This includes developing independent, viable service organizations that can provide services such as peer support, crisis support, and recovery coaching for some consumers as an alternative, or complement, to the CSB funded professional services.

Corporate Compliance and Risk Management Office oversees the overall functioning of regulatory compliance, risk management, and service management activities. This office works closely with the County's Risk Management Office on issues of liability, contributing to the health and safety of consumers and employees while also protecting the CSB's assets. The office is organized to specifically handle issues including Medicaid, credentialing of staff, clinical standards and other health care related issues. It updates and manages policies, regulations, and incident trends while identifying high risk areas for the agency and mitigating exposure. The office is the liaison with licensing and accreditation organizations, including managed care companies. It ensures compliance with payer requirements, credentialing, provider relations and managed care contracts. It is the chief liaison with Office of Emergency Management to ensure preparedness of CSB programs and readiness to respond to requests from County staff.

Incorporating budget and other financial information with strategies developed in the service system, the Enterprise Services Office ensures all strategies implemented have the integrated approach. Additionally, this office provides oversight and direction for the budget, revenue management and the Financial Assessment and Screening Team (FAST) service of the CSB.

Fund 106

Community Services Board (CSB)

In coordination with the Department of Information and Technology, the Healthcare Informatics Office oversees all of the CSB's technology resources, devices, software and hardware functions that optimize obtaining, securely storing, and strategically organizing, analyzing and presenting service information. This office has guided the purchase and rapid implementation of a new, more effective, more efficient Electronic Health Record system. In the first full year after its Spring 2012 implementation, the office will further develop data interfaces with key business partners and connect business processes with key hardware that ensures maximum mobility and access for the service system.

Organizational Development and Training provides strategic organizational development and training activities to enhance services provided to consumers and families served. Among these activities are: onboarding and orientation of new employees, designed to provide immersion activities through the first year of employment to increase relevance and readiness in service provision; online courses in behavioral healthcare, compliance and human resource content areas; and ongoing strategic evidence and performance based instruction in targeted areas (e.g., suicide assessment and prevention, motivational interviewing, trauma-informed care, diagnostic skill-based training, co-occurring mental health and substance use programming enhancement, human rights, system of care, and managerial supports).

The Quality Improvement/Performance Office coordinates efforts to develop performance tools that will assist with measuring all aspects of agency performance, with a special emphasis on service outcomes. This office assures effective use of existing sources of data as well as selectively develops new sources when necessary. The office also ensures that information generated by these tools leads to performance improvement as indicated.

The Resource and Partnership Development Office focuses on enhancing existing partnerships and developing new relationships to maximize the potential opportunities for new resources and service development. This office provides five fundamental functions necessary to accomplish the overall goals of the system, including housing and residential development opportunities to enhance existing resources and develop new sites to address the needs of hundreds of people who cannot access adequate housing; developing and implementing a system-wide communication and market positioning plan that ensures a coherent and cohesive strategy for the CSB; developing and implementing community capacity building strategies to ensure that partnerships are well focused on mutual goals; developing and implementing strategies to work with the private sector to ensure that those functions and services that can be provided in that sector are well coordinated with the CSB's overall strategies; and new fund development which analyzes and determines the viability of new funding opportunities in conjunction with the Enterprise Office, including grants, new contract opportunities and expanding services.

Facility and Administrative Operations

Facility and Administrative Operations provides oversight, direction and coordination to the many administrative functions that support the CSB service system. These functions are currently managed by various County agencies (DAHS, FMD, and Fleet Management) and CSB service areas without effective overall coordination. This office will emphasize economies-of-scale, assigning duties based on necessary qualifications, and consistency of responsiveness throughout the system. While this office will manage areas including food services, administrative support, maintenance, lease contracts and security, the primarily emphasis is coordination among various decision-making systems and creating a system of CSB site management. The administrative support services have initiated geographic-area oversight in order to address accountability, consistency of best practices, and staffing coverage at times of multiple vacancies or high demand at particular service locations.

Fund 106

Community Services Board (CSB)

Key Performance Measures

Goal

To provide overall leadership, policy direction and oversight of all programs and services supported by the Fairfax-Falls Church Community Services Board (CSB) to ensure the provision of quality and timely services to individuals served by the CSB.

Objectives

- ◆ To increase to 85 percent the percentage of system-wide service quality and outcome goals achieved.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY2009 Actual	FY2010 Actual	FY2011 Estimate/Actual	FY 2012	FY 2013
Outcome:					
% of CSB service quality and outcome goals achieved	74%	80%	80%/78%	80%	85%

Performance Measurement Results

In FY 2011, the CSB met 28 of 36 system-wide goals, or 78 percent of the service quality and outcome performance goals, falling short of the target of 80 percent. Continuing from last year, the overall consumer satisfaction with CSB services met or exceeded the target in nearly all areas.

Outcome and service quality targets were negatively impacted by several factors, including the decline in the economy having a negative impact on the wages of day support clients with intellectual disabilities in the same way as the general population, and increased demand for services outpacing resources in Infant Toddler Connection. Staff vacancies throughout the year also reduced the number of consumers seen and services provided.

Cross-Cutting Services

Cross-cutting services are integrated across all service areas and include housing, employment and vocational services, peer supports, medical services, consumer affairs, and access to entitlements.

Employment and Day Support

In addition to the 12 CSB Service Areas, Employment and Day Support provides assistance and training to improve individual independence, self-sufficiency and adjustment, as well as in obtaining vocational training and support to enter and remain in the workforce. Employment and day support services for individuals with intellectual disabilities are provided primarily through contracts and partnerships with private, non-profit and/or public agencies.

Developmental Services provide self-maintenance training and nursing care for individuals who are the most severely disabled in areas such as intensive medical care, behavioral interventions, socialization, communication, fine and gross motor skills, daily and community living skills, and possibly limited remunerative employment. Psycho-social Rehabilitation provides an adjustment period and skills for persons transitioning to employment and socialization in a work setting. Specific services may include training in areas of self-esteem, self-confidence, and self-awareness. Sheltered Employment provides individuals remunerative employment in a supervised setting with support services for habilitative

Fund 106 Community Services Board (CSB)

development. Group Supported Employment provides individuals intensive job placement assistance for off-site, supervised contract work and competitive employment in the community. Job retention services are also provided. Individualized Supported Employment provides remunerative employment with necessary support services. This service primarily serves persons with less severe disabilities and stresses social integration with non-disabled workers. Cooperative Employment Program (CEP) provides supported competitive employment services to eligible individuals with developmental disabilities. The CEP is jointly funded and operated by the Department of Rehabilitative Services (DRS) and the CSB. Using an individualized approach, program staff assesses skills, analyzes job requirements, and provides on-the-job training for disabled individuals and disability awareness training for employers.

Key Performance Measures

Goal

To provide employment training, support, supervision, and placement or day activity to individuals with intellectual disability, serious mental illness or substance use disorders so they can enter and remain in the workforce.

Objectives

- ◆ To achieve or exceed an 80 percent target of individuals with intellectual disability that will maintain or improve their current level of day support or employment service (habilitative/day support services, sheltered employment, group supported employment, individual supported employment or Cooperative Employment Services).
- ◆ To achieve or exceed an average annual wage of \$4,900 for individuals with intellectual disability receiving group supported employment services.
- ◆ To achieve or exceed an average annual wage of \$16,000 for individuals with intellectual disability receiving individual supported employment services (including Cooperative Employment Services).

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served in all Day Support and Employment Services	1,251	1,190	1,280 / 1,280	1,350	1,005
# of individuals served in Day Support and Employment Services funded by non-Medicaid waiver resources	NA	NA	NA	NA	539
Day Support - # of Non-Medicaid eligible persons served	703	641	681 / 584	620	NA
Supported Employment - # of Non-Medicaid eligible persons served	494	577	637 / 512	550	NA

Fund 106 Community Services Board (CSB)

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Efficiency:					
Annual cost per individual receiving services in Day Support and Employment Services	NA	NA	NA	NA	\$14,345
Annual cost per individual receiving Day Support and Employment Services funded by non-Medicaid waiver resources	NA	NA	NA	NA	\$18,364
Day Support - Annual cost per individual receiving day support (habilitative) services funded with local dollars	\$17,536	\$19,426	\$19,866 / \$24,363	\$20,317	NA
Annual cost per individual receiving group and individual supported employment services funded with local dollars	\$11,394	\$9,466	\$11,394 / \$11,247	\$11,394	NA
Service Quality:					
% of individuals satisfied with services	96%	97%	90% / 98%	90%	97%
Outcome:					
% of individuals who maintain or improve their level of day support or employment	NA	NA	NA	NA	80%
Average annual wages reported by individuals receiving group supported employment services	\$5,742	\$6,190	\$6,252 / \$5,504	\$4,900	\$4,900
Average annual wages reported by individuals receiving individual supported employment services (including CEP).	\$15,925	\$16,772	\$16,940 / \$16,683	\$16,000	\$16,000

Performance Measurement Results

According to an annual survey, in FY 2011, 98 percent of individuals receiving Day Support Services were satisfied, exceeding the goal of 90 percent and the FY 2010 actual of 97 percent. This is a very positive result, especially given the economic climate.

Total wages earned by the 384 people surveyed who received group supported employment services in FY 2011 was \$2,113,583, for average annual earnings of \$5,504. The FY 2011 average wage total was \$686 lower than the FY 2010 average wage total of \$6,190. Total wages earned by the 190 people surveyed who received individual employment services in FY 2011 were \$3,169,776, for average annual wage earnings of \$16,683, just \$89 lower than the FY 2010 actual of \$16,772. The decrease in average annual wage earnings for both groups primarily reflects a decrease in total hours worked.

Overall, in FY 2011, the percentage change in average wages reported by individuals in all contracted supported employment programs was a decrease of 6.9 percent versus a target of a 1.0 percent increase. This decrease can be explained within the context of a struggling national economy where those served by the CSB, similar to the general population, have experienced loss of jobs, loss of work hours, and difficulties in placements in other jobs.

Fund 106

Community Services Board (CSB)

In FY 2011, a total of 584 non-Medicaid individuals received day support services, a significant decrease from the FY 2010 total of 641. This is due to higher than anticipated attrition rates and continued prioritization of Medicaid-funded services in FY 2011. The cost per individual served with local funds for Day Support was \$24,363 in FY 2011, a \$4,937 increase from the FY 2010 amount. This increase is attributable to the contract rate adjustments in FY 2011, and higher expenses for private providers in the following areas: direct-care personnel; increased medical, behavioral and accessibility needs for aging consumers; higher insurance premiums; and necessary provisions for severe weather and emergency management. The cost per individual served with local funds for Supported Employment was \$11,247 in FY 2011, which represents a \$1,781 increase from the FY 2010 cost. These estimates are determined up to two years ahead of time and are based on estimated state and local funding, number of projected consumers, expected program attrition, and foreseeable contract rate adjustments. Since these variables are continuously changing, the cost per individual for IDS Day Support services is difficult to accurately project.

Service Areas

CSB Services are now organized according to how they are provided and whether they are of lower or higher intensity, rather than by disability areas (mental illness, substance abuse, intellectual and developmental disabilities). There are now 12 CSB Lines of Service, grouped into three categories:

- Front Door services include entry and screening for all CSB services, as well as more intensive front door services such as crisis stabilization and detoxification.
- Treatment Services include outpatient and day treatment, as well as more intensive residential treatment.
- Community Living services include daytime supported employment, as well as group homes with 24/7 staff support.

The new service structure builds on strengths of the CSB staff and community partners, and it will support evidence-based clinical practices and new technologies to improve outcomes for the people served. Staff from previously distinct program areas will be working more closely together and learning from each other, enhancing the department's capacity to ensure that services and supports are connected and cohesive.

Fund 106 Community Services Board (CSB)

Front Door

Service Area Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Front Door					
Personnel Services	\$10,315,855	\$9,532,926	\$9,789,750	\$13,336,450	\$13,520,032
Operating Expenses	5,662,849	6,072,738	5,967,738	5,695,979	5,695,979
Capital Equipment	0	0	0	0	0
Subtotal	\$15,978,704	\$15,605,664	\$15,757,488	\$19,032,429	\$19,216,011
Less:					
Recovered Costs	(\$1,053,525)	(\$685,046)	(\$685,046)	(\$685,046)	(\$685,046)
Total Expenditures	\$14,925,179	\$14,920,618	\$15,072,442	\$18,347,383	\$18,530,965

Position Summary		
<u>Engagement, Assessment and Referral</u>	<u>Jail- and Court-Based Services</u>	<u>Acute Care Services</u>
1 Mental Health Manager	1 Adult Mental Health Supv./Specialist	1 BHN Supervisor
1 Mental Health Counselor	2 Mental Health Managers	1 Nurse Supervisor
2 Mental Health Supv./Specialists	2 Clinical Psychologists	2 Licensed Practical Nurses
5 Mental Health Therapists	5 MH/ID/ADS Sr. Clinicians	1 Public Health Doctor, PT
1 ID Specialist III	1 Public Health Nurse III	4 Emer./Mobile Crisis Unit Supervisors
7 MH/ID/ADS Sr. Clinicians	1 Substance Abuse Counselor V	BHN Clinicians/Case Managers (3)
1 Substance Abuse Counselor IV	1 Substance Abuse Counselor III	3 Mental Health Managers
3 Substance Abuse Counselors III	3 Substance Abuse Counselors II	5 Mental Health Counselors
1 Substance Abuse Counselors II, 1 PT		
	<u>Youth</u>	
	5 Clinical Psychologists	22 Mental Health Therapists
	1 Mental Health Therapist	22 Mental Health Supv./Specialists, 1PT
	1 Substance Abuse Counselor III	1 MH/ID/ADS Aide
	2 Substance Abuse Counselors II	1 Substance Abuse Counselor IV
		3 Substance Abuse Counselors III
		8 Substance Abuse Counselors II
		8 Substance Abuse Counselors I
		3 Cooks
TOTAL POSITIONS		() Denotes New Positions
152 Positions (3) /150.5 Staff Years (3.0)		PT Denotes Part-Time Positions
15/14.0 SYE Grant Positions in Fund 102, Federal/State Grant Fund		

Engagement, Assessment and Referral

The Engagement and Assessment service area serves as one of the initial points of contact with the CSB. It includes the CSB call center, assessment unit, engagement center and services to people who are homeless and/or unsheltered. The goal of the Engagement, Assessment and Referral service area is to engage people who need services and/or support, triage people for safety, and help people get appropriate treatment and support to meet their needs. People may be referred to CSB services, if appropriate, or to community resources outside the CSB.

The CSB call center is the central point for accessing intellectual disability, mental health or substance use disorder services and supports. Staff can take calls in English and Spanish, and can obtain language translation services for other languages when needed. The CSB assessment unit provides comprehensive screening, assessment, referral and stabilization services for adults, and has been enhanced in order to be more person-centered as well as fiscally efficient.

Fund 106

Community Services Board (CSB)

Key Performance Measures

Goal

To provide prompt screening, assessment and evaluation of adults with mental health, substance use, and/or co-occurring issues to promote engagement and provide linkages to appropriate services.

Objectives

- ◆ To ensure that 85 percent of individuals who call for an appointment are able to access an appointment within 10 days of their initial call.
- ◆ To maintain at 85 percent the number of individuals who, as a result of engagement and appropriate linkage, attend their first scheduled CSB service appointment.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served	2,020	2,010	2,150/2,127	2,150	2,150
Efficiency:					
Annual cost per individual served	\$395	\$444	\$428/\$453	\$430	\$654
Service Quality:					
% of individuals satisfied with services	97%	92%	95%/98%	95%	95%
% of individuals who are able to access an appointment within 10 days of their initial call	NA	NA	NA	NA	85%
Outcome:					
% of adults with mental health, substance use or co-occurring disorders who attend their first scheduled service appointment	NA	NA	NA	NA	85%
% of clients who access the appropriate level of care based on ASAM criteria	85%	85%	85%/85%	85%	NA

Performance Measurement Results

In FY 2011, 2,127 individuals were served, which was 23 fewer individuals than projected. The efficiency measure of cost per individual served was \$453, or \$25 over the projected cost of \$428. The service quality measure related to individuals' satisfaction with services received in this area exceeded the target of 95 percent, with 98 percent indicating that they were satisfied with services. The FY 2011 outcome measure indicating how many individuals received the appropriate level of care based on the American Society of Addiction Medicine criteria met the projected target of 85 percent.

Fund 106

Community Services Board (CSB)

Jail- and Court-Based Services (JCBS)

Jail- and Court-Based Services (JCBS) provides an array of services to adults and juveniles who are involved with the criminal justice system. Services for adults who are incarcerated at the Adult Detention Center include assessment, stabilization and referral for individuals with mental illness and/or co-occurring disorders; facilitation of emergency psychiatric hospitalization for individuals who are a danger to themselves or others; and court assessments, substance abuse education and limited treatment for adults with substance use disorders. Services for juveniles include mental health, substance abuse, and development disability assessment; intervention and referral for juveniles involved with the Juvenile and Domestic Relations District Court (JDRC); and evaluation, treatment and referral for juveniles who are in the Juvenile Detention Center, Boys & Girls Probation Houses, Post Dispositional Program (BETA) and other JDRC programs. In addition, services include participation in JDRC Diversion for offenders and linkages for adults and juveniles to appropriate and effective services in the community.

Jail- and Court-Based Services address the need of adults and juveniles who are among the most high risk and most vulnerable. All of the individuals served by JCBS have been involved with the criminal justice system. This involvement usually is a direct result of mental illness, substance abuse or co-occurring disorders. Incarceration or other involvement with the criminal justice system can present a unique opportunity for CSB staff to intervene and forge a therapeutic alliance with individuals who are struggling with psychiatric and substance use disorders.

Key Performance Measures

Goal

To provide an array of assessment, stabilization, intervention, and referral services to adults and juveniles with mental illness, substance use and/or co-occurring disorders who are involved with the criminal justice system to enhance their level of functioning and reduce the likelihood of future contacts with the criminal justice system.

Objectives

- ◆ To achieve or exceed a 95 percent rate of conducting assessments within two working days of an inmate’s referral to CSB mental health forensic services at the Adult Detention Center.
- ◆ To achieve or exceed a 90 percent follow-through rate from inmates who attend a follow-up appointment after their assessment in adult mental health forensic services at the Adult Detention Center.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals receiving an assessment to adult mental health forensic services	NA	NA	NA	NA	2,275
Efficiency:					
Annual cost per individual served in adult mental health forensic services	NA	NA	NA	NA	\$1,261

Fund 106 Community Services Board (CSB)

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Service Quality:					
% of individuals who have an assessment for adult mental health forensic services within two working days of being referred for CSB services	NA	NA	NA	NA	95%
Outcome:					
% of individuals in adult mental health forensic services who attend a follow-up appointment after their assessment	NA	NA	NA	NA	90%

Performance Measurement Results

As this is a newly established Service Area, performance target for previous years do not exist.

Acute Care Services

Acute Care Services includes walk-in Psychiatric Emergency Services, a Mobile Crisis Unit (MCU) which responds to crises in the community, and short-term (7 to 10 days) residential detoxification and crisis stabilization services providing 24 hours per day, seven days per week care.

Emergency Services serves high-risk adults, adolescents and children from all disability areas that are in a psychiatric crisis. Through emergency walk-in sites and the MCU, Emergency Services takes crisis intervention into the community, working closely with individuals, families and public safety agencies. Services provided by both Emergency and MCU include recovery-oriented crisis intervention, crisis stabilization, risk assessments, evaluations for Emergency Custody Orders, as well as voluntary and involuntary admission to both psychiatric hospitals (public and private) and the three Regional Crisis Stabilization Units. In addition, the 24/7 Central County Emergency site also provides psychiatric and medication valuations and medication prescriptions/dispensations. Additional services provided by the MCU include three 24-hour-per-day rapid deployment teams that respond to hostage/barricade incidents with the Special Weapons and Tactics (SWAT) team and police negotiators, a Critical Incident Stress Management Team responding to traumatic events, and a Disaster Response Team.

Woodburn Place Crisis Care is an alternative to hospitalization for individuals experiencing acute psychiatric crisis. It is an intensive and brief, community-based residential program for adults with severe and persistent mental illness, including those with co-occurring substance abuse issues. Services include comprehensive risk assessment, crisis intervention and crisis stabilization, physical evaluation, psychiatric and medication evaluation, substance abuse counseling, psychosocial education and assistance with daily living skills.

In response to an increase in demand for acute care, detoxification and stabilization services, the CSB seeks to develop a system that ensures access to crisis stabilization and treatment for use of alcohol or other drugs, as well as access to mental health and primary care services, and to that end is implementing effective and responsive crisis stabilization with the capacity for medically monitored detoxification at the Fairfax Detoxification Center. The goal is to provide a safe, temporary, protective environment for individuals in crisis, where they can receive the assessment, care, supervision and medical monitoring necessary to stabilize both physically and emotionally.

Fund 106

Community Services Board (CSB)

Key Performance Measures

Goal

To provide crisis intervention and crisis stabilization services to adults, adolescents and children who are experiencing a psychiatric crisis to ensure their safety and avoid psychiatric hospitalization.

Objectives

- ◆ To achieve or maintain an 85 percent rate of individuals who receive face to face crisis intervention/crisis stabilization services within one hour of check-in to emergency services.
- ◆ To achieve or maintain at 85 percent the percentage of individuals who receive crisis intervention/crisis stabilization services resulting in a less restrictive alternative to psychiatric hospitalization.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served	4,795	5,081	4,750/4,726	4,726	4,726
# of service hours provided	22,925	23,114	23,000/16,270	17,000	17,000
Efficiency:					
Annual cost per individual served	\$725	\$696	\$599/\$628	\$628	\$674
Service Quality:					
% of individuals who receive face to face crisis intervention/crisis stabilization services within one hour of check in to emergency services	NA	NA	NA	NA	85%
Outcome:					
% of individuals who receive stabilization services provided that result in a less restrictive alternative to psychiatric hospitalization	NA	NA	NA	NA	85%
% of individuals who receive stabilization services without admission to a psychiatric hospital	84%	87%	85%/96%	85%	NA

Performance Measurement Results

In FY 2011, General Emergency Services provided 16,270 hours of service to 4,726 individuals, failing to meet both targets. The service hour estimate was not reached due to the closure of Emergency Services at the Northwest Center for Community Mental Health in May 2010 because of insufficient staff to cover all the Emergency Services and Mobile Crisis Unit hours and locations.

Fund 106

Community Services Board (CSB)

Treatment

Service Area Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Treatment Services					
Personnel Services	\$48,776,798	\$49,378,323	\$51,083,858	\$46,965,691	\$47,608,345
Operating Expenses	12,189,362	10,095,013	10,775,883	10,638,834	10,638,834
Capital Equipment	0	0	0	0	0
Subtotal	\$60,966,160	\$59,473,336	\$61,859,741	\$57,604,525	\$58,247,179
Less:					
Recovered Costs	(\$1,933,103)	(\$213,928)	(\$213,928)	(\$388,928)	(\$388,928)
Total Expenditures	\$59,033,057	\$59,259,408	\$61,645,813	\$57,215,597	\$57,858,251

Position Summary		
<p><u>Community-Based School Transition</u></p> <p>1 Mental Health Manager 2 Mental Health Supv./Specialists 7 MH/ID/ADS Sr. Clinicians, 1 PT 1 ID Specialist V 2 ID Specialists IV 5 ID Specialists III 19 ID Specialists II 2 Occupational Therapists II 3 Physical Therapists II 5 Speech Pathologists II 1 Administrative Assistant IV 2 Administrative Assistants II</p> <p><u>Residential Treatment</u></p> <p>1 BHN Supervisor 2 BHN Clinician/Case Managers 2 Licensed Practical Nurses 1 Asst. Residential Counselor 4 Mental Health Counselors 1 Mental Health Supv./Specialist 3 Mental Health Therapists 1 MH/ID/ADS Sr. Clinician 4 MH/ID/ADS Aides 5 Substance Abuse Counselors IV 12 Substance Abuse Counselors III 32 Substance Abuse Counselors II 21 Substance Abuse Counselors I 2 Day Care Center Teachers I, 1 PT 2 Administrative Assistants V 2 Food Service Supervisors 3 Cooks</p> <p>TOTAL POSITIONS 389 Positions (-2)/387.75 Staff Years (-2.0) 32/31.5 SYE Grant Positions in Fund 102, Federal/State Grant Fund</p>	<p><u>Outpatient Services</u></p> <p><u>Adult</u></p> <p>1 Mental Health Manager 3 Mental Health Supv./Specialists 2 Mental Health Therapists 7 MH/ID/ADS Senior Clinicians 3 Substance Abuse Counselors IV 7 Substance Abuse Counselors III 25 Substance Abuse Counselors II 1 Day Care Center Teacher, PT 1 Mobile Clinic Driver</p> <p><u>Youth</u></p> <p>9 MH/ID/ADS Senior Clinicians 17 Substance Abuse Counselors IV 4 Substance Abuse Counselors III 4 Substance Abuse Counselors II</p> <p><u>Outpatient Services</u></p> <p>1 BHN Clinician/Case Manager 1 BHN Clinical Nurse Specialist 1 Clinical Psychologist 3 Mental Health Managers 5 Mental Health Supv./Specialists 4 Mental Health Therapists (-1) 14 MH/ID/ADS Sr. Clinicians (-1) 1 MH/ID/ADS Aide</p> <p><u>Vocational and Employment</u></p> <p>1 Mental Health Manager 1 ID Specialist V 1 ID Specialist IV 6 ID Specialists II</p>	<p><u>Intensive Community Treatment</u></p> <p>3 Mental Health Managers 13 Mental Health Supv./Specialists 1 Mental Health Counselor 14 Mental Health Therapists 9 MH/ID/ADS Sr. Clinicians 5 MH/ID/ADS Aides 7 BHN Clinician/Case Managers 1 Emer./Mobile Crisis Unit Supv. 2 Public Health Nurses III 1 Substance Abuse Counselor III 4 Substance Abuse Counselors II 2 Administrative Assistants III 1 Administrative Assistant II</p> <p><u>Therapeutic Residential Services</u></p> <p>2 Mental Health Managers 6 Mental Health Supv./Specialists 16 Mental Health Counselors 12 Mental Health Therapists 1 MH/ID/ADS Sr. Clinician 1 MH/ID/ADS Aide 3 BHN Clinician/Case Managers 1 Licensed Practical Nurse 1 Substance Abuse Counselor IV 1 Substance Abuse Counselor III 3 Substance Abuse Counselors II 1 Substance Abuse Counselor I 3 Asst. Residential Counselors 2 Food Service Supervisors 2 Cooks</p> <p>PT Denotes Part-Time Positions (-) Denotes Abolished Positions Due to Budget Reductions</p>

Fund 106

Community Services Board (CSB)

Community-Based School Transition

Early Intervention Services supports Infant and Toddler Connection (ITC), a statewide program that provides federally-mandated early intervention services to infants and toddlers as outlined in Part C of the Individuals with Disabilities Education Act (IDEA). ITC provides family-centered intervention to children ages birth to 3 years who need strategies to assist them in acquiring basic developmental skills such as sitting, crawling, walking and/or talking. Families may receive a screening to determine eligibility, service coordination, and development of an Individual Family Service Plan (IFSP) at no cost to them. Through public/private partnerships, ITC provides services including, but not limited to: physical, occupational and speech therapy; developmental services; medical, health and nursing services; hearing and vision services; assistive technology (e.g., hearing aids, adapted toys and mobility aids); family training and counseling; service coordination; and transportation. A local coordinating council, known as the Fairfax Interagency Coordinating Council, serves to advise and assist the local lead agency, while the Fairfax-Falls Church Community Services Board (CSB) serves as the fiscal agent and local lead agency.

There has been significant growth in the demand for early intervention services over the last several years, and this growth is expected to continue and even accelerate in the near future. During FY 2011, ITC observed and sustained an acceleration of previously unprecedented growth beginning in the summer of 2009. The monthly average number of children served in FY 2011 was 1,002, representing a 27 percent increase over FY 2010's average of 789 children per month. In FY 2012, the monthly average is estimated to be 1,300 infants and toddlers per month, a 29 percent increase over FY 2011's average.

ITC staff also continues to strengthen outreach and support efforts by expanding collaborations with the Fairfax County Health Department, INOVA Fairfax Hospital, and Fairfax County Public Schools (FCPS) to ensure that infants and toddlers get appropriate services as soon as delays are detected. Given the rising prevalence of autism in Fairfax County, ITC maintains ongoing relationships with the Virginia Autism Research Center and FCPS to address the early identification of children who might need specialized preschool services for this particular disability. ITC continues to be a leader in the Autism Communities of Practice. In addition, the growing cultural diversity needs of families requiring ITC services across the County is addressed through contract providers for translation services. These interpreters are fluent in 10 languages, including Spanish, Urdu, Mandarin Chinese, Korean and Amharic.

Infancy, Early Childhood and Pre-Adolescent (IECP) mental health services serves at-risk infants, toddlers, preschoolers and pre-adolescents (children from ages birth to 12 years) and their parents. Services support and guide parents and treat children who are developmentally compromised, seriously emotionally disturbed or at risk of serious emotional disturbance and are involved with multiple youth-serving agencies.

Key Performance Measures

Goal

Infant and Toddler Connection (ITC) - To provide assessment and early intervention services for infants and toddlers, from birth through age 3, and their families, who have a developmental delay or a diagnosis that may lead to a developmental delay to reduce or eliminate the effects of disabling conditions.

Fund 106 Community Services Board (CSB)

Infant and Early Childhood Program (IECP) – To provide assessment, intervention and support to children (up to age 12), and their families, who are developmentally compromised, have a serious emotional disturbance or are at risk of serious emotional disturbance to reduce or eliminate the effects of disabling conditions.

Objectives

- ◆ To achieve or exceed a 90 percent rate of ITC service recipients who agree that early intervention services have helped the child's/family's development.
- ◆ To complete Individual Family Service Plans (IFSP) within an average of 32 days from the date of referral to ITC services. Federal mandate allows no more than 45 days to complete each IFSP.
- ◆ To achieve or exceed an 85 percent rate of individuals who show improvement in school status while receiving IECP services.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served in ITC	2,374	2,697	3,098/2,801	2,913	3,300
# of individuals served in IECP	NA	NA	NA	NA	240
Efficiency:					
Annual cost per individual served in ITC	\$1,356	\$1,336	\$1,336/\$1,761	\$1,336	\$1,280
Annual cost per individual served in IECP	NA	NA	NA	NA	\$5,159
Service Quality:					
% of individuals satisfied with ITC services	NA	NA	NA	NA	90%
% of families who agreed that ITC services made them feel more confident in meeting their child's needs	89%	91%	95%/89%	90%	NA
% of individuals satisfied with IECP services	NA	NA	NA	NA	90%
Outcome:					
% of families who agree that ITC services have helped the child's/family's development	NA	NA	NA	NA	90%
% of families receiving ITC services who received completed IFSPs within 45 days of intake call	100%	100%	100%/100%	100%	100%
Average # of days from referral to ITC to completion of IFSP	34	40	32/39	32	32
% of individuals receiving IECP services who show improvement in their school status	NA	NA	NA	NA	85%

Fund 106

Community Services Board (CSB)

Performance Measurement Results

In FY 2011, although ITC did not meet the target of 3,098 individuals served, the program served 2,801 infants and toddlers, a 4 percent increase over the FY 2010 level of 2,697. The average number of children served in a month has increased from 789 in FY 2010 to 1,002 in FY 2011, representing a 27 percent increase in the number of children served each month. This continued increase in the number of children served both monthly and annually is reflective of the very large and rapid growth in demand for early intervention services consistently seen over the past several years, and this upward trend is expected to continue for the foreseeable future. Consequently, the average number of days from referral to completion of an IFSP was 39 days in FY 2011, versus a goal of 32 days. The new Medicaid State Plan amendment for Early Intervention (EI) services has created new opportunities for provider growth necessary to meet this increase in demand for IFSPs; however, it is still not yet sufficient to meet the goal of 32 days. Although the average number of days has increased, ITC continues to be compliant with the federally-mandated goal of 100 percent of families receiving a completed IFSP within 45 days of the intake call. Medicaid also created, in October 2011, a reimbursable Case Management service specifically for Early Intervention to replace the previously utilized Mental Health/Intellectual Disability Targeted Case Management (TCM) service. This new service is billable for all children with Medicaid but is paid at a significantly lower rate than the previously utilized Intellectual Disability TCM service. The fiscal impact is unknown at this point, but should be known by the end of FY 2013.

The FY 2011 annual cost per individual served using local funding was \$1,761, or a 32 percent increase over the target of \$1,336. This increase was primarily due to serving a significantly higher number of infants in FY 2011 with the cost being only minimally offset by receipt of additional Federal Part C grant funds. Unfortunately, the trend of increased demand for services versus the availability of both local and non-County revenue is expected to remain a challenge for ITC for the foreseeable future, particularly with the anticipated loss of federal stimulus (ARRA) funds in FY 2013. As a result, staff is reviewing services to implement cost containment strategies in order to operate more effectively and efficiently.

In FY 2011, ITC fell short of the service quality goal. The FY 2011 survey results indicating the percentage of families who agreed that early intervention services made them feel more confident in meeting their child's needs was 89 percent, falling short of the 95 percent target. Longer wait times for assessments and services, as well as less interaction with service coordinators, impacted a family's satisfaction with the program.

Outpatient Services

Outpatient Services provides an array of integrated services designed to serve persons with mental illness, substance use, and/or co-occurring disorders whose clinical and case management needs fall within two major domains: Partial Hospitalization, and Community Readiness and Support programs. Two intensive outpatient services, Outpatient and Day Treatment, are designed to help individuals whose primary needs involve substance use but who may also suffer from mild to moderate mental illness. Specialized services, including trauma work, cognitive behavior therapy and Dialectical Behavior Therapy are provided for individuals within the Outpatient Services area, as well as individuals receiving care in other service areas.

Partial Hospitalization provides intensive, recovery-oriented services to individuals with serious mental illness and/or co-occurring disorders and complex needs. Services provided include service coordination, medication management, psycho-educational groups, group and family therapy, supportive counseling, relapse prevention and community integration. The Community Readiness and Support program is a

Fund 106

Community Services Board (CSB)

recovery-oriented psychosocial day program designed for individuals with serious mental illness and co-occurring disorders who have limited social skills, difficulty establishing and maintaining relationships, and need help with activities of daily living. Services include psycho-educational groups, social skills training, co-occurring services, relapse prevention, problem solving and independent living skills training, medical education, pre-vocational services, and community integration.

Intensive Outpatient Treatment services provide structured programming through psychosocial education, individual, group, and family counseling. Services assist consumers in behavioral changes that promote recovery, encourage problem solving skills and coping strategies, and assist in development of a positive support network. In addition, Continuing Care services are available for individuals who have successfully completed more intensive services within the outpatient spectrum but would benefit from periodic participation in group therapy, monitoring and service coordination to effectively connect to community supports.

Key Performance Measures

Goal

To provide an integrated array of services to adults and youth with mental health, substance use and co-occurring disorders to manage recurrence of symptoms, build resilience, and promote self-management, self-advocacy, and recovery to live productive lives.

Objectives

- ◆ To ensure that at least 80 percent of adults who, after participating in at least 30 days of outpatient treatment services, show improvement in their employment and/or school status.
- ◆ To ensure that at least 80 percent of youth who, after at least 60 days of outpatient treatment services, show improvement in their employment and/or school status.
- ◆ To ensure that at least 85 percent of adults who, after at least 90 days of day treatment services, show improvement in their employment and/or school status.
- ◆ To ensure that 90 percent of adults who, from admission to discharge in a partial hospitalization program, demonstrate an improvement in psychiatric symptoms.
- ◆ To ensure that at least 85 percent of youth who, after at least 90 days of day treatment services, show improvement in their employment and/or school status.

Fund 106 Community Services Board (CSB)

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of adults served in Outpatient Services (MH/SA)	1,842	1,649	1,630/1,657	1,700	1,700
# of youth served in Outpatient Services (MH/SA)	NA	NA	NA	NA	750
# of adults served in Day Treatment Services	147	120	140/83	145	70
# of adults served in Day Treatment/Partial Hospitalization Services	197	170	170/174	174	200
# of youth served in Day Treatment Services (MH/SA)	129	110	130/150	130	100
Efficiency:					
Annual cost per individual in adult Outpatient Services served	\$1,467	\$1,492	\$1,613/\$1,575	\$1,294	\$5,199
Annual cost per individual in youth Outpatient Services served (MH/SA)	NA	NA	NA	NA	\$4,973
Annual cost per individual in adult Day Treatment Services served	\$3,295	\$4,034	\$3,435/ \$6,140	\$2,868	\$6,129
Annual cost per individual in adult Day Treatment/Partial Hospitalization	\$6,008	\$6,657	\$8,372/ \$6,902	\$6,902	7,242
Annual cost per individual in youth Day Treatment Services served (MH/SA)	NA	NA	NA	NA	\$16,033
Service Quality:					
% of individuals satisfied with adult Outpatient services	90%	90%	90%/84%	90%	90%
% of individuals satisfied with youth Outpatient services (MH/SA)	NA	NA	NA	NA	90%
% of individuals satisfied with adult Day Treatment services	80%	80%	80%/84%	80%	85%
% of individuals satisfied with adult Day Treatment/Partial Hospitalization services	NA	NA	NA	NA	85%
% of individuals satisfied with youth Day Treatment services (MH/SA)	NA	NA	NA	NA	85%
Outcome:					
% of individuals showing improvement in their employment and/or school status who participate in at least 30 days of adult outpatient treatment	80%	80%	80%/80%	80%	80%
% of individuals showing improvement in their employment and/or school status for who participate in at least 60 days of youth outpatient treatment (MH/SA)	NA	NA	NA	NA	80%
% of individuals showing improvement in their employment and/or school status after participating in at least 90 days of adult day treatment services	80%	80%	80%/80%	80%	85%
% of individuals who demonstrate improvement in psychiatric symptoms from admission to discharge from a partial hospitalization program	NA	NA	NA	NA	90%
% of individuals showing improvement in their employment and/or school status after participating in at least 90 days of youth day treatment services (MH/SA)	NA	NA	NA	NA	85%

Fund 106

Community Services Board (CSB)

Performance Measurement Results

In FY 2011, Alcohol and Drug Services Adult Outpatient served 1,657 individuals and exceeded the goal by 27 clients, resulting in a lower cost per client. The target for client satisfaction was not met, as 84 percent of clients were satisfied compared to the target of 90 percent. A staff review of the satisfaction surveys indicated that staff vacancies and program adjustments to meet Medicaid standards for billing purposes both impacted service delivery, and therefore client satisfaction.

In FY 2011, Alcohol and Drug Services Adult Day Treatment did not meet the target for number of clients served or the efficiency measure target. Eighty-three clients were served, compared to a target of 140, resulting in a cost per client of \$6,140, rather than the target of \$3,435. The cost increase is directly related to lower number of people served. The reduction in number of clients was the result of a decrease in need for this level of care, particularly in South County, which resulted in the closure of the day treatment program at the Gartlan Center in December 2010.

In FY 2011, Alcohol and Drug Services Youth Outpatient served 473 consumers, which is 95 percent of the target projection. As noted in previous years, youth staying in treatment longer caused a decrease in the projected number served. Youth Outpatient programs exceeded their targets for program satisfaction and outcome goals.

In FY 2011, the Mental Health Adult Day Treatment/Partial Hospitalization Program provided 16,977 hours of service to 174 people. The service hours provided target was not met because of several factors. The Adult Day Treatment program underwent a major program redesign to more efficiently meet the acute care needs of persons discharged from an acute inpatient treatment setting and persons in need of intensive community treatment to divert inpatient hospitalization. The program redesign required changes in service provision and length of stay to accommodate rapid access to Adult Partial Hospitalization (APH) services. During the redesign process, there was a decrease in program capacity to allow program review and redesign of program treatment interventions. Capacity was also reduced due to staff vacancies that occurred during the redesign process. It is anticipated that persons served and hours of service provided will increase as a result of the acute care intervention model and shorter length of stay.

In FY 2011, the Mental Health Adolescent Day Treatment Program did not meet the service hour target but did exceed the consumers served target; the program provided 13,619 hours of service to 34 individuals and their families. This reflects the program's enrollment of higher risk youth which resulted in higher rates of hospitalizations and lower program attendance. The program met its target for the outcome goal of youth who are progressing in school and who demonstrate improvements in family and community behaviors.

The FY 2011 measures for Alcohol and Drug Services Youth Day Treatment were all met. For example, the number of clients served exceeded the projected target by 15 percent, which resulted in a lower cost per client served. Ninety-four percent of clients were satisfied with services, compared to a target of 80 percent, and 96 percent showed improvement in employment and/or school status after 90 days of treatment, compared to a target of 80 percent.

Fund 106

Community Services Board (CSB)

Residential Treatment

The Residential Service area provides comprehensive services for youth and adults. Services are provided in residential treatment settings that are matched to the level and duration of care needed, and include intermediate and long-term treatment with 24-hour staffing and supervised treatment services with staffing 12-18 hours per day. Services include individual, group and family therapy; psychiatric services; medication management; and case management. In addition, continuing care services are provided to assist with the transition back to the community. Specialized treatment services are provided for clients with co-occurring disorders (substance use and mental illness), for pregnant and post-partum women, and for people whose primary language is Spanish. Continuing care services offer ongoing structure and support to assist individuals in their continuing recovery from substance use and co-occurring disorders.

Key Performance Measures

Goal

To provide intermediate and long-term residential substance use and co-occurring-disorders treatment services for adults and adolescents to improve their overall functioning in the community.

Objectives

- ◆ To maintain at 90 percent the percentage of adults receiving treatment at Crossroads who increase their functioning in the community as evidenced by reduction in use of illegal drugs.

- ◆ To maintain at 80 percent the percentage of adults who, after 90 days or more of treatment at Crossroads, will show a reduction in criminal behavior and have no new criminal convictions upon leaving the program.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served	135	125	156/161	156	156
Efficiency:					
Annual cost per individual served	\$9,368	\$9,135	\$10,770/\$7,701	\$9,841	\$13,852
Service Quality:					
% of individuals satisfied with services	98%	96%	90%/96%	90%	90%
Outcome:					
% of adult individuals showing reduction in drug use when leaving the Crossroads program	NA	NA	90%/96%	90%	90%
% of individuals showing reduction in criminal behavior when leaving the Crossroads program	NA	NA	80%/96%	80%	80%

Fund 106

Community Services Board (CSB)

Performance Measurement Results

In FY 2011, Crossroads Adult served 161 individuals at an average cost of \$7,701, exceeding its output estimate and efficiency estimate. All targets for Crossroads service quality and outcomes measures were met or exceeded, as 96 percent of individuals served were satisfied with the services provided, 96 percent showed a reduction in drug use when leaving the program, and 96 percent showed a reduction in criminal behavior. During this period, the CSB also reduced program length of stay while maintaining the appropriate amount of treatment needed for recovery.

Intensive Community Treatment

The Intensive Community service area includes the Intensive Community Treatment Teams (ICTT), the Jail Diversion Program, the Program of Assertive Community Treatment (PACT) and the Intensive Care Coordination (ICC) teams for youth and families. Intensive Community Treatment Teams provide intensive, community-based case management and outreach services to persons with serious mental illness and or/serious substance use disorders. Teams provide individuals with the most acute and complex needs with appropriate levels of support services in the individuals' natural environment, including case management, mental health supports, crisis intervention and medication management.

The Jail Diversion Program has moved to providing an intensive level of care to enhance existing resources available to persons with serious mental illness and/or severe substance use disorder and co-occurring disorders who are involved with, or being diverted from, the criminal justice system. The Program of Assertive Community Treatment (PACT) is a multi-disciplinary team and provides enhanced support services for individuals with mental illness, substance use and co-occurring disorders. Discharge Planning Services are provided to individuals in state psychiatric hospitals to support linkages to community-based services, enhancing successful community-based recovery.

The Intensive Care Coordination (ICC) program was established in collaboration with private service providers and all County agencies serving youth. ICC services are provided using a wraparound model of intervention to provide family-driven, team-based planning for the purpose of safely maintaining youth in the community with their families. In addition, ICC services aide in facilitating a smooth and timely transition for youth returning to the community from a residential treatment program. Care Coordinator staff provide 24/7 crisis response to families receiving ICC services.

Key Performance Measures

Goal

To provide a Program of Assertive Community Treatment (PACT), rehabilitation, ongoing CSB services post state hospital discharge, crisis intervention and support services to adults and youth with severe and persistent mental illness and co-occurring disorders, resulting in lowered hospitalization, incarceration and homelessness rates.

Objectives

- ◆ To maintain at 90 percent the percentage of adults served by PACT who reside outside of jail or the hospital for at least 330 days in a year.

- ◆ To support adults who are discharged from state hospitals so that at least 75 percent of individuals referred to the CSB will remain in services for at least 90 days following hospital discharge.

Fund 106 Community Services Board (CSB)

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of Individuals served in PACT	118	98	100/130	130	100
# of individuals served in Discharge Planning Services	NA	NA	NA	NA	430
# of service hours provided in PACT	12,410	6,435	15,779/12,063	12,063	NA
Efficiency:					
Annual cost per individual served in PACT	\$10,626	\$11,528	\$9,615/\$9,669	\$9,669	\$10,026
Annual cost per individual served in Discharge Planning	NA	NA	NA	NA	\$1,121
Service Quality:					
% of individuals satisfied with PACT services	95%	95%	90%/91%	90%	90%
% of individuals scheduled for an assessment within 7 days of hospital discharge	NA	NA	NA	NA	80%
% of individuals satisfied with Discharge Planning services	NA	NA	NA	NA	90%
Outcome:					
% of individuals served by PACT who remain out of jail or the hospital for at least 330 days in a year	87%	97%	90%/92%	90%	90%
% of individuals referred to the CSB who remain in services for at least 90 days following hospital discharge	NA	NA	NA	NA	75%

Performance Measurement Results

In FY 2011, the PACT program provided 12,063 hours of service to 130 individuals, not meeting the service hours provided estimate. Fewer hours of service were provided than anticipated due to the combination of two staff vacancies and changes made in how services provided are recorded. An additional intensive case manager has been assigned to the team to increase service capacity and facilitate individual transition from a PACT level of care.

Ninety-one percent of consumers were satisfied with services, exceeding the target of 90 percent. In addition, 92 percent of consumers remained out of jail or the hospital for at least 330 days in a year, compared to the target of 90 percent.

Fund 106

Community Services Board (CSB)

Therapeutic Residential Services

Therapeutic Residential Services provide care to adults with severe and persistent mental illness and/or co-occurring substance use disorders. Intensive to highly intensive treatment services are provided at multiple sites throughout the community with staff onsite 18 to 24 hours per day. Services include onsite treatment, support services and case management geared to support and help individuals reside in the least restrictive community housing.

This service area consists of four components. The Highly Intensive Program serves adults with severe co-occurring mental health and substance use disorders. Onsite staff provides 24 hours per day intensive services, including assessment, case management, individual and group therapy; 12 step recovery group therapy; life skills development; medication evaluation and monitoring; urinalysis and breath testing; crisis intervention; and recreational and social activities. The Intensive Service Program serves individuals in transitional group homes with 24 hours per day programs that provide consumers treatment, support and training in basic daily living functions. Supervised Services are provided through extension apartments beds (aftercare beds) and are designed to assist consumers in transitioning from 24 hour supervised programs to a more independent living environment, allowing consumers the opportunity to live in the community while maintaining a supportive connection to the 24 hour program. Residential Intensive Care-Comprehensive (RIC-Comp) Services is a community-based, highly intensive residential program that provides daily onsite monitoring for medication and psychiatric stability. Counseling, supportive and treatment services are provided daily in a therapeutic setting.

Key Performance Measures

Goal

To provide moderate to highly intensive clinical and case management services in Transitional Group Homes to individuals with severe and persistent mental illness and/or co-occurring substance use disorders, allowing individuals to reside in the least restrictive community housing at program discharge.

Objectives

- ◆ To provide services and supports so that at least 75 percent of individuals are able to live as independently as possible, based on individual need and housing availability at discharge.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served	NA	NA	NA	NA	29
Efficiency:					
Annual cost per individual served	NA	NA	NA	NA	\$52,868
Service Quality:					
% of individuals satisfied with services	NA	NA	NA	NA	90%
Outcome:					
% of individuals discharged who are able to live as independently as possible based on level of need	NA	NA	NA	NA	75%

Fund 106 Community Services Board (CSB)

Performance Measurement Results

As this is a newly established Service Area, performance target for previous years do not exist.

Community Living

The Community Living service area is a matrix of numerous partnerships between the CSB and other agencies that provide housing. What these programs have in common are the provision of support services, case management, and tailored services geared to support and help individuals reside in the least restrictive community housing.

Service Area Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Community Living					
Personnel Services	\$17,916,293	\$18,169,306	\$19,570,166	\$20,292,671	\$20,579,472
Operating Expenses	30,422,814	30,471,383	30,471,383	31,321,505	31,321,505
Capital Equipment	0	0	0	0	0
Subtotal	\$48,339,107	\$48,640,689	\$50,041,549	\$51,614,176	\$51,900,977
Less:					
Recovered Costs	(\$146,250)	\$0	\$0	\$0	\$0
Total Expenditures	\$48,192,857	\$48,640,689	\$50,041,549	\$51,614,176	\$51,900,977

Position Summary		
<u>Wellness & Health Promotion</u>	<u>Supportive Residential Services</u>	<u>Intensive Service/Support Coordination</u>
1 Substance Abuse Counselor IV	1 BHN Supervisor	5 BHN Supervisors
4 Substance Abuse Counselors III	1 Licensed Practical Nurse	2 Licensed Practical Nurses
20 Substance Abuse Counslrs. II (-1)	2 Mental Health Managers	4 BHN Clinician/Case Managers
	9 Mental Health Supv./Specialists	4 BHN Clinical Nurse Specialists
<u>Community Residential & Contractual Services</u>	15 Mental Health Counselors, 1 PT	1 Business Analyst II
1 ID Specialist IV	21 Mental Health Therapists	1 Management Analyst I
3 ID Specialists III	2 MH/ID/ADS Senior Clinicians	4 Mental Health Managers
12 ID Specialists II		21 Mental Health Therapists
78 ID Specialists I		10 Mental Health Supv./Specialists
		32 MH/ID/ADS Senior Clinicians
<u>Medical</u>		1 ID Specialist V
8 Nurse Practitioner/Physn. Assts		3 ID Specialists IV
24 Psychiatrists		7 ID Specialists III
		43 ID Specialists II (2)
		2 ID Specialists I
TOTAL POSITIONS		(-) Denotes Abolished Positions Due to Budget Reductions
342 Positions (1) /341.5 Staff Years (1.0)		() Denotes New Positions
		PT Denotes Part-Time Position

Fund 106

Community Services Board (CSB)

Wellness and Health Promotion

Wellness and Health Promotion focuses on the health of the entire Fairfax-Falls Church community through engagement, involvement, awareness, and skill-building. The overall goal is to strengthen and build capacity to handle complications related to substance abuse or mental illness. Strategies and services include coordinated, community-focused workshops, brief screening and intervention, health and awareness-raising campaigns, evidence-based programming and specific population or neighborhood-focused interventions. Current activities focus on CSB and community priorities such as suicide prevention, underage drinking, peer-supported approaches, tobacco cessation/prevention, Mental Health First Aid and health care integration.

Key Performance Measures

Goal

To provide services which educate the community about substance use and mental health and strengthen community capacity to promote healthy behaviors and lifestyles.

Objectives

- ◆ To provide Mental Health First Aid training and certification to community members, staff and stakeholders, so that 85 percent will be certified and able to provide initial supports to adults who are experiencing a mental health or substance abuse problem or crisis.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served	NA	NA	NA	NA	495
Units of service for prevention education services	4,970	3,560	3,800/3,764	3,800	NA
Efficiency:					
Annual cost per individual served	NA	NA	NA	NA	\$122
Service Quality:					
% of individuals satisfied with services	92%	90%	90%/91%	90%	90%
Outcome:					
% of individuals who are certified in Mental Health First Aid	NA	NA	NA	NA	85%
% of participants with higher post test scores after completion of prevention education programs	87%	88%	90%/92%	90%	NA

Performance Measurement Results

In FY 2011, Prevention Services provided 3,764 units of prevention education service, 36 units less than the projected 3,800. This reduction was the result of two Substance Abuse Counselor position vacancies for part of the fiscal year. Satisfaction results exceeded the target of 90 percent and students with higher post-test scores after completion of prevention education exceeded the target by 2 percent.

Fund 106

Community Services Board (CSB)

Community Residential and Contractual Services

Community Residential and Contractual Services provides an array of long-term residential supports designed around individual needs and desires, and emphasizes full inclusion in community life. The majority of residential services are provided through CSB partnerships with approved private providers and contract management oversight provided by the CSB.

Assisted Living Facilities (ALFs) provide assisted living to individuals with serious mental illness and medical needs in partnership with a private provider. Group Homes are small, group living arrangements of generally four to six individuals with intellectual disabilities and are located in homes in various residential neighborhoods. Intermediate Care Facilities (ICF-MR's) are a community-based group living arrangement with homes that are integrated in residential neighborhoods, as well as the Northern and Central Virginia Training Center. The community-based programs are operated by private providers under contract with the CSB and are funded with facility-based Medicaid funding. Residential Supported Living includes programs in which individuals live in their own homes or in shared living arrangements (e.g., apartments and town homes) and receive support services ranging from daily to drop-in, based on individual needs and preferences.

Respite Services are available for individuals with intellectual disabilities at a licensed 24-hour home for longer-term respite and emergency shelter services via a private provider. Respite services can also be provided in-home, scheduled for hourly or overnight assistance to families needing time away from caring for family members. Individualized Purchase of Service (IPOS) is provided for a small number of individuals who receive other specialized long-term community residential services via contracts.

Key Performance Measures

Goal

To provide training, support, and supervision to individuals with intellectual disability and serious mental illness to maximize community independence and integration.

Objectives

- ◆ To fill vacant beds in directly operated and contracted group homes for individuals with intellectual disability in an average of 60 days or less from the date a vacancy becomes known.
- ◆ To increase from 92 percent to 95 percent, the percent of individuals with intellectual disability living in CSB directly operated and contracted group homes who maintain their current level of residential independence and integration.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served in directly operated and contracted group homes	317	318	305 / 374	305	350
Efficiency:					
Annual cost per individual served in directly operated group home	\$37,603	\$37,431	\$38,317 / \$33,886	\$39,223	\$36,815

Fund 106 Community Services Board (CSB)

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Service Quality:					
% of individuals satisfied with services in directly operated and contracted group homes	98%	99%	90% / 97%	90%	97%
Average # of days to fill a vacancy in directly operated or contracted group homes for individuals with ID	NA	NA	NA	NA	60
Outcome:					
% of individuals living in CSB directly operated and contracted group homes who maintain their current level of residential independence and integration	100%	100%	92% / 100%	92%	95%

Performance Measurement Results

In a survey of individuals receiving residential services, 97 percent reported satisfaction with services, exceeding the FY 2011 estimate of 90 percent. In FY 2011, 100 percent of individuals living in directly operated and contracted group homes maintained their current level of residential independence and integration and despite the fact that those served are becoming more medically and behaviorally challenged.

Overall, 374 unduplicated individuals were served in directly operated and contracted group homes in FY 2011, 69 more than the projected total of 305. This results primarily from a net increase of 15 residential sites which added an increase of 45 beds to serve people. The average FY 2011 cost to the County per client served in group homes decreased by \$3,545 from FY 2010, to \$33,886. This reflects efficiencies implemented in recent years in both directly-operated and contracted residential settings and higher than anticipated numbers of individuals served.

Supportive Residential Services

Supportive Residential Services are comprised of six primary components and are provided through a variety of housing partnerships that have been formed over the past 15 years. Residential Intensive Care (RIC) is a community-based, intensive residential program that provides daily onsite monitoring or monitoring five days a week of medication and psychiatric stability. Counseling, supportive and treatment services are provided daily in a therapeutic setting. The Transitional Therapeutic Apartment Program (TTAP) provides residential treatment in a stable, supportive, therapeutic setting in which consumers with a serious mental illness learn and practice the life skills needed for successful community living. The ultimate goal is for these consumers to transition into the most manageable independent living environment. The Supportive Shared Housing Program (SSHP) provides residential support and case management in a community setting. Fairfax County's Department of Housing and Community Development (HCD) and the CSB operate these designated long-term permanent subsidized beds. Some of the units in this program are leased by individuals and some are CSB leased.

The CSB's moderate income rental program and HCD's Fairfax County Rental Program (FCRP) provide long-term permanent residential support and case management in a community setting. Individuals must sign a program agreement with the CSB for this program. Pathway Homes and Supportive

Fund 106 Community Services Board (CSB)

Residential Services jointly operate the Supported Housing Option Program (SHOP), which is designed to provide long term or permanent housing with support services to consumers with serious mental illness and co-occurring disorders, including those who are homeless or residing in their own housing. The program focus is geared toward accepting consumers with the greatest needs who are willing to accept needed services. In addition, Pathway Homes and Supportive Residential Services also jointly operate the Shelter Plus Care program. The program is designed to provide long term or permanent housing with support services to consumers with serious mental illness and co-occurring disorders, as well as to those who are homeless and need housing with supports.

Key Performance Measures

Goal

To provide treatment and support services to adults with serious mental illness and co-occurring mental health and substance use disorders residing in supervised apartments and other community living situations which enable them to live as independently as possible in the community.

Objectives

- ◆ To achieve or exceed a 6 percent rate of individuals receiving Supportive Residential supervised apartment services who are ready to move into a more independent setting within one year, based on housing availability.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals served	398	392	390/321	321	350
# of service hours provided	93,808	94,055	95,000/76,522	78,000	20,880
Efficiency:					
Annual cost per individual served	\$4,361	\$4,377	\$4,603/\$5,407	\$5,407	\$4,736
Service Quality:					
# of new individuals receiving services	163	56	50/101	50	NA
% of individuals satisfied with services	NA	NA	NA	NA	90%
Outcome:					
% of individuals ready to move to a more independent residential setting within one year	9%	4%	6%/7%	6%	6%

Performance Measurement Results

In FY 2011, the Supervised Apartments Program provided 76,522 hours of service to 321 individuals, failing to meet both targets. This is due in large part to the ongoing challenges associated with maintaining and creating new affordable housing for persons with disabilities, as well as holding staff positions vacant for a period of time. An estimated 25 percent of individuals in the Adult Residential Services (ARS) supervised apartment programs are ready to move on to more independent living, but cannot due to a lack of affordable housing stock in the community. Based upon the present and future affordable housing projections for Fairfax County, this trend is not expected to improve. Locating affordable housing is a challenging issue for this population, and will likely be the largest factor in being able to transition individuals from housing that is subsidized by the CSB. In addition, the CSB has

Fund 106 Community Services Board (CSB)

continued to hold multiple staff positions vacant in this service area, which will have an impact on the ability to meet present and future service hour targets. In addition, as part of the CSB's transformation, a significant number of services that were being provided in Supportive Residential have been shifted to Therapeutic Residential, resulting in a large drop in the number of services hours provided. In response to these challenges, the estimates for persons served in FY 2013 reflect these changes.

Intensive Service/Support Coordination

Intensive Service/Support Coordination services (targeted support coordination for people with intellectual disability) assist individuals with serious mental illness, serious emotional disturbance, intellectual disability, and/or substance use disorders and their families to access preferred services and supports that are essential to meeting their basic needs. These necessary services and resources are identified through an initial and ongoing assessment and planning process with individuals and families, and are coordinated by the service/support coordinator with other involved service providers. In addition to accessing various mental health, intellectual disability and substance abuse services that may be identified, service/support coordinators help access needed medical, educational, employment, housing, financial, transportation, recreational, legal, life skill, and advocacy services so that the individual is supported to live well in a community setting. Service/support coordinators assess progress on an on-going basis to ensure services are delivered and in accordance with regulatory standards for best practice and quality. They provide education and counseling about the array of service options and recommendations within the context of a supportive professional relationship that promotes the individual's health and community living.

Key Performance Measures

Goal

To provide service coordination and behavior management consultations to individuals with serious mental illness, serious emotional disturbance, intellectual disability, substance use and/or co-occurring disorders to ensure individual service needs are addressed.

Objectives

- ◆ To achieve or exceed a 95 percent rate for Person Centered Plan objectives met for individuals with intellectual disability receiving targeting support coordination services.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
# of individuals with intellectual disability receiving targeted support coordination services	1,298	1,322	1,300 / 1,427	1,430	1,300
# of adults with mental illness served in intensive services	2,677	2,677	3,500/5,281	5,281	5,281
# of service hours provided to adults in mental health outpatient services	22,018	22,018	52,000/95,606	95,000	NA

Fund 106 Community Services Board (CSB)

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Efficiency:					
Annual cost per individual with intellectual disability receiving targeted support coordination services	\$3,071	\$3,119	\$3,223 / \$3,301	\$3,332	\$4,019
Annual cost per individual with mental illness receiving intensive services	\$5,247	\$5,216	\$4,312/\$2,846	\$2,846	\$2,378
Service Quality:					
% of individuals with intellectual disability satisfied with targeted support coordination services	93%	92%	90% / 91%	90%	90%
% of individuals with mental illness satisfied with intensive services	80%	NA	85%/84%	85%	85%
Outcome:					
% of individuals with intellectual disability receiving targeted support coordination services who meet their Person Centered Plan objectives	97%	98%	95% / 94%	95%	95%
% of individuals with mental illness scheduled for an assessment within 7 days of discharge	89%	90%	90%/94%	90%	NA

Performance Measurement Results

In FY 2011, 91 percent of individuals surveyed were satisfied with Support Coordination Services, exceeding the estimate of 90 percent. While a slight decrease from the previous year, this result is continued evidence that support coordinators consistently exceed targeted satisfaction levels despite more complicated and increased caseloads. Ninety-four percent of individual service plan objectives were achieved versus an estimate of 95 percent, which is just slightly lower than projected but still a positive outcome. It should be noted that FY 2011 also saw the number of individuals receiving targeted Support Coordination Services increase by 105 compared to FY 2010.

The annual cost per individual served was \$3,301, representing a \$78 increase over the originally projected amount of \$3,223. This change represents an increase in spending for assistive technology and environmental modification purchases for Medicaid recipients. These purchases are made by Support Coordination Services; however, the associated costs are offset by increased Medicaid Waiver revenue collections.

In FY 2011, Mental Health Adult and Youth Outpatient and Case Management Services provided 95,606 hours of service to 5,281 individuals, exceeding the consumers served estimate and the service hours provided estimate. Mental Health Outpatient Services utilizes a state-mandated consumer satisfaction instrument in addition to focus groups to solicit information from individuals about their experiences. The overall satisfaction results for 2011 indicate 84 percent individual satisfaction with CSB services, which is 1 percent lower than the target of 85 percent. Ninety-four percent of the individuals discharged from state hospital beds were seen within seven days in FY 2011, exceeding the target of 90 percent.

Fund 106

Community Services Board (CSB)

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 106, Fairfax-Falls Church
Community Services Board

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$5,783,119	\$500,000	\$526,828	\$398,924	\$0
Revenue:					
Local Jurisdictions:					
Farifax City	\$1,309,903	\$1,309,902	\$1,309,902	\$1,336,100	\$1,336,100
Falls Church City	593,720	593,720	593,720	605,595	605,595
Subtotal - Local	\$1,903,623	\$1,903,622	\$1,903,622	\$1,941,695	\$1,941,695
State:					
State DBHDS	\$21,326,035	\$20,430,277	\$13,017,516	\$12,871,445	\$12,871,445
State Other	187,251	272,397	74,592	0	0
Subtotal - State	\$21,513,286	\$20,702,674	\$13,092,108	\$12,871,445	\$12,871,445
Federal:					
Block Grant	\$4,607,216	\$4,609,327	\$4,299,364	\$4,245,895	\$4,245,895
Direct/Other Federal	2,355,013	1,810,093	180,087	0	0
Federal ARRA	821,407	0	17,777	0	0
Subtotal - Federal	\$7,783,636	\$6,419,420	\$4,497,228	\$4,245,895	\$4,245,895
Fees:					
Medicaid Waiver	\$3,366,329	\$2,260,214	\$2,260,214	\$2,671,003	\$2,671,003
Medicaid Option	10,850,166	12,791,939	12,791,939	12,711,947	12,711,947
Program/Client Fees	5,544,875	4,652,738	4,647,177	6,084,272	6,084,272
CSA Pooled Funds	1,822,028	1,616,020	1,616,020	1,616,020	1,616,020
Subtotal - Fees	\$21,583,398	\$21,320,911	\$21,315,350	\$23,083,242	\$23,083,242
Other:					
Miscellaneous	\$81,719	\$56,124	\$56,124	\$56,124	\$56,124
Subtotal - Other	\$81,719	\$56,124	\$56,124	\$56,124	\$56,124
Total Revenue¹	\$52,865,662	\$50,402,751	\$40,864,432	\$42,198,401	\$42,198,401
Transfers In:					
General Fund (001)	\$93,127,107	\$95,725,326	\$100,496,382	\$99,161,218	\$100,421,627
Total Transfers In	\$93,127,107	\$95,725,326	\$100,496,382	\$99,161,218	\$100,421,627
Total Available	\$151,775,888	\$146,628,077	\$141,887,642	\$141,758,543	\$142,620,028

Fund 106

Community Services Board (CSB)

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 106, Fairfax-Falls Church
Community Services Board

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures ² :					
CSB Cross-					
Cutting/Administration	\$0	\$0	\$0	\$14,182,463	\$14,329,835
Front Door	0	0	0	18,347,383	18,530,965
Treatment Services	0	0	0	57,215,597	57,858,251
Community Living	0	0	0	51,614,176	51,900,977
CSB Administration	6,294,167	5,214,427	5,740,860	0	0
Mental Health Services	66,420,956	66,507,068	61,905,871	0	0
Intellectual Disability Services	38,530,635	38,536,058	39,868,864	0	0
Alcohol and Drug Services	29,489,129	29,826,907	29,242,679	0	0
Early Intervention Services	9,184,334	6,171,521	5,129,368	0	0
Total Expenditures	\$149,919,221	\$146,255,981	\$141,887,642	\$141,359,619	\$142,620,028
Transfers Out:					
General Fund (001)	\$1,329,839	\$0	\$0	\$0	\$0
Total Transfers Out	\$1,329,839	\$0	\$0	\$0	\$0
Total Disbursements	\$151,249,060	\$146,255,981	\$141,887,642	\$141,359,619	\$142,620,028
Ending Balance³	\$526,828	\$372,096	\$0	\$398,924	\$0
Josiah H. Beeman Commission					
Reserve	\$500,000	\$372,096	\$0	\$372,096	\$0
Available Balance	\$26,828	\$0	\$0	\$26,828	\$0

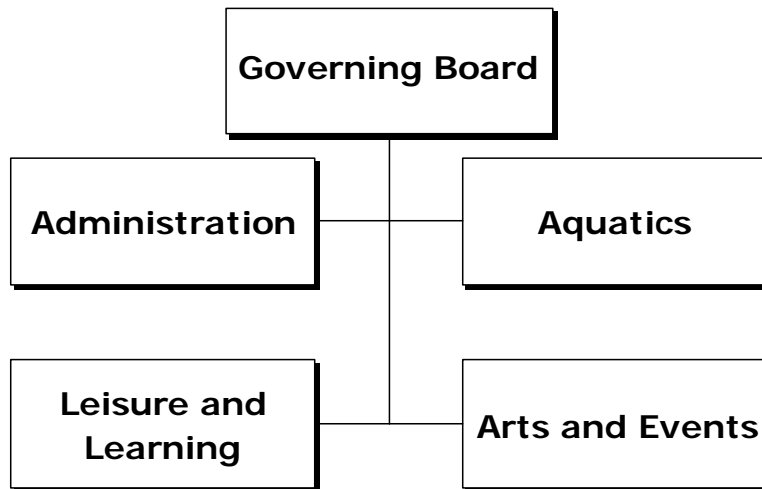
¹ The FY 2013 Adopted Budget Plan includes an increase of \$357,500 in revenue enhancements utilized to balance the FY 2013 budget.

² The CSB reorganized and realigned resources during FY 2012 to better serve the community, and services are now organized according to how they are provided, rather than by disability area.

³ The FY 2012 Revised Budget Plan Ending Balance of \$0 is a result of utilization to offset FY 2012 program requirements.

Fund 111

Reston Community Center



Mission

To create positive leisure, cultural and educational experiences which enhance the quality of life for all people living and working in Reston by providing a broad range of programs in arts, aquatics, enrichment, recreation and life-long learning; creating and sustaining community traditions through special events, outreach activities, and facility rentals; and building community through collaboration and celebration.

Focus

Reston Community Center (RCC) is a community leader, bringing the community together through enriching leisure time experiences that reach out to all and contribute to Reston's sense of place.

RCC provides four lines of programming to the Reston community: Arts and Events, Aquatics, Leisure and Learning, and Facility Rentals.

Operations are supported by revenues from a special property tax collected on all residential and commercial properties within Small District 5. As part of their deliberations on the FY 2007 Adopted Budget Plan, the Board of Supervisors reduced the Small District 5 tax rate for FY 2007 to \$0.047 per \$100 of assessed property value, a decrease of \$0.005 from the FY 2006 rate of \$0.052 per \$100 of assessed value. In addition, the Board passed a resolution in March 2006 that changed the boundaries of Small District 5, resulting in a reduction of 274 parcels. Since these adjustments, the Small District 5 tax rate has remained constant at \$0.047 per \$100 of assessed property value within the revised boundaries. In FY 2013, total property assessments in Small District 5 remain at the same level as FY 2012 pending final assessment evaluations from the Department of Tax Administration.

RCC also collects internal revenues generated by program registration fees, theatre box office receipts, gate admissions and facility rental fees. These activity fees are set at a level substantially below the actual costs of programming and operations since Small District 5 property owners have already contributed tax revenues to fund RCC. Consequently, Small District 5 residents and employees enjoy RCC programs at reduced rates.

Fund 111

Reston Community Center

Since 2002, the RCC fund balance has been divided into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, future capital projects, and economic and program contingencies. In anticipation of both increased demand and resources, the RCC Board of Governors has embarked on in-depth exploration of community needs and best approaches in anticipation of this long-term growth.

In FY 2011 RCC undertook expansion of its Lake Anne facility by approximately 4,500 square feet to accommodate demand for more fitness/wellness and fine arts offerings. The expansion was completed and operational on September 1, 2011.

The conclusion of the RCC Five Year Strategic Plan (2005-2010) in 2010 required that the Board of Governors and staff develop a new Five Year Strategic Plan (2011-2016) to address future challenges and requirements. Although the current economic climate presents challenges to maintaining revenues from taxes and fees, the Small District 5 financial outlook is stable. Furthermore, anticipated growth that is predicted to come from revisions to the Reston Master Plan and Metro extension to Dulles International Airport indicate the potential for significant residential population and property value growth, as well as commercial property value growth in the years ahead.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	46 / 46	49 / 49	49 / 49	49 / 49	49 / 49
Exempt	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Expenditures:					
Personnel Services	\$4,148,159	\$4,583,446	\$4,950,638	\$5,014,790	\$5,054,834
Operating Expenses	2,462,953	3,066,906	3,351,427	3,262,936	3,262,936
Subtotal	\$6,611,112	\$7,650,352	\$8,302,065	\$8,277,726	\$8,317,770
Capital Projects	\$1,503,156	\$98,000	\$1,623,289	\$0	\$0
Total Expenditures	\$8,114,268	\$7,748,352	\$9,925,354	\$8,277,726	\$8,317,770

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$119,726**
An increase of \$119,726 in Personnel Services reflects \$79,682 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$40,044 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$69,591**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$69,591 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

Fund 111

Reston Community Center

- ◆ **Personnel Services** **\$241,412**

An increase of \$241,412 is required to reflect increased costs based on actual experience for salary and fringe benefits, as well as program labor costs due to changes in the scope of program and activity offerings approved by the Reston Community Board on September 12, 2011.

- ◆ **Other Post-Employment Benefits** **\$40,659**

An increase of \$40,659 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

- ◆ **Operating Expenses** **\$196,030**

Funding of \$196,030 is required for additional programs and activities approved by the Reston Community Center Board on September 12, 2011.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$2,545,674**

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$53,105 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved an increase of \$2,492,569, including: \$1,443,960 in unexpended project balances; \$450,000 in additional project funding, comprised of \$150,000 for Hunters Woods upgrades primarily as a result of electrical and other related improvements, and \$300,000 to provide funding for Americans with Disabilities Act facility upgrades which will be supported by an appropriation from fund balance; \$314,087 in Personnel Services for fringe benefits and additional position costs based on the prior year actuals; \$208,900 in new program requirements of approved by the RCC Board in March 2011, primarily in the areas of arts and events, media, and learning and leisure; and \$75,622 in encumbered carryover. Please note that audit adjustments totaling an increase of \$368,672 to FY 2011 expenditures associated with additional capital costs for Project 003717, Lake Anne Facility Renovation resulted in a Capital Projects reduction of a commensurate amount in FY 2012.

Cost Centers

The four cost centers in Fund 111, Reston Community Center, are Administration (which includes facility rentals), Arts and Events, Aquatics, and Leisure and Learning. These distinct program areas work to fulfill the mission and carry out the key initiatives of the Reston Community Center.

Fund 111

Reston Community Center

Administration   

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	26 / 26	28 / 28	28 / 28	28 / 28	28 / 28
Exempt	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$5,033,969	\$4,383,465	\$6,372,915	\$4,676,152	\$4,698,600

Position Summary					
1 Executive Director, E	1 Management Analyst I	1 Administrative Assistant V			
1 Deputy Director	1 Public Information Officer I	3 Administrative Assistants IV			
1 Financial Specialist II	1 Chief, Bldg. Maintenance Section	1 Administrative Assistant III			
1 Financial Specialist I	2 Senior Maintenance Workers	6 Administrative Assistants II			
1 Network Telecom Analyst I	5 Maintenance Workers	1 Graphic Artist III			
1 Communications Specialist II	1 Facility Attendant II				
TOTAL POSITIONS					
29 Positions / 29.0 Staff Years			E Denotes Exempt Position		

Key Performance Measures

Goal

To provide effective leadership, supervision and administrative support for RCC programs and to maintain and prepare the facilities of the Reston Community Center for constituents of Small District 5.

Objectives

- ◆ Increase online transactions to at least 20 percent of all sale transactions.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Increase online transactions to at least 20 percent of all sale transactions.	NA	NA	NA	NA	2,004

Performance Measurement Results

The University of Virginia Center for Survey Research conducted a survey of Small District 5 in FY 2010. In FY 2012, RCC investigated whether or not to conduct a survey of Small District 5 to gauge community response to issues that are identified by the Board of Governors.

Key efforts in FY 2012 are directed to support the development of RCC's Five Year Strategic Plan (2011-2016) and to support the expansion of RCC's Lake Anne facility. Additionally, the Fairfax County/Department of Justice settlement regarding ADA features of facilities necessitated a review and planning for capital improvements related to the Settlement. An extensive review of RCC's personnel configuration was undertaken in FY 2011 to respond to the mandated changes arising from the Affordable Health Care Act and other federal requirements.

Fund 111

Reston Community Center

In FY 2012, in support of the new Strategic Plan RCC planned a redesign and re-launch of the RCC website; expansion of communications efforts in electronic and other formats, continuation of the collaborative efforts with community partners on a variety of initiatives and efforts, and improvement of internal capabilities with training support and appropriate innovations.

Arts and Events

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	8 / 8	8 / 8	8 / 8	8 / 8	8 / 8
Total Expenditures	\$1,338,793	\$1,392,721	\$1,458,602	\$1,487,017	\$1,494,539

Position Summary					
1	Theatrical Arts Director	1	Theatre Technical Director	1	Administrative Assistant IV
2	Park/Recreation Specialists II	2	Asst. Theatre Technical Directors	1	Administrative Assistant III
TOTAL POSITIONS					
8 Positions / 8.0 Staff Years					

Key Performance Measures

Goal

To provide Performing Arts, Arts Education and Community Event presentations to the residents of Small District 5 in order to increase the cultural awareness of the community in disciplines of dance, theatre, music and related arts as well as to create and sustain community traditions through community events.

Objectives

- ◆ To achieve attendance for Professional Touring Artist Series performances that averages 60 percent or better of capacity.
- ◆ To achieve enrollment in arts education offerings that averages 85 percent or better of capacity.
- ◆ To support community arts organization patrons by providing rental of the CenterStage and related art space as measured by audience attendance of 65 percent of capacity or better at these organizations' presentations.
- ◆ To provide artist residency and similar outreach activities in Small District 5 schools and related settings as measured by offerings that reach targeted school age populations (elementary, middle and high school). Outreach activity and performance or other artistic/cultural residency efforts will be provided to 100 percent of eight Small District 5 elementary schools, and 100 percent of the two Small District 5 middle and high schools annually. Attendance totals will vary depending on the nature of the artist residency/outreach activity.

Fund 111 Reston Community Center

- ◆ To enhance community identity and build community traditions with community events by offering an enhanced number of significant events that averages 12 events per year that feature collaboration and partnership with other community entities with attendance at significant events achieving 92 percent of capacity.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Professional Artist patrons served	4,237	3,511	3,306 / 3,851	3,480	3,480
Arts' patrons served	1,574	1,602	1,636 / 1,628	1,839	1,880
CenterStage and related art space rental patrons served	9,791	9,275	10,368 / 14,011	13,572	13,572
Small District # 5 number of Elementary Schools	0	5	8 / 8	8	8
Small District # 5 number of Middle and High Schools	2	2	2 / 2	2	2
Participation in artist residency and outreach activities	800	3,736	3,500 / 3,826	3,500	3,500
Number of partnered events offered	11	13	12 / 12	13	14
Efficiency:					
Cost per Professional Artist patron	\$68.18	\$107.45	\$102.74 / \$101.93	\$111.07	\$120.31
Professional Artist events capacity	7,740	6,090	5,510 / 5,800	5,800	5,800
Cost per Arts' patron	\$81.14	\$97.66	\$117.61 / \$97.46	\$115.35	\$102.88
Arts' offerings enrollment capacity	1,660	1,925	1,925 / 2,033	2,164	2,212
CenterStage and related arts space capacity	13,340	13,630	15,950 / 21,712	20,880	20,880
Outcome:					
Professional Artist events attendance as percent of capacity	56.00%	57.65%	60.00% / 66.00%	60.00%	60.00%
Arts' offerings enrollment as percent of capacity	95.0%	83.2%	85.0% / 80.0%	85.0%	85.0%
Attendance as percent of capacity	73.0%	68.0%	65.0% / 65.0%	65.0%	65.0%
Percent of elementary schools participating	0%	63%	75% / 100%	100%	100%
Percent of Middle/High Schools participating	100%	100%	100% / 100%	100%	100%
Attendance at significant events as a percent of capacity.	90%	95%	92% / 95%	92%	92%

Performance Measurement Results

Performing Arts

During FY 2011, the Arts and Events Department continued the program structure of the Professional Touring Artist Series established in FY 2010. FY 2011 audience participation increased in total attendance and percent of capacity. The percent of capacity went up to 66 percent, an 8 percent increase. While income was also higher in FY 2011 over the FY 2010, the shortfall in projected income resulted from continuing a lower price structure for the performances and offering a performance free of charge due to

Fund 111

Reston Community Center

a partnership agreement with the Fairfax County Library Foundation. New community partnerships and programs were identified per the Five Year Strategic Plan (2011-2016) for FY 2012. These included a new film series with contributions from the Initiative for Public Art – Reston (IPAR) and a “Meet the Artist Series” in partnership with the Osher Lifelong Learning Institute (OLLI) at George Mason University.

Arts Education Enrollment

Renovations in the Lake Anne facility had a minor effect on enrollment on some FY 2011 Arts Education class offerings. In FY 2012 the expansion at Lake Anne is yielding more class offerings and increased capacity for highly desirable programs per the Programs objective in the Five Year Strategic Plan (2011-2016). A three-dimensional media classroom, expanded art studio classroom, and larger capacity ceramics studio were part of RCC Lake Anne improvements.

Rental Capacity

FY 2011 actual rental revenue fell compared with estimates due to lower utilization of the program than originally anticipated. Despite fewer rental hours being booked, the number of rental clients increased in FY 2011 with the addition of four new renters. RCC’s new rental policy and rates came into effect in FY 2012 and income is expected to be enhanced because of the increased hourly rates.

Performing Arts/Arts Education Outreach

Outreach to area schools was maintained at a high level by contracting through the Professional Touring Artist Series.

Community Events

Partnered events in the community in FY 2011 were maintained at the levels set in FY 2010. Community Events is seeing an expansion of partnered events in FY 2012 with new activities consistent with objectives in the Five Year Strategic Plan’s Collaboration and Outreach goals.

Aquatics

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	5 / 5	5 / 5	5 / 5	5 / 5	5 / 5
Total Expenditures	\$698,708	\$715,549	\$735,204	\$737,832	\$741,683

Position Summary	
1 Park/Recreation Specialist II	2 Administrative Assistants II
1 Park/Recreation Specialist I	
1 Park/Recreation Assistant	
TOTAL POSITIONS	
5 Positions / 5.0 Staff Years	

Fund 111

Reston Community Center

Key Performance Measures

Goal

To provide a safe and healthy professional pool environment and balanced Aquatics programming year round for all age groups in Small District 5.

Objectives

- ◆ To achieve 90 percent enrollment/participation for Instructional, Recreational, and Lap Swimming/Competitive lines of programming.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Patrons served	59,290	68,115	69,300 / 70,602	69,300	69,300
Efficiency:					
Cost per patron	\$6.79	\$5.79	\$5.11 / \$5.51	\$5.54	\$5.80
Enrollment capacity	77,000	76,003	77,000 / 77,000	77,000	77,000
Outcome:					
Participation enrollment as percent of capacity	77.00%	88.46%	90.00% / 92.00%	90.00%	90.00%

Performance Measurement Results

The FY 2011 increase in patrons and rental revenue occurred due to added calendar availability for historical users to increase the number of practice/rental sessions.

The Drowning Education and Awareness Program (DEAP) had good participation with over 350 attendees. While not a revenue generating program, DEAP is a high profile endeavor to present valuable information to the community and to present Aquatics programming in non-water settings. FY 2012 was the first year expanded presentations were provided utilizing venues offered by local youth and adult swim teams. Additionally RCC will continue to increase collaboration with Reston Association to maximize opportunities in their facilities.

The RCC FY 2011 Fee Waiver program resulted in 318 patron transactions directly applied to activity registrations, daily admission payment and bulk pass purchases. Revenue projections were not reached partially due to utilization of the agency's Fee Waiver program and partially due to lower than anticipated enrollment and utilization of the RCC's Terry L. Smith Aquatics Center by fee-paying patrons. Patrons' frustration with the lack of space in the most popular Aquatics program offerings continues to be expressed and pool scheduling and utilization for programming has been maximized to the greatest degree possible. The agency continues to explore additional options for Aquatics facility expansion and land-based programming to try to address the demand issue per the Five Year Strategic Plan (2011-2016) Facilities goals and objectives. Total waitlist numbers for Aquatics classes continue to be roughly equivalent to enrolled participation.

Fund 111

Reston Community Center

Leisure and Learning

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	7 / 7	8 / 8	8 / 8	8 / 8	8 / 8
Total Expenditures	\$1,042,798	\$1,256,617	\$1,358,633	\$1,376,725	\$1,382,948

Position Summary	
1 Park/Recreation Specialist III	2 Park/Recreation Assistants
5 Park/Recreation Specialists II	
TOTAL POSITIONS	
8 Positions / 8.0 Staff Years	

Key Performance Measures

Goal

Reston Community Center programs evolve and adapt to a changing community to reach more people living and working in Reston. RCC programs serve diverse interests and are high quality, well-attended, and affordable.

Objectives

- ◆ To achieve participation rates of 83 percent of maximum enrollment in the Youth, Teen, Adult and Senior registered program offerings.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Enrollment capacity	8,461	9,943	10,300 / 11,590	10,451	10,678
Patrons served	7,846	8,589	8,549 / 8,919	8,674	8,863
Efficiency:					
Cost per participant	\$80.65	\$73.57	\$79.95 / \$70.06	\$80.31	\$76.82
Outcome:					
Participation enrollment as percent of capacity	80.00%	86.38%	83.00% / 77.00%	83.00%	83.00%

Fund 111

Reston Community Center

Performance Measurement Results

Planned class sizes were enlarged and patron enrollment increased in FY 2011. RCC filled 370 more seats than estimated, serving 8,919 registered Leisure and Learning patrons, and revenue earnings met projected totals with Fee Waiver users factored into the equation. Additionally, the department plans to attract patrons by offering more one-time seminar and shorter-duration programs (e.g. mini sessions) of the popular offerings such as fitness and enrichment classes. Regular utilization of evaluation tools will improve RCC's ability to plan new programs and schedule existing programs in a format that best meets the needs of the participants. Additionally, the Leisure and Learning department will expand its reach into the community by participating in community-based task forces and initiatives. Program partnerships will continue to be pursued to support and enhance offerings.

Drop-In program attendance in FY 2011 increased compared to FY 2010 with an estimated 12,689 visits in dozens of offerings. The Drop-In programs attracting the largest interest include the Senior Aerobics Program (referred to as "Seniorcize"), as well as Open Woodshop, Chess Club, Mah Jongg, Senior Adult Bridge, and the Current Issues Discussion Group.

Fund 111

Reston Community Center

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 111, Reston Community Center

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$8,746,167	\$6,551,648	\$7,467,169	\$4,472,753	\$4,472,753
Revenue:					
Taxes	\$5,868,550	\$6,639,319	\$5,958,186	\$5,958,186	\$5,958,186
Interest	46,047	69,644	47,746	47,077	47,077
Aquatics	272,519	284,127	265,000	286,842	286,842
Leisure and Learning	315,010	421,259	374,000	427,893	427,893
Rental	138,919	100,800	100,800	100,500	100,500
Arts and Events	194,225	185,206	185,206	190,634	190,634
Total Revenue	\$6,835,270	\$7,700,355	\$6,930,938	\$7,011,132	\$7,011,132
Total Available	\$15,581,437	\$14,252,003	\$14,398,107	\$11,483,885	\$11,483,885
Expenditures:					
Personnel Services	\$4,148,159	\$4,583,446	\$4,950,638	\$5,014,790	\$5,054,834
Operating Expenses	2,462,953	3,066,906	3,351,427	3,262,936	3,262,936
Subtotal	\$6,611,112	\$7,650,352	\$8,302,065	\$8,277,726	\$8,317,770
Capital Projects	\$1,503,156	\$98,000	\$1,623,289	\$0	\$0
Total Expenditures	\$8,114,268	\$7,748,352	\$9,925,354	\$8,277,726	\$8,317,770
Total Disbursements	\$8,114,268	\$7,748,352	\$9,925,354	\$8,277,726	\$8,317,770
Ending Balance¹	\$7,467,169	\$6,503,651	\$4,472,753	\$3,206,159	\$3,166,115
Maintenance Reserve	\$820,235	\$924,043	\$831,713	\$841,336	\$841,336
Feasibility Study Reserve	136,706	154,006	138,619	140,223	140,223
Capital Project Reserve ²	3,000,000	3,000,000	2,550,000	875,840	875,840
Economic and Program Reserve	3,500,000	2,325,602	952,421	1,348,760	1,308,716
Unreserved Balance	\$10,228	\$100,000	\$0	\$0	\$0
Tax Rate per \$100 of Assessed Value	\$0.047	\$0.047	\$0.047	\$0.047	\$0.047

¹ The fund balance in Fund 111, Reston Community Center, is maintained at adequate levels relative to projected personnel and operating requirements. Available fund balance is divided into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, funds for future capital projects, and funds for economic and program contingencies.

² Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

Fund 111

Reston Community Center

FY 2013 Summary of Capital Projects

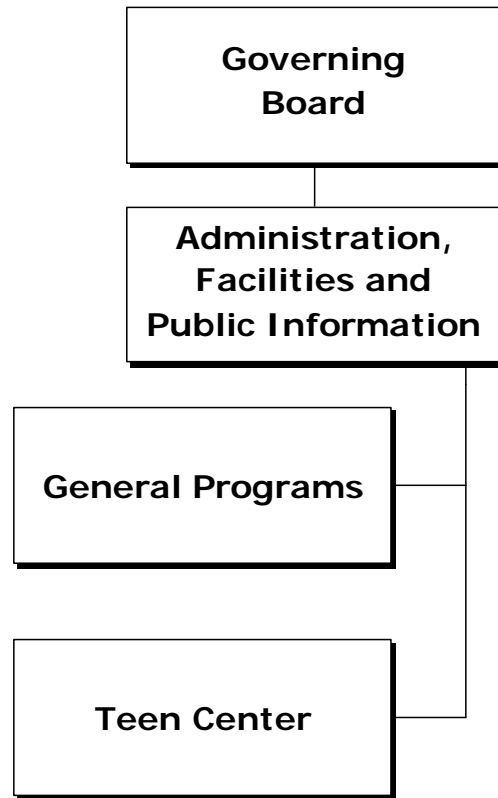
Fund: 111, Reston Community Center

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
003716	Reston Community Center Improvements	\$1,751,636	\$280,970.10	\$494,063.90	\$0	\$0
003717	RCC HW Facility Renovations	6,524,652	1,222,185.81	396,414.93	0	0
003718	Hunter Woods EHNNCMT	634,810	0.00	634,809.70	0	0
003719	Hunter Woods Roof TRT	98,000	0.00	98,000.00	0	0
Total		\$9,009,098	\$1,503,155.91	\$1,623,288.53	\$0	\$0

Note: Total Project Estimate reflects the cumulative costs of alterations, improvements, facility renovations and enhancements assigned to these project codes since inception.

Fund 113

McLean Community Center



Mission

The mission of the McLean Community Center is to provide a sense of community by undertaking programs; assisting community organizations; and furnishing facilities for civic, cultural, educational, recreational, and social activities apportioned fairly to all residents of Small District 1A, Dranesville.

Focus

McLean Community Center (MCC or the Center) fulfills its mission by offering a wide variety of civic, social and cultural activities to its residents including families, local civic organizations, and businesses.

MCC offers classes and activities such as aerobics, computers, acting and tours, for all ages at nominal fees. Special events and seasonal activities such as McLean Day, Fourth of July, Summer Camp, and a Craft Show are held at MCC, local schools and parks. The Alden Theatre presents professional shows, travel films and entertainment for children. The Old Firehouse is a popular teenage social and recreation center in downtown McLean, operated by the Center. Drop-in activities sponsored by MCC are available such as open bridge games and table tennis.



Facilities and operations of the MCC are supported primarily by revenues from a special property tax collected from all residential and commercial properties within Small District 1A, Dranesville. The Small District 1A real estate tax rate for FY 2013 is \$0.022 per \$100 of assessed property value which is a decrease of \$0.001 from the FY 2012 tax rate of \$0.023. Other revenue sources include program fees and

Fund 113 McLean Community Center

interest on investments. In FY 2013, total property tax receipts in Small District 1A are reduced from FY 2012 pending final assessment evaluations from the Department of Tax Administration.

Financial and operational oversight of the Center is provided by the MCC Governing Board, elected annually. MCC receives its expenditure authority from the Fairfax County Board of Supervisors each fiscal year.

The MCC Governing Board and staff have developed and refined a strategic business plan which directs the expansion of the agency's functions for the next three years. MCC will renovate the theatre as part of the continuing improvements. MCC will train staff to provide information to enhance the Center's capability as a "one-stop shop" for printed and online information on community activities. MCC also seeks to develop programs that increase community involvement of all age groups. Residents and businesses will be included in identifying McLean's community needs and MCC staff will analyze those needs to determine potential areas of expanded programming facilities.

Over the last several years, MCC's Governing Board and staff members have been considering a possible relocation or renovation of the Teen Center, a satellite program of MCC that provides after school programs, activities, events and a summer camp program for middle-school-age students. In FY 2011, a survey of Small District 1A residents and users was conducted to provide information concerning their experiences taking classes, attending performances and special events

Creating greater awareness of and participation in community activities was also a part of MCC's strategic business plan. MCC will continue to support outreach and marketing and community activities.

MCC will maintain fiscal integrity and expand community support by increasing business and neighborhood partnerships, and by obtaining sponsorships for MCC programs and activities.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	31 / 27.95	31 / 27.95	31 / 28.18	31 / 28.18	31 / 28.18
Expenditures:					
Personnel Services	\$2,472,750	\$2,561,062	\$2,571,493	\$2,868,631	\$2,891,179
Operating Expenses	2,037,073	2,390,795	2,499,220	2,508,679	2,508,679
Capital Equipment	27,633	52,500	52,500	66,500	66,500
Subtotal	\$4,537,456	\$5,004,357	\$5,123,213	\$5,443,810	\$5,466,358
Capital Projects	\$381,582	\$575,000	\$982,777	\$627,000	\$627,000
Total Expenditures	\$4,919,038	\$5,579,357	\$6,105,990	\$6,070,810	\$6,093,358

Fund 113

McLean Community Center

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$68,136**
An increase of \$68,136 in Personnel Services reflects \$45,588 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$22,548 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$39,876**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$39,876 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Program Adjustments** **\$218,590**
An increase of \$218,590 in Personnel Services is associated fringe benefit adjustments, as well as limited term salary adjustments for expanded programs and activities.

- ◆ **Other Post-Employment Benefits** **\$3,515**
An increase of \$3,515 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

- ◆ **Operating Expenses** **\$117,884**
An increase of \$117,884 is primarily associated with increases in professional and consulting services, recreational supplies and advertising costs associated with expanded programs and activities.

- ◆ **Capital Equipment** **\$66,500**
Funding of \$66,500 is required for the replacement of a light console in the Theatre and enhancements to the stage projector screen and video projector.

- ◆ **Capital Projects** **\$627,000**
Funding of \$627,000 is required for capital improvements of \$120,000 for MCC drainage systems and the Teen Center audio visual and booth projects; and capital replacements of \$507,000 for MCC restrooms, community hall partitions and signage, and Teen Center awnings.

Fund 113 McLean Community Center

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** \$526,633
As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$30,431 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved an increase of \$496,202 associated with encumbered carryover of \$88,425 and unexpended Capital Project balances of \$407,777.

Cost Centers

The cost center previously entitled Facilities and Services was revised to recognize the component areas included within the cost center. The new title of the cost center is changed to Administration, Facilities and Public Information.

Administration, Facilities and Public Information

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	17 / 14.45	17 / 14.45	16 / 13.88	16 / 13.88	16 / 13.88
Total Expenditures	\$2,359,426	\$2,633,927	\$3,072,544	\$2,684,436	\$2,694,519

Position Summary		
<u>Facilities</u>	<u>Administration</u>	<u>Public Information</u>
1 Chief Building Maintenance Section	1 Executive Director	1 Communications Specialist II
1 Facility Attendant II	1 Deputy Community Ctr. Director	
5 Facility Attendants I, 5 PT	1 Accountant II	
	2 Administrative Assistants V	
	2 Administrative Assistants III	
	1 Administrative Assistant II	
TOTAL POSITIONS		
16 Positions / 13.88 Staff Years		PT Denotes Part-Time Positions

Key Performance Measures

Goal

To administer the facilities and programs of the McLean Community Center, to assist residents and local public groups' planning activities and to provide information to citizens in order to facilitate their integration into the life of the community.

Fund 113 McLean Community Center

Objectives

- ◆ To maintain the number of patrons attending events, activities and classes at approximately 87,000.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Patrons served	179,035	85,340	87,550 / 81,548	87,680	86,950
Efficiency:					
Cost per patron	\$9.79	\$22.17	\$23.85 / \$22.79	\$21.62	\$21.45
Service Quality:					
Percent satisfied with service	99%	99%	99% / 99%	99%	99%
Outcome:					
Percent change in patrons using the Center	(0.9%)	(52.0%)	2.6% / (4.4%)	7.5%	(0.8%)

Performance Measurement Results

Data in the section entitled "Patrons served" captures a different count structure starting with the FY 2010 actuals. The new registration system records data on a per patron registered basis rather than a patron per class basis, and this change was implemented in FY 2010 with the acquisition of a new registration system (Activenet).

General Programs



Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/ Staff Years					
Regular	12 / 11.5	12 / 11.5	14 / 13.3	14 / 13.3	14 / 13.3
Total Expenditures	\$2,215,366	\$2,513,982	\$2,597,955	\$2,919,487	\$2,931,184

Position Summary		
Instruction & Senior Adult Activities	Performing Arts	Youth Activities
1 Park/Recreation Specialist III	1 Theatrical Arts Director	1 Park/Recreation Specialist II
1 Park/Recreation Assistant	1 Theatre Technical Director	1 Park/Recreation Specialist I
1 Administrative Assistant II, PT	1 Asst. Theatre Technical Director	
	1 Park/Recreation Specialist I	
Special Events	1 Administrative Assistant IV	
1 Park/Recreation Specialist II	1 Facility Attendant II	
1 Park/Recreation Specialist I	1 Facility Attendant I, PT	
TOTAL POSITIONS		
14 Positions / 13.3 Staff Years		
PT Denotes Part-Time Positions		

Fund 113

McLean Community Center

Key Performance Measures

Goal

To provide programs and classes to McLean Community Center district residents of all ages in order to promote personal growth and a sense of community involvement.

Objectives

- ◆ To maintain the number of patrons participating in classes and activities at 3,700.
- ◆ To increase the number of patrons attending major community Special Events by approximately 15 percent to 38,000, while improving the participant satisfaction level.
- ◆ To decrease the number of patrons served by Performing Arts activities by approximately 20 percent to maintain consistency with the FY 2011 actual numbers.
- ◆ To maintain the number of patrons participating in Youth Activities at 4,500.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Patrons participating in classes and Senior Adult activities.	37,210	5,530	5,550 / 5,550	3,700	3,700
Patrons attending Special Events	30,145	32,000	32,000 / 32,000	33,000	38,000
Patrons at Performing Arts activities	30,420	29,640	32,000 / 22,511	29,980	24,000
Youth Activity patrons	8,480	18,170	18,000 / 4,911	4,500	4,500
Efficiency:					
Cost per patron in classes and Senior Adult activities	\$14.01	\$13.28	\$16.13 / \$14.44	\$25.63	\$69.87
Cost per patron at Special Events	\$9.88	\$10.31	\$16.09 / \$9.44	\$7.68	\$9.77
Cost per patron at Performing Arts activities	\$24.25	\$26.40	\$29.76 / \$28.18	\$26.36	\$36.56
Cost per patron at Youth Activities	\$35.23	\$18.84	\$27.22 / \$55.26	\$72.05	\$70.87
Service Quality:					
Percent satisfied with classes and Senior Adult activities	95%	95%	95% / 95%	95%	95%
Percent satisfied with Special Events	99%	98%	99% / 99%	98%	97%
Percent satisfied with Performing Arts activities	99%	99%	99% / 99%	97%	98%
Percent satisfied with Youth Activities	85%	90%	90% / 91%	93%	93%

Fund 113 McLean Community Center

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Outcome:					
Percent change in participation in classes and Senior Adult activities	5.2%	NA	0.1% / 0.1%	(33.3%)	0.0%
Percent change in participation at Special Events	6.3%	2.8%	0.0% / 0.0%	3.1%	15.2%
Percent change in participation at Performing Arts activities	3.6%	(2.6%)	8.0% / (24.1%)	33.2%	(19.9%)
Percent change in participation at Youth Activities	17.3%	114.3%	(0.9%) / (73.0%)	(8.4%)	0.0%

Performance Measurement Results

Data in the section titled "Patrons participating in classes and Senior Adult activities" captures a different count structure starting in FY 2010. The new registration system records data on a per patron registered basis rather than a patron per class basis, and this change was implemented in FY 2010 with the acquisition of a new registration system (Activenet).

The number of patrons attending events at MCC shows a decrease in FY 2011 for both the Performing Arts and Youth Activity. The FY 2011 decrease in patrons at performing arts activities is due to the lack of indoor alternatives to large weather-dependent, outdoor events. Staff members are working to find acceptable auxiliary sites. The primary reason for the drop in Youth program attendance was due to the method of counting enrollment. In previous years, youth were counted for every day/event that they attended, including camps and dances. In FY 2011, staff counted enrollment on a per registrant basis, so if a youth member attended camp each day for 10 days, that student was counted just one time.

Service Quality is measured by customer satisfaction surveys. These are conducted at the conclusion of the classes and other activities, and on-site at special events. A high level of approval has been noted in every aspect of the programs and activities.

Teen Center

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	2 / 2	2 / 2	1 / 1	1 / 1	1 / 1
Total Expenditures	\$344,246	\$431,448	\$435,491	\$466,887	\$467,655

Position Summary	
1	Park/Recreation Specialist I
TOTAL POSITIONS	
1 Position / 1.0 Staff Year	

Fund 113

McLean Community Center

Key Performance Measures

Goal

To provide a facility for local youth in grades 7 through 12 in order to promote personal growth and provide a safe recreational and productive environment.

Objectives

- ◆ To maintain the yearly number of weekend patrons at 4,000.
- ◆ To increase the yearly number of weekday participants by 250 or 2 percent from 12,500 to 12,750 with a future year goal of 13,000.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Weekend patrons at Teen Center	5,850	2,571	2,500 / 4,171	4,000	4,000
Weekday patrons at Teen Center	10,325	11,860	12,000 / 12,405	12,500	12,750
Efficiency:					
Cost per patron (including weekend and weekday)	\$19.11	\$23.75	\$29.76 / \$13.82	\$21.77	\$21.23
Service Quality:					
Percent of satisfied weekend patrons	95%	90%	90% / 93%	93%	94%
Percent of satisfied weekday patrons	90%	90%	90% / 90%	93%	94%
Outcome:					
Percent change in weekend patrons	34.8%	(56.1%)	(2.8%) / 62.2%	(4.1%)	0.0%
Percent change in weekday patrons	3.3%	14.9%	1.2% / 4.6%	0.8%	2.0%

Performance Measurement Results

In FY 2011 the number of patrons participating in Teen Center weekend and weekday activities increased by 2,145 or 14.9 percent, attributable to heightened interest by teens in the programs and activities, program re-design and facility improvements to the Teen Center. The 62.2 percent increase in weekend patronage was associated with increased public awareness of the MCC Teen Center rental program, increased desire of the public to rent the facility due to interior renovation, individual rentals hosting a larger number of parties and the addition of a weekend event – the Teen Center Block Party, which saw an estimated 500 to 750 patrons.

Fund 113 McLean Community Center

FUND STATEMENT

Fund G10, Special Revenue Funds

Fund 113, McLean Community Center

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$12,551,599	\$12,186,757	\$12,584,950	\$11,769,392	\$11,769,392
Revenue:					
Taxes	\$3,804,788	\$4,041,395	\$4,041,395	\$3,718,108	\$3,718,108
Interest	59,622	80,000	80,000	60,218	60,218
Rental Income	52,681	30,233	30,233	45,000	45,000
Instructional Fees	523,400	548,772	548,772	524,009	524,009
Performing Arts	157,086	168,375	168,375	171,400	171,400
Vending	336	300	300	300	300
Special Events	99,340	164,820	164,820	124,679	124,679
Theatre Rentals	2,123	6,000	6,000	1,000	1,000
Intergenerational Programs	126,967	143,150	143,150	132,489	132,489
Miscellaneous Income	6,087	15,087	15,087	0	0
Teen Center Income	115,350	72,300	72,300	105,870	105,870
Visual Arts	4,609	20,000	20,000	155,965	155,965
Total Revenue	\$4,952,389	\$5,290,432	\$5,290,432	\$5,039,038	\$5,039,038
Total Available	\$17,503,988	\$17,477,189	\$17,875,382	\$16,808,430	\$16,808,430
Expenditures:					
Personnel Services	\$2,472,750	\$2,561,062	\$2,571,493	\$2,868,631	\$2,891,179
Operating Expenses	2,037,073	2,390,795	2,499,220	2,508,679	2,508,679
Capital Equipment	27,633	52,500	52,500	66,500	66,500
Capital Projects	381,582	575,000	982,777	627,000	627,000
Total Expenditures	\$4,919,038	\$5,579,357	\$6,105,990	\$6,070,810	\$6,093,358
Total Disbursements	\$4,919,038	\$5,579,357	\$6,105,990	\$6,070,810	\$6,093,358
Ending Balance¹	\$12,584,950	\$11,897,832	\$11,769,392	\$10,737,620	\$10,715,072
Equipment Replacement Reserve ²	\$1,007,426	\$1,007,426	\$1,007,426	\$1,007,426	\$1,007,426
Capital Project Reserve ³	8,574,193	8,574,193	8,574,193	8,574,193	8,574,193
Technology Improvement Fund	200,000	200,000	200,000	200,000	200,000
Unreserved Balance	\$2,803,331	\$2,116,213	\$1,987,773	\$956,001	\$933,453
Tax Rate per \$100 of Assessed Value⁴	\$0.024	\$0.023	\$0.023	\$0.022	\$0.022

¹ The ending balance is being set aside to fund a future expansion of the main facility and potentially a relocation or renovation of the Old Firehouse Teen Center, a satellite program of McLean Community Center, providing after school programs, activities, events and a summer camp program for middle-school-age students. It is anticipated that the funding in the Capital Project Reserve will be directed to the expansion and relocation plans. By building up this reserve, the amount of bond funding required will be reduced accordingly.

² Funds reserved for equipment replacement are not encumbered based on normal accounting practices; however, they are allocated for future equipment replacement purchases.

³ Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

⁴ Effective in FY 2013, the tax rate is reduced to \$0.022 from \$0.023 per \$100 of Assessed Value.

Fund 113 McLean Community Center

FY 2013 Summary of Capital Projects

Fund: 113, McLean Community Center

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
003601	McLean Community Center Improvements	\$4,276,159	\$381,581.82	\$982,777.47	\$627,000	\$627,000
Total		\$4,276,159	\$381,581.82	\$982,777.47	\$627,000	\$627,000

Fund 115

Burgundy Village Community Center

Mission

To provide and maintain a facility for the citizens of the Burgundy Village district so they may have an opportunity to plan, organize, and implement recreational, social, and civic activities.

Focus

Fund 115, Burgundy Village Community Center, was established in 1970, along with a special tax district, to finance the operations and maintenance of the Burgundy Village Community Center for use by residents of the Burgundy Community. Residents of this district currently pay an additional \$0.02 per \$100 of assessed value on their real estate taxes to fund the Center. The subdivisions of Burgundy Village, Somerville Hill, and Burgundy Manor are included in the special tax district. Funding for Center operations and maintenance is derived from the tax district receipts, interest on Center funds invested by the County, and rentals.

The Burgundy Village Community Center is used for meetings, public service affairs, and private parties. Residents of the Burgundy Community rent the facility for \$50 per event while non-residents are charged \$250 per event. There is no charge for community activities or meetings such as the Burgundy Civic Association, Neighborhood Watch, and community events sponsored by the Operations Board.

The Center is currently governed by a five-member Operations Board elected by the Burgundy Village Community residents.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	0 / 0	0 / 0	0 / 0	0 / 0	0 / 0
Expenditures:					
Personnel Services	\$16,226	\$18,419	\$18,680	\$19,145	\$19,145
Operating Expenses	16,083	25,646	25,646	25,646	25,646
Capital Equipment	0	0	0	0	0
Total Expenditures	\$32,309	\$44,065	\$44,326	\$44,791	\$44,791

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** \$384
An increase of \$384 in Personnel Services reflects a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012.

Fund 115

Burgundy Village Community Center

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$342**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$342 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$261**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$261 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011.

Key Performance Measures

Objectives

- ◆ To maintain the number of community center rentals at 276 in order to remain a focal point in the community.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Rentals	236	178	187 / 276	276	276
Efficiency:					
Cost per rental	\$0.00	\$49.98	\$56.97 / \$32.48	\$33.37	\$35.18
Service Quality:					
Percent of users satisfied with the use of the facility	74%	77%	80% / 81%	80%	80%
Outcome:					
Percent change in facility use to create a community focal point	(2.1%)	(24.6%)	5.1% / 55.1%	0.0%	0.0%

Performance Measurement Results

In FY 2011, rentals improved tremendously for both residential and non-residential as the center experienced a 55.1 percent overall increase. This growth is in direct correlation with securing two regularly scheduled engagements during the week and employing more vigorous outreach efforts to highlight the center's rental availability. In FY 2011, the customer satisfaction survey increased, whereby showing continued satisfaction and the center's commitment to remain a focal point in the community. It is anticipated that rental totals will stay the same as the center is reaching capacity. The governing Board will continue securing local advertising and ascertaining the feasibility of an online application/website to help facilitate operations.

Fund 115

Burgundy Village Community Center

FUND STATEMENT

Fund Type G10, Special Revenue Funds

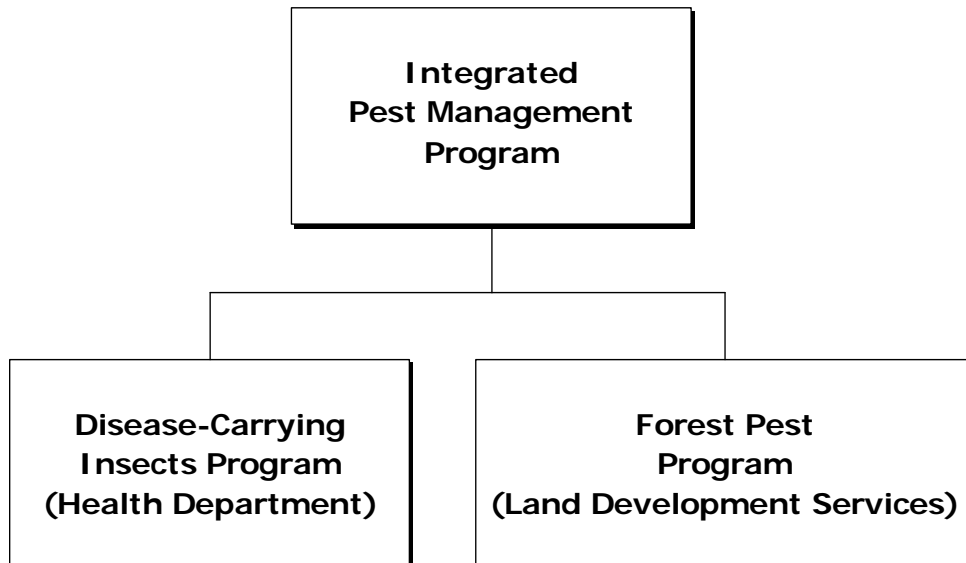
Fund 115, Burgundy Village
Community Center

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$258,254	\$271,799	\$270,644	\$272,093	\$272,093
Revenue:					
Taxes	\$20,290	\$23,775	\$23,775	\$23,775	\$23,775
Interest	1,064	2,000	2,000	2,000	2,000
Rent	23,345	17,321	20,000	23,345	23,345
Total Revenue	\$44,699	\$43,096	\$45,775	\$49,120	\$49,120
Total Available	\$302,953	\$314,895	\$316,419	\$321,213	\$321,213
Expenditures:					
Personnel Services	\$16,226	\$18,419	\$18,680	\$19,145	\$19,145
Operating Expenses	16,083	25,646	25,646	25,646	25,646
Total Expenditures	\$32,309	\$44,065	\$44,326	\$44,791	\$44,791
Total Disbursements	\$32,309	\$44,065	\$44,326	\$44,791	\$44,791
Ending Balance¹	\$270,644	\$270,830	\$272,093	\$276,422	\$276,422
Tax Rate per \$100 of Assessed Value	\$0.02	\$0.02	\$0.02	\$0.02	\$0.02

¹ The Burgundy Village Community Center maintains fund balances at adequate levels relative to projected operation and maintenance requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 116

Integrated Pest Management Program



Mission

To suppress forest pest infestation and insect transmitted human disease throughout the County through surveillance, pest and insect control, as well as public information and education, so that zero percent of the County tree cover is defoliated and human morbidity and mortality are minimized while protecting the environment.

Focus

Fund 116, Integrated Pest Management Program, includes two separate programs – the Forest Pest Program managed by Land Development Services (Department of Public Works and Environmental Services) and the Disease-Carrying Insects Program managed by the Health Department. The Forest Pest Program currently focuses on preventing the spread of gypsy moth caterpillars, cankerworms, emerald ash borers and hemlock woolly adelgid in the County. The Disease-Carrying Insects Program focuses on maintaining a low incidence of the West Nile virus, Lyme disease, and other tick-borne diseases—as the prevention of epidemics and spread of disease is one of the core functions of the Health Department.

A countywide tax levy financially supports Fund 116 activities and this levy is subject to change annually due to funding requirements based on the level of infestation. Since FY 2001, the Board of Supervisors-approved tax rate has been \$0.001 per \$100 assessed value and has provided support for both the Forest Pest and the Disease-Carrying Insects Programs. In FY 2013, the same tax rate, along with the existing fund balance, will continue to support both programs.

Forest Pest Program (FPP)

The Forest Pest Program is a cooperative program with the United States Department of Agriculture (USDA) Forest Service and the Virginia Department of Agriculture and Consumer Services (VDACS). VDACS maintains a list of insects that are eligible for control by this program. Currently, four insects are listed: the gypsy moth, cankerworm, emerald ash borer, and hemlock woolly adelgid.

The gypsy moth program and the cankerworm program investigate tree damage due to both pests by conducting annual monitoring surveys. The surveys check egg masses and larval densities, are conducted every 2,000 feet throughout the County and are USDA Forest Service approved. Forested

Fund 116

Integrated Pest Management Program

areas with high gypsy moth and cankerworm populations are identified for possible treatment the following spring. The proposed treatment plan and resource requirements for these pests are submitted annually to the Board of Supervisors for approval in February. The County may also be eligible for partial reimbursement for aerial treatment costs from the federal government (assuming funding is available). Treatment is conducted in late April through early May before the gypsy moth and cankerworm can damage trees. Throughout the year, staff conducts public hearings, displays information at fairs and exhibits, and distributes brochures, educating Fairfax County communities about pest suppression methods and measures that they may take to alleviate potential forest pest population infestations.

It is noted that the size of pest populations for gypsy moths and cankerworms is cyclical. Populations will be high for a period of years, and then drop for a period, only to rise again. For example, in the early to mid-1990s, annual treatment requirements for the gypsy moth fluctuated from 3,000 to 45,000 acres. In recent years, gypsy moth populations have moderated. Since FY 2001, treatment acreage has fluctuated between zero acres and 5,500 acres annually, with the average being 2,100 acres. Based on field surveys conducted in the fall of 2011, staff estimates that no acres will require treatment in FY 2012. Cankerworm populations also have moderated in recent years. Treatment has not been necessary since 2003, and none is anticipated in FY 2012. The FY 2013 budget provides capacity to treat 500 acres for gypsy moths and 500 acres for cankerworms, should egg mass surveys conducted between August and January of that fiscal year indicate the need.

The emergence of the emerald ash borer in Fairfax County was identified by VDACS in late 2003. In an effort to ensure that the insects did not spread any further, guidance was given by the USDA Animal Plant Health Inspection Service (APHIS) regarding eradication. Eradication efforts took place in spring 2004 before the adult borers emerged. Those efforts were coordinated among Fairfax County Forest Pest Program staff, APHIS, and VDACS and federal funding for eradication efforts was provided to the State. Forest Pest Program staffs continue to assist in eradication efforts through monitoring and surveying the treated area; however, County financial support for these efforts is not expected to be significant due to the relatively low emerald ash borer populations found in recent years. In July 2008, staff identified three new infestations in the Newington, Herndon and Bailey's Crossroads areas and one in the Fair Oaks area in July 2009. As a result of these infestations, USDA and VDACS have established a quarantine in northern Virginia which prohibits ash wood material from leaving the area. Fairfax County staff has also implemented an outreach program to inform public and private entities of the relevant state and federal regulations.



On average, County staff annually treats 2,100 acres to combat the gypsy moth infestations.

Hemlock woolly adelgid is a recent addition to the VDACS list of insects that can be controlled by the Forest Pest Program. Staff is currently considering various control options for this pest.

Fund 116

Integrated Pest Management Program

In March 2011, the Forest Pest Program added monitoring and outreach activities for two additional tree diseases (Thousand Cankers Disease of Black Walnut and Sudden Oak Death) and one additional insect (Asian Long horned Beetle) to its most recent suppression plan. While staff must petition VDACS to add additional pests to the list of insects and diseases that can be controlled by service districts in Virginia, past experience with new insects and diseases has proven that diligent monitoring, detection, and prevention are much more cost effective and accepted by the public than control.

Black Walnut (*Juglans nigra*) is a tree native to Fairfax County. In the summers of 2010 and 2011, black walnut trees were observed to be declining near Knoxville, Tennessee and Richmond, Virginia. Foresters confirmed that a disease called thousand cankers disease and the walnut twig beetle (*Pityophthorus juglandis*) that spreads it had been artificially introduced to the eastern United States from the west. VDACS has recognized the importance of early detection of this pest and is in the process of developing a management plan for its detection and control. Staff recommends that resources in the form of an outreach program be developed in order to monitor for this disease. Key targets of the outreach effort will include homeowners and private tree care companies.

Sudden Oak Death is caused by a fungus (*Phytophthora ramorum*) that has caused wide-scale tree mortality in the western United States since 1995. Fortunately, this disease has been found only in isolated locations in the eastern United States and officials feel that these infestations have been contained. Diligent monitoring is critical in slowing the spread of this disease and recent testing methods that are simple and cost-effective have been developed. Consequently, staff will implement these monitoring methods and develop a management plan that will address appropriate actions should Sudden Oak Death be found in Fairfax County. Part of this management plan will include an outreach component that will educate private and public groups on this disease and its control.

The Asian Long-horned Beetle (*Anoplophora glabripennis*) is an invasive, wood-boring beetle that, like the emerald ash borer, has the potential to have drastic economic and social impacts should it be introduced in Fairfax County. The larvae will infest and kill trees by boring into the heartwood of a tree and disrupting its nutrient flow. Imported into the United States via wood packing material used in shipping, infestations of this insect in or near Chicago, New York, and Boston have been discovered since the mid 1990's. These pests will infest many hardwood tree species but seems to prefer maple species, which are one of the predominant trees in Fairfax County's urban forest ecosystem. According to the United States Forest Service, most of the infestation found in the United States have been identified by tree care professionals and informed homeowners. Consequently, staff recommends development of a management plan to address such monitoring and outreach for this invasive species.

Disease-Carrying Insects Program

West Nile Virus and other mosquito diseases

The West Nile virus (WNV) is transmitted from birds to humans through the bite of infected mosquitoes and continues to be a public health concern. The first sign of the virus in Fairfax County was in 2000 and, to date, 25 human cases have been detected. More recently, two human cases were detected in FY 2011. During this timeframe, two fatalities have occurred—one in FY 2003 and a second in FY 2005.

Inter-jurisdictional cooperation is a key component of the program, allowing for coordination of surveillance and management activities on public lands and with surrounding jurisdictions.

Fund 116

Integrated Pest Management Program

Mosquito and WNV surveillance activities are performed weekly by County staff from May through October. Mosquito surveillance activities also allow the Disease-Carrying Insects Program (DCIP) to monitor for invasive mosquito species. Currently, the DCIP screens mosquito samples for WNV in-house and suspect positive samples are confirmed by another agency. In FY 2012, the Health Department lab began testing mosquito WNV samples via a molecular diagnostic test (Reverse-Transcriptase Polymerase Chain Reaction or RT_PCR). Contracted services have been retained for the more labor-intensive preemptive control activities that require a significant fleet of vehicles and specialized equipment. The County continues to proactively treat the stormwater catch basins in an effort to reduce the population of *Culex* mosquitoes that transmit WNV. Catch basins are treated in three six-week cycles from May through October. Weather conditions are the principal factors that determine the number of catch basins that will be treated any given year. Inspection and larviciding activities are carried out in targeted areas of the County identified as significant mosquito breeding areas.

Lyme diseases and other tick-borne diseases

The bacteria that causes Lyme disease is transmitted from small mammals to humans through the bite of an infected deer tick, also known as the black legged tick (*Ixodes scapularis*), and continues to be a growing public health concern. In Fairfax County, there were 260 human cases of Lyme disease reported in calendar year (CY) 2009, 256 cases in CY 2010, and 126 cases in CY 2011. Other tick-borne diseases reported in Fairfax County are: Spotted Fever Group Rickettsias (six cases in CY 2009, 11 cases in CY 2010, and 19 cases in CY 2011); Ehrlichiosis (one case in CY 2009, six cases in CY 2010, and 12 cases in CY 2011); Q fever (no cases in CY 2009, one case in CY 2010, and no cases in CY 2011); and Anaplasmosis (no cases in CY 2009, one case in CY 2010, and five cases in CY 2011).

The County tick surveillance program was initiated to determine the distribution and infection rate of the bacterium (*Borrelia burgdorferi*) that causes Lyme disease. This pathogen is only transmitted by the deer tick (*Ixodes scapularis*). Contract services have been obtained for the molecular (Polymerase Chain Reaction or PCR) identification of the various pathogens that infect the four human-biting tick species in the County. The DCIP offers a tick identification service for County residents to inform them of the type of tick that had bitten them. In FY 2011, the animal shelter and veterinary clinic component of the tick surveillance system was able to detect the apparent establishment of a different, non-native species of tick in the County (i.e., the Gulf Coast tick, *Amblyomma maculatum*) that is the vector for a bacterium (*Rickettsia parkeri*) causing a spotted fever disease. Following detection, focused efforts are being used to monitor and try to eliminate this population. These programs and services will continue in FY 2013.

In FY 2010, the groundwork was laid for a collaborative three year tick control pilot project between the Police Department and the Health Department's Disease Carrying Insects Program. In the fall of 2010, supplemental feeding stations, known as poster-deer treatment stations, were deployed to two areas of the County. These devices apply an insecticide to the deer while they are feeding, thus killing the ticks that are on the deer. Two test and two control areas are being utilized for a total of four stations in the two areas of the County. Tick surveillance began in 2010 and will continue until the end of the project.

Outreach and Education

The outreach and education component of the DCIP is aimed at increasing residents' awareness of personal protection actions that can be taken against mosquitoes and ticks, and the reduction of mosquitoes, ticks, and mosquito breeding areas on private property. The program continues to produce and distribute outreach material in English, Chinese, Farsi, Korean, Spanish, Urdu and Vietnamese. In FY 2011, the program produced and printed a seventh edition 18-month calendar with complementary captions, facts, figures, important dates, and helpful reminders of things for readers to do around the

Fund 116

Integrated Pest Management Program

home to manage mosquitoes and ticks. In addition, the calendar provides helpful hints to protect residents from mosquito- and tick-borne diseases. General facts, local figures and brief descriptions of the County's efforts were included to educate the public about basic mosquito and tick biology and inform them specifically about mosquitoes, ticks, West Nile virus and Lyme disease in Fairfax County. A third children's book about mosquitoes and ticks entitled What's Bugging You? was printed in late FY 2011. In order to ensure consistency in outreach and educational materials, two five-year contracts were negotiated for layout, design, and artwork for the "Fight the Bite" calendar and the DCIP children's books. The contracts began in FY 2012. An updated mosquito brochure was printed in early FY 2012. All the educational material is available on the County's Web site. Outreach and education materials will be created, updated, and printed as needed in FY 2013.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	12 / 12	12 / 12	12 / 12	12 / 12	12 / 12
Expenditures:					
Personnel Services	\$1,118,677	\$1,195,505	\$1,209,431	\$1,241,236	\$1,256,272
Operating Expenses	951,440	1,827,847	1,898,064	1,827,847	1,827,847
Total Expenditures	\$2,070,117	\$3,023,352	\$3,107,495	\$3,069,083	\$3,084,119

Summary by Program					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Forest Pest Program					
Authorized Positions/Staff Years					
Regular	7 / 7	7 / 7	7 / 7	7 / 7	7 / 7
Expenditures	\$782,838	\$1,061,937	\$1,068,320	\$1,084,635	\$1,091,533
Disease-Carrying Insects Program					
Authorized Positions/Staff Years					
Regular	5 / 5	5 / 5	5 / 5	5 / 5	5 / 5
Expenditures	\$1,287,279	\$1,961,415	\$2,039,175	\$1,984,448	\$1,992,586

Position Summary	
FOREST PEST PROGRAM 1 Urban Forester III 4 Urban Foresters II 1 Administrative Assistant II 1 Information Technology Technician III	DISEASE-CARRYING INSECTS PROGRAM 1 Environmental Health Supervisor 1 Environmental Health Specialist III 2 Environmental Health Specialists II 1 Administrative Assistant III
TOTAL POSITIONS	
12 Positions / 12.0 Staff Years	

Fund 116

Integrated Pest Management Program

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$35,325**
An increase of \$35,325 in Personnel Services reflects \$20,289 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$15,036 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$18,249**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$18,249 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Other Post-Employment Benefits** **\$7,193**
An increase of \$7,193 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Advertised Budget Plan.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the *FY 2011 Carryover Review*, *FY 2012 Third Quarter Review*, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$84,143**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$13,926 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$70,217 in Operating Expenses.

Key Performance Measures

Objectives

- ◆ To control the infestation of gypsy moths, cankerworms, and emerald ash borers through detection and abatement programs so that no more than 1 percent of the County tree cover is defoliated in a given year.

- ◆ To suppress the transmission of West Nile virus from infected mosquitoes to the human population, holding the number of human infections to no more than three.

Fund 116 Integrated Pest Management Program

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Gypsy moth/cankerworm field surveys completed annually in areas known or suspected to be infested	3,200	3,200	3,200 / 3,200	3,200	3,200
Mosquito larvicide treatments of catch basins to control West Nile virus	105,099	109,898	105,000 / 102,754	109,500	110,000
Efficiency:					
Gypsy moth/cankerworm field surveys conducted per staff	800	800	800 / 800	800	800
Disease-carrying insects program cost per capita	\$1.28	\$1.20	\$2.01 / \$1.15	\$1.75	\$1.74
Service Quality:					
Percent of County households in gypsy moth and cankerworm treatment areas notified of abatement efforts	100%	100%	100% / 100%	100%	100%
Percent of targeted catch basin areas treated with mosquito larvicide within the scheduled timeframe	90%	100%	100% / 88%	100%	100%
Outcome:					
Percent of County tree defoliation resulting from gypsy moth and cankerworm infestation	0%	0%	0% / 0%	0%	0%
Confirmed human cases of West Nile virus in Fairfax County, Fairfax City and Falls Church City as reported by the Virginia Department of Health	1	1	1 / 2	1	1

Performance Measurement Results

Forest Pest Program: There was no aerial treatment for the gypsy moth in the spring of FY 2011. Based on field surveys of the gypsy moth population in the fall of 2011, staff estimates no acres will require treatment in the spring of FY 2012. Based on surveys for the cankerworm, no treatment was necessary in the spring of FY 2011, and none is required during the spring of FY 2012. Defoliation surveys for both insects conducted in the summer of 2011 indicated that there were no acres of defoliation in Fairfax County during FY 2011, totaling zero percent.

Disease-Carrying Insects Program (DCIP): The goal of DCIP in FY 2012 is to continue to hold the number of human cases of West Nile virus (WNV) as reported by the Virginia Department of Health to no more than one case, the same goal as in the last fiscal year. In FY 2011, there were two human cases of WNV in the County.

Fund 116

Integrated Pest Management Program

DCIP costs are based on the number of catch basin treatments and other larvicide treatments carried out by a contractor in a given year, as well as education, outreach and surveillance activities carried out in-house by DCIP. Treatment, although dependent on weather conditions, remain relatively constant throughout the years, maintaining a relatively stable program cost. The total DCIP cost per capita was \$1.15 in FY 2011; lower than the budgeted estimate of \$2.01 per capita due to fewer treatments being done than originally budgeted. The actual number of treatments was lower than the estimated number due to unfavorable weather conditions. The estimated cost for FY 2012 provides the capacity for a higher cost per capita; but actual spending will depend on environmental factors, insecticide treatments resulting from larval inspections and surveillance activities, as well as follow-up studies for the evaluation of the outreach program.

The tick surveillance program continues in FY 2012. This program will increase the understanding of the magnitude and breadth of tick-borne diseases in the County. The DCIP has a contract in place to test the ticks for pathogens they may transmit. The increased testing of ticks for pathogens and the four-poster deer treatment pilot project will also impact DCIP cost per capita in future years.

Fund 116 Integrated Pest Management Program

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 116, Integrated Pest
Management Program

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$3,250,878	\$1,782,594	\$3,118,555	\$1,763,376	\$1,763,376
Revenue:					
General Property Taxes	\$1,856,919	\$1,747,860	\$1,747,860	\$1,782,817	\$1,782,817
Interest on Investments	15,875	4,456	4,456	10,600	10,600
State Reimbursement	65,000	0	0	0	0
Total Revenue	\$1,937,794	\$1,752,316	\$1,752,316	\$1,793,417	\$1,793,417
Total Available	\$5,188,672	\$3,534,910	\$4,870,871	\$3,556,793	\$3,556,793
Expenditures:					
Forest Pest Program	\$782,838	\$1,061,937	\$1,068,320	\$1,084,635	\$1,091,533
Disease-Carrying Insects Program	1,287,279	1,961,415	2,039,175	1,984,448	1,992,586
Total Expenditures	\$2,070,117	\$3,023,352	\$3,107,495	\$3,069,083	\$3,084,119
Total Disbursements	\$2,070,117	\$3,023,352	\$3,107,495	\$3,069,083	\$3,084,119
Ending Balance¹	\$3,118,555	\$511,558	\$1,763,376	\$487,710	\$472,674
Tax Rate Per \$100 of Assessed Value	\$0.001	\$0.001	\$0.001	\$0.001	\$0.001

¹ Due to the cyclical nature of pest populations, the treatment requirements supported by this fund may fluctuate from year to year. Therefore, Ending Balances may also fluctuate depending on the level of treatment necessary to suppress gypsy moth, cankerworm, emerald ash borer or West Nile Virus - carrying mosquito populations in a given year.

Fund 118

Consolidated Community Funding Pool

Mission

To provide a pool of funds to be awarded on a competitive basis for human service programs offered by community-based agencies. The Department of Neighborhood and Community Services (DNCS) and Department of Administration for Human Services (DAHS) have oversight responsibility for this funding pool.

Focus

The formation of the Consolidated Community Funding Pool (CCFP) began in FY 1997, when the Board of Supervisors approved the development and implementation of a competitive funding process to fund services best provided by community-based agencies and organizations. These organizations were formerly funded through either a contribution or through a contract with an individual County agency. In accordance with the Board's direction, this process was operational in FY 1998 and was guided by the following goals:

- ◆ Provide support for services that are an integral part of the County's vision and strategic plan for human services;
- ◆ Serve as a catalyst to community-based agencies, both large and small, to provide services and leverage resources;
- ◆ Strengthen the community's capacity to provide human services to individuals and families in need through effective and efficient use of resources; and
- ◆ Help build public/private partnerships and improve coordination, especially within the human services regions of the County.

Fund 118 was established in FY 1998 to provide a budget mechanism for this funding process. In FY 2000, Community Development Block Grant (CDBG) funding for community-based organizations was incorporated to form the CCFP.

Prior to FY 2000, the CCFP grant process and the CDBG process were similar activities that operated under different time frames, with separate application requirements and different evaluation criteria. With the December 1997 approval of the Board of Supervisors, these two processes were merged under the title of Consolidated Community Funding Pool. The CCFP is funded from federal CDBG funds for Targeted Public Services and Affordable Housing; federal Community Services Block Grant (CSBG) funds; and local Fairfax County General Funds. Although the process for setting priorities and awarding funds has been consolidated, Fund 118 contains only the local Fairfax County General Fund and CSBG portion of the funds. The federal CDBG funds remain in Fund 142, Community Development Block Grant, for grant accounting purposes. It should also be noted that the CSBG funding is not detailed separately from the General Fund Transfer.

The CCFP process reflects significant strides to improve services to County residents and to usher in a new era of strengthened relations between the County and community nonprofit and faith-based organizations. First, all programs funded through this process are required to develop and track program outcome measures. To aid agencies in meeting this requirement, the County has provided several performance measurement training opportunities for staff and volunteers from all interested community-based agencies. Second, the criteria used to evaluate the proposals explicitly encourage

Fund 118

Consolidated Community Funding Pool

agencies to leverage County funding through strategies such as cash match from other non-County sources, in-kind services from volunteers or contributions from the business community and others. Third, the criteria encourage agencies to develop approaches which build community capacity as well as those that involve residents and the individuals and families in the neighborhoods being served. Fourth, the County facilitates interactions between community-based organizations, the business community, the local community and County staff with the goal of strengthening the community's capacity to provide ongoing services to meet the needs of County residents and to support the development of potential CCFP applicant organizations.

FY 2013 initiatives:

- ◆ Continue provision and coordination of relevant training and technical assistance to build community and organizational capacity and expand service delivery to meet the County's human services needs.
- ◆ Continue provision of contract oversight, which includes program activities, service delivery, contractual compliance and financial management to nonprofit recipients of CCFP funds.
- ◆ Promote approaches which build community capacity, leadership and the involvement of residents by, where feasible, serving the population in targeted communities.
- ◆ Review documented service needs and demographic trends while continuing to gather relevant information from public meetings, reports and studies, as well as data from County and nonprofit human service agencies to assist in the identification of service needs and development of future funding priorities.

The Consolidated Community Funding Advisory Committee (CCFAC) has organized the FY 2013/FY 2014 funding priorities according to four areas, and adopted corresponding outcome statements. The CCFAC also recommended, and the Board approved, target percentage ranges for each priority area, which are intended to be used as guidelines for applicants and for the Selection Advisory Committee.

Priority Area	Outcome Statement	Target
Prevention	Families and individuals get help to remain independent and have the tools and resources to prevent future dependence. Communities increase their ability to support their members in preventing dependence.	10 -- 20%
Crisis Intervention	Individuals, families, or communities in crisis overcome short-term problems (generally not more than three months) and quickly move back to independence.	15 -- 25%
Self-Sufficiency	Families, individuals, neighborhoods, and communities attain self-sufficiency over a period of three months to three years.	45 -- 55%

Fund 118 Consolidated Community Funding Pool

Priority Area	Outcome Statement	Target
Long Term Supportive Services	Individuals who have continuing long-term needs and who therefore may not become self-sufficient, achieve and/ or maintain healthy, safe and independent lives to the maximum extent possible.	10 -- 20%

The Department of Neighborhood and Community Services and Department of Administration for Human Services have administrative oversight responsibility for the CCFP. Together with the Fairfax County Department of Housing and Community Development, Department of Family Services, and the Office to Prevent and End Homelessness, they are responsible for planning, implementing and overseeing all facets of the CCFP process, including monitoring contract compliance among the funded nonprofit providers.

Recognizing the need for the critical services provided by CCFP contractors to the community, families, and individuals, particularly in the current economic climate, the Board of Supervisors approved an increase in the FY 2013 General Fund transfer of \$897,068, or 10 percent, to \$9,867,755. The Fund 142, CDBG amount of \$1,775,579 is based on the official FY 2013 allocation from the U. S. Department of Housing and Urban Development and reallocated prior year funds. Thus, the total CCFP FY 2013 funding level is \$11,643,334, and a breakdown of this funding is shown in the following table:

Funding Source	FY 2013 Adopted Budget
General Fund Transfer (includes estimated CSBG revenue to General Fund)	\$9,867,755
CDBG (shown in Fund 142, CDBG)	\$1,775,579
Total CCFP	\$11,643,334

In addition, as part of the Board of Supervisors' Budget Guidance, given the significant changes in Human Services since the CCFP's inception nearly 15 years ago, the CCFP will work with the CCFAC and representatives from the nonprofit community to review the current CCFP funding framework, practices and procedures to ensure they support the strategic human services outcomes and to ensure the County is leveraging community resources for the maximum collective impact on communities and those individuals and families most at risk. Recommended changes will be presented to the Board prior to the start of the next funding cycle in FY 2015.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$8,871,622	\$8,970,687	\$9,253,396	\$9,419,221	\$9,867,755
Total Expenditures	\$8,871,622	\$8,970,687	\$9,253,396	\$9,419,221	\$9,867,755

Fund 118

Consolidated Community Funding Pool

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Performance and Leverage Requirements** **\$897,068**
 An increase of \$897,068, or 10 percent, in the General Fund Transfer is associated with performance and leverage requirements for nonprofit organizations, and provides additional funding to community organizations to meet human service needs in the County.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$282,709**
 As part of the FY 2011 Carryover Review, the Board of Supervisors approved encumbered funding of \$282,709 in Operating Expenses to complete and settle all FY 2011 contracts.

Projected Allocations

The FY 2013 Adopted Budget Plan shows the funding allocations for Fund 118, Consolidated Community Funding Pool (CCFP) and the funding distribution for the Community Development Block Grant (CDBG), Fund 142. The following chart summarizes the projected FY 2013 funding awards (the first year of a new two-year cycle) from the Consolidated Community Funding Pool as recommended by the CCFP Citizen Selection Advisory Committee and as approved by the Board of Supervisors on May 1, 2012.

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
ACE Foundation	Education for Independence	Workforce development program for low-income single parents, single pregnant women and displaced homemakers to attain self-sufficiency.	\$70,323	
The Alliance for the Physically Disabled	APD Housing Administration	Housing with personal assistance services to care for low-income adults with severe physical disabilities.	\$50,000	
Alternative House	Assisting Young Mothers	Transitional housing, basic life skills training, employment counseling, case management services and parenting skills services to young women between the ages of 18 and 21.	\$41,000	
Alternative House	Annandale Safe Youth Project	Safe activities for youth including tutoring, computer training, recreational opportunities, substance abuse prevention groups, and counseling.	\$53,500	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Alternative House	Culmore Safe Youth Project	Tutoring, computer training, individual and group counseling and recreational activities to at-risk youth.	\$59,200	
Alternative House	Culmore Youth Outreach Program	Counseling, homework assistance, supervised recreation, and job training services to at-risk youth.	\$85,000	
Alternative House	Homeless Youth Initiative	Provides rental assistance and other supportive services to youth enrolled in Fairfax County high schools that are identified as homeless and do not have the support of a parent or guardian.	\$124,600	
Alzheimer's Family Day	Wraparound Family Caregiver Support Program	Support family caregivers of adults with Alzheimer's disease (and related dementia) by providing services that complement the adult day health care that is provided to individuals with dementia.	\$80,000	
Annandale Christian Community for Action (ACCA)	Basic Needs: Emergency Financial Assistance & Furniture	The program provides financial assistance for rent and security deposits, prescription drugs, utility bills, medical services and miscellaneous needs (e.g. gasoline, car repair).	\$68,000	
ACCA	Nutrition/Hygiene	Emergency household needs to low-income individuals and families.	\$27,700	
Ayuda	Children's Program	Provides immigrant children legal and social services, including legal advice and counsel; representation in related immigration and protection order matters; securing emergency shelter; assistance in accessing transitional housing; and referrals to activities such as counseling and medical care, ESL and computer classes, and job training programs.	\$108,000	
Beth El House, Inc.	Beth El House	Mental health, health and social services for mothers and children in transitional housing.	\$30,000	
Bethany House of Northern Virginia	Family Assistance Program	Provide housing and continuum of care to women and children made homeless as a result of domestic violence.	\$140,000	
Big Brothers Big Sisters of the National Capital Area	Hermanos y Hermanas Mayores Latino Outreach Initiative	Mentoring services for at-risk Latino youth.	\$110,000	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Boat People SOS	Asian Youth Empowerment	Provides a safe, adult supervised environment to prevent youth gang recruitment after school.	\$59,000	
The Brain Foundation	Laura's House	Acquisition of two 4 bedroom townhomes which will be rented at affordable rents to extremely low income individuals with mental illness.		\$200,000
Brain Injury Services	Assistive Technology Program: Supporting Fairfax County Residents With a Brain Injury	An assistive technology program for survivors of brain injury to help overcome barriers in the workplace, community and at home leading to independence.	\$77,000	
Brain Injury Services	Seniors Specialist Program: Supporting Fairfax County Residents With a Brain Injury	Provide specialized case management services to older adults with brain injuries, including information, referrals, education programs and long-term rehabilitation support services to maximize recovery and re-integration back to the community.	\$80,000	
Business Development Assistance Group, Inc.	Access to Self Sufficiency through Extensive Training and Services (ASSETS)	Assistance and training for those who want to become self-sufficient through business ownership.	\$46,000	
Catholics for Housing	Virginia Ely Senior Rental Assistance	Rental assistance to low income seniors.	\$172,323	
Christian Relief Services, Inc.	Homes for the Homeless Transition Housing Program	Support services to individuals and families in transitional housing.	\$5,323	\$106,000
Community Havens, Inc.	Housing for Community Service Board's Jail Diversion Program	The program will purchase and renovate two, two-bedroom condominiums and rent to clients enrolled in the Community Service Board's (CSB) Jail Diversion program. The clients, who face incarceration due to infractions caused by mental health conditions, will be diverted from jail into permanent supportive housing and recovery programs that advance their abilities to manage their illnesses and live independently in the community.		\$120,446

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Community Preservation & Development Corporation	Island Walk After School Support Program (IWP)	Home support systems to increase literacy and academic skills. The program will provide three educational components: intensive reading tutorial program, homework support for younger children (grades 1-6) and homework support for youth in grades 7-12.	\$84,000	
Community Residencies, Inc.	Lifestyles for People with Disabilities	Comprehensive wellness program utilizing consumer empowerment to promote the adoption of a holistically healthy lifestyle for individual adults with intellectual disabilities.	\$48,073	
Computer CORE	Jobs Skills Training-Computer Literacy & Educational Pathways	Assist low-income adults acquire the technological and life skills to enable them to pursue career aspirations.	\$32,000	
Ecumenical Community Helping Others, Inc.	Emergency Needs Assistance	Emergency financial assistance to cover utility bills to avert cut-off of services and rental/mortgage expenses to avoid eviction.	\$50,000	
Fairfax Area Christian Emergency Transitional Services (FACETS)	Emergency Services/Supportive Housing	Offers homeless and shelter housing, intensive case management, basic needs assistance (e.g. hot meals, food and clothing) and services to increase self-sufficiency (e.g. computer access, transportation and referrals).	\$128,000	
Fairfax Court Appointed Special Advocates (CASA), Inc.	Advocating in Court for the Best Interests of Children in Crisis due to Abuse and Neglect	Program of citizen volunteers who serve as Court Appointed Special Advocates for children who are placed under the Court's supervision. The CASA volunteers will conduct independent investigations, monitor each assigned case, write and submit a written report to the judge hearing the case, provide recommendations regarding the child's best interest and assist the legal representative for each case.	\$213,323	
Fairfax Law Foundation	Northern Virginia Pro Bono Law Center	Pro bono civil legal services to low-income families and individuals to promote self-sufficiency.	\$52,400	
Falls Church Community Service Council, Inc.	Emergency Assistance	Emergency financial assistance (e.g. rent, utilities, medicines, gas, transportation) offered to families and individuals having financial difficulties.	\$69,000	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Falls Church-McLean Children's Center	Successful Start	Comprehensive childhood program.	\$60,000	
Family Preservation & Strengthening Services	Family Stabilization & Self-Sufficiency	Intensive case management and rental assistance.	\$85,000	
Food & Friends	Home Delivered Food & Nutrition Counseling	Free home delivered meals, groceries and nutritional counseling to individuals suffering from life threatening illnesses.	\$30,000	
Food for Others	Food for Others/Fairfax	Emergency food services.	\$140,000	
Friends of Guest House	Residential, Aftercare, & Outreach Programs	Transitional residence for female ex-offenders and support services to help transition from prison life.	\$43,323	
Good Shepherd Housing & Family Services, Inc.	Mt. Vernon Village VI	This project provides for the acquisition of four housing units (two will be acquired in FY 2013 and two more in FY 2014) to provide affordable rental housing for very low-income households in Region 1.		\$310,000
Good Shepherd Housing & Family Services, Inc.	Emergency Services-Keeping Families at Home	Counseling and grants to allow clients in short-term crises to avoid eviction or utility disconnection.	\$3,000	\$56,900
Good Shepherd Housing & Family Services, Inc.	Homes for the Working Poor, Elderly, & Disabled	Emergency assistance, rental and homeless transition services.	\$9,490	\$279,733
Goodwill of Greater Washington	Career Navigation-Fairfax	An intensive three-week employment readiness program that helps the unemployed improve their job search and employability skills. Emphasis is focused on customer service skills to develop a general skill set that will appeal to a wide variety of employers.	\$50,000	
GRACE Ministries of the UMC	Integrated Immigrant Services Program	Emergency assistance through distribution of food, clothing, health screenings and health resource information, job training, and job skills training in the areas of personal care aide training and commercial driver's license training.	\$57,700	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Helping Children Worldwide	Connections for Hope/HOST Region 3	Access to multiple services by providing space for several organizations to co-locate for easier access to services. Services will include health care, ESL, legal services, computer training, services to prevent and end homelessness, and remedial homework assistance.	\$115,323	
Herndon-Reston FISH, Inc.	Family Assistance Prevention/Crisis Intervention	Provides emergency financial assistance for rent/mortgage and utilities to individuals who are experiencing a crisis; transportation services to assist elderly and disabled persons to go to their medical appointments; holiday food and gift boxes; and financial literacy workshops to develop the skills necessary to manage household budgets.	\$112,000	
Hispanic Committee of Virginia	Crisis Intervention & Self-Sufficiency	Employment counseling and education training in the areas of financial literacy, homeownership, micro-enterprise development, ESL, citizenship and after-school mentoring.	\$334,382	
Homestretch, Inc.	Housing for Homeless Families	The program will provide subsidized housing and support services (e.g. case management, employment counseling and budget counseling, life skills training, child enrichment and community service links) to homeless families.	\$329,323	
Homestretch, Inc.	ADDRESS - Aggressive Dynamic Debt Reduction Elimination & Savings Strategies	Financial education to help homeless families become self-sufficient.	\$35,000	
Housing & Community Service of Northern Virginia	Case Management/Housing Counseling	Housing counseling and case management service to low income individuals and families.	\$132,638	
Infant Toddler Family Day	Family Child Care Educator Training & Workforce Development	Assist emerging low-income immigrants develop the skills needed to become childcare providers.	\$73,000	
James Mott Community Assistance Program	Services for Crisis Intervention & Self Sufficiency Program	Emergency assistance with food, rent and utilities.	\$181,200	

Fund 118 Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Jeanie Schmidt Free Clinic	Screen, Treat, Educate, Program (STEP)	Healthcare services to uninsured, low-income individuals in the Reston-Herndon area.	\$108,000	
Jewish Community Center of Northern Virginia	Camp Shalom	Summer camp sessions for children in grades 1-6 with a variety of communication issues, including Autism Spectrum Disorders, Down Syndrome, and ADHD.	\$25,000	
Just Neighbors Ministry	Immigration Legal Services	Immigration-related legal services.	\$74,000	
Koinonia Foundation, Inc.	Emergency Relief Services	Financial assistance for rental and utility expenses in South County.	\$30,000	
Korean Community Service Center of Greater Washington	Mental Health Resource Project	Comprehensive range of direct services aimed at helping Asian individuals/families improve their health and mental health status.	\$55,323	
The Lamb Center	Case Management	The center provides case management, food and personal hygiene services to homeless single adults. Various counseling services, job placement assistance and subsidized transportation cost are also offered once basic needs are met.	\$55,323	
Legal Aid Justice Center	Legal Assistance for Immigrants-Employment	The program provides legal assistance, education and advocacy to assist low wage immigrant workers in preserving their rights in the workplace.	\$100,000	
Legal Services of Northern Virginia	Legal Aid- Housing & Employment	Legal services in the areas of housing and employment.	\$158,000	
Legal Services of Northern Virginia	Legal-Aid Families & Consumers	Legal services to low-income families and individuals.	\$438,500	
Legal Services of Northern Virginia	Legal Aid - Access to Justice Route 1	Free civil legal services to low-income families and individuals with a focus on family and housing issues.	\$100,000	
Legal Services of Northern Virginia	Legal Aid-Immigrant Law Project	Legal information, outreach, legal advice and representation to immigrants.	\$56,000	
Literacy Council of Northern Virginia	Adult Basic Literacy/ESOL Tutoring & Classroom Programs	Beginning-level literacy and English language adult education.	\$91,400	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Lorton Community Action Center	Self Sufficiency	Self-sufficiency case management and basic needs assistance services.	\$46,200	
Lorton Community Action Center	Crisis Intervention	Basic needs to the low-income residents of the Lorton area.	\$57,000	
Lorton Community Action Center	Long Term Supportive Services	The program will offer food, clothing, furniture/household items, medical and prescription assistance, seasonal holiday assistance and direct financial assistance (rent/utility) to elderly and disabled residents of the Lorton area.	\$30,000	
Lutheran Social Services of the NCA	Refugee Self-Sufficiency Program	Intensive case management and employment services to low-income refugee families with unemployed heads of households who are homeless or threatened with homelessness.	\$59,999	
New Hope Housing, Inc.	Stable Long-Term Housing for Chronically Homeless Adults	Community case management for chronically homeless adults in Region 1 and the city of Falls Church.	\$10,323	\$71,000
Northern Virginia AIDS Ministry	HIV/AIDS Prevention Education for Youth	Train youth to serve as HIV Prevention Educators for their peers and provide outreach through prevention peer education training, skill building workshops, and community outreach.	\$47,390	
Northern Virginia AIDS Ministry	Medical Transportation Support Services	Transportation and emergency financial assistance for persons infected with AIDS.	\$22,600	
Northern Virginia Community College Educational Foundation	Adult Career Pathways	The program will connect unemployed/under-employed workers and work-eligible immigrants with career counselors/coaches who will assist them in developing an education or training plan to earn a college degree, certification or other credential that may help them to qualify for and secure employment with a path toward advancement and greater economic security.	\$100,000	
Northern Virginia Community College Educational Foundation	NOVA Restorative Dental Clinic	Routine and restorative dental care services.	\$75,000	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Northern Virginia Dental Clinic, Inc.	Northern Virginia Dental Clinic	Oral health care services to low-income and uninsured adults.	\$99,800	
Northern Virginia Family Service (NVFS)	Multicultural Human Services	The program provides mental health and social service programs to English-limited immigrant and refugee individuals and families in Fairfax County, for whom existing services are inaccessible due to language and cultural barriers, an overburdened workforce, or fees that are not affordable. Services include the provision of direct assistance; case management; individual, family and group therapy; psychiatric evaluations; medication management; and legal immigration services.	\$379,323	
NVFS	Violence Prevention & Intervention Program	The program proposes to provide holistic neighborhood-based school connected services to immigrant youth and their families. Services will include case management, individual and family therapeutic counseling, intensive group-based services for parents and children, youth skill building workshops, youth and family mentoring, and a summer transition program for youth moving on to middle and/or high school.	\$205,000	
NVFS	Training Futures	Skills training to low-income adults.	\$135,000	
NVFS	Fairfax Accessible Medication Program	Intensive targeted assistance in applying to pharmaceutical companies for free, ongoing medication for chronic illnesses.	\$38,000	
NVFS	Adult Health Direct Assistance	Financial assistance with medical costs and information referral to affordable medical and dental services.	\$20,000	
Northern Virginia Urban League	Fairfax Resource Mothers	Pre- and post-natal intervention support services to teens at risk of delivering infants with low birth weight.	\$208,323	
OAR of Fairfax County, Inc.	Challenge to Change	Employment skills training, mentoring, counseling and family assistance services for incarcerated individuals.	\$684,323	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Our Daily Bread	Family Assistance	Three service components including food assistance, financial assistance and financial education to assist low-income families who are experiencing financial crises.	\$200,000	
Pathway Homes, Inc.	Pathways to Self-Sufficiency	Housing, case management and supportive services to persons with serious mental illness and/or dual diagnosed who are at risk of chronic homelessness.	\$125,000	
Pathway Homes, Inc.	Pathways Long Term Supportive Services	Provides long-term supportive services to high-risk individuals that face the challenges of chronic homelessness, serious mental illness and/or co-occurring disabilities. The program will provide non-time limited housing, case management and intensive supportive services to integrate these individuals into the community and normalize their lives as much as possible.	\$70,000	
Progreso Hispano	Adult ESL & Citizenship Program	The program proposes to provide ESL and citizenship classes to immigrants, refugees and migrants through its Adult ESL & Citizenship program. In addition to ESL and citizenship classes, the program will operate a computer lab with online ESL courses, practice exams for citizenship, employment search, employment applications and the social media.	\$50,000	
PRS, Inc.	Project HOPE	The program provides long-term supportive counseling and employment services to individuals living with mental illness, helping clients to increase their independent living skills, learn to effectively manage symptoms of mental illness and medication, as well as learn to manage their budgets in order to meet their monthly expenses.	\$70,323	
Rebuilding Together Arlington/Fairfax/Falls Church	Volunteer Home Repair Program	The program organizes volunteers to make critical repairs at no cost to low-income homeowners and nonprofit organizations, such as transitional housing providers.	\$50,000	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Residential Youth Services, Inc.	Living Independently for Tomorrow (LIFT)	Provides transitional housing services to homeless and at-risk youth between the ages of 17-21. The program will serve runaway youth as well as youth who are aging out of the foster care system by providing life skills training, goal setting and linkages to community resources.	\$60,000	
Reston Interfaith Housing Corporation	RIHC Affordable Housing Acquisition Program	The agency will acquire and preserve four townhomes in the Reston/Herndon area (two will be acquired in FY 2013 and two more in FY 2014). The town homes will be upgraded and incorporated into RIHC's ongoing housing program to provide affordable housing to low income families.		\$481,000
Reston Interfaith, Inc.	RI Affordable Housing Administration	Manages and maintains affordable housing units while integrating comprehensive social services that assist clients in progressing towards self-sufficiency over a 3 month to 3 year period.	\$190,323	
Reston Interfaith, Inc.	Emergency & Self-Sufficiency Services Program	Emergency and supplemental food donations, financial assistance, case management, clothing, and holiday food baskets/gifts.	\$234,000	
Reston Interfaith, Inc.	Herndon Enrichment Program	Basic math, reading, and writing skills.	\$24,200	
Reston Interfaith, Inc.	Cedar Ridge Community Center	Low- and moderate-income housing management and social service assistance services.	\$66,000	
Stop Child Abuse Now of Northern Virginia	Padres Unidos & Parent Cafes	Provides parent support group sessions and workshops to low-income Spanish speaking parents that include a trained facilitator who will offer a four-part educational workshop series on topics with a goal of improving adult-child relationships within their household.	\$21,000	
ServiceSource, Inc.	Laurie Mitchell Employment Center-TEC 2000	Information technology training to assist people with disabilities.	\$72,000	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
Shelter House, Inc.	Artemis House	A domestic violence shelter providing a crisis hotline, crisis intervention, crisis shelter, safety planning, immediate basic needs assistance, and comprehensive service planning and case management to help clients cultivate self-sufficiency and prepare for a transition to safe and stable housing.	\$54,000	
Shelter House, Inc.	Community Case Management Program	The program helps vulnerable clients develop a plan for housing stability and increased self-sufficiency as well as accessing financial assistance and linking clients with available community supports.	\$60,323	
Shepherd Center of Fairfax-Burke	Project Independence: Helping Fairfax-Burke Seniors Age in Place	Supportive services to seniors, including companion shopping, accompanied medical transportation, outreach to low-income seniors, enrichment and socialization activities, exercise classes and informal caregiver programs.	\$41,323	
SkillSource Group, Inc.	SkillSource - Sheriff Employment Center	Employment assistance to inmates to help them gain and retain employment before and after their release.	\$60,000	
Tahirih Justice Center	Protecting Vulnerable Immigrant Women & Girls fleeing Gender-Based Violence	Pro bono legal representation to women and girls fleeing gender-based violence.	\$53,323	
Town of Herndon	Bilingual Housing Rehabilitation Specialist	Housing rehabilitation and neighborhood improvement for low-income individuals with limited English skills.	\$5,323	\$85,500
United Community Ministries	Basic Needs	The program will provide emergency food assistance, emergency financial assistance to help families avoid eviction and/or utility disconnection, information and referral services as well as linkages to other community based resources.	\$135,000	

Fund 118

Consolidated Community Funding Pool

Organization	Program Name	Description	Fund 118 Award	Fund 142 Award
United Community Ministries	Bryant Early Learning Center (BEL)	Childcare, quarterly service plans, health and developmental screenings, kindergarten readiness assessments, parent workshops, advisory council meetings, multicultural programming, field trips and special events, music and movement instruction and linkages to needed resources.	\$95,000	
United Community Ministries	Forward Steps: A Youth Development Program	Provide after-school homework assistance, tutoring, leadership training, enrichment activities and parent involvement activities to children and youth in grades K-12.	\$55,323	
United Community Ministries	Workforce Development Center	Job development and computer training, as well as job placement.	\$295,323	
Vietnamese Resettlement Association	Self-sufficiency through Health, Housing & Social Services	Self-sufficiency for low income Asians with limited English by providing skills, counseling, education and support.	\$62,000	
Volunteers of America Chesapeake	Bailey's Supportive Housing Program	Place chronically homeless individuals with mental illness into permanent housing with access to ongoing case management designed to strengthen their ability to lead an independent, secure life.	\$18,000	
Wesley Housing Development Corporation	Building for the Future	Self-sufficiency and basic needs through computer skills training.		\$65,000
Wesley Housing Development Corporation	Promising Futures	Computer skills training to low-income individuals and families.	\$42,000	
Western Fairfax Christian Ministries	Client Services	Emergency financial assistance to help with rent/mortgage payments to prevent homelessness and assist with utility payments.	\$150,000	
The Women's Center	Reduced/No-Charge Mental Health	To assist clients in psychological crisis with resolving immediate mental health symptoms and regain emotional resilience, thereby enabling them to attain self-sufficiency over a period of three months to three years.	\$43,000	
Total FY 2013 Award			\$9,867,755	\$1,775,579

Fund 118

Consolidated Community Funding Pool

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 118, Consolidated Community
Funding Pool

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$183,644	\$0	\$282,709	\$0	\$0
Transfer In:					
General Fund (001)	\$8,970,687	\$8,970,687	\$8,970,687	\$9,419,221	\$9,867,755
Total Transfer In	\$8,970,687	\$8,970,687	\$8,970,687	\$9,419,221	\$9,867,755
Total Available	\$9,154,331	\$8,970,687	\$9,253,396	\$9,419,221	\$9,867,755
Expenditures:					
Operating Expenses	\$8,871,622	\$8,970,687	\$9,253,396	\$9,419,221	\$9,867,755
Total Expenditures	\$8,871,622	\$8,970,687	\$9,253,396	\$9,419,221	\$9,867,755
Total Disbursements	\$8,871,622	\$8,970,687	\$9,253,396	\$9,419,221	\$9,867,755
Ending Balance¹	\$282,709	\$0	\$0	\$0	\$0

¹The FY 2012 Ending Balance decreases by more than 10 percent due to the projected expenditure of carryover funds to complete and settle all Consolidated Community Funding Pool (CCFP) contracts.

Fund 119

Contributory Fund

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$12,001,932	\$12,212,942	\$14,819,042	\$15,623,588	\$15,733,588
Total Expenditures	\$12,001,932	\$12,212,942	\$14,819,042	\$15,623,588	\$15,733,588

Contributory Overview

Fund 119, Contributory Fund, was established in FY 2001 to reflect General Fund support for agencies or organizations that receive County contributions. FY 2013 funding totals \$15,733,588 and reflects an increase of \$3,520,646 or 28.8 percent over the FY 2012 Adopted Budget Plan funding level of \$12,212,942. The required Transfer In from the General Fund is \$15,683,588. Individual contributions are described in detail on the following pages.

Contributory funding is in compliance with the Board of Supervisors' policy to make General Fund appropriations of specified amounts to various nonsectarian, nonprofit or quasi-governmental entities for the purpose of promoting the general health and welfare of the community. Contributory agency positions are not part of the County merit system and funding for all contributory agencies is reviewed annually. Each request is reviewed on the basis of the benefit to Fairfax County citizens, contractual or regional commitments, the responsibilities of state agencies, and a prior County commitment of funding. When appropriate, a nonprofit agency that provides specific contractual partnership services may be referred to Fund 118, Consolidated Community Funding Pool, for funding consideration by the Consolidated Community Funding Advisory Committee.

Since public funds are being appropriated, disbursements provided to designated agencies are currently made contingent upon submission and review of quarterly, semiannual and/or annual reports. This oversight activity includes reporting requirements prescribed by the County Executive, requiring designated agencies to accurately describe the level and quality of services provided to County residents, as well as the overall financial strength and stability of the County's contributory agencies. Various County agencies may be tasked with oversight of program reporting requirements. Contributory agencies that do not file reports as requested, may, at the discretion of the County Executive, have payments withheld until appropriate reports are filed and reviewed.

It should be noted that population is used by several of the organizations as the basis for their requests for FY 2013 funding from Fairfax County. The population figures cited by the individual organizations for Fairfax County may differ somewhat from one another due to the particular projection service utilized.

The chart on the following pages summarizes the FY 2013 funding for the various contributory organizations.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Legislative-Executive Functions/Central Service Agencies:					
Alliance for Innovation	\$6,000	\$6,000	\$6,000	\$6,000	\$6,000
Dulles Area Transportation Association	9,000	9,000	9,000	9,000	9,000
Metropolitan Washington Council of Governments	854,641	889,890	889,890	899,965	899,965
National Association of Counties	19,049	19,049	19,049	19,049	19,049
Northern Virginia Regional Commission	556,297	568,534	593,534	623,862	623,862
Northern Virginia Transportation Commission	186,288	174,499	174,499	169,504	169,504
Virginia Association of Counties	228,024	227,208	227,208	242,740	242,740
Virginia Institute of Government	20,000	20,000	20,000	20,000	20,000
Washington Airports Task Force	32,704	50,000	50,000	50,000	50,000
Subtotal Legislative-Executive	\$1,912,003	\$1,964,180	\$1,989,180	\$2,040,120	\$2,040,120
Public Safety:					
Fairfax Partnership For Youth	\$40,375	\$40,375	\$40,375	\$40,350	\$40,350
NOVARIS	9,577	14,677	14,677	9,577	9,577
Subtotal Public Safety	\$49,952	\$55,052	\$55,052	\$49,927	\$49,927
Health and Welfare:					
GMU Law and Mental Illness Clinic	\$51,678	\$0	\$0	\$0	\$0
Health Systems Agency of Northern Virginia	86,750	86,750	86,750	108,200	108,200
Medical Care for Children	237,000	237,000	237,000	237,000	237,000
Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence	1,847,761	2,165,918	2,215,918	2,447,789	2,447,789
Volunteer Fairfax	305,247	305,247	305,247	305,247	305,247
Subtotal Health and Welfare	\$2,528,436	\$2,794,915	\$2,844,915	\$3,098,236	\$3,098,236
Parks, Recreation and Cultural:					
Arts Council of Fairfax County	\$181,694	\$231,694	\$231,694	\$231,694	\$281,694
Arts Council of Fairfax County - Arts Groups Grants	96,900	96,900	96,900	96,900	96,900
Challenge Grant Funding Pool for the Arts	444,125	444,125	444,125	444,125	444,125
Dulles Air and Space Museum	100,000	100,000	100,000	100,000	100,000
Fairfax Symphony Orchestra	236,032	236,032	236,032	236,032	261,032
Fort Belvoir Army Museum	100,000	100,000	100,000	100,000	100,000
Lorton Arts Foundation	1,000,000	750,000	2,950,000	3,350,000	3,350,000
Northern Virginia Regional Park Authority	1,979,537	1,979,537	1,979,537	1,979,537	1,979,537
Reston Historic Trust	16,150	16,150	16,150	16,150	16,150
Town of Herndon	0	40,000	40,000	40,000	40,000
Town of Vienna Teen Center	32,300	32,300	32,300	32,300	32,300
Wolf Trap Foundation for the Performing Arts	100,938	100,938	100,938	100,938	125,938
Subtotal Parks, Recreation & Cultural	\$4,287,676	\$4,127,676	\$6,327,676	\$6,727,676	\$6,827,676

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Community Development:					
Architectural Review Board	\$2,826	\$2,826	\$2,826	\$2,826	\$2,826
Center for Chesapeake Communities	29,070	29,070	29,070	0	0
Commission for Women	6,916	6,916	6,916	6,916	6,916
Convention and Visitors Corporation	2,378,965	2,426,544	2,507,644	2,608,344	2,608,344
Earth Sangha	16,150	16,150	16,150	16,150	16,150
Fairfax 2015 World Police and Fire Games	0	0	250,000	250,000	250,000
Fairfax County History Commission	21,013	21,013	21,013	21,013	21,013
Fairfax ReLeaf	41,990	41,990	41,990	41,990	41,990
Greater Reston Incubator	24,225	24,225	24,225	24,225	24,225
Northern Virginia 4-H Education Center	0	0	0	0	10,000
Northern Virginia Community College	90,181	89,856	89,856	90,636	90,636
Northern Virginia Conservation Trust	227,753	227,753	227,753	227,753	227,753
OpenDoor Housing Fund	31,776	31,776	31,776	31,776	31,776
Southeast Fairfax Development Corporation	183,320	183,320	183,320	183,320	183,320
VPI/UVA Education Center	50,000	50,000	50,000	50,000	50,000
Women's Center of Northern Virginia	27,023	27,023	27,023	27,023	27,023
Subtotal Community Development	\$3,131,208	\$3,178,462	\$3,509,562	\$3,581,972	\$3,591,972
Nondepartmental:					
Employee Advisory Council	\$0	\$0	\$0	\$33,000	\$33,000
Fairfax Public Law Library	92,657	92,657	92,657	92,657	92,657
Subtotal Nondepartmental	\$92,657	\$92,657	\$92,657	\$125,657	\$125,657
Total County Contributions	\$12,001,932	\$12,212,942	\$14,819,042	\$15,623,588	\$15,733,588

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

◆ **FY 2013 Baseline Adjustments** **\$3,520,646**

A net increase of \$3,520,646 reflects adjustments associated with contributions based on legal requirements, per capita calculations, contractual or regional commitments or based on membership dues. The following summaries describe these adjustments in more detail by program area.

The **Legislative-Executive Functions/Central Service Agencies** program area increases \$75,940 or 3.9 percent for several organizations based on per capita requirements and adjusted County population figures for which population is cited and used in the calculation. This increase includes \$55,328 or 9.7 percent for the Northern Virginia Regional Commission (NVRC) due to an increase in County population and an increase in the FY 2013 per capita rate, \$15,532 or 6.8 percent for the Virginia Association of Counties (VACo) due to an assumed 2 percent increase in the County population estimate, and \$10,075 or 1.1 percent for the Metropolitan Washington Council of Governments (COG) due to an increase in County population, an increase in the FY 2013 per capita rate and the Water Resources assessment. This increase is partially offset by a decrease of \$4,995 or 2.9 percent for the Northern Virginia Transportation Commission (NVTC) based on the share of revenue to be received by NVTC on behalf of the County (calculation based on state statute). It should be noted that population, as determined by the County's Department of Neighborhood and Community Services,

Fund 119 Contributory Fund

may differ from other particular projection services, e.g., Weldon Cooper Center for Public Service, used by various contributory agencies as the basis for their contributions.

The **Public Safety** program area decreases \$5,125 or 9.3 percent due primarily to a decrease in the County's share for the Northern Virginia Regional Identification System (NOVARIS), as the agency will utilize available balance from previous years in FY 2013 to maintain jurisdictions' contributions at the FY 2011 actual level.

The **Health and Welfare** program area increases \$303,321 or 10.9 percent due to an increase of \$281,871 or 13.0 percent for the Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence, known collectively as Birmingham Green. The increase for Birmingham Green is based on actual costs and utilization rates at the facility, as well as the replenishment of reserves depleted completing critical requirements. In addition, there is an increase of \$21,450 or 24.7 percent for Health Systems Agency of Northern Virginia due to the application of updated population figures to calculate the FY 2013 contribution.

The **Parks, Recreation and Cultural** program area increases \$2,700,000 mainly due to an increase of \$2,600,000 for the Lorton Arts Foundation (LAF) to address debt service requirements. In addition, increases of \$50,000 or 21.6 percent for the Arts Council of Fairfax County, \$25,000 or 10.6 percent for the Fairfax Symphony Orchestra and \$25,000 or 24.8 percent for the Wolf Trap Foundation for the Performing Arts are required to maintain art and educational programs within Fairfax County.

The **Community Development** program area increases \$413,510 or 13.0 percent due to increases of \$250,000 to provide ongoing support for the Fairfax 2015 World Police and Fire Games, \$181,800 or 7.5 percent for the Convention and Visitors Corporation based on projected Transient Occupancy Tax revenue in FY 2013 and funding for the 150th Civil War Anniversary, \$10,000 for the Northern Virginia 4-H Education Center to support educational and recreational initiatives for youth and adults in Northern Virginia and \$780 or 0.9 percent for the Northern Virginia Community College based on population changes within participating jurisdictions. This increase is partially offset by a decrease of \$29,070 due to the elimination of funding for the Center for Chesapeake Communities.

The **Nondepartmental** program area increases \$33,000 due to the reallocation of funding for the Employee Advisory Council from Agency 89, Employee Benefits, to the Contributory Fund.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$381,100**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$250,000 to provide ongoing support for the Fairfax 2015 World Police and Fire Games, \$81,100 to support planning and preparation for the 150th Civil War Anniversary and \$50,000 for a feasibility study to support the long-term care services provided at Birmingham Green.

Fund 119 Contributory Fund

- ◆ **Third Quarter Adjustments** \$2,225,000
As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$2,200,000 to address debt service requirements associated with the Lorton Arts Foundation (LAF) and \$25,000 to support a Northern Virginia Regional Commission (NVRC) study on creating a regional ferry as a new transit option for the DC Metro area.

The following provide background information and summary budget data for organizations receiving FY 2013 contributory funding.

FY 2013 Contributions

Legislative-Executive Functions/Central Service Agencies:

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Alliance for Innovation	\$6,000	\$6,000	\$6,000	\$6,000	\$6,000

The Alliance for Innovation – formerly known as the Virginia Innovation Group – is part of the Innovation Groups (IG), a network of local government professionals seeking innovation and governmental excellence. IG, now in its 31st year of service, provides a national forum for those seeking to innovate and learn new approaches to providing public service. IG’s purpose is to assist local governments to build and sustain the capacity to be innovative. It provides an ‘organizational’ membership, meaning that everyone at every level in member jurisdictions can utilize its services. These include an online document library, research inquiry service, national and regional networking opportunities, training and other learning events, two annual conferences, research and publications. The International City/County Management Association, IG and Arizona State University founded the Alliance for Innovation to assist local governments across the country by identifying the major forces that will drive local government in the future; responding to those forces by identifying and accelerating innovations; identifying and documenting best practices; and reducing the time from when an innovation is identified to when it becomes practice.

For FY 2013, the membership dues to the Alliance for Innovation for Fairfax County's share of costs based on population are \$6,000, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Dulles Area Transportation Association	\$9,000	\$9,000	\$9,000	\$9,000	\$9,000

The Dulles Area Transportation Association (DATA) is a public-private, nonprofit, 501(c) (3) tax exempt transportation management association dedicated to improving transportation in a 150-square mile area around Dulles Airport including the Route 28, Route 50, Route 7 and Dulles Corridor (the Greater Dulles Area). Its membership is comprised of elected officials of the Commonwealth of Virginia, Fairfax County, Loudoun County, and the towns of Herndon and Leesburg; senior executives of the Metropolitan Washington Area Airports (MWAA) and the Washington Metropolitan Area Transit Authority (WMATA); and other employer firms, property owners and business professionals, with membership open to all. The Board of Supervisors approved the first contribution for DATA in the FY 1993 budget.

Fund 119 Contributory Fund

DATA currently has over 100 members; 50 are dues-paying individual corporations and businesses, 10 are dues-paying governmental or quasi-governmental organizations, and the remainder are non-paying local representatives to the General Assembly, representatives of citizen associations, and affiliate members (e.g., Fairfax County Chamber of Commerce), none of whom are obligated to pay dues but allow similar memberships in their organizations.

DATA provides a neutral public forum for identifying transportation needs within the Greater Dulles Area, as well as generating solutions to meet them. DATA plans and conducts transportation seminars in support of efforts to improve transportation in the greater Dulles area in conjunction with regional members of the Commonwealth Transportation Board and other local governing bodies. Other programs emphasize congestion management and mobility approaches including heavy and light rail, bus rapid transit, and highway improvements and the effects of greenhouse gases and climate change will be explored further. DATA staff also works with the County's Department of Transportation to execute targeted projects aimed at raising employer and citizen awareness of the challenges and possible solutions to traffic congestion in the region.

The FY 2013 Fairfax County funding amount for the Dulles Areas Transportation Association is \$9,000, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Metropolitan Washington Council of Governments	\$854,641	\$889,890	\$889,890	\$899,965	\$899,965

The Metropolitan Washington Council of Governments (COG) is the regional planning organization of the Washington, D.C. area's local governments. COG works toward solutions to regional problems such as transportation, affordable housing, emergency preparedness and environmental issues. Currently, 22 area jurisdictions are members, including Fairfax County. Funding for COG is provided through federal and state grants, special contributions (fees for services) and local government contributions.

Annual COG contributions are based on the per capita rate multiplied by the population estimates provided by member jurisdictions. The FY 2013 per capita rate is \$0.66913, up from the FY 2012 rate of \$0.65721.

The FY 2013 Administrative Contribution totals \$727,013, an increase of \$6,499 or 0.9 percent over the FY 2012 Adopted Budget Plan of \$720,514. COG calculates each jurisdiction's share based on the region's estimated population. In addition to the Administrative Contribution of \$727,013 and Special Contributions of \$172,952 (\$136,997 for the Regional Environmental Fund and \$35,955 for Water Resources), for a total Fund 119 contribution of \$899,965, an amount of \$13,997 is budgeted in Fund 114, I-95 Refuse Disposal, and \$252,282 (\$220,863 for Water Resource Planning and \$31,419 for Blue Plains Users) is budgeted in Fund 401, Sewer Operation Maintenance Fund - Wastewater Management. The total FY 2013 County contribution to COG is \$1,166,244.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
National Association of Counties	\$19,049	\$19,049	\$19,049	\$19,049	\$19,049

The National Association of Counties (NACo) is an organization that represents and informs participating governments of current developments and policies that affect services and operations. NACo acts as a liaison with other levels of government, works to improve public understanding of counties, serves as a national advocate for counties and provides them with resources to find innovative methods to meet the challenges they face. NACo is involved in a number of special projects that deal with issues such as homeland security, energy, environment, housing and land use, among others.

An amount of \$19,049 is included for FY 2013 dues, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Northern Virginia Regional Commission	\$556,297	\$568,534	\$593,534	\$623,862	\$623,862

The Northern Virginia Regional Commission (NVRC) is a regional council of local governments in Northern Virginia created in 1969 pursuant to the Virginia Area Development Act and a regionally-executed charter. In 1995, the Virginia Area Development Act was amended and renamed the Regional Cooperation Act. It sets forth the purpose of planning district commissions as follows: "...to encourage and facilitate local government cooperation in addressing, on a regional basis, problems of greater than local significance. The cooperation resulting from this Act is intended to assist local governments in meeting their own problems by enhancing their abilities to recognize and analyze regional opportunities and take account of regional influences in planning and implementing their public policies and services."

NVRC's policies and programs are established by a 25-member Board of Commissioners composed entirely of elected council and board members of NVRC's 14 member localities. The work of the Commission is supported in part by contributions from the member local governments and by appropriations from the Virginia General Assembly.

NVRC serves as a neutral forum for decision-making; provides member governments with the information and analyses necessary to make sound local and regionally beneficial decisions; provides professional and technical services to enable member governments to plan for their future individually and as a region; and carries out programs and functions at the request of member governments to supplement their own capacities or to achieve economies of scale through regional approaches. NVRC's services are divided into regional policy programs such as the legislative program; demographics and information services; environmental and land use; and human services programs.

As part of the *FY 2012 Third Quarter Review*, NVRC received \$25,000 to support a study on creating a regional ferry as a new transit option for the DC Metro area. Conceptually, ferry service would take commuters off the road using local waterways to provide efficient transportation to key area destinations.

The total FY 2013 Fairfax County contribution is \$623,862, an increase of \$55,328 or 9.7 percent over the FY 2012 Adopted Budget Plan contribution of \$568,534. This amount provides for the annual contribution

Fund 119 Contributory Fund

of \$552,656, as well as special contributions of \$42,072 to support the Occoquan Watershed Management Program, \$9,518 for the Northern Virginia Waste Management Program and \$19,616 for the Four-Mile Run Watershed Management Program. After six years of remaining unchanged, the FY 2013 per capita rate will increase to \$0.53, up from \$0.50. As a result, the increase in the County's contribution is attributable to the increase in the per capita rate, as well as the increase in County population based on population estimates generated by the Weldon Cooper Center for Public Service.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Northern Virginia Transportation Commission	\$186,288	\$174,499	\$174,499	\$169,504	\$169,504

The Northern Virginia Transportation Commission (NVTC) is the executive agency of the Northern Virginia Transportation District. It was established by state statute as a political subdivision of the Commonwealth of Virginia. The principal business activity of the Commission is to manage and control the functions, affairs and property of the Northern Virginia Transportation District, as defined in the Transportation Act of 1964. It represents its constituent jurisdictions (Alexandria, Falls Church, Fairfax City, Arlington County, Fairfax County and Loudoun County) on the Metro Board.

Each NVTC jurisdiction is assigned a percentage of the local portion of NVTC's administrative budget based on the jurisdiction's share of state aid received by NVTC in the previous year. This is determined by the application of a subsidy allocation model that projects the total amount of state aid received by the region and local jurisdictions. This model contains seven formulas including such variables as Metro construction costs, Metrorail service costs, ridership volume and population. These calculated percentages for each jurisdiction are applied to NVTC's remaining administrative budget after other revenue sources such as state aid, interest earned and project chargebacks have been applied.

The NVTC projected expenditure base for FY 2013 is \$1,194,340, a decrease of 0.1 percent from the FY 2012 budget; Fairfax County's contribution will decrease by \$4,995 based on its share of revenue received by NVTC on behalf of the County. The total FY 2013 Fairfax County contribution is \$169,504, a decrease of \$4,995 or 2.9 percent from the FY 2012 Adopted Budget Plan contribution of \$174,499.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Virginia Association of Counties	\$228,024	\$227,208	\$227,208	\$242,740	\$242,740

The Virginia Association of Counties (VACo) is an organization dedicated to improving county government in the Commonwealth of Virginia. To accomplish this goal, the association represents Virginia counties regarding state legislation that would have an impact on them. The association also provides conferences, publications and programs designed to improve county government and to keep county officials informed about recent developments in the state, as well as across the nation.

The FY 2013 Fairfax County contribution to VACo is \$242,740, an increase of \$15,532 or 6.8 percent over the FY 2012 Adopted Budget Plan of \$227,208. The FY 2013 per capita rate is projected to remain at \$0.22 for member contributions and is subject to final FY 2013 budget approval by VACo's governing board.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Virginia Institute of Government	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000

The Virginia Institute of Government was created by an act of the General Assembly in 1994, and is a nonprofit organization funded half by the Commonwealth of Virginia and half by local government membership contributions. It is part of the University of Virginia and its Weldon Cooper Center for Public Service. Local governments that join the Institute make annual contributions based on membership. While the Institute began with 60 members, it now has a roster of almost 200 Virginia member localities. The Institute operates with an advisory board of 18 members, each appointed for a single two-year term. It is made up of an equal number of members from the state's legislative and executive branches, as well as local governments.

The Institute is an ongoing informal gathering of organizational development staff from Virginia localities established to exchange ideas and strategies for developing high-performance governments and to help the Institute identify areas of needed assistance. Work products of the Virginia Institute of Government encompass four main areas: training, technical assistance, electronic information services, and select research projects. The Institute also provides staff support to certain state legislative and study committees.

The total Fairfax County FY 2013 funding is \$20,000, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Washington Airports Task Force	\$32,704	\$50,000	\$50,000	\$50,000	\$50,000

The Commonwealth of Virginia, Fairfax County, the private sector and other local governments support the Washington Airports Task Force. Its purpose is to develop markets, as well as promote domestic and foreign usage of the Metropolitan Washington Airports. It has yielded hundreds of millions of dollars in economic return for the Washington region and the Commonwealth of Virginia, including investment, tourism income, trade opportunities and jobs. Both Dulles and National Airports continue their significant impact on Fairfax County's economy.

The FY 2013 Fairfax County funding is \$50,000, which is consistent with the FY 2012 Adopted Budget Plan. The contribution will be used to maintain a comprehensive, proactive marketing and sales program to promote the region's air service opportunities to the world's airlines and other air service providers; encourage improvement of airport access; ensure adequate Air Traffic Control, Homeland Security and Customs support services from the federal government; and support the Metropolitan Washington Airports Authority's Capital Development.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Subtotal Legislative-Executive	\$1,912,003	\$1,964,180	\$1,989,180	\$2,040,120	\$2,040,120

Fund 119 Contributory Fund

Public Safety:

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Fairfax Partnership For Youth	\$40,375	\$40,375	\$40,375	\$40,350	\$40,350

The Fairfax Partnership for Youth was created in 1997 as an outgrowth of the Community Initiative to Reduce Youth Violence (CIRYV). Its mission is to bring the community together to reduce youth violence and promote positive youth development. This agency seeks to reduce youth violence by facilitating a forum for public and private providers to collaborate, evaluate and create programs, activities and services to better integrate activities, fill gaps and avoid duplication of efforts in the provision of services to youth in the community.

Among the types of initiatives undertaken by the Fairfax Partnership for Youth are coordination of the Fairfax Mentoring Partnership; provision of the Support on Suspension (S.O.S.) effort, a voluntary community-based program designed to provide students in grades 6-12 with an opportunity to stay abreast of academic work while out of school due to suspension; the Fairfax County After-School Network for middle school-aged youth to minimize involvement in violence or other risky behaviors; assistance to the County on youth survey analysis; youth services information to provide the community with needed resources; advocacy on youth issues; and the Youth Suicide and Depression Prevention Task Force to study and reduce risk factors for young people.

The Fairfax County contribution for FY 2013 is \$40,350. The FY 2013 contribution will be used to supplement funds received from grants, corporate sponsors and other private funding sources.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
NOVARIS	\$9,577	\$14,677	\$14,677	\$9,577	\$9,577

The Northern Virginia Regional Identification System (NOVARIS) utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. It enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington Metropolitan area by comparing the print or partial print with all prints in the database. An Automated Fingerprint Identification System (AFIS) was installed in FY 2007 that enhanced technologies, including palm print and biometric recognition capabilities. Funding of \$8.65 million was secured through an Urban Areas Security Initiative grant to cover the cost of AFIS system replacements for the National Capital Region, including NOVARIS, the District of Columbia, as well as Prince George's County and Montgomery County.

Participating Washington metropolitan area jurisdictions share costs associated with NOVARIS based on the sworn police population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997. As of FY 2008, Montgomery and Prince George's counties no longer participate in NOVARIS as those jurisdictions have joined a Maryland regional fingerprint system. However, Loudoun County and the Virginia State Police joined NOVARIS in FY 2008. The system is housed in Fairfax County and is staffed by personnel contributed by the participating jurisdictions. Fairfax County exercises a fiduciary responsibility for the financial management and operation of NOVARIS, with the County contribution made through the Contributory Fund.

Fund 119 Contributory Fund

The total Fairfax County FY 2013 funding is \$9,577, a decrease of \$5,100 or 34.7 percent from the FY 2012 Adopted Budget Plan contribution of \$14,677. The contribution consists of the County's annual share of costs associated with operations and upgrades of NOVARIS. The decrease is associated with the utilization of available balance from previous years in FY 2013 to maintain jurisdictions' contributions at the FY 2011 actual level.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Subtotal Public Safety	\$49,952	\$55,052	\$55,052	\$49,927	\$49,927

Health and Welfare:

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
GMU Law and Mental Illness Clinic	\$51,678	\$0	\$0	\$0	\$0

The George Mason University (GMU) Law and Mental Illness Clinic provides legal services to individuals who are petitioning the court for the commitment of a family member in severe mental stress. In commitment proceedings, the individual against whom the commitment proceeding is brought is commonly represented by appointed counsel, while the family petitioning is rarely represented and is generally not familiar with the rules of evidence. Legal services by the Law Clinic have been provided by law students with an assigned supervising attorney.

In FY 2012, these services and the associated funding were transferred to Fairfax County's Office of the County Attorney. In FY 2013, the Office will continue to provide for counsel required at hearings concerning individuals who have been recommended for commitment for mental health care by the Fairfax-Falls Church Community Services Board. Funding will support an attorney to represent the County's interests on a more consistent daily and year-round basis.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Health Systems Agency of Northern Virginia	\$86,750	\$86,750	\$86,750	\$108,200	\$108,200

The Health Systems Agency (HSA) of Northern Virginia is a regional body charged with coordinating and improving the health care system for Northern Virginia. To accomplish this, the agency establishes short-term objectives and long-range goals, as well as prepares annual implementation plans. In addition, HSA promotes and assists in community-oriented planning among and within local health care systems, documents and evaluates the need for new services in the region, and reviews health service and facility capital expenditure proposals subject to certificate of public need regulation filed by health service provider organizations in the region. Member jurisdictions include the counties of Fairfax, Arlington, Loudoun and Prince William, as well as the cities of Fairfax, Alexandria, Manassas and Falls Church. Funding contributions to HSA from local jurisdictions are encouraged but are not required.

Fund 119 Contributory Fund

The Health Systems Agency established a per capita contribution standard of \$0.10 over ten years ago. The County's contribution has remained unchanged since FY 2002, when it was adjusted based on the 2000 Census population figures. The FY 2013 funding amount for the Health Systems Agency is \$108,200, an increase of \$21,450 or 24.7 percent over the FY 2012 Adopted Budget Plan contribution of \$86,750. The increase is based on the new 2010 Census population figures for Fairfax County and the same per capita rate of \$0.10. In FY 2013, revenue of \$333,772 is projected to be received from four sources: grants and contracts, \$73,500 or 22.0 percent; local government contributions, \$222,144 or 66.6 percent; fees, \$37,000 or 11.1 percent; and interest earnings and miscellaneous income of \$1,128 or 0.3 percent. Fairfax County is the largest local government contributor in FY 2013, providing \$108,200 or 48.7 percent of the support received from the local government units.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Medical Care for Children	\$237,000	\$237,000	\$237,000	\$237,000	\$237,000

The Medical Care for Children Partnership (MCCP) program provides medical and dental care to children of the working poor in Fairfax County. In January 2009, members of the Medical Care for Children Advisory Council and private citizens concerned about health care for children in Fairfax County formed the Medical Care for Children Partnership which is dedicated to conducting fundraising support on behalf of the County for the care of uninsured children in Fairfax County.

MCCP receives funding from Fairfax County as its sole local government source. The Fairfax County FY 2013 funding amount is \$237,000, which is the same level as the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence	\$1,847,761	\$2,165,918	\$2,215,918	\$2,447,789	\$2,447,789

Birmingham Green, a collective name, was founded in 1927 as a District Home under legislation passed in 1918 by the General Assembly. The District Home legislation encouraged jurisdictions to join together to establish facilities for indigent persons who need a permanent home and also require assistance with daily living activities. Fairfax was one of five jurisdictions that agreed to participate in the District Home in Manassas.

The property, which is located on 54 acres, includes an original building from 1927, a 180-bed nursing facility, and two joint apartment-type buildings for 92 assisted living residents. The counties of Fairfax, Fauquier, Loudoun and Prince William, as well as the City of Alexandria established the Northern Virginia Healthcare Center Commission in 1987. Each jurisdiction is represented by a member on the Commission.

The present nursing home, Birmingham Green Healthcare Facility, opened in May 1991. The nursing facility accepts residents who are eligible for long-term care Medicaid and who are referred by the five participating jurisdictions. In Fairfax, social workers from the Department of Family Services screen and refer eligible individuals. A few persons are admitted for only rehabilitation and their care is paid for by

Fund 119 Contributory Fund

Medicare or private insurance. For diversification of funding, but in keeping with the mission of serving indigent persons, a limited number of persons who pay privately are admitted.

The old District Home, a licensed assisted living facility, adjacent to the nursing facility, now accepts private pay residents with moderate incomes. The District Home continues to operate under the auspices of the Commission. This facility provides room and board, along with assistance in activities of daily living for older adults and adults with disabilities.

Willow Oaks, a 92-unit licensed assisted living facility replaced the original 64-bed District Home in 2008. Funding for the new facility was primarily provided through the U.S. Department of Housing and Urban Development. As with the nursing facility, individuals are referred by the five participating jurisdictions. To be admitted, individuals must be eligible for auxiliary grants, which supplement the individuals' incomes. Medicaid provides for needed medical care.

Operating costs for Birmingham Green are partially covered through the Medicaid and General Relief programs at the maximum rates established by the state. To the degree that these funds, along with some additional funds from Medicare, other insurance, and private pay, are inadequate to cover the full costs of the operation of the facility, the sponsoring jurisdictions then subsidize Birmingham Green on a user formula basis. Each jurisdiction pays for Personnel Services and Operating Expenses at a level proportionate to the number of the jurisdiction's residents.

As part of the *FY 2011 Carryover Review*, Birmingham Green received funding of \$50,000 for a feasibility study to support the long-term care services provided by the facility. The feasibility study will assess opportunities to both improve and expand services and maximize operating reimbursements. Fairfax County provides a large portion of the costs of supporting this facility and opportunities to maximize efficiencies may reduce future County requirements.

The total FY 2013 Fairfax County funding for these facilities is \$2,447,789, an increase of \$281,871 or 13.0 percent over the FY 2012 Adopted Budget Plan contribution of \$2,165,918. This increase is based on actual costs and utilization rates at the facility and the replenishment of reserves depleted completing critical requirements.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Volunteer Fairfax	\$305,247	\$305,247	\$305,247	\$305,247	\$305,247

Volunteer Fairfax is a private, nonprofit corporation created in 1975 and incorporated in the Commonwealth of Virginia. The center promotes volunteerism through a network of over 1,024 nonprofit and public agencies by mobilizing people and other resources to improve the community. Its primary goals are: to assist private nonprofit and public agencies in developing strong, efficiently managed organizations and volunteer programs; to increase corporate and citizens' direct involvement in the community; to provide programs and services through partnerships that contribute to the resolution of community issues; and to increase the public's awareness of both the need for and the benefits of volunteer service to the community. The scope of the center's work also includes active participation in emergency preparedness activities and coordination through its support of the Citizen Corps, the County's Emergency Management Coordinating Council and Emergency Operations Center, the

Fund 119 Contributory Fund

Northern Virginia Voluntary Organizations Active in Disaster, and the Metro Coalition of Volunteer Centers.

Volunteer Fairfax has several initiatives currently under development, including BoardLink, which will help local nonprofits find potential board members and community members connect with nonprofits in need of their expertise; CollegeLink, which will support local college students to locate suitable nonprofit volunteer opportunities; Encore Services and Lifetime Leaders, which will assist individuals transition to life as retirees; and Fairfax Community Connections Network, which will connect individuals and organizations to facilitate volunteerism.

The center receives funding from Fairfax County as its sole local government source. In addition to the annual contribution, Fairfax County provides in-kind office space to the center at 10530 Page Avenue. The Fairfax County FY 2013 funding amount is \$305,247, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Subtotal Health and Welfare	\$2,528,436	\$2,794,915	\$2,844,915	\$3,098,236	\$3,098,236

Parks, Recreation and Cultural:

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Arts Council of Fairfax County	\$181,694	\$231,694	\$231,694	\$231,694	\$281,694

Established in 1964, the Arts Council of Fairfax County is a private, nonprofit organization whose goals are to encourage, coordinate, develop and meet the needs of County residents and organizations for cultural programs. It develops and maintains a broad range of visual and performing arts programs designed to contribute to the growth of an integrated area-wide cultural community. It also supports and encourages the development of local artists and organizations by providing opportunities to reach new audiences through participation in Arts Council-sponsored activities. In FY 2013, the Arts Council will continue the planning and implementation of the County's Master Arts Plan.

The FY 2013 Fairfax County funding is \$281,694, an increase of \$50,000 or 21.6 percent over the FY 2012 Adopted Budget Plan contribution of \$231,694. The additional funds will be used to maintain art programs in Fairfax County. The County's contribution represents 26.2 percent of the total projected revenue of \$1,073,298. In addition, as noted later, funding of \$444,125 for a Challenge Arts Grant program is included for FY 2013.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Arts Council of Fairfax County - Arts Groups Grants	\$96,900	\$96,900	\$96,900	\$96,900	\$96,900

In 1980, the Arts Council Advisory Panel was established to institute a grant system for County arts organizations. The Advisory Panel is the official entity established by the Arts Council for evaluating and ranking all art requests for funds, support services and facilities support from the Fairfax County government. This panel reviews all applications from local arts organizations, and based on eligibility and evaluating criteria, makes recommendations to the County Board of Supervisors for approving grants. It also encourages County arts organizations to seek contributions from a wide range of sources.

The total FY 2013 funding included for the Arts Council of Fairfax County - Arts Groups Grants is \$96,900, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Challenge Grant Funding Pool for the Arts	\$444,125	\$444,125	\$444,125	\$444,125	\$444,125

The Challenge Grant Funding Pool for the Arts was established in FY 2007 by the Board of Supervisors and is administered by the Council on the Arts. Funds are to be used on a competitive basis by community arts organizations, with no more than \$50,000 to support administrative costs of the Arts Council of Fairfax County.

The Challenge Grant Funding Pool is intended as a means to further leverage private funding and enable the arts to continue to flourish in the County. The grants are intended to leverage private funds by requiring a 2:1 dollar match. Funding is intended to support both arts in public spaces and the performing arts.

The total FY 2013 funding included for the Challenge Grant Funding Pool for the Arts is \$444,125, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Dulles Air and Space Museum	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000

Fairfax County made its first contribution to the Udvar-Hazy Center of the Smithsonian Institute's Dulles Air and Space Museum in FY 2000 and has provided a total of \$2,930,000 through FY 2012. The Center serves more than 1,000,000 people annually and since the museum opened in December 2003, over 8.2 million people have visited. A sample showed that nearly 9 percent of visitors to this facility come from abroad, while 46 percent of the domestic audience drove over 100 miles to visit the center. This translates into overnight stays in the region, with the increased likelihood of visits to other nearby attractions.

Education is a vital part of the mission of the Center. There are classrooms and expanded programs for educators and students, particularly those in Fairfax County. The goal is to teach young people about America's aviation and space heritage, and emphasize the importance of technology.

Fund 119 Contributory Fund

The FY 2013 funding included for the Dulles Air and Space Museum is \$100,000, which is consistent with the FY 2012 Adopted Budget Plan. Previous County contributions supported the construction of Phase II of the Center, which includes the Restoration Hangar, the Archives and Collections Processing Center, and the Collections Storage area. The FY 2013 contribution will help to ensure the sustainability and success of the work performed by the Center.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Fairfax Symphony Orchestra	\$236,032	\$236,032	\$236,032	\$236,032	\$261,032

The Fairfax Symphony Orchestra (FSO) is a nonprofit organization chartered by the Virginia State Commission in 1966. A mixture of public and private contributions supports the orchestra. The FSO provides County residents with the opportunity to hear and learn about symphonic and ensemble music. The orchestra sponsors a variety of programs, including its own concert series, programs in the public schools, master classes for young music students, chamber orchestra for young adults, and the special music collection in the Fairfax County Public Library.

The County's contribution to the FSO supports all facets of the orchestra – Masterworks concerts, educational outreach and special concerts. County support in FY 2013 will allow the orchestra to continue its valuable partnership with the Fairfax County Public Schools and the Fairfax County Park Authority to provide music literacy and outreach programs. FSO will continue to expand its Symphony Creating Outreach Resources for Educators (SCORE) program, an interactive and flexible program serving elementary, middle and high school band and orchestra students in Fairfax County Public Schools. In addition, FSO will continue to perform free events at County parks and historic sites.

The FY 2013 funding included for the Fairfax Symphony Orchestra is \$261,032, an increase of \$25,000 or 10.6 percent over the FY 2012 Adopted Budget Plan contribution of \$236,032. The additional funding will be used to maintain programs which educate and entertain the citizens of Fairfax County.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Fort Belvoir Army Museum	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000

Since FY 2005, the Board of Supervisors has provided funding to support construction of the U.S. Army Museum at Fort Belvoir in the southeastern part of Fairfax County. The capital campaign to raise \$200 million in private funds has been underway, managed by the Army Historical Foundation, a nonprofit organization dedicated to preserving the Army's heritage. The museum is expected to draw approximately 740,000 visitors annually when it opens. The museum will feature unique educational programs and resources in the areas of technology, history, geography, political science, engineering and civics for students of all ages. The opening date is tentatively set for 2015.

Fund 119 Contributory Fund

All of the branches of the military either already have a centralized museum, or are in the process of building one. The Air Force Museum is at Wright-Patterson Air Force Base, Ohio; the Navy Museum is at the Washington Navy Yard; and the U.S. Marine Corps opened its National Heritage Center at Quantico Marine Base, less than 20 miles south of Fort Belvoir in Prince William County in November 2006. A County contribution of \$100,000 has been included for the U.S. Army Museum for FY 2013, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Lorton Arts Foundation	\$1,000,000	\$750,000	\$2,950,000	\$3,350,000	\$3,350,000

As part of the *FY 2005 Carryover Review*, the Board of Supervisors approved funding to support the Lorton Arts Foundation (LAF) financing and capital renewal plan for operation of a center for the arts at the former Lorton Prison site. This site, transferred to the County from the federal government, is a large, historically important facility in the County and the partnership with LAF provides opportunities both for the stabilization and preservation of the site, as well as enhanced art programming. The Board had previously approved the negotiation of a lease of the former prison site with the Foundation, which proposed to use funds generated by leasing the various facilities to individual artists and performing arts groups. The Board agreed to provide a dollar for dollar match of donations and contributions received through private fundraising, up to \$1,000,000 per year through FY 2011, for maintenance support. The County also agreed to lease back a portion of the rental space if other tenants were not available, for a timeframe and lease rate to be negotiated between the County and LAF. The lease provides for reducing or eliminating the County's cash support commensurate with the Foundation's ability to become self-sustaining. In March 2010, an amendment to the financing documents between the County and LAF was negotiated. The County agreed to provide, subject to annual appropriation, contingent annual operating deficit support to LAF of \$750,000 in any given year through 2025.

As part of the *FY 2012 Third Quarter Review*, the Board approved an increase of \$2,200,000 in the contribution to LAF to address debt service requirements.

In early FY 2012, an external review of LAF identified that the Foundation was experiencing a significant fiscal stress. During the economic recession, fundraising has been a challenge for LAF, as well as to other similar organizations nationally. The facility has not generated the level of patronage which was originally projected, and the large campus has been a drain on the resources of the Foundation. During the past twelve months, serious deterioration has been identified to an extent that the organization was on the verge of failure unless additional funds were found. As a result, the Board of Supervisors approved a restructuring of the relationship with LAF intended to assist the Foundation with financial recovery, achieve its mission, and protect the investment to date in the adaptive reuse of this historic County property. The County role at LAF is being expanded through a County oversight committee as it relates to the budget, capital construction and facility management. In addition, all potential uses of space on the LAF campus are being evaluated to identify the most appropriate use, such as recreational uses, County agencies, local non-profits and partnerships with the Fairfax County Public Schools. In FY 2013, the total funding for LAF is \$3,350,000, which includes \$750,000 for annual operating deficit support and \$2,600,000 to address debt service requirements.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Northern Virginia Regional Park Authority	\$1,979,537	\$1,979,537	\$1,979,537	\$1,979,537	\$1,979,537

The Northern Virginia Regional Park Authority (NVRPA) is a multi-jurisdictional, special-purpose agency established to provide a system of regional parks for the Northern Virginia area. The NVRPA currently operates 21 regional parks and owns over 11,000 acres of land, of which more than 7,700 acres are in Fairfax County. Parklands within the system include: Bull Run, Bull Run Marina, Fountainhead, Sandy Run, Pohick Bay, Carlyle House Historic Park, Potomac Overlook, Upton Hill, Algonkian, Red Rock, the W&OD Trail, Occoquan, Hemlock Overlook, Cameron Run, Gateway, Meadowlark Gardens, Ball's Bluff, Temple Hall, Brambleton, Aldie Mill and Blue Ridge Park. In addition, the NVRPA administers extensive regional historic and conservation properties throughout Northern Virginia. These community resources are supported primarily from the annual contributions of its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. Each member jurisdiction's contribution is in direct proportion to its share of the region's population. In the past decade, the entire population served by the NVRPA grew to nearly 1.7 million residents and is expected to approach 2.0 million by 2020.

Current projections indicate that \$4,669,871 will be expended from the NVRPA's General Fund and \$16,078,711 will be expended from the NVRPA's Enterprise Fund for a total of \$20,748,582 in FY 2013. The NVRPA is asking member jurisdictions for \$3,440,267, which is consistent with FY 2012. For FY 2013, NVRPA projects that 83.4 percent of operating costs will be funded with park revenues, with the remaining 16.6 percent coming from member jurisdictions. Fairfax County's contribution to the Northern Virginia Regional Park Authority in FY 2013 is \$1,979,537, which is consistent with the FY 2012 Adopted Budget Plan contribution amount.

It should be noted that in addition to the operating contribution, an amount of \$3,000,000 has been included in Fund 303, County Construction, pending the approval of the fall 2012 bond referendum. This funding will serve as the FY 2013 annual capital contribution.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Reston Historic Trust	\$16,150	\$16,150	\$16,150	\$16,150	\$16,150

The Reston Historic Trust is a community-based 501(c) (3) organization located in the heart of the Lake Anne Revitalization District. It was founded in 1996 as an educational institution to promote the social and economic vitality of Reston through a program of history-based educational activities. Since FY 2000, Fairfax County has provided annual funding to the Reston Historic Trust to assist in the operational costs of the Reston Museum, located at Lake Anne Plaza. The museum has evolved as a focal point in the community, hosting special events, weekend programs and lectures, and providing exhibits that depict Reston's past and future.

In FY 2013, the organization will continue its efforts on education, community outreach, and cultural development, through collaborative programming and training with other area organizations. The County's FY 2013 contribution to the Reston Historic Trust is \$16,150, which is consistent with the FY 2012 Adopted Budget Plan.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Town of Herndon	\$0	\$40,000	\$40,000	\$40,000	\$40,000

In FY 2013, an amount of \$40,000 will be provided to the Town of Herndon for tourism related uses. This level of funding is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Town of Vienna Teen Center	\$32,300	\$32,300	\$32,300	\$32,300	\$32,300

The Vienna Teen Center is operated by the Town of Vienna Parks and Recreation Department. The Center, known as Club Phoenix, provides local teenagers with positive, supervised recreational and educational programs and activities. The County's contribution assists the Town of Vienna in the operation and improvement of the Center, and helps provide funding for programs, staffing and the purchase of materials and other supplies.

The Board of Supervisors first approved funding for the Teen Center in FY 2001. The FY 2013 contribution for the Town of Vienna Teen Center is \$32,300, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Wolf Trap Foundation for the Performing Arts	\$100,938	\$100,938	\$100,938	\$100,938	\$125,938

A private/public partnership was established in 1968 between the Wolf Trap Foundation and the National Park Service for the operation of the Wolf Trap Farm Park for the Performing Arts in Vienna, Virginia. The partnership was founded through a gift of land to the United States Government. The National Park Service maintains the property and conducts parking and audience management.

The Foundation, with a nearly \$28.0 million budget, is responsible for all other aspects of running the facility, including the presentation of a wide variety of performances and educational programs. Foundation programs reach nearly 500,000 people in Fairfax County each year at two sites: the Filene Center, a 7,000-seat outdoor amphitheater in a park-like setting; and the Barns of Wolf Trap, two 18th Century barns reconstructed at Wolf Trap using original building materials and techniques.

In FY 1999, Fairfax County began to contribute funding to Wolf Trap to support the Foundation's efforts to provide Fairfax County citizens with access to the best possible performing arts, and to position Fairfax County nationally as a leader in the arts and arts-in-education. Educational programs focusing on Fairfax County's young children and their teachers, parents and caregivers include development workshops for teachers, family involvement workshops, and field trip performances. For example, Wolf Trap is developing a partnership with Fairfax County Public Schools to develop and evaluate new techniques of using the arts to advance science, technology, engineering and math (STEM) learning among kindergarten students.

Fund 119 Contributory Fund

The FY 2013 funding is \$125,938, an increase of \$25,000 or 24.8 percent over the FY 2012 Adopted Budget Plan contribution of \$100,938. The additional funding will be used to continue efforts designed to ensure that Fairfax County maintains its status as a leader in the arts and arts-in-education.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Subtotal Parks, Recreation & Cultural	\$4,287,676	\$4,127,676	\$6,327,676	\$6,727,676	\$6,827,676

Community Development:

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Architectural Review Board	\$2,826	\$2,826	\$2,826	\$2,826	\$2,826

The Architectural Review Board (ARB) administers the Historic Overlay District provisions in the County's Zoning Ordinance and advises the Board of Supervisors (BOS) on other properties that warrant historic preservation through historic district zoning, proffers or easements. There are currently 13 Historic Overlay Districts, with the potential for at least one more. The BOS frequently requests advice on the preservation of historic structures as part of the County's development review process and the Open Space and Historic Preservation Easement program.

The ARB is comprised of 11 members who have demonstrated knowledge and interest in the preservation of historical and architectural landmarks. The amount funded for FY 2013 is \$2,826, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Center for Chesapeake Communities	\$29,070	\$29,070	\$29,070	\$0	\$0

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for the Center for Chesapeake Communities, a nonprofit organization that helps provide local governments with tools to protect their own natural resources and the Chesapeake Bay, with the recognition that actions at the local level, from land use planning to stream protection, greatly affect the Bay.

The Center's goal is to provide localities with the information, education and training that supports sustainable development practices that protect the Bay. The central principle of the Center is that economic, social and environmental goals can be achieved simultaneously if systems, policies and procedures are designed to work interdependently. To help achieve that, the Center maintains a clearinghouse of models, tools and strategies pertaining to stormwater management, site planning, and pollution prevention that local governments are successfully implementing. The Center also holds topic-specific training sessions where local government officials can hear about the latest environmental

Fund 119 Contributory Fund

protection techniques. The Center also helps to put local government experts in touch with each other to share expertise and experiences on resource protection, planning, and management.

In following with reporting requirements for the County's contributory agencies prescribed by the County Executive, no funding is included in FY 2013 due to the Center's failure to file reports with the County as requested.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Commission for Women	\$6,916	\$6,916	\$6,916	\$6,916	\$6,916

The Commission for Women was created by the Board of Supervisors in 1971 to promote the equality of women and girls in Fairfax County, to advise the Board on the concerns of Fairfax County's women and girls; to present possible solutions; and to effect long-term change through public education, policy reform and building community partnerships. The Commission is comprised of 11 members, nine of whom are appointed by members of the Board of Supervisors and two at-large members appointed by the Board's Chairman. There is also a student representative from a local college or university who is a non-voting member.

In FY 2013, the Commission will focus on several initiatives, including participating in the County's Domestic Violence Prevention Policy Coordinating Council and working with George Mason University on the development of a "Profile of Fairfax County Women and Girls," which will be an ongoing research compilation of statistics related to the status of women and girls in the County.

The total FY 2013 Fairfax County contribution to the Commission for Women is \$6,916, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Convention and Visitors Corporation	\$2,378,965	\$2,426,544	\$2,507,644	\$2,608,344	\$2,608,344

As a result of enabling legislation approved by the 2004 General Assembly, the County was granted the authority to impose an additional 2 percent Transient Occupancy tax beginning July 1, 2004. As required by the legislation, no less than 25 percent of the additional revenue is to be designated for and appropriated to a nonprofit Convention and Visitors Corporation located in Fairfax County.

The mission of the Convention and Visitors Corporation, known as Visit Fairfax, is "to create and effectively market exciting products, programs and activities that will distinguish Fairfax County as a premier tourism destination." Visit Fairfax is a 503(c) (3) organization with 25 board members appointed by the Board of Supervisors and the tourism industry.

As part of the *FY 2011 Carryover Review*, the Convention and Visitors Corporation received funding of \$81,100 to support the planning and preparation for the 150th Anniversary of the Civil War. To commemorate the Civil War Anniversary, several new Civil War trail markers are proposed in various parts of Fairfax County, along with the distribution of commemorative calendar, book, and brochures.

Fund 119 Contributory Fund

Based on a projected increase in Transient Occupancy Tax revenue in FY 2013, as well as ongoing support for the 150th Civil War Anniversary in the amount of \$83,200, the total Fairfax County FY 2013 contribution to the Convention and Visitors Corporation is \$2,608,344, which is an increase of \$181,800 or 7.5 percent over the FY 2012 Adopted Budget Plan contribution of \$2,426,544.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Earth Sangha	\$16,150	\$16,150	\$16,150	\$16,150	\$16,150

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for Earth Sangha, an environmental nonprofit organization committed to helping people become better stewards of the planet by providing numerous volunteer opportunities involving environmental work.

Notably, Earth Sangha supports a native forest gardener network which produces, conserves and restores native plants of the Washington, DC metropolitan area. This program aims to produce batches of seedlings that are genetically diverse, locally adapted and representative of the native forest flora. Volunteer opportunities involve planting the native seedlings, shrubs, wild flowers, grasses and trees in local parks, fields, and forests. Earth Sangha partners with the Fairfax County Department of Public Works and Environmental Services and the Fairfax County Park Authority on several planting events throughout the County, including at Wilburdale Park in Annandale, Waverly Park in Vienna, Frying Pan Park in Herndon, and Sully Historic Park in Chantilly, among others.

The FY 2013 Fairfax County funding is \$16,150, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Fairfax 2015 World Police and Fire Games	\$0	\$0	\$250,000	\$250,000	\$250,000

As part of the *FY 2011 Carryover Review*, funding of \$250,000 was approved to provide ongoing support for the Fairfax 2015 World Police and Fire Games. The games are an Olympic-style event held biennially throughout the world to promote friendly competition, camaraderie, and international relationships among the participants. This event is anticipated to generate considerable revenue through the thousands of visitors that will come to Fairfax County for the Games and will stay in local hotels, eat, and shop at County establishments. The 10-day event is projected to bring as many as 10,000 participants and 15,000 visitors to Fairfax County. Additional corporate and private support is also being generated for this effort.

The total FY 2013 contribution for the Fairfax 2015 World Police and Fire Games is \$250,000.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Fairfax County History Commission	\$21,013	\$21,013	\$21,013	\$21,013	\$21,013

The History Commission was created by the Board of Supervisors in 1969 to advise County government and generally promote the public interest in matters concerning the history of Fairfax County. There are 20 members who are appointed by the Board of Supervisors for three-year terms and who may be reappointed. The Commission advises the Board and County on matters involving the County's history; maintains an inventory of historic sites in the County; proposes and monitors historic districts and provides to local groups on matters of historic preservation. Major programs include: educational activities, cooperative ventures with local universities in local history activities, liaison functions with state/national historic preservation organizations, historic record indexing projects, archaeology programs and expansion of photographic archives.

The FY 2013 Fairfax County funding is \$21,013, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Fairfax ReLeaf	\$41,990	\$41,990	\$41,990	\$41,990	\$41,990

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for Fairfax ReLeaf, a nonprofit organization of volunteers that plants and preserves trees and restores forest cover on public and common lands in Northern Virginia. Fairfax ReLeaf activities are aimed at preserving trees and offsetting tree loss by planting thousands of trees each year in order to improve air and water quality, reduce noise, preserve wildlife habitats, and reduce surface runoff. Since its founding in 1992, Fairfax ReLeaf has planted over 110,000 trees in the counties of Fairfax, Loudon, and Prince William. In 2013, Fairfax ReLeaf intends to plant 7,000 trees.

The FY 2013 Fairfax County funding is \$41,990, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Greater Reston Incubator	\$24,225	\$24,225	\$24,225	\$24,225	\$24,225

The FY 2013 Fairfax County funding for the Greater Reston Chamber of Commerce's (GRCC) Incubator Program is \$24,225, which is consistent with the FY 2012 Adopted Budget Plan. The GRCC's Incubator Program assists entrepreneurs in developing high-growth businesses in various sectors of the regional economy including technology, government services and supporting industries. The program provides business services, technical support, and physical space to help emerging businesses grow. Job creation and increased regional prosperity are the program's primary goals. This volunteer-driven program has

Fund 119 Contributory Fund

helped 50 companies over the past 11 years, created over 450 jobs in the region, attracted over \$45 million in investment, and occupied in excess of 80,000 square feet of commercial space in Fairfax County.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Northern Virginia 4-H Education Center	\$0	\$0	\$0	\$0	\$10,000

The Northern Virginia 4-H Educational Center was developed in cooperation with the Virginia Cooperative Extension Service. The Center currently serves 19 localities in Northern Virginia and many of the program participants are Fairfax County residents. This educational and recreational complex for youth and adults residing in Northern Virginia is located in Front Royal, Virginia.

The total FY 2013 contribution for the Northern Virginia 4-H Education Center is \$10,000.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Northern Virginia Community College	\$90,181	\$89,856	\$89,856	\$90,636	\$90,636

Northern Virginia Community College (NVCC) is a comprehensive institution of higher education offering programs of instruction generally extending not more than two years beyond the high school level. The College currently has six campuses (Alexandria, Annandale, Loudoun, Manassas and Springfield for medical education and Woodbridge) with permanent facilities constructed on each site. Each year, the College serves more than 60,000 students in credit-earning courses and more than 25,000 students in continuing education and training activities.

NVCC projects FY 2013 expenditures of \$187,429 for base operating requirements. This amount includes \$181,292 for General Administration (President's Office, College Board travel and memberships, student scholarships, loans, and grants), \$5,000 for Community Services (community information), and \$1,137 for a contingency reserve. The base, which is funded by the governing bodies of the local jurisdictions served by the College, as well as any fund balances support additional services that cannot be provided under the College's annual state fiscal appropriations. For example, local funding provides for increased matching loan funds and support of community service activities. This local funding is for Operating Expenses only and is not applied toward Personnel Services. The local jurisdictions served by the College are requested to contribute their share of the College's base expenditure, which is calculated on a per capita basis as reported by the College using population figures from the Weldon Cooper Center for Public Service.

The FY 2013 Fairfax County contribution to this agency for operations and maintenance is \$90,636, an increase of \$780 or 0.9 percent over the FY 2012 Adopted Budget Plan of \$89,856. This increase is due to shifts in population among the contributing jurisdictions. This amount reflects the County's share of the services provided to Fairfax County residents as reported by the College and is 48.4 percent of the local jurisdictions' contributions totaling \$187,429 for FY 2013.

Fund 119 Contributory Fund

In addition, County funding of \$1,897,721 is included in Fund 303, County Construction, for an annual capital contribution to the College based on a \$1.75 per capita rate using population figures provided by the Weldon Cooper Center. Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Northern Virginia Conservation Trust	\$227,753	\$227,753	\$227,753	\$227,753	\$227,753

The primary purpose of the public/private partnership between the Northern Virginia Conservation Trust (NVCT) and Fairfax County is for NVCT to assist the County in the preservation of natural areas and historic properties through the use of conservation/open space easements, land gifts and acquisition of open space. The Trust is also tasked with educating the public on the importance of conservation and the County's abundant natural resources through outreach programs. Through this partnership, NVCT has been able to permanently conserve over 685 acres in Fairfax County. Some of the conserved land serves as a habitat for a variety of rare species and different vegetation communities.

NVCT is currently working with the Fairfax County Park Authority to create a new park in the Providence District. The project will transfer seven acres for use as a park in an area of the County deficient in such community parks.

NVCT also operates an "Adventures in Conservation" outreach program to bring hands-on volunteerism and environmental education opportunities to the public. Some of the activities include planting thousands of native trees, removing invasive plants, and conducting birding trips and guided hikes. FY 2013 funding of \$227,753 is included, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
OpenDoor Housing Fund	\$31,776	\$31,776	\$31,776	\$31,776	\$31,776

The OpenDoor Housing Fund's mission is to provide flexible capital from a variety of sources including government, philanthropic and corporate entities to increase the supply of affordable and workforce housing for low and moderate income households and housing for various special needs populations in the Washington metropolitan area; to positively impact the delivery of affordable housing finance in this region; and provide technical assistance to help potential borrowers obtain financing and successfully complete affordable housing development.

In FY 2013, Fairfax County's share for the OpenDoor Housing Fund is \$31,776, which is consistent with the FY 2012 Adopted Budget Plan.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Southeast Fairfax Development Corporation	\$183,320	\$183,320	\$183,320	\$183,320	\$183,320

The Southeast Fairfax Development Corporation (SFDC) is a private, nonprofit organization that operates under a Memorandum of Understanding between the Fairfax County Board of Supervisors and the SFDC. Over the years, the Corporation has promoted, encouraged, facilitated and guided economic development and revitalization on the 7.5 mile length of Richmond Highway from the Capital Beltway to Fort Belvoir, the largest of the County's seven designated revitalization areas. It provides marketing and promotion aimed at business attraction and retention; direct assistance to developers and businesses; and to a lesser degree, land use planning and coordination with the Richmond Highway community. It is this community consensus that makes revitalization/redevelopment possible. SFDC is committed to improving the quality of life, creation and retention of jobs, community appearance and increased tax base. Its 18-member volunteer Board of Directors is representative of the community.

SFDC continues to foster growth in existing businesses, while simultaneously promoting the physical renovation of the area through initiatives involving beautification, developmental planning, and ongoing market studies and needs assessments. SFDC sees an even greater need for its services as a result of the Department of Defense's (DoD) Base Realignment and Closure (BRAC) recommendations announced in May 2005. It is anticipated that over 19,000 DoD employees will be redeployed to Fort Belvoir and the Engineer Proving Grounds, with additional government contracting jobs also expected to relocate to the area. The total FY 2013 Fairfax County contribution for SFDC is \$183,320, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
VPI/UVA Education Center	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000

In FY 1995, Fairfax County entered into an agreement with the City of Falls Church, the Virginia Polytechnic Institute and State University (VPI), and the University of Virginia (UVA) to provide support for a new Education Center to be constructed in Falls Church, offering graduate and continuing professional education services. As part of this agreement, the Board of Supervisors agreed to waive all development/regulatory fees and costs, and provide review and inspection services necessary for the development of this center. In addition to one-time FY 1996 sewer availability and connection charges of \$70,881, the County agreed to contribute an annual amount of \$50,000 toward the facility, to be paid each year for 20 years, commencing in FY 1995. The total value of this 20-year contribution will be \$1,000,000.

The total FY 2013 Fairfax County contribution for the VPI/UVA Education Center is \$50,000, which is consistent with the FY 2012 Adopted Budget Plan.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Women's Center of Northern Virginia	\$27,023	\$27,023	\$27,023	\$27,023	\$27,023

The Women's Center is a private, nonprofit organization that provides personal and professional development services to women in Northern Virginia. Since FY 1978, the Board of Supervisors has contributed to this center in order to provide free or sliding-fee scale services to Fairfax County female residents who are unemployed, separated, abandoned or divorced, and the head of a household. Services include individual and group workshop sessions for women covering such areas as divorce, separation, financial planning and legal rights.

In FY 2013, the Center anticipates receiving requests from County residents for approximately 19,745 hours of direct service to meet their interrelated psychological, practical, legal and financial needs. Many of these residents are financially disadvantaged and require low-cost services. Access to these services enables community members to become self-sufficient and ultimately more productive community members.

The total FY 2013 Fairfax County funding is \$27,023, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Subtotal Community Development	\$3,131,208	\$3,178,462	\$3,509,562	\$3,581,972	\$3,591,972

Nondepartmental:

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Employee Advisory Council	\$0	\$0	\$0	\$33,000	\$33,000

The Employee Advisory Council (EAC) was established by the Fairfax County Merit System Ordinance to provide a continuing medium through which all employees in the competitive service, both school and County, may contribute their advice and suggestions for the improvement of the career merit system and other aspects of the government of Fairfax County. There are 11 representatives for County Government groups and 10 for School Support groups.

The total FY 2013 Fairfax County contribution for the EAC is \$33,000. Previously, funding to support the EAC was provided through Agency 89, Employee Benefits.

Fund 119 Contributory Fund

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Fairfax Public Law Library	\$92,657	\$92,657	\$92,657	\$92,657	\$92,657

The mission of the Fairfax Public Law Library is to promote justice by providing all citizens with access to legal information. The legal resources available in the Law Library are not available to the public at any other single location within the County. In 2001, Fairfax County, the Clerk of the Court, and the Fairfax Bar Association (FBA) entered into an agreement with regard to the Law Library. The agreement provides that Fairfax County, through the Fairfax County Public Library (FCPL), shall have primary responsibility for the administration of the Law Library, while the FBA has primary responsibility for its management.

Currently located in the recently expanded Fairfax County Judicial Center, the Fairfax Public Law Library assists the public, as well as members of the legal community, with locating sources for legal information and provides bibliographic instruction. In addition to the collection, the Law Library has eight work stations dedicated to providing general information on divorce, immigration, estate planning and employment for patrons, as well as eight computer work stations where the public may access legal materials on CD-ROMs and online databases. In recent years, the Law Library has decreased its printed materials and increased subscriptions to online databases. The Fairfax Public Law Library anticipates serving over 80,000 patrons in FY 2013. Many are in need of legal information because they are unable to afford legal representation but do not qualify for free legal services.

The total FY 2013 Fairfax County funding is \$92,657, which is consistent with the FY 2012 Adopted Budget Plan.

Fairfax County	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Subtotal Nondepartmental	\$92,657	\$92,657	\$92,657	\$125,657	\$125,657

Total County Contributions	\$12,001,932	\$12,212,942	\$14,819,042	\$15,623,588	\$15,733,588
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Fund 119 Contributory Fund

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 119, Contributory Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$291,881	\$291,881	\$328,254	\$147,154	\$122,154
Transfer In:					
General Fund (001)	\$12,038,305	\$12,162,942	\$14,612,942	\$15,573,588	\$15,683,588
Total Transfer In	\$12,038,305	\$12,162,942	\$14,612,942	\$15,573,588	\$15,683,588
Total Available	\$12,330,186	\$12,454,823	\$14,941,196	\$15,720,742	\$15,805,742
Expenditures:					
Legislative-Executive					
Functions/Central Services Agencies	\$1,912,003	\$1,964,180	\$1,989,180	\$2,040,120	\$2,040,120
Public Safety	49,952	55,052	55,052	49,927	49,927
Health and Welfare	2,528,436	2,794,915	2,844,915	3,098,236	3,098,236
Parks, Recreational and Cultural	4,287,676	4,127,676	6,327,676	6,727,676	6,827,676
Community Development	3,131,208	3,178,462	3,509,562	3,581,972	3,591,972
Nondepartmental	92,657	92,657	92,657	125,657	125,657
Total Expenditures	\$12,001,932	\$12,212,942	\$14,819,042	\$15,623,588	\$15,733,588
Total Disbursements	\$12,001,932	\$12,212,942	\$14,819,042	\$15,623,588	\$15,733,588
Ending Balance¹	\$328,254	\$241,881	\$122,154	\$97,154	\$72,154

¹ For several contributory agencies where Fairfax County funding is based upon actual usage that can fluctuate, unused appropriation falls to fund balance, which is then reappropriated after leaving a nominal balance for flexibility.

Contributory Fund Fund 703 - NOVARIS

Non-Appropriated Funds

The Northern Virginia Regional Identification System (NOVARIS) utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. The system enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington Metropolitan area by comparing the print or partial print with all prints in the database. An Automated Fingerprint Identification System (AFIS) was installed in FY 2007 with enhanced technologies, including palm print and facial recognition capabilities.

Participating Northern Virginia area jurisdictions share costs associated with NOVARIS based on the sworn police and citizen population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997. The core system is housed in Fairfax County and is staffed by personnel contributed by the participating jurisdictions. Fairfax County exercises a fiduciary responsibility for the financial management and operation of NOVARIS, with the County contribution made through Fund 119, Contributory Fund.

The total Fairfax County FY 2013 contribution of \$9,577 is a decrease of \$5,100 or 34.7 percent from the FY 2012 Adopted Budget Plan amount of \$14,677, as available balance will be utilized in FY 2013 to maintain participating jurisdictions' contributions at the FY 2011 actual level, which cover the annual share of costs associated with operations and upgrades of NOVARIS. It should be noted that funding of \$8.65 million was secured through an Urban Areas Security Initiative grant to support the additional cost of AFIS system replacements for the National Capital Region, including NOVARIS, the District of Columbia, Prince George's County, and Montgomery County.

Contributory Fund Fund 703 - NOVARIS

FUND STATEMENT

Fund Type G70, Trust and Agency Funds

Fund 703, Northern Virginia Regional
Identification System (NOVARIS)

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$37,710	\$38,421	\$56,509	\$33,132	\$33,132
Revenue:					
Interest on Investments	\$206	\$529	\$529	\$206	\$206
Fairfax County (Police and Sheriff)	9,577	14,677	14,677	9,577	9,577
Arlington County	2,149	3,293	3,293	2,149	2,149
Prince William County	2,395	3,670	3,670	2,395	2,395
City of Fairfax	376	576	576	376	376
City of Falls Church	188	288	288	188	188
City of Alexandria	1,690	2,590	2,590	1,690	1,690
Loudoun County	2,218	3,399	3,399	2,218	2,218
VA State Police/Bureau of Forensic Science ¹	0	288	288	0	0
Total Revenue:	\$18,799	\$29,310	\$29,310	\$18,799	\$18,799
Total Available	\$56,509	\$67,731	\$85,819	\$51,931	\$51,931
Expenditures:					
Operating Expenses ²	\$0	\$34,599	\$52,687	\$34,599	\$34,599
Total Expenditures	\$0	\$34,599	\$52,687	\$34,599	\$34,599
Total Disbursements	\$0	\$34,599	\$52,687	\$34,599	\$34,599
Ending Balance³	\$56,509	\$33,132	\$33,132	\$17,332	\$17,332

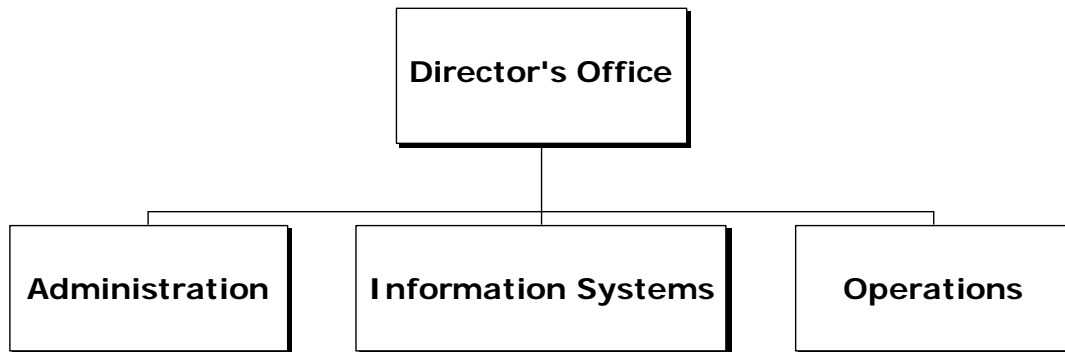
¹NOVARIS anticipates no revenue from the Virginia State Police in FY 2013 due to their inability to connect to NOVARIS and the Nation Capital Region Automated Fingerprint Identification system, as previously planned.

²The increase in Operating Expenses in FY 2012 is associated with additional telecommunications costs associated with the move of NOVARIS to the McConnell Public Safety and Transportation Operations Center (MPSTOC) and additional funds to be used to provide forensic training for employees in NOVARIS partner agencies who must meet industry standards when testifying in criminal prosecutions.

³Ending balances fluctuate due to variable expenditure requirements and the carryover of unspent funds.

Fund 120

E-911



Mission

To provide and maintain highly professional and responsive 9-1-1 emergency and non-emergency communication services to the citizens of Fairfax County, City of Fairfax, Town of Herndon, Town of Vienna, Fort Belvoir, citizens that work in and visit Fairfax County on a daily basis and to the Fairfax County Police, Fire & Rescue and Sheriff departments in a collaborative and supportive work environment that utilizes highly trained and qualified staff. To deliver emergency and non-emergency communications utilizing state-of-the-art technology through a variety of systems integrated to provide 9-1-1 telephone, computer aided dispatch, multi-channel trunked radio and wireless data networks in a cost effective, sustainable, reliable and technologically innovative manner. And, to utilize, industry accepted best policies, practices and standards in an efficient and cost effective manner.

Focus

The activities and programs in Fund 120, E-911 provides support to the operations of the Department of Public Safety Communications (DPSC) and various other public safety information technology projects. The DPSC is designated as the primary 9-1-1 Public Safety Answering Point (PSAP) for all 9-1-1 calls originating within Fairfax County as well as the city and towns therein. The agency also provides Emergency Medical Dispatch (EMD)/Pre-Arrival Instruction (PAI), which is an emergency medical service (EMS) intervention program where DPSC call takers provide emergency medical instructions over the telephone until fire-rescue-EMS units arrive on the scene of an emergency incident. Due to the vital, mission-critical, and time sensitive service provided by DPSC personnel, they are, for many reasons, recognized as the "First of the First Responders." Additionally, DPSC receives all commercial and residential security, fire and medical alarm requests for service calls from private alarm service providers. Non-emergency services provided include responding to police non-emergency calls received; reporting of towed vehicles and private vehicle impounds; calls for Animal Control Unit services, a subsidiary of the Fairfax County Police Department (FCPD) resolution and non-emergency calls for service for fire and rescue assistance and information. DPSC also provides National Crime Information Center (NCIC) and Virginia Criminal Information Network (VCIN) teletype operations related to property (e.g., stolen guns and vehicles), people (e.g., protective orders and missing persons), events (e.g., fatal accidents and security matters), and queries (e.g., wanted persons/warrant confirmation). These operations ensure that criminal and investigative information is shared with the appropriate authorities within the County and on a regional, state and federal level. Additionally, DPSC serves as the official custodian of approximately 8,700 hours of audio recordings of all telephone calls and radio traffic pertaining to public safety as required by law and approximately 88,000 hours of Computer Aided Dispatch records. DPSC receives and responds to court subpoenas and Freedom of Information Act (FOIA) requests for audio transmissions. Audio recordings are also maintained per national standards for investigative, quality assurance and training purposes.

Fund 120 E-911

Department of Public Safety Communications-History

In FY 2005, the County identified several operational issues within the existing Public Safety Communication Center (PSCC). Issues reviewed and addressed included: organizational placement of the then PSCC as an independent agency within County government to ensure an effective representation of its broad public safety service role and broad client base; organizational leadership and management to reframe the role of the Director position from a sworn officer in the Police Department chain of command structure to that of a 9-1-1 public safety communications professional civilian position who will work with new additional management level staff positions to support and encourage innovation and improve efficiency and performance; establish/reconstruct operational and performance measurements to standardize the process for quality control and quality assurance, to monitor a complex budget from multiple funding streams and the allocation of funds to the attainment of performance objectives; and finally recruitment and retention issues. As a result of this internal review of existing operations, a change plan was developed to provide a framework for facilitating successful implementation of both current and future action steps to overhaul and improve the public safety communications center and its operations. The reorganization of the existing PSCC was a first step in the change plan.

In FY 2006, the PSCC was moved from a division within the Police Department to independent agency status, supported in Fund 120 as Agency 95, the Department of Public Safety Communications. This agency now reports directly to the Deputy County Executive for Public Safety, responsible for the other public safety agencies within the County. Early efforts of the new agency centered on reengineering the recruitment program; redesigning the new hire program; promoting programs to encourage retention; improved internal and external communications, enhancing the management structure to provide leadership in the areas of client services and 9-1-1 center operations; recognizing and rewarding employees; and developing business analyses to measure and monitor performance. The agency will continue to focus on these types of organizational issues into FY 2013 and beyond.

The changes underway within DPSC continue to have a positive impact on operations and agency leadership is focused on maintaining the momentum of positive change, with emphasis on improving performance standards and performance call statistics, recruitment and retention, training, and continued operational adjustments associated with DPSC's move to the McConnell Public Safety and Transportation Operations Center (MPSTOC) that occurred on October 4, 2009. As a critical operation in Fairfax County that affects the lives and safety of residents, the changes underway are intended to ensure that the DPSC is able to provide world-class public safety communication services.

Public Safety Information Technology Projects

With the migration to the new MPSTOC facility, the DPSC has had a complete technology refresh of the telephone system, CAD system and radio system in use for public safety operations. Expanded services have also been introduced into the public safety environment that include; combined center with the Virginia State Police and Virginia Department of Transportation; co-location with the Office of Emergency Management; a new expanded computer aided dispatch system; audio visual technology and traffic monitoring via VDOT traffic cameras; expanded County enterprise access for all employees; increased number of call taking and dispatch positions; and a host of other technology refresh items to make the center one of the country's premiere emergency operations centers. The CAD system is used to dispatch appropriate public safety equipment and personnel to events and emergencies and to communicate and track up-to-date information in a rapidly changing environment for public safety units disbursed throughout the entire County. The mobile data communications component of CAD allows the dispatch of resources without the need for voice radio communications, thus avoiding saturation of the

Fund 120 E-911

voice radio frequencies which can then be used to handle priority transmissions and traffic. It also provides field units direct access to local, state, and national databases, access to remote records management databases, access to email and departmental processes and procedures and continuous contact with the DPSC independent of the voice radio system.

Installation of the sonnet radio network was completed and brought online in October 2000. Subsequent to the September 11, 2001 terrorist attacks, a reevaluation of the network determined that three additional tower sites were needed to be added to ensure proper coverage to areas of the County that had grown more populous since the original radio signal coverage propagation studies were completed. This expansion was funded through a Homeland Security grant and is now complete.

In FY 2013, IT Projects requirements remain at the same level as the FY 2012 Adopted Budget Plan. Funding is included to support year five of a five-year upgrade to the County's Public Safety Radio System. Additionally, the Mobile Data Communications System (MDCS) Mobile Computer Terminal (MCT) Replacement project will require FY 2013 funding to provide for the lifecycle replacement of field mobile data computers by replacing one-fifth of the fleet each year for the next five years. A new five-year replacement cycle is starting in FY 2013, and while additional funding is required over the previous cycle to maintain the program, unspent IT Project balances will be used to mitigate the need for an increase in FY 2013.

Revenues

There are four main revenue categories in the E-911 Fund: Communication and Sales Use Tax Fees, State Wireless E-911 Revenue, Interest Income and Other Revenue (which reflects annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz re-banding initiative). Combined with the General Fund Transfer from the County, these revenues support the expenditure requirements of the E-911 Fund.

The Communication Sales and Use Tax Fee is a landline E-911 tax of \$0.75 per landline appears as a line item on customers' telephone bills. Revenues from this source are collected and remitted monthly by communications services providers into a statewide fund, known as the Communications Sales and Use Tax Trust Fund. Revenue received into the fund is distributed to localities based on their share of the total local revenues received in FY 2006.

The Wireless E-911 Revenue category is derived from a monthly \$0.75 surcharge on all wireless/cellular telephones and is distributed to localities as part of the Wireless E-911 State Reimbursement. It should be noted that the Commonwealth has transferred approximately \$8 million from the Wireless E-911 fund to support non 9-1-1 matters in other state agencies. The FY 2012 estimate for this category was decreased from \$4.4 to \$4.0 million, based on a revised methodology in the new contract that resulted in Fairfax County's costs increasing by approximately \$400,000. These additional costs incurred by the new contract are being passed on to jurisdictions through lower Wireless E-911 reimbursements; therefore, the FY 2012 and FY 2013 revenue estimates for this category reflects this situation.

Overall, the FY 2013 revenue estimate for Fund 120, E-911 is \$21.8 million, reflecting a decrease of \$0.7 million from the FY 2012 Adopted Budget Plan total. The decrease is due primarily to lower projections for Communications Sales and Use Tax Fees based on actual receipts.

Fund 120 E-911

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	205 / 205	205 / 205	205 / 205	205 / 205	205 / 205
Expenditures:					
Personnel Services	\$19,869,915	\$20,879,510	\$21,198,199	\$21,767,238	\$22,359,151
Operating Expenses	11,388,699	11,736,777	14,791,127	12,143,277	12,143,277
Capital Equipment	0	0	7,997	0	0
IT Projects	3,057,819	4,629,000	13,121,151	4,629,000	4,629,000
Total Expenditures	\$34,316,433	\$37,245,287	\$49,118,474	\$38,539,515	\$39,131,428

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$1,062,049**
 An increase of \$1,062,049 in Personnel Services reflects \$470,136 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, \$38,920 for a 2.50 percent performance-based scale and salary increase for non-uniformed employees, effective January 2013, and \$552,993 for the FY 2013 reinstatement of merit and longevity increases for uniformed employees.
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$417,592**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$417,592 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆ **Operating and Mobile Resident System Administrators** **\$406,500**
 An increase of \$406,500, fully covered by available balance is included for contractual support of two critical areas necessary to maintain 24/7/365 operations for public safety agencies without disruption. Of this total, \$186,500 is included for a contracted Operating System Resident System Administrator (OS RSA) to support the CAD servers and operating system onsite at the MPSTOC facility. The OS RSA provides all local server and operating support for the 20+ CAD servers at MPSTOC. The remaining \$220,000 is included for a contracted Mobile System Resident System Administrator to support the Mobile Data Computer software provided as part of the CAD system onsite at the MPSTOC facility.

Fund 120 E-911

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

◆ **Carryover Adjustments** **\$11,873,187**

As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$318,689 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved additional increases totaling \$11,554,498. Of this total, \$8,492,151 reflects the carryover of Information Technology project balances, \$1,787,401 reflects encumbered carryover, \$856,746 reflects unencumbered carryover associated with operating and mobile system administrator requirements as well as delayed workstation maintenance and 9-1-1 telephone equipment upgrades, and \$418,200 reflects an adjustment for replacement computers and updated operating software necessary to provide CAD data to 9-1-1 call takers and dispatchers.

Department of Public Safety Communications¹

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	205 / 205	205 / 205	205 / 205	205 / 205	205 / 205
Total Expenditures	\$31,258,614	\$32,616,287	\$35,997,323	\$33,910,515	\$34,502,428

¹ It should be noted that the Cost Center table does not include IT Projects-related funding. In FY 2013, this totals an amount of \$4,629,000.

Position Summary					
1 Director	2 Business Analysts IV	1 Human Resources Generalist III			
2 Assistant Directors	1 Info. Tech. Program Manager I	1 Human Resources Generalist I			
5 PSC Squad Supervisors	1 Management Analyst III	1 Geog. Info. Spatial Analyst III			
20 PSC Asst. Squad Supervisors	2 Management Analysts II	1 Geog. Info. Spatial Analyst I			
157 PSCs III	1 Financial Specialist III	2 Administrative Assistants IV			
1 Programmer Analyst III	1 Financial Specialist II	3 Administrative Assistants III			
1 PSTOC General Manager	1 Financial Specialist I				
TOTAL POSITIONS					
205 Positions / 205.0 Staff Years					

Key Performance Measures

Goal

To provide the telecommunications necessary for the rapid dispatch of Police and Fire and Rescue units to the scene of citizen or other agency requests for assistance. To maintain effective command, control, communications, and information support for public safety field personnel required for the safe, orderly conduct of public safety activities 24 hours a day, 365 days a year.

Fund 120 E-911

Objectives

- ◆ To meet the National Emergency Number Association (NENA) Call Taking Operational Standard/Model Recommendation of answering 95 percent of all 9-1-1 calls arriving at DPSC within 20 seconds.
- ◆ To exceed the National Emergency Number Association (NENA) Call Taking Operational Standard/Model Recommendation of answering 90 percent of all 9-1-1 calls arriving at DPSC within 10 seconds.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Calls received on emergency lines	575,644	589,178	595,070 / 570,506	576,211	581,973
Calls received on non-emergency lines	526,504	495,527	500,482 / 476,131	480,893	485,702
Efficiency:					
Cost per call	\$37.07	\$30.07	\$34.00 / \$32.76	\$35.23	\$35.28
Service Quality:					
Founded complaints per 100,000 calls	1.7	2.5	2.1 / 1.6	1.9	1.9
Outcome:					
Percent 9-1-1 calls arriving at DPSC answered within 20 seconds	95%	89%	95% / 91%	95%	95%
Percent 9-1-1 calls arriving at DPSC answered within 10 seconds	93%	85%	90% / 87%	90%	90%

Performance Measurement Results

In FY 2011, DPSC did not meet the National Emergency Number Association (NENA) standard of 90 percent of 9-1-1 calls answered within 10 seconds by three percentage points and did not meet the NENA standard of 95 percent of 9-1-1 calls answered within 20 seconds by four percentage points. While the agency did not meet its objective targets, it improved its performance in both objectives from FY 2010. The agency did not meet its objectives due to the persistent, albeit declining, level of vacant staff positions experienced in FY 2011. DPSC anticipates making progress in meeting the NENA standard in FY 2012 and FY 2013 due to its heightened emphasis on filling staff vacancies and training new public safety communicators. It should be noted that in FY 2010, DPSC revised its performance measurement objectives to reflect NENA standards, and measures and estimates have been updated accordingly.

Fund 120 E-911

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 120, E-911

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$12,062,616	\$1,114,791	\$14,655,780	\$2,661,747	\$2,661,747
Revenue:					
Communications Use and Sales Tax					
Fees	\$17,607,185	\$18,146,045	\$18,146,045	\$17,293,094	\$17,293,094
State Reimbursement (Wireless E-911)	4,686,300	4,000,000	4,000,000	4,000,000	4,000,000
State NOVA Centerline	120,028	0	306,096	0	0
Other Revenue ¹	358,430	195,308	195,308	358,430	358,430
Interest Income	79,351	100,000	100,000	100,000	100,000
Total Revenue	\$22,851,294	\$22,441,353	\$22,747,449	\$21,751,524	\$21,751,524
Transfer In:					
General Fund (001)	\$14,058,303	\$14,058,303	\$14,376,992	\$14,664,865	\$15,256,778
Total Transfer In	\$14,058,303	\$14,058,303	\$14,376,992	\$14,664,865	\$15,256,778
Total Available	\$48,972,213	\$37,614,447	\$51,780,221	\$39,078,136	\$39,670,049
Expenditures:					
Personnel Services	\$19,869,915	\$20,879,510	\$21,198,199	\$21,767,238	\$22,359,151
Operating Expenses	11,388,699	11,736,777	14,791,127	12,143,277	12,143,277
Capital Equipment	0	0	7,997	0	0
IT Projects	3,057,819	4,629,000	13,121,151	4,629,000	4,629,000
Total Expenditures	\$34,316,433	\$37,245,287	\$49,118,474	\$38,539,515	\$39,131,428
Total Disbursements	\$34,316,433	\$37,245,287	\$49,118,474	\$38,539,515	\$39,131,428
Ending Balance²	\$14,655,780	\$369,160	\$2,661,747	\$538,621	\$538,621

¹ This revenue category includes annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz rebanding initiative.

² IT projects are budgeted based on the total project costs and most projects span multiple years. Therefore, funding for IT projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 120 E-911

IT0001	Public Safety Communications Network/Systems					
Total Project Estimate	Prior Expenditures	FY 2011 Expenditures	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Future Years
TBD	\$66,114,278	\$3,057,819	\$12,913,205	\$4,629,000	\$4,629,000	TBD

Project IT0001 was established in FY 1995 to replace and upgrade the County's critical Public Safety Communications Network (PSCN) and its various component systems. The network's component systems are vital for ensuring immediate and systematic response to emergencies, and replacement and enhancement is necessary to maintain performance, availability, reliability, and capacity for growth due to increases in County population and demand for public safety services. The PSCN supports emergency communications for the DPSC, Police, Fire and Rescue, and Sheriff's departments. This includes public safety call taking (E-911, Cellular E-911, non-emergency), dispatching, and all affiliated communications support for public safety agencies. Two of the major technologies utilized are a Computer Aided Dispatch (CAD) system with an integrated mobile data communications component and a wireless digital radio network for voice communications.

The CAD system is used to dispatch appropriate equipment and personnel to emergency and non-emergency events and to communicate and track up-to-date investigative, personnel and unit information in a rapidly changing public safety environment. The mobile data communications component of CAD allows the dispatch of resources with minimal voice communications, provides field units direct access to local, state, and national databases, records management systems and other business processes, and allows continuous contact with the Public Safety Communications Center (PSCC). This project provides funding for enhancements and upgrades to the CAD system and its mobile data communications component including hardware replacement cycles. The CAD enhancement funds and MCT replacement cycle was established in the late 1980s to replace old systems that were technologically obsolete, severely undersized, and at the end of their effective, supportable life cycle. Upgrades ensure continued reliable operation of these critical systems, incorporates software, hardware, and user functionality advances made since the last upgrade, and allow for future migration in capability as new technologies emerge.

Fairfax County migrated to the new digital radio network in FY 2006 to accommodate growing public safety voice communications requirements and to remedy performance, coverage, fragmentation, and reliability problems associated with an aging, technologically obsolete system at the very end of its sustainable life cycle. Deficiencies in the old system severely impeded critical communications and safety in emergency situations. The new trunked wireless digital voice communications system consolidates all County public safety voice communication and is designed to address coverage, reliability, and operational limitations of the old system used by public safety agencies in the County. It provides capacity for growth and enhancement for the next 20 years.

FY 2013 funding is included for the first year, third round of a five-year replacement cycle for Mobile Computer Terminals (MCT's) (\$1,200,000). Additionally, FY 2013 funding will support upgrading the County's Public Safety Radio System to a newer technology platform (\$3,429,000), in conjunction with the activation of the MPSTOC facility. The FY 2013 projection represents project costs and year five of a lease-purchase agreement for the new network infrastructure.

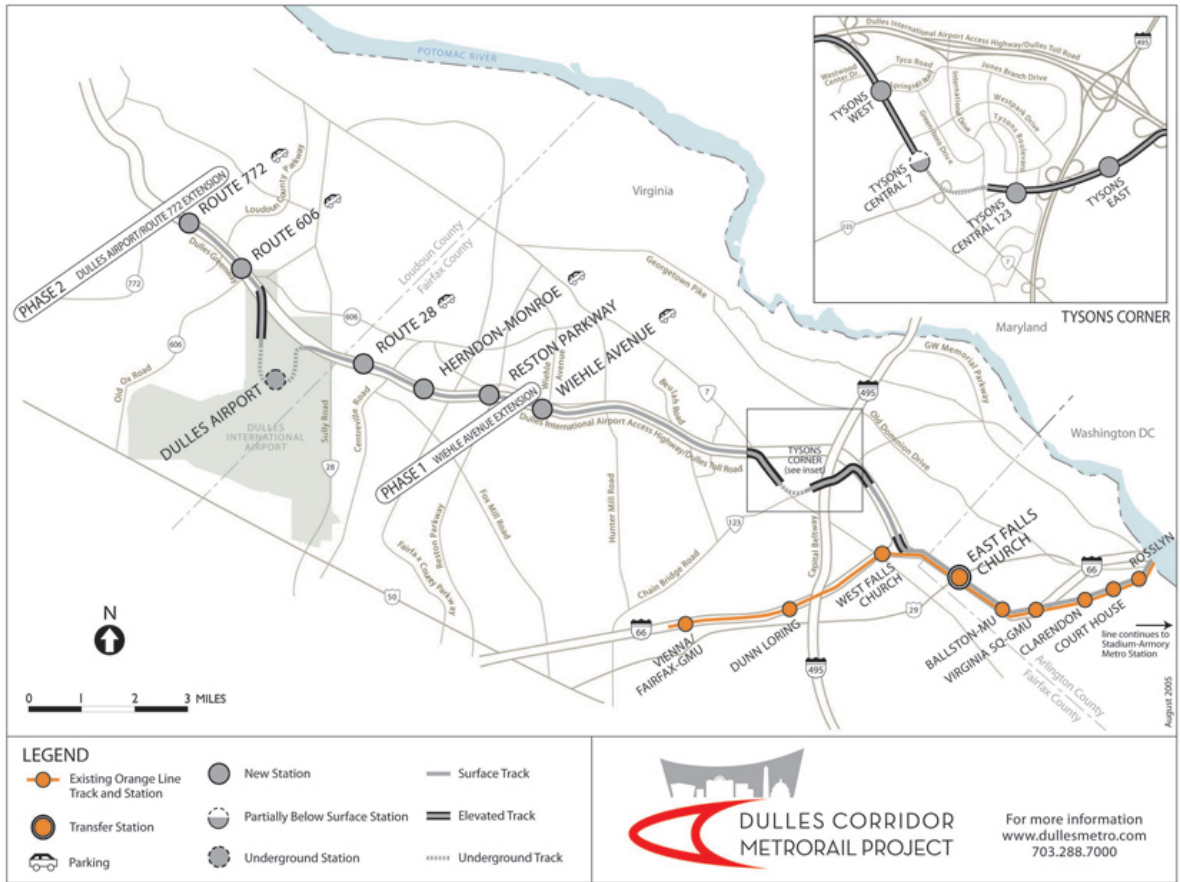
Return on Investment (ROI): The return on investment for this project is realized by the performance, productivity, and effectiveness of public safety services in Fairfax County. Replaced and upgraded technology for these systems is critical to the safety of the public and the public safety personnel they support. Upgraded technology preserves the investments in technology that have been made and allow increased functionality, performance, and reliability to be achieved to facilitate responses to, and management of, emergencies. It mitigates the need for extraordinarily large additions of personnel that would be necessary to provide the same level of service and results without this technology. The increased access to important information, improvements to maintenance and reliability, increased capacity for growth, and enhanced functionality for users now and in the future builds upon past investments, responds to critical existing requirements, and sets the stage for the next generation of public safety communications technology.

Fund 121

Dulles Rail Phase I Transportation Improvement District

Focus

Metrorail service is planned to be extended approximately 23 miles from an area east of West Falls Church station, along the median of the Dulles Connector Road (DCR) through Tysons Corner, then further out the Dulles International Airport Access Highway (DIAAH), through Dulles International Airport, to Route 772 in Loudoun County. The total cost of the Rail to Dulles Project currently is estimated to be between \$5.8 billion to \$6.5 billion. Due to financial constraints imposed by the federal government, the project is currently expected to be completed in two phases. The Phase I cost is approximately \$2.8 billion for the segment from the Metrorail Orange line to Wiehle Avenue in Reston, including construction of five new stations.



The Phase I cost of \$2.8 billion is being financed by the federal government, the Commonwealth of Virginia, Fairfax County, and revenue from the Dulles Toll Road (DTR). In March 2009, the Federal Transit Administration executed a Full Funding Grant Agreement with Metropolitan Washington Airports Authority (MWAA) for \$900 million for Phase I of the project. Fairfax County's share of Phase I, \$400 million, is being financed from the Phase I Tax District; the remaining funding for Phase I is a combination of state and DTR funds.

The total project costs are expected to be shared by the federal government, the Commonwealth, Fairfax County, Loudoun County, MWAA, and operation of the Dulles Toll Road. It should be noted that the County's participation rate is determined on the basis of total project costs and sharing the cost of common features necessary to complete an operational line, such as soft costs, rail yards and power stations.

Fund 121

Dulles Rail Phase I Transportation Improvement District

The primary source of revenue to support construction of the rail line is expected to be tolls from the Dulles Toll Road. Control and operation of the Dulles Toll Road was transferred to MWA on November 1, 2008. The local funding partners, Fairfax County, Loudoun County, and MWA have entered into an agreement which specifies the level of funding responsibility for each partner; the Fairfax share is approximately 16.1 percent of total costs and approximately \$400.0 million for Phase I. This is also the maximum permitted under the terms of the Phase I Tax District. Additionally, landowners in Phase II submitted a petition to the Board of Supervisors to form a Phase II tax district which would commit \$330 million to the County's share of Phase II funding.

On January 21, 2004, a petition was filed with the Clerk to the Board of Supervisors (the Petition) by owners of commercial and industrial property (the Petitioners) asking the Board of Supervisors to create a Phase I Dulles Rail Transportation Improvement District (the Phase I District), as provided by Chapter 15 of Title 33.1 of the Code of Virginia, as amended (the Act). The Act is similar although not identical to the law that empowered the Board and the Board of Supervisors of Loudoun County to create the Route 28 Highway Transportation Improvement District (the Route 28 District) in both counties in 1988.

The Phase I District was approved and established by the Board of Supervisors on February 23, 2004, following a public hearing. The Phase I District is governed by a District Commission, consisting of four Board members and the Chairman of the Commonwealth Transportation Board (CTB) or his/her designee. The District Commission is advised by a District Advisory Board, composed of landowner representatives. This is the same basic governance structure used for the Route 28 Tax District.

Commercial and industrial property within the district created pursuant to the Act can be taxed to raise funds for transportation improvements in the district. Such a district can be created upon the petition of the owners of at least 51 percent, measured by land area or assessed value, of the real property located within the proposed district that is zoned or used for commercial or industrial purposes. The properties listed on the signature pages of the Petition constituted over 64 percent of such property located within the Phase I District, measured by assessed value.

Per the Code of Virginia § 33.1-435, properties zoned to permit multi-unit residential use but not yet used for that purpose and multi-unit properties primarily leased or rented to residential tenants or other occupants by an owner who is engaged in such a business are deemed to be in commercial use for purposes of the Act. No other residential properties are subject to any tax that may be levied on behalf of such a district, even if they are within the boundaries of such a district.

The boundaries, as proposed by the Petitioners, encompass most if not all of the Tysons Corner Urban Center, commercial and industrial properties near the proposed Metrorail station at Wiehle Avenue, and the necessary DAAR right-of-way. The proposed transportation improvements include that portion in the Phase I District of the capital improvements described as the Locally Preferred Alternative (LPA) in a resolution of the Board of Directors of the Washington Metropolitan Area Transit Authority (WMATA), adopted on November 21, 2002.

Fund 121

Dulles Rail Phase I Transportation Improvement District

The Petitioners will contribute up to \$400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. Included in the proposal is a provision for full coverage of the long-term financing costs for the County's net share of construction costs. At the maximum contribution, under the current plan, the total expected cost including interest costs over the life of the district to be provided by the tax on behalf of the Phase I District is approximately \$882.5 million. As of December 2006, funds for the tax district are expected to fully fund the County's expected share of Phase I costs. Funding requirements in excess of the amount to be provided by the District are expected to be funded by other available revenue sources.

The plan as set forth in the Petition contains specific provisions regarding timing, tax rates, total costs, and percentage of costs to be paid with Phase I District revenue. The plan contemplates the establishment of a Revenue Stabilization Fund (RSF) with early collection of taxes commencing in FY 2005 to build reasonable reserves to help maintain the rate parameters in view of the cyclic pattern of changes in assessed value from year to year. It is anticipated that the RSF and perhaps other rate or coverage covenants will be required by rating agencies to achieve an investment grade rating on bonds issued that are supported by Phase I District tax revenues.

Under the terms of the petition, before any Phase I District revenues are committed the tax rate is capped at 22 cents per \$100 of assessed value, and taxes collected accumulate in the RSF. If a federal Full Funding Grant Agreement (FFGA) for Phase I is not executed, then the owners of 51 percent of the commercial and industrial property within the Phase I District may petition for its dissolution, and individual property owners can ask for the return of taxes previously paid and accumulated in the RSF. The Federal Transit Administration (FTA) received the Full Funding Grant Agreement application on October 22, 2008 and approved it and forwarded it to the Secretary of the United States Department of Transportation and the Office of Management and Budget on December 19, 2008 for their approval. Secretary Peters, after reviewing the FFGA application with OMB, approved the FFGA on January 7, 2009, and forwarded it to Congress for their approval. The FFGA between the FTA and the MWAA was executed on March 10, 2009.

Before committing Phase I District tax revenues, the District Commission must determine that the District's actual share of the financing will not exceed \$400 million of construction funds for Phase I costs, and that a tax rate of no more than 29 cents per \$100 of assessed value will be sufficient to meet the Phase I District's obligations at an assumed rate of growth in assessed value of 1.5 percent. If at the time the District Commission expects either of those parameters to be exceeded, then they must seek approval from the owners of 51 percent of the commercial or industrial property within the Phase I District before proceeding to commit the revenues.

However, once Phase I District revenues have been committed, allowing the financing to be put into place for the sale of bonds supported by those revenues, there is no "hard" cap on the Phase I District tax rate other than the statutory cap of 40 cents per \$100 of assessed value. Thus there would be full latitude to set the tax rate up to the statutory maximum, if necessary, to meet the obligations of the Phase I District, e.g., if necessary to meet debt service requirements in the event assessed value growth rates cannot be sustained at 1.5 percent or greater.

Fund 121

Dulles Rail Phase I Transportation Improvement District

On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority (EDA), there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. On November 4, 2010, the Virginia Supreme Court affirmed the lower court ruling.

On May 26, 2011, the EDA issued the first series of Phase I EDA bonds in the amount of \$205.7 million which provided \$220 million for the construction of the Phase I project. A second Phase I EDA bond issue is tentatively planned for fall 2012 for purposes of providing approximately \$65 million for the Phase I project. These issues together with an estimated \$115 million in total equity contribution from taxes collected will fully fund the County's obligation of providing \$400 million for Phase I of the project.

Prior to the execution of the Full Funding Grant Agreement between the Federal Transit Administration (FTA) and the MWAA on March 10, 2009, the only construction work occurring on the Dulles Corridor Metrorail Project (DCMP) concerned the relocation of utilities along Route 7 and Route 123 in Tysons Corner.

As of April 2012, construction for Phase I was seventy-three percent complete. MWAA expects substantial completion of construction of Phase I to occur in the Summer of 2013, and WMATA to begin revenue service in December 2013. Additional construction activities provided by DCMP are as follows:

- ◆ Bridge construction above I-495 was completed last fall and now track work is being laid behind sound barriers.
- ◆ Aerial construction along Route 123 from the Dulles Connector Road into the future Route 123 Metrorail Station near Tysons Corner Center and Tysons Galleria is complete and track continues to be laid.
- ◆ Construction continues on the bridges crossing from Route 7 to the median of the Dulles International Airport Access Highway/Dulles Toll Road. Aerial work from Route 7 to the airport road will be completed in late summer 2012.
- ◆ Construction continues on wayside support facilities that includes traction power substations, train control rooms and communications rooms along the corridor. Several are currently in use with full completion expected by late summer 2012.
- ◆ Each of the five rail stations is visible from ongoing construction along with the tie in for the Silver Line to the existing Orange Line between the East Falls Church and West Falls Church Metrorail stations. The most advanced work is noted at the Wiehle and Tysons East stations. At the Wiehle station, the station structure is complete, escalators, canopies and rooftop are in place and track work is complete. At the Tysons East station, interiors are ready for equipment installation, escalators are in place and architectural precast work is complete.

On April 10, 2012 the Fairfax County Board of Supervisors confirmed the County's participation in Phase II which has a projected cost estimate ranging from \$2.7 to \$3.1 billion. For additional cost and project information about the Dulles Rail project Phase II, please see Fund 122, Dulles Rail Phase II Transportation Improvement District contained in Volume 2, Capital Construction and Other Operating Funds.

Fund 121

Dulles Rail Phase I Transportation Improvement District

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Debt Service Adjustments** **(\$7,933,417)**
A decrease of \$7,933,417 or 31.7 percent from the FY 2012 Adopted Budget Plan amount of \$25,000,000 due to adjustments necessary to accommodate lower than estimated debt service payments.

- ◆ **Construction Payments** **\$35,000,000**
An increase of \$35,000,000 over the FY 2012 Adopted Budget Plan for estimated construction payments to MWAA. There were no funds budgeted for construction as part of the FY 2012 Adopted Budget Plan based on delays in the sale of bonds necessitated by legal challenges.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$20,000,000**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved increased expenditures of \$20,000,000 in Operating Expenses, to allow for construction payments to MWAA.

Fund 121

Dulles Rail Phase I Transportation Improvement District

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 121, Dulles Rail
Phase I Transportation Improvement District

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$90,099,993	\$47,868,264	\$65,391,666	\$43,613,276	\$43,613,276
Revenue:					
Real Estate Taxes-Current	\$22,242,614	\$22,436,338	\$22,436,338	\$24,221,727	\$24,221,727
Interest on Investments	349,910	785,272	785,272	195,000	195,000
Total Revenue	\$22,592,524	\$23,221,610	\$23,221,610	\$24,416,727	\$24,416,727
Total Available	\$112,692,517	\$71,089,874	\$88,613,276	\$68,030,003	\$68,030,003
Expenditures:					
Debt Service	\$0	\$25,000,000	\$25,000,000	\$17,066,583	\$17,066,583
Construction Payments	47,000,000	0	20,000,000	35,000,000	35,000,000
District Expenses	300,851	0	0	0	0
Total Expenditures	\$47,300,851	\$25,000,000	\$45,000,000	\$52,066,583	\$52,066,583
Total Disbursements	\$47,300,851	\$25,000,000	\$45,000,000	\$52,066,583	\$52,066,583
Ending Balance ¹	\$65,391,666	\$46,089,874	\$43,613,276	\$15,963,420	\$15,963,420
Tax rate per \$100 Assessed Value	\$0.22	\$0.22	\$0.22	\$0.22	\$0.22

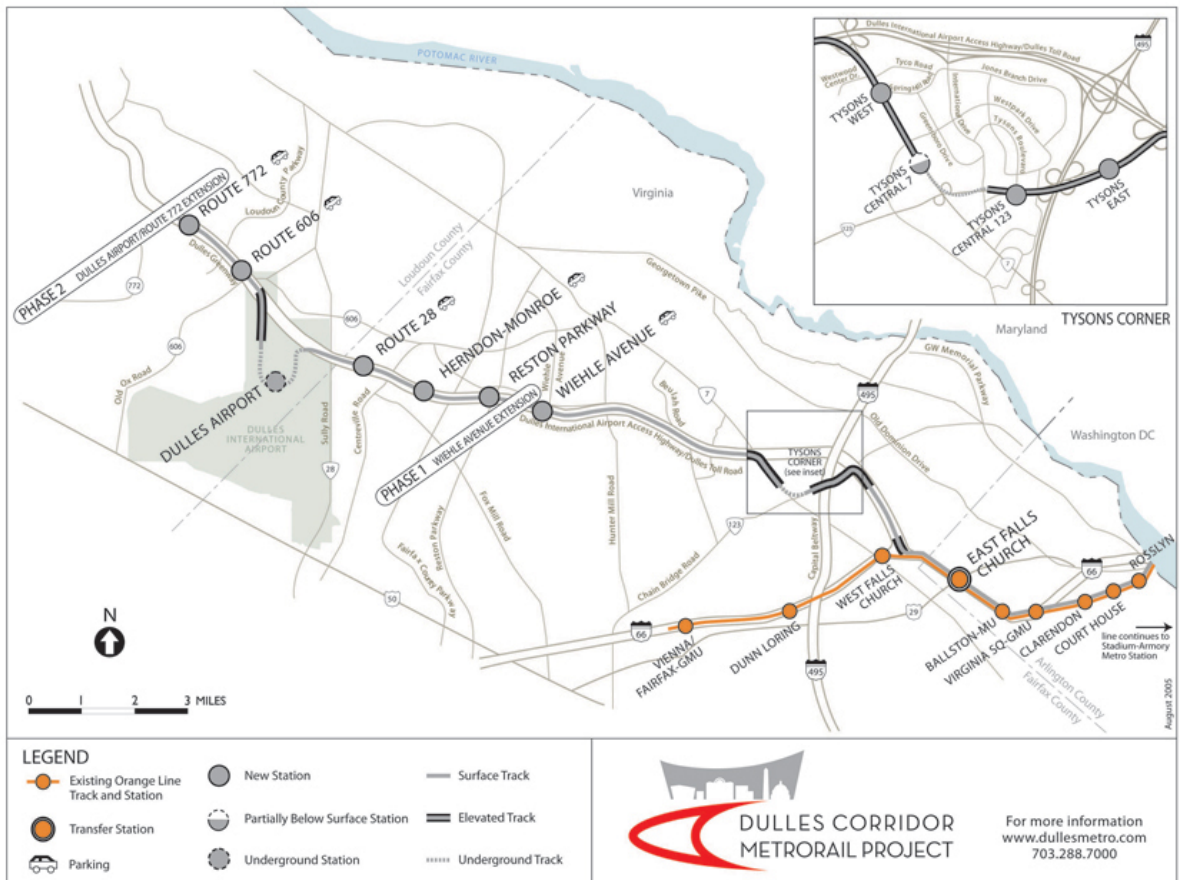
¹ The ending balance has been fluctuating based on cash funding of construction due to delays in the sale of bonds necessitated by legal challenges. Legal challenges to the sale of the bonds were resolved in early 2011 and a total of \$205.705 million in bond were sold in May 2011. An additional bond sale of \$65 million is tentatively scheduled for fall 2012. The decrease in ending balance is also a result of the increased construction activity occurring and MWAA payments invoiced to the County.

Fund 122

Dulles Rail Phase II Transportation Improvement District

Focus

The purpose of Dulles Metrorail is to provide high-quality, high-capacity transit service in the Dulles Corridor. New Metrorail service in the corridor will result in travel time savings between the corridor and downtown D.C., expand the reach of the existing regional rail system, offer a viable alternative to automobile travel and support future transit-oriented development along the corridor.



On October 9, 2009 a petition (the “Petition”) was filed with the Clerk to the Board of Supervisors to create the Phase II Dulles Rail Transportation Improvement District (the “Phase II District”). As required by Code of Virginia Ann. § 33.1-431, the Petition was signed by owners of more than 51 percent of the commercial and industrial property within the proposed Phase II District, measured by assessed value, that would be subject to a special tax pursuant to Code of Virginia Ann. § 33.1-435 (a “District Tax”). Pursuant to that statute, following a public hearing on December 7, 2009, the Board created the Phase II District on December 21, 2009. It should be noted that on November 10, 2009, the Town of Herndon approved the creation of the Phase II District.

Phase II of the Dulles Metrorail project (the “Project”) will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the Project on either side of the right-of-way of the Dulles Airport Access and Toll Road (“DTR”) within Fairfax County, will be taxed to help Fairfax County fund the County’s share of the Project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax

Fund 122

Dulles Rail Phase II Transportation Improvement District

rate of \$0.05 per hundred dollars of assessed value was adopted for FY 2011 for commercial and industrial properties within the Phase II District, and a tax rate of \$0.10 per hundred dollars of assessed value was adopted for FY 2012 for commercial and industrial properties within the Phase II District. According to the Petition, for FY 2013, this tax rate increases to \$0.15 per hundred dollars of assessed value. It is expected to yield approximately \$11.1 million in revenue for the fund. The Petition proposed annual tax increases of 5 cents per year until the rate reaches \$0.20 per \$100 of assessed value in FY 2014. The tax rate will be held at \$0.20 until full revenue operations commence on Phase II, which is expected in late 2016. At that time, the rate may be set at the level necessary to support the District's debt obligations. For planning purposes the Phase II District may not enter into a financing agreement unless it is reasonably believed that it can be accomplished within the maximum rate established by the Petition of \$0.25 per \$100 of assessed value.

The original funding plan was that the federal government, through grants from the Federal Transit Administration (FTA), would pay 50 percent of the entire Metrorail Project cost (i.e., both Phases I and II), the Commonwealth would pay 25 percent using DTR revenues, and local governments would pay 25 percent. That plan was based on an early cost estimate made a number of years ago and prior to preliminary engineering and environmental studies that resulted in an improved estimate for the total project cost.

However, the Full Funding Agreement later entered into with the federal government provides for a federal share for Phase I only (Interstate 66 to Wiehle Avenue) and caps that contribution at \$900 million, which necessarily changes the percentages for the partners' shares. At this time, no federal funds have been committed to Phase II. The current absence of federal funds for Phase II has resulted in the DTR taking over the share of Phase II costs that the original plan had "assigned" to the federal government.

No funds may be expended until certain other conditions are met. Among these conditions is completion of the preliminary design and cost estimate for Phase II, acceptable to the Board of Supervisors, which is expected during 2011. Other key conditions include: 1) appropriate commitments from all sources contributing to Phase II are in place to assure completion of the Phase II Transportation Improvements; 2) the Phase II District's share of the aggregate capital cost does not exceed \$330,000,000; 3) the County's share of aggregate costs remain reasonably consistent with currently anticipated contributions; and 4) there is no "Supplemental Tax" on the commercial and industrial real estate within the Phase II District that exceeds \$0.11 per \$100 of assessed value unless a credit or other benefit is extended substantially equivalent to the Supplemental Tax.

As a result of increases in estimated project costs and the lack of a federal funding commitment for Phase II, the original funding plan was revised. The current funding structure for the full project including both Phase I and Phase II is as follows:

- ◆ Fairfax County (16.1 percent), Loudoun County (4.8 percent) and the Airports Authority (4.1 percent) contribution is 25 percent.
- ◆ Federal contribution is 17.1 percent, which is based upon a fixed FTA grant for Phase I of \$900 million.
- ◆ The Commonwealth contribution is 5.2 percent, which is based upon a fixed contribution of \$275 million consisting of non-toll road funding.
- ◆ The DTR contribution provides the remaining amount, and is 52.7 percent.

Fund 122

Dulles Rail Phase II Transportation Improvement District

In late 2011, the County, in addition to the other local funding partners, approved the Memorandum of Agreement (MOA) to proceed with Phase II of the Project. The MOA provides for the following major points of agreement:

- ◆ MWAA agrees that the Airport station will be an aerial station.
- ◆ The Commonwealth agrees to seek \$150 million from the General Assembly to be used to reduce the burden on DTR users.
- ◆ USDOT agrees to provide up to a \$30 million credit subsidy for TIFIA loans to be made to Fairfax, Loudoun, and MWAA. Fairfax and Loudoun may apply for the maximum amount of TIFIA credit assistance for which each will qualify based on their share of the total cost of the Project, and MWAA will apply for the balance available.
- ◆ Fairfax and Loudoun agree to use their best efforts individually to find third party funding for the 5 garages (3 in Loudoun and 2 in Fairfax) and the Route 28 station (Fairfax), but if and to the extent they are unable to do so, then whatever portion is not funded by third party revenues will be shared as currently provided by the Funding Agreement.
- ◆ Other Phase II cost savings opportunities will be implemented, including a reduction in the size of the Metrorail yard and shop facilities at the Airport, for additional cumulative net Project cost savings of \$125 million as estimated by USDOT, 75 percent of which (\$94 million) would be cost savings for DTR users.
- ◆ A reallocation of estimated third party funding credits from what would have resulted from the Funding Agreement is expected to produce additional cost savings for DTR users of as much as \$242 million.
- ◆ WMATA agrees to cooperate with Fairfax to make such amendments in agreements between the two parties as shall be necessary to permit parking revenues from the two garages included in the Fairfax Facilities to be used to pay for the cost of constructing the garages, if Fairfax deems it appropriate to use such parking revenues for that purpose.
- ◆ Virginia, Fairfax, Loudoun, WMATA, and MWAA agree to form a Coordinating Committee composed of their respective chief executive officers (including Fairfax's County Executive) to implement the MOA and to regularly monitor progress in planning, designing, and constructing Phase II.
- ◆ Virginia and MWAA agree that they have reached a separate agreement on a Project Labor Agreement for Phase II that will be consistent with Federal statutory and regulatory requirements and Virginia law.
- ◆ The MOA explicitly recognizes that nothing in it required Fairfax to pay or will result in Fairfax paying more than 16.1 percent of the total Project cost as previously agreed in the Funding Agreement.
- ◆ There will be continuing FTA oversight of the Project.

Fund 122

Dulles Rail Phase II Transportation Improvement District

Project updates based on 100 percent of Preliminary Engineering in March 2012 note the cost for Phase II to range between \$2.7 billion to \$3.1 billion. These estimates are consistent with the MOA's targeted project reductions.

On April 10, 2012 the Fairfax County Board of Supervisors confirmed the County's participation in Phase II of the Project. As part of the financial deal, Fairfax County agreed to make its best efforts to pay for building the Route 28 Station, along with the parking garage at this station and at Herndon Monroe, outside of the Project. The county is looking at several options that include using a public-private partnership, developer contributions, parking revenue, and/or federal or state grants. If the county is not successful in funding the two garages and station outside of the project, Fairfax will only pay for 16.1 percent of the cost for these facilities. Loudoun County has a deadline of July 3, 2012 to vote on their participation in Phase II.

The total County 16.1 percent share of the Project is estimated to be approximately \$900 million. The County's two tax districts will contribute \$400 million from the Phase I tax district and \$330 million from the Phase II tax district. The County will examine all funding options available for the \$170 million balance due to the project.

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ FY 2013 funding remains at the same level as the FY 2012 Adopted Budget Plan.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no adjustments to this fund since approval of the FY 2012 Adopted Budget Plan.

Fund 122

Dulles Rail Phase II Transportation Improvement District

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 122, Dulles Rail
Phase II Transportation Improvement District

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$0	\$3,097,035	\$3,014,931	\$9,234,251	\$9,234,251
Revenue:					
Real Estate Taxes	\$3,239,716	\$6,654,110	\$6,654,110	\$11,049,068	\$11,049,068
Interest on Investments	7,639	65,210	65,210	13,104	13,104
Total Revenue	\$3,247,355	\$6,719,320	\$6,719,320	\$11,062,172	\$11,062,172
Total Available	\$3,247,355	\$9,816,355	\$9,734,251	\$20,296,423	\$20,296,423
Expenditures:					
Operating Expenses	\$232,424	\$500,000	\$500,000	\$500,000	\$500,000
Total Expenditures	\$232,424	\$500,000	\$500,000	\$500,000	\$500,000
Total Disbursements	\$232,424	\$500,000	\$500,000	\$500,000	\$500,000
Ending Balance¹	\$3,014,931	\$9,316,355	\$9,234,251	\$19,796,423	\$19,796,423
Tax rate per \$100 Assessed Value²	\$0.05	\$0.10	\$0.10	\$0.15	\$0.15

¹The ending balance will be accumulating in anticipation of the sale of bonds to fund the district's share of the project.

²Per the Petition the annual tax rate will increase 5 cents per year, which is reflected by the increase from \$0.10 in FY 2012 to \$0.15 in FY 2013, until the rate reaches \$0.20 per \$100 of assessed value in FY 2014. The rate will be held at \$0.20 until full revenue operations commence on Phase II, which is expected in late 2016.

Fund 124

County and Regional Transportation Projects

Focus

Fund 124, County and Regional Transportation Projects supports the County's implementation of new transportation projects and is funded by the commercial and industrial real estate tax for transportation. This taxing authority was authorized under the Transportation Funding and Reform Act of 2007 (HB 3202), approved by the Virginia General Assembly on April 4, 2007, and implemented by the Board of Supervisors as part of the FY 2009 Adopted Budget Plan. This revenue helps accelerate the County's implementation of roadway, transit and pedestrian projects.

HB 3202 allows localities to assess a tax on the value of commercial and industrial real estate and to use the proceeds on new transportation improvements. In 2009, the Virginia General Assembly temporarily set the maximum rate localities could levy at 12.5 cents per \$100 assessed value. After four years, the maximum rate will return to 25 cents per \$100 assessed value. The County's current rate is set at 11 cents and remains unchanged in FY 2013. It is estimated that the current rate will generate approximately \$44.44 million in revenue for FY 2013. This estimate is based on actual revenue received to date in FY 2012 and includes the projection of a slight increase in the commercial real estate market.

Fund 124 funded projects are periodically updated for consistency with a transportation funding list approved by the Board of Supervisors. The types of projects include:

- roadway improvements;
- transit improvements;
- pedestrian, bike, and small intersection improvements;
- planning and design work for future projects; and
- advance right-of-way purchases for future projects.

FY 2013 disbursements include \$14.0 million for capital projects, \$3.7 million for operating and staff support for project implementation and a \$26.7 million transfer to Fairfax Connector bus service (Fund 100, County Transit Systems). The transfer to Fund 100 funds continues support for West Ox Division rush hour and midday service, support for increased frequencies on overcrowded priority bus routes (Routes 171, 401/402, 950), which were expanded in FY 2010, and support of Transit Development Plan expansions of bus service hours at all three operating divisions. Additionally, the transfer of funds will help support the implementation of HOT lanes service schedule to be operational in mid FY 2013. These transit services are in keeping with the legislative constraints for commercial and industrial tax funds which must be used to support new transportation initiatives. Continuing in FY 2013, Fund 124 supports 19/19.0 SYE staff positions in order to manage and advance critical transportation projects in the County.

Fund 124

County and Regional Transportation Projects

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	19 / 19	19 / 19	19 / 19	19 / 19	19 / 19
Expenditures:					
Personnel Services	\$1,138,975	\$1,830,721	\$1,851,748	\$1,891,059	\$1,909,006
Operating Expenses	1,669,098	1,756,871	1,756,871	1,809,577	1,809,577
Recovered Costs	0	0	0	0	0
Capital Equipment	4,882,131	0	4,660,216	0	0
Capital Projects	31,782,299	18,952,936	232,315,400	14,033,378	14,015,431
Total Expenditures	\$39,472,503	\$22,540,528	\$240,584,235	\$17,734,014	\$17,734,014

Position Summary	
1 Engineer V	2 Planning Technicians II
3 Engineers IV	1 Project Coordinator
3 Transportation Planners III	2 Management Analysts III
3 Transportation Planners II	1 Network Analyst I
1 Assistant Supervisor Facilities Support	2 Administrative Assistants III
TOTAL POSITIONS	
19 Positions / 19.0 Staff Years	

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆
Employee Compensation \$48,579
 An increase of \$48,579 in Personnel Services reflects \$30,632 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$17,947 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆
Full Year Impact of FY 2012 Market Rate Adjustment \$27,551
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$27,551 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆
Other Post-Employment Benefits \$2,155
 An increase of \$2,155 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Advertised Budget Plan.

Fund 124

County and Regional Transportation Projects

- ◆ **Operating Expenses** **\$52,706**
An increase of \$52,706 in Operating Expenses reflects a 3.0 percent increase in annual lease costs for department office space.

- ◆ **Capital Projects** **\$14,015,431**
Funding in the amount of \$14,015,431 has been included for FY 2013 priority projects supported by the commercial and industrial tax revenue, consistent with a transportation funding list periodically updated and approved by the Board of Supervisors.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$218,780,650**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$21,027 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved an increase of \$218,759,623 due to the carryover of unexpended project balances of \$105,178,971, encumbered carryover of \$4,660,216, and other net project adjustments of \$108,920,436. These project adjustments include the appropriation of \$104,000,000 in anticipated EDA bonds associated with the Public-Private Education Facilities Act (PPEA) for the Reston-Wiehle Avenue Metrorail Station project, as approved by the Board of Supervisors on June 7, 2011, and \$6,730,000 in anticipated revenue from the Metropolitan Washington Airports Authority (MWAA) to reimburse the County for pedestrian and road improvements at the Station. This project includes a public parking facility with 2,300 public parking spaces, 10 bus bays, 46 kiss-and-ride spaces and ancillary facilities to serve the new Metrorail station. In addition, a decrease to capital projects of \$1,809,564 is required due to a reduction of \$2,484,779 based on actual FY 2011 tax receipts, partially offset by an increase of \$675,215 associated with the appropriation of FY 2011 staffing and operational savings.

- ◆ **Third Quarter Adjustments** **\$5,853,486**
As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$5,853,486 or 2.5 percent over the *FY 2012 Revised Budget Plan* total of \$234,730,749. Of this total, an increase of \$6,681,688 is required to appropriate VDOT revenues associated with the BRAC – Mulligan Road Project received in FY 2011. This increase is partially offset by a decrease of \$828,202 in the Project Construction Reserve.

- ◆ **Audit Adjustments** **(\$6,590,429)**
A decrease of \$6,590,429 in FY 2012 expenditures was required as a result of FY 2011 audit adjustments that increased actual spending in FY 2011 and required offsetting adjustments in FY 2012.

Fund 124

County and Regional Transportation Projects

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 124, County and Regional
Transportation Projects

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$60,351,365	\$0	\$57,313,707	\$0	\$0
Revenue:					
Commercial Real Estate Tax for Transportation ¹	\$40,620,771	\$42,000,000	\$42,000,000	\$44,436,000	\$44,436,000
EDA Bonds ²	0	0	50,000,000	0	0
EDA Bonds (Reston-Wiehle Avenue Metrorail Station PPEA)	0	0	104,000,000	0	0
Miscellaneous Revenue ^{3,4}	6,681,688	0	0	0	0
Metropolitan Washington Airports Authority (MWAA)	0	0	6,730,000	0	0
Total Revenue	\$47,302,459	\$42,000,000	\$202,730,000	\$44,436,000	\$44,436,000
Total Available	\$107,653,824	\$42,000,000	\$260,043,707	\$44,436,000	\$44,436,000
Expenditures:					
Personnel Services	\$1,138,975	\$1,830,721	\$1,851,748	\$1,891,059	\$1,909,006
Operating Expenses ³	1,669,098	1,756,871	1,756,871	1,809,577	1,809,577
Recovered Costs	0	0	0	0	0
Capital Equipment ³	4,882,131	0	4,660,216	0	0
Capital Projects ^{3,5}	31,782,299	18,952,936	232,315,400	14,033,378	14,015,431
Total Expenditures	\$39,472,503	\$22,540,528	\$240,584,235	\$17,734,014	\$17,734,014
Transfers Out:					
County Transit (100) ⁶	\$10,867,614	\$19,459,472	\$19,459,472	\$26,701,986	\$26,701,986
Total Transfers Out	\$10,867,614	\$19,459,472	\$19,459,472	\$26,701,986	\$26,701,986
Total Disbursements	\$50,340,117	\$42,000,000	\$260,043,707	\$44,436,000	\$44,436,000
Ending Balance⁷	\$57,313,707	\$0	\$0	\$0	\$0
Rate per \$100 of Assessed Value	\$0.11	\$0.11	\$0.11	\$0.11	\$0.11

Fund 124

County and Regional Transportation Projects

¹The Board of Supervisors implemented this tax in FY 2009 at a rate of 11 cents per \$100 of assessed value, and the rate remains constant in FY 2013. The Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this new tax.

²Economic Development Authority (EDA) transportation contract revenue bonds of \$50,000,000 are expected to provide additional support for transportation projects, as endorsed by the Board of Supervisors.

³In order to account for revenue and expenditures in the proper fiscal year, audit adjustments in the amount of \$7,418,631.32 have been reflected as an increase to FY 2011 expenditures and \$6,681,688.00 have been reflected as an increase to FY 2011 revenues. These adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustment are included in the FY 2012 Third Quarter package.

⁴This revenue category reflects VDOT reimbursement towards the construction of Project R61901, BRAC - Mulligan Road.

⁵Capital Projects include roadway, pedestrian and transit funding. A portion of funding is held in a reserve project and adjustments are made to reflect project funding for specific projects approved by the Board of Supervisors as projects approach implementation.

⁶The FY 2013 transfer of \$26,701,986 to Fund 100, County Transit Systems is consistent with a transportation funding list periodically updated and approved by the Board of Supervisors. This amount will fund: the continuation of support for West Ox Division rush hour and midday service, continued support for increased frequencies on overcrowded priority bus routes (Routes 171,401/402 and 950) which were expanded in FY 2010, and support of HOT lanes bus service recommended in the County's Transit Development Plan.

⁷Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 124

County and Regional Transportation Projects

FY 2013 Summary of Capital Projects

Fund: 124, County and Regional Transportation Projects

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
01240R	Project Construction Reserve		\$0.00	\$83,678,122.31	\$14,033,378	\$14,015,431
BOSBRA	Braddock District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSCHA	Chairman Transportation Projects	100,000	22,500.00	55,000.00	0	0
BOSDRA	Dranesville District Transportation Projects	100,000	17,915.22	79,092.34	0	0
BOSHUN	Hunter Mill District Transportation Projects	100,000	22,500.00	55,000.00	0	0
BOSLEE	Lee District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSMAS	Mason District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSMOU	Mount Vernon Transportation Projects	100,000	0.00	100,000.00	0	0
BOSPRO	Providence District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSSPR	Springfield District Transportation Projects	100,000	0.00	100,000.00	0	0
BOSSUL	Sully District Transportation Projects	100,000	0.00	100,000.00	0	0
POSR01	Sidewalk Replacement/VDOT Participation	600,000	432,738.37	167,261.63	0	0
PBFP01	Bicycle Facilities Program	1,000,000	26,963.59	958,667.08	0	0
PEMT01	Emergency Maint. Existing Trails	100,000	7,421.56	25,617.51	0	0

Fund 124

County and Regional Transportation Projects

FY 2013 Summary of Capital Projects

Fund: 124, County and Regional Transportation Projects

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
PPTF01	Pedestrian Task Force Recommendations	10,779,700	1,374,252.83	8,009,858.03	0	0
R00101	Route I Widening - Design	3,000,000	972,571.07	1,923,714.18	0	0
R08681	Davis Drive Extension	85,000	397.07	67,435.09	0	0
R12301	Rt. 123/Braddock Road Improvements	3,000,000	166,922.87	2,777,639.89	0	0
R123X1	Braddock Rd/Route 123 Interchange Study	576,511	132.26	0.00	0	0
R19301	Georgetown Pike / Walker Rd. RTL	283,624	3,486.04	26,640.00	0	0
R267X1	Tysons Dulles Toll Road Connections	723,183	22,639.00	592,000.00	0	0
R29212	Route 29 Widening - Centerville to Fairfax City	2,000,000	0.00	2,000,000.00	0	0
R4720X	Soapstone Dr Connector Overpass Study	200,000	0.00	200,000.00	0	0
R5062X	Jones Branch Connector Preliminary Engineering	886,396	67,211.89	705,999.63	0	0
R61101	Telegraph Rd Widening/S Van Dorn	2,000,000	301,630.09	1,696,387.76	0	0
R61113	Lorton Rd.-Rt. 123/Silverbrook Rd.	2,284,000	2,160.26	2,271,086.05	0	0
R61901	BRAC- Mulligan Road	18,781,688	5,658,984.00	10,122,704.00	0	0
R64501	Stringfellow Road Widening	6,000,000	6,000,000.00	0.00	0	0
R75701	Annandale R-O-W McWhorter Pl.	3,000,000	0.00	1,318,961.27	0	0
RRVP01	Road Viewers Program	100,000	27,824.28	72,175.72	0	0
RSPI01	Spot Improvements	6,990,000	772,237.40	6,050,217.62	0	0
RZ0001	Eskridge Rd. Extension	3,000,000	1,138,032.26	1,666,883.33	0	0
TOSCTC	Seven Corners Transit Center	230,000	0.00	230,000.00	0	0

Fund 124

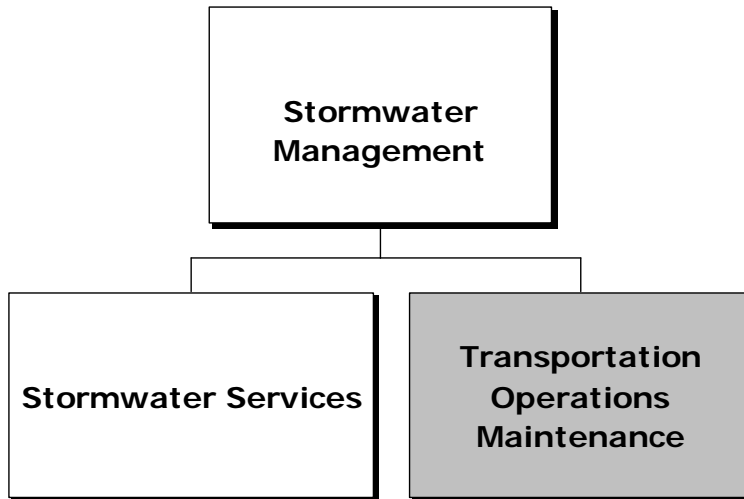
County and Regional Transportation Projects


FY 2013 Summary of Capital Projects

Fund: 124, County and Regional Transportation Projects

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
TCLPK1	Columbia Pike Streetcar Planning	912,000	140,000.00	680,800.00	0	0
TDULRL	Wiehle Avenue Metrorail Facility	119,330,000	13,002,300.73	103,076,775.73	0	0
TSNPNR	Sunset Hills Park and Ride	1,462,000	714,201.45	747,798.55	0	0
TSP001	Springfield Park and Ride	5,276,300	650,085.87	96,752.77	0	0
TWTOX1	West Ox Bus Facility - Parking Expansion	2,500,000	237,190.70	2,262,809.30	0	0
Total		\$196,100,402	\$31,782,298.81	\$232,315,399.79	\$14,033,378	\$14,015,431

Fund 125 Stormwater Services



 Denotes functions that are included in both the General Fund, Agency 87, Unclassified Administrative Expenses, and Fund 125, Stormwater Services.

Mission

To develop and maintain a comprehensive watershed and infrastructure management program to protect property, health and safety; to enhance the quality of life; to preserve and improve the environment for the benefit of the public. To plan, design, construct, operate, maintain and inspect the infrastructure, and perform environmental assessments through coordinated stormwater and maintenance programs in compliance with all government regulations utilizing innovative techniques, customer feedback and program review; and to be responsive and sensitive to the needs of the residents, customers and public partners.

Focus

Fund 125, Stormwater Services, is essential to protect public safety, preserve property values and support environmental mandates, such as those aimed at protecting the Chesapeake Bay and the water quality of other local jurisdictional waterways. Projects include: repairs to stormwater infrastructure, measures to improve water quality, such as stream stabilization, rehabilitation, safety upgrades of state regulated dams, repair and replacement of underground pipe systems, surface channels, structural flood proofing and best management practices (BMP) site retrofits and improvements. This funding also supports implementation of watershed master plans, increased public outreach efforts, and stormwater monitoring activities, as well as operational maintenance programs related to the existing storm drainage infrastructure as it pertains to stormwater conveyance and stormwater quality improvements.

As part of the FY 2010 Adopted Budget Plan, a special service district was created to support the Stormwater Management Program and provide a dedicated funding source for both operating and capital project requirements, as authorized by Va. Code Ann. Sections 15.2-2400. Since FY 2006, the Board of Supervisors had dedicated the value of one penny of the real estate tax, or approximately \$20 million annually to stormwater capital projects. In FY 2009, due to budget constraints, staffing and operating costs began to be charged to the stormwater penny fund, resulting in an approximate 50 percent reduction in funding for capital project support. A stormwater service rate of \$0.15 per \$100 of assessed

Fund 125 Stormwater Services

real estate value has been in place since FY 2011. In FY 2013, the stormwater service rate will increase \$0.005 for a total of \$0.020 per \$100 of assessed real estate value.

This rate increase is required to meet the increasing federal and state regulatory requirements pertaining to the Municipal Separate Storm Sewer System (MS4) Permit requirements, and State and Federal mandates associated with the Chesapeake Bay. It should be noted that the FY 2013 budget is part of a phased approach for funding and staffing to support the anticipated regulatory increases. The FY 2013 levy of \$0.020 will generate \$39.77 million, supporting \$14.70 million for staff and operational costs, and \$25.07 million for capital project implementation and infrastructure reinvestment, regulatory requirements, dam safety, and contributory funding requirements. This dedicated capital funding support will allow the County to implement capital projects in a more efficient manner and begin to address state and EPA stormwater requirements.

In support of the increased funding for the Stormwater Management program, an additional 22 positions are included in FY 2013. Of this total, seven positions will support stream and water quality improvements, four positions will support dam safety and facility rehabilitation, four positions will support regulatory compliance and MS4 permit reporting and two positions will support conveyance system rehabilitation. In addition, one technology support position is included to help manage an asset management system, monitoring and emergency warning systems, and MS4 reporting, and one contract manager position is included to support the growing number of facility maintenance requirements. Lastly, three positions in the Office of Capital Facilities will support stormwater activities and are required for the recommended increases in capital project funding, the number of required stormwater easements and land agreements, as well as, construction requirements. These positions will be fully recovered within Fund 125. The Stormwater Management program anticipates adding additional positions in future years to meet the projected mandated workload requirements.

The increased fee is required in order to address to significant requirements and trends in the Stormwater program including the following:

Stormwater Regulatory Program

The County is required by Federal Law to operate under the conditions of a state issued Municipal Separate Storm Sewer System (MS4) Permit. The MS4 Permit allows the County to discharge stormwater from its stormwater systems into state and federal waters. The County currently owns and/or operates approximately 7,000 piped outfalls within the stormwater system that are governed by the permit. The current permit was issued in 2002 and expired in 2007, and the County has been operating under a state issued administrative extension, while the state and the EPA agree to new permit requirements. A draft permit has been prepared for the County which indicates that significant enhancements to all facets of the program will be required. In addition to the requirements outlined in the draft permit conditions, a recent EPA audit of the County's program identified the need for the County to initiate a high risk and industrial site inspection program for private properties throughout the County. This is anticipated to require a robust inspection and enforcement program to monitor stormwater discharges from all industrial facilities in the County. The permit further requires the County to better document the stormwater management facility inventory, enhance public out-reach and education efforts, increase water quality monitoring efforts, provide stormwater management and stormwater control training to all County employees, and to thoroughly document all of these enhanced efforts. County staff is currently developing the procedures to implement these additional requirements. Funding and staff are required to develop, manage and initiate these new mandated programs. Funding in the amount \$5.0 million is included for the Stormwater Regulatory Program in FY 2013.

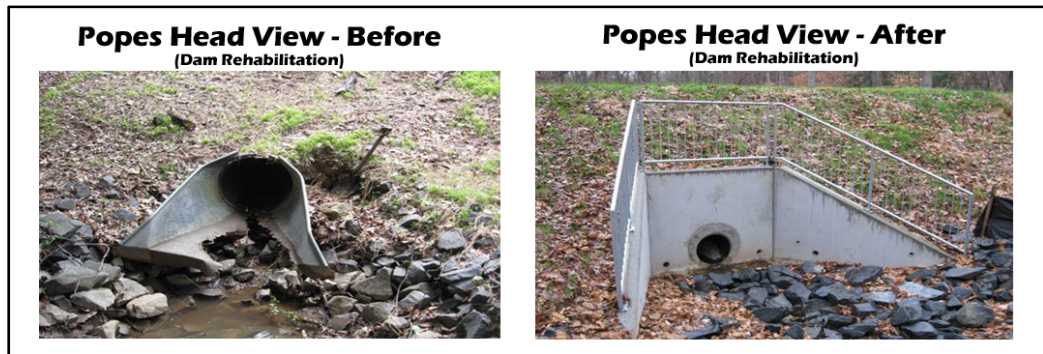
Fund 125

Stormwater Services

Dam Safety and Facility Rehabilitation

The County currently has over 6,000 Stormwater management facilities in service that range in size from small rain gardens to large state regulated flood control dams, and by permit is responsible for inspecting and maintaining both County owned and privately owned facilities. This inventory increased by over 175 facilities between FY 2010 and FY 2011, and is projected to continually increase as new developments and redevelopment sites are required to install stormwater management controls. In addition, the County is

required to provide a facility retrofit program to improve stormwater management controls on all existing stormwater management



facilities that were developed and constructed prior to current standards being in place. This program includes maintaining the control structures, including the dams that control and treat the water flowing through County owned facilities. This program also includes the removal of sediments that occur in both wet and dry stormwater management facilities to ensure that adequate capacity is maintained to treat the stormwater. This program results in approximately 25 retrofit projects annually that require redesign and construction management activities, as well as contract management and maintenance responsibilities. Additionally, this program funds the next phase of the Kingstowne Park Dam, which failed in October 2010. Funding in the amount of \$5.1 million is included for Dam Safety and Facility Rehabilitation in FY 2013.

Conveyance System Rehabilitation

The County owns and operates approximately 1,600 miles of underground stormwater pipe and paved channels with estimated replacement value of over one billion dollars. The County began performing internal inspections of the pipes in FY 2006. Of the initial pipes inspected, over 5 percent were in complete failure with an additional 15 percent requiring immediate repair. It is estimated that a fully funded rehabilitation and reinvestment program would require an investment of approximately \$10 million per year. Increased MS4 permit regulations apply to these 1,600 miles of existing conveyance systems and 43,000 stormwater structures. The permit requirements do not address the current backlog of operational

maintenance and rehabilitation needs of the entire stormwater conveyance system infrastructure, but are additive and



significantly increase inspection, reporting and management actions related to the infrastructure system.

Fund 125 Stormwater Services

Additional funding and staff are required to review the digital imaging of pipe interiors, and develop corrective solutions that require design, construction management and oversight responsibilities. Acceptable industry standards indicate that one dollar re-invested in infrastructure saves seven dollars in the asset's life, and \$70 dollars if asset failure occurs. The goal of this program is to inspect pipes on a 10-year cycle, and rehabilitate pipes and paved channels before total failure occurs. Funding in the amount of \$5.5 million is included for Conveyance System Rehabilitation in FY 2013.

Stream and Water Quality Improvements

This program funds water quality projects necessary to mitigate the impacts to local streams and the Chesapeake Bay resulting from urban stormwater runoff. This includes water quality projects such as, the construction of stormwater management ponds, implementing low impact development techniques on stormwater facilities, stream restorations and approximately 1,700 water quality projects identified in the completed countywide Watershed Plans. In addition to the new permit requirements, the EPA, who is the federal regulator that oversees the Federal Clean-Water Act, completed an audit of the County's current Stormwater program in June 2011. This audit indicates that several elements of the program are non-compliant. The full impact of the audit findings have not been finalized, and any penalty's associated with audit findings have not yet been issued. In addition, the Chesapeake Bay Total Maximum Daily Load (TMDL) requirements are the regulatory process by which pollutants entering impaired water bodies are reduced. The Chesapeake Bay TMDL was established by the EPA and requires that MS4 communities, as well as other dischargers, significantly reduce the nitrogen, phosphorous and sediment loads entering waters draining to the Bay by 2025. Compliance with the Bay TMDL will require the County to undertake construction of new stormwater facilities, retrofit existing facilities and properties, and increase maintenance. Preliminary estimates indicate that the projects needed to bring the County's

stormwater system into compliance with the Bay TMDL could cost in the range of \$70 to \$90 million, per year. The Bay TMDL facility retrofit requirement is additive to the current design and construction efforts

associated with 1,700 Watershed Plan projects and ongoing stream and flood mitigation projects. A phased approach to increasing funding and staffing is recommended in order to address the audit findings and begin to address Chesapeake Bay TMDL requirements. Funding in the amount of \$8.0 million is included for Stream and Water Quality Improvements in FY 2013.



Fund 125 Stormwater Services

Emergency and Flood Control Program

This program supports flood control projects for unanticipated flooding events that impact storm systems and flood residential properties. The program will provide annual funding for scoping, design, and minor construction activities related to flood mitigation projects. Funding in the amount of \$0.9 million is included for the Emergency and Flood Control Program in FY 2013.

Stormwater Related Contributory Program

Contributory funds are provided to the Northern Virginia Soil and Water Conservation District (NVSWCD), and the Occoquan Watershed Monitoring Program (OWMP). The NVSWCD is an independent subdivision of the Commonwealth of Virginia that provides leadership in the conservation and protection of Fairfax County's soil and water resources. It is governed by a five-member Board of Directors, three of whom are elected every four years by the voters of Fairfax County and two who are appointed by the Virginia Soil and Water Conservation Board. Accordingly, the work of NVSWCD supports many of the environmental efforts set forth in the Board of Supervisors' Environmental Excellence 20-year Vision Plan. The goal of the NVSWCD is to continue to improve the quality of the environment and general welfare of the citizens of Fairfax County by providing them with a means of dealing with soil, water conservation and related natural resource problems. It provides County agencies with comprehensive environmental evaluations for proposed land use changes with particular attention to the properties of soils, erosion potential, drainage and the impact on the surrounding environment. NVSWCD has consistently been able to create partnerships and leverage state, federal and private resources to benefit natural resources protection in Fairfax County. FY 2013 funding of \$444,327 is included in Fund 125 for the County contribution to the NVSWCD.

The OWMP and the Occoquan Watershed Monitoring Laboratory (OWML) were established to ensure that water quality is monitored and protected in the Occoquan Watershed. Given the many diverse uses of the land and water resources in the Occoquan Watershed (agriculture, urban residential development, commercial, and industrial activity, water supply, and wastewater disposal), the OWMP provides a critical role as the unbiased interpreter of basin water quality information. FY 2013 funding of \$112,559 is included in Fund 125 for the County contribution to the OWMP.

Stormwater Services Operational Support

Fund 125 funds staff salaries, fringe benefits, and operating expenses for all stormwater operations. In addition, Fund 125 also provides funding for 23/23.0 SYE positions related to transportation operations maintenance provided by the Maintenance and Stormwater Management Division. All funding of the transportation related salary expenses and equipment are recovered from General Fund Agency 87, Department of Public Works and Environmental Services (DPWES) Unclassified Administrative Expenses, as they do not qualify for expenses related to the stormwater service district.

Fund 125 Stormwater Services

In FY 2013, the Urban Forestry Management Division (UFMD) has been moved from Land Development Services to Fund 125, Stormwater Services, including 7/7.0 SYE positions. In addition, the Integrated Pest Management Program, in Fund 116 will be managed by Stormwater Services staff. The UFMD was established to mitigate tree loss and maximize tree planting during land development, enforce tree conservation requirements and suppress populations of Gypsy Moth, Emerald Ash Borer and other forest pests. The division also implements programs needed to sustain the rich level of environmental, ecological and socio-economic services provided by the County's tree canopy. The UFMD is better aligned with the mission of Stormwater Services as it strives to *"improve water quality and stormwater management through tree conservation."* Tree canopy and forest soils contribute significant levels of water pollution and stormwater runoff mitigation services. Recent analysis has estimated that the County would need to invest \$1.9 billion dollars in infrastructure to match the level of stormwater management that is provided by its tree canopy during a ten-year storm event. The consolidation provides the ability to combine similar program goals related to water and air quality environmental issues which are related to both stormwater and urban forest management. In addition, there are several opportunities to combine and strengthen environmental public outreach efforts, and support many stormwater projects that significantly incorporate tree and plant management. Private plan review, site inspections, and the integrated pest management services will continue to be provided by UFMD and supported by the General Fund or Fund 116, Integrated Pest Management Program as appropriate.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	144 / 144	144 / 144	145 / 145	171 / 171	171 / 171
Expenditures:					
Personnel Services	\$10,885,539	\$11,321,084	\$11,450,259	\$14,312,277	\$14,421,771
Operating Expenses	2,063,173	2,076,526	2,229,619	2,356,435	2,356,435
Capital Equipment	178,797	87,754	119,843	140,432	140,432
Capital Projects	8,343,937	17,029,468	37,652,320	35,155,455	25,070,961
Subtotal	\$21,471,446	\$30,514,832	\$51,452,041	\$51,964,599	\$41,989,599
Less:					
Recovered Costs	(\$1,685,607)	(\$1,714,832)	(\$1,714,832)	(\$2,214,599)	(\$2,214,599)
Total Expenditures	\$19,785,839	\$28,800,000	\$49,737,209	\$49,750,000	\$39,775,000

Fund 125 Stormwater Services

Position Summary		
<p><u>Maintenance and Stormwater Management (MSMD)</u> <u>MSMD Administration</u></p> <p>1 Director Maintenance and SW 2 Engineers V 3 Management Analysts II (1) 1 Safety Analyst 1 Communications Specialist II 1 Network/Telecom Analyst I 1 Administrative Assistant IV 3 Administrative Assistants III 2 Administrative Assistants II 1 Information Technology Tech. III 1 Business Analyst II (1)</p> <p><u>Contracting Services</u></p> <p>1 Management Analyst III 1 Engineering Technician III 1 Engineering Technician II</p> <p><u>Engineering/Technical Support</u></p> <p>1 Engineer IV 1 Senior Engineer III 4 Engineers III 1 Ecologist III 1 Ecologist II 3 Engineering Technicians III 1 Engineering Technician II 1 GIS Analyst III 1 GIS Analyst II 1 GIS Analyst I 1 GIS Technician 1 Project Manager II (1) 1 Project Manager I (1) 1 Assistant Project Manager (1) 1 Landscape Architect II (1)</p>	<p><u>Field Operations</u></p> <p>4 Env. Services Supervisors 1 Management Analyst III 3 Senior Maintenance Supervisors 7 Maintenance Supervisors 6 Maintenance Crew Chiefs 14 Senior Maintenance Workers 7 Maintenance Workers 8 Heavy Equipment Operators 9 Motor Equipment Operators 2 Masons</p> <p><u>Maintenance Inspections</u></p> <p>1 Engineer IV 6 Engineering Technicians III 1 Engineering Technician I 1 Project Manager II (1) 1 Project Manager I (1)</p> <p><u>Materials Support</u></p> <p>1 Inventory Manager 1 Material Mgmt. Specialist III 1 Motor Equipment Operator</p> <p><u>Equipment/Specialty Trades</u></p> <p>1 Heavy Equipment Operator 1 Carpenter I 1 Equipment Repairer 1 Welder II</p>	<p><u>Stormwater Planning Division</u></p> <p>1 Director Stormwater Planning 3 Engineers V 5 Engineers IV (4) 4 Senior Engineers III (1) 9 Engineers III (1) 1 Project Coordinator 1 Project Manager II 1 Project Manager I 3 Ecologists III 6 Ecologists II 1 Accountant I 2 Management Analysts II (1) 1 Management Analyst I (1) 2 Code Specialists II (2) 1 Administrative Assistant III 1 Landscape Architect III 3 Engineering Technicians III (1) 1 Engineering Technician I</p> <p><u>Urban Forestry</u></p> <p>1 Division Urban Forester (1) 1 Urban Forester III (1) 5 Urban Foresters II (5)</p>
TOTAL POSITIONS		
171 (26) Positions / 171.0 Staff Years (26.0)		() Denotes New Positions

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- Employee Compensation** **\$297,939**
 An increase of \$297,939 in Personnel Services reflects \$188,445 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$109,494 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- Full Year Impact of FY 2012 Market Rate Adjustment** **\$181,096**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$181,096 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

Fund 125

Stormwater Services

- ◆ **New Positions** **\$1,918,341**
An increase of \$1,918,341, including an increase of \$1,745,512 in Personnel Services and \$172,829 Operating Expenses is associated with the establishment of 22/22.0 SYE positions to support the increased federal and state regulatory requirements pertaining to the Municipal Separate Storm Sewer System (MS4) Permit and the Chesapeake Bay Total Maximum Daily Load requirements. It should be noted that 3/3.0 SYE of these positions are located within the Office of Capital Facilities.
- ◆ **Reorganization of Staff** **\$355,460**
A net increase of \$355,460, including an increase of \$848,147 in Personnel Services, \$7,080 in Operating expenses and \$499,767 in Recovered Costs, is associated with the reorganization of staff within the Department of Public Works and Environmental Services (DPWES). In order to better align stormwater services goals related to water and air quality environmental issues, 7/7.0 SYE positions in the Urban Forestry Division have been transferred from Land Development Services to Stormwater Services. This increase is offset by a corresponding decrease to the General Fund of \$355,460 in Land Development Services.
- ◆ **Other Post-Employment Benefits** **\$27,993**
An increase of \$27,993 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.
- ◆ **Intergovernmental Charges** **\$100,000**
A net increase of \$100,000 in Operating Expenses for Department of Vehicle Services charges based on anticipated charges for fuel, vehicle replacement, and maintenance-related costs.
- ◆ **Capital Equipment** **\$140,432**
Capital Equipment funding of \$140,432 is included for requirements associated with replacement equipment that has outlived its useful life and new equipment used to carryout stormwater services activities. The equipment includes \$18,700 in replacement equipment, of which, \$12,000 is to replace two material spreaders used to dispense materials and chemicals for deicing and snow clearing operations for the snow emergency program; and \$6,700 is to replace a plotter used for electronic images used to prepare construction documents for repair and retrofit activities. Funding in the amount of \$121,732 is required for the purchase of new equipment, of which, \$42,232 is for two mini track loaders used to remove silt and debris from stormwater management areas, install walkways and trails, and install of stone and storm drainage pipes that are in close proximity to houses and dwellings; \$20,000 is for a brush chipper used for debris removal on maintenance sites; \$16,000 is for an adjustable root cutter used to cut through tree roots which grow through pipe joints; \$14,500 is for a pole camera used to inspect storm drainage structures and pipe systems in a matter that does not require confined space entry; \$13,000 is for a walk-behind trencher used for the installation of siltation fences around maintenance and construction sites as required by federal and state Erosion and Sedimentation permits; \$8,000 is for a pavement breaker attachment used to break up rigid maintenance structures that are in need of removal and replacement, such as, asphalt pavement and concrete walkways; and \$8,000 is for a trench box used during earth excavation and trenching operations.

Fund 125 Stormwater Services

- ◆ **Capital Projects** **\$25,070,961**

Funding in the amount of \$25,070,961 has been included in FY 2013 for priority stormwater capital projects. During their deliberations on the FY 2013 Advertised Budget Plan, the Board of Supervisors approved a stormwater fee of \$0.020 per \$100 of assessed property value or \$0.005 less than the proposed rate. This action resulted in a decrease of \$9.975 million in capital projects funding for FY 2013. This level of funding is \$8.04 million more than FY 2012 funding and will allow for increased efforts to implement and begin to fulfill regulatory requirements.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$20,351,811**

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$98,173 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$216,183 and \$20,037,455 in Capital Projects.
- ◆ **Position Movement** **\$0**

During FY 2012, Fund 109, Refuse Collection and Recycling Operations transferred 1/1.0 SYE position to Fund 125, Stormwater Services to support additional and specialized maintenance required at a growing number of Low Impact Development facilities, including facilities with bio-retention, vegetated swales and green roofs.
- ◆ **Third Quarter Adjustments** **\$791,730**

As part of the *FY 2012 Third Quarter Review* the Board of Supervisors approved an increase of \$791,730 in capital projects due to the appropriation of grant revenue associated with a Grant Agreement between the Virginia Department of Emergency Management (VDEM) and Fairfax County to accept federal funds to assist the County with acquiring property at Dearborn Drive from its current owners, demolishing the existing structure on the property and restoring the property to its natural conditions. VDEM, through FEMA's Flood Plain Claims Program, will provide reimbursement of \$791,730 to Fairfax County.

Objectives

- ◆ To ensure zero violations in order to maintain compliance with the terms of the federally mandated Municipal Separate Storm Sewer System (MS4) Permit, as part of the comprehensive Stormwater Management Program.
- ◆ To ensure that 100 percent of Emergency Action plans are updated and operational to minimize impact to Fairfax County citizens, as well as protect property from weather events and other emergency situations.
- ◆ To ensure that 100 percent of the Commuter Rail, Park-and-Ride and Bus Transit facilities maintained by the County are functional 365 days per year in support of Fairfax County alternative transportation initiatives in order to reduce air pollution.

Fund 125 Stormwater Services

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Annual private stormwater management facility inventory	3,125	3,547	3,625 / 3,638	3,690	3,720
Public stormwater management facilities inspected and maintained annually	1,367	1,419	1,460 / 1,503	1,530	1,560
Emergency Action plans updated	18	20	20 / 20	21	21
Average weekly private vehicle trips into maintained facilities	23,212	23,210	23,793 / 22,195	22,872	22,759
Average weekly commuter bus trips into maintained facilities	9,435	9,680	9,777 / 9,190	9,435	9,435
Average weekly train trips into maintained facilities	265	265	265 / 260	263	263
Efficiency:					
Annual cost per private stormwater management facility	\$356	\$253	\$251 / \$217	\$237	\$259
Cost of inspection and maintenance per public stormwater management facility	\$1,572	\$997	\$982 / \$1,127	\$1,227	\$1,323
Cost of Emergency Response program per 100,000 population	\$74,699	\$177,954	\$176,074 / \$95,954	\$107,691	\$117,893
Cost per transit trip	\$0.34	\$0.60	\$0.56 / \$0.38	\$0.41	\$0.46
Service Quality:					
Percent of private facilities inspected within the fiscal year	17%	22%	20% / 17%	22%	22%
Percent of public facilities inspected and maintained within the fiscal year	89%	50%	51% / 50%	50%	50%
Dollar loss per 100,000 population for claims paid as a result of annual emergency events	\$2,103	\$392	\$1,482 / \$293	\$889	\$466
Annual commuter facilities complaints received	32	20	29 / 27	32	26
Outcome:					
MS4 permit violations received	0	0	0 / 0	0	0
Percent of Emergency Action Plans current	100%	100%	100% / 100%	100%	100%
Percent of commuter facilities available 365 days per year	100%	100%	100% / 100%	100%	100%

Fund 125

Stormwater Services

Performance Measurement Results

In FY 2011 the “Outcome” objective to receive no MS4 permit violations related to inspection and maintenance of public and private stormwater management facilities was met. It should be noted that the current MS4 permit was issued in 2002 and expired in 2007, and the County has been operating under a state issued administrative extension, while the state and the EPA agree to new permit requirements.

In FY 2011, inventory growth was greater than anticipated, and maintenance costs per facility private facility were less than anticipated, while maintenance costs per public facility were higher than anticipated. Inspection cycles were sufficient to meet current permit requirements.

In FY 2011, the dollar loss per 100,000 population claims paid as a result of annual emergency events was \$293. This is anticipated to increase to \$889 in FY 2012 and then decrease to \$466 in FY 2013. The calculations used to produce this indicator are based on claim amounts paid on an annual basis for the agency’s response to emergencies and are unpredictable from year to year. This results in the fluctuation of the estimates.

The “Outcome” objective to update 100 percent of the emergency action plans that Stormwater is responsible to update annually was met. The emergency program cost, per population, was less than anticipated, due to a lower amount of events requiring an emergency response. The estimated dollar loss based on claims paid out was less than estimated.

In FY 2011, the “Outcome” objective to keep 100 percent of the commuter facilities operational for 365 days was met. Maintenance costs per vehicle trip were less than anticipated and patronage complaints were lower than estimated.

Fund 125 Stormwater Services

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 125, Stormwater Services

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$3,869,191	\$0	\$11,986,928	\$0	\$0
Revenue:					
Stormwater Service District Levy	\$27,903,409	\$28,800,000	\$28,800,000	\$49,750,000	\$39,775,000
Federal Emergency Management Agency FEMA Grant	0	0	791,730	0	0
American Recovery and Reinvestment Act (ARRA) (Woodglen Lake) ¹	0	0	340,781	0	0
American Recovery and Reinvestment Act (ARRA) (Lake Barton) ²	0	0	184,679	0	0
Miscellaneous	167	0	0	0	0
Total Revenue	\$27,903,576	\$28,800,000	\$30,117,190	\$49,750,000	\$39,775,000
Transfer In:					
Stormwater Management Fund (318) ³	\$0	\$0	\$7,633,091	\$0	\$0
Total Transfers In	\$0	\$0	\$7,633,091	\$0	\$0
Total Available	\$31,772,767	\$28,800,000	\$49,737,209	\$49,750,000	\$39,775,000
Expenditures:					
Personnel Services	\$10,885,539	\$11,321,084	\$11,450,259	\$14,312,277	\$14,421,771
Operating Expenses	2,063,173	2,076,526	2,229,619	2,356,435	2,356,435
Recovered Costs	(1,685,607)	(1,714,832)	(1,714,832)	(2,214,599)	(2,214,599)
Capital Equipment	178,797	87,754	119,843	140,432	140,432
Capital Projects ^{3,4}	8,343,937	17,029,468	37,652,320	35,155,455	25,070,961
Total Expenditures	\$19,785,839	\$28,800,000	\$49,737,209	\$49,750,000	\$39,775,000
Total Disbursements	\$19,785,839	\$28,800,000	\$49,737,209	\$49,750,000	\$39,775,000
Ending Balance⁵	\$11,986,928	\$0	\$0	\$0	\$0
Tax Rate Per \$100 of Assessed Value	\$0.015	\$0.015	\$0.015	\$0.025	\$0.020

Fund 125

Stormwater Services

¹ On December 7, 2009, the Board of Supervisors approved funding in the amount of \$2,229,971 associated with a project agreement between the Natural Resources Conservation Service (NRCS) and Fairfax County for the rehabilitation of Woodglen Lake. Funding from the NRCS is available as a result of the American Recovery and Reinvestment Act of 2009. To date, an amount of \$1,108,700 has been received and reflected in Fund 318, Stormwater Management Program. The balances in this project have been moved to Fund 125, Stormwater Services, where \$340,781 in revenue is anticipated in FY 2012 and beyond from the NRCS. The County share of \$780,490 is paid from existing funds.

² On July 13, 2010, the Board of Supervisors approved funding in the amount of \$3,079,789 associated with a project agreement between the Natural Resources Conservation Service (NRCS), the Northern Virginia Soil and Water Conservation District (NVSWCD) and Fairfax County for the rehabilitation of Lake Barton. Funding from the NRCS is available as a result of the American Recovery and Reinvestment Act of 2009. On September 28, 2010 an amendment to this agreement was signed, increasing the total funding to \$3,779,591. In FY 2011, an amount of \$2,272,055 was received and reflected in Fund 318 Stormwater Management Program. The balances in this project have been moved to Fund 125, Stormwater Services, where \$184,679 in revenue is anticipated in FY 2012 and beyond from the NRCS. Of this amount, the County share of \$1,322,857 is paid from existing funds.

³ Balances in Fund 318, Stormwater Management Program have been transferred to Fund 125, Stormwater Services, as originally anticipated at the time Fund 125, Stormwater Services, was established. The consolidation of stormwater capital project funds in Fund 125 will allow more efficient management of funding for stormwater projects, as well as prepare for the implementation of the Fairfax County Unified System (FOCUS).

⁴ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$206,331.91 has been reflected as an increase in expenditure accruals. This impacts the amount carried forward and results in a decrease of \$206,331.91 to the *FY 2012 Revised Budget Plan*. The projects affected by these adjustments are Projects FX0600, Conveyance System Rehabilitation and LH8000, Little Hunting Creek Watershed Projects. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

⁵ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 125 Stormwater Services

FY 2013 Summary of Capital Projects

Fund: 125, Stormwater Services

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
AC8000	Accotink Creek Watershed Projects	\$41,283	\$0.00	\$41,283.32	\$0	\$0
AC9000	Accotink Creek Watershed Plan	491,930	0.00	491,929.55	0	0
CA8000	Cameron Run Watershed Projects	925,947	0.00	925,946.94	0	0
CU8000	Cub Run Watershed Projects	39,815	0.00	39,815.37	0	0
DC0800	Kingstowne Monitoring Program	300,000	0.00	300,000.00	0	0
DC8000	Kingstowne Monitoring	78,905	0.00	78,905.24	0	0
DC9000	Dogue Creek Watershed Plan	240,285	0.00	240,284.64	0	0
DF8000	Difficult Run Watershed Projects	78,430	0.00	78,429.77	0	0
FS0002	ARRA Lake Barton - Dam Site 2	356,205	0.00	356,204.79	0	0
FS0003	ARRA Woodglen Lake - Dam Site 3	500,496	0.00	500,495.52	0	0
FX0000	Stormwater Capital Projects Reserve	323,869	0.00	323,869.00	0	0
FX0001	Interim Watershed Program	30,073	0.00	30,072.97	0	0
FX0100	Stream and Water Quality Improvements	24,874,135	1,489,641.30	15,384,493.70	11,000,000	8,000,000
FX0400	Dam Safety and Facility Rehabilitation	10,014,075	1,646,324.35	3,253,675.65	6,198,569	5,114,075
FX0500	Stormwater Management Facility	3,100,000	995,034.18	1,860,721.07	0	0
FX0600	Conveyance System Rehabilitation	11,589,228	2,543,899.93	3,063,281.40	6,500,000	5,500,000
FX0700	Stormwater Regulatory Program	10,700,000	1,041,270.75	4,358,358.13	5,000,000	5,000,000
FX1000	Storm Drainage Improvements and Innovative Projects	107,835	0.00	107,835.41	0	0
FX2000	Environmental Initiatives Projects	255,616	0.00	255,615.96	0	0
FX3000	Stormwater Program Support	116,825	0.00	116,824.59	0	0
FX4000	Dam Safety Projects	1,631,986	0.00	1,631,985.74	0	0
FX5000	Stormwater Management	574,376	0.00	574,376.47	0	0

Fund 125 Stormwater Services

FY 2013 Summary of Capital Projects

Fund: 125, Stormwater Services

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
FX6000	Infrastructure Reinvestment	422,011	0.00	422,010.65	0	0
FX7000	Municipal Separate Storm Sewer Permit	397,926	0.00	397,925.52	0	0
FX8000	Emergency and Flood Control	1,069,726	0.00	169,726.41	5,900,000	900,000
HC9000	Horsepen Creek Watershed Plan	218,660	0.00	218,660.16	0	0
LH8000	Little Hunting Creek Watershed Projects	129,382	91,936.96	37,445.03	0	0
LO9000	Lower Occoquan Watershed Plan	556,097	0.00	556,097.18	0	0
LR9000	LittleRocky/Johnny Moore Watershed	96,575	0.00	96,575.13	0	0
MB9000	Mill Branch Watershed Plan	60,891	0.00	60,890.77	0	0
MP8000	Middle Potomac Watershed Projects	122,372	0.00	122,371.58	0	0
PC8000	Pohick Creek Watershed Projects	50,326	0.00	50,325.50	0	0
PC9000	Pohick Creek Watershed Plan	413,742	0.00	413,742.32	0	0
PH8000	Popes Head Creek Watershed Projects	222,818	0.00	222,818.32	0	0
PH9000	Popes Head Creek Watershed Plan	65,110	0.00	65,110.00	0	0
PM8000	Pimmit Run Watershed Projects	44,734	0.00	44,734.11	0	0
PN9000	Pond Branch Watershed Plan	150,160	0.00	150,159.70	0	0
SC8000	Scotts Run Watershed Projects	67,471	0.00	67,470.88	0	0
SP0001	NVSWCD Contributory		423,271.00	429,293.00	444,327	444,327
SP0002	Occoquan Monitoring Contributory		112,559.00	112,559.00	112,559	112,559
Total		\$70,459,314	\$8,343,937.47	\$37,652,320.49	\$35,155,455	\$25,070,961

Fund 191

Public School Food and Nutrition Services

Focus

Fund 191, Food and Nutrition Services, totals \$92.6 million in FY 2013 for all Food and Nutrition Services' operational and administrative costs. This fund is entirely self-supporting and is operated under the federally-funded National School Lunch and Child Nutrition Acts.

The Food and Nutrition Services program:

- Procures, prepares and serves lunches, breakfasts, and a la carte items to over 140,000 customers daily;
- Offers breakfasts in 166 schools and centers;
- Contracts meal provision to day care centers, Family and Early Childhood Education Program (FECEP) centers and private schools, and snack provision to all School-Age Child Care (SACC) programs; and
- Provides meals and dietetic consultation at senior nutrition sites and Meals-on-Wheels programs.



Other responsibilities include nutrition education, enforcement of sanitary practices, specifications for food and equipment, and layout and design of kitchens in new schools.

No support from Fund 090, School Operating Fund, is required as sufficient revenues are derived from food sales and federal and state aid.

Fund 191

Public School Food and Nutrition Services

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 191, Public School Food
and Nutrition Services

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance	\$16,042,275	\$13,591,947	\$18,660,911	\$16,983,484	\$16,983,484
Revenue:					
Food Sales	\$46,411,290	\$47,422,168	\$47,422,168	\$46,767,876	\$46,767,876
Federal Aid	26,565,963	25,979,065	25,979,065	27,929,822	27,929,822
State Aid	778,151	791,612	791,612	836,574	836,574
Other Revenue	82,605	61,741	61,741	56,503	56,503
Total Revenue	\$73,838,009	\$74,254,586	\$74,254,586	\$75,590,775	\$75,590,775
Total Available	\$89,880,284	\$87,846,533	\$92,915,497	\$92,574,259	\$92,574,259
Total Expenditures	\$70,927,597	\$72,472,366	\$75,932,013	\$75,590,775	\$75,590,775
Food and Nutrition Services					
General Reserve ³	\$0	\$15,374,167	\$16,983,484	\$16,983,484	\$16,983,484
Total Disbursements	\$70,927,597	\$87,846,533	\$92,915,497	\$92,574,259	\$92,574,259
Inventory Change	\$291,776	\$0	\$0	\$0	\$0
Ending Balance	\$18,660,911	\$0	\$0	\$0	\$0

¹The FY 2012 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their FY 2012 Third Quarter Review.

²Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the FY 2012 Carryover Review.

³ Any unused portion of the allocated Food and Nutrition Services General Reserve carries forward into the subsequent budget year. Accordingly, the FY 2013 beginning balance is the projected ending balance for FY 2012 of \$0 plus the estimated balance for the reserve of \$16,983,484.

Fund 192

Public School Grants and Self-Supporting Programs

Focus

Fund 192, Public School Grants and Self-Supporting Programs, totals \$69.5 million for FY 2013 and consists of two subfunds: the Grants Subfund and the Summer School and Standards of Learning (SOL) Remediation Subfund. FY 2013 revenue reflects federal, state and private industry grants, summer school fees and transfers from Fund 090, School Operating, and Fund 105, Cable Communications.

Fund 192

Public School Grants and Self-Supporting Programs

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 192, Public School Grants and
Self-Supporting Programs

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance³	\$13,216,096	\$1,357,741	\$14,855,343	\$686,953	\$686,953
Revenue:					
State Aid	\$8,296,404	\$9,713,520	\$9,700,687	\$10,058,302	\$10,058,302
Federal Aid	35,554,213	33,602,281	48,380,423	32,695,982	32,695,982
Tuition	1,600,088	2,040,294	2,117,471	1,747,470	1,747,470
Industry, Foundation, Other	1,104,588	26,421	1,064,893	426,421	426,421
Total Revenue	\$46,555,293	\$45,382,516	\$61,263,474	\$44,928,175	\$44,928,175
Transfers In:					
School Operating Fund					
Grants (090)	\$8,865,952	\$8,865,952	\$8,865,952	\$8,865,952	\$8,865,952
School Operating Fund Summer					
School (090)	5,501,757	5,501,757	5,501,757	10,511,040	10,511,040
Cable Communications					
Fund (105) ⁴	2,517,729	3,726,203	3,126,203	3,297,668	4,548,169
Total Transfers In	\$16,885,438	\$18,093,912	\$17,493,912	\$22,674,660	\$23,925,161
Total Available	\$76,656,827	\$64,834,169	\$93,612,729	\$68,289,788	\$69,540,289
Total Expenditures⁵	\$61,801,484	\$64,834,169	\$93,612,729	\$68,289,788	\$69,540,289
Total Disbursements	\$61,801,484	\$64,834,169	\$93,612,729	\$68,289,788	\$69,540,289
Ending Balance	\$14,855,343	\$0	\$0	\$0	\$0

¹The *FY 2012 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their *FY 2012 Third Quarter Review*.

²Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the *FY 2012 Carryover Review*.

³The FY 2013 Beginning Balance reflects \$0.7 million that will be identified in FY 2012 and carried over to fund FY 2013 requirements.

⁴The *FY 2013 Adopted Budget Plan* transfer from Fund 105, County Cable Communications Fund, as well as the corresponding expenditures which it supports, have been adjusted to reflect the final amount from the County of \$5,148,169. In addition, the County's total transfer from Fund 105, Cable Communications Fund, to support school requirements in FY 2012 is \$3,726,203, of which \$3,126,203 is reflected in this fund statement and \$600,000 in Fund 090, Public School Operating Fund. Similarly, \$600,000 from the FY 2013 transfer from Fund 105 to Fund 192 is reflected in the fund statement for Fund 090.

⁵Expenditures shown for the *FY 2012 Adopted Budget Plan* and *FY 2013 Adopted Budget Plan* are adjusted based on the final transfer from Fund 105, Cable Communications, received each year. The County's financial schedules reflect total expenditures as appropriated by the Board of Supervisors when adopting the budget.

Fund 193

Public School Adult and Community Education

Focus

Fund 193, Public School Adult and Community Education, provides lifelong literacy and education opportunities for all residents and students of Fairfax County through adult education programs such as basic skill education, high school completion and English for Speakers of Other Languages (ESOL). FY 2013 expenditures are estimated at \$10.8 million.

The Fund also provides for pre-kindergarten through grade 12 support programs, including behind-the-wheel driver education, SAT preparation, summer school, before- and after-school enrichment activities and remediation support.



Fund 193

Public School Adult and Community Education

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 193, Public School Adult and
Community Education

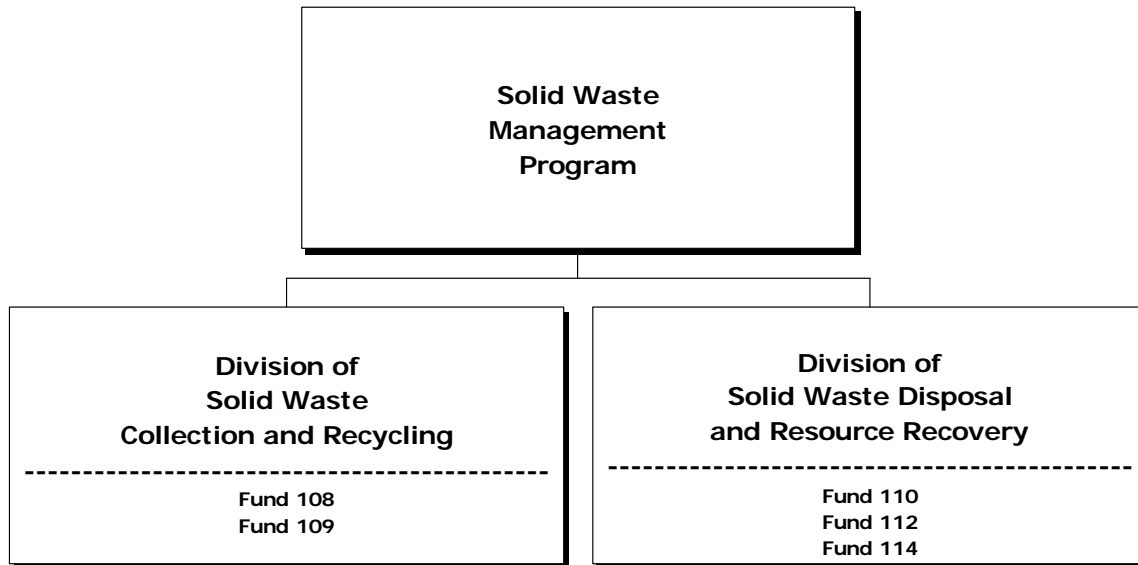
	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance³	\$797,797	\$86,271	\$197,748	\$86,271	\$86,271
Revenue:					
State Aid	\$627,631	\$685,243	\$685,243	\$685,243	\$685,243
Federal Aid	794,483	662,139	878,125	662,139	662,139
Tuition	7,122,070	8,628,087	8,628,087	8,628,087	8,628,087
Industry, Foundation, Other	399,857	378,969	443,969	378,969	378,969
Total Revenue	\$8,944,041	\$10,354,438	\$10,635,424	\$10,354,438	\$10,354,438
Transfers In:					
School Operating Fund (090)	\$400,000	\$400,000	\$400,000	\$400,000	\$400,000
Total Transfers In	\$400,000	\$400,000	\$400,000	\$400,000	\$400,000
Total Available	\$10,141,838	\$10,840,709	\$11,233,172	\$10,840,709	\$10,840,709
Total Expenditures	\$9,944,090	\$10,840,709	\$11,233,172	\$10,840,709	\$10,840,709
Total Disbursements	\$9,944,090	\$10,840,709	\$11,233,172	\$10,840,709	\$10,840,709
Ending Balance	\$197,748	\$0	\$0	\$0	\$0

¹The FY 2012 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their FY 2012 Third Quarter Review.

²Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the FY 2012 Carryover Review.

³The FY 2013 beginning balance reflects \$86,271 that will be identified in FY 2012 and carried over to fund FY 2013 requirements.

Solid Waste Management Program



Mission

To protect the public interest through solid waste management planning and regulatory oversight of the County's refuse ordinances by providing efficient and effective collection, recycling, and disposal of solid waste for customers in an environmentally responsible manner.

Focus

The Solid Waste Management Program is responsible for the management and long-range planning for all refuse and recycling within the County. Operations include a County-owned and operated refuse transfer station, a privately owned and operated Energy/Resource Recovery Facility (E/RRF), two closed municipal solid waste landfills, a regional Ashfill operated by the County, two recycling and disposal facilities, eight drop-off sites for recyclable materials, and equipment and facilities for refuse collection, disposal, and recycling operations. The operation of the Solid Waste Management Program is achieved through the Division of Solid Waste Collection and Recycling and the Division of Solid Waste Disposal and Resource Recovery in the Department of Public Works and Environmental Services.

Division of Solid Waste Collection and Recycling

The Division of Solid Waste Collection and Recycling (DSWCR) manages two funds including Fund 108, Leaf Collection, and Fund 109, Refuse Collection and Recycling Operations.

Fund 108, Leaf Collection, provides curbside vacuum leaf collection within Fairfax County's 38 approved leaf collection districts. For FY 2013, approximately 25,000 homes are included within these districts. Revenue for Fund 108 is derived from a levy charged to homeowners within leaf collection districts. The FY 2013 leaf collection levy will remain as it has for the past four years at a rate of \$0.015 per \$100 of assessed real estate value.

Fund 109, Refuse Collection and Recycling Operations, provides for collection of refuse and recycling from approximately 44,000 individual households within Fairfax County's approved sanitary districts. Revenue to support residential collection operations is derived from the refuse collection fee. In FY 2013, the annual collection fee of \$345 for sanitary district customers is recommended to remain at the same level as it has for the past four years.

Solid Waste Management Program

Fund 109 also supports collection of refuse and recycling from properties owned and occupied by Fairfax County. Revenue for this service is collected from County agencies to which the service is provided. Fund 109 also provides funds for management of the solid waste collection services for General Fund programs (DSW-GF) including Community Cleanup, Court/Board Directed Cleanups, Evictions and Health Department Referral operations.

The County's Recycling Program is also funded through Fund 109. This program consists of all outreach and education about the County's entire solid waste management program; operation of the eight County recycling drop off centers; opportunities to recycle items not collected at the curb such as computers, televisions, rechargeable batteries, and compact fluorescent lamps; document shredding opportunities for County residents; and participation in all major County events.

Division of Solid Waste Disposal and Resource Recovery

The Division of Solid Waste Disposal and Resource Recovery manages three funds. Fund 110, Refuse Disposal, provides for delivering refuse collected throughout Fairfax County to the Energy/Resource Recovery Facility (E/RRF), the Prince William County Facility or other appropriate landfill; transferring yard waste to compost facilities; coordinating the facility use agreement between Fairfax and Prince William Counties; operating the County's automotive battery recycling program, white goods recycling program (i.e., refrigerators, dishwashers, washer and dryers, etc.) and Household Hazardous Waste (HHW) program; managing the Recycling and Disposal Centers; and brush mulching services. Fund 112, Energy/Resource Recovery Facility, provides for the disposal of refuse at the E/RRF. Fund 114, I-95 Refuse Disposal, provides management and operational control at the I-95 Ashfill for all regional participants.

In the last decade, the County's solid waste disposal program has faced financial pressures, primarily due to law changes that affected waste management practices. In recent years, the system experienced a decrease in waste tonnage, reflecting lower quantities of consumer waste associated with the downturn in the regional and national economy. Part of the decrease in waste disposed is attributable to increased recycling in the County, which has a positive impact on the environment. The revenue generated remains adequate to support operational requirements and necessary reserves.

Under the current industry environment, the County's competitive pricing system for Fund 110, Refuse Disposal, has proved to be sufficient to cover the current disposal operation costs as well as the cost of the non-revenue generating programs. These non-revenue based programs and include the countywide recycling program; the Household Hazardous Waste program; maintenance and environmental monitoring of the closed I-66 landfill; and the Code Enforcement Program. In FY 2013, the system disposal charge and the Recycling and Disposal Center fee are set at \$60 per ton, the same level as FY 2012. A contractual disposal rate for FY 2013 will be negotiated with private waste haulers, but is anticipated to be \$53 per ton, the same as FY 2012.

Fund 112, Energy/Resource Recovery Facility (E/RRF), funds the County's waste-to-energy facility which annually processes over 1 million tons of waste. This waste is used to generate electrical power in excess of 80 megawatts, enough to power approximately 75,000 homes annually. The County charges a tip fee to all users of the E/RRF and subsequently pays the contractual disposal fee to Covanta Fairfax, Incorporated (CFI) from these revenues. The formula-driven contract between the County and CFI is based on support requirements for incinerator operations. The yearly estimate is calculated using expenses for plant operations and maintenance costs, bond retirement payments and other pass through costs. Other pass through costs such as landfilling incinerator ash, reagents and utilities are significantly offset by credits derived from the sale of electricity to Dominion Virginia Power and recovery of ferrous

Solid Waste Management Program

and non-ferrous metals from the ash. The County recently considered exercising its option under the Service Agreement with CFI to purchase the Energy/Resource Recovery Facility. The County did not elect to purchase the facility by June 3, 2011; therefore the current contract will remain in effect until February 1, 2016. Staff continues to explore alternatives for waste disposal in the future. Careful management of the Service Agreement with CFI, increasing revenues from electricity sales and metal recycling, and final payment of the construction bonds have allowed the County to hold down disposal fees charged to customers. Based on the uncertainty of disposal methods and rates in the future, the disposal rate of \$29 per ton will remain the same through FY 2013. This will allow staff to prepare for a potential transition and buffer against sharp rate increases in the future.

Fund 114, I-95 Refuse Disposal, funds the County's I-95 Sanitary Ashfill which has served the solid waste disposal needs of the residents of Fairfax County, as well as, participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Sanitary Ashfill closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Sanitary Ashfill continues to operate as a model facility - meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills or recycling facilities for final disposal. In FY 2013, the Refuse Disposal fee will increase to \$17.50 per ton from the FY 2012 level of \$15.50 per ton. It should be noted that the ash disposal rate at I-95 is anticipated to increase in future years to accommodate operational requirements and provide sufficient reserve funding for capital projects and post closure care.

Specific description, discussion, and funding requirements for each fund of the Solid Waste Management Program can be found in the subsequent pages.

OPERATIONAL FEE STRUCTURE

Solid Waste Operations Fee Structure¹

	Fund 108, Leaf Collection	Fund 109, Refuse Collection and Recycling Operations	Fund 110, Refuse Disposal	Fund 112, E/RRF	Fund 114, I-95 Refuse Disposal
FY 2013 Fee	\$0.015/\$100 Assessed Property Value	\$345 Curbside	\$60/Ton, System Fee \$53 Estimated (to be negotiated) Contract/Discount \$60/Ton, Recycling and Disposal Center	\$29/Ton	\$17.50/Ton
FY 2012 Fee	\$0.015/\$100 Assessed Property Value	\$345 Curbside	\$60/Ton, System Fee \$53 Negotiated Contract/Discount \$60/Ton Recycling and Disposal Center	\$29/Ton	\$15.50/Ton
Who Pays	Leaf District Residents	Sanitary District Residents	Private Collectors, Residents and County Agencies through Fund 109	The County through Fund 110	E/RRF, Fund 110, and Participating Jurisdictions

¹ There are numerous special rates that have been negotiated and implemented as needed which are not reflected in the structure above. Examples include varying miscellaneous charges for yard debris (brush, grass, and leaves), tires, and others.

Solid Waste Management Program

Key Performance Measures – Division of Solid Waste Collection and Recycling Operations

Objectives

- ◆ To remove at least 95 percent of the leaves placed at the curb by citizens, within each leaf collection district, during the specified leaf collection period.
- ◆ To provide high quality refuse collection services ensuring the removal of trash in County sanitary districts while maintaining a customer service rating of good or better at 95 percent or above.
- ◆ To provide high quality refuse collection services to designated Fairfax County agencies while limiting program cost increases where possible.
- ◆ Within sanitary districts, continue to achieve the state-mandated recycling rate of at least 25 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Tons of leaves collected	6,586	6,533	8,500 / 7,133	7,000	7,000
Tons of refuse collected from residential customers	77,172	77,249	74,000 / 65,600	65,600	65,600
Tons of refuse collected from County agencies	9,237	9,235	9,300 / 9,576	9,300	9,200
Total tons recycled	491,113	445,625	489,000 / 472,646	450,000	450,000
Efficiency:					
Net cost per home for leaf collection	\$71.47	\$89.94	\$94.02 / \$94.23	\$96.67	\$97.63
Net cost per home per year for residential refuse collection	\$274.81	\$264.62	\$272.16 / \$267.39	\$278.17	\$283.94
Net cost per ton for refuse collected from County agencies	\$106.94	\$104.01	\$108.32 / \$104.20	\$104.80	\$105.03
Net cost per home per year for residential recycling collection	\$36.43	\$39.44	\$43.11 / \$42.77	\$46.92	\$47.18
Service Quality:					
Percent of leaf customers rating service good or better	93.0%	92.0%	88.0% / 90.0%	88.0%	88.0%
Percent of residential refuse customers rating service good or better	94.9%	90.0%	95.0% / 91.0%	95.0%	95.0%
Percent of County agencies rating services good or better	95.0%	98.0%	95.0% / 95.0%	95.0%	95.0%
Percent of residential recycling customers rating services good or better	87.2%	80.0%	89.0% / 88.7%	89.0%	89.0%
Outcome:					
Percent of customers' leaves removed from curb	97.0%	96.0%	95.0% / 96.0%	95.0%	95.0%
Percentage point change in residential refuse customers rating services good or better	(3.7%)	(4.9%)	5.0% / 4.0%	0.0%	0.0%
Percent change in refuse cost per ton for County agencies	10.73%	(2.74%)	4.14% / 0.90%	3.72%	1.8%
Total County recycling rate	40.0%	42.0%	25.0% / 42.0%	25.0%	25.0%

Solid Waste Management Program

Performance Measurement Results

The Division of Solid Waste Collection and Recycling continues to provide residential collection customers with a very high level of service. Leaf collection customers continue to see at least 95 percent of all leaves that fall on their properties removed by staff once raked to the curb. Customers receiving refuse collection from Fairfax County continually rate the service as good or excellent and have done so once again for FY 2011. County agencies that receive refuse and recycling collection services from Fairfax County give the agency its highest rating with 95 percent of customers rating service good or excellent.

Key Performance Measures – Division of Solid Waste Disposal and Resource Recovery

Objectives

- ◆ To provide a sanitary facility for receiving, loading and transporting commercial and residential refuse by the most feasible and economical method available, while maintaining a 100 percent satisfactory rating from state inspections at the I-66 Transfer Station.
- ◆ To deliver no less than the Guaranteed Annual Tonnage (GAT) amount of 930,750 tons of municipal solid waste to the E/RRF as required under the contractual obligations of the Service Agreement between Covanta Fairfax, Inc. and Fairfax County.
- ◆ To manage the I-95 Ashfill in an efficient, environmentally safe manner, meeting 100 percent of the regulatory standards; and to provide a permitted site where ash resulting from the E/RRF and other participating jurisdictions can be properly disposed.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Tons of material processed at the I-66 Transfer Station	742,136	682,717	772,436 / 673,642	713,822	701,850
Tons of material delivered to the E/RRF	991,953	966,703	1,020,000 / 996,051	1,020,000	1,020,000
Tons of ash disposed at the I-95 Ashfill	339,312	322,271	340,000 / 322,621	340,000	340,000
Efficiency:					
Cost per ton of material processed at the I-66 Transfer Station	\$21.97	\$22.71	\$22.30 / \$22.96	\$23.23	\$24.19
Cost per ton of material processed at the E/RRF	\$34.07	\$35.43	\$33.10 / \$26.68	\$17.84	\$19.83
Cost per ton to dispose ash at the I-95 Ashfill	\$11.50	\$13.50	\$13.50 / \$13.50	\$15.50	\$17.50
Service Quality:					
Number of satisfactory State DEQ ratings at the I-66 Transfer Station	4	4	4 / 4	4	4
Tons delivered to the E/RRF in excess of GAT	61,203	35,953	89,250 / 65,301	89,250	89,250
Number of satisfactory State DEQ ratings at the I-95 Ashfill	6	4	4 / 4	4	4
Outcome:					
Percent satisfactory State DEQ inspection ratings at the I-66 Transfer Station	100%	100%	100% / 100%	100%	100%
Percent of GAT met	106.58%	103.90%	109.59% / 107.02%	109.59%	109.59%
Percent satisfactory State DEQ inspection ratings at the I-95 Ashfill	100%	100%	100% / 100%	100%	100%

Solid Waste Management Program

Performance Measurement Results

The I-66 Complex received satisfactory ratings, the highest possible, from the Virginia Department of Environmental Quality (DEQ) for all inspections conducted during FY 2011. The facility is in compliance with all provisions of the operating permits and the Virginia Solid Waste Management Regulations. The continuous decrease in tonnage processed through the I-66 Complex, in the last four years, reflects the national and regional economic condition and has resulted in higher per ton costs as fixed costs are spread over fewer tons.

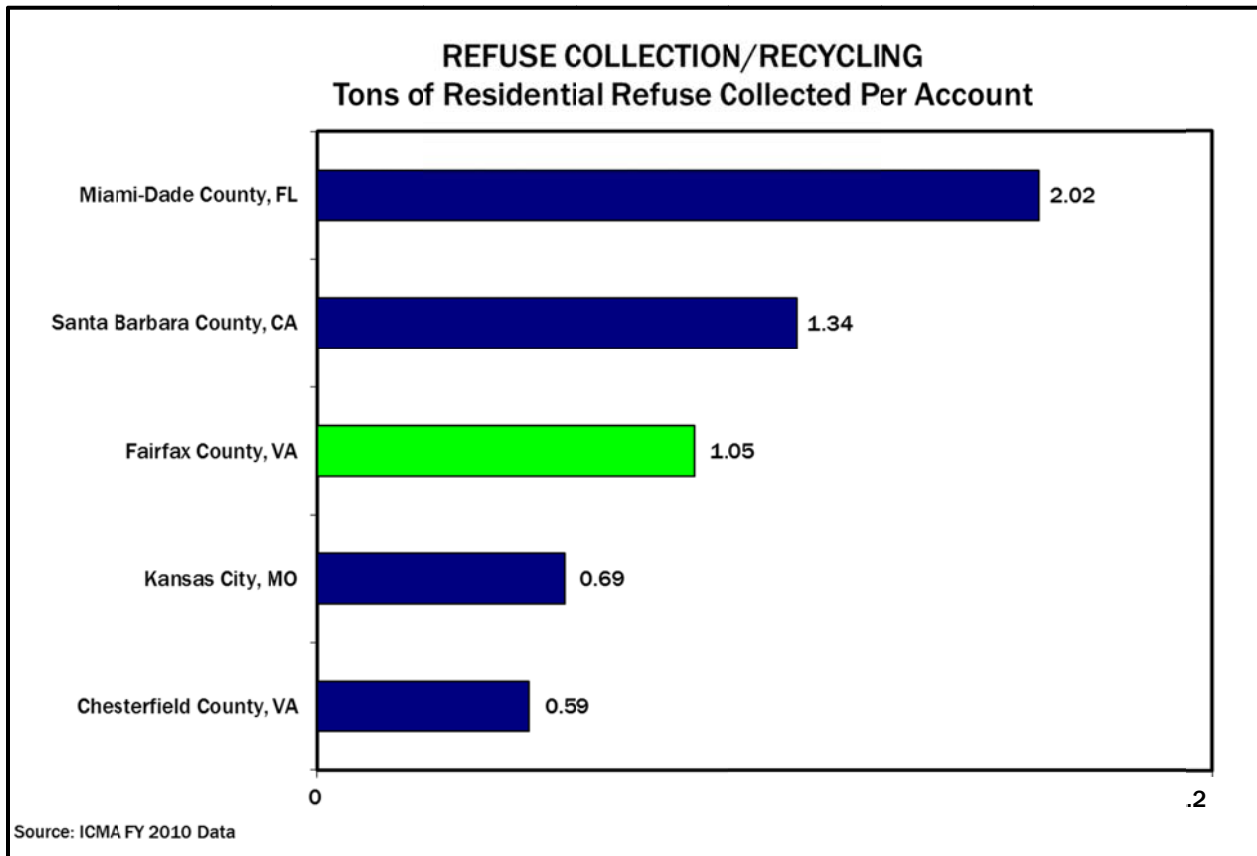
The FY 2012 estimated cost to process waste is \$17.84 per ton, based on anticipated operational costs and contractual obligations to Covanta, Inc., who operates the E/RRF. This reduced cost is attributable to the construction bonds being paid in full in FY 2011.

The FY 2013 estimated cost to process waste is \$19.83 per ton, based on anticipated operational costs and contractual obligations to Covanta Inc., who operates the Energy Resource and Recovery Facility.

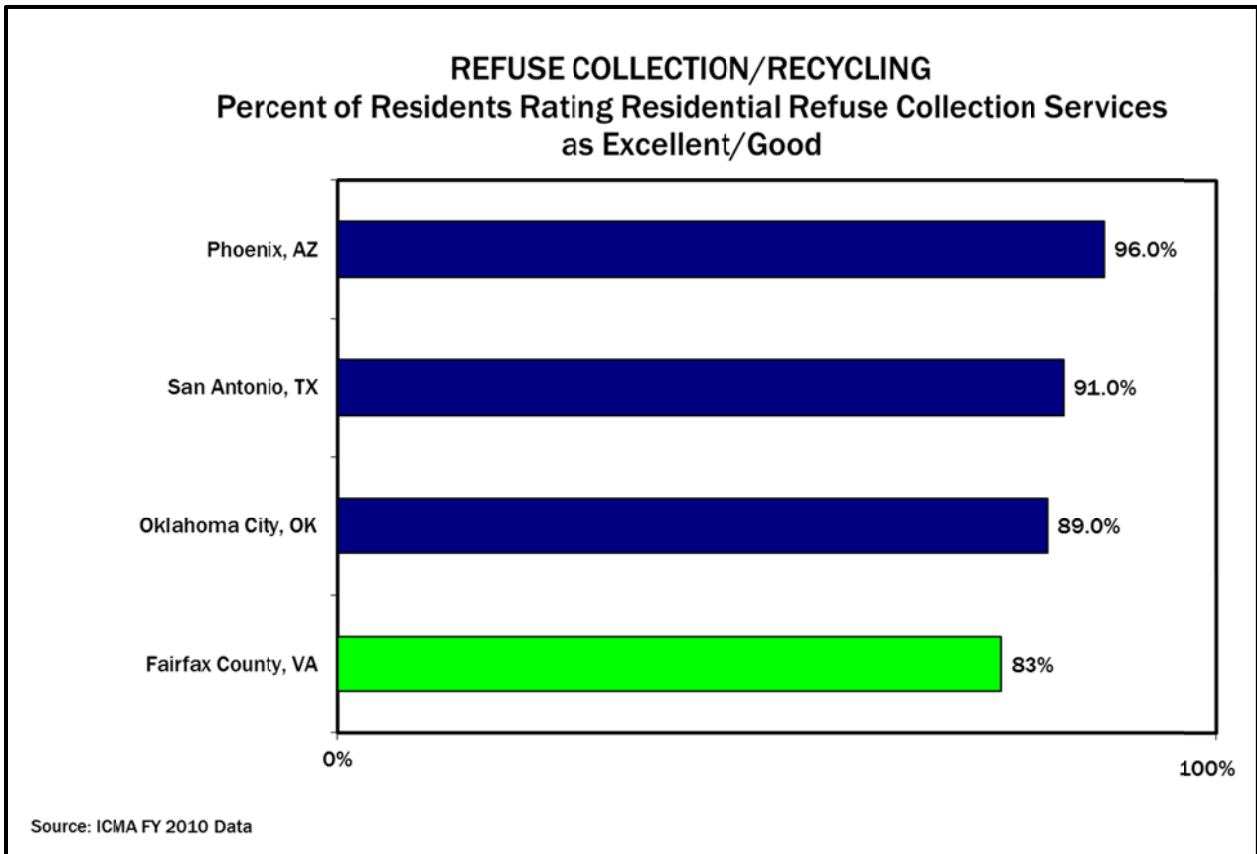
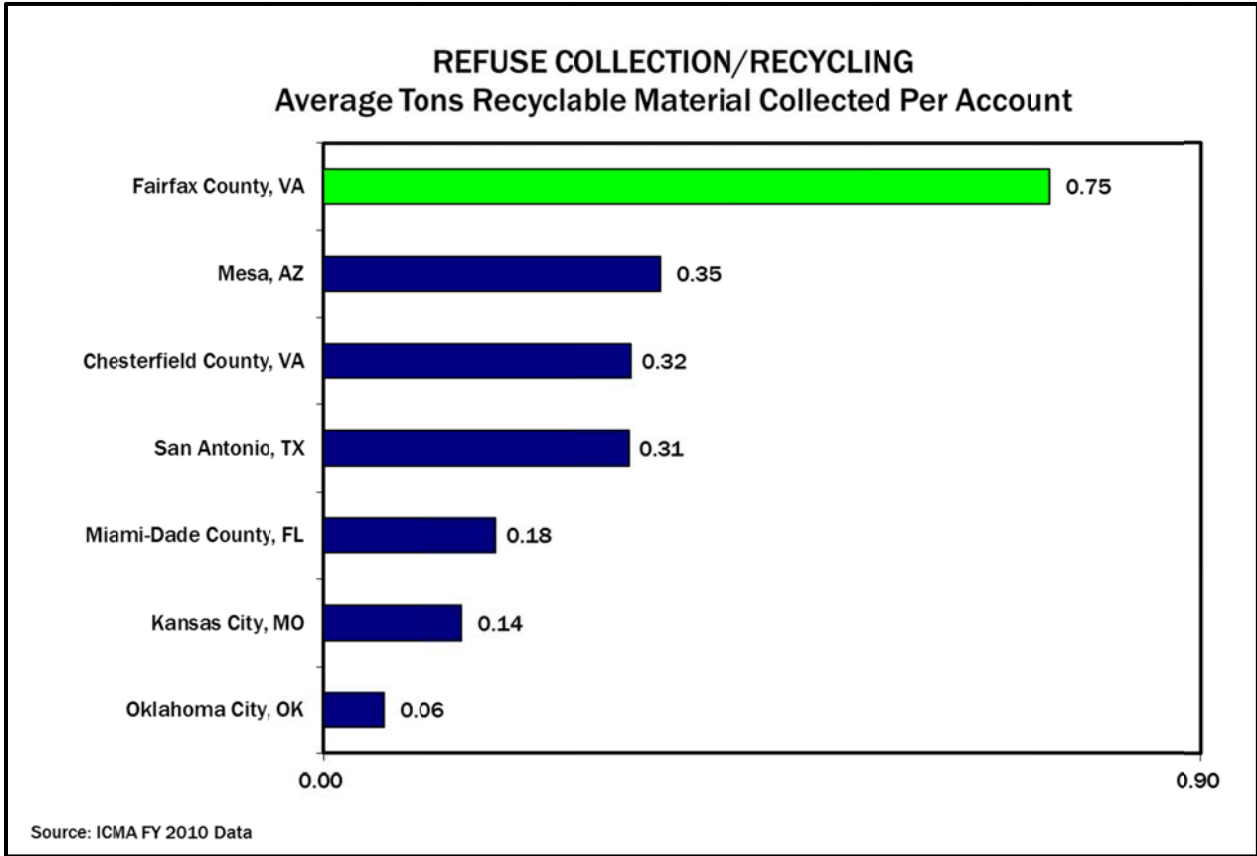
Solid Waste Management Program

Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. Since 2000, Fairfax County has participated in the International City/County Management Association's (ICMA) benchmarking effort. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time necessary to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2010 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Refuse Collection/Recycling is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark. However, as shown on the following pages, Fairfax County compares favorably in both efficiency and effectiveness.



Solid Waste Management Program



Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

Mission

To provide funding support for the elimination of unsanitary conditions that present a hazard to the environment and to the health, safety and welfare of County residents.

Focus

The General Fund provides funding to operate the Community Cleanup Program, Court/Board-directed Cleanups, the Health Department Referral Program, the Eviction Program and Emergency Storm Cleanup.

The Division of Solid Waste Collection and Recycling (DSWCR) through Fund 109, Refuse Collection and Recycling Operations, provides equipment and personnel for program operations. The Community Cleanup Program supports community and civic associations' efforts to enhance and maintain the appearance of neighborhoods and the environment. In addition, the agency eliminates hazardous conditions identified by the Fairfax County Courts, the Fairfax County Board of Supervisors, the Fairfax County Health Department and the Fairfax County Sheriff's Office with regards to evictions.

All charges incurred by Fund 109, Refuse Collection and Recycling Operations, for providing collection and disposal services for these programs are billed to the General Fund. The overall cost to the General Fund is reduced by the cleanup fees recovered from property owners for cleanup work performed on their property at the direction of the Health Department or the County Courts. The recovered funds are returned to the General Fund.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the [FY 2013 Adopted Budget Plan](#) for those items.

Budget and Staff Resources

Solid Waste General Fund Programs					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Solid Waste General Fund Programs					
Community Cleanup	\$329,932	\$309,785	\$309,785	\$309,785	\$309,785
Health Department Referral	2,840	2,341	2,341	2,341	2,341
Evictions	7,410	14,380	14,380	14,380	14,380
Court/Board-Directed Cleanups	15,494	31,819	31,819	31,819	31,819
Total Expenditures	\$355,676	\$358,325	\$358,325	\$358,325	\$358,325
Income					
Cleanup Fees ¹	\$2,897	\$13,000	\$2,900	\$2,900	\$2,900
Total Income	\$2,897	\$13,000	\$2,900	\$2,900	\$2,900
Net Cost to the County	\$352,779	\$345,325	\$355,425	\$355,425	\$355,425

¹ The overall cost to the General Fund is reduced by fees recovered from property owners, who are charged for cleanup work performed on their property at the direction of the Health Department, or by sanctions imposed at the direction of the County Court for cleanups stemming from zoning violations.

Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ The FY 2013 funding level remains the same as the FY 2012 Adopted Budget Plan.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no revisions to this agency since approval of the FY 2012 Adopted Budget Plan.

Fund 108

Leaf Collection

Mission

To provide vacuum leaf collection service at the streetline for all customers within designated sanitary leaf districts on three separate occasions during the leaf collection season (the period from October through January) in order to enhance the County's aesthetic environment.

Focus

The Division of Solid Waste Collection and Recycling currently provides for leaf collection and disposal within 38 Fairfax County Collection Districts. Leaf Districts are established and abolished through a petition process approved by the Board of Supervisors. This process could result in an increase or a decrease in the number of residential or commercial properties within a specific collection district, or a district could be totally eliminated. Petition approvals affect the number of units serviced in a given year.

All leaves collected are either transported to a composting facility in Loudoun County or Prince William County or mulched and provided to citizens. Revenue is derived from a collection levy (service fee) that is charged to homeowners and businesses within the leaf districts. The FY 2013 levy is \$0.015 per \$100 of assessed real estate value, an amount that is unchanged from the FY 2012 level. This will generate an estimated \$2,048,170 in revenue in FY 2013. This level is an increase from the FY 2012 estimate, due to the incline in the housing market which has effected FY 2013 real estate assessments. The County will continue to monitor the impact of real estate values on this fund, to ensure that sufficient funds and balances are available from leaf assessment revenue to cover future year costs.



Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the [FY 2013 Adopted Budget Plan](#) for those items.

Fund 108 Leaf Collection

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$2,213,069	\$2,404,038	\$2,404,038	\$2,438,035	\$2,438,035
Capital Equipment	16,239	0	0	108,000	108,000
Total Expenditures	\$2,229,308	\$2,404,038	\$2,404,038	\$2,546,035	\$2,546,035

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Leaf Collection Costs** **\$33,997**
An increase of \$33,997 in Operating Expenses is required due to an increase in costs of services performed by other agencies that support the leaf collection program to account for the market rate adjustment approved in FY 2012.

- ◆ **Capital Equipment** **\$108,000**
Funding of \$108,000 in Capital Equipment is for the replacement of six leaf vacuum machines based on age, mileage criteria and repair costs.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no revisions to this fund since approval of the FY 2012 Adopted Budget Plan.

Fund 108 Leaf Collection

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 108, Leaf Collection

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$3,510,308	\$3,133,614	\$3,214,530	\$2,730,846	\$2,730,846
Revenue:					
Interest on Investments	\$17,583	\$6,279	\$6,279	\$8,192	\$8,192
Rental of Equipment	50,016	47,530	47,530	50,400	50,400
Sale of Equipment	6,637	0	0	18,000	18,000
Miscellaneous Revenue	524	0	0	0	0
Leaf Collection Levy/Fee ¹	1,858,770	1,866,545	1,866,545	2,048,170	2,048,170
Total Revenue	\$1,933,530	\$1,920,354	\$1,920,354	\$2,124,762	\$2,124,762
Total Available	\$5,443,838	\$5,053,968	\$5,134,884	\$4,855,608	\$4,855,608
Expenditures:					
Operating Expenses	\$2,213,069	\$2,404,038	\$2,404,038	\$2,438,035	\$2,438,035
Capital Equipment	16,239	0	0	108,000	108,000
Total Expenditures	\$2,229,308	\$2,404,038	\$2,404,038	\$2,546,035	\$2,546,035
Total Disbursements	\$2,229,308	\$2,404,038	\$2,404,038	\$2,546,035	\$2,546,035
Ending Balance²	\$3,214,530	\$2,649,930	\$2,730,846	\$2,309,573	\$2,309,573
Equipment Replacement Reserve	\$846,902	\$846,902	\$846,902	\$738,902	\$738,902
Rate Stabilization Reserve ³	2,367,628	1,803,028	1,883,944	1,570,671	1,570,671
Unreserved Balance	\$0	\$0	\$0	\$0	\$0
Leaf Collection Levy/Fee per \$100					
Assessed Value	\$0.015	\$0.015	\$0.015	\$0.015	\$0.015

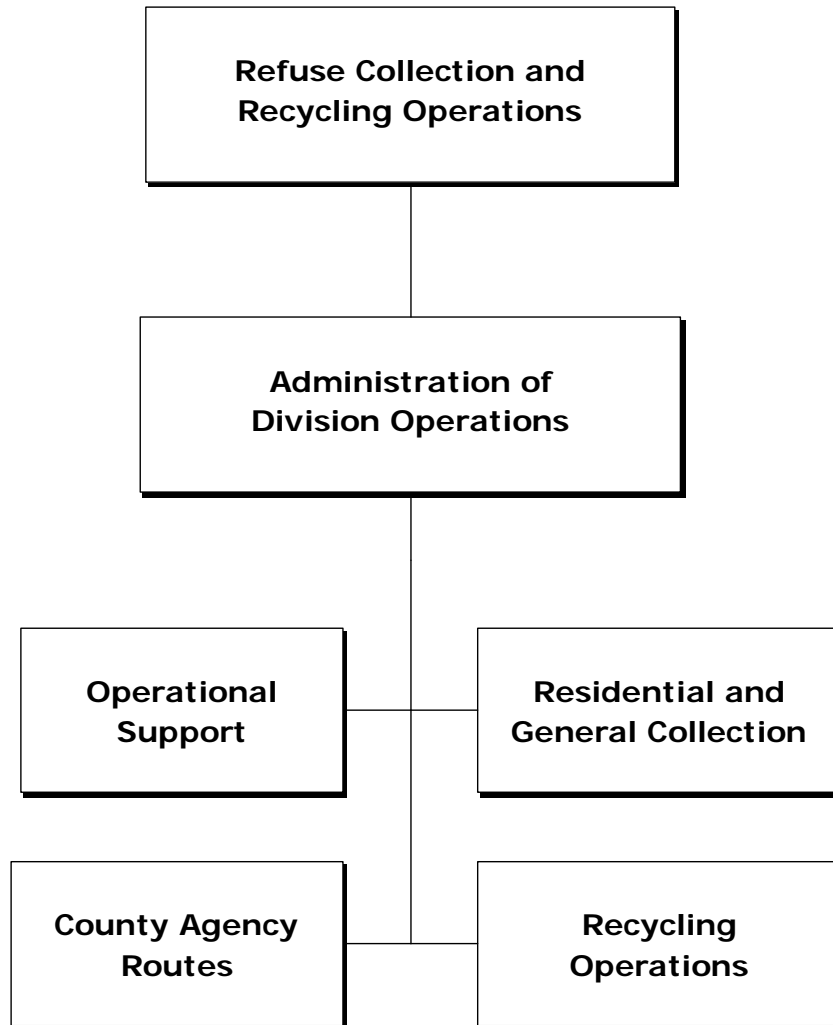
¹ Based on the most current assessment data, the FY 2012 revenue projection is estimated to be approximately \$1.98 million. Additional revenue growth of 3.3 percent is anticipated in FY 2013.

² Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of expenditure requirements.

³ The Rate Stabilization Reserve provides funds to mitigate against any need for an unusually large rate increase in a future year.

Fund 109

Refuse Collection and Recycling Operations



Mission

To protect Fairfax County citizens against disease, pollution, and other contamination associated with the improper disposal of refuse, by providing efficient and economical refuse collection services to citizens in 83 refuse collection sanitary districts and to Fairfax County agencies. To reduce the County's municipal solid waste stream through the effective development, implementation and management of comprehensive waste reduction and recycling programs to ensure that Fairfax County meets or exceeds the Commonwealth of Virginia's mandated goal of recycling 25 percent of the solid waste stream.

Focus

The Division of Solid Waste Collection and Recycling (DSWCR), (Fund 109, Refuse Collection and Recycling Operations), is responsible for the collection of refuse and recyclable materials within Fairfax County's sanitary districts, County agencies and eight un-manned recycling drop-off centers. The agency coordinates the County's waste reduction and recycling program. It is also responsible for the administration and program operations of the Solid Waste General Fund Programs (e.g., Health Department Referrals, Community Cleanups, Evictions and Court-Ordered Cleanups) on behalf of the County.

Fund 109

Refuse Collection and Recycling Operations

Residents within sanitary refuse collection districts, which are created by the Board of Supervisors upon citizen petition, are charged an annual fee for service through the semi-annual property tax collection system. In FY 2013, the rate of \$345 will remain the same as the FY 2012 Adopted Budget Plan level.

Additional revenue for Fund 109 is generated from the sale of recyclables which serves to partially offset expenditure requirements. Furthermore, Fund 109 administers recycling operations for Fund 110, Refuse Disposal, and is reimbursed by Fund 110 for performing that service. During FY 2013, DSWCR will continue to use County staff and equipment to collect refuse and recyclables on residential routes.

DSWCR is responsible for the collection of refuse from County agencies and several institutions including George Mason University and Northern Virginia Community College, Annandale Campus. Revenue is derived from billings to County agencies and other institutions based on the cubic yard capacity of the containers assigned to individual agencies as needed to provide adequate service. The cost per cubic yard is formula-driven and is based on fiscal year operating expenses.

DSWCR will continue two programs designed to address oversized piles of waste and illegal dumping throughout the County. The first program, entitled *MegaBulk*, provides residents with a convenient and cost-competitive way to remove oversized piles of waste while providing a revenue stream for the collection program. This service is provided by DSWCR operational staff and is billed individually to each customer based on the size of the pile of refuse that is placed at the curb. Residents request the service from DSWCR, are provided with a price for the service prior to collection and may pay by check or credit card. Residents are not obligated to use the service even after a price quote is provided, as they may elect to use another company to perform the work.

The second program entitled *Clean Streets Initiative (CSI)*, partners with the Fairfax County Health Department to respond to complaints about uncollected waste dumped or illegally placed on properties throughout the County. The Health Department refers the complaint to DSWCR to contact the property owner to compel him/her to remove the waste. If the owner refuses to remove the waste, then DSWCR staff removes the material for disposal and the owner is billed for the service. If the owner still refuses to pay, a lien is placed on the property for the price of the service.

In FY 2011 and FY 2012, new rolling recycling carts were distributed to the County's approximate 44,000 residential refuse and recycling collection customers. These containers allow residents to recycle cans, bottles, paper and cardboard in the same container which then can be rolled to the curb, they also provide more collection capacity than the former containers. These new containers have been outfitted with radio frequency identification tags (RFID). The RFID tag allows the County to attach the serial number of the cart to an individual address in order to ensure that each residence has the appropriate containers and to track the location of the carts. DSWCR also invested in a new customer service software system to better serve residents. The new system is a web-based tool hosted on a server maintained by the contractor which provides the software. This software promotes improved tracking and scheduling of customer service requests and allows for the entire history of service at a particular location to be archived in the system so that customer service representatives can view the service history at an individual address.

Recycling Operations is responsible for providing the overall management of solid waste reduction and recycling programs that are required by the County and for developing plans for future recycling programs and waste reduction systems. The goal in FY 2013 is to maintain the recycling rate in the municipal solid waste stream at or above the Commonwealth of Virginia mandated goal of 25 percent.

Fund 109 Refuse Collection and Recycling Operations

Based on Calendar Year 2011, the current rate is 47 percent. (Please note that the annual recycling rate for Fairfax County is calculated on a calendar year basis as required by state rules.)

Agency performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2013 Adopted Budget Plan for those items.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	150 / 150	150 / 150	149 / 149	149 / 149	149 / 149
Expenditures:					
Personnel Services	\$10,608,629	\$10,166,397	\$10,284,519	\$10,545,258	\$10,641,879
Operating Expenses	8,660,316	9,455,653	9,512,156	9,455,653	9,455,653
Capital Equipment	248,324	1,359,600	2,243,540	2,680,600	2,680,600
Capital Projects	10,866	100,000	871,713	125,000	125,000
Subtotal	\$19,528,135	\$21,081,650	\$22,911,928	\$22,806,511	\$22,903,132
Less:					
Recovered Costs	(\$881,757)	(\$843,332)	(\$843,332)	(\$843,332)	(\$843,332)
Total Expenditures	\$18,646,378	\$20,238,318	\$22,068,596	\$21,963,179	\$22,059,800

Summary By Cost Center					
Category ¹	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Administration of Division Operations	\$722,892	\$1,310,640	\$1,325,075	\$1,402,584	\$1,414,905
Operational Support	935,331	1,058,494	1,839,035	1,107,926	1,115,463
Residential and General Collections	13,301,240	14,258,805	15,254,577	15,759,718	15,820,264
County Agency Routes	1,568,163	1,438,002	1,443,248	1,482,517	1,486,995
Recycling Operations	2,118,752	2,172,377	2,206,661	2,210,434	2,222,173
Total Expenditures	\$18,646,378	\$20,238,318	\$22,068,596	\$21,963,179	\$22,059,800

¹ Capital Projects expenditures are shown under the Operational Support Cost Center.

Fund 109

Refuse Collection and Recycling Operations

Position Summary		
<p><u>Administration of Division Operations</u></p> <p>1 Director of Refuse Collection and Recycling</p> <p>1 Public Works Environmental Services Manager</p> <p>1 Management Analyst III</p> <p>2 Management Analysts II</p> <p>1 Safety Analyst</p> <p>1 Network/Telecomm. Analyst I</p> <p>4 Administrative Assistants IV</p> <p>1 Administrative Assistant III</p>	<p><u>Operational Support</u></p> <p>1 Refuse Superintendent</p> <p>2 Asst. Refuse Superintendents</p> <p>2 Public Works Environmental Services Specialists</p> <p>1 Administrative Assistant III</p> <p>3 Administrative Assistants II</p> <p>1 Welder I</p> <p>1 Welder II</p> <p>2 Equipment Repairers</p> <p><u>Residential and General Collections</u></p> <p>1 Management Analyst II</p> <p>1 Public Works Environmental Services Specialist</p> <p>4 Heavy Equipment Supervisors</p> <p>10 Heavy Equipment Operators</p> <p>31 Motor Equipment Operators</p> <p>58 Maintenance Workers</p> <p>1 Safety Analyst</p>	<p><u>County Agency Routes</u></p> <p>4 Heavy Equipment Operators</p> <p>1 Engineering Technician I</p> <p>1 Heavy Equipment Supervisor</p> <p><u>Recycling Operations</u></p> <p>1 Public Works Environmental Services Specialist</p> <p>1 Management Analyst IV</p> <p>1 Management Analyst II</p> <p>2 Management Analysts I</p> <p>1 Internet/Intranet Architect I</p> <p>3 Heavy Equipment Operators</p> <p>1 Communications Specialist II</p> <p>1 Engineering Technician II</p> <p>1 Maintenance Worker</p>
TOTAL POSITIONS		
149 Positions / 149.0 Staff Years		

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- Employee Compensation** **\$268,706**
 An increase of \$268,706 in Personnel Services reflects \$172,085 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$96,621 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- Full Year Impact of FY 2012 Market Rate Adjustment** **\$154,777**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$154,777 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- Other Post-Employment Benefits** **\$51,999**
 An increase of \$51,999 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

Fund 109

Refuse Collection and Recycling Operations

- ◆ **Capital Equipment** **\$2,680,600**
Funding of \$2,680,600 for the replacement of Capital Equipment including \$1,250,000 for five automated trucks, \$1,000,000 for four cranes to collect and transport residential refuse, \$150,000 for one rear loading packer, \$143,600 for four roll-off compactors, \$60,000 for two pick-up trucks, \$45,000 for one cube van to deliver wheeled carts to various sanitary districts, and \$32,000 for four roll-off containers. These replacement items have all exceeded their useful life and are required to be replaced based on age, mileage, frequency of costly repairs, excessive downtime and overall condition of the equipment.

- ◆ **Capital Projects** **\$125,000**
Funding of \$125,000 is included for an on-going project to repair and renovate the Newington equipment facility. This phase involves the repair and replacement of the exterior windows and doors on the 20 year old facility, which are experiencing leaking and are in an advance state of deterioration.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$1,830,278**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$118,122 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$56,503 in Operating Expenses, \$883,940 in Capital Equipment, as well as, \$771,713 in unexpended project balances.

- ◆ **Position Movement** **\$0**
During FY 2012, Fund 109, Refuse Collection and Recycling Operations transferred 1/1.0 SYE position to Fund 125, Stormwater Services to support additional and specialized maintenance required at a growing number of Low Impact Development facilities, including facilities with bio-retention, vegetated swales and green roofs.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 109

Refuse Collection and Recycling Operations

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 109, Refuse Collection

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$8,559,226	\$8,059,886	\$11,266,686	\$9,892,024	\$9,892,024
Revenue:					
Interest on Investments	\$57,846	\$14,127	\$14,127	\$29,851	\$29,851
Residential and General Collections:					
Household Levy ¹	\$14,517,328	\$14,694,930	\$14,694,930	\$14,798,333	\$14,798,333
Miscellaneous	550,498	330,576	365,958	296,351	296,351
Sale of Equipment	221,537	239,234	239,234	388,234	388,234
Subtotal	\$15,289,363	\$15,264,740	\$15,300,122	\$15,482,918	\$15,482,918
County Agency Routes:					
Miscellaneous Agencies	\$1,510,281	\$1,472,694	\$1,472,694	\$1,504,813	\$1,504,813
Sale of Equipment	319,714	1,000	5,000	6,500	6,500
Miscellaneous	265,185	175,838	171,838	250,583	250,583
Subtotal	\$2,095,180	\$1,649,532	\$1,649,532	\$1,761,896	\$1,761,896
General Fund Programs:					
Community Cleanup	\$329,932	\$309,785	\$309,785	\$332,425	\$332,425
Health Department Referrals	2,840	7,000	7,000	2,900	2,900
Evictions	7,410	5,540	5,540	7,500	7,500
Court Ordered/Mandated	15,493	36,000	35,951	15,500	15,500
Subtotal	\$355,675	\$358,325	\$358,276	\$358,325	\$358,325
Other Collection Revenue:					
Leaf Collection	\$927,765	\$841,084	\$841,084	\$841,084	\$841,084
Miscellaneous	109,849	83,684	91,653	112,467	112,467
State Litter Funds	115,003	0	0	0	0
Fairfax Fair	0	10,000	10,000	0	0
Landfill Proffer	60,087	0	0	60,000	60,000
Subtotal	\$1,212,704	\$934,768	\$942,737	\$1,013,551	\$1,013,551
Recycling Operations:					
Program Support ²	\$1,624,544	\$1,861,832	\$1,861,832	\$1,861,832	\$1,861,832
Sale of Materials	451,046	305,260	305,260	224,178	224,178
Miscellaneous	267,480	305,350	262,048	339,259	339,259
Subtotal	\$2,343,070	\$2,472,442	\$2,429,140	\$2,425,269	\$2,425,269
Total Revenue	\$21,353,838	\$20,693,934	\$20,693,934	\$21,071,810	\$21,071,810
Total Available	\$29,913,064	\$28,753,820	\$31,960,620	\$30,963,834	\$30,963,834

Fund 109

Refuse Collection and Recycling Operations

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 109, Refuse Collection

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
Personnel Services	\$10,608,629	\$10,166,397	\$10,284,519	\$10,545,258	\$10,641,879
Operating Expenses	8,660,316	9,455,653	9,512,156	9,455,653	9,455,653
Recovered Costs ³	(881,757)	(843,332)	(843,332)	(843,332)	(843,332)
Capital Equipment	248,324	1,359,600	2,243,540	2,680,600	2,680,600
Capital Projects	10,866	100,000	871,713	125,000	125,000
Total Expenditures	\$18,646,378	\$20,238,318	\$22,068,596	\$21,963,179	\$22,059,800
Total Disbursements	\$18,646,378	\$20,238,318	\$22,068,596	\$21,963,179	\$22,059,800
Ending Balance⁴	\$11,266,686	\$8,515,502	\$9,892,024	\$9,000,655	\$8,904,034
Wheeled Container Reserve ⁵	\$250,000	\$250,000	\$250,000	\$250,000	\$250,000
Collection Equipment Reserve	351,720	368,995	368,995	397,870	397,870
Recycling Equipment Reserve	325,000	305,260	305,260	305,260	305,260
PC Replacement Reserve ⁶	60,000	60,000	60,000	60,000	60,000
Construction and Infrastructure Reserve ⁷	2,066,580	1,600,000	1,907,769	1,782,769	1,782,769
Rate Stabilization Reserve ⁸	4,250,000	3,548,663	4,000,000	3,836,756	3,740,135
Residential/General Equipment Reserve ⁹	3,250,000	2,382,584	3,000,000	2,368,000	2,368,000
Unreserved Balance	\$713,386	\$0	\$0	\$0	\$0
Levy per Household Unit	\$345/Unit	\$345/Unit	\$345/Unit	\$345/Unit	\$345/Unit

¹The FY 2013 levy/collection fee per household unit is set at \$345 per unit. Although the Refuse Collection levy is separate and not a Real Estate Tax, it is included on and collected as part of the County's Real Estate Tax bill. This amount does not include approximately 451 units which will be billed directly by the agency.

²The Recycling Operations program is supported by Fund 110, Refuse Disposal. The estimate for Program Support is calculated based on the projected level of expenditures for recycling operations as conducted in Fund 109, Refuse Collection and Recycling Operations, partially offset by revenue received from the sale of recycled materials.

³Recovered Costs represent billings to Fund 108, Leaf Collection, for its share of the total administrative costs for the Division of Collection and Recycling. Also included is an amount billed to Fund 110, Refuse Disposal, for administrative costs for the recycling program which is coordinated by Fund 109, Refuse Collection and Recycling Operations.

⁴Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions and expenditure requirements.

⁵The Wheeled Container Reserve sets aside funds to purchase/replace single-stream recycling and trash collection containers for sanitary district customers.

⁶The PC Replacement Reserve provides funding for the timely replacement of obsolete computer equipment.

⁷The Construction and Infrastructure Reserve funds emergency repairs necessary at the Newington Solid Waste Facility.

⁸The Rate Stabilization Reserve provides funds to mitigate against any need for an unusually large rate increase in a future year.

⁹The Residential/General Equipment Reserve provides the Residential and General Collections Cost Center with set aside funds for future anticipated equipment purchases and is calculated annually based on replacement value and age of equipment.

Fund 109

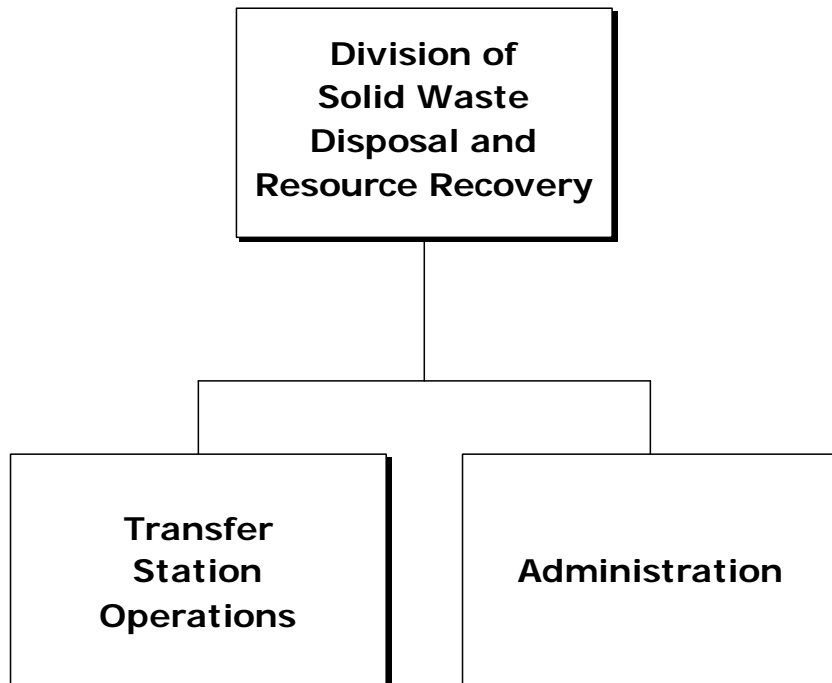
Refuse Collection and Recycling Operations

FY 2013 Summary of Capital Projects

Fund: 109, Refuse Collection and Recycling Operations

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
109001	Newington Facility Enhancements	\$1,943,038	\$10,866.25	\$871,712.60	\$125,000	\$125,000
Total		\$1,943,038	\$10,866.25	\$871,712.60	\$125,000	\$125,000

Fund 110 Refuse Disposal



Mission

To protect Fairfax County citizens against disease, pollution and other contamination associated with the improper disposal of refuse, through safe and sanitary transportation of solid waste from the I-66 Transfer Station to the Energy/Resource Recovery Facility (E/RRF). The agency also transports debris generated through the Yard Waste program to disposal facilities in Prince William and Loudoun Counties. In addition, this agency operates the Household Hazardous Waste program and the Recycling and Disposal Center, including all associated technical and administrative functions.

Focus

Fund 110, Refuse Disposal, has the primary responsibility for coordinating the disposal of solid waste generated within Fairfax County by channeling the collected refuse to the E/RRF. Refuse that cannot be burned in the E/RRF is directed to a landfill or disposed of through a contractor. Yard debris is transported to Prince William County or a private compost facility. Other operations coordinated within this fund are the Recycling and Disposal Center, the Household Hazardous Waste (HHW) program, the Ordinance Enforcement program, the Brush Grinding program, the White Goods program and the Battery



Fund 110 Refuse Disposal

program. The Administrative Cost Center performs the tasks associated with the overall administrative, technical and management functions for those funds that comprise the Division of Solid Waste Disposal and Resource Recovery. These funds are: 110, Refuse Disposal; 112, Energy Resources Recovery Facility; and 114, I-95 Refuse Disposal.

The system has experienced a decrease in waste tonnage, reflecting lower consumer waste associated with the downturn in the regional and national economy. However, disposal refuse revenue in this fund remains adequate to fund operational requirements and reserves.

In FY 2013, both the system disposal charge and the recycling and disposal center fee will remain at \$60 per ton, the same as in FY 2012. A contractual discount disposal rate for FY 2013 will be negotiated with private waste haulers, but it is anticipated to remain \$53 per ton, as in FY 2012. Based on these adjustments and the current projected decrease in waste tonnage, the total FY 2013 revenue for the fund is projected to be \$50,253,752, a decrease of \$988,495 or 1.93 percent from the FY 2012 Adopted Budget Plan total of \$51,242,247.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2013 Adopted Budget Plan for those items.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	144 / 144	144 / 144	144 / 144	144 / 144	144 / 144
Expenditures:					
Personnel Services	\$10,007,328	\$10,254,021	\$10,368,874	\$10,611,495	\$10,709,528
Operating Expenses	35,301,005	39,002,450	39,281,328	38,683,304	38,683,304
Capital Equipment	1,586,725	2,677,000	3,760,510	2,843,000	2,843,000
Capital Projects	2,410,483	0	1,766,594	2,050,000	2,050,000
Subtotal	\$49,305,541	\$51,933,471	\$55,177,306	\$54,187,799	\$54,285,832
Less:					
Recovered Costs	(\$630,190)	(\$688,840)	(\$688,840)	(\$725,223)	(\$725,223)
Total Expenditures	\$48,675,351	\$51,244,631	\$54,488,466	\$53,462,576	\$53,560,609

Summary By Cost Center					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Administration	\$995,096	\$1,458,800	\$1,475,269	\$1,499,455	\$1,512,959
Transfer Station Operations	45,269,772	49,785,831	51,246,603	49,913,121	49,997,650
Subtotal	\$46,264,868	\$51,244,631	\$52,721,872	\$51,412,576	\$51,510,609
Capital Projects	\$2,410,483	\$0	\$1,766,594	\$2,050,000	\$2,050,000
Total Expenditures	\$48,675,351	\$51,244,631	\$54,488,466	\$53,462,576	\$53,560,609

Fund 110 Refuse Disposal

Position Summary		
Administration 1 Director, DSWDRR 1 Public Works Environmental Services Manager 1 Engineering Technician II 1 Public Works Environmental Services Specialist 1 Management Analyst III 1 Management Analyst II 1 Network/Telecom Analyst II 1 Financial Specialist II 3 Administrative Assistants IV 2 Administrative Assistants III 3 Administrative Assistants II	Transfer Station Operations 1 Public Works Environmental Services Manager 5 Asst. Refuse Superintendents 1 Engineer III 3 Heavy Equipment Supervisors 2 Management Analysts II 5 Engineering Technicians II 2 Engineering Technicians I 3 Environmental Technicians II 10 Weighmasters 56 Heavy Equipment Operators 1 Motor Equipment Operator 3 Senior Maintenance Workers 19 Maintenance Workers	1 Code Specialist II 8 Lead Refuse Operators 3 Maintenance Trade Helpers II 2 Administrative Assistants II 1 Safety Analyst 1 Welder II 1 Welder I
TOTAL POSITIONS		
144 Positions / 144.0 Staff Years		

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- Employee Compensation** **\$265,355**
 An increase of \$265,355 in Personnel Services reflects \$167,322 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$98,033 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- Full Year Impact of FY 2012 Market Rate Adjustment** **\$150,496**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$150,496 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- Other Post-Employment Benefits** **\$39,656**
 An increase of \$39,656 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.
- Reduced E/RRF Expenditures** **(\$319,146)**
 A decrease of \$319,416 in Operating Expenses is primarily due to a projected 1.7 percent decrease in waste tonnage from nearly 947,000 tons to just over 930,000 tons.
- Recovered Costs** **(\$36,383)**
 An increase of \$36,383 in Recovered Costs reflects the anticipated level of recovered administration overhead costs from other Solid Waste funds and is associated with the salary adjustments necessary to support the market rate adjustments in FY 2013.

Fund 110

Refuse Disposal

- ◆ **Capital Equipment** **\$2,843,000**

Funding of \$2,843,000 in Capital Equipment includes \$1,545,000 for the replacement of three caterpillar rubber tire loaders, \$840,000 for six road tractors, \$315,000 for three refuse trailers, \$85,000 for one refuse trailer, \$55,000 for one utility truck and \$3,000 for a mower. These replacement items have all exceeded their useful life and are required to be replaced based on age, mileage, frequency of costly repairs, excessive downtime and overall condition of the equipment.

- ◆ **Capital Projects** **\$2,050,000**

Funding of \$2,050,000 for Capital Projects has been included in FY 2013. Of this amount, \$1,200,000 is included for the renovation of the existing permit and receiving center at the I-66 Transfer Station. The building was opened in 1983 and currently houses the permit offices, main scales, and limited locker room facilities. The renovation work includes the installation of a new HVAC system, renovations to bathroom facilities and conference areas, modifications to the existing scale house and other related modifications to meet present needs and building codes. In addition, \$850,000 has been included for the renovation of the truck ramp retaining wall that provides access to the lower level of the transfer station below the refuse chutes at the I-66 Transfer Station. The ramp and retaining wall were built in 1995 and have significantly settled and displaced with the current danger of collapsing.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$3,119,875**

As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$114,853 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$278,878 in Operating Expenses, \$1,083,510 in Capital Equipment, as well as, \$1,642,634 in unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 110 Refuse Disposal

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 110, Refuse Disposal

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$13,787,425	\$9,581,995	\$15,314,608	\$12,068,389	\$12,068,389
Revenue:					
Interest on Investment	\$56,453	\$85,310	\$85,310	\$55,977	\$55,977
Refuse Disposal Revenue	48,459,119	49,370,937	49,370,937	47,748,935	47,748,935
Miscellaneous Revenue:					
White Goods	\$835,396	\$900,000	\$900,000	\$900,000	\$900,000
Rent of Equipment, Space	301,255	282,000	282,000	280,000	280,000
Sale of Equipment	172,722	365,000	365,000	1,029,000	1,029,000
Licensing Fees	69,120	66,000	66,000	66,840	66,840
Federal Emergency Assistance	27,706	0	0	0	0
Miscellaneous	280,763	173,000	173,000	173,000	173,000
Subtotal Miscellaneous Revenue	\$1,686,962	\$1,786,000	\$1,786,000	\$2,448,840	\$2,448,840
Total Revenue	\$50,202,534	\$51,242,247	\$51,242,247	\$50,253,752	\$50,253,752
Total Available	\$63,989,959	\$60,824,242	\$66,556,855	\$62,322,141	\$62,322,141
Expenditures:					
Personnel Services	\$10,007,328	\$10,254,021	\$10,368,874	\$10,611,495	\$10,709,528
Operating Expenses	35,301,005	39,002,450	39,281,328	38,683,304	38,683,304
Capital Equipment	1,586,725	2,677,000	3,760,510	2,843,000	2,843,000
Recovered Costs	(630,190)	(688,840)	(688,840)	(725,223)	(725,223)
Capital Projects ¹	2,410,483	0	1,766,594	2,050,000	2,050,000
Total Expenditures	\$48,675,351	\$51,244,631	\$54,488,466	\$53,462,576	\$53,560,609
Transfers Out:					
General Fund ²	\$0	\$0	\$0	\$0	\$2,500,000
Total Transfers Out	\$0	\$0	\$0	\$0	\$2,500,000
Total Disbursements	\$48,675,351	\$51,244,631	\$54,488,466	\$53,462,576	\$56,060,609
Ending Balance³	\$15,314,608	\$9,579,611	\$12,068,389	\$8,859,565	\$6,261,532
Reserves:					
Equipment Reserve ⁴	\$2,049,038	\$1,835,918	\$1,835,918	\$2,056,809	\$2,056,809
Operating and Maintenance Reserve ⁵	4,052,119	2,495,110	5,107,848	3,731,226	1,133,193
Environmental Reserve	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Construction Reserve ⁶	5,052,184	3,183,730	3,059,770	1,009,770	1,009,770
PC Replacement Reserve	85,634	64,853	64,853	61,760	61,760
Unreserved Balance	\$2,075,633	\$0	\$0	\$0	\$0
System Disposal Rate/Ton ⁷	\$60	\$60	\$60	\$60	\$60
Discounted Disposal Rate/Ton ⁸	\$55	\$53	\$53	\$53	\$53

Fund 110

Refuse Disposal

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$123,960.00 has been reflected as a decrease to FY 2011 expenditures due to expenditure accruals. This impacts the amount carried forward and results in an increase of \$123,960.00 to the *FY 2012 Revised Budget Plan*. The project affected by this adjustment is Project 174007, Workers Facility. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

² The Transfer Out to the General Fund represents an unused balance of an FY 2008 General Fund transfer into Fund 110, Refuse Disposal.

³ Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

⁴ The Equipment Reserve provides for the timely replacement of equipment required to operate the I-66 Transfer Station. Funds are transferred from Refuse Disposal revenue to the Equipment Replacement Reserve, as are proceeds from the sale of equipment. Reserve needs are calculated based on individual vehicle age, anticipated retirement date, and anticipated replacement value.

⁵ The Operating and Maintenance Reserve provides funds to react to unanticipated events such as significant changes in waste quantities, increases in contract disposal rates at composting facilities and landfills, increases in fuel costs, significant reductions in revenues, etc. The reserve also acts as a rate stabilization reserve, allowing smooth transition to rate changes minimizing the impact on customers.

⁶ The Construction Reserve provides for future improvements at the I-66 Transfer Station. A need for the renovation of the existing permit and receiving center at the I-66 Transfer Station has been identified. The building was opened in January 1983. The renovation work will include repairs and modifications to the HVAC system, replacement of the motor control center, remodeling of bathroom and locker room facilities, remodeling and modifications to the existing scale house, and remodeling of other existing offices, hallways, and common areas to meet the present needs and building codes. The scoping and preliminary design phase will begin in FY 2012 and construction work is anticipated to commence in FY 2013.

⁷ The FY 2013 System Disposal rate is projected to remain at \$60 per ton subject to market conditions.

⁸ In August 1998 (FY 1999), Fairfax County implemented a contractual rate discount that was offered to any hauler that guaranteed all of its collected refuse or a specified tonnage amount would be delivered to the Energy/Resource Recovery Facility (E/RRF) or other County disposal sites. The FY 2013 System Disposal rate is expected to remain the same at \$53.00 per ton.

Fund 110 Refuse Disposal

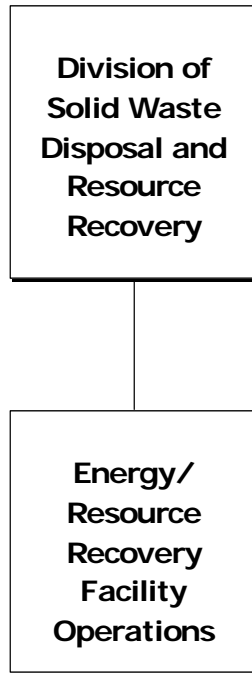
FY 2013 Summary of Capital Projects

Fund: 110, Refuse Disposal

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
174002	I-66 Transfer Station Expansion	\$20,442,235	\$0.00	\$0.00	\$0	\$0
174007	Workers Facility	4,650,751	2,410,483.38	1,766,594.31	0	0
174008	Permit and Receiving Center	1,200,000	0.00	0.00	1,200,000	1,200,000
174009	Truck/Ramp Retaining Wall	850,000	0.00	0.00	850,000	850,000
Total		\$27,142,986	\$2,410,483.38	\$1,766,594.31	\$2,050,000	\$2,050,000

Fund 112

Energy/Resource Recovery Facility



Mission

To serve Fairfax County residents by providing effective and environmentally-sound solid waste disposal by converting waste-to-energy; by reducing the need for landfill space through volume reduction of solid waste; by reducing the greenhouse gas emissions both by not landfilling waste and by generating renewal energy; and by recovering ferrous and non-ferrous metal from the ash and recycling them; and by managing the operational contract in the best interests of the residents.



Aerial view of the I-95 Energy/Resource Recovery Facility

Focus

Fund 112 supports the management of the contract for the I-95 Energy/Resource Recovery Facility (E/RRF), owned and operated by Covanta Fairfax, Inc. (CFI). Under the terms of the Service Agreement, the County delivers municipal solid waste (MSW) for which it pays a disposal fee to CFI. With the approval of its Title V (Air) Permit in January 2007, the facility has the flexibility to operate at a level above its nameplate rating of 3,000 tons per day. Pursuant to an agreement between Dominion Virginia Power and CFI, signed in 1987 and amended in 1996, Dominion Virginia Power purchased up to 80 megawatts of electricity, enough to power about 75,000 homes annually. An amendment approved in FY 2008 allows CFI to generate and sell additional electricity over 80 megawatts; this additional electricity sale brings more revenue to the fund.

Fund 112

Energy/Resource Recovery Facility

With the slowdown in the economy and increased emphasis on recycling in Fairfax County, there was only a slight increase in the tons of waste generated in the County in FY 2011. The generated waste remains sufficient for Fairfax County to easily meet its Guaranteed Annual Tonnage (GAT) requirements under the Service Agreement with CFI. The facility accepts MSW from other regional jurisdictions such as the District of Columbia through the Supplemental Waste program. Refuse is exchanged with Prince William County under a mutually beneficial agreement. These efforts continue to maximize revenues through providing additional MSW to keep disposal rates low for County customers.

The County charges a disposal fee to all users of the E/RRF and subsequently pays the contractual disposal fee to CFI. Revenues from the sale of electricity and recycled ferrous metals are used to offset the cost of the disposal fee charged by CFI. When the E/RRF is not able to handle the amount of waste available, some waste is diverted to Virginia landfills; in FY 2011 there were 58,122 tons of diverted waste. The County also receives a host fee for certain merchant waste processed by CFI when capacity is available. County staff must be constantly vigilant in balancing waste as a commodity to ensure that it is disposed of efficiently, cost-effectively and with few environmental consequences.

The County recently considered exercising its option under the Service Agreement with CFI to purchase the Energy/Resource Recovery Facility. The County did not elect to purchase the facility by June 3, 2011; therefore the current contract will remain in effect until February 1, 2016. Staff continues to explore alternatives for waste disposal in the future. Careful management of the Service Agreement with CFI, increasing revenues from electricity sales and metal recycling, and final payment of the construction bonds have allowed the County to hold down disposal fees charged to customers. Based on the uncertainty of disposal methods and rates in the future, the disposal rate of \$29 per ton will remain the same through FY 2013. This will allow staff to prepare for a potential transition and buffer against sharp rate increases in the future.

The June 2011 annual stack test indicated that the overall air emissions reductions from the E/RRF, resulting from the Clean Air Act retrofits in 2000, remained well below permit limits with a reduction in particulate matter from the previous year:

Energy/Resource Recovery Facility Emissions Results¹ -- June 2011		
Constituent	Permit Limit	Average E/RRF Result
Sulfur Dioxide (SO ₂)	29 ppm	5.73 ppm
Carbon Monoxide (CO)	100 ppm	4.75 ppm
Nitrogen Oxides (NO _x)	205 ppm	175.93 ppm
Hydrochloric Acid (HCL)	29 ppm	5.74 ppm
Particulate Matter (PM)	27 mg/dscm	<.65 mg/dscm
Mercury (Hg)	0.080 mg/dscm	0.00219 mg/dscm
Lead (Pb)	0.44 mg/dscm	0.00568 mg/dscm

ppm = parts per million
dscm = dry standard cubic meter

mg = milligram

ng = nanogram

¹ Covanta Fairfax Inc. Annual Determination of Compliance with Permitted Emission Limits and 40 CFR, Subpart Cb Report, (COV Report No. 3640 Volumes 1), pages 12-15 for testing conducted May 31-June 11, 2011.

Fund 112

Energy/Resource Recovery Facility

Ash testing, performed by an independent laboratory during January and February 2011, characterized the ash from the E/RRF as non-hazardous waste. This means that the ash can continue to be disposed at the I-95 Ashfill under its permit for non-hazardous materials. The ash conditioning system that was added to the E/RRF in FY 2005 is providing the stabilization for ash that is placed in the I-95 Ashfill.

The E/RRF has helped reduce the overall Fairfax County waste system carbon emissions by about one ton of carbon for every ton of waste processed. This calculation includes the reduction in overall carbon dioxide generated by the waste management system, due to emission reductions that are realized by not transporting waste to a landfill, the actual carbon dioxide that would be generated at the landfill as well as the carbon dioxide that would be emitted to produce electricity using a fossil fuel.

CFI and the County have negotiated an innovative project that allows the E/RRF to use reclaimed water from the Noman Cole Wastewater Treatment Plant as the cooling water at the E/RRF, saving millions of gallons of potable water each year. The project will become operational in FY 2012. The County is always exploring new technology to ensure that the E/RRF continues to provide the required environmental service of waste processing while having the least environmental impact possible.

In addition, it should be noted that the Household Hazardous Waste (HHW) program, the Conditionally Exempt Small Quality Generator program, rechargeable battery collection, "Electric Sundays," and other programs continue to remove materials with hazardous properties from the waste stream of the E/RRF.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2013 Adopted Budget Plan for those items.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	12 / 12	12 / 12	12 / 12	12 / 12	12 / 12
Expenditures:					
Personnel Services	\$793,569	\$736,968	\$745,604	\$842,673	\$850,043
Operating Expenses	28,875,573	17,406,345	18,212,134	18,817,550	18,817,550
Capital Equipment	0	0	31,108	0	0
Total Expenditures	\$29,669,142	\$18,143,313	\$18,988,846	\$19,660,223	\$19,667,593

Position Summary					
1 Management Analyst III	1 Heavy Equipment Operator	5 Weighmasters			
1 Management Analyst II	1 Administrative Assistant IV				
1 Engineering Technician II	2 Administrative Assistants II				
TOTAL POSITIONS					
12 Positions / 12.0 Staff Years					

Fund 112

Energy/Resource Recovery Facility

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$91,653**
An increase of \$91,653 in Personnel Services reflects \$84,283 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$7,370 for a 2.50 percent performance-based scale and salary increase for non-public safety merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$11,314**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$11,314 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Other Post-Employment Benefits** **\$10,108**
An increase of \$10,108 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

- ◆ **Increased Contractor Costs** **\$1,411,205**
An increase of \$1,411,205 in Operating Expenses is primarily due to an increase in disposal payments to Covanta Fairfax, Inc. based on actual experience with operation and maintenance requirements.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$845,533**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$8,636 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$805,789 in Operating Expenses and \$31,108 in Capital Equipment.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 112

Energy/Resource Recovery Facility

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 112, Energy/Resource
Recovery Facility (E/RRF)

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$21,578,204	\$21,776,758	\$22,395,866	\$35,455,269	\$35,455,269
Revenue:					
Disposal Revenue	\$28,242,949	\$31,191,182	\$31,191,182	\$31,193,600	\$31,193,600
Other Revenue:					
Interest on Investments	86,247	357,067	357,067	200,000	200,000
Miscellaneous ¹	412,102	500,000	500,000	500,000	500,000
Subtotal Other Revenue	\$498,349	\$857,067	\$857,067	\$700,000	\$700,000
Total Revenue	\$28,741,298	\$32,048,249	\$32,048,249	\$31,893,600	\$31,893,600
Transfers In:					
General Fund (001) ²	\$1,745,506	\$0	\$0	\$0	\$0
Total Transfers In	\$1,745,506	\$0	\$0	\$0	\$0
Total Available	\$52,065,008	\$53,825,007	\$54,444,115	\$67,348,869	\$67,348,869
Expenditures:					
Personnel Services	\$793,569	\$736,968	\$745,604	\$842,673	\$850,043
Operating Expenses ³	28,875,573	17,406,345	18,212,134	18,817,550	18,817,550
Capital Equipment	0	0	31,108	0	0
Total Expenditures	\$29,669,142	\$18,143,313	\$18,988,846	\$19,660,223	\$19,667,593
Total Disbursements	\$29,669,142	\$18,143,313	\$18,988,846	\$19,660,223	\$19,667,593
Ending Balance⁴	\$22,395,866	\$35,681,694	\$35,455,269	\$47,688,646	\$47,681,276
Tipping Fee Reserve ⁵	\$1,500,000	\$1,500,000	\$1,500,000	\$1,500,000	\$1,500,000
Rate Stabilization Reserve ⁶	10,895,866	24,181,694	23,955,269	36,188,646	36,181,276
Operations and Maintenance Reserve ⁷	10,000,000	10,000,000	10,000,000	10,000,000	10,000,000
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0
Disposal Rate/Ton	\$29/ton	\$29/ton	\$29/ton	\$29/ton	\$29/Ton

Fund 112

Energy/Resource Recovery Facility

¹Miscellaneous Revenue is generated by the excess amount that Covanta Fairfax, Inc. (CFI) charges for the disposal of Supplemental Waste.

²The General Fund Transfer offsets Covanta's tax liability to Fairfax County. An expenditure increase and the offsetting General Fund Transfer were funded for FY 2011 as part of the *FY 2010 Carryover Review*.

³In FY 2012, payments to Covanta Fairfax are significantly reduced due to construction bonds being paid off in FY 2011.

⁴Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

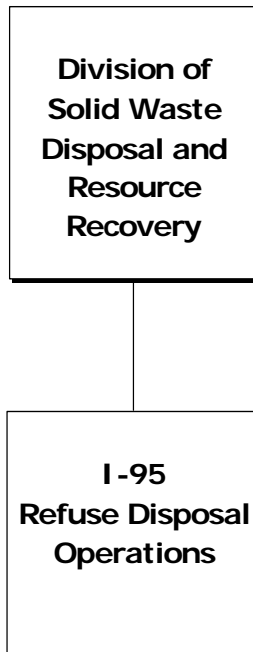
⁵The Tipping Fee Reserve is used to buffer against sharp annual changes in tip fees. Potential changes could result from issues such as tax changes regarding energy sales, power deregulation, state or EPA environmental fees, and/or contract changes.

⁶The Rate Stabilization Reserve (RSR) is used to buffer against long term adjustments to tip fees. Although tipping fees are maintained at the current rate, future disposal needs are undetermined, therefore, this reserve continues to rise to prevent sharp rate increases in the future.

⁷The Operations and Maintenance Reserve is maintained for ongoing improvements and enhancements to the E/RRF including emissions control efforts. Future projects may include additional retrofits to the air pollution control systems for reductions in nitrogen oxides. The reserve will fund the County's share of the initial capital expenditures on the improvements.

Fund 114

I-95 Refuse Disposal



Mission

To manage the I-95 Complex and to provide a site where solid waste and recyclable materials from County citizens are gathered and properly disposed, and a deposit site where ash from the Energy/Resource Recovery Facility (E/RRF) and other participating municipalities can be properly disposed.

Focus

The County has operated the I-95 Sanitary Complex for more than 25 years, and has served the solid waste disposal needs of the residents of the participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Complex closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Ashfill continues to operate as a model facility - meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills for final disposal.



The ash disposal fee in FY 2013 for Fund 114, I-95 Refuse Disposal will increase to \$17.50 per ton from \$15.50 per ton in FY 2012. In the past, high interest earning rates had provided sufficient funds to accommodate operating expenditures, as well as provide adequate reserve funding required for capital projects and post closure care. It had allowed the fund to maintain the lower ash disposal fee of \$11.50 per ton from FY 2001 to FY 2009. Since that time, interest earnings have continued to decline and operational requirements have exceeded available resources. This rate increase is part of a phased approach to stabilize the fund and begin to provide the state and federally mandated post closure requirements.

Fund 114

I-95 Refuse Disposal

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2013 Adopted Budget Plan for those items.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	41 / 41	41 / 41	41 / 41	41 / 41	41 / 41
Expenditures:					
Personnel Services	\$3,204,872	\$3,186,976	\$3,223,376	\$3,438,685	\$3,469,755
Operating Expenses	4,094,365	4,255,570	4,604,027	4,255,570	4,255,570
Capital Equipment	340,309	769,000	1,311,657	1,475,000	1,475,000
Capital Projects	581,511	0	13,402,634	700,000	700,000
Total Expenditures	\$8,221,057	\$8,211,546	\$22,541,694	\$9,869,255	\$9,900,325

Position Summary					
1	Engineer V	4	Engineering Technicians II	1	Motor Equipment Operator
1	Engineer III	2	Engineering Technicians I	2	Weighmasters
1	Sr. Environmental Specialist	1	Refuse Superintendent	1	Management Analyst I
3	Public Works Environmental Services Specialists	3	Asst. Refuse Superintendents	1	Administrative Assistant II
1	PW/ES Technical Specialist	1	Industrial Electrician III	1	Senior Maintenance Worker
1	Engineering Technician III	9	Industrial Electrician II	6	Maintenance Workers
TOTAL POSITIONS					
41 Positions / 41.0 Staff Years					

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- Employee Compensation** **\$187,665**
 An increase of \$187,665 in Personnel Services reflects \$53,029 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, an increase of \$31,070 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013 and an increase of \$103,566 in fringe benefits based on actual experience in FY 2011.
- Full Year Impact of FY 2012 Market Rate Adjustment** **\$47,696**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$47,696 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- Other Post-Employment Benefits** **\$7,565**
 An increase of \$7,565 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for

Fund 114 I-95 Refuse Disposal

the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

- ◆ **Limited-Term Positions** **\$39,853**
An increase of \$39,853 in limited term salaries is associated with the support of the operations at the I-95 Ashfill Facility. The Maintenance Worker and Weighmaster will assist in reducing overtime hours of existing staff while maintaining efficient operations at the facility in order to comply with permit requirements and improve safety.

- ◆ **Capital Equipment** **\$1,475,000**
Funding of \$1,475,000 in Capital Equipment includes \$1,030,000 for the replacement of two caterpillar rubber tire loaders used to maneuver piles of trash, yard waste and construction debris, \$400,000 for one dozer used to maneuver ash on the ashfill, and \$45,000 for one tractor. These replacement items have all exceeded their useful life and are required to be replaced based on age, mileage, frequency of costly repairs, excessive downtime and overall condition of the equipment.

- ◆ **Capital Projects** **\$700,000**
Funding of \$700,000 for Capital Projects has been included in FY 2013. Of this amount, \$500,000 is included for the closure capping activities of portions of the ashfill and for the installation of the corresponding stormwater management structures. In addition, \$100,000 is for the installation and expansion of the leachate treatment facilities which include the leachate pumping stations, pipelines, and collection systems required to pretreat, process, and store wastewater that flows through the ashfill. The remaining \$100,000 is for the ongoing installation of methane gas recovery wells that extract methane gas from the ashfill's interior boundaries before it escapes into the atmosphere and the construction of a methane extraction facility that will provide the conversion and transportation infrastructure to allow the energy product to be utilized and sold commercially.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$14,435,694**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$36,400 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$348,457 in Operating Expenses, \$542,657 in Capital Equipment, as well as, \$13,508,180 in unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 114

I-95 Refuse Disposal

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 114, I-95 Refuse Disposal

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$53,175,316	\$36,210,624	\$50,912,477	\$35,251,451	\$35,251,451
Revenue:					
Interest on Investments	\$229,257	\$352,420	\$352,420	\$187,736	\$187,736
Refuse Disposal Revenue	5,019,527	6,162,011	6,162,011	7,074,575	7,074,575
Other Revenue:					
Fees, Ashfill Permit	\$3,320	\$7,200	\$7,200	\$7,200	\$7,200
Sale of Equipment	457,755	158,000	158,000	533,000	533,000
Sale of Methane Gas	202,894	191,600	191,600	191,600	191,600
Miscellaneous Revenue	45,465	9,437	9,437	9,437	9,437
Subtotal Other Revenue	<u>\$709,434</u>	<u>\$366,237</u>	<u>\$366,237</u>	<u>\$741,237</u>	<u>\$741,237</u>
Total Revenue	\$5,958,218	\$6,880,668	\$6,880,668	\$8,003,548	\$8,003,548
Total Available	\$59,133,534	\$43,091,292	\$57,793,145	\$43,254,999	\$43,254,999
Expenditures:					
Personnel Services	\$3,204,872	\$3,186,976	\$3,223,376	\$3,438,685	\$3,469,755
Operating Expenses	4,094,365	4,255,570	4,604,027	4,255,570	4,255,570
Capital Equipment	340,309	769,000	1,311,657	1,475,000	1,475,000
Capital Projects ^{1,2}	581,511	0	13,402,634	700,000	700,000
Total Expenditures	<u>\$8,221,057</u>	<u>\$8,211,546</u>	<u>\$22,541,694</u>	<u>\$9,869,255</u>	<u>\$9,900,325</u>
Total Disbursements	\$8,221,057	\$8,211,546	\$22,541,694	\$9,869,255	\$9,900,325
Ending Balance³	\$50,912,477	\$34,879,746	\$35,251,451	\$33,385,744	\$33,354,674
Reserves					
Active Cell Closure Liability Reserve ⁴	\$6,385,829	\$2,706,015	\$2,696,753	\$507,737	\$476,667
Environmental Reserve ⁵	4,829,985	5,000,000	5,000,000	5,000,000	5,000,000
Construction Reserve ⁶	0	0	380,967	0	0
Capital Equipment Reserve ⁷	570,894	1,126,600	1,126,600	1,145,000	1,145,000
Post-Closure Reserve ⁸	25,676,530	26,000,000	26,000,000	26,700,000	26,700,000
PC Replacement Reserve ⁹	47,131	47,131	47,131	33,007	33,007
Unreserved Ending Balance	\$13,402,108	\$0	\$0	\$0	\$0
Disposal Fee/Ton ¹⁰	\$13.50	\$15.50	\$15.50	\$17.50	\$17.50

Fund 114

I-95 Refuse Disposal

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$105,546.09 has been reflected as an increase to FY 2011 expenditures due to expenditure accruals. This impacts the amount carried forward and results in an decrease of \$105,546.09 to the *FY 2012 Revised Budget Plan*. The project affected by this adjustment is Project 186650, I-95 Landfill Closure. This audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

³ Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions and expenditure requirements.

⁴ The Active Cell Closure Liability Reserve is necessary for the closure of active disposal cells of the Ashfill and is necessary for ashfilling activities to progress in accord with state requirements.

⁵ The Environmental Reserve assures that the County has funds to implement, or at least start to implement, unplanned actions to protect the environment or meet regulatory requirements. Specific examples of future environmental projects are likely to include: Landfill Gas Control Projects, Stormwater Management, Wastewater (Leachate) Management, and Groundwater protective measures.

⁶ The Construction Reserve provides funds to meet the requirements of current and future construction projects necessary for the operation of the I-95 Ashfill, such as drainage and road-related projects.

⁷ The Capital Equipment Reserve provides for the timely replacement of equipment required to operate the I-95 Ashfill. Funds are transferred from Ash Disposal Revenue to equipment reserve as are proceeds from the sale of equipment. The reserve requirement is based on a replacement schedule comprised of yearly payments to the reserve, which are based on the useful life of the equipment and vehicles.

⁸ The Post-Closure Reserve is required for a 30-year period after the ashfill closes and is mandated by federal and state regulations. The FY 2013 projected reserve of \$26.7 million represents approximately 54 percent of the estimated requirement of \$48,995,762 and is not sufficient to cover all identified costs. Additional funds will be set aside in future years.

⁹ The PC Replacement Reserve provides for the timely replacement of obsolete computer equipment.

¹⁰ Effective July 1, 2000 the jurisdictional fee for ash disposal was reduced from \$14/ton to \$11.50/ton. The rate remained at \$11.50/ton from FY 2001 to FY 2009, and was increased to \$13.50/ton in FY 2010 to meet operating and post closure reserve requirements. The rate was raised to \$15.50/ton in FY 2012 and will be increased to \$17.50/ton in FY 2013.

Fund 114 I-95 Refuse Disposal

FY 2013 Summary of Capital Projects

Fund: 114, I-95 Refuse Disposal

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
186435	Area 3 Lined Landfill Construction	\$24,187,561	(\$125,709.54)	\$2,774,477.06	\$0	\$0
186440	I-95 Landfill Leachate Facility	3,021,000	32,084.44	2,418,722.83	100,000	100,000
186470	Paved Ditch Extension Areas	1,173,900	0.00	362,818.00	0	0
186600	Methane Gas Recovery	2,703,050	0.00	695,661.12	100,000	100,000
186650	I-95 Landfill Closure	56,266,579	675,135.88	7,150,954.82	500,000	500,000
Total		\$87,352,090	\$581,510.78	\$13,402,633.83	\$700,000	\$700,000



1742

Consolidated County and Schools Debt Service Fund

Focus

The Consolidated Debt Service Fund accounts for the general obligation bond debt service of the County as well as general obligation bond debt for the Fairfax County Public Schools (FCPS). In addition, debt service expenditures are included for the Economic Development Authority Lease Revenue bonds and School facilities and payments for Fairfax County Redevelopment and Housing Authority (FCRHA) Lease Revenue bonds. Revenues for the debt service funds are derived principally from a transfer from the General Fund. It should be noted that debt service on sewer revenue bonds is reflected in the Enterprise Funds.

The following is a chart illustrating the debt service payments and projected fiscal agent fees required in FY 2013 as well as the sources of funding supporting these costs:

		FY 2013 Adopted Budget
Expenses		
County Debt Service		\$103,103,536
Lease Revenue Bonds		14,374,939
Park Authority (Laurel Hill Golf Course)		876,113
Fiscal Agent Fees/Cost of Issuance		1,200,000
	Subtotal	\$119,554,588
School Debt Service		\$160,245,453
Lease Revenue Bonds (South County High School)		5,448,500
School Administration Building		3,776,323
Fiscal Agent Fees/Cost of Issuance		800,000
	Subtotal	\$170,270,276
Total Expenses		\$289,824,864
Funding		
General Fund Transfer		\$279,293,598
School Operating Fund Transfer		3,776,323
Build America Bonds Subsidy		3,182,291
FCRHA Lease Revenue		2,316,539
Park Authority (Laurel Hill Golf Course)		876,113
Bond Proceeds to Offset Cost of Issuance		300,000
Fairfax City Revenue		80,000
Total Funding		\$289,824,864

General Obligation Bonds

Preliminary expenses for debt service payments associated with FY 2012 bond sales have been incorporated into the FY 2013 projections.

Consolidated County and Schools Debt Service Fund

Capital Leases

Funding is included for the following Capital Leases which were issued by other entities, but are actually supported by the County and paid through County Debt Service subject to annual appropriation by the Board of Supervisors:

Economic Development Authority:

Herrity and Pennino Buildings	\$ 8,085,750
Mott, Gum Springs, Baileys, & James Lee Community Centers, Herndon Harbor Adult Day Care Center, South County Government Center	3,972,650
South County High School	5,448,500
Laurel Hill Golf Course	876,113*
School Administration Building	<u>3,776,323**</u>
Subtotal	\$22,159,335

Fairfax County Redevelopment and Housing Authority:

Gum Springs Head Start Facility	\$ 176,429
Herndon Senior Center	895,860
Braddock Glen Senior Center and Southgate Community Center	<u>1,244,250</u>
Subtotal	\$2,316,539

Total	\$24,475,874
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* Reimbursed by a transfer in from the Park Authority.

**Reimbursed by a transfer in from the School Operating Fund.

Debt Service Ratios

The Board of Supervisors has adopted specific debt indicators within the *Ten Principles of Sound Financial Management* to effectively manage the County's bonded indebtedness. The *Ten Principles* state that the County's debt ratios shall be maintained at the following levels:

- ◆ Net debt as a percentage of estimated market value should always remain less than 3.0 percent; and
- ◆ The ratio of debt service expenditures as a percentage of Combined General Fund disbursements should remain under 10.0 percent.

The Board of Supervisors annually reviews the cash requirements for capital project financing to determine the capacity to incur additional debt for construction of currently funded projects as well as capital projects in the early planning stages. In FY 1992 and FY 1994, bond projects were deferred in order to reduce planned sales and remain within capacity guidelines.

Consolidated County and Schools Debt Service Fund

During the adoption of the FY 2008 Adopted Budget Plan, the Ten Principles of Sound Financial Management were revised to allow for the use of variable rate debt. Variable rate obligations are debt obligations that are quite frequently used for short-term or interim debt financing and have an interest rate that is reset periodically, usually for periods of less than one year. Variable rate debt is typically used to take advantage of low short-term rates in anticipation of converting to longer-term fixed rate financing for complex projects or to mitigate the impact of volatile markets. Also, variable rate debt reduces interest costs and typically provides the ability to redeem bonds without a prepayment penalty. It is anticipated that the use of variable rate debt will provide opportunities for interest rate savings, reduce arbitrage payments and promote more accurate sizing for long-term bond issues.

On November 19, 2007 the Board of Supervisors approved the Master Trust Agreement, Bank Note and related documents associated with acquisition of a \$200,000,000 revolving line of credit (LOC) from Bank of America. On October 19, 2010 the Board of Supervisors approved a renewal of the LOC in the amount of \$100,000,000. Any line of credit borrowings will be in conformance with the FY 2011 Revised Budget Plan and the FY 2011-FY 2015 Capital Improvement Program, or specific Board of Supervisors action approving such use. Variable rate debt will be used when it is most advantageous to the County in comparison to other financing options. A Variable Rate Debt Committee will carefully review each County department's request for use of the LOC and monitor the usage. The County has developed policies and procedures related to the use of variable rate debt and will monitor LOC usage closely.

As a result of County financial policies, prudent fiscal management and a strong economy, the County has been awarded the strongest credit rating possible from the three major national rating services. The County holds a Aaa from Moody's Investors Service (awarded 1975), a AAA from Standard and Poor's Ratings Service (awarded 1978), and a AAA from Fitch Ratings (awarded 1997). As of May 2012, Fairfax County is one of only 8 states, 39 counties, and 34 cities to hold a triple-A rating from all three services.

The FY 2013 debt service budget has been prepared on the basis of the construction and bond sale limitations set in place by the Board of Supervisors. The FY 2013 capital program supported by general obligation bonds was reviewed in conjunction with the FY 2013 - FY 2017 Adopted Capital Improvement Program (With Future Years to 2022).



Consolidated County and Schools Debt Service Fund

The following are ratios and annual sales reflecting debt indicators for FY 2009 - FY 2013:

Net Debt as a Percentage of Market Value of Taxable Property

<u>Fiscal Year Ending</u>	<u>Net Bonded Indebtedness¹</u>	<u>Estimated Market Value²</u>	<u>Percentage</u>
2009	\$2,131,273,000	\$245,145,594,000	0.87%
2010	2,318,699,000	222,671,526,000	1.04%
2011	2,554,051,000	203,621,876,000	1.25%
2012 (est.)	2,604,429,353	207,153,261,189	1.26%
2013 (est.)	2,698,936,070	213,546,013,533	1.26%

¹ The amount includes outstanding General Obligation Bonds and other tax supported debt obligations as of June 30 in the year shown and is from the Fairfax County Department of Management and Budget.

² Source: Fairfax County Department of Tax Administration and the Department of Management and Budget.

Debt Service Requirements as a Percentage of Combined General Fund Disbursements

<u>Fiscal Year Ending</u>	<u>Debt Service Requirements¹</u>	<u>General Fund Disbursements²</u>	<u>Percentage</u>
2009	\$276,105,000	\$3,354,860,267	8.23%
2010	277,370,000	3,309,904,535	8.38%
2011	285,550,695	3,343,688,525	8.54%
2012 (est.)	295,263,433	3,467,032,723	8.52%
2013 (est.)	301,099,013	3,537,786,676	8.51%

¹ The amount includes total principal and interest payments on the County's outstanding tax supported debt obligations, including general obligation bonds and other tax supported debt obligations budgeted in other funds. Source: Fairfax County Department of Management and Budget.

² Source: Fairfax County Department of Management and Budget.

Annual Bond Sales

<u>Fiscal Year Ending</u>	<u>Sales (millions)</u>	<u>Total for the Five-Year Period Ending FY 2013</u>
2009	\$199.51	-
2010	269.10	-
2011	171.39	-
2012 (est.) ¹	220.67	-
2013 (est.) ¹	274.76	1,135.43

¹ Actual County and School bond sale amounts are based on the cash requirements for each project and municipal bond market conditions. Based on Board policy, annual sales will be \$275.0 million per year or \$1.375 billion over a five-year period with a technical limit of \$300.0 million in any given year. These amounts exclude refunding bond sales.

Consolidated County and Schools Debt Service Fund

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Disbursement Adjustment** **\$1,974,829**
An increase in disbursements of \$1,974,829 or 0.7 percent is primarily attributable to scheduled requirements for existing debt service.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$14,742,544**
As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$14,742,544 in Operating Expenses to provide funding for bond sales scheduled during FY 2012.
- ◆ **Third Quarter Adjustments** **(\$3,142,312)**
As part of the FY 2012 Third Quarter Review, the Board of Supervisors approved a net reduction of \$3,142,312 to generate savings to meet FY 2012 requirements.

Consolidated County and Schools Debt Service Fund

FUND STATEMENT

Fund Type G20, Debt Service Funds

Fund 200 and 201, Consolidated
Debt Service

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$12,468,562	\$0	\$14,905,001	\$0	\$162,457
Revenue:					
Build America Bonds Subsidy	\$3,182,291	\$0	\$3,182,291	\$0	\$3,182,291
Miscellaneous Revenue	87,015	0	0	0	0
Bond Proceeds	335,573	300,000	300,000	300,000	300,000
Revenue from Fairfax City	90,078	78,770	78,770	80,000	80,000
Total Revenue	\$3,694,957	\$378,770	\$3,561,061	\$380,000	\$3,562,291
Transfers In:					
County Debt Service:					
General Fund (001) for County	\$117,166,102	\$116,981,575	\$114,387,844	\$117,718,825	\$114,536,534
FCRHA Lease Revenue Bonds (001)	4,494,041	2,392,289	2,392,289	2,316,539	2,316,539
Neighborhood Imp. (Fund 314)	250,939	0	0	0	0
Park Authority Lease Revenue Bonds (170)	827,813	853,313	853,313	876,113	876,113
Subtotal County Debt Service	\$122,738,895	\$120,227,177	\$117,633,446	\$120,911,477	\$117,729,186
General Fund (001) for Schools	\$160,208,882	\$163,470,564	\$159,739,692	\$164,757,064	\$164,757,064
School Admin Building (090)	3,773,722	3,773,523	3,773,523	3,776,323	3,776,323
Subtotal Schools Debt Service	\$163,982,604	\$167,244,087	\$163,513,215	\$168,533,387	\$168,533,387
Total Transfers In	\$286,721,499	\$287,471,264	\$281,146,661	\$289,444,864	\$286,262,573
Total Available	\$302,885,018	\$287,850,034	\$299,612,723	\$289,824,864	\$289,987,321

Consolidated County and Schools Debt Service Fund

FUND STATEMENT

Fund Type G20, Debt Service Funds

Fund 200 and 201, Consolidated Debt Service

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
General Obligation Bonds:					
County Principal	\$72,422,055	\$68,552,621	\$68,552,621	\$67,026,900	\$67,026,900
County Interest	33,950,187	30,911,374	36,808,392	29,900,098	29,900,098
Debt Service on Projected County Sales	0	4,728,000	3,506,704	6,176,538	6,176,538
Subtotal County Debt Service	\$106,372,242	\$104,191,995	\$108,867,717	\$103,103,536	\$103,103,536
Schools Principal	\$98,622,945	\$94,517,378	\$94,517,378	\$96,463,100	\$96,463,100
Schools Interest	55,687,001	51,332,886	60,178,412	52,452,444	52,452,444
Debt Service on Projected School Sales	0	11,591,000	9,669,984	11,329,909	11,329,909
Subtotal Schools Debt Service	\$154,309,946	\$157,441,264	\$164,365,774	\$160,245,453	\$160,245,453
Subtotal General Obligation Bonds	\$260,682,188	\$261,633,259	\$273,233,491	\$263,348,989	\$263,348,989
Other Tax Supported Debt Service (County):					
EDA Lease Revenue Bonds	\$12,098,094	\$12,078,350	\$12,078,350	\$12,058,400	\$12,058,400
FCRHA Lease Revenue Bonds	2,468,977	2,392,289	2,392,289	2,316,539	2,316,539
Park Authority Lease Revenue Bonds	827,813	853,313	853,313	876,113	876,113
Other Tax Supported Debt Service (Schools):					
EDA Schools Lease Revenue Bonds	9,473,623	9,367,823	9,367,823	9,224,823	9,224,823
Subtotal Other Tax Supported Debt Service	\$24,868,507	\$24,691,775	\$24,691,775	\$24,475,875	\$24,475,875
Other Expenses¹	\$1,835,822	\$1,525,000	\$1,525,000	\$2,000,000	\$2,000,000
Total Expenditures	\$287,386,517	\$287,850,034	\$299,450,266	\$289,824,864	\$289,824,864
Transfers Out:					
Fund 303, Capital Projects Funds	\$593,500	\$0	\$0	\$0	\$0
Total Transfers Out	\$593,500	\$0	\$0	\$0	\$0
Total Disbursements	\$287,980,017	\$287,850,034	\$299,450,266	\$289,824,864	\$289,824,864
Ending Balance²	\$14,905,001	\$0	\$162,457	\$0	\$162,457
Unreserved Ending Balance	\$14,905,001	\$0	\$162,457	\$0	\$162,457

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$162,457.10 has been reflected as an decrease to FY 2011 expenditures to accurately record expenditure accruals. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the Audit Adjustments were included in the FY 2012 Third Quarter package.

² The change in ending fund balance is the result of use of fund balance to offset projected debt service requirements. Figures will be adjusted as necessary during the FY 2012 Carryover process to reflect additional changes to debt service figures as a result of new money and refunding bond sales that occurred in FY 2012.

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2013
COUNTY DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2012	Interest Outstanding as of 6/30/2012	Total Outstanding as of 6/30/2012	Principal Due FY 2013	Interest Due FY 2013	Total Payment Due FY 2013	Principal Outstanding as of 6/30/2013	Interest Outstanding as of 6/30/2013
Series 2009C Refunding	\$131,800,000	10/28/2009	Adult Detention Commercial and Redevelopment Neighborhood Improvement Human Services Juvenile Detention Library Parks Prim/2nd Road Public Safety Storm Drainage Transportation	\$1,192,600 2,325,900 520,900 507,300 195,700 1,068,500 15,083,500 5,077,600 18,000,000 819,100 3,735,900	\$300,310 561,768 108,273 152,863 41,853 321,968 3,555,533 1,529,885 4,530,110 175,758 818,928	\$1,492,910 2,887,668 629,173 660,163 237,553 1,390,468 18,639,033 6,607,485 22,530,110 994,858 4,554,828	\$0 - - - - - - - - - - -	\$57,800 113,277 25,131 25,365 9,603 53,425 733,049 253,880 867,536 40,315 186,063	\$57,800 113,277 25,131 25,365 9,603 53,425 733,049 253,880 867,536 40,315 186,063	\$1,192,600 2,325,900 520,900 507,300 195,700 1,068,500 15,083,500 5,077,600 18,000,000 819,100 3,735,900	\$242,510 448,491 83,142 127,498 32,250 268,543 2,822,484 1,276,005 3,662,574 135,443 632,865
2009C Refunding Total				\$48,527,000	\$12,097,245	\$60,624,245	\$0	\$2,365,444	\$2,365,444	\$48,527,000	\$9,731,801
Series 2009D Refunding	\$66,895,000	10/28/2009	Transportation	\$32,224,400	\$2,416,630	\$34,641,030	\$10,742,800	\$1,342,650	\$12,085,450	\$21,481,600	\$1,073,980
2009D Refunding Total				\$32,224,400	\$2,416,630	\$34,641,030	\$10,742,800	\$1,342,650	\$12,085,450	\$21,481,600	\$1,073,980
Series 2009E Refunding	\$202,200,000	10/28/2009	Human Services Library Road Bond Construction Parks-NVRPA Parks Public Safety	\$11,599,000 10,200,000 14,100,000 2,700,000 11,500,500 13,600,500	\$5,760,156 5,065,150 7,001,825 1,340,775 5,710,957 6,753,782	\$17,359,156 15,265,150 21,101,825 4,040,775 17,211,457 20,354,282	\$0 - - - - -	\$521,572 458,660 634,030 121,410 517,139 611,569	\$521,572 458,660 634,030 121,410 517,139 611,569	\$11,599,000 10,200,000 14,100,000 2,700,000 11,500,500 13,600,500	\$5,238,585 4,606,490 6,367,795 1,219,365 5,193,817 6,142,212
2009E Refunding Total				\$63,700,000	\$31,632,645	\$95,332,645	\$0	\$2,864,380	\$2,864,380	\$63,700,000	\$28,768,265
Series 2011A	\$47,880,000	2/10/2011	Transportation Facilities Road Bond Construction Parks-NVRPA Parks	\$17,993,300 15,038,800 2,572,500 10,025,400	\$8,248,846 6,894,380 1,179,289 4,595,984	\$26,242,146 21,933,180 3,751,789 14,621,384	\$952,300 795,900 136,200 530,600	\$836,966 699,536 119,661 466,336	\$1,789,266 1,495,436 2,436,300 996,936	\$17,041,000 14,242,900 2,436,300 9,494,800	\$7,411,880 6,194,844 1,059,628 4,129,648
2011A Total				\$45,630,000	\$20,918,500	\$66,548,500	\$2,415,000	\$2,122,500	\$4,537,500	\$43,215,000	\$18,796,000
Series 2011A Refunding	\$6,912,400	2/10/2011	Transportation Adult Detention Community Redevelopment Human Services Juvenile Detention Library Hoods Storm Drainage Public Safety Parks	\$2,476,300 90,600 100,500 97,600 167,400 228,800 477,200 486,800 524,500 506,400	\$246,870 9,030 10,020 9,730 16,690 22,815 47,575 48,535 52,290 50,485	\$2,723,170 99,630 110,520 107,330 184,090 251,615 524,775 535,335 576,790 556,885	\$832,900 30,500 33,800 32,800 56,300 76,900 160,500 163,700 176,400 170,300	\$123,815 4,530 5,025 4,880 8,370 11,440 23,860 24,340 26,225 25,320	\$956,715 35,030 38,825 37,680 64,670 88,340 184,360 188,040 202,625 195,620	\$1,643,400 60,100 66,700 64,800 111,100 151,900 316,700 323,100 348,100 336,100	\$123,055 4,500 4,995 4,850 8,320 11,375 23,715 24,195 26,065 25,165
2011A Refunding Total				\$5,156,100	\$514,040	\$5,670,140	\$1,734,100	\$257,805	\$1,991,905	\$3,422,000	\$256,235
2012 A & B Total Estimate							\$3,930,000	\$2,246,539	\$6,176,539		
Total County GO Debt				\$665,073,300	\$205,522,394	\$870,595,694	\$70,956,900	\$32,146,637	\$103,103,537	\$598,046,400	\$175,622,296
Lease Revenue Bonds											
2003EDA-Ref	85,650,000	10/1/2003	EDA Gov't Ctr Properties Refunding	\$46,915,000	\$9,669,625	\$56,584,625	\$5,740,000	\$2,345,750	\$8,085,750	\$41,175,000	\$7,323,875
2003H	2,530,000	6/1/2003	Gum Springs Glen Head Start	1,634,850	349,980	1,984,830	120,783	55,646	176,429	1,514,067	294,334
2003LRL	15,530,000	6/1/2003	Laurel Hill Golf Course ¹	14,820,000	8,884,413	23,704,413	210,000	666,113	876,113	14,610,000	8,218,301
2005	8,105,000	6/22/2005	Herndon Senior Center	2,430,000	172,530	2,602,530	810,000	85,860	895,860	1,620,000	86,670
2006	8,065,000	8/8/2006	Braddock Glen/Southgate	1,185,000	59,250	1,244,250	1,185,000	59,250	1,244,250	-	-
2010-EDA Ref	43,390,000	3/10/2010	Six Public Facilities	38,335,000	13,887,737	52,222,737	2,615,000	1,357,650	3,972,650	35,720,000	12,530,087
Total Lease Revenue Bonds				\$105,319,850	\$33,023,535	\$138,343,385	\$10,680,783	\$4,570,269	\$15,251,052	\$94,639,067	\$28,453,266
Total County Debt Service Fund 200/201				\$770,393,150	\$238,545,929	\$1,008,939,079	\$81,637,683	\$36,716,906	\$118,354,589	\$692,685,467	\$204,075,563

¹ Principal and interest payments will be funded by a transfer in from the Park Authority.

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATION AND LEASE REVENUE BONDS FOR FY 2013
SCHOOLS DEBT SERVICES

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2012	Interest Outstanding as of 6/30/2012	Total Outstanding as of 6/30/2012	Principal Due FY 2013	Interest Due FY 2013	Total Payment Due FY 2013	Principal Outstanding as of 6/30/2013	Interest Outstanding as of 6/30/2013
G.O. Bonds											
2003B	\$128,680,000	5/15/2003	Schools	\$45,025,000	\$13,497,013	\$58,522,013	\$6,435,000	\$1,969,838	\$8,404,838	\$38,590,000	\$11,527,175
2004A	120,215,000	4/14/2004	Schools	55,620,500	16,705,354	72,325,854	6,010,000	2,510,391	8,520,391	49,610,500	14,194,962
2004A Refunding	78,165,000	4/14/2004	Schools	24,392,600	3,029,400	27,422,000	6,515,000	1,241,997	7,756,997	17,877,600	1,787,404
2004B	116,280,000	10/19/2004	Schools	53,080,200	15,422,771	68,502,971	5,815,000	2,280,885	8,095,885	47,265,200	13,141,886
2004B Refunding	96,035,000	10/19/2004	Schools	53,405,000	8,849,925	62,254,925	8,100,000	2,441,450	10,541,450	45,305,000	6,408,475
2005A	104,685,000	8/16/2005	Schools	62,515,000	19,250,794	81,765,794	5,270,000	2,710,088	7,980,088	57,245,000	16,540,706
2005A Refunding	235,740,000	8/16/2005	Schools	170,011,900	34,928,878	204,940,778	20,240,000	7,743,345	27,983,345	149,771,900	27,185,533
2007A	126,820,000	2/7/2007	Schools	95,115,000	32,719,560	127,834,560	6,341,000	4,161,281	10,502,281	88,774,000	28,558,279
2008A	135,320,000	1/15/2008	Schools	108,240,000	41,790,788	150,030,788	6,765,000	5,039,925	11,804,925	101,475,000	36,750,863
2009A	150,510,000	1/23/2009	Schools	127,925,000	48,498,625	176,423,625	7,525,000	5,540,281	13,065,281	120,400,000	42,958,344
2009B	26,486,500	1/23/2009	Schools	8,819,000	360,270	9,179,270	5,629,000	264,570	5,893,570	3,190,000	95,700
2009C	83,273,000	10/28/2009	Schools	83,273,000	20,258,505	103,531,505	-	4,045,956	4,045,956	83,273,000	16,212,549
2009D	13,185,000	10/28/2009	Schools	7,910,600	593,245	8,503,845	2,637,200	329,600	2,966,800	5,273,400	263,645
2009E	138,499,500	10/28/2009	Schools	138,500,000	68,776,505	207,276,505	-	6,227,880	6,227,880	138,500,000	62,548,625
2011A	123,515,000	2/10/2011	Schools	118,365,000	54,294,200	172,659,200	6,225,000	5,505,513	11,730,513	112,140,000	48,788,688
2011A Refunding	11,782,600	2/10/2011	Schools	8,788,900	876,210	9,665,110	2,955,900	439,445	3,395,345	5,833,000	436,765
2012 A & B Total Estimate							7,135,000	4,194,909	11,329,909		
G.O. Bond Total				\$1,160,986,700	\$379,852,042	\$1,540,838,742	\$103,598,100	\$56,647,353	\$160,245,453	\$1,064,523,600	\$327,399,598
Revenue Bonds											
EDA 2003	\$55,300,000	6/1/2003	South County High School ¹	\$38,670,000	\$11,602,250	\$50,272,250	\$3,515,000	\$1,933,500	\$5,448,500	\$35,155,000	\$9,668,750
EDA 2005	60,690,000	1/27/2005	School Admin. Building ²	52,840,000	34,000,009	86,840,009	1,360,000	2,416,323	3,776,323	51,480,000	31,583,686
Revenue Bond Total				\$91,510,000	\$45,602,259	\$137,112,259	\$4,875,000	\$4,349,823	\$9,224,823	\$86,635,000	\$41,252,436
Total Schools Debt Service				\$1,252,496,700	\$425,454,300	\$1,677,951,000	\$108,473,100	\$60,997,176	\$169,470,276	\$1,151,158,600	\$368,652,034
Total County Debt Service				\$770,393,150	\$238,545,929	\$1,008,939,079	\$81,637,683	\$36,716,906	\$118,354,589	\$688,755,467	\$201,829,024
Grand Total Debt Current Service Fund 200/201				\$2,022,889,850	\$664,000,229	\$2,686,890,079	\$190,110,783	\$97,714,081	\$287,824,864	\$1,839,914,067	\$570,481,058
Other County Debt Service											
Salona 2005	\$12,900,000	12/27/2005	Parks ³	\$8,707,500	\$2,517,758	\$11,225,258	\$645,000	\$345,092	\$990,092	\$8,062,500	\$2,172,666
FCRHA BAN 2011A	37,615,000	2/11/2008	Housing - Crescent ⁴	26,725,000	1,069,000	27,794,000	26,725,000	1,069,000	27,794,000	-	(0)
FCRHA Series 2009	94,950,000	8/20/2009	Housing - Wedgewood ⁴	91,330,000	69,722,506	161,052,506	1,885,000	3,868,063	5,753,063	89,445,000	65,854,443
EDA 2011	205,705,000	5/19/2011	Dulles Rail Phase 1	199,515,000	145,315,738	344,830,738	4,840,000	9,529,313	14,369,313	194,675,000	135,786,425
EDA 2011	99,430,000	7/28/2011	Wiehle Ave	99,430,000	60,723,481	160,153,481	-	4,145,463	99,430,000	99,430,000	56,578,018
Grand Total Debt Service All Funds				\$2,448,597,350	\$943,348,711	\$3,391,946,061	\$224,205,783	\$116,871,012	\$436,161,332	\$2,231,526,567	\$830,872,608

¹ Principal and interest will be paid by County Debt Service.

² Principal and interest will be paid from a transfer in from the FCPS Operating Fund in connection with a capital lease.

³ Payments for Salona debt are budgeted in Fund 303, County Construction.

⁴ Payments for Crescent and Wedgewood debts are budgeted in Fund 319, The Penny for Affordable Housing.



1742

Capital Project Funds

Overview

The Fairfax County Capital Construction Program (other than sanitary sewer construction and resource recovery projects) is primarily financed through transfers from the General Fund and the sale of General Obligation bonds. Supplementing the General Fund and General Obligation bond monies are additional funding sources including Federal and State grants, contributions, and other miscellaneous revenues.

The following pages provide a narrative description of all capital funds, including Capital Construction Contribution Funds. These narratives include a description of each fund, a Fund Statement, and a Summary of Capital Projects.

Capital Project Funds

- Fund 301 – Contributed Roadway Improvement Fund
- Fund 302 – Library Construction
- Fund 303 – County Construction
- Fund 304 – Transportation Improvements
- Fund 307 – Pedestrian Walkway Improvements
- Fund 312 – Public Safety Construction
- Fund 315 – Commercial Revitalization Program
- Fund 316 – Pro Rata Share Drainage Construction
- Fund 317 – Capital Renewal Construction
- Fund 370 – Park Authority Bond Construction
- Fund 390 – Public School Construction

Capital Contribution Funds

Fairfax County contributes to the Washington Metropolitan Area Transit Authority (WMATA) to support the 106-mile Metrorail System, as well as to maintain and/or acquire facilities, equipment, railcars and buses.

- Fund 309 – Metro Operations and Construction

Fund 301

Contributed Roadway Improvement Fund

Focus

This fund was created specifically to account for proffered developer contributions received for roadway improvements throughout the County. Developer contributions are based on the developer rate schedule for road improvements in the Fairfax Center, Centreville, and Tysons Corner areas. This schedule is revised periodically by the Board of Supervisors based on the Consumer Price Index.

This fund is also used to provide matching funds to the state for projects identified by the Board of Supervisors in its consideration of the Virginia Department of Transportation (VDOT) Secondary Improvement Budget. Section 33.1-23.05B of the Code of Virginia enables the use of County funds for improvements to the secondary road system, and the Commonwealth Transportation Board has adopted a policy of providing a match of up to \$1 million, through its Revenue Sharing Program, for roadway projects designated by a locality for improvement, construction or reconstruction.

In FY 2013, \$110,000 in anticipated proffer revenue will be transferred to Fund 309, Metro Operations and Construction to provide the same level of annual support for shuttle bus service in the area of the Franconia/Springfield Metrorail Station.

No project funding is included in Fund 301, Contributed Roadway Improvement Fund, for FY 2013. Project funding will be appropriated at the fiscal year-end, consistent with the level of developer proffer revenue received during the fiscal year. This approach to Fund 301 project budgeting recognizes that significant fluctuations can occur from year to year in the pace of development with a resulting impact on proffer contributions. In FY 2013, work will continue on existing and previously funded projects using project balances. It is noted that proffer contributions are typically accumulated over a number of years until a sufficient level of revenue support is achieved for a major improvement. In addition, project expenditures cannot begin until the terms of the proffer contribution are met.

A separate project exists for each area for which contributions are received. These projects are described below. As specific roadway improvement projects are identified that conform to the appropriate funding parameters within each of these areas, funding is dedicated to complete the improvements.

Fairfax Center (Route 50/I-66) Developer Contributions – Commitments from developers in the Fairfax Center area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. The last time the developer rate was adjusted was in December 2011, at which time the rate schedule for road improvements in the Fairfax Center area increased from \$5.32 to \$5.53 per square foot of non-residential building structure and from \$1,179 to \$1,225 per residential dwelling unit. Ten percent of the developer's contribution is paid to the County at the time of the site plan approval. The balance of the amount due is paid as building permits are issued. As negotiated in individual proffer agreements, in-kind contributions of an equivalent value for road improvements can also be made in lieu of cash payments.

Centreville Developer Contributions - Commitments from developers in the Centreville area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. The last time the developer rate was adjusted was in December 2011, at which time the rate schedule for road improvements in the Centreville area increased from \$5.71 to \$5.93 per square foot of non-residential building structure and from \$2,258 to \$2,346 per residential dwelling unit.

Fund 301

Contributed Roadway Improvement Fund

Countywide Developer Contributions – This project was created to serve as a source of funding for contributions received for countywide roadway improvements. Funds are dedicated for specific improvements when required. Many different projects throughout the County are supported by this project within the following major categories: primary and secondary road improvements, bridge design and construction, intersection/interchange improvements, signal improvements and transit improvements.

Tysons Corner Developer Contributions - This project accounts for private sector contributions received for the Tysons Corner area. Improvements supported by this project include corridor/pedestrian improvements throughout the Tysons Corner area. The last time the developer rate was adjusted was in December 2011, at which time the rate schedule for road improvements in the Tysons area increased from \$3.92 to \$4.07 per square foot of non-residential building structure and from \$869 to \$903 per residential dwelling unit.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$40,365,503 due to the carryover of unexpended balances in the amount of \$40,859,001 and a net decrease of \$493,498. This decrease was based on lower than anticipated revenues in the amount of \$744,584 from the Virginia Department of Transportation (VDOT) for road improvements on Dolley Madison Boulevard. This project is complete and based on actual expenditure requirements, no additional revenue is anticipated. This decrease is partially offset by an increase of \$251,086 based on the appropriation of developer contributions in the amount of \$57,675 and higher than anticipated interest earnings of \$193,411. It is noted that proffer receipts may vary from budget estimates based on actual levels of development.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 301

Contributed Roadway Improvement Fund

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 301, Contributed Roadway
Improvement Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$40,316,395	\$0	\$40,075,128	\$0	\$0
Revenue:					
Federal Transportation Administration ¹	\$101,934	\$0	\$290,375	\$0	\$0
Fairfax Center Developer Contributions	50,000	0	0	0	0
Centreville Developer Contributions	0	0	0	0	0
Countywide Developer Contributions	117,675	110,000	110,000	110,000	110,000
Tysons Corner Developer Contributions	0	0	0	0	0
Pooled Interest ²	193,411	0	0	0	0
Total Revenue	\$463,020	\$110,000	\$400,375	\$110,000	\$110,000
Total Available	\$40,779,415	\$110,000	\$40,475,503	\$110,000	\$110,000
Total Expenditures	\$594,287	\$0	\$40,365,503	\$0	\$0
Transfers Out:					
Metro Operations and Construction (309) ³	\$110,000	\$110,000	\$110,000	\$110,000	\$110,000
Total Transfers Out	\$110,000	\$110,000	\$110,000	\$110,000	\$110,000
Total Disbursements	\$704,287	\$110,000	\$40,475,503	\$110,000	\$110,000
Ending Balance^{4,5}	\$40,075,128	\$0	\$0	\$0	\$0

¹ Represents Federal Transportation Administration revenue associated with Project 009914, Job Access/Reverse Commute Pedestrian Projects in the Tysons Corner Area.

² Pooled interest is earned on the contributions as well as the accumulated fund balance in this fund.

³ Represents funds to be transferred to Fund 309, Metro Operations and Construction, to support Metro shuttle bus service in the Franconia/Springfield area.

⁴ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

⁵ The \$40.1 million FY 2011 ending balance will meet capital project requirements in FY 2012 and future years. It is noted that proffered contributions cannot be expended until the terms of the proffer are met and until multiple contributions can be aggregated to meet total estimated costs of a project. As a result, a proffered contribution may be held in balance for several years, earning interest.

Fund 301

Contributed Roadway Improvement Fund

FY 2013 Summary of Capital Projects

Fund: 301, Contributed Roadway Improvement Fund

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
007700	Fairfax Center Developer Contributions	\$11,221,002	\$70,604.85	\$4,977,331.40	\$0	\$0
007701	Route 50/Waples Mill Interchange	4,132,878	92.55	0.00	0	0
007702	Tall Timbers Drive	1,382,091	6,556.43	0.00	0	0
008800	Centreville Developer Contributions	1,561,994	0.00	907,963.78	0	0
008803	Route 29 Widening	1,455,771	28,681.69	535,004.31	0	0
008804	Poplar Tree Road	550,000	45,140.01	0.00	0	0
009900	Countywide Developer Contributions	22,888,361	256,488.22	16,985,071.50	0	0
009911	Tysons Corner Developer Contributions	14,616,383	4,360.92	13,115,159.63	0	0
009914	Job Access/Reverse Commute Pedestrian Improvements	997,800	106,717.22	570,617.49	0	0
009915	Tysons Corner Grid Concept	2,500,000	0.00	2,500,000.00	0	0
009916	Tysons Circulator Feasibility Study	500,000	41,422.85	458,577.15	0	0
009917	Tysons Metrorail Access Management	350,000	34,222.50	315,777.50	0	0
Total		\$62,156,280	\$594,287.24	\$40,365,502.76	\$0	\$0

Fund 302

Library Construction

Focus

This fund supports the construction and renovation of a network of facilities operated by the Fairfax County Public Library that offer library services according to the needs of the community. Approved library construction projects have been primarily financed with General Obligation Bonds and are based on factors such as age and condition of buildings, long-range space needs, projected population growth, usage and demand for services in underserved areas of the County. New library facilities are designed to utilize new information resources delivery, and existing facilities from the early 1960s are being redesigned and renovated to maximize space, as well as accommodate modern technology.

In the fall of 2004, the voters approved a Public Library Bond Referendum totaling \$52.5 million for library projects. Funding provided for two new libraries, four renovation projects and prioritized capital renewal of libraries throughout the County. In order to ensure adequate facilities and address demands for services, the Burke Centre and Oakton libraries were constructed. The selection of libraries for renovation was based on



the age, condition and usage at each facility. Four of the oldest libraries were included on the bond referendum for renovation and expansion. These libraries were between 30- and 40-years-old, could not readily be adapted to the requirements of modern technology, needed quiet study space and were recommended based on level of usage. Renovation and expansion of the Richard Byrd Community, Martha Washington Community, Thomas Jefferson Community and Dolley Madison Community Libraries are now complete. A feasibility study on the renovation and expansion of the Woodrow Wilson Library began in April 2011 and the full design work commenced in February 2012. Feasibility studies for library renewals will be initiated for Pohick, Tysons Pimmit, Reston and John Marshall libraries during FY 2012.

No funding is included in Fund 302, Library Construction for FY 2013. Work will continue on existing and previously funded projects.

Fund 302 Library Construction

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$13,761,293 due to the carryover of unexpended project balances.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 302

Library Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 302, Library Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$7,378,661	\$0	\$2,492,511	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$0	\$0	\$11,380,000	\$0	\$0
Miscellaneous	0	0	0	0	0
Total Revenue	\$0	\$0	\$11,380,000	\$0	\$0
Total Available	\$7,378,661	\$0	\$13,872,511	\$0	\$0
Total Expenditures ²	\$4,886,150	\$0	\$13,872,511	\$0	\$0
Total Disbursements	\$4,886,150	\$0	\$13,872,511	\$0	\$0
Ending Balance³	\$2,492,511	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. The fall 2004 Public Library Facilities bond referendum approved by voters on November 2, 2004 included \$52.5 million to provide new library facilities, as well as renovate existing libraries. Previous capital renewal bond expenditures in the amount of \$2.5 million were expended in FY 2009 in Fund 317, Capital Renewal Construction. A balance of \$11.38 million remains in authorized but unissued bonds for this fund.

² In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$111,218.08 has been reflected as a decrease to FY 2011 expenditures due to expenditure accruals. This impacts the amount carried forward and results in an increase of \$11,218.08 to the *FY 2012 Revised Budget Plan*. The projects affected by these adjustments are Projects 004842, Thomas Jefferson Community Library, 004843, Richard Byrd Community Library, 004844, Dolley Madison Community Library, and 004845, Martha Washington Community Library. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustment were included in the FY 2012 Third Quarter package.

³ Capital projects are budgeted based on total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 302 Library Construction

FY 2013 Summary of Capital Projects

Fund: 302, Library Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
004822	Library Contingency		\$0.00	\$940,704.87	\$0	\$0
004838	Burke Centre Library	10,447,254	91,300.98	198,554.42	0	0
004839	Oakton Community Library	6,475,000	3,281.00	370,979.57	0	0
004840	Kingstowne Reg. Library	3,626,998	1,264.85	0.00	0	0
004842	Thomas Jefferson Community Library	6,856,000	97,156.87	135,839.37	0	0
004843	Richard Byrd Comm. Library	7,360,081	108,356.87	172,806.12	0	0
004844	Dolley Madison Comm. Library	10,970,453	4,198,674.69	4,920,960.92	0	0
004845	Martha Washington Comm. Library	6,007,149	316,209.65	255,645.51	0	0
004848	Woodrow Wilson Community Library	6,547,000	69,905.07	6,477,094.93	0	0
004850	Feasibility Studies		0.00	399,925.14	0	0
Total		\$58,289,936	\$4,886,149.98	\$13,872,510.85	\$0	\$0

Fund 303

County Construction

Focus

This fund provides for critical park maintenance and repairs, as well as athletic field maintenance on both Park Authority and Fairfax County Public School (FCPS) fields. Funding is also provided for on-going initiatives such as development and management of the County's Laurel Hill property, environmental initiatives to support the Board of Supervisors 20-year Vision Plan and revitalization initiatives. In addition, this fund supports payments and obligations such as lease-purchase agreements, the acquisition of properties, construction and renovation projects associated with County facilities, and the County's annual contributions to the School-Age Child Care (SACC) Center Program, the Northern Virginia Regional Park Authority (NVRPA) and the Northern Virginia Community College.

Funding in the amount of \$20,537,806 is included in Fund 303, County Construction, in FY 2013. Funding includes an amount of \$15,137,806 supported by a General Fund Transfer; \$300,000 supported by revenue bonds, \$1,000,000 in anticipated developer streetlight revenues, \$1,100,000 in anticipated Athletic Services Fee revenues and \$3,000,000 in General Obligation bonds to support the NVRPA. The NVRPA contribution funding is included pending the approval of the proposed fall 2012 bond referendum in the amount of \$12.0 million which would sustain the County's capital contribution to the NVRPA for an additional four years. It should be noted that funding has been limited to the most critical priority projects. A summary of those projects funded in FY 2013 follows:

Park Maintenance Projects

FY 2013 funding in the amount of \$1,470,076 has been included for Park maintenance of both facilities and grounds. The Park facilities maintained with General Fund monies include but are not limited to: rental properties, historic properties, nature centers, maintenance facilities, sheds, shelters, and office buildings. Park priorities are based on the assessment of current repair needs including safety and health issues, Americans with Disability Act (ADA) retrofits, facility protection, facility renewal and improved services. In addition, Park maintenance requirements are generated through scheduled preventative maintenance or from user requests for facility alterations. Without significant reinvestment in building and grounds, older facilities can fall into a state of ever decreasing condition and functionality, resulting in increased maintenance and repair costs in the future. Preventative and repair work is required for roof replacement and repair, HVAC, electrical and lighting systems, fire alarm systems and security systems. Funding is essential to the maintenance and repair of building stabilization, including capital renewal of over 537,000 square feet of buildings. Maintenance is also required on over 580 pieces of grounds equipment.

In order to balance the FY 2013 budget, the General Fund level of support for Park maintenance has been reduced by \$412,000 from the FY 2012 Adopted Budget Plan level of \$1,882,076. Reduced funding levels will result in the delay of the roof replacement of the Indoor Arena at Frying Pan Park and scheduled renovation of basketball and tennis courts at selected Fairfax County Park Authority facilities.

Specific funding levels in FY 2013 include:

- ◆ An amount of \$213,000 is included for general park maintenance at non-revenue supported Park facilities. These maintenance requirements include major non-recurring repairs and stabilization of properties, as well as repairs/replacements and improvements to roofs, electrical and lighting systems, sprinklers, HVAC systems, and the replacement of security and fire alarm systems. In FY 2013, funding is included to: repair and replace roofs at prioritized picnic shelters, nature centers and maintenance shops (\$138,000); and replace aged security systems at various sites throughout the

Fund 303 County Construction

County (\$75,000). FY 2013 funding represents a decrease of \$212,000 from the FY 2012 Adopted Budget Plan level of \$425,000 and defers the scheduled roof replacement for a 30-year old roof on the Indoor Arena at Frying Pan Park. The metal roof has exceeded its useful life and is experiencing leaking from cracks in the fiberglass panels. These leaks can affect spectator events at Frying Pan Park, as well as create muddy conditions for horses in the equestrian ring. This delay may result in increased costs for roof replacement in the future.

- ◆ An amount of \$787,076 is provided to fund annual requirements for Parks grounds maintenance at non-revenue supported parks. The Park Authority is responsible for the care of a total park acreage of approximately 23,000 acres of land, with 418 park site locations, maintenance and repair of tennis courts, basketball courts, trails, picnic areas and picnic shelters, playgrounds, bridges, parking lots and roadways, and stormwater ponds. This funding is also used for contract mowing of approximately 484 acres of land and arboreal services in response to citizens' requests, as well as addressing multi-year deferred maintenance on the aging park infrastructure. FY 2013 funding represents a decrease of \$200,000 from the FY 2012 Adopted Budget Plan level of \$987,076 and is associated with a reduction in renovations for County basketball and tennis courts. This will reduce the amount of tennis and basketball court renovations by 50 percent, allowing an average of six out of 259 tennis courts and four out of 140 basketball and tennis courts to be renovated. The current life expectancy of basketball and tennis courts is 10 years. As the courts become unsafe for citizen use, they may be taken out of service.
- ◆ An amount of \$470,000 is included to provide corrective and preventive maintenance for over 537,000 square feet at non-revenue supported Park Authority structures and buildings. These repairs include equipment repairs and the scheduled inspection and maintenance of HVAC, plumbing, electrical, security and fire alarm systems. This funding is critical in order to prevent the costly deterioration of facilities due to lack of maintenance.

Athletic Field Maintenance and Sports Projects

FY 2013 funding in the amount of \$5,747,535 has been included for the athletic field maintenance and sports program. This level of funding is supported by a General Fund transfer of \$4,647,535 and revenue generated from the Athletic Services Fee in the amount of \$1,100,000. Of the Athletic Services Fee total, \$250,000 will be dedicated to maintenance of school athletic fields, \$350,000 will be dedicated to synthetic turf field development, \$150,000 will be dedicated to the turf field replacement program, \$275,000 will be dedicated to custodial support for indoor sports organizations and \$75,000 will partially fund the Youth Sports Scholarship Program.

Specific funding levels in FY 2013 include:

- ◆ Two projects support maintenance efforts at Fairfax County Public School (FCPS) fields, totaling \$1,722,535. An amount of \$722,535 supports general maintenance including mowing at 505 athletic fields (approximately 176 school sites). This effort is supported entirely by the General Fund and is managed by the Park Authority. An additional amount of \$1,000,000 is also dedicated to maintenance



Fund 303 County Construction

of school athletic fields to supplement general maintenance and directly applies revenue generated by the Athletic Services Fee to the athletic field maintenance program. This program provides twice weekly infield preparation on elementary, middle and high school game fields (110 fields); pre- or post-season infield renovations (200 fields); mowing and turf management on high school fields after June 1st (55 fields); and annual maintenance of irrigation systems (35 sites/65 fields). All field maintenance is coordinated between the Park Authority and the Department of Neighborhood and Community Services. Of the total funding, an amount of \$250,000 is included for this program based on the FY 2013 projection of revenue generated from the Athletic Services Fee and \$1,472,535 is supported by the General Fund.

- ◆ An amount of \$200,000 is included to continue the replacement and upgrading of Fairfax County Public Schools (FCPS) athletic field lighting systems at middle and high schools used by many County organizations. Funding supports a replacement and repair schedule, as well as improvements to bring existing lighting systems up to new standards. The school system's Office of Design and Construction Services ensures lighting standards are maintained and FCPS annually prioritizes funding for field lighting. FY 2013 funding supports replacement and repair projects for existing lighting systems only. This project is supported entirely by the General Fund and coordinated by Department of Neighborhood and Community Services.
- ◆ An amount of \$50,000 is included for routine maintenance of girls' softball field amenities on select Fairfax County Public School sites. These amenities, such as dugouts, fencing and irrigation systems, were added or constructed by the County based on recommendations from the citizen-led Action Plan Review Team (APRT) in order to reduce disparities in the quality of fields assigned to boys' baseball and girls' softball organizations. Routine maintenance is necessary both to maintain equity and to ensure safety. For five years, funding of \$200,000 was provided to support Girls' Fast Pitch Field Maintenance improvements to various girls' softball fields throughout the County as requested by the Fairfax Athletic Inequities Reform (FAIR). FY 2013 funding will provide maintenance to the improvements and amenities previously made to girls' softball fields. This project is supported entirely by the General Fund and coordinated by Department of Neighborhood and Community Services.
- ◆ An amount of \$350,000 is included to support the development of synthetic turf fields. Fields are chosen through a review process based on the need in the community, projected community use and the field location and amenities. Synthetic turf fields improve the capacity, safety, playability, and availability of existing athletic fields. Artificial fields offer a cost effective way of increasing capacity on fields at existing parks and schools. This effort is coordinated between the Park Authority and the Department of Neighborhood and Community Services and funding is provided from revenue generated from the Athletic Services Fee. Funding of \$500,000 had been dedicated to this program annually; however, since FY 2012 athletic services fee revenue funding of \$150,000 has been redirected in order to establish a turf field replacement program.
- ◆ An amount of \$500,000 is included for the turf field replacement program. Funding of \$150,000 is supported by athletic services fee revenue and \$350,000 is supported by the General Fund. There are currently 32 operational turf fields throughout the County. The oldest field was built in September 2003 and is over 9 years old. Generally the useful life of a turf fields is 8 to 10 years, with replacement costs estimated at approximately \$400,000 per field. Turf fields have proven to be much easier to maintain and are superior to grass surfaces in terms of playability and safety. There are over 100,000 youth and adults that participate annually on rectangular fields that benefit from turf fields. If turf

Fund 303

County Construction

fields are not replaced when needed, they would need to be closed due to safety reasons. In FY 2012, the replacement program was initiated at the \$500,000 level; however, based on the age and number of turf fields, a contribution of approximately \$1.0 million annually would be required to fully fund the replacement program. The FY 2013 level will allow the County to continue to plan for the gradual replacement of turf fields as they reach the end of their useful life, without a significant disruption in service.

- ◆ An amount of \$2,500,000 is included for athletic field maintenance efforts, athletic field lighting and irrigation on 275 Park Authority athletic fields of which 107 are lighted and 126 are irrigated. The fields are used by 174,000 users and 200 user groups. This effort is supported entirely by the General Fund and is managed by the Park Authority.
- ◆ An amount of \$275,000 is included for custodial support for indoor gyms used by sports organizations. The use of FCPS indoor facilities on the weekend requires FCPS to schedule a school system employee to open and close the facility. Revenue generated from the Athletic Services Fee is used to provide payment for FCPS staff, eliminating the need for indoor sports organizations to pay the hourly rate previously charged. This project is entirely supported by revenue generated from the Athletic Services Fee and is managed by the Department of Neighborhood and Community Services.
- ◆ An amount of \$150,000 is included for the Youth Sports Scholarship Program. The Youth Sports Scholarship Program provides support to youth from low-income families who want to participate in community-based sports programs. Of the total funding, an amount of \$75,000 is included for this program based on the FY 2013 projection of revenue generated from the Athletic Services Fee, and \$75,000 is supported by the General Fund.

Americans with Disabilities Act (ADA) Compliance

FY 2013 funding in the amount of \$3,000,000 is included for the continuation of Americans with Disabilities Act (ADA) improvements required as part of the Department of Justice (DOJ) audit and identified in the settlement agreement signed by the Board of Supervisors on January 28, 2011. In May and June 2007, the United States Department of Justice conducted an audit of County government facilities and programs to determine compliance with the ADA which requires accessibility to facilities and programs for individuals with disabilities. DOJ has been conducting audits of various governments and private facilities across the country for the past decade. The audit of Fairfax County was part of this national audit program, and was not a result of any specific complaints in the County. The DOJ presented the County with the audit results in August 2009. The audit covered 78 buildings in the County and listed approximately 2,100 violations as well as approximately ten program areas which needed improvement in order to comply with the ADA. These violations ranged from updating emergency management procedures, web-based services, and general communication procedures, to improving access to buildings, parking garages, restrooms and elevators. Staff has categorized DOJ identified improvements by color: easy, inexpensive (green); more timely and costly (yellow); and difficult, time consuming, and/or expensive (red). In addition, the County and Parks are required as part of the agreement with the DOJ to perform assessments at all remaining facilities. These assessments are currently being conducted and will result in increased retrofitting requirements. Specific funding levels in FY 2013 include:

Fund 303

County Construction

- ◆ Funding in the amount of \$1,000,000 is included for the continuation of Park Authority ADA improvements required as part of the Department of Justice audit. The FY 2013 funding will provide for the mitigation of violations categorized as “green” and “yellow” within Park facilities. Additional funding for Park violations categorized as “red” a will be required in future years.
- ◆ Funding in the amount of \$2,000,000 is included for the continuation of ADA improvements at County owned facilities required as part of the Department of Justice audit. The FY 2013 funding will provide for the mitigation of violations categorized as “green” and “yellow” within County facilities. Additional funding for County violations categorized as “red” a will be required in future years.

On-going Development Efforts

FY 2013 funding in the amount of \$3,332,383 has been included for costs related to on-going development efforts throughout the County, specifically:

- ◆ Funding of \$1,052,383 is included to address only the most critical aspects of property management at the Laurel Hill property. Laurel Hill was transferred to the County by the federal government and includes approximately 2,340 acres of land and 1.48 million square feet of building space. Of the amount funded in FY 2013, \$755,263 will fund the Facilities Management Department’s security, maintenance services, grounds maintenance and support staff. This is a reduction from the FY 2012 level based on actual expenditure requirements and savings associated with additional mowing services being performed by the Community Labor Force (CLF). The Community Labor Force is a safe, low-risk offender labor force, under the supervision of the deputy sheriffs who complete routine maintenance such as grass mowing, landscaping, graffiti removal and litter control. The remaining \$297,120 will fund Park Authority critical maintenance activities and support staff.
- ◆ An amount of \$405,000 is included to continue non-routine maintenance in five major commercial revitalization areas (Annandale, Route 1, Springfield, McLean and Baileys Crossroads). This funding provides for: fixing benches, furniture and signs that are broken; fixing broken brick pavers; pruning trees and replacing dead trees; and maintaining appropriate site distances (trimming) on a priority basis. This funding partially supports the maintenance effort and does not fully fund the program. Funding for routine maintenance such as: mulching, fertilizing, broadleaf and weed control, edging, crack weed control, pest control, annual or perennial plantings, leaf removal in the fall, litter collection and removal of trash cans will be prioritized.
- ◆ An amount of \$75,000 is included to support the maintenance and establishment of geodetic survey control points for the geographic information system (GIS). This project also supports the development and maintenance of an interactive, GIS-based website which will provide convenient and cost effective monumentation information to the County’s land development customers.
- ◆ Funding in the amount of \$1,000,000 is included to support the Developer Streetlight Program. The County coordinates with Virginia Power for the installation of streetlights throughout the County. Developers then make direct payments to the County. Upon completion of the installation, the streetlights are incorporated into the Fairfax County Streetlight Program inventory. This program is offset entirely by payments from developers.

Fund 303

County Construction

- ◆ Funding of \$700,000 is included to support the Developer Default program. This project is necessitated by economic conditions surrounding the construction industry that result in some developers not completing required public facilities, including acceptance of roads by the state, walkways and storm drainage improvements. Land Development Services (LDS) will identify projects for resolution in FY 2013, as well as respond to requests to prepare composite cost estimates to complete existing developer default projects. The total FY 2013 funding is supported by \$300,000 in anticipated developer default revenue, and \$400,000 in General Fund monies.

- ◆ Funding of \$100,000 is included to support the Emergency Road Repairs program and the Road Maintenance program, which were combined in FY 2010. Staff will prioritize funding for projects including emergency safety and road repairs to County-owned service drives and County-owned stub streets which are currently not accepted by the Virginia Department of Transportation (VDOT) into the state highway system for maintenance and other on-going road maintenance work. On-going road maintenance includes, but is not limited to, pothole repair, drive surface overlays, sidewalk and curb repairs, traffic and pedestrian signage, hazardous tree removal, grading, snow and ice control, replacement of substandard materials, patching of existing travelways, minor ditching and stabilization of shoulders, slopes and drainage facilities.

Environmental Initiatives

FY 2013 funding in the amount of \$350,000 has been included for environmental initiatives. These initiatives directly support the Board of Supervisors Environmental Agenda. The Environmental Excellence 20-year Vision Plan (Environmental Agenda) includes six topic areas: Growth and Land Use; Air Quality and Transportation; Water Quality; Solid Waste; Parks, Trails and Open Space; and Environmental Stewardship. In addition, an amount of \$58,140 has been provided in Fund 119, Contributory Fund to continue partnering with three non-profit agencies to support tree planting efforts throughout the County. Specific funding levels include:

- ◆ An amount of \$150,000 is included for lighting retrofits and upgrades at Fairfax County Park Authority facilities for energy efficiency and conservation. These energy saving retrofit replacements will reap long term, system-wide environmental and cost benefits.

- ◆ An amount of \$100,000 is included to provide for a Natural Landscape Program at selected County properties. This program aims to reduce current maintenance practices which include mowing, pruning, edging and the use of fertilizers, pesticides and herbicides. Natural landscaping practices will result in the cultivation of native plant species, improve runoff to local streams and reduce maintenance costs.

- ◆ An amount of \$75,000 is included to continue the Invasive Plant Removal Program. The Park Authority manages this volunteer program, as well as other invasive removal initiatives. These programs restore hundreds of acres of important natural areas, protect tree canopy, and reach thousands of volunteers. Currently 4,500 trained volunteer leaders have contributed 18,000 hours of service since the Invasive Plant Removal Program's inception in 2005.

Fund 303 County Construction

- ◆ An amount of \$25,000 is provided for continued outreach efforts and air quality awareness in order to fulfill the County's commitment to the State Implementation Plan (SIP) for Clean Air Partners as well as other strategic environmental initiatives. These strategic initiatives may include the establishment of a countywide contract for Environmentally Preferable Products (EPP) and services, continuation of the countywide greenhouse gas inventory and energy efficiency outreach and education campaigns.

Payments and Obligations

FY 2013 funding in the amount of \$6,637,812 has been included for costs related to annual contributions and contractual obligations.

- ◆ Funding of \$990,091 is included for the annual payment associated with the Salona property based on the Board of Supervisors' approval of the purchase of this conservation easement on September 26, 2005. The total cost of the property is \$18.2 million with payments scheduled through FY 2026.
- ◆ Funding of \$750,000 is included for the County's annual contribution to offset school operating and overhead costs associated with School-Age Child Care (SACC) Centers.
- ◆ Funding of \$1,897,721 is included for Fairfax County's contribution to the Northern Virginia Community College (NVCC). Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system. The County contribution has been gradually increased to the FY 2013 level of \$1.75 per capita due to the unprecedented 12 percent growth in the NVCC student enrollment and the corresponding capital program requirements. The NVCC currently serves approximately 78,000 students surpassing all previous expectations of growth and capital planning. It is estimated that the NVCC serves an average of 20 percent of each high school graduating class in addition to increased support for local workers seeking new skills in a tough job market. The NVCC capital plan has recently been adjusted to keep pace with this accelerated enrollment and it is anticipated that capital contributions from the partners will continue to be adjusted gradually to avoid a major commitment from supporting jurisdictions in any given year. It is projected that the per capita support from the NVCC partners could reach \$2.50 per capita in the next six years. The NVCC has indicated that every dollar contributed to the capital program leverages \$29 in state funds back to Northern Virginia. The \$1.75 rate is applied to the population figure provided by the Weldon Cooper Center.

- ◆ Funding of \$3,000,000 is included for the County's annual contribution to the Northern Virginia Regional Park Authority (NVRPA) Capital program. The NVRPA Park system includes 23 parks and over 11,000 acres of land, over 100 miles of trails, numerous historic sites, five waterparks, two family campgrounds, three golf courses, a nature center, botanical gardens, rental cabins and cottages, five marinas, and nearly 30 miles of



Fund 303 County Construction

protected shoreline along major rivers and reservoirs. In Fairfax County, NVRPA owns over 8,000 acres – most of which protect environmentally sensitive watersheds along the Potomac, Bull Run and Occoquan Rivers. The NVRPA's capital improvement and land acquisition costs are shared by its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. The primary focus of NVRPA's capital program is to continue the restoration, renovation and modernization of existing park facilities, many of which were developed or constructed more than 20 years ago. Other elements of the capital program include land acquisition, the development of interpretive and educational displays and the addition of park features to meet the needs of the public. FY 2012 represented the last year of a four year program supported by a Park Bond Referendum approved by voters in the fall of 2008. This referendum included \$12 million to sustain the County's contribution to the NVRPA capital budget for fiscal years 2009 through 2012. The next bond referendum is scheduled in fall 2012 and is proposed at \$12.0 million to sustain the County's capital contribution to the NVRPA for an additional four years. FY 2013 funding is included, pending the approval of the fall 2012 bond referendum.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$112,537,051 due to the carryover of unexpended balances in the amount of \$25,432,069 and adjustments of \$87,104,982. This adjustment included: the transfer of revenues in the amount of \$53,462,034 and project balances of \$27,104,978 associated with the elimination of Fund 311, County Bond Construction. Based on a limited number of active projects in Fund 311, this fund was eliminated as part of the *FY 2011 Carryover Review* and all balances were transferred to Fund 303. In addition, in preparation for the implementation of the new Fairfax County Unified System (FOCUS), Fund 306, Northern Virginia Regional Park Authority (NVRPA) was also eliminated. The FY 2012 contribution of \$3,000,000 was transferred to Fund 303 and represented the fourth and final payment from the fall 2008 referendum. The adjustment also included an increase to the General Fund transfer of \$3,000,000 to begin to address ADA improvements required as part of the Department of Justice audit and identified in the settlement agreement signed by the Board of Supervisors on January 28, 2011. In addition, the adjustment included the appropriation of \$1,306 in miscellaneous revenues, \$15,674 associated with the Strike Force Blight Abatement Program, \$64,280 associated with the Emergency Directives Program, additional Athletic Service fee revenues of \$98,616 and Developer Default revenue of \$410,484 appropriated based on actual receipts in FY 2011. Lastly, both revenues and expenditures are decreased by \$52,390 based on the completion of the Hunter Mill Streetlight project.

Fund 303 County Construction

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$5,966,884 to appropriate additional revenue in the amount of \$3,738,964 based on grant funding from the Virginia Department of Behavioral Health and Developmental Services (DBHDS) for two Medicaid Waiver certified group homes as approved by the Board of Supervisors on October 18, 2011. In addition, funding of \$100,000 was appropriated in anticipated revenues from the Virginia Department of Transportation (VDOT) for a new multi-year snow removal pilot program and \$29,227 was appropriated based on FY 2012 developer streetlight contributions received for minor streetlight improvements. This adjustment also includes a transfer in from Fund 105, Cable Communications in the amount \$1,500,000 for synthetic turf field development at County high school sites. An increase to the General Fund transfer of \$600,000 was also included to support Park Authority costs to mitigate damages associated with Tropical Storm Lee in September 2011. Lastly, these increases were partially offset by a decrease of \$1,307 in miscellaneous revenues associated with an FY 2011 audit adjustment to properly reflect revenues.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 303 County Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 303, County Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$20,938,093	\$0	\$21,278,123	\$0	\$0
Revenue:					
Miscellaneous ¹	\$79,954	\$0	\$0	\$0	\$0
Bonds (NVRPA) ²		0	3,000,000	3,000,000	3,000,000
Bonds (County Construction) ³	0	0	53,462,034	0	0
Developer Payments-Streetlights ⁴	0	0	1,059,718	1,000,000	1,000,000
Developer Contributions Streetlights ⁵	0	0	29,227	0	0
Hunter Mill Streetlight Contributions ⁶	42,610	0	0	0	0
Developer Defaults	759,310	300,000	300,000	300,000	300,000
State Aid ⁷	210,000	0	0	0	0
Energy Efficiency and Conservation Block Grant (EECBG) ⁸	5,899,805	0	3,506,651	0	0
Athletic Field Maintenance Fees ⁹	1,198,616	1,100,000	1,100,000	1,100,000	1,100,000
VDOT Reimbursement Snow Removal ¹⁰	0	0	100,000	0	0
Virginia Department of Behavioral Health and Developmental Services (DBHDS) ¹¹	0	0	3,738,964	0	0
Total Revenue¹²	\$8,190,295	\$1,400,000	\$66,296,594	\$5,400,000	\$5,400,000
Transfer In:					
General Fund (001)	\$12,392,861	\$14,919,369	\$18,519,369	\$15,137,806	\$15,137,806
Cable Communications (105) ¹³	0	404,500	1,904,500	0	0
County Bond Construction (311) ³	0	0	27,104,978	0	0
Consolidated Debt Service (200-201) ¹⁴	593,500	0	0	0	0
Total Transfers In	\$12,986,361	\$15,323,869	\$47,528,847	\$15,137,806	\$15,137,806
Total Available	\$42,114,749	\$16,723,869	\$135,103,564	\$20,537,806	\$20,537,806
Total Expenditures¹²	\$20,836,626	\$16,723,869	\$135,103,564	\$20,537,806	\$20,537,806
Total Disbursements	\$20,836,626	\$16,723,869	\$135,103,564	\$20,537,806	\$20,537,806
Ending Balance¹⁵	\$21,278,123	\$0	\$0	\$0	\$0

Fund 303

County Construction

¹ Miscellaneous receipts in FY 2011 represent an amount of \$64,280 received for Project ED0001, Emergency Directives and \$15,674 for Project 009801, Strike Force Blight Abatement.

² Represents the County's annual contribution to the Northern Virginia Regional Park Authority (NVRPA) Capital program. On November 4, 2008 the voters approved \$12.0 million to sustain the County's capital contribution to the Northern Virginia Regional Park Authority for four years and FY 2012 represents the final installment. FY 2013 is included pending the approval of a fall 2012 Park Bond Referendum of \$12.0 million to support the NVRPA for an additional four years.

³ Due to a small number of active projects in Fund 311, County Bond Construction, all project balances and anticipated bond revenues were transferred to Fund 303, County Construction in FY 2012.

⁴ Reflects developer payments for Project Z00002, Developer Streetlight Program.

⁵ Reflects revenue received from developer contributions for streetlight improvements.

⁶ Reflects revenue received for Project Z00015, Hunter Mill District Streetlights. This project is now complete.

⁷ Represents state aid in the amount of \$210,000 associated with the Burke VRE.

⁸ On December 7, 2009, the Board of Supervisors approved funding in the amount of \$9,642,800 associated with the award of a U.S. Department of Energy (DOE), Energy Efficiency and Conservation Block Grant (EECBG) for energy efficiency projects. This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009. Through FY 2011, an amount of \$6,136,149 has been received and \$3,506,651 is anticipated in FY 2012 or beyond.

⁹ Represents revenue generated by the Athletic Services Fee to support the athletic field maintenance and sports program.

¹⁰ Reflects revenue anticipated from the Virginia Department of Transportation associated with a new snow removal pilot program.

¹¹ On October 18, 2011 the Board of Supervisors approved funding in the amount of \$3,738,964 for two Medicaid Waiver certified group homes. This grant funding was awarded to Fairfax County from the Virginia Department of Behavioral Health and Departmental Services (DBHDS) to acquire and rehabilitate or newly construct two, fully accessible, energy efficient, six-bedroom group homes.

¹² In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$1,306.70 has been reflected as a decrease to FY 2011 revenues to correctly classify revenue. In addition, an audit adjustment in the amount of \$124,240.94 has been reflected as an increase in expenditure accruals. The projects effected by this adjustment are Project 005009, Athletic Field Maintenance, Project 005012, Athletic Services Fee-Field Maintenance, Project 009416, ADA Compliance-FCPA, Project 009443, Parks-Facility/Equipment Maintenance, Project 009444, Laurel Hill Development, Project 009520, Health Department Lab and Project U00060, Developer Defaults. This impacts the amount carried forward resulting in a net decrease of \$124,240.94 to the *FY 2012 Revised Budget Plan*. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

¹³ In FY 2012, an amount of \$1,904,500 is transferred from Fund 105, Cable Communications including \$1,500,000 for synthetic turf field development at County high school sites and \$404,500 to support wiring, cabling, fiber and communication interconnection equipment associated with phone and data systems at new or expanded facilities.

¹⁴ In FY 2011, an amount of \$593,500 was transferred from Fund 200-201, Consolidated Debt Service to Fund 303 to fund the remaining debt service associated with the County's purchase of two residential properties on West Ox Road as approved by the Board of Supervisors on February 9, 2010.

¹⁵ Capital projects are budgeted based on total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 303 County Construction

FY 2013 Summary of Capital Projects

Fund: 303, County Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
005006	Park Maintenance of FCPS Fields		\$613,068.45	\$1,219,711.06	\$722,535	\$722,535
005009	Athletic Field Maintenance		2,731,991.78	2,840,438.11	2,500,000	2,500,000
005012	Athletic Services Fee - Field		1,055,094.26	1,233,358.72	1,000,000	1,000,000
005013	Athletic Services Fee - Turf Field Development		693,597.26	2,674,907.26	350,000	350,000
005014	Athletic Services Fee - Custodial		276,711.00	294,723.00	275,000	275,000
005016	FCPS Athletic Field Lighting Requirements		3,516.65	512,966.12	200,000	200,000
005017	Athletic Svcs Fee - Turf Field Replacement		0.00	500,000.00	500,000	500,000
005020	APRT-Amenity Maintenance		110,775.27	60,746.53	50,000	50,000
005021	Athletic Fields-Sports Scholar		149,998.10	150,066.61	150,000	150,000
007012	School Aged Child Care Contribution		750,000.00	750,000.00	750,000	750,000
008043	Northern Virginia Community		1,271,647.00	1,554,710.00	1,897,721	1,897,721
009399	ADA Compliance - DPWES		0.00	800,000.00	0	0
009406	ADA Compliance - Countywide		129,960.73	2,861,175.83	2,000,000	2,000,000
009416	ADA Compliance - FCPA		264,413.35	1,953,790.03	1,000,000	1,000,000
009417	Parks - General Maintenance		312,167.25	1,140,806.38	213,000	213,000
009422	Maintenance -		373,540.19	431,627.52	405,000	405,000
009425	South County Government	5,529,950	12,971.85	0.00	0	0
009429	Security Improvements		129,866.89	54,171.88	0	0
009432	Telecommunication and Network Connections		230,060.31	1,829,739.12	0	0
009442	Parks - Grounds Maintenance		887,932.15	1,371,465.57	787,076	787,076

Fund 303 County Construction

FY 2013 Summary of Capital Projects

Fund: 303, County Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
009443	Parks - Facility/Equip. Maint.		373,569.31	991,311.29	470,000	470,000
009444	Laurel Hill Development		1,078,948.19	3,275,105.98	1,052,383	1,052,383
009464	Katherine K. Hanley Family	3,856,681	3,382.35	2,609.65	0	0
009467	Mott Community Center	600,000	0.00	334,765.07	0	0
009468	Braddock District Capital Projects		0.00	97,598.31	0	0
009469	Dranesville District Capital Projects		819.56	253,809.06	0	0
009470	Hunter Mill District Capital Projects		11,442.00	223,280.51	0	0
009471	Lee District Capital Projects		14,513.20	67,379.51	0	0
009472	Mason District Capital Projects		1,603.34	112,148.62	0	0
009473	Mount Vernon District Capital		17,747.72	165,178.75	0	0
009474	Providence District Capital Projects		14,703.66	106,133.37	0	0
009475	Springfield District Capital Projects		0.00	22,853.02	0	0
009476	Sully District Capital Projects		0.00	54,157.88	0	0
009477	At Large (Countywide)		0.00	35,772.48	0	0
009489	Road Improvements -	400,000	0.00	252,017.00	0	0
009491	Burke Station VRE Trails	1,338,869	0.00	366,186.92	0	0
009494	Salona Property		1,036,289.26	1,013,488.54	990,091	990,091
009495	Emergency Management Initiatives	757,958	0.00	235,151.88	0	0
009499	Invasives Management - Environmental Agenda Project	273,703	6,815.34	0.00	0	0

Fund 303 County Construction

FY 2013 Summary of Capital Projects

Fund: 303, County Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
009506	Transportation Studies	2,350,000	17,209.42	821,942.73	0	0
009507	Community/ Project Planning and Design	1,880,000	151,427.34	643,293.66	0	0
009508	Countywide Security	1,026,359	32,091.43	160,282.85	0	0
009513	Florence Lane Improvements	350,000	320,357.50	29,642.50	0	0
009520	Health Department Lab	5,850,000	352,105.20	549,602.04	0	0
009524	Prevention Incentive Fund	1,078,810	177,557.89	462,145.19	0	0
009526	Police Video Surveillance	352,250	46,075.06	0.00	0	0
009527	Herndon Monroe Garage Remedial Work	549,537	431.90	0.00	0	0
009700	Environmental Agenda Initiatives		293,681.65	480,204.51	350,000	350,000
009701	East County Human Services	3,675,000	308.50	3,617,320.99	0	0
009799	Revitalization Initiatives-DOT		0.00	170,421.08	0	0
009800	Revitalization Initiatives	2,109,369	82,989.55	1,912,025.20	0	0
009801	Strike Force Blight Abatement		15,750.00	322,133.00	0	0
009998	Payments Of Interest On Bonds		33,851.61	329,088.39	0	0
04A000	Human Services Juvenile Facilities Bond Project	1,801,590	0.00	1,801,590.35	0	0
04A003	Woodburn Mental Health Center	11,773,163	0.00	11,773,163.21	0	0
04A006	County Cemetery	498,000	0.00	498,000.00	0	0
07A001	Newington DVS Renovation	54,705,362	0.00	54,705,362.41	0	0

Fund 303

County Construction

FY 2013 Summary of Capital Projects

Fund: 303, County Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
88A002	West Ox Bus Operations Center	5,437,076	0.00	5,437,075.64	0	0
90A016	Herndon Monroe Parking Garage Repairs	1,991,896	0.00	1,991,895.80	0	0
CG0000	Bond Contingency (Fund 311)		0.00	449,924.52	0	0
CG0046	Contingency Fund 303		0.00	337,353.28	0	0
ED0001	Emergency Directives Program		86,388.83	433,288.69	0	0
FSE001	Gum Springs HVAC and EMCS	495,000	4,645.00	490,355.00	0	0
FSE002	Lillian Carey/Bailey's HVAC and EMCS	297,000	0.00	297,000.00	0	0
FSE003	JDC Chiller Replacement	233,066	135,483.00	0.00	0	0
FSE004	Oakton High School EMCS	2,053,842	901,662.58	1,152,179.87	0	0
FSE005	County Facility Lighting Control Systems	583,000	0.00	583,000.00	0	0
FSE006	Athletic Field Lighting Control	475,269	141,115.31	334,153.81	0	0
FSE007	Outdoor Park Facility Lighting	200,000	83,294.00	116,706.00	0	0
FSE011	Enterprise Server Consolidation	4,087,600	4,087,599.88	0.00	0	0
FSE014	Telework Initiative and License	149,992	149,992.00	0.00	0	0
FSE015	Hybrid Electric School Bus	103,000	103,000.00	0.00	0	0
FSE016	Hydraulic Hybrid Refuse Collection Truck	35,000	35,000.00	0.00	0	0
FSE018	Residential Energy Audit Rebates	421,297	0.00	421,296.80	0	0
FSE019	Greenhouse Gas Emissions	71,433	19,473.13	51,959.87	0	0

Fund 303 County Construction

FY 2013 Summary of Capital Projects

Fund: 303, County Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
FSE020	Electric and Hybrid Vehicles	298,540	238,540.20	60,000.00	0	0
G25077	Water Authority Rate Review	50,000	0.00	50,000.00	0	0
G25078	Boys Probation House Expansion Study	75,000	0.00	75,000.00	0	0
G25079	North County Study	250,000	0.00	250,000.00	0	0
G35003	Laurel Hill		0.00	577,072.41	0	0
G40047	VDOT Snow Removal Program	100,000	0.00	100,000.00	0	0
G51032	EAI-Invasive Plant Removal	352,717	0.00	352,717.00	0	0
G75001	Braddock Apartments	35,000	0.00	35,000.00	0	0
HS0008	Central Virginia Training Center	3,738,964	0.00	3,738,964.00	0	0
PR0089	Storm Damage Mitigation-Fairfax County Park	1,100,000	0.00	1,100,000.00	0	0
U00005	Survey Control Network Monumentation		82,909.06	75,000.00	75,000	75,000
U00060	Developer Defaults		594,777.31	3,487,288.22	700,000	700,000
V00002	Emergency Road Repairs		0.00	200,567.31	100,000	100,000
V00003	Road Viewers		36,677.78	286,720.60	0	0
V00004	Road Maintenance Program		21,292.75	129,580.44	0	0
Z00002	Developer Street Light Program		13,468.75	1,046,249.21	1,000,000	1,000,000
Z00016	Minor Street Light Upgrades		6,196.01	118,688.83	0	0
Z00032	Safety Enhancement at Bus	1,850,000	14,126.60	204,950.51	0	0
Z99900	Regional Park Authority Contribution		0.00	3,000,006.00	3,000,000	3,000,000
Total		\$125,241,293	\$20,836,625.66	\$135,103,563.50	\$20,537,806	\$20,537,806

Fund 304

Transportation Improvements

Focus

This fund supports the land acquisition, design and construction of County transportation improvements. During the 1981 Session of the Virginia General Assembly legislation was approved enabling counties with a population over 125,000 to undertake secondary roadway improvements through the use of General Obligation bond revenues or General Fund revenues. Prior to this action, the construction and maintenance of all roadways in Fairfax County had been the exclusive responsibility of the Virginia Department of Transportation (VDOT). The existing road bond program is supported by General Obligation bonds approved by the voters in November 2007.

Fund 304, Transportation Improvements, provides funding for various roadway projects and is used in conjunction with revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), authorizing a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 124, County and Regional Transportation Projects, where a rate of 11 cents per \$100 assessed value is in place. In addition to roadway, pedestrian and transit projects, Fund 124 also supports spot improvements consisting of quick-hit projects such as turn lanes and sidewalk and trail connections to improve mobility, enhance safety, and provide relief for transportation bottlenecks.

No funding is included in Fund 304, Transportation Improvements, for FY 2013. Work will continue on existing and previously funded projects.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$105,898,233 due to the carryover of unexpended project balances in the amount of \$108,433,232 and other adjustments reflecting a net decrease of \$2,534,999. These adjustments included a decrease of \$2,500,000 associated with Virginia National Defense Industrial Authority (VNDIA) grants to consolidate all expenditures and revenues associated with VNDIA grants within Fund 102, Federal/State Grant Fund. In addition, a decrease of \$170,244 is associated with completed CMAQ program projects, and \$1,138,921 in VDOT Secondary Road Program funds that are no longer available for Spot Improvements and Pedestrian Improvements. Decreases were partially offset by the appropriation of bond sale premium in the amount of \$1,020,000, received as part of the January 2011 bond sale, an increase of \$250,000 to support the Traffic Calming Program and the appropriation of miscellaneous revenue of \$4,166 received in FY 2011.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered “continuing” projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 304 Transportation Improvements

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 304, Transportation Improvements

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$8,740,795	\$0	\$10,870,065	\$0	\$0
Revenue:					
Bond Sale ¹	\$15,780,000	\$0	\$92,680,000	\$0	\$0
Bond Premium ¹	1,020,000	0	0	0	0
State Grant ²	(138,064)	0	0	0	0
VDOT Reimbursement ³	1,382,720	0	1,372,888	0	0
VDOT State Secondary Road Funds ⁴	0	0	322,443	0	0
Northern Virginia Transportation Commission ⁵	160,000	0	0	0	0
Miscellaneous	4,166	0	0	0	0
Total Revenue	\$18,208,822	\$0	\$94,375,331	\$0	\$0
Transfer In:					
General Fund (001)	\$0	\$0	\$250,000	\$0	\$0
Total Transfer In	\$0	\$0	\$250,000	\$0	\$0
Total Available	\$26,949,617	\$0	\$105,495,396	\$0	\$0
Total Expenditures ⁶	\$16,079,552	\$0	\$105,495,396	\$0	\$0
Total Disbursements	\$16,079,552	\$0	\$105,495,396	\$0	\$0
Ending Balance⁷	\$10,870,065	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bonds sales are based on cash needs in accordance with Board policy. In November 2007, the voters also approved a Transportation Bond Referendum in the amount of \$110 million. An amount of \$15.78 million from the 2007 referendum was sold in January 2011. In addition, an amount of \$1.02 million was applied to this fund in bond premium associated with the January 2011 sale. A balance of \$92.68 million remains in authorized but unissued bonds for this fund.

² In FY 2011, actual revenues were adjusted so that State grant funds for Project 064287, Virginia National Defense Industrial Authority (VNDIA) Grant Projects, previously received in this fund, were moved into Fund 102, Federal/State Grant Fund, in order to reflect those revenues in the same budget where all associated expenditures are being processed.

³ Under previous agreements with the Virginia Department of Transportation (VDOT), a total of \$15,736,637 in revenue was associated with Project 064233, Spring Hill Road (\$10,900,000), Project 064246, South Van Dorn/Franconia Interchange (\$1,769,782) and Project 064248, Fairfax County Parkway Widening (\$3,066,855). The South Van Dorn /Franconia Interchange and the Fairfax County Parkway Widening projects have been completed, and work continues on the Spring Hill Road project. Through FY 2011, VDOT reimbursements for all projects of \$14,363,749 have been received, and \$1,372,888 is anticipated in FY 2012 and beyond for the Spring Hill Road project.

⁴ Reflects revenue in the amount of \$2,633,957 originally anticipated from VDOT State Secondary Road funds associated with Countywide Pedestrian Safety and Access Improvements in Project 064267, Pedestrian Improvements. Through FY 2011, \$1,172,593 has been received, and \$1,138,921 will no longer be received based on the availability of state funding, leaving \$322,443 anticipated in FY 2012 and beyond.

⁵ Reflects the FY 2011 appropriation of County revenue held by the Northern Virginia Transportation Commission (NVTC), to be applied in support of a walkway and miscellaneous improvements at the Reston Transit Center site.

⁶ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$402,836.78 has been reflected as an increase to FY 2011 expenditures to reflect expenditure accruals. This will impact the amount carried forward resulting in a decrease of \$402,836.78 to the *FY 2012 Revised Budget Plan*. The projects affected by this adjustment are Project 4YP201, Pedestrian Improvements-Bond Funded, Project 4YP202, Bus Stop Improvements, Project 4YP205, Zion Drive and Project 4YP210, Poplar Tree Road Widening. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report. Details of the audit adjustments were included in the FY 2012 Third Quarter package.

⁷ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 304

Transportation Improvements

FY 2013 Summary of Capital Projects

Fund: 304, Transportation Improvements

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
006490	Construction Reserve		\$0.00	\$1,275,081.11	\$0	\$0
006495	Wiehle Avenue	15,528,638	2,491.40	192,891.82	0	0
064103	S. Van Dorn /I-95 Interchange	11,300,211	0.00	348,824.82	0	0
064130	Advanced Preliminary Engineering		18,010.12	666,143.94	0	0
064134	S. Van Dorn St. Phase III	8,382,086	61.70	304,339.67	0	0
064146	FC PKWY - Rt. 123 to Hooes Rd./Pohick	27,478,199	1,851.00	0.00	0	0
064153	Burke Centre Parkway	893,576	123.40	0.00	0	0
064210	Revenue Sharing Match	500,000	0.00	498,750.06	0	0
064233	Spring Hill Road	10,912,420	801,690.79	1,393,623.27	0	0
064249	Planning for 4 Year Transportation Plan		493.60	408,688.30	0	0
064251	Guinea Road/Falmead Road	164,578	74,293.64	0.00	0	0
064257	Centreville Road Trail at Dulles	229,856	40,876.81	3,600.00	0	0
064258	Colts Neck Road Trail/Sidewalk	366,000	10,859.17	193,160.30	0	0
064267	Pedestrian Improvements - VDOT	2,032,325	3,210.67	15,838.40	0	0
064268	FTA - Richmond Highway Public Transportation Initiative	500,000	0.00	500,000.00	0	0
064271	Annandale Road/Kerns Road	400,000	37,745.91	278,030.38	0	0
064273	Silverbrook Hooes Road Intersection	775,000	38,171.34	529,062.95	0	0
064274	Route 29 Walkway	250,000	1,406.76	128,842.91	0	0
064276	West Ox Rd/FC Parkway	960,702	682,610.81	20,489.36	0	0
064288	FC PKWY - Sunrise Valley Dr.	708,063	457,948.84	1,994.02	0	0
064290	Reston Transit Center Improvements	160,000	45,303.52	114,696.48	0	0
064292	Traffic Calming Program	250,000	0.00	250,000.00	0	0

Fund 304 Transportation Improvements

FY 2013 Summary of Capital Projects

Fund: 304, Transportation Improvements

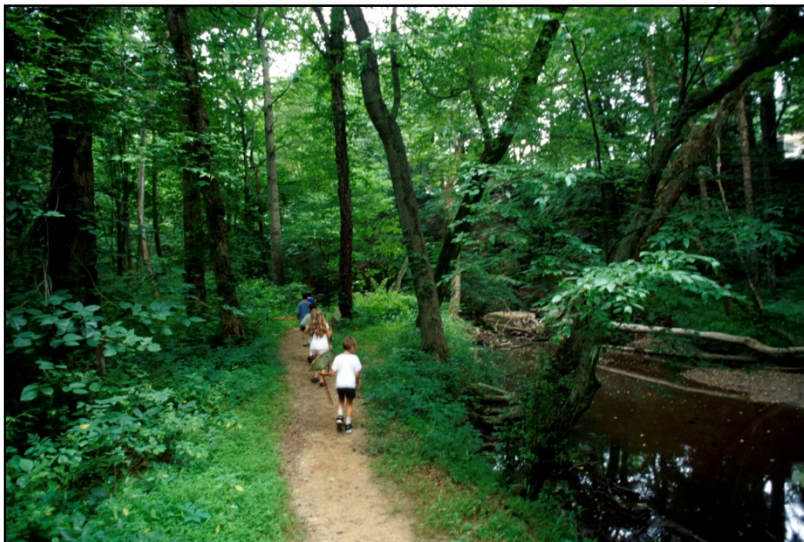
Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
4YP012	South Kings Highway/Harrison Lane	2,653,407	86,763.75	8,523.40	0	0
4YP013	Route 236/Beauregard Street	1,900,742	27,883.24	0.00	0	0
4YP017	Stringfellow Road Widening	18,141,324	4,902,085.70	10,236,635.53	0	0
4YP020	Hunter Mill Rd. Walkway	840,000	5,696.67	68,363.27	0	0
4YP200	Planning for 2nd 4 Year Transportation Plan		0.00	217,217.23	0	0
4YP201	Pedestrian Improvements-Bond Funded	15,000,000	2,363,947.97	6,326,097.13	0	0
4YP202	Bus Stop Improvements	7,750,000	1,211,107.96	5,239,245.90	0	0
4YP203	Braddock Road/Backlick Road	500,000	11,760.63	261,033.71	0	0
4YP205	Zion Drive	1,985,000	239,300.32	1,444,201.42	0	0
4YP206	Route 7	750,000	55,006.66	593,132.25	0	0
4YP208	Gallows Road Bike Lanes	3,000,000	0.00	3,000,000.00	0	0
4YP209	FFX County Pkwy/Route 29 to Braddock Road	1,000,000	78,387.44	921,612.56	0	0
4YP210	Poplar Tree Road Widening	5,000,000	1,303,429.63	3,220,927.20	0	0
4YP211	Stringfellow Road Widening	21,000,000	0.00	21,000,000.00	0	0
4YP212	Lee Highway Widening	4,707,520	491,024.04	3,749,249.33	0	0
4YP213	Lorton Road	20,158,244	871,756.82	16,558,675.33	0	0
4YP214	Cinder Bed Road	5,000,000	123,662.53	4,367,013.34	0	0
4YP215	Base Realignment and Closure	8,500,000	2,000,123.40	6,499,876.60	0	0
4YP216	Nov07 Bond Referendum Transit	10,500,000	0.00	9,000,000.00	0	0
4YP217	Stringfellow Road Park and Ride	5,500,000	90,465.50	5,409,534.50	0	0
ST0032	Wiehle TR-B Cam Nshore		0.00	250,000.00	0	0
Total		\$215,927,891	\$16,079,551.74	\$105,495,396.49	\$0	\$0

Fund 307

Pedestrian Walkway Improvements

Focus

This fund supports pedestrian and walkway improvements throughout the County, including the Fairfax County Sidewalk Program and the Fairfax County Trail Program. The Fairfax County Sidewalk Program was originally established in coordination with the Fairfax County Public Schools (FCPS) to ensure safe walking conditions for public school students in the County. The program was later expanded to include critical walkway and trail segments in coordination with the Trails and Sidewalk Committee to serve the recreation and transportation needs of pedestrians, bicyclists and equestrians in the County. This program includes projects that link residential areas and public schools, as well as missing walkway and trail segments to provide connections to completed portions of the countywide trail network. The County is currently responsible for the maintenance and upgrade of 647 miles of walkways, including 50 miles of sidewalks connecting directly to school grounds, as well as subdivision sidewalks, trails and pedestrian bridges.



It is noted that, in addition to funding provided through Fund 307, additional pedestrian improvements funding is supported by revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), which authorized a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 124, County and Regional Transportation Projects, where a rate of 11 cents per \$100 assessed value was approved in the FY 2013 Adopted Budget Plan, the same level as approved in previous years.

In FY 2013, an amount of \$300,000 is included in Fund 307, Pedestrian Walkway Improvements. An amount of \$100,000 is provided to meet emergency and critical maintenance requirements for County trails, sidewalks and pedestrian bridges. On-going critical maintenance includes the correction of safety and hazardous conditions such as the deterioration of trail surfaces, the replacement and/or repair of guardrails and handrails, and the rehabilitation of pedestrian bridges. In addition, \$200,000 is included to perform a condition assessment of existing trails, sidewalks, roadways and service drives maintained by the County. Currently, this critical pedestrian transportation network does not have an accurate condition assessment. The Department of Public Works and Environmental Services (DPWES) is responsible for maintaining approximately 220 miles of asphalt trails, 420 miles of concrete sidewalk, 65 pedestrian bridges, 17 miles of roadway service drives, and 4 miles of unimproved roads. Maintenance service levels have significantly fluctuated within these various maintenance programs based on funding constraints. Repairs are performed on a complaint basis only, and limited to addressing only emergency and safety related requirements. As determined by acceptable industry reinvestment standards, it is estimated that over \$5,000,000 could be required annually to provide for a comprehensive reinvestment program based on infrastructure life cycles of 20 to 50 years. This assessment will more accurately predict financial needs, and create a more proactive reinvestment program to replace the current complaint/failure driven program delivery.

Fund 307

Pedestrian Walkway Improvements

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$4,087,750 due to the carryover of unexpended project balances in the amount of \$3,430,282 and an adjustment of \$657,468. This adjustment was required to appropriate \$656,400 in Enhancement Grant Funds from the Virginia Department of Transportation, approved by the Board of Supervisors on May 24, 2011 to support the continued implementation of the Mason Neck Trail (Segments 2A and 2B) and miscellaneous revenue of \$1,068 received in FY 2011.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$89,000 to appropriate revenues received from Madeira School in FY 2012 for improvements associated with a portion of the Georgetown Pike Trail.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 307

Pedestrian Walkway Improvements

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 307, Pedestrian Walkway Improvements

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$708,424	\$0	\$608,396	\$0	\$0
Revenue:					
State Aid ¹	\$0	\$0	\$565,000	\$0	\$0
VDOT Revenue Sharing, NVTC ²	0	0	36,971	0	0
TEA-21 Grant ³	275,357	0	1,649,971	0	0
CMAQ Grant ⁴	0	0	12,586	0	0
FHWA Grant ⁵	0	0	319,285	0	0
Developer Contributions ⁶	223,623	0	984,541	0	0
Miscellaneous	1,068	0	0	0	0
Total Revenue	\$500,047	\$0	\$3,568,354	\$0	\$0
Transfers In:					
General Fund (001)	\$0	\$100,000	\$100,000	\$300,000	\$300,000
Total Transfers In	\$0	\$100,000	\$100,000	\$300,000	\$300,000
Total Available	\$1,208,471	\$100,000	\$4,276,750	\$300,000	\$300,000
Total Expenditures	\$600,075	\$100,000	\$4,276,750	\$300,000	\$300,000
Total Disbursements	\$600,075	\$100,000	\$4,276,750	\$300,000	\$300,000
Ending Balance⁷	\$608,396	\$0	\$0	\$0	\$0

¹ An amount of \$565,000 in State Revenue Sharing funds is associated with Project K00447, Richmond Highway Public Transportation Initiatives, and is anticipated to be received in FY 2012.

² Represents VDOT supplemental revenue sharing funds in the amount of \$648,921, based on a Revenue Sharing Program Amendment approved by the Board of Supervisors on February 27, 2006 for Project K00447, Richmond Highway Public Transportation Initiatives. An amount of \$611,950 has been received through FY 2011, and \$36,971 is anticipated in FY 2012 and beyond.

³ An amount of \$3,477,824 is anticipated from Transportation Enhancement Act (TEA-21) grant awards and supplemental agreements associated with Project W00200 (W2020), Georgetown Pike Trail; Project W00200 (W2120), Walker Road Trail; Project W00300 (W3100), NoVi Trail-Walk along Beulah Road; Project W00300 (W3110), Beulah Road Trail; Project W00500 (W5010), Columbia Pike Trail; Project W00600 (W6070), Mason Neck Trail; Project W00600 (W6130), Mason Neck Trail Segment II; and Project W00800 (W8090), Union Mill Trail. Through FY 2011, an amount of \$1,827,853 has been received. The remaining amount of \$1,649,971 is anticipated in FY 2012 and beyond.

⁴ An amount of \$619,000 represents Congestion Mitigation and Air Quality Improvement (CMAQ) grant funding for Project W00900 (W9030), Route 29/I-66 Underpass. An amount of \$606,414 has been received through FY 2011. The remaining amount of \$12,586 is anticipated in FY 2012.

⁵ An amount of \$366,680 is anticipated from a Federal Highway Administration (FHWA) National Scenic Byway Grant associated with Project W00200 (W2020), Georgetown Pike Trail. An amount of \$47,395 was received through FY 2011, and \$319,285 is anticipated in FY 2012 and beyond.

⁶ Represents developer contributions associated with site plan approvals or proffer development conditions, where the developer has agreed to provide funds for the implementation of walkways or trails within a magisterial district.

⁷ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 307

Pedestrian Walkway Improvements

FY 2013 Summary of Capital Projects

Fund: 307, Pedestrian Walkway Improvements

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
002200	Emergency Maint. Of Existing Trails		\$26,931.92	\$152,417.80	\$100,000	\$100,000
D00448	Plaza America Pedestrian Improvements	1,050,000	8,823.09	253,196.63	0	0
G25009	Transportation Inventory Assessment	200,000	0.00	0.00	200,000	200,000
K00447	Richmond Highway Public Transportation Initiatives	2,482,842	116,331.35	950,820.23	0	0
K00448	Richmond Highway Pedestrian Improvements	375,000	30,699.39	0.00	0	0
W00100	Braddock District Walkways	694,882	0.00	45,978.84	0	0
W00200	Dranesville District Walkways	2,187,263	138,039.56	816,882.94	0	0
W00300	Hunter Mill District Walkways	984,860	40,573.56	250,070.00	0	0
W00400	Lee District Walkways	680,904	5,497.74	77,924.58	0	0
W00500	Mason District Walkways	1,499,191	0.00	67,902.48	0	0
W00600	Mount Vernon District Walkways	3,131,337	233,178.03	1,296,206.00	0	0
W00700	Providence District Walkways	949,579	0.00	173,747.00	0	0
W00800	Springfield District Walkways	907,158	0.00	30,023.84	0	0
W00900	Sully District Walkways	1,380,836	0.00	155,360.55	0	0
X00404	Sidewalk Contingency	6,220	0.00	6,219.50	0	0
Total		\$16,530,070	\$600,074.64	\$4,276,750.39	\$300,000	\$300,000

Fund 309

Metro Operations and Construction

Focus

Fund 309, Metro Operations and Construction, contains the funds provided by Fairfax County to pay the County's allocated portion of the Washington Metropolitan Area Transit Authority's (WMATA) FY 2013 operating and capital budget. The County subsidizes Metrorail, Metrobus, and MetroAccess (paratransit) service, contributes to construction costs associated with the 106-mile Metrorail system, and contributes to the repair, maintenance, rehabilitation, and replacement of capital equipment and facilities for the Metrobus, Metrorail, and MetroAccess systems.



The FY 2013 WMATA budget presented here includes preliminary County staff estimates in Fall 2011. The WMATA Board Budget Committee reviews the WMATA proposed budget between January and May 2012. The Metro Board will make its final decisions and approve a budget in June 2012.

The projected operating and capital requirements for the County's FY 2013 Metro subsidy are \$111,333,732. The County's portion of the total WMATA budget is determined using several formulas that include factors such as jurisdiction of residence of passengers, number of stations located in a jurisdiction, the amount of service in a jurisdiction, the jurisdiction's population, and the jurisdiction's population density. The County meets its Metro subsidy through a General Fund transfer, General Obligation bonds, applied State Aid, Gas Tax receipts, and interest earnings on State Aid balances. State Aid and Gas Tax balances are held and directly disbursed to Metro by the Northern Virginia Transportation Commission (NVTC).



Based on current Metro system needs, an increase is anticipated in the FY 2013 operating subsidy requirement from local jurisdictions. The County's FY 2013 proposed operating contribution of \$84.9 million includes an estimated 7 percent increase over the FY 2012 operating contribution. In addition, Fund 309 supports a transfer out of \$2.3 million to Fund 100, County Transit Systems. The total operational requirements of \$84.9 million and the \$2.3 million for County Transit requirements is funded through the following sources: a proposed FY 2013 General Fund transfer of \$11.3 million, \$54.2 million in applied State Aid, \$21.3 million in applied Gas Tax Receipts, \$0.3 million in anticipated interest on balances held by NVTC, and \$0.1 million in proffer revenue from Fund 301, Contributed Roadway Improvement Fund for the operating support of bus service in the Franconia/Springfield area.

In FY 2013, General Obligation bond revenue of \$21.84 million supports the majority of the \$26.41 million County subsidy for Metro Capital Construction Expenditures. Sources of support for the balance of the construction subsidy also include \$4.41 million in State Aid and \$0.16 million in Gas Tax receipts.

Further adjustments to the Metro FY 2013 budget, to be approved by the Metro Board in June 2012, will be reflected as revisions to the County's FY 2013 budget as part of the County's FY 2012 *Carryover Review* process.

Fund 309

Metro Operations and Construction

FY 2013 Funding Adjustments

The following funding is necessary to support the FY 2013 program.

- ◆ **Metro Annual Operating Requirements** **\$84,926,703**
The projected FY 2013 subsidy requirement for WMATA Operating Expenses totals \$84,926,703, an increase of \$5,592,558, or 7.0 percent over the FY 2012 Adopted Budget Plan based on estimated funding requirements as of fall 2011. This funding level supports existing Metrorail and Metrobus service levels, including \$50,793,928 for Metrobus; \$20,854,316 for Metrorail; and \$13,278,459 for MetroAccess service.

- ◆ **Metro Capital Requirements** **\$26,407,029**
Projected FY 2013 Capital Construction expenditures total \$26,407,029 of which \$23,239,000 will support the acquisition of facilities, equipment, rail cars, and buses, as well as provide general infrastructure support to the 106-mile Metrorail system. An amount of \$3,168,029 funds the Adopted Regional System (ARS) debt service requirements.

- ◆ **Transfer Out to Fund 100, County Transit Systems** **\$2,304,186**
The FY 2013 Transfer Out of \$2,304,186 to Fund 100, County Transit Systems, provides continued support for FAIRFAX CONNECTOR system. This level of support for County transit operations is consistent with an FY 2000 change in the NVTC State Aid and Gas Tax funding formula that resulted in a higher annual allocation to Fairfax County. When the formula was updated, the NVTC required that additional funds that resulted from the formula change be used only for transit service enhancements.

- ◆ **General Fund Support for Metro** **\$11,298,296**
A proposed General Fund transfer of \$11,298,296 is consistent with the prior year level of support.

- ◆ **Support from Fund 301, Contributed Roadway Improvement Fund** **\$110,000**
A transfer of \$110,000 from Fund 301, Contributed Roadway Improvement Fund, provides annual operating support of shuttle service in the Franconia/Springfield area. This level is consistent with the prior year level of support.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$2,439,101**
FY 2012 expenditures are recommended to increase \$2,439,101 based on Metro's approved Capital Improvement Program budget. The Sale of Bonds in support of Metro's capital program decreases in the amount of \$7,968,277, as a result of bond funds available in fund balance from FY 2011 due to lower capital expenditure requirements in the prior year, partially offset by the increase required for the FY 2012 capital program. There is no change to the FY 2012 County expenditure level for the approved Metro Operations budget, supported through the General Fund transfer. However, it is noted that Metro's approved operating budget does result in an increase of \$2,295,683 in the total County operating subsidy supported through other sources. This increase results in a corresponding

Fund 309 Metro Operations and Construction

adjustment to the total level of State Aid and Gas Tax applied from the Northern Virginia Transportation Commission (NVTC) as revenue to this fund.

Key Performance Measures

Objectives

- ◆ To maximize the annual number of trips taken on Metrobus routes serving Fairfax County.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Trips originating in Fairfax County	9,440,350	8,685,123	9,629,158 / 9,017,111	9,000,693	NA
Metrobus routes	91	75	85 / 88	88	NA
Metrobus platform hours	407,844	375,216	395,662 / 408,399	405,127	NA
Metrobus platform miles	6,565,966	6,040,689	7,330,351 / 5,603,780	5,802,251	NA
Efficiency:					
Operating subsidy	\$42,761,346	\$40,204,282	\$45,143,030 / \$45,387,450	\$47,470,961	NA
Operating subsidy/platform hour	\$104.85	\$107.15	\$114.09 / \$111.14	\$117.18	NA
Operating subsidy/platform mile	\$6.51	\$6.66	\$6.16 / \$8.10	\$8.18	NA
Operating subsidy per Metrobus trip	\$4.53	\$4.63	\$4.69 / \$5.03	\$5.27	NA
Outcome:					
Percent change in Fairfax County trips	(6.0%)	(8.0%)	1.0% / 3.8%	(0.2%)	NA

(Note: Due to unanticipated delays receiving Performance Measurement data, FY 2013 estimates were not available at the publishing deadline for the printed version of the FY 2013 Adopted Budget Plan. Once data is available, the online version of Fund 309, Metro Operations and Construction will be updated with this information.)

Performance Measurement Results

Fairfax County Metrobus ridership has fluctuated slightly from year to year for the period from FY 2009 through FY 2011. Metrobus trips originating in Fairfax County increased by 3.8 percent from FY 2010 to FY 2011, with a FY 2011 total of 9.0 million trips. A decrease of 16,418 trips, or 0.2 percent, is anticipated in FY 2012. It should be noted that jurisdictional data provided by WMATA has been used to populate this chart, and in some cases prior-year actual data has been revised to reflect updated data capturing methodologies. The County's FY 2012 operating subsidy per Metrobus trip is projected to be \$5.27.

Fund 309

Metro Operations and Construction

Key Performance Measures

Objectives

- ◆ To maximize the number of Metrorail trips originating in Fairfax County.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Fairfax County ridership	29,012,470	30,161,141	32,499,600 / 29,592,719	29,825,994	NA
Efficiency:					
Operating subsidy	\$17,334,537	\$22,621,746	\$15,597,666 / \$15,597,666	\$19,490,015	NA
Operating subsidy per Metrorail passenger	\$0.60	\$0.75	\$0.48 / \$0.53	\$0.65	NA
Outcome:					
Percent change in Fairfax County ridership	2.0%	4.0%	7.9% / (1.9%)	0.8%	NA

(Note: Due to unanticipated delays receiving Performance Measurement data, FY 2013 estimates were not available at the publishing deadline for the printed version of the FY 2013 Adopted Budget Plan. Once data is available, the online version of Fund 309, Metro Operations and Construction will be updated with this information.)

Performance Measurement Results

Fairfax County Metrorail ridership is projected to be 29,825,994 in FY 2012, a slight increase over the FY 2011 actual level. It should be noted that jurisdictional data provided by WMATA has been used to populate this chart, and in some cases prior-year actual data has been revised to reflect updated data capturing methodologies. The County FY 2012 operating subsidy per Metrorail trip is projected to be \$0.65.

Fund 309

Metro Operations and Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 309, Metro Operations and Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$1,732,294	\$0	\$10,407,378	\$0	\$0
Revenue:					
Revenue Applied to Operating					
Expenses:					
State Aid	\$44,745,156	\$51,841,412	\$51,841,412	\$54,222,593	\$54,222,593
Gas Tax Revenue	21,838,019	18,000,000	20,295,683	21,300,000	21,300,000
Interest on NVTC Balances	300,000	300,000	300,000	300,000	300,000
Subtotal - State/Gas Revenue, Operating	<u>\$66,883,175</u>	<u>\$70,141,412</u>	<u>\$72,437,095</u>	<u>\$75,822,593</u>	<u>\$75,822,593</u>
Revenue Applied to Capital					
Expenses:					
NVTD Bonds Applied to Capital Construction ¹	\$5,007,650	\$0	\$0	\$0	\$0
State Aid Applied to ARS Debt Service	3,010,456	3,010,481	3,010,481	3,009,628	3,009,628
Gas Tax Rev. Applied to ARS Debt Service	158,444	158,447	158,447	158,401	158,401
State Aid Applied to Metro Matters Capital	1,400,000	1,400,000	1,400,000	1,400,000	1,400,000
Subtotal - State/Gas Revenue, Capital	<u>\$9,576,550</u>	<u>\$4,568,928</u>	<u>\$4,568,928</u>	<u>\$4,568,029</u>	<u>\$4,568,029</u>
County Revenue:					
County Bond Sales ²	\$18,880,000	\$24,773,000	\$16,804,723	\$21,839,000	\$21,839,000
County Bond Premium	1,220,000	0	0	0	0
Subtotal - County Revenue	<u>\$20,100,000</u>	<u>\$24,773,000</u>	<u>\$16,804,723</u>	<u>\$21,839,000</u>	<u>\$21,839,000</u>
Total Revenue	\$96,559,725	\$99,483,340	\$93,810,746	\$102,229,622	\$102,229,622
Transfers In:					
General Fund (001) Contributed Roadway Improvement Fund (301)	\$7,409,851	\$11,298,296	\$11,298,296	\$11,298,296	\$11,298,296
Improvement Fund (301)	110,000	110,000	110,000	110,000	110,000
Total Transfers In	\$7,519,851	\$11,408,296	\$11,408,296	\$11,408,296	\$11,408,296
Total Available	\$105,811,870	\$110,891,636	\$115,626,420	\$113,637,918	\$113,637,918
Expenditures:					
Operating Expenditures					
Bus Operating Subsidy ³	\$45,387,450	\$48,303,042	\$47,470,961	\$50,793,928	\$50,793,928
Rail Operating Subsidy	15,597,666	16,689,503	19,490,015	20,854,316	20,854,316
ADA Paratransit - Metro	11,347,290	12,141,600	12,409,775	13,278,459	13,278,459
Adjustments ⁴	0	2,200,000	2,259,077	0	0
Subtotal - Operating Expenditures	<u>\$72,332,406</u>	<u>\$79,334,145</u>	<u>\$81,629,828</u>	<u>\$84,926,703</u>	<u>\$84,926,703</u>

Fund 309

Metro Operations and Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds	Fund 309, Metro Operations and Construction				
	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Capital Construction Expenditures					
Metro Capital Improvement Program	\$17,832,566	\$26,173,000	\$28,613,000	\$23,239,000	\$23,239,000
ARS Debt Service	3,168,900	3,168,928	3,168,029	3,168,029	3,168,029
Total County Capital Construction Subsidy	\$21,001,466	\$29,341,928	\$31,781,029	\$26,407,029	\$26,407,029
Total Operating and Capital Subsidy	\$93,333,872	\$108,676,073	\$113,410,857	\$111,333,732	\$111,333,732
Applied Support					
Applied NVTC State Aid and Gas Tax to Operating	(\$66,583,175)	(\$69,841,412)	(\$72,137,095)	(\$75,522,593)	(\$75,522,593)
Applied Interest at NVTC to Operating	(300,000)	(300,000)	(300,000)	(300,000)	(300,000)
Applied NVTD Bonds to Capital Construction ¹	(5,007,650)	0	0	0	0
Applied NVTC State Aid and Gas Tax to Capital	(4,568,900)	(4,568,928)	(4,568,928)	(4,568,029)	(4,568,029)
Total Expenditures, County	\$16,874,147	\$33,965,733	\$36,404,834	\$30,943,110	\$30,943,110
Transfers Out:					
County Transit Systems (100)	\$2,070,620	\$2,215,563	\$2,215,563	\$2,304,186	\$2,304,186
Total Transfers Out	\$2,070,620	\$2,215,563	\$2,215,563	\$2,304,186	\$2,304,186
Total Disbursements, NVTC and County	\$95,404,492	\$110,891,636	\$115,626,420	\$113,637,918	\$113,637,918
Ending Balance⁵	\$10,407,378	\$0	\$0	\$0	\$0
General Fund and Contributions	\$0	\$0	\$0	\$0	\$0
Bond Funds	10,407,378	0	0	0	0
Unreserved Balance	\$0	\$0	\$0	\$0	\$0

¹ In July 2009, the Commonwealth Transportation Board approved the issuance of Northern Virginia Transportation District (NVTDC) bonds, \$5.0 million of which was applied to meet Fairfax County's portion of Metro's FY 2011 capital construction requirements.

² The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 2, 2004, the voters approved a \$110 million Transportation Bond. In October 2009, an amount of \$56.3 million was sold, including \$2.59 million in bond premium, leaving a balance of \$9.57 million in authorized but unissued bonds for this fund. The October 2009 bond sale amount included \$37.6 million to provide County one-time support to the Metro Capital Program, allowing the County to opt-out of debt service payments associated with capital projects for the next 25 years.

³ Expenditures for the Bus Operating Subsidy include continuing annual support of the Springfield Circulator service.

⁴ The prior-year adjustment reflects the amount owed or credited as a result of WMATA's audit of expenditures from two years prior.

⁵ The ending balance in Fund 309, Metro Operations and Construction, varies from year to year and is primarily related to differences between the preliminary budget presented by WMATA's General Manager and WMATA's Adopted budget. The FY 2011 ending balance for bond funds results from the timing of bond sales to meet ongoing requirements of the Metro Capital Improvements Program.

Fund 312

Public Safety Construction

Focus

This fund supports the construction of fire and police stations, governmental centers with police substations, and other public safety facilities. Projects are funded by several public safety bond referenda approved by the voters, and the General Fund. The latest referendum was approved by voters on November 7, 2006. This referendum included \$125 million to support the expansion and renovation of fire and rescue facilities, police stations and the West Ox Animal Shelter.

No funding is included in Fund 312, Public Safety Construction in FY 2013. Work will continue on existing and previously funded projects.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$114,182,107 due to the carryover of unexpended project balances in the amount of \$107,349,608 and a net adjustment of \$6,832,499. This adjustment was primarily due to the appropriation of remaining bond funds approved as part of the fall 2006 Public Safety Bond referendum. Bond funding in the amount of \$5,000,000 was included to support the construction document design phase, permitting and other design costs associated with a replacement Public Safety Headquarters building and \$1,800,000 was included to support design work associated with the renovation of the Bailey's Fire Station. After this action, no bond authority will remain from the 2006 Public Safety Bond Referendum. In addition, an amount of \$29,276 was appropriated based on FY 2011 interest earnings associated with the sale of Build America Bonds. In October 2009 the County sold \$202.2 million of Federally Taxable Build America Bonds. Based on the Internal Revenue Code §54AA Section (g) (2) (A), 100 percent of available project proceeds, which include investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds have been allocated to this construction fund. Lastly, an amount of \$3,223 was appropriated due to miscellaneous revenues received in FY 2011.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$13,780 to appropriate revenues received in FY 2011 for interest earnings associated with the sale of Build America Bonds. In October 2009 the County sold \$202.2 million of Federally Taxable Build America Bonds. Based on the Internal Revenue Code §54AA Section (g) (2) (A), 100 percent of available project proceeds, which include investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds have been allocated to this construction fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 312

Public Safety Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 312, Public Safety Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$40,870,573	\$0	\$27,472,230	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$0	\$0	\$86,719,134	\$0	\$0
Build America Bond Interest ²	43,056	0	0	0	0
Miscellaneous Revenues	3,223	0	0	0	0
Insurance Settlement ³	924,337	0	0	0	0
Total Revenue⁴	\$970,616	\$0	\$86,719,134	\$0	\$0
Transfer In:					
General Fund (001) ⁵	\$0	\$242,595	\$242,595	\$0	\$0
Cable Communications (105) ⁵	0	200,000	200,000	0	0
Total Transfers In	\$0	\$442,595	\$442,595	\$0	\$0
Total Available	\$41,841,189	\$442,595	\$114,633,959	\$0	\$0
Total Expenditures⁴	\$14,368,959	\$442,595	\$114,633,959	\$0	\$0
Total Disbursements	\$14,368,959	\$442,595	\$114,633,959	\$0	\$0
Ending Balance⁶	\$27,472,230	\$0	\$0	\$0	\$0

¹The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a \$125 million Public Safety Bond Referendum to support renovations and priority expansions at public safety facilities. Including prior sales, an amount of \$93.58 million in authorized but unissued bonds remains for this fund.

²In October 2010, the County sold \$202.2 million of Federally Taxable Build America Bonds. According to Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of \$43,056 have been allocated to this construction fund.

³ Reflects the receipt of insurance proceeds associated with the roof collapse at Bailey's Volunteer Fire Station on February 8, 2010.

⁴ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$13,779.55 has been reflected as an increase to FY 2011 Build America Bond Interest revenue. In addition, an audit adjustment in the amount of \$4,523.39 has been reflected as an increase in expenditure accruals. The projects affected by this adjustment are Project 009209, Judicial Center Expansion, Project 009224, Great Falls Fire Station, Project 009225, Fair Oaks Police Station, Project 009227, McLean Police Station and Project 009230, Public Safety Headquarters. This impacts the amount carried forward resulting in a decrease of \$4,523.39 to the *FY 2012 Revised Budget Plan*. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

⁵ The FY 2012 funding is associated with Project 009223, Jennings Courtroom Renovations to support construction associated with the renovation of a fourth courtroom in the original portion of the Jennings Judicial Center. Of this amount, \$242,595 is funded by the General Fund and \$200,000 is transferred from Fund 105, Cable Communications to support wiring, cabling and other technology costs associated with courtroom technology.

⁶ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 312

Public Safety Construction

FY 2013 Summary of Capital Projects

Fund: 312, Public Safety Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
009049	Tysons Fire Station	\$100,000	\$6,589.56	\$75,953.93	\$0	\$0
009051	Bailey's Crossroads Fire Station	3,057,327	201,270.38	2,856,056.46	0	0
009052	Bailey's Fire Station Temporary	117,010	0.00	117,010.16	0	0
009079	Fairfax Center Fire Station	8,899,923	28,752.95	19,617.56	0	0
009088	Traffic Light Signalization	967,762	1,060.00	210,313.58	0	0
009094	Wolfrap Fire Station	11,325,000	218,346.69	6,986,652.55	0	0
009203	Public Safety Contingency		0.00	1,156,656.43	0	0
009204	Burke Volunteer Fire Station	4,482,327	0.00	20,437.11	0	0
009206	Mt. Vernon Police Station	7,008,822	5,546.70	0.00	0	0
009209	Judicial Center Expansion	127,020,483	315,458.44	467,806.70	0	0
009210	Crosspointe Fire Station	9,191,541	6,136.79	0.00	0	0
009211	McConnell Public Safety and Transportation Operations Center	92,682,130	1,290,507.82	2,534,217.01	0	0
009215	Herndon Fire Station	1,350,000	34,827.55	1,129,841.76	0	0
009217	Stonecroft Boulevard Widening	862,383	2,106.83	706,973.52	0	0
009218	Courthouse IT Equipment and Support	11,800,150	1,478,071.00	2,110,516.27	0	0
009220	Public Safety Master Plan	377,860	25,358.61	0.00	0	0
009223	Jennings Courtroom Renovations	3,530,000	1,325,664.18	921,424.96	0	0
009224	Great Falls Fire Station	10,450,000	4,636,237.44	4,220,432.17	0	0
009225	Fair Oaks Police Station	17,400,000	1,516,802.26	14,707,775.36	0	0
009226	Reston Police Station Renovation	18,800,000	148,007.15	18,503,248.69	0	0
009227	McLean Police Station Renovation	20,100,000	585,487.76	19,350,183.63	0	0
009228	West Ox Road Animal Shelter	16,100,000	1,514,026.33	13,210,175.02	0	0

Fund 312 Public Safety Construction

FY 2013 Summary of Capital Projects

Fund: 312, Public Safety Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
009229	Fire & Rescue Training Academy Expansion and Renovation	17,100,000	386,525.10	14,007,051.54	0	0
009230	Public Safety Headquarters	8,521,739	547,321.00	7,974,417.71	0	0
009231	MPSTOC Operating and Equipment Support	1,300,336	94,854.93	197,196.38	0	0
AD0001	Adult Detention Center Sewer Grinder	2,500,000	0.00	2,500,000.00	0	0
G08010	Courthouse Data Center Study	350,000	0.00	350,000.00	0	0
G91001	Adult Detention Center Jail Security Design Study	300,000	0.00	300,000.00	0	0
Total		\$395,694,793	\$14,368,959.47	\$114,633,958.50	\$0	\$0

Fund 315

Commercial Revitalization Program

Focus

The Commercial Revitalization Program funds the development and promotion of competitive, attractive and stable commercial centers leading to improved facilities for communities. Improvements include undergrounding utilities, sidewalk construction, street lighting, tree planting and other pedestrian amenities. In the November 1988 bond referendum, Fairfax County voters approved \$22.3 million for public improvements in commercial and redevelopment areas of the County. Of this amount, \$17.1 million was dedicated to fund utility and street landscaping projects in three designated revitalization districts: Central Annandale, Central Springfield and Bailey's Crossroads. The remaining amount of \$5.2 million was divided among the revitalization projects in the Town of Vienna, the McLean Central Business District and along a portion of the Route 1 corridor. In addition to bond proceeds, revenue from the Virginia Department of Transportation (VDOT), and developer contributions support improvement efforts within this fund.

Revitalization is one part of an overall County strategy to accomplish the economic rejuvenation of older retail and business centers. Through targeted efforts it is anticipated that these areas will become more competitive commercially, offer better services and improved shopping opportunities and will become viable candidates for private reinvestment.

No funding is included in Fund 315, Commercial Revitalization Program, in FY 2013. Work will continue on existing and previously funded projects.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$3,920,268 due to the carryover of unexpended project balances.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 315

Commercial Revitalization Program

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 315, Commercial Revitalization Program

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$32,026	\$0	\$129,415	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$0	\$0	\$2,124,243	\$0	\$0
VDOT Revenues ²	0	0	1,477,745	0	0
Developer Contributions ³	386,464	0	77,757	0	0
Total Revenue	\$386,464	\$0	\$3,679,745	\$0	\$0
Total Available	\$418,490	\$0	\$3,809,160	\$0	\$0
Total Expenditures⁴	\$289,075	\$0	\$3,809,160	\$0	\$0
Total Disbursements	\$289,075	\$0	\$3,809,160	\$0	\$0
Ending Balance⁵	\$129,415	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes. Actual bond sales are based on cash needs in accordance with Board policy. In the fall of 1988, the voters approved a \$22.3 million Commercial Revitalization bond referendum. Including prior sales, a balance of \$2.26 million remains in authorized but unissued bonds associated with the fall 1988 referendum.

² An amount of \$1,477,745 is anticipated in VDOT revenue for Project 008912, McLean Streetscape (\$898,745), Project 008909, Annandale Streetscape (\$369,000) and Project 008911, Baileys Crossroads Streetscape (\$210,000) in FY 2012 and beyond.

³ Represents developer contributions of \$464,221, including \$250,000 for undergrounding utilities along Chain Bridge Road within Project 008914, Route 1 Streetscape and \$214,221 for Project 008911, Baileys Crossroads Streetscape. An amount of \$386,464 was received in FY 2011 and \$77,757 is anticipated in FY 2012.

⁴ In order to account for revenue and expenditures in the proper fiscal year, an audit adjustment in the amount of \$111,108.66 has been reflected as an increase to FY 2011 expenditures to correctly record expenditure accruals. This impacts the amount carried forward resulting in a net decrease of \$111,108.66 to the *FY 2012 Revised Budget Plan*. The project affected by this adjustment is Project 008912, McLean Streetscape. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustment were included in the FY 2012 Third Quarter package.

⁵ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 315 Commercial Revitalization Program

FY 2013 Summary of Capital Projects

Fund: 315, Commercial Revitalization Program

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
008903	Springfield Streetscape Phase I	\$3,169,236	\$0.00	\$230,926.54	\$0	\$0
008909	Annandale Streetscape	7,304,596	29,809.10	743,616.72	0	0
008911	Baileys Crossroads Streetscape	6,575,904	123.40	315,464.36	0	0
008912	McLean Streetscape	2,696,452	228,078.44	1,047,785.34	0	0
008914	Route 1 Streetscape	1,642,160	20,135.90	273,189.46	0	0
008919	Road Redevelopment	907,517	10,928.04	0.00	0	0
G25075	McLean Utilities	1,198,177	0.00	1,198,177.18	0	0
Total		\$23,494,042	\$289,074.88	\$3,809,159.60	\$0	\$0

Fund 316

Pro Rata Share Drainage Construction

Focus

This fund supports storm drainage capital projects through contributions in accordance with the Pro Rata Share Program approved by the Board of Supervisors on December 16, 1991. The Pro Rata Share Program provides a funding source to correct drainage deficiencies by collecting a proportionate share of the total estimated cost of drainage improvements from the developers of the land. Pro Rata funds are used to finance projects within specific watershed areas. As projects are identified and prioritized during scheduled budgetary reviews, Pro Rata funds on deposit are appropriated to this fund.

No funding is included for Fund 316, Pro Rata Share Drainage Construction, in FY 2013. All funding for this program is from private sources. Existing projects will utilize Pro Rata funds received to support watershed planning, regional pond development and other drainage improvement projects.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$6,977,884 due to the carryover of unexpended project balances of \$7,229,804 and a decrease of \$251,920. This decrease was required to properly account for current project requirements and pro rata share revenues available after refunds and other adjustments.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 316

Pro Rata Share Drainage Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 316, Pro Rata Share Drainage Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$6,271	\$0	\$7,567	\$0	\$0
Revenue:					
Pro Rata Shares	\$3,175,828	\$0	\$6,970,317	\$0	\$0
Total Revenue	\$3,175,828	\$0	\$6,970,317	\$0	\$0
Total Available	\$3,182,099	\$0	\$6,977,884	\$0	\$0
Total Expenditures	\$3,174,532	\$0	\$6,977,884	\$0	\$0
Total Disbursements	\$3,174,532	\$0	\$6,977,884	\$0	\$0
Ending Balance¹	\$7,567	\$0	\$0	\$0	\$0

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 316

Pro Rata Share Drainage Construction

FY 2013 Summary of Capital Projects

Fund: 316, Pro Rata Share Drainage Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
AC0352	Hunter Branch	\$303,370	\$123,944.26	\$108,102.97	\$0	\$0
AC8001	Accotink Creek Watershed Projects	78,490	0.00	78,490.45	0	0
AC9999	Accotink Creek Watershed Study	1,843,854	405,658.01	4,345.66	0	0
BE9999	Belle Haven Watershed Study	150,481	20,942.91	19,539.63	0	0
BN9999	Bullneck Run Watershed Study	93,018	0.00	1,967.09	0	0
BR8001	Bull Run Watershed Projects	186,071	65,702.39	0.00	0	0
CA0451	Vine Street	386,662	6,769.68	0.00	0	0
CA0532	Falls Hill Subdivision	365,149	61.70	0.00	0	0
CA8001	Cameron Run Watershed Projects	423,527	0.00	423,526.52	0	0
CU8001	Cub Run Pro Rata Share Project	6,910,334	1,497,229.87	2,553,181.23	0	0
DC8001	Dogue Creek Watershed Projects	378,699	0.00	378,698.88	0	0
DC9999	Dogue Creek Watershed Study	666,459	89,007.38	56,839.47	0	0
DE9999	Dead Run Watershed Study	16,000	0.00	2,229.98	0	0
DF1017	Regional Pond D-17	1,011,000	0.00	329,762.60	0	0
DF1151	Regional Pond D-151	135,000	0.00	133,217.82	0	0
DF8001	Difficult Run Pro Rata Share Project	532,087	0.00	532,086.95	0	0
HC1009	Regional Pond H-9	455,798	0.10	104,560.27	0	0
HC8001	Horsepen Creek Watershed Project	931,213	63,884.37	252,863.13	0	0
HC9999	Horsepen Creek Watershed Study	760,000	120,216.61	115,682.20	0	0
LH8001	Little Hunting Creek Watershed Projects	70,891	0.00	70,890.90	0	0
LR0017	Regional Pond R-17	1,530,000	586.15	86,776.64	0	0
LR1008	Regional Pond R-8	290,500	0.00	24,503.77	0	0
LR9999	Little Rocky Run Watershed Study	316,930	92,962.43	123,967.26	0	0
MB9999	Mill Branch Watershed Study	449,371	26,575.56	180,364.89	0	0
PC8001	Pohick Creek Watershed Projects	474,019	0.00	474,018.80	0	0

Fund 316

Pro Rata Share Drainage Construction

FY 2013 Summary of Capital Projects

Fund: 316, Pro Rata Share Drainage Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
PC9999	Pohick Creek Watershed Study	1,184,949	107,320.67	35,929.01	0	0
PH8001	Popes Head Pro Rata Share Project	362,229	0.00	362,229.43	0	0
PM8001	Pimmit Run Watershed Projects	212,114	23,032.50	189,081.52	0	0
PN9999	Pond Branch Watershed Study	202,974	25,974.25	0.00	0	0
SA9999	Sandy Run Watershed Plan	103,273	21,176.08	37,096.53	0	0
SC0213	Bridle Path Lane	641,728	149,970.77	32.14	0	0
SU8001	Sugarland Run Watershed Project	751,950	195,265.84	259,979.32	0	0
SU9999	Pro Rata Study - Sugarland Run	661,202	127,244.78	14,984.38	0	0
TR9999	Turkey Run Watershed Study	45,000	0.00	22,934.96	0	0
WR9999	Wolf Run Watershed Study	42,906	11,005.68	0.00	0	0
Total		\$22,967,247	\$3,174,531.99	\$6,977,884.40	\$0	\$0

Fund 317

Capital Renewal Construction

Focus

This fund supports the long-term needs of the County's capital assets to maximize the life of County facilities, avoid their obsolescence, and provide for planned repairs, improvements and restorations to make them suitable for organizational needs. Capital renewal is the planned replacement of building subsystems such as roofs, electrical systems, HVAC, plumbing systems, window replacement, carpet replacement, parking lot resurfacing, fire alarm replacement and emergency generator replacement that have reached the end of their useful life. Without significant reinvestment in building subsystems, older facilities can fall into a state of ever-decreasing condition and functionality, and the maintenance and repair costs necessary to operate the facilities increase.

Fairfax County will have a projected FY 2013 facility inventory of over 8.6 million square feet of space throughout the County (excluding schools, parks, housing and human services residential facilities). This inventory continues to expand with the addition of newly constructed facilities, the renovation and expansion of existing facilities and the acquisition of additional property. With such a large inventory, it is critical that a planned program of repairs and restorations be maintained. In addition, the age of a major portion of this inventory of facilities is reaching a point where major reinvestments are required in the building subsystems.

Many County facilities have outdated HVAC and electrical systems that are susceptible to failure or are highly inefficient energy users. Sites are identified and each individual project involves a two-step process to complete both design and construction. Roof repairs and waterproofing are conducted in priority order after all roofs at County facilities are evaluated. Based upon the results of that evaluation, critical requirements are prioritized and a five-year plan is established. Repairs and replacement of facility roofs are considered critical to avoid the serious structural deterioration that occurs from roof leaks. By addressing this problem in a comprehensive manner, a major backlog of roof problems can be avoided. Carpet replacement and parking lot resurfacing are evaluated annually and prioritized based on the most critical requirements for high traffic areas. In addition, emergency generators and fire alarm systems are replaced based on equipment age, coupled with maintenance and performance history. Critical emergency repairs and renovations are accomplished under the category of emergency building repairs. These small projects abate building obsolescence and improve the efficiency and effectiveness of facilities and facility systems. The following table outlines, in general, the expected service life of building subsystems used to project capital renewal requirements, coupled with the actual condition of the subsystem component:

General Guidelines for Expected Service Life Of Building Subsystems

<u>Electrical</u>		<u>Plumbing</u>	
Lighting	20 years	Pumps	15 years
Generators	25 years	Pipes and fittings	30 years
Service/Power	25 years	Fixtures	30 years
Fire Alarms	15 years		
<u>HVAC</u>		<u>Finishes</u>	
Equipment	20 years	Broadloom Carpet	7 years
Boilers	15 to 30 years	Carpet Tiles	15 years
Building Control Systems	10 years	Systems Furniture	20 to 25 years

Fund 317

Capital Renewal Construction

Conveying Systems

Elevator	25 years
Escalator	25 years

Site

Paving	15 years
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Roofs

Replacement	20 years
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Each year, the Facilities Management Department (FMD) prioritizes and classifies capital renewal projects into five categories. Projects are classified as Category F: urgent/safety related, or endangering life and/or property; Category D: critical systems beyond their useful life or in danger of possible failure; Category C: life-cycle repairs/replacements where repairs are no longer cost effective; Category B: repairs needed for improvements if funding is available, and Category A: good condition.

For several years staff has identified an estimated requirement of \$22 to \$26 million in capital renewal investment annually for the current building inventory. This estimate was based on two factors:

- In 2004, a comprehensive facilities condition assessment was conducted on 92 selected Fairfax County facilities (approximately 4.2 million square feet of space), representative of older facilities anticipated to have the most immediate capital renewal requirements. The assessment included a complete visual inspection of roofs and all mechanical and electrical components for each facility. Maintenance and repair deficiencies were identified and funding requirements estimated. Results from the survey indicated that approximately \$13 million per year would be required to repair and meet expected equipment replacement needs for these 92 facilities. The number of facilities evaluated represented approximately 50 percent of the inventory, indicating a total level of approximately \$25 million annually.
- The industry standard for capital renewal investment is currently 2 percent of replacement value. Based on average replacement values of \$150 per square foot, 2 percent equates to capital renewal requirements of \$3.00 per square foot. Budgeted renewal funds in the County have not reached this level. This may be due to the fact that much of the square footage added in the early 1990s was in the form of new facilities and thus has not yet required major capital renewal and subsystem replacement. However, this infrastructure is now aging and appropriate action must be taken to avoid system failures leading to potential disruptions in County services. Applying a \$3.00 per square foot industry standard to the 8.6 million of square feet currently maintained would result in approximately \$25.8 million required annually for capital renewal expenses.

In September 2009, a staff analysis indicated that a backlog of approximately \$35 million in capital renewal projects existed. In order to address this backlog and to plan for a more sustainable and reasonable annual funding level, as part of the FY 2011 Adopted Budget Plan, the Board of Supervisors approved a 3-year plan of short-term borrowing. FY 2013 is the third and final appropriation for capital renewal projects supported by short-term borrowing. In FY 2011, \$5 million was appropriated and in FY 2012 \$15 million was appropriated, leaving an additional \$15 million to be appropriated in FY 2013. Eliminating this \$35 million backlog will allow for a more preventative and proactive maintenance program, increase the life cycle of County buildings, and enable the renewal program to reach a fairly consistent level of annual funding requirements. Borrowing will be based on actual project completion schedules and cash flow requirements and will be achieved through the establishment of a variable rate line of credit in order to take advantage of very low short-term interest rates. The payback of both principle and interest on the short-term borrowing program will be provided by the General Fund in the County's debt service fund. Staff will maintain an even level of General Fund support by increasing debt

Fund 317 Capital Renewal Construction

service funding and decreasing General Fund transfers to Fund 317 during the next 10 years. Short-term borrowing for capital renewal is included in the debt capacity estimates in the Capital Improvement Program (CIP) and can be accommodated within established debt limits for General Fund supported debt.

The Facilities Management Department (FMD) continues to prioritize workload and address the most critical renewal projects each year; however, based on current staffing levels as well as additional Americans with Disability Act (ADA) retrofits and American Recovery and Reinvestment Act (ARRA) energy projects, completion of renewal projects has been hindered. During FY 2012, FMD will redirect operational funding identified based on custodial contract savings and the expiration of lease agreements to support temporary project management support to help complete renewal projects in a more timely manner. Additional funding for project management support is also being implemented in FY 2013 within FMD.

The FY 2013 capital renewal plan by category is outlined below:

Category	Amount
Category F Projects	\$8,710,000
Category D Projects	6,575,000
FY 2013 Funded	\$15,285,000

This identified level of funding does not include significant estimated requirements at several County facilities which are under review for replacement or any green building initiatives such as green roofs, enhanced lighting software, Americans with Disability Act (ADA) retrofits, or energy grant work. FY 2013 funding, as detailed below, will provide for the entire category F (urgent/safety related, or endangering life and/or property) projects and 18 category D projects. Specific funding levels in FY 2013 include:

HVAC/Electrical Systems

This project provides for the planned replacement of HVAC systems at prioritized County facilities, based on the severity of problems including overloaded systems, fire hazards, and costly repairs. In general, the useful life of HVAC/Electrical systems is 20 years; however, some systems fail earlier due to wear and tear, and often emergency repairs are costly based on difficulty obtaining parts and additional code requirements.

FY 2013 funding of \$4,800,000 is included for HVAC system component replacements at the following facilities: \$3,200,000 for the Government Center, \$500,000 for Crossroads Rehabilitation Center, \$300,000 for Clifton Fire Station, \$200,000 for the Burkeholder Building (which houses the Fire Marshall Office), and \$100,000 for the Adult Detention Center East Wing. These systems are beyond their useful life and consistently at risk of failure. They are requiring increased maintenance efforts due to age and stress on the systems and replacement components such as automatic transfer switches, emergency switch boards, emergency panels and an underground fuel tank are required to be upgraded to meet current code requirements.

Lastly, funding in the amount of \$200,000 is included to replace the entire electrical distribution system at the Merrifield Fire Station which is aged, obsolete and creating a safety hazard, \$200,000 to replace the electrical main distribution panel board, all non-emergency sub panels, transformers and lighting system

Fund 317

Capital Renewal Construction

at the West Ox Department of Vehicle Services (DVS) Garage which are well beyond their useful life; and \$100,000 to replace the entire plumbing component including the water booster pumps for the Adult Detention Center West Wing. All of these repairs have been classified as safety risks in need of imminent repairs or critical systems beyond their useful life and in risk of failure.

Emergency Generator Replacement

This project provides for the planned replacement of emergency generators at mission critical County facilities that have outlived their useful life of approximately 25 years. Generators are critical to the mission and operation of County facilities by providing backup power when power outages occur. Generators are maintained at police stations, fire stations and other operationally critical County facilities. FY 2013 funding of \$1,200,000 includes: \$400,000 for the Old Courthouse, \$250,000 for the original building generator at the Government Center, \$250,000 for the Alban Garage, \$150,000 for the Centreville Fire Station; and \$150,000 for the Lorton Radio Tower which supports Public Safety operations. Although the generator at the Lorton Radio Tower is not beyond its projected useful life, this generator is deficient and showing signs of imminent failure. Generators are critical at these facilities due to potential power outages and a disruption in critical operations for staff and the public. In general, these systems last 25 years, but replacement requirements can vary based on wear and tear, frequency of repair requirements, and other signs of imminent failure.

Elevator/Escalator Replacement

This project provides for planned elevator or escalator replacement and upgrades for systems that have outlived their useful life and are experiencing frequent breakdowns. FY 2013 funding in the amount of \$6,025,000 includes funding of \$5,400,000 to address elevator replacement at the Pennino and Herry Buildings. These elevators are heavily used by both employees and the public. The electrical panels and mechanical systems are beyond their useful life and are beginning to fail resulting in breakdowns and entrapments. Elevators are requiring weekly operational maintenance due to the high levels of humidity which have caused corrosion to the system and component parts. Design funding was included in the FY 2012 budget and construction funding is now required to complete the project. In general, the useful life of elevators is 25 years; however, based on the number of maintenance calls, shutdowns and the difficulty in obtaining replacement parts, both building elevators are recommended for replacement. This replacement project will satisfy all current code requirements, provide humidity control equipment to prevent future corrosion and provide for the safety of users.

In addition, an amount of \$625,000 is required for elevator replacement at the Herry and Pennino parking garages. During winter weather events, salt and snow drain down the elevator shaft and corrode mechanical elements resulting in frequent maintenance and replacement part requirements. These elevators are not equipped with heating elements to mitigate humidity levels and corrosion from weather events, resulting in daily shutdowns as well as entrapments for staff and patrons. This elevator replacement project will satisfy all current code requirements, provide humidity control equipment to prevent future corrosion, and provide for the safety of users in these garages.

Fire Alarm Systems

This project provides for the planned replacement of fire alarm systems throughout the County. Fire alarm systems are replaced based on age and difficulty in obtaining replacement parts and service. FY 2013 funding in the amount of \$500,000 is included for the replacement of the obsolete and aged fire alarm systems at the following County facilities: Crossroads Rehabilitation Center, Clifton Fire Station, Baileys Homeless Shelter and Chantilly Fire Station.

Fund 317

Capital Renewal Construction

Roof Repair and Replacement

This project provides for the planned replacement or repair of facility roofs and waterproofing systems in County buildings. Maintenance and repairs are required to stop rapid deterioration and damage due to water penetration. As roofs age, repairs are no longer cost effective and replacement is required. Roofs at County facilities range in warranty periods from 10 to 20 years. The warranties on all of the roofs slated for replacement in FY 2013 have expired. In FY 2013, funding in the amount of \$435,000 is included for roof repairs and replacement including: \$150,000 for the Lillian Carey Human Services Building, \$100,000 for Baileys Homeless Shelter; \$100,000 for Patrick Henry Library and \$85,000 for the Jermantown DVS Garage Radio Shop. In general, roof replacement is required every 20 years; however, leaking and damage caused by water infiltration to facilities can require more immediate attention.

Carpet Replacement

Funding in the amount of \$500,000 is included for carpet replacement at County facilities in which carpet has deteriorated and is in unserviceable condition. The project also includes new carpet installation where existing composition tile flooring requires replacement, and it is cost beneficial to install carpet as a replacement floor finish. In general, the useful life of carpet tiles is 15 years; all of the carpeting at these facilities has outlived its useful life due to the high volume of staff and patrons who utilize these facilities. FY 2013 funding is included for carpet replacement projects at various County libraries which include \$215,000 for Sherwood Regional Library, \$198,000 for Centreville Regional Library; and \$87,000 for the Patrick Henry Community Library. These library carpet tiles are severely worn from weather events which can result in health issues from the growth of mold and mildew, as well as tears and ripples which can cause safety issues for library staff and patrons in these high traffic areas.

Parking Lot and Garage Repairs

This project provides for the planned repair and maintenance of facility parking lots and garages throughout the County. In FY 2013, funding in the amount of \$160,000 is required to repave the Pohick Regional Library parking lot based on rapid deterioration of asphalt. In general, paving will last 15 years; however, temperature changes, water penetration, chemicals used for snow removal, and fuel leaks from vehicles can cause the asphalt to deteriorate more rapidly.

Window Replacement

This project provides for the planned repair and replacement of windows that have outlived their useful life. FY 2013 funding in the amount of \$65,000 is included for improvements to the Sherwood Library including the elimination of window pit wells and dormers, reframing smaller windows and resloping of the roof to eliminate drainage problems and water infiltration into the building.

Emergency Building Repairs

This project provides for emergency repairs, minor renovations, and critical upgrading at various buildings and facilities throughout the County. Projects include emergency repairs to buildings and building equipment, plumbing repairs, minor upgrades to electrical and mechanical systems, structural repairs, vandalism abatement, and other non-recurring construction and repair projects. A total of \$1,600,000 is included in FY 2013.

Funding in the amount of \$825,000 is included for critical emergency repairs at the Adult Detention Center. Of this amount, \$400,000 is included for the removal and replacement of the Concrete Terrazzo walkway based on rapid concrete deterioration. This is attributed to water infiltration, weather conditions, chemicals, debris and other contamination. The cement has become warped and uneven which has resulted in tripping and slippery conditions. This is a critical safety concern for staff and

Fund 317

Capital Renewal Construction

inmates who utilize this main walkway daily. In addition, funding in the amount of \$350,000 is included to recaulk all windows and expansion joints in the North Wing of the Adult Detention Center. Much of the original caulking has failed and water continues to leak into the building presenting an imminent safety hazard. Lastly, an amount of \$75,000 is included to replace wooden countertops at security stations throughout the facility. These countertops are original to the facility and have deteriorated due to age, chemicals and other contamination. This replacement will provide a more durable surface for Sheriff Deputies and improve overall health conditions.

Funding in the amount of \$250,000 is included for the Burke Station Road Facilities Management Maintenance Building to replace the concrete retaining wall and perform associated slope work. The support structure is cracked due to soil pressure, tree roots and moisture from weather events. This has resulted in a safety hazard from debris spillage onto the roadway. This replacement will provide structural stability and a reduction in erosion issues at the facility.

Funding in the amount of \$285,000 is included for the replacement of the Government Center auditorium seating and carpeting. Auditorium seating is original to the facility, is beyond its useful life and has deteriorated to the point that seats have been removed, arms rails are broken and the upholstery is worn. This has resulted in increased maintenance and a safety hazard for the public and staff who regularly attend meetings in the auditorium. FY 2013 funding will provide for a complete replacement of auditorium seating to prevent further deterioration and potential safety concerns. In addition, the original carpet is in advanced state of deterioration from wear and tear from staff and patrons who enter and exit the seating area. This carpet replacement will be completed simultaneously with the auditorium seating for optimal life. The Government Center auditorium is supported by the Communications Productions Division within Fund 105, Cable Communications. This Division is responsible for Channel 16 programming including an estimated 330 live meetings of the Board of Supervisors, Planning Commission, Board of Zoning Appeals, County Executive projects, Board-directed special programming, town meetings, and monthly video newsletters for members of the Board of Supervisors. Therefore, funding is transferred from Fund 105, Cable Communications to support the required replacement project within the auditorium.

Lastly, \$240,000 is included to continue a second facility assessment which began in FY 2012. The last facility assessment was conducted in 2004 on 92 selected facilities (approximately 4.2 million square feet of space), representative of the oldest facilities at the time. The assessment included a complete visual inspection of roofs and all mechanical and electrical components for each facility. Maintenance and repair deficiencies were identified and funding requirements estimated. These 92 facilities represented approximately 50 percent of the inventory. In FY 2012, funding in the amount of \$215,000 was provided to conduct a facility assessment on 40 additional buildings, not previously evaluated. These assessments allow inspectors to evaluate major building systems, identify cost estimates associated with repair and replacement and plan for future renewal requirements. The continuation of this study in FY 2013 will allow for the evaluation of an additional 30 facilities not evaluated in 2004 or 2012 which are now aging and require a comprehensive review.

Fund 317

Capital Renewal Construction

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$32,461,662 due to the carryover of unexpended project balances in the amount of \$32,074,160 and an adjustment of \$387,502. This adjustment was due to the appropriation of \$387,502 in revenues received in FY 2011 associated with reimbursements from the Virginia Department of Transportation (VDOT) and the Virginia State Police for their share of the operational costs at the McConnell Public Safety and Transportation Operations Center (MPSTOC) as well as the state share of future projected capital renewal requirements at this facility. The MPSTOC is a high-security, state-of-the-art facility which houses the County's 911 Center and Emergency Operations Center as well as VDOT's Smart Traffic and Signal Centers and the State Police Communications Center. This multi-use facility allows for the cost-effective provision of services through the sharing of land, buildings and technology resources at various levels of state and local government. The County pays for all operational requirements such as utilities, security, custodial, landscaping, maintenance, parking lot repairs and snow removal and the State reimburses the County for their share of these costs. In addition, the state has begun providing annual funding for future repair and renewal costs to avoid large budget increases for required capital renewal costs in the future. Funding received from the state is appropriated annually at the Carryover Review.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 317 Capital Renewal Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 317, Capital Renewal Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$23,519,520	\$0	\$18,461,662	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$0	\$0	\$9,000,000	\$0	\$0
Short Term Borrowing ²	0	15,000,000	20,000,000	15,000,000	15,000,000
MPSTOC Reimbursement ³	387,502	0	0	0	0
Total Revenue	\$387,502	\$15,000,000	\$29,000,000	\$15,000,000	\$15,000,000
Transfer In:					
General Fund (001) ⁴	\$3,000,000	\$0	\$0	\$0	\$0
Cable Communications (105) ⁵	0	0	0	285,000	285,000
Total Transfers In	\$3,000,000	\$0	\$0	\$285,000	\$285,000
Total Available	\$26,907,022	\$15,000,000	\$47,461,662	\$15,285,000	\$15,285,000
Total Expenditures	\$8,445,360	\$15,000,000	\$47,461,662	\$15,285,000	\$15,285,000
Total Disbursements	\$8,445,360	\$15,000,000	\$47,461,662	\$15,285,000	\$15,285,000
Ending Balance⁶	\$18,461,662	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a \$125 million Public Safety Bond Referendum, of which \$14 million was designated for capital renewal purposes. An amount of \$9.0 million remains in authorized but unissued bonds for capital renewal work primarily at the Old Courthouse.

² In FY 2013, an amount of \$15,000,000 is provided using the County's short-term borrowing tools in order to reduce existing capital renewal backlogs.

³ A total of \$387,502 represents revenue received from the Virginia Department of Transportation (VDOT) and Virginia State Police associated with the state share of operating costs at the McConnell Public Safety and Transportation Operations Center (MPSTOC). These funding reimbursements will be held in capital renewal projects for future replacement requirements.

⁴ The FY 2011 General Fund transfer of \$3,000,000 supported emergency renewal projects at County facilities.

⁵ The FY 2013 Cable Communications transfer of \$285,000 supports the replacement of auditorium seating and carpeting at the Government Center.

⁶ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 317

Capital Renewal Construction

FY 2013 Summary of Capital Projects

Fund: 317, Capital Renewal Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
003099	Emergency Building Repairs		\$269,646.30	\$3,634,411.63	\$1,600,000	\$1,600,000
003100	Fire Alarm Systems		34,231.07	1,347,465.48	500,000	500,000
009132	Roof Repairs and Waterproofing		738,575.33	1,356,424.67	435,000	435,000
009133	Carpet Replacement		16,983.79	0.00	500,000	500,000
009136	Parking Lot and Garage Repairs		351,610.38	4,337,597.62	160,000	160,000
009145	Emergency Systems Failures		165,266.66	6,177,728.21	0	0
009151	HVAC/Electrical Systems		4,126,634.20	10,213,496.04	4,800,000	4,800,000
009431	Emergency Generator Replacement		974,275.04	1,856,930.15	1,200,000	1,200,000
009600	Elevator Replacement		429,443.10	9,364,760.72	6,025,000	6,025,000
009601	Public Safety Capital Renewal - FMD		1,254,694.57	2,187,923.64	0	0
009602	Window Replacement		84,000.00	146,000.00	65,000	65,000
009603	Public Safety Capital Renewal - DPWES		0.00	5,911,558.58	0	0
009703	State Support for MPSTOC Renewal		0.00	355,461.00	0	0
009704	County Support for MPSTOC Renewal		0.00	571,904.00	0	0
Total		\$0	\$8,445,360.44	\$47,461,661.74	\$15,285,000	\$15,285,000

Fund 370

Park Authority Bond Construction

Focus

This fund provides for the continued design, construction and renovation of Fairfax County parks, and is primarily supported by General Obligation bonds. Projects within this fund provide for improvements to a wide range of recreational facilities such as playgrounds, picnic areas, trails and recreation center/swimming pool complexes. The existing program is most recently supported by \$65 million in General Obligation bonds approved by the voters on November 4, 2008 to acquire new parks and develop and improve park facilities.

The Park Authority Board has adopted certain criteria for evaluating proposed acquisitions, including contiguity to existing parkland or stream valley areas, existing zoning and development conditions, reasonable development costs and support within the Fairfax County Comprehensive Plan. The Park Authority also works with the private sector to acquire easements and donations of land and funding in an effort to use land acquisition monies more effectively.



No funding is included for Fund 370, Park Authority Bond Construction, in FY 2013. Work will continue on existing and previously funded projects.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$47,337,620 due to the carryover of unexpended project balances in the amount of \$46,548,104 and the appropriation of \$789,516 in revenue received in FY 2011. Bond premium funds in the amount of \$680,000 were received as part of the January 2011 bond sale and \$100,000 in federal grant revenue was received to support the Huntley Historic site preservation and redevelopment. In addition, in October 2009 the County sold \$202.2 million of Federally Taxable Build America Bonds. Based on the Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of \$9,516 were allocated to this construction fund.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$12 due to the appropriation of revenue associated with FY 2011 Build America Bond interest.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 370

Park Authority Bond Construction

FUND STATEMENT

Fund Type P37, Capital Project Funds

Fund 370, Park Authority Bond Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$7,901,313	\$0	\$3,002,723	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$10,520,000	\$0	\$44,315,000	\$0	\$0
Bond Premium ¹	680,000	0	0	0	0
Federal Grant ²	100,000	0	0	0	0
Build America Bond Interest ³	9,528	0	0	0	0
Total Revenue	\$11,309,528	\$0	\$44,315,000	\$0	\$0
Total Available	\$19,210,841	\$0	\$47,317,723	\$0	\$0
Total Expenditures⁴	\$16,208,118	\$0	\$47,317,723	\$0	\$0
Total Disbursements	\$16,208,118	\$0	\$47,317,723	\$0	\$0
Ending Balance⁵	\$3,002,723	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 4, 2008, the voters approved a \$65 million Park Authority Bond Referendum to continue land acquisition, park development, parks and building renovation and stewardship. The FY 2011 Actuals reflect an amount of \$10.52 million sold in January 2011. In addition, \$680,000 in bond premium has been applied to this fund. Including prior sales, an amount of \$44.315 million remains in authorized but unissued bonds for this fund.

² Funding of \$100,000, received in FY 2011 from the federal National Park Service "Save America's Treasures Grant" program, will be used as a contribution toward the costs of the preservation and redevelopment of the Huntley Historic Site.

³ In October 2010, the County sold \$202.2 million of Federally Taxable Build America Bonds. According to Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, FY 2011 interest earnings on Build America Bond proceeds in the amount of \$9,528 have been allocated to this construction fund.

⁴ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$11.69 has been reflected as an increase to FY 2011 Build America Bond Interest revenue and an audit adjustment in the amount of \$19,908.99 has been reflected as an increase in expenditure accruals. This impacts the amount carried forward and results in a decrease of \$19,908.99 to the *FY 2012 Revised Budget Plan*. The projects affected by these adjustments are Projects 474408 Park and Building Renovation-2008, 474606 Trails and Stream Crossings-2006, 475008 Stewardship-2008, and 475804 Building Renovation and Expansion-2004. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

⁵ Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 370

Park Authority Bond Construction

FY 2013 Summary of Capital Projects

Fund: 370, Park Authority Bond Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
004745	Lane's Mill Restoration	\$50,000	\$1,739.69	\$0.00	\$0	\$0
474104	Athletic Fields -Fall 2004 Park Bonds	8,633,562	31,153.53	687,321.34	0	0
474106	Athletic Fields - Synthetic Turf	10,000,000	7,497.25	253,204.07	0	0
474404	Infrastructure Renovations - 2004	3,212,000	65,735.10	13,251.26	0	0
474408	Park and Building Renovation - 2008	20,914,581	6,833,087.36	10,991,618.86	0	0
474498	Infrastructure Renovations	4,900,000	0.00	111,031.53	0	0
474604	Trails and Stream Crossings - 2004	4,895,000	28,589.20	129,331.15	0	0
474606	Trails and Stream Crossings - 2006	5,000,000	219,504.44	2,916,336.69	0	0
474763	Federal Grants	2,704,927	52,103.49	2,610.00	0	0
475004	Natural and Cultural Resources - 2004	3,830,000	113,638.61	930,462.00	0	0
475008	Stewardship - 2008	11,740,000	1,576,155.68	9,969,698.37	0	0
475098	Natural & Cultural Resource Facilities 1998	10,000,000	317,337.21	844,569.44	0	0
475502	Community Park Development - 2002	5,000,000	13,994.43	41,533.81	0	0
475504	Community Parks/Courts - 2004	9,580,646	25,161.80	1,326,212.87	0	0
475508	Park Development - 2008	18,846,545	2,167,054.28	13,071,806.92	0	0
475598	Community Park Development - 1998	10,050,223	47,194.00	57,972.99	0	0
475804	Building Renovation and Expansion - 2004	23,029,864	1,431,489.88	1,816,328.41	0	0
475898	Building Renovations	4,997,849	12,113.00	0.00	0	0
476106	Land Acquisition - Fall 2006 Park Bonds	10,000,000	661,045.11	12,745.17	0	0
476108	Land Acquisition - Fall 2008 Park Bonds	14,385,400	2,549,193.91	3,054,907.39	0	0
476204	Building New Construction	4,439,968	54,329.63	1,086,780.76	0	0
Total		\$186,210,566	\$16,208,117.60	\$47,317,723.03	\$0	\$0

Fund 370

Park Authority Bond Construction

Focus

This fund provides for the continued design, construction and renovation of Fairfax County parks, and is primarily supported by General Obligation bonds. Projects within this fund provide for improvements to a wide range of recreational facilities such as playgrounds, picnic areas, trails and recreation center/swimming pool complexes. The existing program is most recently supported by \$65 million in General Obligation bonds approved by the voters on November 4, 2008 to acquire new parks and develop and improve park facilities.

The Park Authority Board has adopted certain criteria for evaluating proposed acquisitions, including contiguity to existing parkland or stream valley areas, existing zoning and development conditions, reasonable development costs and support within the Fairfax County Comprehensive Plan. The Park Authority also works with the private sector to acquire easements and donations of land and funding in an effort to use land acquisition monies more effectively.



No funding is included for Fund 370, Park Authority Bond Construction, in FY 2013. Work will continue on existing and previously funded projects.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$47,337,620 due to the carryover of unexpended project balances in the amount of \$46,548,104 and the appropriation of \$789,516 in revenue received in FY 2011. Bond premium funds in the amount of \$680,000 were received as part of the January 2011 bond sale and \$100,000 in federal grant revenue was received to support the Huntley Historic site preservation and redevelopment. In addition, in October 2009 the County sold \$202.2 million of Federally Taxable Build America Bonds. Based on the Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of \$9,516 were allocated to this construction fund.
- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$12 due to the appropriation of revenue associated with FY 2011 Build America Bond interest.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 370

Park Authority Bond Construction

FUND STATEMENT

Fund Type P37, Capital Project Funds

Fund 370, Park Authority Bond Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$7,901,313	\$0	\$3,002,723	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$10,520,000	\$0	\$44,315,000	\$0	\$0
Bond Premium ¹	680,000	0	0	0	0
Federal Grant ²	100,000	0	0	0	0
Build America Bond Interest ³	9,528	0	0	0	0
Total Revenue	\$11,309,528	\$0	\$44,315,000	\$0	\$0
Total Available	\$19,210,841	\$0	\$47,317,723	\$0	\$0
Total Expenditures⁴	\$16,208,118	\$0	\$47,317,723	\$0	\$0
Total Disbursements	\$16,208,118	\$0	\$47,317,723	\$0	\$0
Ending Balance⁵	\$3,002,723	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 4, 2008, the voters approved a \$65 million Park Authority Bond Referendum to continue land acquisition, park development, parks and building renovation and stewardship. The FY 2011 Actuals reflect an amount of \$10.52 million sold in January 2011. In addition, \$680,000 in bond premium has been applied to this fund. Including prior sales, an amount of \$44.315 million remains in authorized but unissued bonds for this fund.

² Funding of \$100,000, received in FY 2011 from the federal National Park Service "Save America's Treasures Grant" program, will be used as a contribution toward the costs of the preservation and redevelopment of the Huntley Historic Site.

³ In October 2010, the County sold \$202.2 million of Federally Taxable Build America Bonds. According to Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, FY 2011 interest earnings on Build America Bond proceeds in the amount of \$9,528 have been allocated to this construction fund.

⁴ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$11.69 has been reflected as an increase to FY 2011 Build America Bond Interest revenue and an audit adjustment in the amount of \$19,908.99 has been reflected as an increase in expenditure accruals. This impacts the amount carried forward and results in a decrease of \$19,908.99 to the *FY 2012 Revised Budget Plan*. The projects affected by these adjustments are Projects 474408 Park and Building Renovation-2008, 474606 Trails and Stream Crossings-2006, 475008 Stewardship-2008, and 475804 Building Renovation and Expansion-2004. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

⁵ Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 370

Park Authority Bond Construction

FY 2013 Summary of Capital Projects

Fund: 370, Park Authority Bond Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
004745	Lane's Mill Restoration	\$50,000	\$1,739.69	\$0.00	\$0	\$0
474104	Athletic Fields -Fall 2004 Park Bonds	8,633,562	31,153.53	687,321.34	0	0
474106	Athletic Fields - Synthetic Turf	10,000,000	7,497.25	253,204.07	0	0
474404	Infrastructure Renovations - 2004	3,212,000	65,735.10	13,251.26	0	0
474408	Park and Building Renovation - 2008	20,914,581	6,833,087.36	10,991,618.86	0	0
474498	Infrastructure Renovations	4,900,000	0.00	111,031.53	0	0
474604	Trails and Stream Crossings - 2004	4,895,000	28,589.20	129,331.15	0	0
474606	Trails and Stream Crossings - 2006	5,000,000	219,504.44	2,916,336.69	0	0
474763	Federal Grants	2,704,927	52,103.49	2,610.00	0	0
475004	Natural and Cultural Resources - 2004	3,830,000	113,638.61	930,462.00	0	0
475008	Stewardship - 2008	11,740,000	1,576,155.68	9,969,698.37	0	0
475098	Natural & Cultural Resource Facilities 1998	10,000,000	317,337.21	844,569.44	0	0
475502	Community Park Development - 2002	5,000,000	13,994.43	41,533.81	0	0
475504	Community Parks/Courts - 2004	9,580,646	25,161.80	1,326,212.87	0	0
475508	Park Development - 2008	18,846,545	2,167,054.28	13,071,806.92	0	0
475598	Community Park Development - 1998	10,050,223	47,194.00	57,972.99	0	0
475804	Building Renovation and Expansion - 2004	23,029,864	1,431,489.88	1,816,328.41	0	0
475898	Building Renovations	4,997,849	12,113.00	0.00	0	0
476106	Land Acquisition - Fall 2006 Park Bonds	10,000,000	661,045.11	12,745.17	0	0
476108	Land Acquisition - Fall 2008 Park Bonds	14,385,400	2,549,193.91	3,054,907.39	0	0
476204	Building New Construction	4,439,968	54,329.63	1,086,780.76	0	0
Total		\$186,210,566	\$16,208,117.60	\$47,317,723.03	\$0	\$0

Fund 390

Public School Construction

Focus

Fund 390, Public School Construction, provides funding for new construction, facility renovation, expansion and improvements authorized by voter referendum, as well as funds for capital expenditures. Bond funding remaining from the 2001, 2003, 2005, 2007, 2009, and 2011 bond referenda support capital construction projects in this fund.

In FY 2013, progress will continue on the school bond referendum projects and projects funded by Fund 090, School Operating. Major projects for FY 2013 include facility modifications, building maintenance, renovations and infrastructure management.

Fund 390 Public School Construction

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 390, Public School Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance	\$94,573,900	\$0	\$75,306,105	\$0	\$0
Revenue:					
Sale of Bonds ³	\$130,000,000	\$155,000,000	\$155,000,000	\$155,000,000	\$155,000,000
State Construction Grant	0	0	0	0	0
PTA/PTO Receipts	405,323	150,000	150,000	20,000	20,000
Fairfax City	538,741	100,000	20,000	36,000	36,000
Revenue from Use of Money and Property	251,751	0	0	150,000	150,000
Other Revenue	2,085,441	136,000	136,000	100,000	100,000
Subtotal Revenue	\$133,281,256	\$155,386,000	\$155,306,000	\$155,306,000	\$155,306,000
Authorized But Unissued Bonds	\$0	\$0	\$215,964,136	\$0	\$0
Total Revenue	\$133,281,256	\$155,386,000	\$371,270,136	\$155,306,000	\$155,306,000
Transfers In:					
School Operating Fund (090)					
Major Maintenance	\$6,449,030	\$6,449,030	\$6,449,030	\$6,449,030	\$6,449,030
Classroom Equipment	3,097,119	649,681	649,681	717,090	717,090
Facility Modifications	370,001	600,000	339,948	600,000	600,000
Total Transfers In	\$9,916,150	\$7,698,711	\$7,438,659	\$7,766,120	\$7,766,120
Total Available	\$237,771,306	\$163,084,711	\$454,014,900	\$163,072,120	\$163,072,120
Expenditures:					
Subtotal Expenditures	\$162,465,201	\$163,084,711	\$238,050,763	\$163,072,120	\$163,072,120
Contractual Commitments	0	0	215,964,137	0	0
Total Expenditures	\$162,465,201	\$163,084,711	\$454,014,900	\$163,072,120	\$163,072,120
Total Disbursements	\$162,465,201	\$163,084,711	\$454,014,900	\$163,072,120	\$163,072,120
Ending Balance	\$75,306,105	\$0	\$0	\$0	\$0

¹The FY 2012 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their FY 2012 Third Quarter Review.

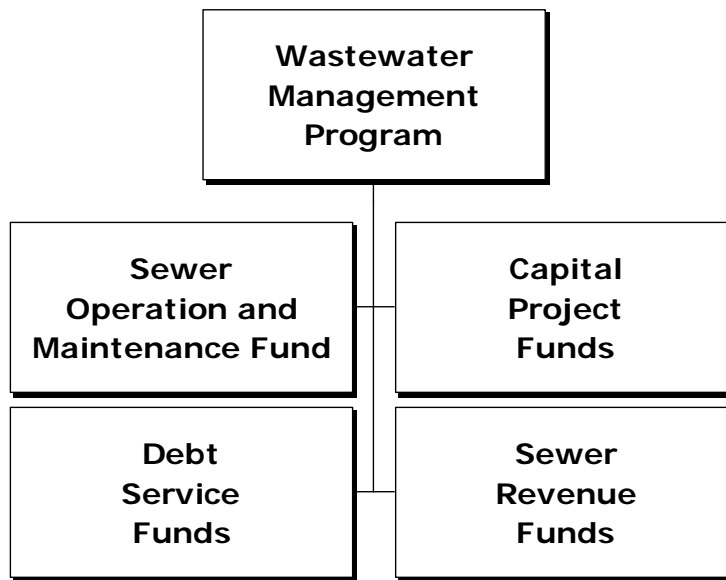
²Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the FY 2012 Carryover Review.

³The actual sale of bonds is based upon a review of cash needs rather than cash and encumbrances as presented here for planning purposes. This is consistent with Board policy to sell bonds on a cash basis. Including prior sales, there is a balance of \$551.125 million in authorized but unissued school bonds.



1742

Wastewater Management Program Overview



Focus

The Wastewater Management Program (WWM) is operated, maintained and managed within the Department of Public Works and Environmental Services (DPWES). The program currently includes the County-owned Noman M. Cole, Jr. Pollution Control Plant (67 million gallons per day (mgd) capacity), nearly 3,390 miles of sewer lines, 65 pump stations, 54 flow-metering stations, and covers approximately 234 square miles of the County's 407 square-mile area. Capacity entitlement at the other regional facilities totals 90 mgd. A total of 362,209 households and businesses (new and existing) in Fairfax County are connected to public sewer as of June 30, 2011.

In addition to providing County residents and businesses with sewer service, Fairfax County provides sewer service to other nearby entities through "Sales of Service" agreements with Arlington and Loudoun Counties, the cities of Falls Church and Fairfax, the towns of Herndon and Vienna, and Fort Belvoir. These entities share the capital and operating costs of WWM based on actual wastewater flow and reserved treatment capacity.

Strategic planning and overall business monitoring is the responsibility of the Wastewater Management Leadership Team, whose responsibilities focus on long range planning, strategic thinking, continuous improvement processing, wastewater capacity, and financial management. This team is comprised of employees from three divisions within WWM, Collections, Treatment, and Planning and Monitoring.

The Wastewater Collection Division (WCD) is responsible for the County's wastewater collection and conveyance system consisting of sewers, force mains, pumping stations and metering stations. The WCD has a proactive sewer system maintenance program that facilitates a safe and effective wastewater collection system. In FY 2011, approximately 224 miles of sewer lines were inspected by Closed Circuit Television (CCTV) crews and approximately 392 miles of sewer lines were cleaned to ensure maximum flow carrying capacity and reduce sewer backups and overflows. Over the last five years, WCD has rehabilitated approximately 87 miles of sewer lines to protect the environment and residents of Fairfax County.

The Wastewater Treatment Division (WTD) is responsible for operating and maintaining the County's wastewater treatment facility, the Noman M. Cole, Jr. Pollution Control Plant (NCPCP). The WTD

Wastewater Management Program Overview

continues to produce a quality effluent to meet regulatory and permit requirements, despite major construction occurring throughout the plant site. The NCPCP continues to make significant efforts to be a "good neighbor" by constructing an odor control system, which improves the air quality around the plant.

The Wastewater Planning and Monitoring Division (WPMD) is responsible for the agency's fiscal planning, engineering planning and wastewater monitoring. The WPMD continues to effectively monitor the long-term planning needs for the Wastewater Management Program in terms of infrastructure upgrades, maintenance and expansions. The WPMD ensures that all financial requirements are fulfilled by maintaining a rate structure to adequately recover all operating and maintenance costs, capital improvements and debt service obligations. The WPMD also plans for system capacity, both in the conveyance system and treatment facilities, by initiating expansion and improvement projects to keep pace with increased wastewater flows. The WPMD safeguards the environment by ensuring compliance with water quality standards and prevention of toxic discharges into the collection system.

WPMD is currently monitoring the Chesapeake Bay water quality program which requires reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit would include a requirement that nutrient removal be performed using "State of the Art" technology and meet a waste load allocation (cap) for the nutrients nitrogen and phosphorus. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate these more stringent nutrient discharge requirements. Until the "State of the Art" technology is installed, the County has a nitrogen discharge requirement of 7.0 milligrams per liter.

The Wastewater Management Program is primarily supported by Sewer Service Charges, received from existing customers which are used to fully recover program operation and maintenance costs, debt service payments and capital project requirements attributable to improving wastewater treatment effluent quality as mandated by state and federal agencies. The Sewer Service Charge rate included in the 5-year plan approved by the Board of Supervisors as part of the FY 2012 Adopted Budget Plan was proposed to increase 14 percent. The Sewer Service Charge rate was proposed to increase from \$6.01 to \$6.85 per 1,000 gallons of water consumption in FY 2013, resulting in an anticipated increase in the annual cost to the typical household of \$63.84. Wastewater Management staff in consultation with Public Resources Management Group (PRMG) proposed a more modest increase for FY 2013 due to identified operating efficiencies within the Program. Operational savings are anticipated for consumables which include chemical savings in the treatment of wastewater, energy consumption savings due to replacing fix drive pumps with variable drive pumps, lower than anticipated utility requirements and other operational efficiencies throughout the program. As a result, the Sewer Service Charge rate is increased from \$6.01 to \$6.55 per 1,000 gallons of water consumption in FY 2013. This equates to an approximate increase of 9.0 percent in Sewer Service Charges.

This level of rate increase will allow the system to meet all of the required financial targets by FY 2014, remain competitive with neighboring utilities, continue to preserve credit rating at current levels and require less debt to support capital projects. The chart below reflects the Wastewater Management Program's projected fiscal health in FY 2013 and FY 2014. The financial planning process incorporates these indicators that are interrelated and structured to identify the adequacy of rates from a cash flow, business, and compliance standpoint. These indicators are used by the rating agencies to determine the System's credit rating.

Wastewater Management Program Overview

FY 2013 Calculated Financial Indicators Comparison

Financial Indicator	Target	FY 2013 Rate (9% increase with \$5.50 Base Charge)		
		Achieved	FY 2013	FY 2014
Net Revenue Margin	Min. 45.0%	Yes	46.0%	48.1%
Days Working Capital [1]	90 to 120	Yes	166	204
Debt Coverage Senior	Min. 3.00x	Yes	3.33x	3.75x
Debt Coverage All-in	Min 1.75x	Yes	1.84x	2.00x
Net Capital Funds Available Ratio	Min 3.0%	Yes	2.5%	3.2%
Debt to Net Plant in Service	Max 50.0%	Yes	49.1%	47.0%
Outstanding Debt per Connection	Max \$3,000	Yes	\$2,545	\$2,457
Anticipated Sewer Bond Sales Through FY 2018				\$200.1 M

[1] Exclusive of Availability Fees in Fund 402, Extension and Improvement Fund. Calculated based on Operating Expenses and 360 days.

This increase is a more balanced rate implementation program with sewer service charge increases of 8.0 percent and 6.0 percent being considered for FY 2014 and FY 2015. These rate increases are consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2012 Wastewater Revenue Sufficiency and Rate Analysis report. In addition, the base charge will increase from \$5.00 per quarter or \$20 per year to \$5.50 per quarter or \$22.00 per year. Base charges are an industry standard used to promote revenue stability and are locally used by: Fairfax Water, Loudoun Water, Stafford County, District of Columbia Water and Sewer Authority (DCWASA), City of Alexandria, Washington Suburban Sanitary Commission (WSSC), and Prince William County. The base charge recovers 100 percent of the cost of billing as charged by the Fairfax County Water Authority. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of \$43.04 or \$3.59 per month.

Availability Charges are one-time “tap fees” charged to new customers for initial access to the system. The revenue from Availability Fees is used to offset the costs of expanding major treatment facilities. In FY 2013, Availability Fees will remain at \$7,750 for single-family homes based on current projections of capital requirements. Rates are based on requirements associated with treatment plant upgrades and interjurisdictional payments that result from population growth, stringent treatment requirements and inflation. The FY 2013 rate is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2012 Wastewater Revenue Sufficiency and Rate Analysis report. FY 2013 through FY 2016 rates are anticipated to be held equal to FY 2011 rates, with annual pricing analysis and adjustments if required. The following table displays the resulting increase by category.

Fiscal Year	Availability Fee	Sewer Service Charge Per 1,000 gallons water used
2009	\$6,896	\$4.10
2010	\$7,310	\$4.50
2011	\$7,750	\$5.27
2012	\$7,750	\$6.01
2013	\$7,750	\$6.55
2014	\$7,750	\$7.07
2015	\$7,750	\$7.49
2016	\$7,750	\$7.79

Wastewater Management Program Overview

The system supplements the capacity of its own collections and treatment facilities through "Treatment by Contract" agreements with the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Sanitation Authority (ASA), the Upper Occoquan Service Authority (UOSA) and Arlington County. As stated in the individual agreements, the County pays its share of operating, capital and/or debt costs of each entity's system based on actual wastewater flows and allocated capacity, respectively.

In FY 2012, a Sewer Revenue Bond sale in the amount of \$110 million is planned to support capital projects including enhanced nutrient removal upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities. An original bond amount of \$150 million was proposed but reduced to \$110 million due to the sale of 2.0 mgd of capacity to Prince William County which was approved by the Board of Supervisors on January 25, 2011, providing approximately \$40 million in revenues for the system. With the reduced rate increase, it is anticipated that the Wastewater Management Program will continue to maintain high bond ratings (AAA by Fitch Investor Service and Standard and Poor's Corporation and Aa1 by Moody's Investors Service, Inc.) from rating agencies. These high credit ratings have enabled the County to sell bonds on behalf of the System at interest rates lower than those obtained by most sewer authorities, thereby achieving savings throughout the life of the bonds.

The Wastewater Management Program has issued debt to fund major expansion and upgrade projects for both its own plant and its portion at the "Treatment by Contract" facilities. The following table shows the projected annual debt service payments along with remaining debt service as of June 30, 2011.

Wastewater Management Debt Service			
Years	Principal	Interest	Total
2012	\$16,532,204	\$25,695,887	\$42,228,091
2013	17,266,077	25,903,677	43,169,754
2014	18,666,261	25,151,388	43,817,649
2015	19,574,206	24,264,760	43,838,966
2016	20,664,269	23,333,953	43,998,222
2017	21,654,615	22,342,943	43,997,558
2018	22,693,060	21,314,452	44,007,512
2019-2044	431,332,507	214,120,902	645,453,409
TOTAL	\$568,363,199	\$382,127,962	\$950,511,161

Wastewater Management Program Overview

In FY 2013, the County is projected to provide for the treatment of 104.69 million gallons of wastewater per day. Approximately 39 percent of this flow is treated at the NCPCP. The flow is distributed between the NCPCP and the interjurisdictional facilities as detailed in the table below. The table also includes the capacity utilization percentage and the available (unused) capacity for each plant.

Treatment Plant	Capacity (MGD)	FY 2013 Projected Daily Average (MGD)	Capacity Utilization (%)	Available Capacity (MGD)
DCWASA Blue Plains	31.0	30.03	96.9%	0.97
Noman M. Cole, Jr.	67.0	40.73	60.8%	26.27
Alexandria Sanitation Authority	32.4	18.83	58.1%	13.57
Arlington County	3.0	2.30	76.7%	0.70
Upper Occoquan Service Authority	22.6	12.80	56.6%	9.80
Loudoun Water	1.0	0.00	0.0%	1.00
Total	157.0	104.69	66.7%	52.31

To ensure that WWM remains competitive and provides a high performance operation including improvements to the technical and managerial capacities that will continue to enhance service quality, customer service and financial planning, WWM closely monitors the following areas:

	FY 2011 (Actual)	FY 2012 (Adopted)	FY 2013 (Projection)
Sewer Service Charge, \$/1,000 gallons	\$5.27	\$6.01	\$6.55
Treatment Costs, \$/MGD	\$1,350	\$1,375	\$1,324
Number of Sewer System Overflows	19	15	15
Odor Complaints per year	16	20	20

The WWM is comprised of seven separate funds under a self-supporting fund structure (Enterprise Funds) consistent with the Sewer Bond Resolution adopted by the Board of Supervisors in July 1985. For more detailed information of the operational aspects of the various programs, refer to the narrative of Fund 401, Sewer Operation and Maintenance, which immediately follows this Overview. The following is a brief description of the seven active funds:

- ◆ **Fund 400** - Sewer Revenue is used to credit all operating revenues of the system, as well as most of the interest on invested fund balances. Revenues recorded in this fund are transferred to the various funds to meet their operational requirements. The remaining fund balances are used to set aside funds for various reserves and future system requirements.
- ◆ **Fund 401** - Sewer Operation and Maintenance provides funding for the three divisions responsible for the management and operation of the program, supported by a transfer from Fund 400.
- ◆ **Fund 402** - Sewer Construction Improvements provides funding for the repair, rehabilitation and improvement requirements of the entire program's infrastructure, supported by a transfer from Fund 400.

Wastewater Management Program Overview

- ◆ **Fund 403** - Sewer Bond Parity Debt Service is used to record principal, interest and fiscal agent fees for the Series 2004 and 2009 Sewer Revenue Bonds and the planned FY 2012 Sewer Revenue Bond Sale in accordance with the current Sewer Bond Resolution, supported by a transfer from Fund 400.
- ◆ **Fund 406** - Sewer Bond Debt Reserve provides debt reserve funds for the 2004 and 2009 Series of Sewer Revenue Bonds and the planned FY 2012 Sewer Revenue Bond Sale in accordance with the current Sewer Bond Resolution, which are funded from the issuance of sewer revenue bonds and/or program revenues.
- ◆ **Fund 407** - Sewer Bond Subordinate Debt Service records all debt service payments on the UOSA revenue bonds and VRA loans. All future issues or refinancing of debt arising from interjurisdictional capacity rights may be treated as subordinate obligations of the system as provided by the General Bond Resolution for Sewer Revenue Bonds. Funding is supported by a transfer from Fund 400.
- ◆ **Fund 408** - Sewer Bond Construction provides for major program construction projects, which are funded from the issuance of sewer revenue bonds and/or program revenues.

Fund 400 Sewer Revenue

Focus

All availability fees and sewer service charges associated with the Wastewater Management Program are credited to this fund as operating revenues. The total receipts from all revenue sources are used to finance the following: Operation and Maintenance (Fund 401); Construction Improvement Projects (Fund 402); Debt Service (Fund 403); and Subordinate Debt Service (Fund 407). Any remaining balance in Fund 400, Sewer Revenue is used for future year requirements and required reserves.



The Program’s Availability Fee and Sewer Service Charge are based on staff analysis and consultant recommendations included in the January 2012 Wastewater Revenue Sufficiency and Rate Analysis.

Current Availability Fee Rates

Availability Charges are one-time “tap fees” charged to new customers for initial access to the system. The revenue from Availability Fees is used to offset the costs of expanding major treatment facilities. In FY 2013, Availability Fees will remain at \$7,750 for single-family homes based on current projections of capital requirements. Rates are based on requirements associated with treatment plant upgrades and interjurisdictional payments that result from population growth, more stringent treatment requirements and inflation. The FY 2013 rate is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2012 Wastewater Revenue Sufficiency and Rate Analysis report. Rates are expected to remain at the FY 2011 level through FY 2016. The following table displays the rates by category.

Category	FY 2012 Availability Fee	FY 2013 Availability Fee
Single Family	\$7,750	\$7,750
Townhouses and Apartments	\$6,200	\$6,200
Hotels/Motels	\$1,938	\$1,938
Nonresidential	\$401/fixture unit	\$401/fixture unit

Current Sewer Service Charge

Sewer Service Charges are revenues received from existing customers and are used to fully recover program operation and maintenance costs, debt service payments and capital project requirements attributable to improving wastewater treatment effluent quality as mandated by state and federal agencies. The Sewer Service Charge rate included in the 5-year plan approved by the Board of Supervisors as part of the FY 2012 Adopted Budget Plan was proposed to increase 14 percent. The Sewer Service Charge rate was proposed to increase from \$6.01 to \$6.85 per 1,000 gallons of water consumption

Fund 400 Sewer Revenue

in FY 2013, resulting in an anticipated increase in the annual cost to the typical household of \$63.84. Wastewater Management staff in consultation with Public Resources Management Group (PRMG) proposed a more modest increase for FY 2013 due to identified operating efficiencies within the Program. Operational savings are anticipated for consumables which include chemical savings in the treatment of wastewater, energy consumption savings due to replacing fix drive pumps with variable drive pumps, lower than anticipated utility requirements and other operational efficiencies throughout the program. As a result, the Sewer Service Charge rate is increased from \$6.01 to \$6.55 per 1,000 gallons of water consumption in FY 2013. This equates to an approximate increase of 9.0 percent in Sewer Service Charges.

Category	FY 2012 Sewer Service Charge	FY 2013 Sewer Service Charge
Per 1,000 gallons water consumed	\$6.01	\$6.55

This level of rate increase will allow the system to meet all of the required financial targets by FY 2014, remain competitive with neighboring utilities, continue to preserve credit rating at current levels and require less debt to support capital projects. This increase is a more balanced rate implementation program with sewer service charge increases of 8.0 percent and 6.0 percent being considered for FY 2014 and FY 2015. These rate increases are consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2012 Wastewater Revenue Sufficiency and Rate Analysis report. In addition, the base charge will increase from \$5.00 per quarter or \$20 per year to \$5.50 per quarter or \$22.00 per year. The base charge recovers 100 percent of the cost of billing as charged by the Fairfax County Water Authority. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of \$43.04 or \$3.59 per month.

The chart below reflects the Wastewater Management Program's projected fiscal health in FY 2013 and FY 2014. The financial planning process incorporates these indicators that are interrelated and structured to identify the adequacy of rates from a cash flow, business, and compliance standpoint. These indicators are used by the rating agencies to determine the System's credit rating.

FY 2013 Calculated Financial Indicators Comparison

Financial Indicator	Target	FY 2013 Rate (9%/\$5.50)		
		Achieved	FY 2013	FY 2014
Net Revenue Margin	Min. 45.0%	Yes	46.0%	48.1%
Days Working Capital [1]	90 to 120	Yes	166	204
Debt Coverage Senior	Min. 3.00x	Yes	3.33x	3.75x
Debt Coverage All-in	Min 1.75x	Yes	1.84x	2.00x
Net Capital Funds Available Ratio	Min 3.0%	Yes	2.5%	3.2%
Debt to Net Plant in Service	Max 50.0%	Yes	49.1%	47.0%
Outstanding Debt per Connection	Max \$3,000	Yes	\$2,545	\$2,457
Anticipated Sewer Bond Sales Through FY 2018			\$200.1 M	

[1] Exclusive of Availability Fees in Fund 402, Extension and Improvement Fund. Calculated based on Operating Expenses and 360 days.

The FY 2013 Sewer Service Charge will generate an additional \$18.3 million in revenue over the *FY 2012 Revised Budget Plan* amount and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to effectively meet new, more stringent nitrogen discharge limitations from wastewater treatment plants. Other sources of

Fund 400 Sewer Revenue

revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years.

Availability Fees and Sewer Service Charges from FY 2009 through FY 2016

Fiscal Year	Availability Fee	Sewer Service Charge Per 1,000 gallons water used
2009	\$6,896	\$4.10
2010	\$7,310	\$4.50
2011	\$7,750	\$5.27
2012	\$7,750	\$6.01
2013	\$7,750	\$6.55
2014	\$7,750	\$7.07
2015	\$7,750	\$7.49
2016	\$7,750	\$7.79

In FY 2012, a Sewer Revenue Bond sale in the amount of \$110 million is planned to support capital projects including enhanced nutrient removal upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities. An original bond amount of \$150 million was proposed but reduced to \$110 million due to the sale of 2.0 mgd of capacity to Prince William County which was approved by the Board of Supervisors on January 25, 2011, providing approximately \$40 million in revenues for the system. With the FY 2013 rate increase, it is anticipated that the Wastewater Management Program will continue to maintain high bond ratings (AAA by Fitch Investor Service and Standard and Poor's Corporation and Aa1 by Moody's Investors Service, Inc.) from rating agencies. These high credit ratings have enabled the County to sell bonds on behalf of the System at interest rates lower than those obtained by most sewer authorities, thereby achieving savings throughout the life of the bonds.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$8,000,000**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$8,000,000 to Fund 401, Sewer Operation and Maintenance, to support FY 2012 operations. This transfer was required based on the use of one-time balances in FY 2011 that are not available in FY 2012. Expenditures in Fund 401 are dependent on many outside influences, such as the unit price for chemicals used in the treatment of wastewater which include sodium hydroxide, sodium bisulfate and lime, utility and fuel costs associated with running the Plant and professional consultant and contractual services requirements. The Department of Public Works and Environmental Services (DPWES) is continuing to review efficiencies and monitor usage at the Plant.
- ◆ **Third Quarter Adjustments** **\$0**
 As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

Fund 400 Sewer Revenue

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 400, Sewer Revenue

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$86,560,787	\$88,525,787	\$96,393,648	\$94,206,908	\$117,973,930
Revenue:					
Lateral Spur Fees	\$30,600	\$10,000	\$10,000	\$10,000	\$10,000
Sales of Service	8,534,911	9,500,000	9,500,000	8,929,364	8,929,364
Availability Charges	11,583,202	10,000,000	28,000,000	12,927,000	12,927,000
Connection Charges	45,194	30,000	30,000	30,000	30,000
Sewer Service Charges	133,656,492	143,383,500	149,148,866	161,767,000	161,767,000
Miscellaneous Revenue	268,316	150,000	150,000	150,000	150,000
Sale Surplus Property	14,748	30,000	30,000	30,000	30,000
Interest on Investments	749,398	900,000	900,000	748,000	748,000
Total Revenue¹	\$154,882,861	\$164,003,500	\$187,768,866	\$184,591,364	\$184,591,364
Total Available	\$241,443,648	\$252,529,287	\$284,162,514	\$278,798,272	\$302,565,294
Transfers Out:					
Sewer Operation and Maintenance (401)	\$73,400,000	\$78,000,000	\$86,000,000	\$93,750,000	\$93,750,000
Sewer Construction Improvements (402)	24,500,000	29,000,000	29,000,000	30,000,000	30,000,000
Sewer Bond Parity Debt Service (403)	22,650,000	25,554,960	25,554,960	21,000,000	21,000,000
Sewer Bond Subordinate Debt Service (407)	24,500,000	25,633,624	25,633,624	27,000,000	27,000,000
Total Transfers Out	\$145,050,000	\$158,188,584	\$166,188,584	\$171,750,000	\$171,750,000
Total Disbursements	\$145,050,000	\$158,188,584	\$166,188,584	\$171,750,000	\$171,750,000
Ending Balance²	\$96,393,648	\$94,340,703	\$117,973,930	\$107,048,272	\$130,815,294
Management Reserves:					
Operating and Maintenance Reserve ³	\$45,000,000	\$40,000,000	\$45,000,000	\$45,000,000	\$45,000,000
New Customer Reserve ⁴	23,000,000	23,000,000	23,000,000	23,000,000	23,000,000
Virginia Resource Authority Reserve ⁵	6,637,072	6,637,072	6,637,072	6,637,072	6,637,072
Capital Reinvestment Reserve ⁶	0	0	23,000,000	29,500,000	29,500,000
Total Reserves	\$74,637,072	\$69,637,072	\$97,637,072	\$104,137,072	\$104,137,072
Unreserved Balance	\$21,756,576	\$24,703,631	\$20,336,858	\$2,911,200	\$26,678,222

Fund 400

Sewer Revenue

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$1,656.00 has been reflected as an increase to FY 2011 revenue to reflect earned interest in the appropriate fiscal year. The audit adjustment has been included in the 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustment were included in the FY 2012 Third Quarter package.

² The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements, operation and maintenance expenses and capital improvements.

³ The Operating and Maintenance Reserve provides for unforeseen expenses associated with sewer system emergencies. This reserve is targeted to be maintained at a level between \$25 and \$45 million. This level of reserve is based on industry practice to maintain existing customer reserves at a level which can support 30 and 180 days of working capital and approximately 50 percent of one year's requirements for rehabilitation and replacement of the current system's assets.

⁴ The New Customer Reserve provides for debt service and administrative expenses associated with new customer debt, until such time as adjustments to availability charges can be accommodated. This reserve is targeted to be maintained at approximately \$22 to \$23 million. This level of reserve is based on payment expenses associated with one year of debt service and administrative expenses associated with new customer debt.

⁵ The Virginia Resource Authority Reserve was established in anticipation of debt service reserve requirements for Virginia Resource Authority loans related to future treatment plant issues.

⁶ The Capital Reinvestment Reserve is intended to address both anticipated and unanticipated increases within the Capital Improvement Program. This reserve will provide for significant rehabilitation and replacement of emergency infrastructure repairs as well as fluctuations in project scope requirements. It is anticipated that this reserve will eventually reach an amount which is 3.0 percent of the total five year capital funding plan or approximately \$30,000,000. A reserve of 3.0 percent of the five year capital plan is consistent with other with other utilities and is recommended by rating agencies.

Fund 401

Sewer Operation and Maintenance



Mission

To safely collect and treat wastewater in compliance with all regulatory requirements using state-of-the-art technology in the most cost-effective manner in order to improve the environment and enhance the quality of life in Fairfax County.

Focus

The Wastewater Management Program includes wastewater collection and conveyance, wastewater treatment, and planning and monitoring program areas. The primary functions are to strategically plan, efficiently operate and effectively maintain the wastewater system in the best interest of the County and its customers. Funding for sewer operations and maintenance are financed by a transfer from Fund 400, Sewer Revenue, which is used to credit all system revenues collected, including availability fees and sewer service charges associated with the program.

This program operates and maintains nearly 3,390 miles of sewer, 65 pump stations and 59 flow-metering stations. Treatment of wastewater generated is provided primarily through five regional wastewater collection and treatment plants. The regional treatment approach takes advantage of economies of scale in wastewater treatment and ensures the economical and efficient operation and management of the program.



Photo of the Noman M. Cole Jr. Pollution Control Plant

One of the five regional plants is the County's owned and operated Noman M. Cole, Jr. Pollution Control Plant (NCPCP), which is currently permitted to treat 67 million gallons per day (mgd) of flow. Other regional facilities where the County has purchased treatment capacity include the District of Columbia Water and Sewer Authority's Blue Plains Treatment Plant with 31 mgd capacity; Alexandria Sanitation Authority's Treatment Plant with 32.4 mgd capacity; Upper Occoquan Service Authority's Treatment Plant with 22.6 mgd capacity; Arlington County's Treatment Plant with 3 mgd capacity; and Loudoun Water's Broad Run Plant with 1 mgd. Fairfax County utilizes all of these facilities to accommodate a total capacity of 157 mgd.

Fund 401

Sewer Operation and Maintenance

The Wastewater Management Program is funded by revenues generated by the customers of the sanitary sewer system and recorded in Fund 400, Sewer Revenue. Sewer service charges support system operation and maintenance costs, debt service payments, and capital projects attributable to supporting and improving wastewater treatment services for existing customers. Availability fees support a proportional share of system costs and capital projects attributable to growth of the system required to support new customers. Existing customers are defined as those who have paid an availability fee for access to the system and receive wastewater treatment services. New customers are those who have not paid the availability fee. Upon payment of the availability fee and connection to the system, a new customer becomes an existing customer. The County allocates expenses, interest income, bond proceeds, debt service payments, capital improvement project costs and funding, and operating costs between existing and new users of the system. In accordance with the County's "Growth Pays for Growth Policy," both existing and new customers must pay for their share of the system's total annual revenue requirements.

A number of trends that may influence the operation and maintenance of the sanitary sewer system over the next two to five years include the following:

Chesapeake Bay Water Quality Program Requirements - The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed using "State of the Art" technology and meet a waste load allocation (cap) for the nutrients nitrogen and phosphorous. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate these more stringent nutrient discharge requirements. Until the "State of the Art" technology is installed, the County has a nitrogen discharge requirement of 7.0 milligrams per liter.

Capacity, Maintenance, Operation, and Management (CMOM) - The United States Environmental Protection Agency (USEPA) has implemented sanitary sewer overflow (SSO) regulations, which would require municipalities to develop and implement a CMOM program to eliminate any sewer overflows and back-ups from the wastewater collection systems. The County has implemented the CMOM program and can be found at http://www.epa.gov/npdespub/pubs/sso_casestudy_fairfax.pdf.

Capital Improvements - Reinvestment in the sewer system infrastructure ensures optimum operation of all wastewater facilities. This initiative, closely related to CMOM endeavors, emphasizes capital improvements to wastewater collection and treatment facilities to meet requirements of the future sanitary sewer overflow regulations by the USEPA. The program continues to take a proactive stance toward infrastructure rehabilitation; however, CMOM regulations could greatly affect operations.

Fund 401

Sewer Operation and Maintenance

Integration of Information Technology - The Geographic Information System (GIS), the Supervisory Control and Data Acquisition (SCADA) system and the Infrastructure Computerized Maintenance Management System (ICMMS) require integration for optimal use. Computing and information technology are an integral part of every aspect of the Wastewater Management Program operations. Today's high customer expectations and increasing reliance on consistent 24-hour services, lead to an increasing dependence on stable and reliable integrated information technologies that infuse the business process. Presently, the Enterprise Asset Management system (EAM) has successfully integrated with GIS and ICMMS system which provide reports for the SCADA system. The EAM system and SCADA system are not yet integrated. Future customer service needs will require a full enterprise integration of the critical information technology systems to reduce total cost of ownership, increase availability of critical business data in the right format, and improve the quality and delivery of services to sewer customers.

Asset Management Program - As a result of evaluating the program's financial management strategies, an Asset Management Program was developed. The first phase aligned the program's capital asset policies and procedures with the County's fixed asset policies and developed a process in which to evaluate the program's infrastructure. The second phase developed criteria to identify the program's critical assets. After the criteria were tested and accepted they were applied to all program assets. Phase three will be the condition assessment of all assets beginning with the most critical assets.

Based on these operational requirements and trends, the Sewer Service Charge rate included in the 5-year plan approved by the Board of Supervisors as part of the FY 2012 Adopted Budget Plan was proposed to increase 14 percent. The Sewer Service Charge rate was proposed to increase from \$6.01 to \$6.85 per 1,000 gallons of water consumption in FY 2013, resulting in an anticipated increase in the annual cost to the typical household of \$63.84. Wastewater Management staff in consultation with Public Resources Management Group (PRMG) proposed a more modest increase for FY 2013 due to identified operating efficiencies within the Program. Operational savings are anticipated for consumables which include chemical savings in the treatment of wastewater, energy consumption savings due to replacing fix drive pumps with variable drive pumps, lower than anticipated utility requirements and other operational efficiencies throughout the program.

As a result, the Sewer Service Charge rate is increased from \$6.01 to \$6.55 per 1,000 gallons of water consumption in FY 2013. This equates to an approximate increase of 9.0 percent in Sewer Service Charges. This level of rate increase will allow the system to meet all of the required financial targets by FY 2014, remain competitive with neighboring utilities, continue to preserve credit rating at current levels and require less debt to support capital projects. This increase is a more balanced rate implementation program with annual sewer service charge increases of 8.0 percent and 6.0 percent being considered for FY 2014 and FY 2015. In addition, the base charge will increase from \$5.00 per quarter or \$20 per year to \$5.50 per quarter or \$22.00 per year. The base charge recovers 100 percent of the cost of billing as charged by the Fairfax County Water Authority. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of \$43.04 or \$3.59 per month.

Fund 401

Sewer Operation and Maintenance

The chart below reflects the Wastewater Management Program's projected fiscal health in FY 2013 and FY 2014. The financial planning process incorporates these indicators that are interrelated and structured to identify the adequacy of rates from a cash flow, business, and compliance standpoint. These indicators are used by the rating agencies to determine the System's credit rating.

FY 2013 Calculated Financial Indicators Comparison

Financial Indicator	Target	FY 2013 Rate (9% Increase with \$5.50 Base Charge)		
		Achieved	FY 2013	FY 2014
Net Revenue Margin	Min. 45.0%	Yes	46.0%	48.1%
Days Working Capital [1]	90 to 120	Yes	166	204
Debt Coverage Senior	Min. 3.00x	Yes	3.33x	3.75x
Debt Coverage All-in	Min 1.75x	Yes	1.84x	2.00x
Net Capital Funds Available Ratio	Min 3.0%	Yes	2.5%	3.2%
Debt to Net Plant in Service	Max 50.0%	Yes	49.1%	47.0%
Outstanding Debt per Connection	Max \$3,000	Yes	\$2,545	\$2,457
Anticipated Sewer Bond Sales Through FY 2018				\$200.1 M

[1] Exclusive of Availability Fees in Fund 402, Extension and Improvement Fund. Calculated based on Operating Expenses and 360 days.

In FY 2012, a Sewer Revenue Bond sale in the amount of \$110 million is planned to support capital projects including enhanced nutrient removal upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities. An original bond amount of \$150 million was proposed but reduced to \$110 million due to the sale of 2.0 mgd of capacity to Prince William County which was approved by the Board of Supervisors on January 25, 2011, providing approximately \$40 million in revenues for the system. It is anticipated that the Wastewater Management Program will continue to maintain high bond ratings (AAA by Fitch Investor Service and Standard and Poor's Corporation and Aa1 by Moody's Investors Service, Inc.) from rating agencies. These high credit ratings have enabled the County to sell bonds on behalf of the System at interest rates lower than those obtained by most sewer authorities, thereby achieving savings throughout the life of the bonds.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	321 / 320.5	321 / 320.5	321 / 320.5	321 / 320.5	321 / 320.5
Expenditures:					
Personnel Services	\$24,383,084	\$25,430,945	\$25,706,916	\$26,231,019	\$26,464,228
Operating Expenses	60,663,621	66,819,252	64,440,261	66,819,252	66,819,252
Capital Equipment	228,990	1,724,974	2,093,437	1,325,074	1,325,074
Subtotal	\$85,275,695	\$93,975,171	\$92,240,614	\$94,375,345	\$94,608,554
Less:					
Recovered Costs	(\$629,037)	(\$687,567)	(\$687,567)	(\$687,567)	(\$687,567)
Total Expenditures	\$84,646,658	\$93,287,604	\$91,553,047	\$93,687,778	\$93,920,987

Fund 401

Sewer Operation and Maintenance

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$635,258**
An increase of \$635,258 in Personnel Services reflects \$402,049 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$233,209 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$361,622**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$361,622 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Other Post-Employment Benefits** **\$36,403**
An increase of \$36,403 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

- ◆ **Capital Equipment** **\$1,325,074**
Capital Equipment funding of \$1,325,074 is included primarily for requirements associated with replacement equipment that has outlived its useful life and is not cost effective to repair. This equipment includes: \$215,702 for five replacement vehicles and one new vehicle to provide transportation for crews and their equipment; \$177,881 for a Septic Tank Truck for the pump and haul systems in Clifton and Gunston communities as well as emergency situations; \$357,500 for a Sewer flusher/vacuum truck for routine cleaning and maintenance of the sewer lines; and \$231,000 for a Track Excavator to excavate emergency sewer line breaks. In addition, an amount of \$342,991 is required for the replacement of critical laboratory and computer equipment at the Noman M. Cole, Jr. Pollution Control Plant.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **(\$1,734,557)**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved a net decrease of \$1,734,557. A decrease of \$2,378,991 was primarily due to savings resulting from a reduction in the unit price for chemicals used in the treatment of wastewater which included sodium hydroxide, sodium bisulfate and lime, utility savings at the Noman M. Cole, Jr. Pollution Control Plant, lower than anticipated professional consultant and contractual services requirements, as well as other repair and maintenance requirements. These reduced operating costs were based on actual usage and invoices. This decrease was partially offset by an increase of \$368,463 in projected capital

Fund 401

Sewer Operation and Maintenance

equipment costs and funding of \$275,971 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011.

Wastewater Collection

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	140 / 140	140 / 140	140 / 140	138 / 138	138 / 138
Total Expenditures	\$12,573,305	\$15,473,974	\$15,651,103	\$14,984,594	\$15,077,153

Position Summary		
<u>Collection Program</u>	<u>Gravity Sewers</u>	<u>Pumping Stations</u>
1 Director	1 Public Works Env. Services Manager	1 Public Works Env. Services Manager
1 Human Resources Generalist III	1 Maintenance Superintendent	1 Engineer III
1 Safety Analyst	4 Senior Maintenance Supervisors	1 Industrial Electrician Supervisor
1 Inventory Manager	2 Public Works Env. Services Specialists	1 Instrumentation Supervisor
1 Material Mgmt. Specialist III	7 Engineering Technicians II	1 Plant Maintenance Supervisor
1 Admin. Assistant IV	1 Engineer V	2 Industrial Electricians III
1 Admin. Assistant III	16 Engineering Technicians I	4 Instrumentation Technicians III
2 Admin. Assistants II	2 Heavy Equipment Operators	4 Industrial Electricians II
1 Material Mgmt. Specialist I	16 Maintenance Crew Chiefs	6 Plant Mechanics III
1 Material Mgmt. Assistant	3 Motor Equipment Operators	3 Instrumentation Technicians II
	2 Truck Drivers	8 Plant Mechanics II
	12 Senior Maintenance Workers	1 Instrumentation Technician I
	19 Maintenance Workers	1 Engineering Technician III
	1 Engineer III	
	5 Environmental Services Sups.	
	1 Map Drafter	
TOTAL POSITIONS		
138 Positions / 138.0 Staff Years		

Goal

To operate, maintain, and repair the County's wastewater collection system in a manner that protects Fairfax County citizens and the environment.

Wastewater Treatment

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	135 / 135	135 / 135	135 / 135	137 / 137	137 / 137
Total Expenditures	\$17,478,751	\$19,720,785	\$18,971,059	\$19,992,242	\$20,091,072

Fund 401

Sewer Operation and Maintenance

Position Summary		
<u>Norman M. Cole, Jr., Pollution Control Plant</u>		
	<u>Operations</u>	
1 Director	1 Engineer V	2 Industrial Electricians III
1 Public Works Env. Svcs. Spec.	3 Engineers III	3 Instrumentation Technicians III
1 Info. Tech. Prog. Manager I	1 Plant Operations Superintendent	6 Instrumentation Technicians II
1 Database Administrator I	6 Plant Operations Supervisors	1 Senior Maintenance Supervisor
2 Material Mgmt. Specialists I	9 Plant Operators III	4 Industrial Electricians II
3 Network/Telecomm. Analysts II	21 Plant Operators II	7 Plant Mechanics III
1 Safety Analyst	18 Plant Operators I	2 Welders II
2 Network/Telecomm. Analysts I	2 Engineering Technicians III	8 Plant Mechanics II
1 Inventory Manager	1 Engineering Technician II	2 Painters I
1 Heavy Equipment Supervisor	<u>Maintenance</u>	
1 Info. Technology Technician II	2 Public Works Env. Svcs. Mgrs.	4 Maintenance Workers
1 Administrative Assistant IV	1 Industrial Electrician Supervisor	1 HVAC II
2 Heavy Equipment Operators	1 Instrumentation Supervisor	
3 Administrative Assistants III	2 Plant Maintenance Supervisors	
1 Material Mgmt. Driver	1 Painter II	
1 Data Analyst I	2 Industrial Electricians I	
	2 Senior Maintenance Workers	
	1 Chief Building Maintenance	
TOTAL POSITIONS		
137 Positions / 137.0 Staff Years		

Goal

To ensure efficient and effective operation and maintenance of the County's wastewater treatment facilities within the laws and standards established by the Congress of the United States in Public Law 92-500 which designates regulatory powers to the USEPA and the Virginia Department of Environmental Quality.

Wastewater Planning and Monitoring

Agency Summary					
Category	FY 2011	FY 2012	FY 2012	FY 2013	FY 2013
	Actual	Adopted Budget Plan	Revised Budget Plan	Advertised Budget Plan	Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	46 / 45.5	46 / 45.5	46 / 45.5	46 / 45.5	46 / 45.5
Total Expenditures	\$54,594,602	\$58,092,845	\$56,930,885	\$58,710,942	\$58,752,762

Position Summary		
<u>Financial Management and Planning</u>	<u>Engineering Planning and Analysis</u>	<u>Environmental Monitoring</u>
1 Deputy Director Public Works	1 Engineer V	1 Environmental Services Director
1 Director	1 Engineer IV	2 Asst. Environmental Services Directors
1 Management Analyst IV	1 Geog. Info. Spatial Analyst III	4 Environmental Health Specs. II
1 Financial Specialist IV	1 Geog. Info. Spatial Analyst II	2 Environmental Technologists III
1 Financial Specialist III	1 Geog. Info. Spatial Analyst I	3 Environmental Technologists II
1 Programmer Analyst III	2 Engineering Technicians III	7 Environmental Technologists I
1 Financial Specialist II	4 Engineers III	1 Management Analyst II
1 Env. Services Technical Specialist		
2 Administrative Assistants IV		
1 Administrative Assistant III, PT		
1 Administrative Assistant II		
1 Management Analyst I		
2 Engineering Technicians II		
TOTAL POSITIONS		
46 Positions / 45.5 Staff Years		

PT Denotes Part-Time Position

Fund 401

Sewer Operation and Maintenance

Goal

To manage sewer revenue collection; to monitor and report County sewage flows treated at non-County facilities; to plan for growth and development in the County's public sewer system; and to environmentally monitor County treatment facilities, other publicly and privately-owned treatment facilities in the program and nearby embayments.

Key Performance Measures

Objectives

- ◆ To comply with Title V air permit and state water quality permit requirements 100 percent of the time in order to contribute to a pure and natural state of air and water in Fairfax County.
- ◆ To maintain sewer infrastructure effectively in order to experience no more than 15 sewer back-ups, which is higher than the current 5-year rolling annual average of 13.
- ◆ To ensure efficient wastewater collection and treatment services by providing service to customers at rates that are one of the lowest in the area.
- ◆ To provide excellent financial and asset management by ensuring a debt coverage ratio of 1.25 or greater.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Total average daily wastewater flow treated (million gallons)	100.5	107.7	112.0 / 100.3	103.0	104.6
Emergency repair work orders processed	457	160	250 / 138	150	150
Service trouble calls received	1,038	1,092	1,100 / 831	1,100	1,100
Operating Reserve maintained (millions)	\$26.0	\$45.0	\$35.0 / \$45.0	\$45.0	\$45.0
Efficiency:					
Percent of treatment capacity available for growth	36%	32%	33% / 36%	34%	34%
Emergency repairs, as a percent of total work orders	0.9%	0.3%	1.0% / 0.2%	0.5%	0.5%
Sewer Service Billing Rate, \$/1,000 gallons	\$4.10	\$4.50	\$5.27 / \$5.27	\$6.01	\$6.55

Fund 401 Sewer Operation and Maintenance

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Service Quality:					
Sanitary sewer overflows (SSOs) per year (FY 2011, 5-yr. avg. = 15)	14	10	15 / 19	15	15
Percent of customers responded to within 24 hours	100%	100%	100% / 100%	100%	100%
Percentage of sewage back-ups responded to within 2 hours	100%	100%	100% / 100%	100%	100%
Odor complaints per year (FY 2011, 5-yr. avg. = 18)	17	16	20 / 16	20	20
Percent of Pay as you go Capital Improvement Program funded	100%	100%	100% / 100%	100%	100%
Outcome:					
Compliance with Title V air permit and State water quality permit	100%	100%	100% / 100%	100%	100%
Blockages causing sewer back-ups per year (FY 2011, 5-yr. avg. = 13)	11	11	15 / 15	15	15
Average household sewer bill compared to other providers in the area	Lower	One of the lowest	One of the lowest / One of the lowest	One of the lowest	One of the lowest
Debt Coverage Ratio: (Revenue - Operating Cost/Debt)	1.25	1.28	1.25 / 1.44	1.25	1.25

Performance Measurement Results

The Wastewater Management Program continues to comply with Title V air permit and State water quality permit requirements to maintain 100 percent compliance. Sanitary sewage blockages increased in FY 2011 due to increased deterioration of aging sewer lines. Blockages are expected to increase in both FY 2012 and FY 2013 due to the continual deterioration of aging sewer lines, tree and large shrub root infiltration and accumulation of fats, oils and grease in the sewer lines. All of these issues contribute to increased sewer line clogs, collapses and maintenance costs.

When comparing average annual sewer service billings for the regional jurisdictions, Fairfax County has a lower average annual sewer service billing at \$477. Other regional jurisdictions range from \$417 to \$647 (as of January 1, 2012). The average sewer service billings for the other regional jurisdictions have been developed by applying each jurisdiction's sewer service rate to appropriate Single Family Residence Equivalents (SFRE) water usage determined from an analysis of Fairfax Water's historical average water usage records for SFREs. Based on the latest rate comparison, Fairfax County had one of the lower annual sewer service charges. The program is able to maintain its competitive rates while providing quality service to its customers, protecting the environment, and maintaining sufficient financial resources to fully fund the program's initiatives.

Fund 401

Sewer Operation and Maintenance

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 401, Sewer Operation and Maintenance

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$16,887,651	\$459,079	\$5,640,993	\$87,946	\$87,946
Transfer In:					
Sewer Revenue (400) ¹	\$73,400,000	\$78,000,000	\$86,000,000	\$93,750,000	\$93,750,000
Total Transfer In	\$73,400,000	\$78,000,000	\$86,000,000	\$93,750,000	\$93,750,000
Total Available	\$90,287,651	\$78,459,079	\$91,640,993	\$93,837,946	\$93,837,946
Expenditures:					
Personnel Services	\$24,383,084	\$25,430,945	\$25,706,916	\$26,231,019	\$26,464,228
Operating Expenses	60,663,621	66,819,252	64,440,261	66,819,252	66,819,252
Recovered Costs	(629,037)	(687,567)	(687,567)	(687,567)	(687,567)
Capital Equipment	228,990	1,724,974	2,093,437	1,325,074	1,325,074
Total Expenditures	\$84,646,658	\$93,287,604	\$91,553,047	\$93,687,778	\$93,920,987
Total Disbursements	\$84,646,658	\$93,287,604	\$91,553,047	\$93,687,778	\$93,920,987
Ending Balance^{1,2,3}	\$5,640,993	(\$14,828,525)	\$87,946	\$150,168	(\$83,041)

¹ In response to fluctuations in expenditure requirements, adjustments were made in FY 2011. These adjustments coupled with the use of one-time balances in FY 2011 resulted in additional transfer requirements in FY 2012 which will stabilize this fund.

² The Wastewater Management Program maintains fund balances at adequate levels relative to projected operation and maintenance expenses. These costs change annually; therefore, funding for sewer operations and maintenance is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

³ The negative ending balance in FY 2013 is due to Personnel Services increases associated with the market rate adjustment and performance based scale and salary increases. The transfer in from Fund 400, Sewer Revenue will be increased as part of the *FY 2012 Carryover Review* in order to fully support compensation requirements.

Fund 402

Sewer Construction Improvements

Focus

Fund 402, Sewer Construction Improvements, provides for wastewater management construction projects through a transfer of funds from Fund 400, Sewer Revenue. All projects in Fund 402 are fully supported by sewer system revenues.

Funding in the amount of \$30,000,000 is included in Fund 402, Sewer Construction Improvements in FY 2013. Funding will provide for the replacement of power generators and aging equipment at several pumping stations; the systematic rehabilitation of the Dead Run and Difficult Run Pump Stations; the installation, repair,



Photo of the Noman M. Cole Jr. Pollution Control Plant

replacement and renovation of 20 miles of sewer lines using predominantly "no dig" technologies; and the replacement of equipment and facilities at the Noman M. Cole, Jr. Pollution Control Plant including clarifier mechanisms, wastewater and sludge pumps, motor and pump drives, chemical feed systems, motor control centers, HVAC systems, incinerator rehabilitation and the Supervisory Control and Data Acquisition (SCADA) system. In addition, funding will provide for the annual Extension and Improvement (E&I) program as approved by the Board of Supervisors on April 12, 2011 and interior improvements at the Robert P. McMath Facility which provides pump station operation and maintenance; collection system maintenance, inspections and metering; Miss Utility Program, Trouble Response Center and administrative staff to support field operations for the Wastewater Collection Division.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$33,082,602 due to the carryover of unexpended project balances.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 402

Sewer Construction Improvements

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 402, Sewer Construction Improvements

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$26,223,363	\$0	\$33,017,450	\$0	\$0
Transfers In:					
Sewer Revenue (400)	\$24,500,000	\$29,000,000	\$29,000,000	\$30,000,000	\$30,000,000
Total Transfer In	\$24,500,000	\$29,000,000	\$29,000,000	\$30,000,000	\$30,000,000
Total Available	\$50,723,363	\$29,000,000	\$62,017,450	\$30,000,000	\$30,000,000
Total Expenditures ¹	\$17,705,913	\$29,000,000	\$62,017,450	\$30,000,000	\$30,000,000
Total Disbursements	\$17,705,913	\$29,000,000	\$62,017,450	\$30,000,000	\$30,000,000
Ending Balance²	\$33,017,450	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$65,152.00 has been reflected as an increase to FY 2011 expenditures to reflect expenditure accruals. This will impact the amount carried forward resulting in a decrease of \$65,152.00 to the *FY 2012 Revised Budget Plan*. The projects affected by this adjustment are Project X00904, Sewer Line Enlargement, Project X00910, Replacement and Renewal and Project X00912, Replacement and Renewal-Treatment. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustment were included in the FY 2012 Third Quarter Package.

² The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 402

Sewer Construction Improvements

FY 2013 Summary of Capital Projects

Fund: 402, Sewer Construction Improvements

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
I00353	Pumping Stations		\$556,806.99	\$6,763,897.28	\$3,800,000	\$3,800,000
L00117	Dogue Creek Rehab/Replacemen		4,609,522.27	16,332,629.76	0	0
T00125	Rocky Run Pump Station Upgrade		63,267.97	294,512.39	0	0
WW0020	Arlington Wastewater Treatment Plant		0.00	2,000,000.00	0	0
WW0021	ASA Wastewater Treatment Plant Upgrades and		0.00	6,000,000.00	0	0
X00442	Freds Oak Facility Improvement	1,020,000	155,685.99	546,125.42	210,000	210,000
X00445	Integrated Sewer Metering		0.00	114,019.40	0	0
X00826	Extension Project FY 1996		13,939.77	0.00	0	0
X00828	Extension and Improvement Projects		887,870.80	3,682,912.96	3,000,000	3,000,000
X00903	Replacement and Transmission Programmed Rehab		7,672,903.16	17,001,946.25	20,714,000	20,714,000
X00904	Sewer Line Enlargement		(42,864.20)	43,728.00	0	0
X00905	Replacement & Transmission		2,264,998.93	426,940.27	0	0
X00910	Replacement and Renewal		(4,208.08)	22,352.00	0	0
X00912	Replacement and Renewal-Treatment		1,525,150.86	3,423,019.23	2,276,000	2,276,000
X00930	Sewer Relocation - VADOT		2,838.20	0.00	0	0
X00998	Sewer Contingency Project		0.00	5,365,366.91	0	0
Total		\$1,020,000	\$17,705,912.66	\$62,017,449.87	\$30,000,000	\$30,000,000

Fund 403 Sewer Bond Parity Debt Service

Focus

Fund 403, Sewer Bond Parity Debt Service, records debt service obligations incurred from bonds issued in accordance with the 1986 Sewer Bond Resolution. Bond proceeds are used to fund capital improvement requirements in the Wastewater Management Program including upgrades to the treatment facilities serving the County and construction of nutrient removal facilities for the removal of nitrogen as required by the State Water Control Board. The removal of nitrogen will improve the quality of the effluent produced at all of the treatment plants.



An amount of \$23,549,186 is required for this fund in FY 2013 including \$7,428,281 in principal payments and \$16,115,905 in interest payments associated with outstanding 2004, 2009 and planned 2012 Sewer Revenue Bonds, as well as \$5,000 in fiscal agent fees. FY 2013 interest and principal payments reflect a decrease due to a reduction in bond funding requirements based on revenue received from the sale of capacity to Prince William County in FY 2011 as approved by the Board of Supervisors on January 25, 2011. This results in a reduction from \$150 million to \$110 million for the planned FY 2012 bond sale. Fiscal agent fees are included for the management of all sewer bond accounts. All debt service payments are supported by Sewer System Revenues.

	Principal	Interest	Fees	Total
Sewer Revenue Bonds:				
2004	\$3,045,000	\$3,655,000		\$6,700,000
2009	2,659,167	7,053,942		9,713,109
2012	1,724,114	5,406,963		7,131,077
Subtotal-Debt Service	\$7,428,281	\$16,115,905		\$23,544,186
Fiscal Agent Fees			\$5,000	\$5,000
Total	\$7,428,281	\$16,115,905	\$5,000	\$23,549,186

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no revisions to this fund since approval of the FY 2012 Adopted Budget Plan.

Fund 403

Sewer Bond Parity Debt Service

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 403, Sewer Bond Parity Debt Service

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	(\$2,773,887)	\$23,582	\$3,597,208	\$3,022,363	\$3,022,363
Transfer In:					
Sewer Revenue (400) ¹	\$22,650,000	\$25,554,960	\$25,554,960	\$21,000,000	\$21,000,000
Total Transfer In	\$22,650,000	\$25,554,960	\$25,554,960	\$21,000,000	\$21,000,000
Total Available	\$19,876,113	\$25,578,542	\$29,152,168	\$24,022,363	\$24,022,363
Expenditures:					
Principal Payment ²	\$5,215,000	\$7,700,677	\$7,700,677	\$7,428,281	\$7,428,281
Interest Payments ^{2,3}	11,035,789	18,399,128	18,399,128	16,115,905	16,115,905
Fiscal Agent Fees	3,116	5,000	5,000	5,000	5,000
Total Expenditures	\$16,253,905	\$26,104,805	\$26,104,805	\$23,549,186	\$23,549,186
Non Appropriated:					
Amortization Expense ⁴	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Total Disbursements	\$16,278,905	\$26,129,805	\$26,129,805	\$23,574,186	\$23,574,186
Ending Balance^{5,6}	\$3,597,208	(\$551,263)	\$3,022,363	\$448,177	\$448,177

¹This fund is supported by a transfer in from Fund 400, Sewer Revenue.

²The bond principal and interest payments are shown as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

³ The increase in both principal and interest in FY 2012 reflects estimates associated with the planned FY 2012 Bond sale of \$150 million. FY 2013 is estimated based on the revised bond sale amount of \$110 million. The Bond sale amount has been adjusted based on revenue received from the sale of capacity to Prince William County which was approved by the Board of Supervisors on January 25, 2011.

⁴ In order to capitalize bond costs, this category is designated as an annual non-appropriated amortization expense. An amount of \$25,000 includes the 2004 bond sales, FY 2009 bond series and the planned 2012 sewer revenue bond sale.

⁵The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. Fund balances fluctuate from year to year based on actual debt requirements and are used to cover amortization of issuance costs.

⁶ The FY 2012 negative balance resulted from an FY 2010 audit adjustment due to the timing of interest payments associated with the 2009 Bond sale. The bond sale took place on June 17, 2009 and the first payment was not due until July 15, 2010.

Fund 406 Sewer Bond Debt Reserve

Focus

Fund 406, Sewer Bond Debt Reserve, fulfills the County's requirement to maintain a Reserve Fund for existing and planned sewer bonds. As outlined in the 1986 Bond Resolution, this reserve is required to be the lesser of the maximum principal and interest requirements for any bond year or 125 percent of the average annual principal and interest requirements for the bonds.

No funding is required for Fund 406, Sewer Bond Debt Reserve in FY 2013. The current balance of \$26,261,123 is a sufficient level to satisfy the legal reserve requirements of \$6,900,348 for the 2004 Sewer Revenue Refunding bonds, \$9,654,775 for the 2009 Sewer Revenue Bonds and \$9,706,000 for the planned FY 2012 Sewer Revenue Bond sale. In FY 2012, a Sewer Revenue Bond sale in the amount of \$110 million is planned to support capital projects including enhanced nutrient removal upgrades to meet current environmental regulations, replacement and rehabilitation of aging sewer lines, plant upgrades at both the Noman M. Cole, Jr. Pollution Control Plant and other treatment by contract wastewater treatment facilities as well as the purchase of additional treatment capacity, if needed by the System, and required deposits to bond reserves. This includes bond proceeds of \$9,706,000 in this fund and \$100,486,414 in Fund 408, Sewer Bond Construction.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no revisions to this fund since approval of the FY 2012 Adopted Budget Plan.

Fund 406 Sewer Bond Debt Reserve

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 406, Sewer Bond Debt Reserve

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$16,555,123	\$26,261,123	\$16,555,123	\$26,261,123	\$26,261,123
Revenue:					
Bond Proceeds	\$0	\$0	\$9,706,000	\$0	\$0
Total Revenue	\$0	\$0	\$9,706,000	\$0	\$0
Total Available	\$16,555,123	\$26,261,123	\$26,261,123	\$26,261,123	\$26,261,123
Total Expenditures	\$0	\$0	\$0	\$0	\$0
Total Disbursements	\$0	\$0	\$0	\$0	\$0
Ending Balance¹	\$16,555,123	\$26,261,123	\$26,261,123	\$26,261,123	\$26,261,123

¹ The fund balance provides a sufficient level to satisfy the legal reserve requirements of \$6,900,348 for the 2004 Sewer Revenue Refunding bonds, \$9,654,775 for the 2009 Sewer Revenue Bonds and \$9,706,000 for the planned FY 2012 Sewer Revenue Bond sale. These reserves provide for one year of principal and interest as required by the Sewer System's General Bond Resolution.

Fund 407

Sewer Bond Subordinate Debt Service

Focus

Fund 407, Sewer Bond Subordinate Debt Service, provides debt service funding for the Upper Occoquan Service Authority (UOSA) Bond Series and the Virginia Resources Authority (VRA) loans. The UOSA Bond Series is based on the County's portion of the UOSA plant expansion from 27.0 million gallons per day (mgd) to 54.0 mgd. Two low-interest VRA loans from the State Revolving Fund Program were used to fund the County's share of construction costs for the Alexandria Sanitation Authority treatment plant upgrade for ammonia removal as required by the State Water Control Board.

All debt service payments are supported by Sewer System Revenues through a transfer from Fund 400, Sewer Revenue. Pursuant to the Sewer Bond resolution and respective agreements, these debt obligations are subordinate to the County's Sewer Revenue Bonds and therefore, the payments are made from this fund.

Funding in the amount of \$26,756,645 will provide for the FY 2013 principal and interest requirements, including an amount of \$20,119,573 for the UOSA plant requirements, and \$6,637,072 for the VRA debt requirements. UOSA debt is structured so that no principal payments are made during the construction phase of the project. Interest is capitalized and principal payments begin once construction is substantially complete.

The following table identifies the payments required in FY 2013.

	Principal	Interest	Total
UOSA PLANT EXPANSION:			
1995A	\$0	\$1,568,697	\$1,568,697
2003	2,259,046	1,232,178	3,491,224
2004	4,667,564	709,699	5,377,263
2005	0	2,660,060	2,660,060
2007A	0	2,621,743	2,621,743
2007B	0	2,552,749	2,552,749
2010	0	1,847,837	1,847,837
Subtotal – UOSA	\$6,926,610	\$13,192,963	\$20,119,573
VRA DEBT PAYMENTS:			
FY 2001 VRA Loan	\$2,102,869	\$896,415	\$2,999,284
FY 2002 VRA Loan	2,532,431	1,105,357	3,637,788
Subtotal – VRA	\$4,635,300	\$2,001,772	\$6,637,072
Total	\$11,561,910	\$15,194,735	\$26,756,645

Fund 407

Sewer Bond Subordinate Debt Service

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no revisions to this fund since approval of the FY 2012 Adopted Budget Plan.

Fund 407

Sewer Bond Subordinate Debt Service

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 407, Sewer Bond Subordinate
Debt Service

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$1,510,452	\$1,099,712	\$1,164,990	\$74,330	\$74,330
Transfer In:					
Sewer Revenue (400)	\$24,500,000	\$25,633,624	\$25,633,624	\$27,000,000	\$27,000,000
Total Transfer In	\$24,500,000	\$25,633,624	\$25,633,624	\$27,000,000	\$27,000,000
Total Available	\$26,010,452	\$26,733,336	\$26,798,614	\$27,074,330	\$27,074,330
Expenditures:					
Principal Payment ¹	\$10,581,593	\$11,065,121	\$11,065,121	\$11,561,910	\$11,561,910
Interest Payment ^{1,2}	14,263,869	15,659,163	15,659,163	15,194,735	15,194,735
Total Expenditures	\$24,845,462	\$26,724,284	\$26,724,284	\$26,756,645	\$26,756,645
Total Disbursements	\$24,845,462	\$26,724,284	\$26,724,284	\$26,756,645	\$26,756,645
Ending Balance³	\$1,164,990	\$9,052	\$74,330	\$317,685	\$317,685

¹ The bond principal and interest payments are shown here as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

² The Wastewater Management Program makes principal and interest payments to the Upper Occoquan Service Authority (UOSA) in advance of the principal and interest due dates based on the original agreement with UOSA. UOSA credits the Wastewater Program any interest earning from the advanced payments; therefore the interest payment actuals are normally lower than anticipated.

³ The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. These costs change annually and therefore, fund balances fluctuate from year to year based on actual debt service requirements.

Fund 408

Sewer Bond Construction

Focus

Fund 408, Sewer Bond Construction, provides for major sewer system construction projects including upgrades and expansions of sewer treatment plants utilized by Fairfax County residents that are funded primarily from the sale of sewer revenue bonds. Funding has been provided in recent years in Fund 408, Sewer Bond Construction, to begin to meet state regulatory requirements for nitrogen removal and plant upgrades at the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Sanitation Authority (ASA), the Arlington County Treatment Plant, and the Noman M. Cole, Jr. Pollution Control Plant.

The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants. The County's National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed at the "Limits of Technology." The County has a nitrogen discharge annual mass limit of 612,158 pounds per year which is achievable if the County's effluent has an average nitrogen concentration of 3.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements.



In FY 2012, a Sewer Revenue Bond sale in the amount of \$110 million is planned to support capital projects including enhanced nutrient removal upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities as well as capital projects for the replacement and rehabilitation of sewer line projects and plant facility upgrades. No funding is included for Fund 408 in FY 2013. Work will continue on existing and previously funded projects.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$171,413,199 due to the carryover of unexpended project balances in the amount of \$159,896,115 and the appropriation of fund balance of \$11,517,084 to provide funding for future treatment plant and treatment by contract requirements.
- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$22,075 to properly account for revenue received in FY 2011.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 408

Sewer Bond Construction

FUND STATEMENT

Fund Type G40, Enterprise Funds

Fund 408, Sewer Bond Construction

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$67,485,639	\$11,703,970	\$47,578,200	\$0	\$0
Revenue:					
Sale of Bonds ¹	\$0	\$0	\$100,486,414	\$0	\$0
Interest on Investments	335,189	500,000	500,000	400,000	400,000
Virginia Water Quality Improvement Grant ²	3,700,000	0	22,799,502	0	0
American Recovery and Reinvestment Act (ARRA) ³	4,525,425	0	0	0	0
Sale of Purchased Capacity ⁴	39,807,586	0	0	0	0
Total Revenue	\$48,368,200	\$500,000	\$123,785,916	\$400,000	\$400,000
Total Available	\$115,853,839	\$12,203,970	\$171,364,116	\$400,000	\$400,000
Total Expenditures ⁵	\$68,275,639	\$0	\$171,364,116	\$0	\$0
Total Disbursements	\$68,275,639	\$0	\$171,364,116	\$0	\$0
Ending Balance⁶	\$47,578,200	\$12,203,970	\$0	\$400,000	\$400,000

¹ An amount of \$110.1 million in revenue bonds is planned to be issued to support enhanced nitrogen removal projects and upgrades within the Capital Improvement Program including \$100.4 million in this fund and \$9.7 million to be reserved in Fund 406, Sewer Bond Debt Reserve for legal requirements in FY 2012.

² Reflects Virginia Water Quality Improvement Fund Point Source grant approved by the Board of Supervisors on February 23, 2009 for nitrogen removal requirements associated with the Chesapeake Bay Program. In FY 2011 an amount of \$3,700,000 was received and \$22,799,502 is anticipated in FY 2012 and beyond.

³ Represents grant funding from the Department of Environmental Quality associated with the construction of a reclaimed water system from the Noman M. Cole, Jr. Pollution Control Plant to the Energy Resource Recovery Facility (ERRF) as a result of the American Recovery and Reinvestment Act as approved by the Board of Supervisors on December 7, 2009.

⁴ On January 25, 2011 the Board of Supervisors approved the sale of 2.0 mgd in Fairfax County unused capacity at the UOSA treatment plant to Prince William County in the amount of \$39,807,586. Each mgd of capacity was sold for \$19,903,793.

⁵ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$22,075.13 has been reflected as an increase to FY 2011 revenues to reflect earned interest. In addition, an audit adjustment in the amount of \$71,158.41 has been reflected as an increase to FY 2011 expenditures to reflect expenditure accrual. This impacts the amount carried forward resulting in a net decrease of \$71,158.41 to the *FY 2012 Revised Budget Plan*. The projects affected by this adjustment are FS0001, Noman Cole Water Reuse and Project X00911, Noman M. Cole, Jr. Pollution Control Plant Renovations. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustment were included in the FY 2012 Third Quarter Package.

⁶ The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 408 Sewer Bond Construction

FY 2013 Summary of Capital Projects

Fund: 408, Sewer Bond Construction

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
FS0001	Noman Cole Water Reuse	\$16,720,000	\$11,735,228.55	\$2,929,116.45	\$0	\$0
G00902	DC Blue Plains Exp 309 MGD		9,356,083.03	27,861,779.45	0	0
G00904	Arlington Treatment Plant Upgrade	34,750,000	3,215,721.00	8,369,837.29	0	0
I00906	ASA Plant Improvements		467,619.83	21,357,431.92	0	0
J00901	LCSA Plant Upgrade	20,942,294	20,942,294.00	0.00	0	0
N00322	Lower Potomac 67 MG	129,892,094	149,218.58	0.00	0	0
N00323	Noman M. Cole, Jr. Pollution Control Plant Upgrades		16,258,022.21	63,882,556.01	0	0
X00911	Noman M. Cole, Jr. Pollution Control Plant Renovations	42,794,000	6,151,451.84	34,424,235.58	0	0
X00997	Construction Reserve	12,539,159	0.00	12,539,158.90	0	0
Total		\$257,637,547	\$68,275,639.04	\$171,364,115.60	\$0	\$0

Internal Service Funds

Overview

Internal Service Funds account for services provided by specific County agencies to other County agencies on a cost reimbursement basis. The services consist of insurance, central acquisition of commonly used supplies and equipment, vehicle fleet maintenance, communications, and data processing. Revenues of these funds consist primarily of charges to County agencies for these services. Specific funds included in this group are:

FAIRFAX COUNTY INTERNAL SERVICE FUNDS

- ◆ **Fund 501, County Insurance Fund**, is utilized to meet the County's casualty obligations, liability exposures, and worker's compensation requirements.
- ◆ **Fund 503, Department of Vehicle Services**, ensures that the County, School and Park Authority vehicle fleet is responsive to the transportation needs of all customer agencies and is operated in a safe and cost-effective manner.
- ◆ **Fund 504, Document Services Division**, supports the printing, copier, and micrographic services to County and School agencies.
- ◆ **Fund 505, Technology Infrastructure Services**, is managed by the Department of Information Technology and provides Data Center and Network Services to County agencies. Infrastructure costs associated with the operation and maintenance of the mainframe, data communications, PC replacements, and radio networks are billed to user agencies.
- ◆ **Fund 506, Health Benefits Fund**, is the County's self-insurance fund which provides health insurance benefits to Fairfax County employees.

FAIRFAX COUNTY PUBLIC SCHOOLS INTERNAL SERVICE FUNDS

- ◆ **Fund 590, Public School Insurance Fund**, is an insurance fund that provides administration of workers' compensation accounts, centralization of self-insurance accounts for automobile and general liability, and commercial insurance for other liabilities.
- ◆ **Fund 591, Public School Health and Flexible Benefits Fund**, is the Fairfax County Public Schools self-insurance fund which provides health insurance benefits to its employees.
- ◆ **Fund 592, Public School Central Procurement**, facilitates accounting of orders for textbooks, supplies, and equipment for the Fairfax County Public Schools.

Fund 501

County Insurance Fund

Mission

To ensure the health and safety of County residents, employees, and public officials, and to protect the County's financial assets. The agency is committed to providing the highest quality customer service in managing the County's risks and exposures.

Focus

Fairfax County has a statutory responsibility to provide Workers' Compensation benefits, including medical treatment and loss of wages due to related disability, to employees who sustain occupational injuries and illnesses. The County Insurance Fund was established to fulfill this obligation. The Fund also provides for countywide commercial insurance and self-insurance. The County self-insures automobile and general liability claims. Special commercial coverage is provided for aviation insurance on County helicopters, real property coverage, and Fire and Rescue Department vehicle damage insurance. Administrative expenses of risk management programs are paid through this Fund.

Fairfax County provides a wide range of services to its employees and residents, which in turn create potential risks and exposures to the County. Some of these risks include injuries involving County employees or damage to County property; injuries to residents or damage to residents' property; automobile accidents; incidents arising from police activity; the actions of public officials; and the operation and maintenance of sewage and storm management systems.

The Risk Management Division approaches its mission from both internal and external perspectives. Recognizing that an organization the size of Fairfax County will experience losses, Risk Management staff work to mitigate losses and manage financial liabilities. This is accomplished through both self-insurance (which generally applies to losses expected to occur regularly, such as Workers' Compensation, automobile and general liability, and police professional and public officials liability) and commercial insurance (for losses which occur infrequently but tend to be large exposures, such as real property losses, aircraft liability, and damage to high-value vehicles). Recognizing the importance of prompt and fair resolution of claims against the County, claims administration uses both in-house staff and a contract claims administrator. Finally, Risk Management staff focuses on building and using partnerships with other County agencies, the community, and neighboring jurisdictions to mitigate risks and to ensure excellent communication with the residents of Fairfax County.

Fund 501

County Insurance Fund

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	14 / 14	14 / 14	14 / 14	14 / 14	14 / 14
Expenditures:					
Personnel Services	\$1,325,271	\$1,271,533	\$1,286,582	\$1,382,505	\$1,398,842
Operating Expenses	26,970,263	20,881,143	20,881,143	21,391,043	21,391,043
Capital Equipment	0	0	0	0	0
Subtotal	\$28,295,534	\$22,152,676	\$22,167,725	\$22,773,548	\$22,789,885
Less:					
Recovered Costs	(\$259,821)	(\$375,000)	(\$375,000)	(\$250,000)	(\$250,000)
Total Expenditures	\$28,035,713	\$21,777,676	\$21,792,725	\$22,523,548	\$22,539,885

Position Summary					
1 Risk Manager	2 Loss Prevention Analysts II	1 Administrative Assistant IV			
1 Insurance Manager	1 Claims Specialist III	2 Administrative Assistants III			
1 Loss Prevention Analyst IV	1 Claims Specialist II				
2 Loss Prevention Analysts III	2 Claims Specialists I				
TOTAL POSITIONS					
14 Positions / 14.0 Staff Years					

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆
Employee Compensation **\$44,221**
 An increase of \$44,221 in Personnel Services reflects \$27,884 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$16,337 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆
Full Year Impact of FY 2012 Market Rate Adjustment **\$19,719**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$19,719 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆
Fringe Benefits **\$63,369**
 A net increase of \$63,369 in Personnel Services is primarily attributable to increases in employer retirement contribution rates and health insurance expenses, based on actual enrollment and premium increases.
- ◆
Reductions **\$0**
 It should be noted that no reductions to balance the FY 2013 budget are included in this agency, based on both mandated requirements and the limited ability to generate personnel savings from vacancies given the small number of personnel that staff this agency.

Fund 501

County Insurance Fund

- ◆ **General Insurance Costs** **\$509,900**
A net increase of \$509,900 in Operating Expenses is primarily due to an increase in costs associated with Workers' Compensation. A number of significant injuries requiring long-term care and surgeries are driving an increase in medical costs in Workers' Compensation claims and potentially significant liability losses are projected based on pending self-insurance claims in litigation.

- ◆ **Recovered Costs** **\$125,000**
A net decrease of \$125,000 in Recovered Costs is based on trends in reduced recoveries for both Workers' Compensation and liability.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$15,049**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$15,049 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011.

Key Performance Measures

Goal

To identify and limit potential financial losses to the County arising in the normal course of business or as a result of accidents, acts of nature, and any action for which the County can be held liable; to provide prompt and efficient resolution of claims resulting from such losses; and to manage financial resources and commercial insurance options to limit the impact of losses on current operations.

Objectives

- ◆ To process 98 percent of all claims within 30 business days from date of incident.

- ◆ To reduce the overall rate of preventable automobile accidents from 0.89 to 0.60 per 100,000 miles driven through an aggressive program of driver education.

- ◆ To maintain the ratio of premium paid to the value of assets covered at 0.138 percent or less in order to maximize the value of County assets insured in relation to the total premium dollars expended.

Fund 501 County Insurance Fund

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Claims requiring investigation	2,492	3,103	3,000 / 2,883	3,000	3,000
County drivers receiving training	935	970	1,080 / 1,125	1,080	1,080
Total insurance premiums paid	\$3,544,993	\$3,463,335	\$3,136,637 / \$3,139,020	\$3,278,700	\$3,527,000
Efficiency:					
Cost per claim processed	\$114	\$81	\$80 / \$80	\$80	\$80
Cost per driver trained	\$184	\$120	\$112 / \$71	\$112	\$112
Cost per insurance policy	\$554	\$545	\$601 / \$617	\$560	\$607
Service Quality:					
Average claims processing time (days)	5	6	5 / 7	5	5
Preventable accidents	240	281	225 / 267	225	225
Value of County assets covered (in billions)	\$2.503	\$2.346	\$2.560 / \$2.559	\$2.560	\$2.560
Outcome:					
Percentage of claims processed within 30 days	98%	97%	98% / 96%	98%	98%
Preventable accidents per 100,000 miles driven	0.69	0.90	0.60 / 0.89	0.60	0.60
Ratio of premium paid to value of assets covered	0.142%	0.148%	0.150% / 0.123%	0.150%	0.138%

Performance Measurement Results

Driver safety and accident prevention programs remain a priority to the County. There was a slight decrease in preventable accidents in FY 2011. Stability is anticipated in this area for FY 2013 and County staff continues to maintain the goal of reducing accident rates.

The commercial insurance portfolio is a key element in protecting the assets of the County against losses in a major event; it ensures that the County is not faced with major property, Workers' Compensation, and liability losses during periods when it cannot afford the costs associated with losses. While the actual premiums tend to increase, County staff successfully continues to lower the rates for those premiums, surpassing the goal of a 0.150 percent premium rate by attaining a 0.123 percent rate in FY 2011.

Workers' Compensation costs are the single greatest challenge to the Insurance Fund. A key factor in containing costs and expediting the return to work of injured employees is prompt and adequate medical evaluation. Awareness of the County's programs in this area, coupled with efficient reporting systems, serve both employee and County interests. The Risk Management Division now averages seven days reporting time. With 96 percent of all claims being processed within 30 days, the program approaches its ambitious goal of processing 98 percent of all claims within 30 business days from the date of incident.

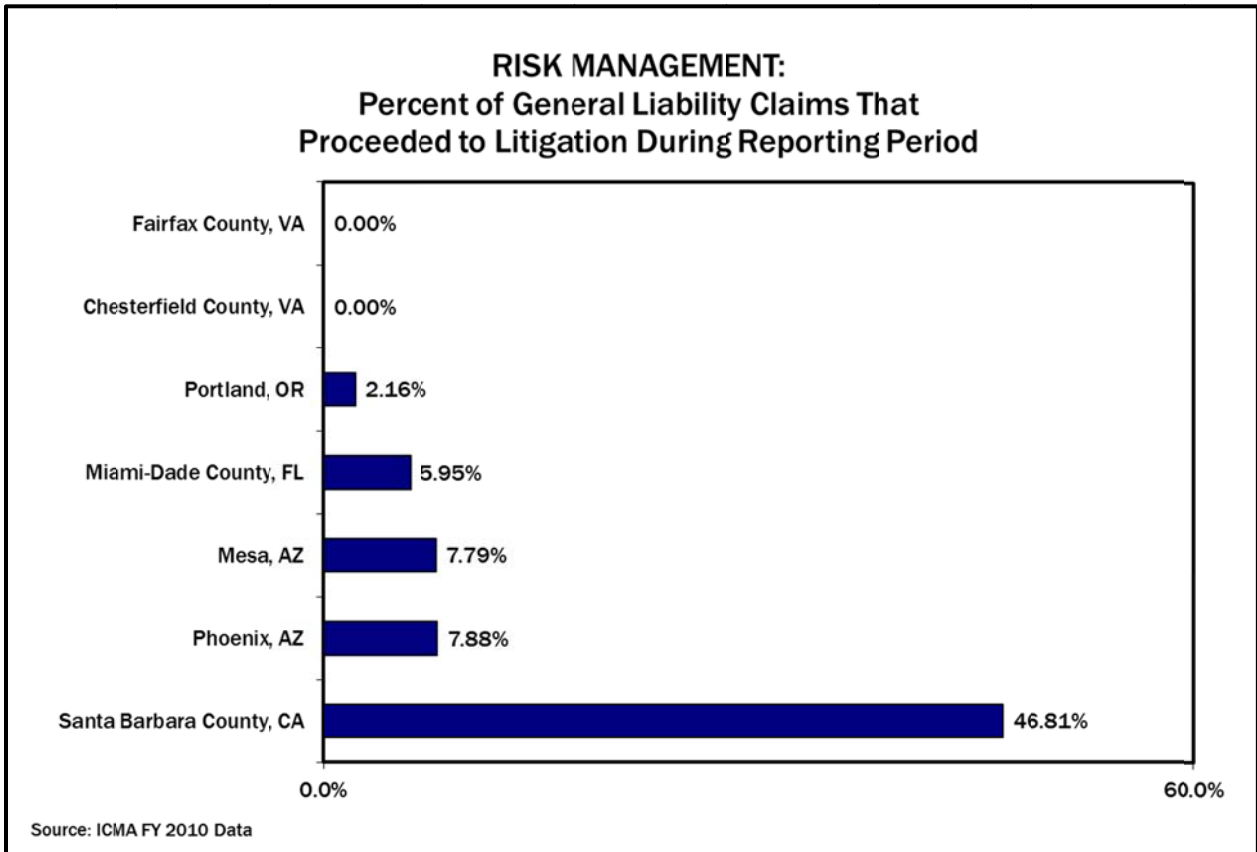
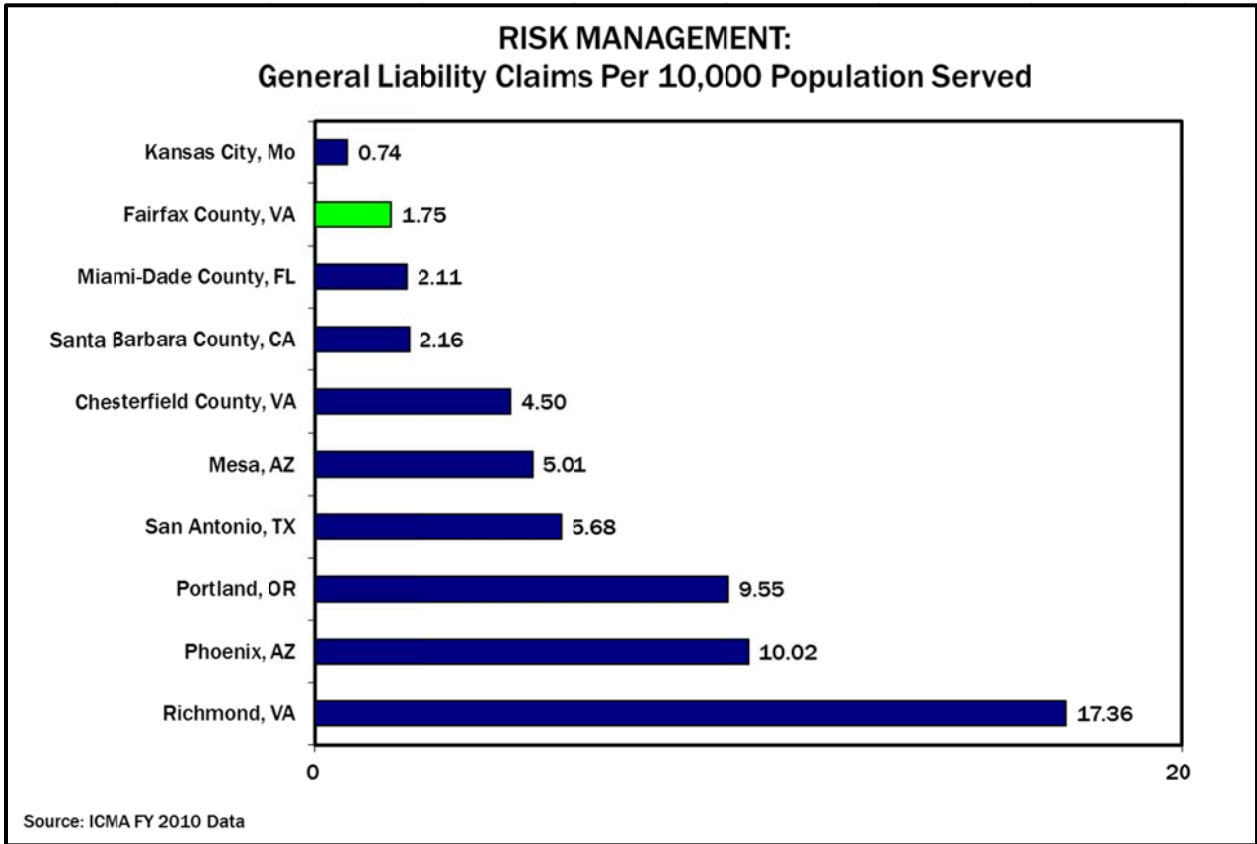
Fund 501

County Insurance Fund

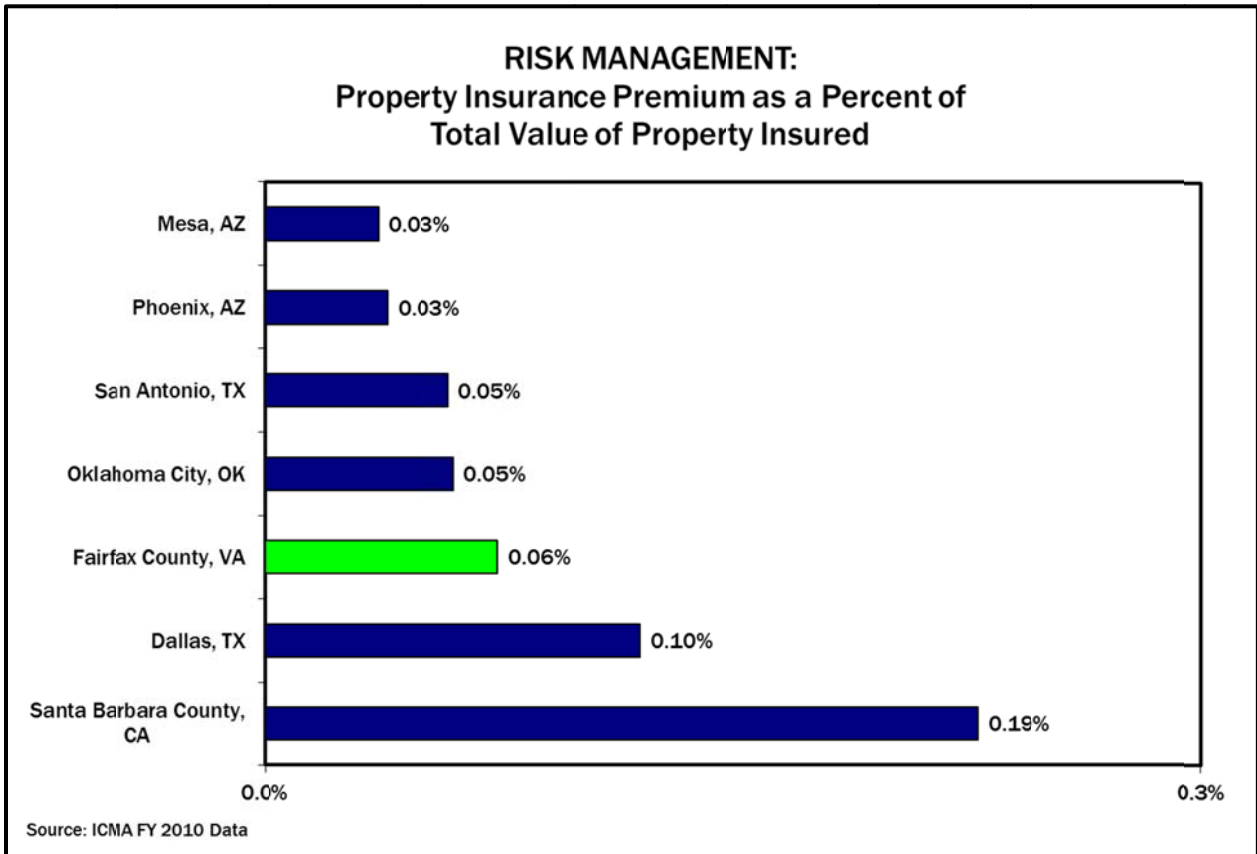
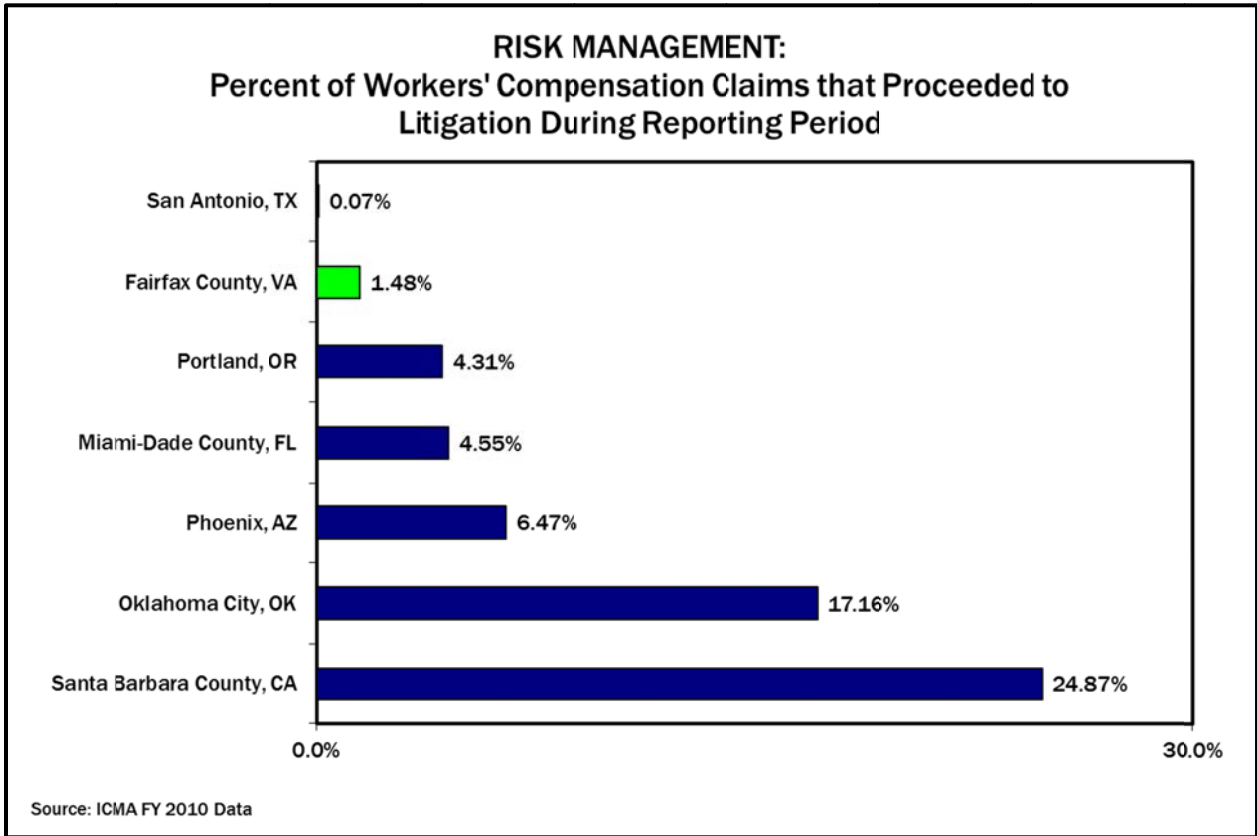
Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. More than 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest possible accuracy and comparability of data. As a result of the time required to collect the data and undergo ICMA's comprehensive data cleaning processes, information is always available with a one-year delay. FY 2010 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Risk Management is one of the service areas for which Fairfax County provides data. As can be seen on the following pages, Fairfax County compares favorably to the other large jurisdictions that provided data for this template. The County's General Liability claims, expenditures and percent that proceeded to litigation during the reporting period are all relatively low compared to the other responding cities and counties. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are more inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

Fund 501 County Insurance Fund



Fund 501 County Insurance Fund



Fund 501

County Insurance Fund

FUND STATEMENT

Fund Type G50, Internal Service Funds

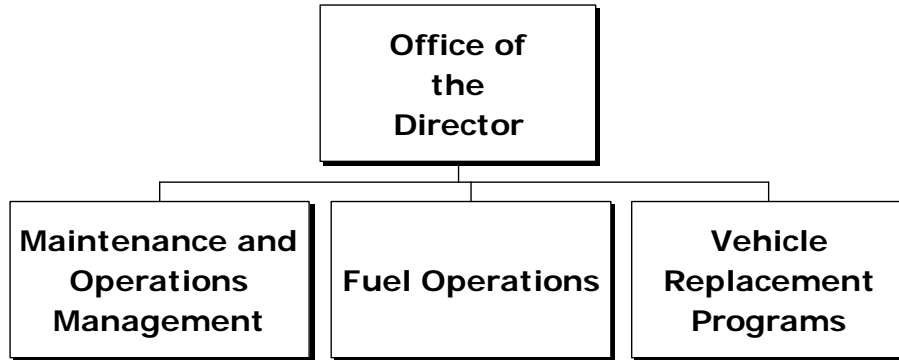
Fund 501, County Insurance Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$40,515,660	\$42,187,021	\$42,234,526	\$48,392,026	\$48,392,026
Revenue:					
Interest	\$195,313	\$275,000	\$275,000	\$275,000	\$275,000
Workers' Compensation	514,238	515,000	515,000	515,000	515,000
Other Insurance	120,662	105,859	105,859	105,859	105,859
Total Revenue	\$830,213	\$895,859	\$895,859	\$895,859	\$895,859
Transfer In:					
General Fund (001)	\$22,887,317	\$21,017,317	\$27,054,366	\$21,017,317	\$21,017,317
Total Transfer In	\$22,887,317	\$21,017,317	\$27,054,366	\$21,017,317	\$21,017,317
Total Available	\$64,233,190	\$64,100,197	\$70,184,751	\$70,305,202	\$70,305,202
Expenditures:					
Administration	\$1,385,101	\$1,453,713	\$1,468,762	\$1,561,285	\$1,577,622
Workers' Compensation	13,709,291	13,020,000	13,020,000	13,795,000	13,795,000
Self Insurance Losses	3,616,583	3,881,465	3,881,465	3,496,465	3,496,465
Commercial Insurance Premium	3,139,020	3,278,700	3,278,700	3,527,000	3,527,000
Automated External Defibrillator	148,669	143,798	143,798	143,798	143,798
Total Expenditures	\$21,998,664	\$21,777,676	\$21,792,725	\$22,523,548	\$22,539,885
Expense for Net Change in Accrued Liability ¹	\$6,037,049	\$0	\$0	\$0	\$0
Total Disbursements	\$28,035,713	\$21,777,676	\$21,792,725	\$22,523,548	\$22,539,885
Ending Balance ¹	\$42,234,526	\$42,322,521	\$48,392,026	\$47,781,654	\$47,765,317
Restricted Reserves:					
Accrued Liability	\$40,416,658	\$34,379,609	\$40,416,658	\$40,416,658	\$40,416,658
AED Replacement Reserve	727,741	863,241	863,241	863,241	863,241
PC Replacement Reserve	7,200	7,200	7,200	7,200	7,200
Reserve for Catastrophic Occurrences	1,082,927	7,072,471	7,104,927	6,494,555	6,478,218

¹As the Expense for Net Change in Accrued Liability does not affect the cash balance of the fund, the Ending Balance is calculated using Total Available less total Expenditures, not Disbursements. The fluctuation in the Ending Balance is primarily due to changes in the Accrued Liability reserve based on an independent actuarial valuation.

Fund 503

Department of Vehicle Services



Mission

To establish efficient and effective delivery of fleet services by providing customer agencies with safe, reliable, economical, and environmentally-sound transportation and related support services which are responsive to the needs of customer departments, and which conserve the value of the vehicle and equipment investment.

Focus

The Department of Vehicle Services (DVS) provides management and maintenance services to the County's vehicle fleet and maintenance support to the Fairfax County Public Schools (FCPS). At the end of FY 2011, there was a combined County and School fleet of approximately 5,800 units, of which 5,753 are maintained by DVS. Of these units, approximately 2,260 units belong to FCPS. The remaining 3,540 County units consist of approximately 1,510 units with specialized equipment (i.e., dump trucks, wreckers) or a police package, 730 vehicles over one half ton in capacity, 670 "light fleet" vehicles supporting programs and services, and 630 non-motorized units (trailers, mowers, snow plow blades). Not included in the County fleet count are vehicles owned by Fairfax Water, FASTRAN programs, or FAIRFAX CONNECTOR buses.

The department has four maintenance facilities. The Jermantown and West Ox facilities are located on the western side of the County, and the Newington and Alban facilities are located on the south end of the County. These facilities provide timely, responsive and efficient vehicle repairs/services, including effective towing and road services at competitive prices. A bid advertisement was accomplished in late June 2011 to rebuild the DVS Newington facility on the current site. Preparation for construction is ongoing and the scheduled occupancy date is June 2013.

DVS manages the County's Vehicle Replacement Fund, which accumulates funding over a vehicle's life in order to pay for the replacement of that vehicle when it meets replacement criteria. The current replacement criteria include the age, mileage, and condition of the vehicle. This fund is intended primarily for General Fund agencies. As of July 2011, 33 agencies participate in the fund, which includes approximately 2,283 units. Additionally, DVS manages funds for Helicopter, Boat, and Police Specialty Vehicle Replacement for the Police Department; an Ambulance and a Large Apparatus Replacement Fund for the Fire and Rescue Department; and a FASTRAN Bus Replacement Fund for the Department of Neighborhood and Community Services. These funds allow the Police Department, Fire and Rescue Department, and Department of Neighborhood and Community Services to make fixed annual payments to ensure the availability of future funds for a regular replacement program.

Fund 503

Department of Vehicle Services

DVS manages the County's fuel program, including maintenance of the County's 53 fuel sites. These sites are located at police stations, fire stations, schools, DVS maintenance facilities, Public Works facilities and Park Authority maintenance centers. In late FY 2009, the McConnell Public Safety and Transportation Operations Center (MPSTOC) opened with three 20,000 gallon diesel tanks. These tanks provide fuel for Metro and Connector buses. DVS coordinates with Agency Directors to maintain tight controls over fuel issues to ensure agencies charge fuel directly to their agency vehicle codes and minimize the use of miscellaneous fuel codes.

Other services provided by DVS include: emergency roadside repair; oversight and records maintenance, including security administration for the County's Fleet Maintenance System; analysis of current fleet mileage and usage; evaluation of new technologies; operation of the County's motor pool; technical support/review of vehicle and equipment specifications; and initiating purchase requests for County vehicles and related equipment.

DVS continues to maintain the M4 Fleet maintenance system, provide regular training on all relevant modules to staff and customer departments and explore the utilization of other available modules (such as the Replacement module). The M4 system tracks all parts issues, commercial charges and labor charges to vehicles and equipment, and provides customer departments a regular preventive maintenance schedule. The M4 system is aging and will be unsupported within a few years. DVS intends to transition to the next generation of this maintenance information system within the next year.

DVS works to ensure that departments and agencies have the fleet means to support their missions, while maintaining fleet levels that are appropriate to actual program and service requirements. As part of this responsibility, the Fleet Utilization Management Committee (FUMC) will continue to routinely review the vehicle and equipment fleet to ensure that fleet size, use, and practices are consistent with best practices and in compliance with established policy. Also, the FUMC will continue to review and approve requests for fleet additions to ensure there is a legitimate need for fleet growth.

DVS continues to strive for economically responsible environmental stewardship by working increased fuel efficiency and reduced emissions and petroleum consumption characteristics into vehicle specifications. Specifications for new, heavy duty trucks favor the cleanest diesel engines. In anticipation of the possible adoption of ethanol as a motor fuel, DVS continues to add "flex-fuel" vehicles that can use either E85, gasoline, or any combination. The hybrid fleet now includes 127 cars, including six plug-in hybrids, plus one heavy-duty hybrid-electric truck, one plug-in hybrid-electric school bus and one hydraulic hybrid refuse collection truck. As plug-in hybrids and electric vehicles continue to come to market, the department will procure small numbers for evaluation. DVS is active in the County's efforts to prepare the community for the introduction of these vehicles, exploring viable plans to provide supporting infrastructure, primarily charging stations; and authoritative and reliable information is available to potential buyers, county staff and many other stakeholders. DVS is evaluating or implementing a variety of fuel reduction measures in targeted, high fuel use sub-fleets.

Fund 503

Department of Vehicle Services

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	258 / 258	258 / 258	258 / 258	258 / 258	258 / 258
Expenditures:					
Personnel Services	\$18,524,823	\$19,275,270	\$19,500,953	\$19,986,602	\$20,222,248
Operating Expenses	42,754,797	41,601,478	48,458,293	46,113,437	46,113,437
Capital Equipment	13,681,212	8,521,553	18,471,590	14,438,475	14,438,475
Capital Projects	22,957	0	0	0	0
Total Expenditures	\$74,983,789	\$69,398,301	\$86,430,836	\$80,538,514	\$80,774,160

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$651,258**
 An increase of \$651,258 in Personnel Services reflects \$415,612 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$235,646 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$295,720**
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$295,720 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆ **Operating Expenses** **\$4,511,959**
 An increase of \$4,511,959 is due primarily to \$4.15 million in fuel-related costs due primarily to significantly higher price per gallon estimates. In FY 2012, the budget was developed using an unleaded price of \$2.27 per gallon and a diesel price of \$2.45 per gallon. The FY 2013 budget estimates \$2.94 per gallon for unleaded and \$3.02 per gallon for diesel. The remaining increase of \$0.36 million reflects higher costs for non-fuel related Operating Expenses primarily in the area of vehicle parts and tires.
- ◆ **Capital Equipment** **\$14,438,475**
 Capital Equipment funding of \$14,438,475 includes the following: \$6,911,315 for the purchase of 277 vehicles that are projected to meet age and mileage criteria for replacement in FY 2013; \$6,366,600 for the purchase of seven vehicles out of the Fire Apparatus Replacement Fund; \$850,500 for the replacement of three vehicles out of the ambulance replacement fund; \$250,000 for the replacement of two aging fuel tanks located at the Alban maintenance facility; and \$60,060 for necessary facility equipment including one floor scrubber, four parts washers, and three air conditioning recycling machines.

Fund 503

Department of Vehicle Services

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$10,208,337**

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$225,683 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$2,445,120 and unencumbered carryover of \$500,000 to allow the Fire and Rescue Department to replace a large vehicle supporting the Technical Rescue Operations Team. Other adjustments totaling \$7,037,534 were also approved, including \$6,036,934 to allow the Police Department to replace one dual engine helicopter and \$1,000,600 to allow for the replacement of 37 additional vehicles anticipated to meet age and mileage criteria in FY 2012.

- ◆ **Third Quarter Adjustments** **\$6,824,198**

As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$6,824,198, of which an amount of \$5,783,198 is necessary due to increased fuel costs. Though fuel prices fluctuate significantly from month to month, the average cost in FY 2012 is projected to be between 80 to 90 cents per gallon higher than budgeted in the FY 2012 Adopted Budget Plan. An additional increase of \$766,000 is required primarily for tire related costs resulting from a nationwide shortage of rubber which has resulted in significantly higher costs in tire contract prices compared to FY 2011. The remaining increase of \$275,000, fully supported by available balance in the Facility Infrastructure Replacement Reserve, is required for the purchase of replacement fleet maintenance software. The current system, which has been in use since June 2003, is a server based system that is nearing the end of its useful life, will no longer be supported by the vendor and will shortly no longer be compatible with other required systems.

Cost Centers

The Department of Vehicle Services provides services in support of the County's fleet in three distinct cost centers: Maintenance and Operations Management, Vehicle Replacement Program, and Fueling Operations. The majority of the agency's positions and funding is centered in Maintenance and Operations Management.

Maintenance and Operations Management

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	256 / 256	256 / 256	256 / 256	256 / 256	256 / 256
Total Expenditures	\$33,136,566	\$34,097,562	\$35,365,390	\$35,362,566	\$35,597,439

Fund 503

Department of Vehicle Services

Position Summary					
1 Director	1 Material Mgmt. Assistant	1 Maintenance Trade Helper II			
2 Assistant Directors	6 Assistant Superintendents	1 Business Analyst III			
3 Administrative Assistants IV	1 Inventory Mgmt. Supervisor	1 Network Telecom Analyst II			
2 Administrative Assistants III	2 Management Analysts III	1 Information Technology Tech. II			
8 Administrative Assistants II	1 Management Analyst II	17 Sr. Motor Mech. Supervisors			
3 Material Mgmt. Supervisors	1 Human Resource Generalist I	5 Motor Equipment Superintendents			
1 Material Mgmt. Specialist III	101 Mechanics II	3 Auto Body Repairers II			
9 Material Mgmt. Specialists II	71 Mechanics I	3 Auto Body Repairers I			
11 Material Mgmt. Specialists I					
TOTAL POSITIONS					
256 Positions / 256.0 Staff Years					

Key Performance Measures

Goal

To provide timely, responsive, and efficient vehicle repairs/services, including effective towing and road services, at competitive prices for County-owned vehicles.

Objectives

- ◆ To maintain a vehicle availability rate of at least 97 percent on at least 90 percent of operating days toward a target of 100 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Units maintained	5,814	5,768	5,767 / 5,753	5,754	5,755
Vehicle equivalents maintained	22,635	22,402	22,333 / 22,160	22,166	22,173
Efficiency:					
Maintenance cost per vehicle equivalent	\$1,447	\$1,451	\$1,508 / \$1,491	\$1,546	\$1,570
Parts inventory value per vehicle	\$249	\$282	\$282 / \$294	\$294	\$294
Parts inventory fill rate	91.3%	91.5%	91.0% / 92.6%	91.0%	91.0%
Parts inventory turnover	5.32	4.83	5.00 / 5.01	5.00	5.00
Service Quality:					
Parts inventory accuracy	98.7%	98.8%	99.5% / 94.3%	99.5%	99.5%
Percent of customers satisfied	98.0%	97.0%	95.0% / 94.0%	90.0%	95.0%
Outcome:					
Vehicle availability rate	98.2%	98.1%	97.0% / 97.6%	97.0%	97.0%
Percent of days 97 percent target was achieved	99.2%	100.0%	100.0% / 89.8%	90.0%	90.0%

Performance Measurement Results

In FY 2011, DVS was able to ensure, on a countywide basis, that customer agency vehicles were available for use and were in safe operational condition. A total of 5,753 County and School units (motorized and non-motorized) were maintained. The FY 2011 decreases in maintained units and in vehicle equivalents was primarily related to customer agency budget constraints. It should be noted that "units maintained" in any given year may include vehicles authorized as additions in a previous year, but not received until the indicated year.

Fund 503

Department of Vehicle Services

The DVS FY 2011 maintenance cost per vehicle equivalent increased over FY 2010 primarily due to an increase in operating expenses. This number is expected to continue to increase in FY 2012 due to rising maintenance and personnel costs. A higher parts stock value led to an increase in the parts inventory value per vehicle. As in past years, DVS achieved an excellent parts inventory fill rate, a trend that is expected to continue. In FY 2011, DVS achieved a slightly lower customer satisfaction rating compared to prior years primarily due to budget constraints in recent years. In addition, the percent of days the 97 percent target was achieved was lower than previous years due in part to deferring replacement of the majority of vehicles in the County fleet for two years in the 2008-2010 timeframe. Another contributing factor was the need to replace 17 experienced mechanics with new hires with less experience/training.

Vehicle Replacement Programs

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$13,681,212	\$8,405,013	\$18,355,050	\$14,128,415	\$14,128,415

Position Summary	
1	Engineer III
TOTAL POSITIONS	
1	Position / 1.0 Staff Year

Key Performance Measures

Goal

To provide administrative and financial oversight for the Vehicle Replacement, Large Apparatus, Ambulance, Vehicle Specialty, FASTRAN, and other replacement funds and to ensure that vehicles are replaced within the established criteria (i.e., miles, years and condition).

Objectives

- ◆ To order 100 percent of vehicles that meet replacement criteria within the fiscal year.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Vehicles in Vehicle Replacement Reserve (VRR)	2,342	2,289	2,290 / 2,283	2,283	2,283
Technical reviews processed	96	93	115 / 200	175	150
Vehicles meeting VRR criteria	281	210	262 / 267	181	210
Vehicles ordered/replaced	101	57	262 / 280	220	210

Fund 503 Department of Vehicle Services

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Efficiency:					
VRR administrative cost per vehicle	\$28.01	\$29.97	\$29.60 / \$29.93	\$31.50	\$31.52
Service Quality:					
Percent of customers satisfied	94.0%	90.0%	80.0% / 80.0%	80.0%	85.0%
Outcome:					
Percent of vehicles meeting criteria that are replaced	9.0%	27.0%	39.0% / 94.0%	100.0%	100.0%

Performance Measurement Results

The number of vehicles in the Vehicle Replacement Reserve (VRR) decreased in FY 2011 primarily due to normal fluctuations the number of vehicles in the VRR at different points in time. DVS replaced 94 percent of FY 2011 VRR vehicles that met the established criteria primarily due to the need to defer some vehicle replacements and several vehicles being deemed as uneconomical to repair. The previous year actuals are significantly lower associated with deferring replacement of the majority of vehicles in the County fleet for two years effective October 2008. The VRR administrative cost per vehicle was slightly higher than projected due primarily to the decrease in the number of vehicles in the reserve. As anticipated, customer satisfaction decreased in FY 2011 associated with the two-year replacement deferral. The agency projects that this lower level of customer satisfaction may continue through at least FY 2012 before rebounding in future years.

Fueling Operations

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$28,166,011	\$26,895,726	\$32,710,396	\$31,047,533	\$31,048,306

Position Summary	
1	Heavy Equipment Operator
TOTAL POSITIONS	
1 Position / 1.0 Staff Year	

Key Performance Measures

Goal

To provide County-owned vehicle operators with effective and efficient fueling services in accordance with all federal, state, and County regulations.

Fund 503

Department of Vehicle Services

Objectives

- ◆ To provide in-house fueling services that support fleet operations in order to achieve a cost savings of 5.0 cents per gallon for unleaded gasoline and 15.0 cents per gallon for diesel fuel compared to commercial fuel stations.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Gallons of unleaded gasoline purchased	2,556,430	2,486,339	2,637,519 / 2,522,098	2,547,319	2,572,792
Gallons of diesel purchased	7,117,985	7,529,446	8,347,651 / 7,568,325	7,644,008	7,720,448
Efficiency:					
Average cost per gallon (all fuel types)	\$2.38	\$2.22	\$2.74 / \$2.72	\$3.23	\$3.00
Service Quality:					
Percent of customers satisfied	99.0%	100.0%	99.0% / 100.0%	99.0%	99.0%
Outcome:					
Price savings between in-house and commercial stations: unleaded gasoline	\$0.192	\$0.160	\$0.050 / \$0.150	\$0.050	\$0.050
Price savings between in-house and commercial stations: diesel	\$0.498	\$0.250	\$0.150 / \$0.142	\$0.150	\$0.150

Performance Measurement Results

The Fueling Operations measures examine the cost savings between County contracts and private providers, as well as how satisfied County vehicle drivers are with fueling operations. In FY 2011, while gallons of fuel purchased were lower than projected, fuel prices experienced a significant upward trend leading to an increase in the average cost per gallon. Given the amount of fuel gallons used by the County, the savings were still significant. As in past years, County customers purchasing unleaded gasoline and diesel fuel continued to benefit from cost savings per gallon compared to commercial prices. It should be noted that the average cost per gallon (all fuel types) indicator includes appropriate markup to cover overhead. In FY 2011, excellent customer satisfaction ratings were achieved and this is expected to continue in future years.

Fund 503

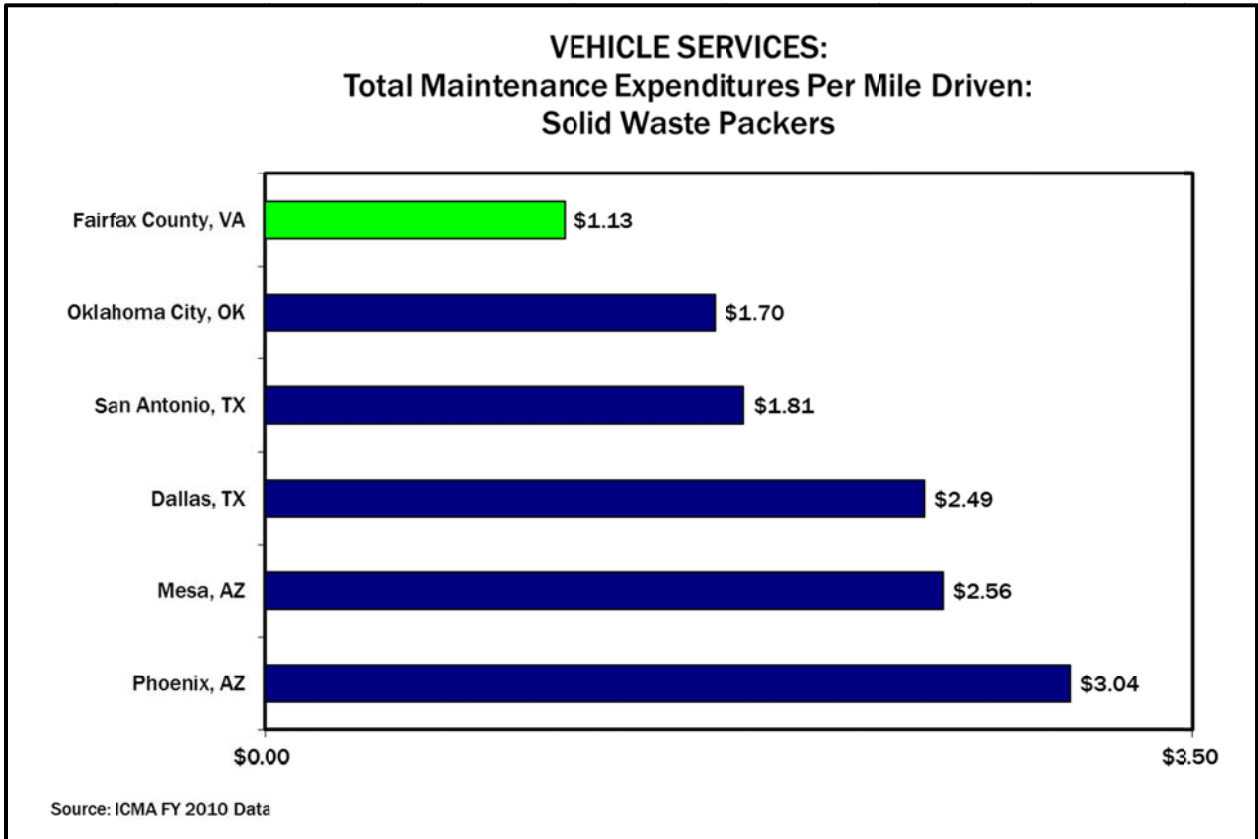
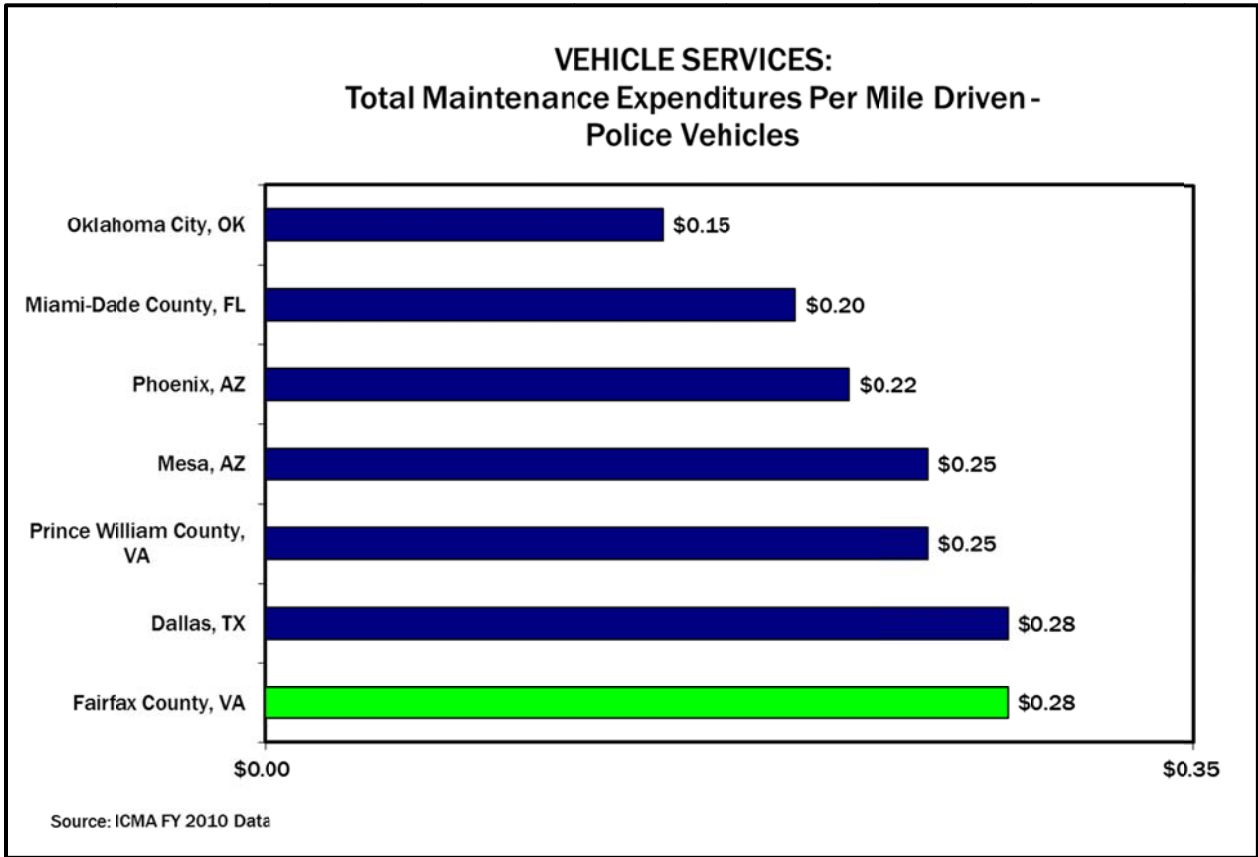
Department of Vehicle Services

Benchmarking

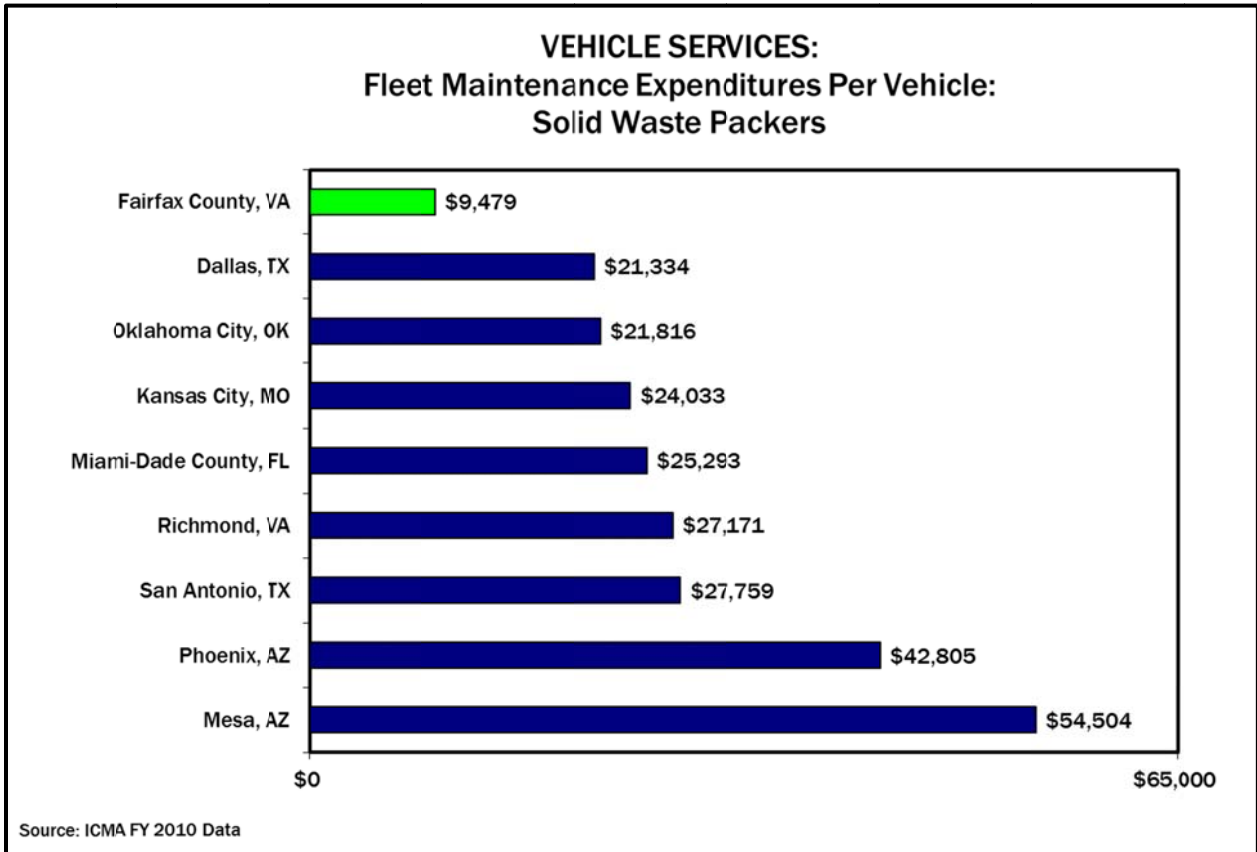
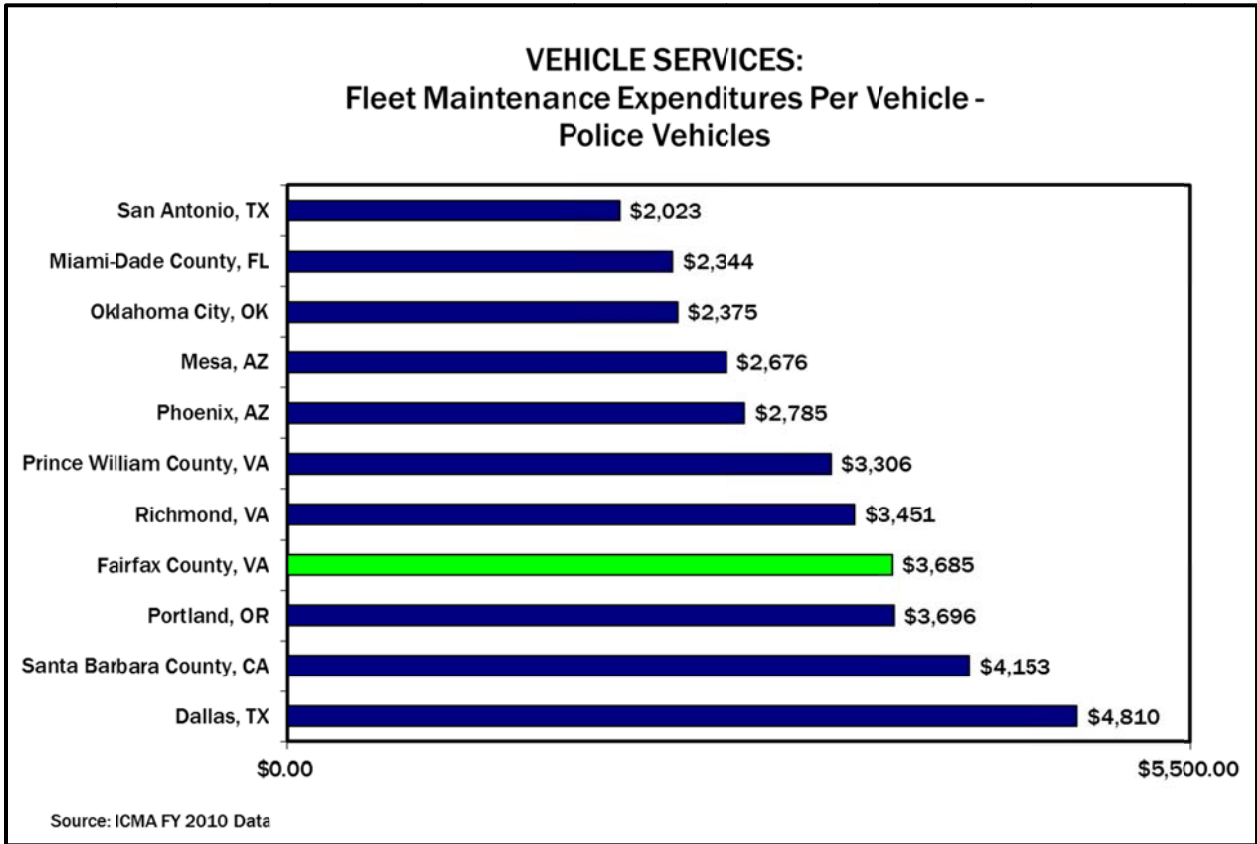
As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. An example of which is the Roads/Highways template that Fairfax County does not complete since the Commonwealth has primary responsibility for roadways in Virginia counties.

As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2010 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Fleet Management is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

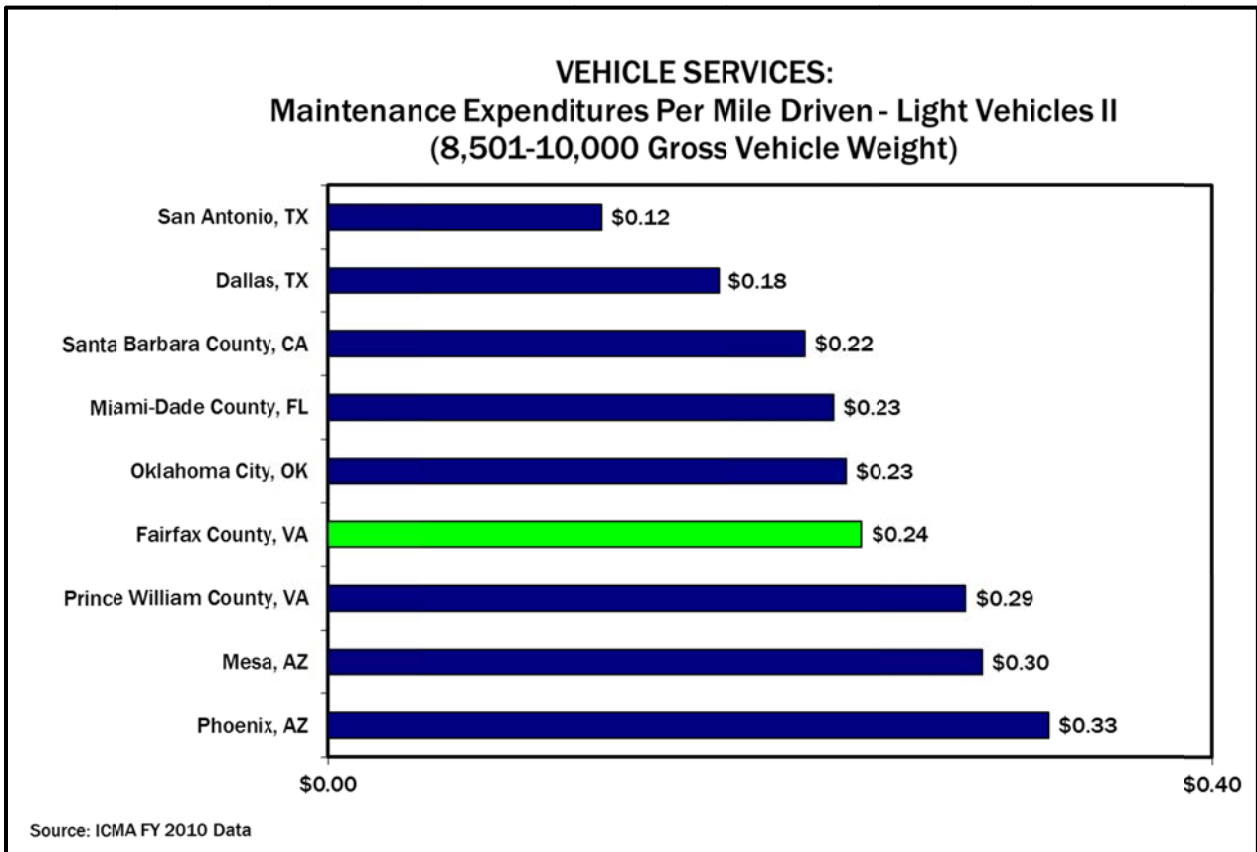
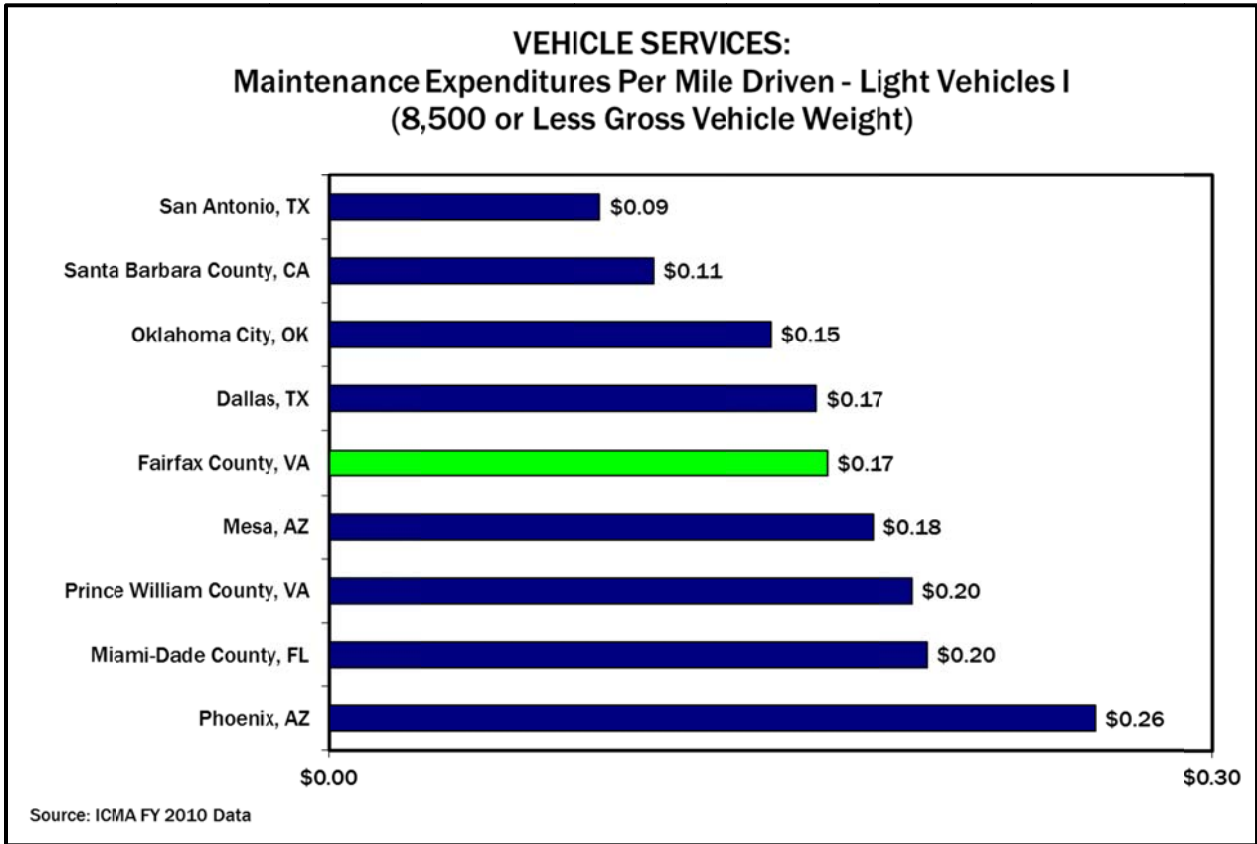
Fund 503 Department of Vehicle Services



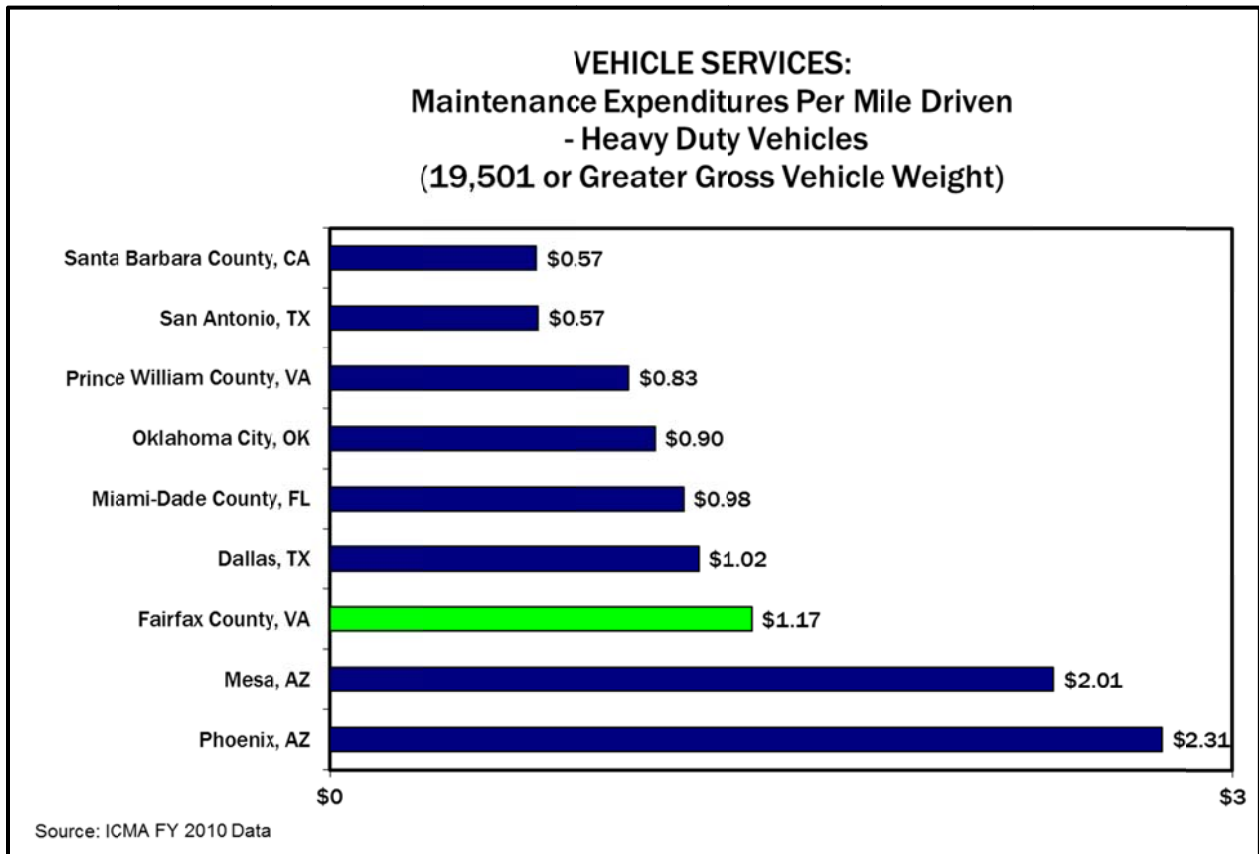
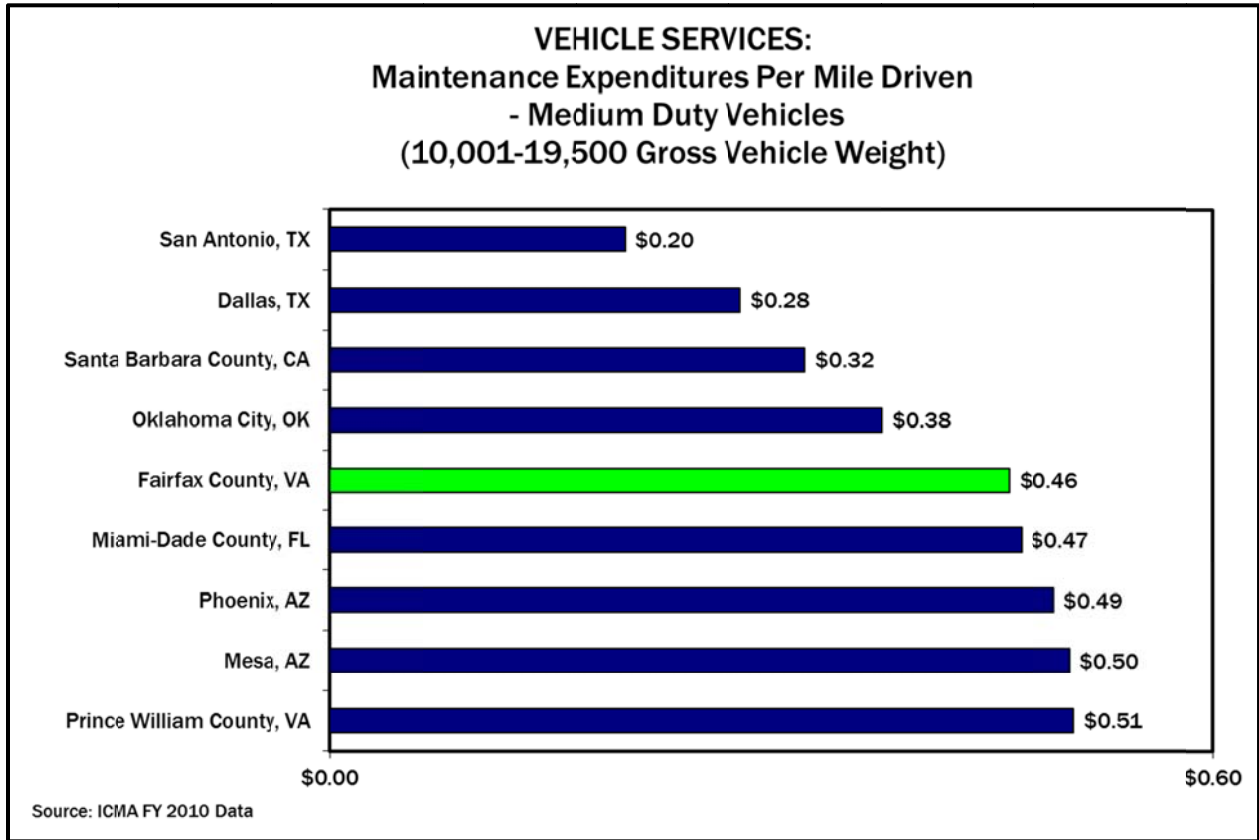
Fund 503 Department of Vehicle Services



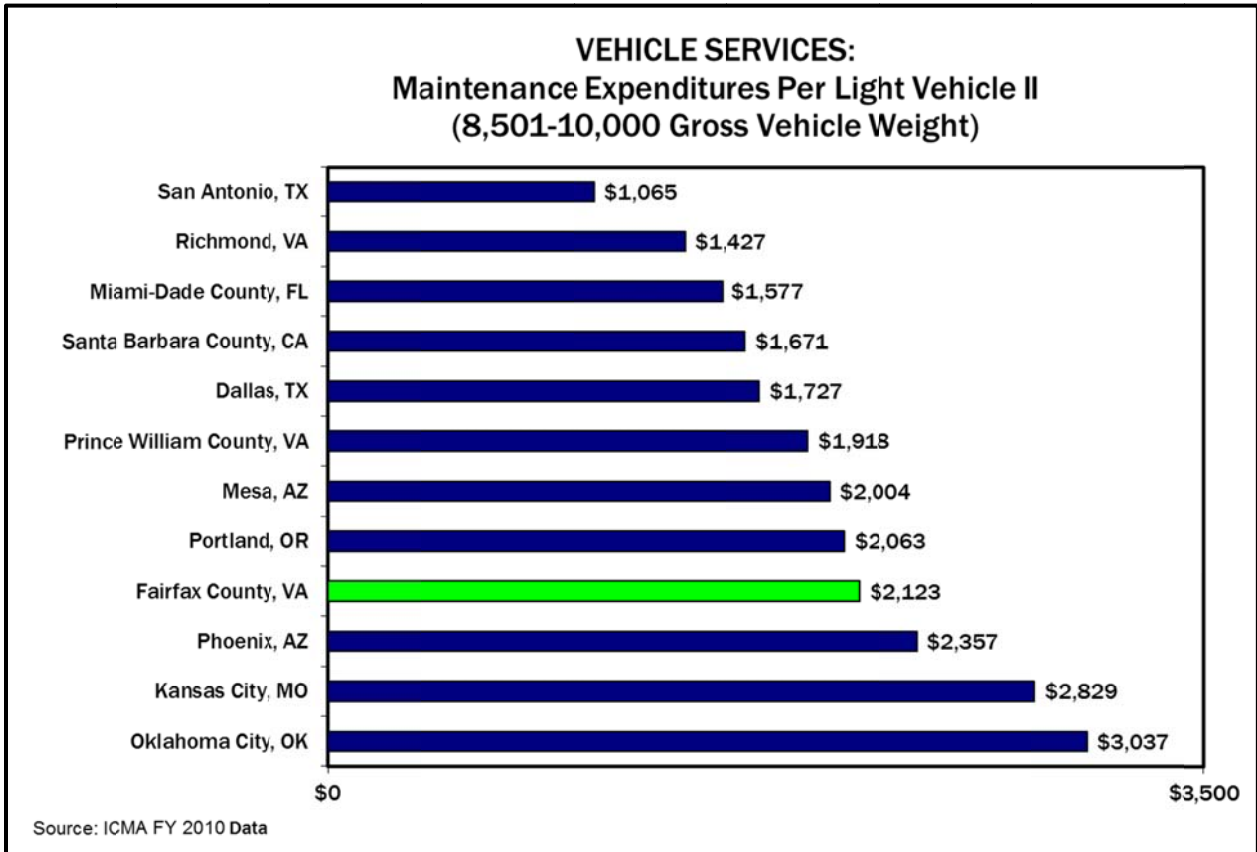
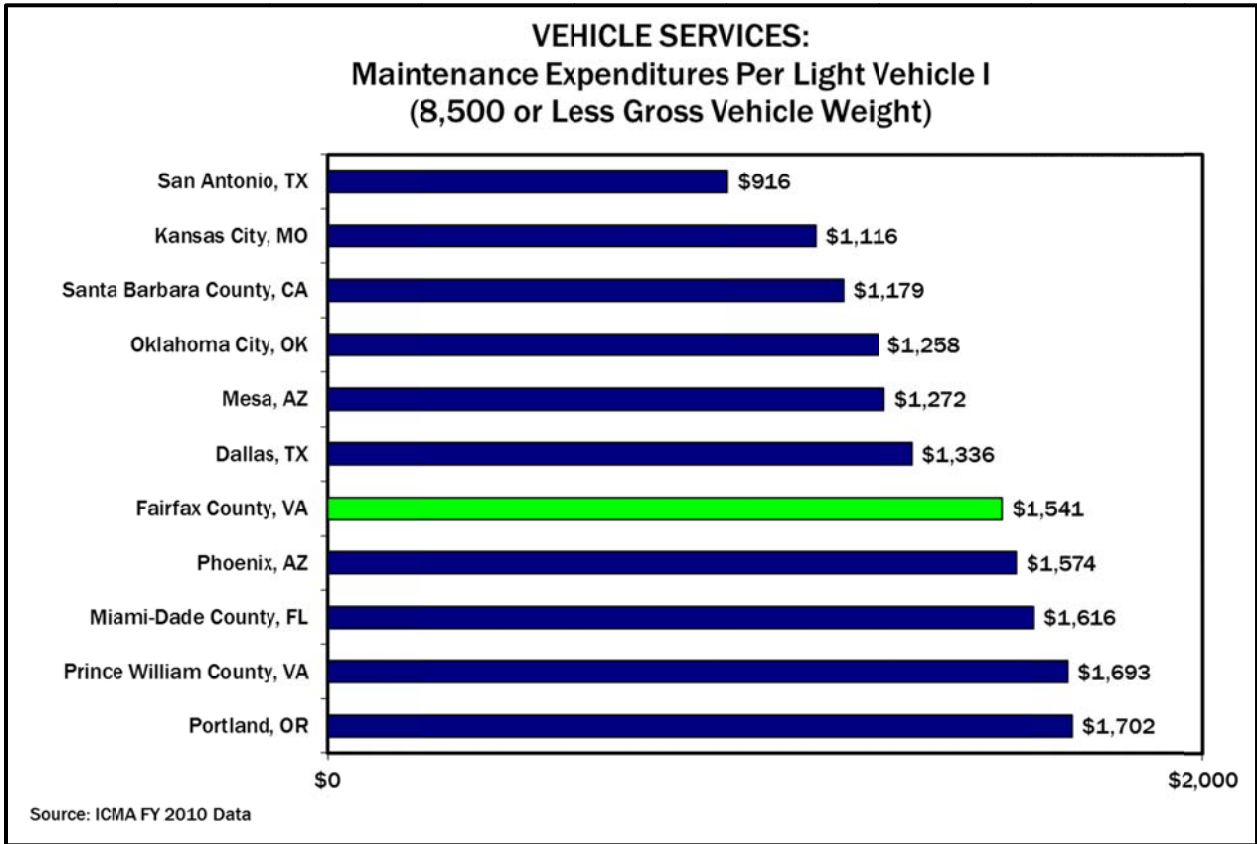
Fund 503 Department of Vehicle Services



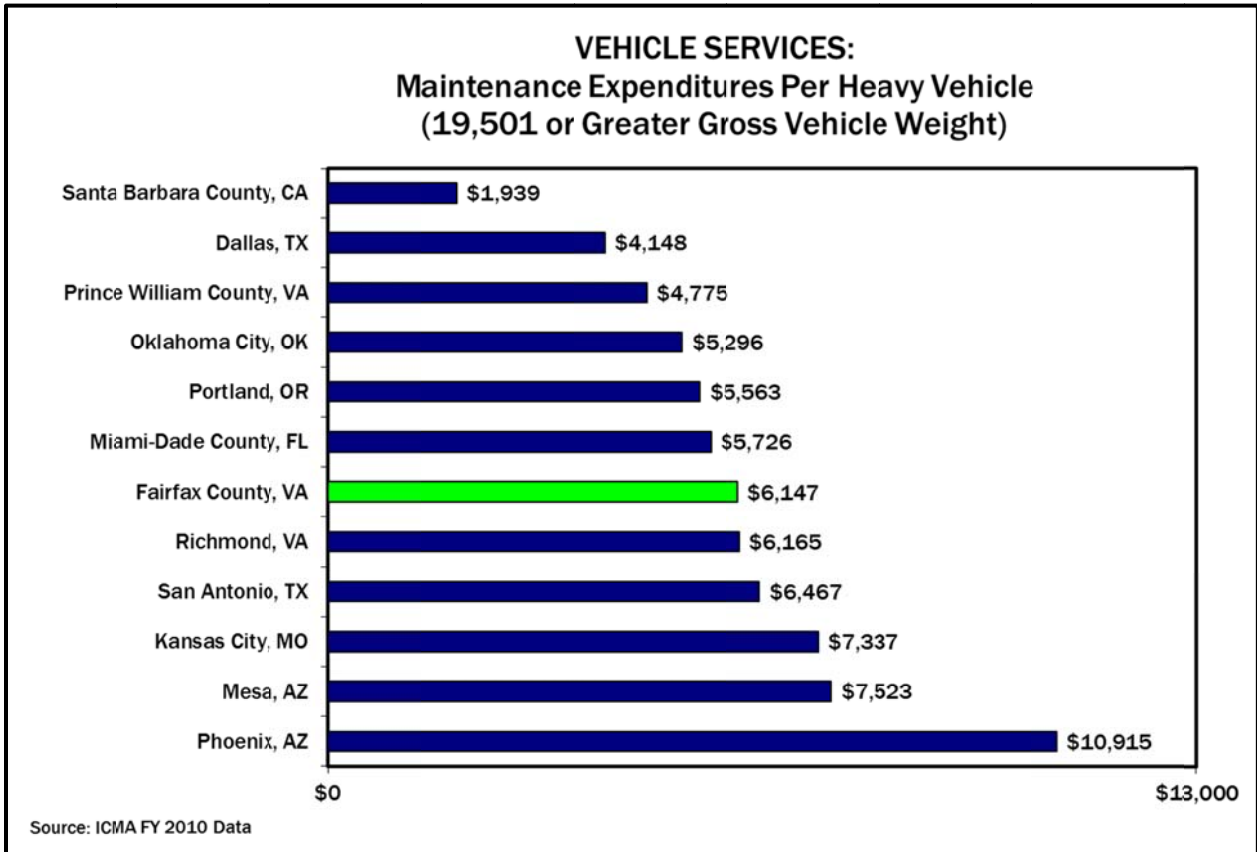
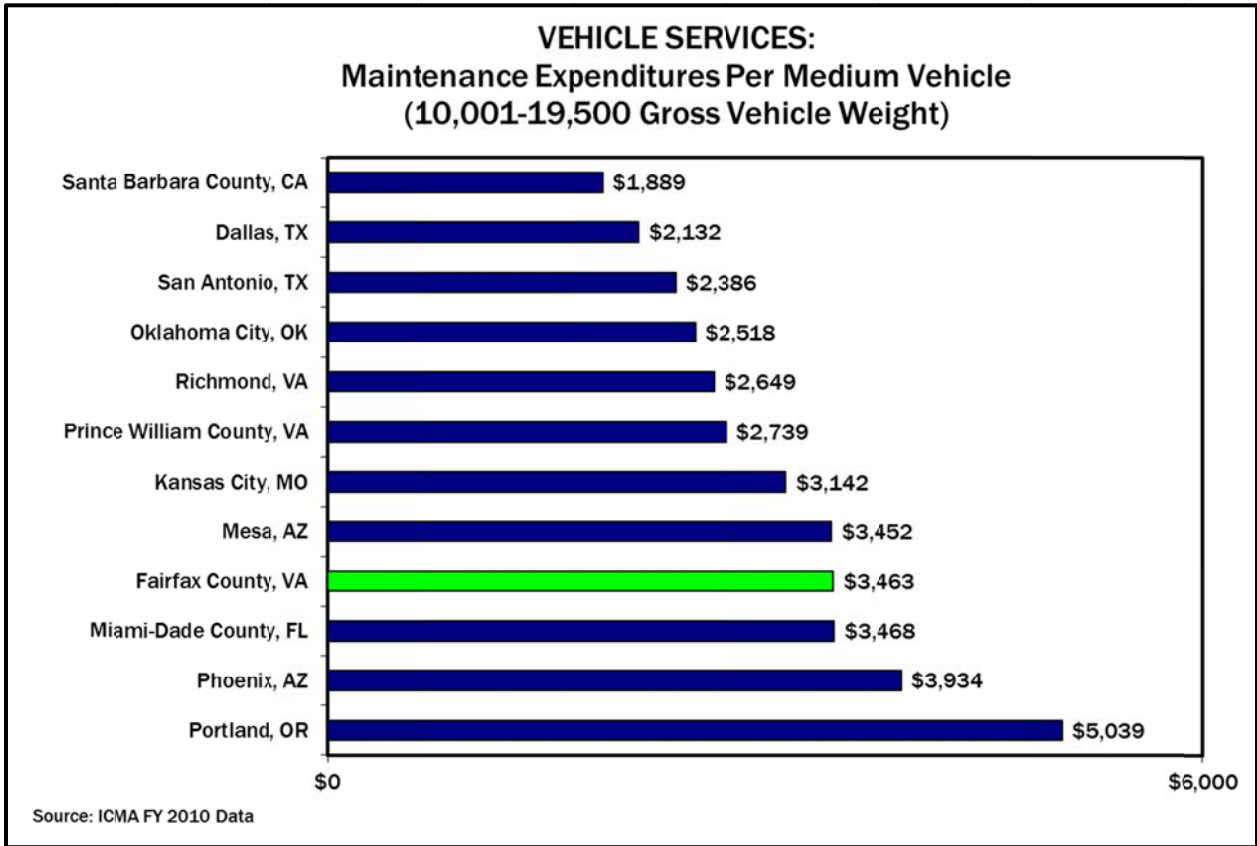
Fund 503 Department of Vehicle Services



Fund 503 Department of Vehicle Services



Fund 503 Department of Vehicle Services



Fund 503

Department of Vehicle Services

FUND STATEMENT

Fund Type G50, Internal Service Fund

Fund 503, Department of Vehicle Services

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$44,890,336	\$32,272,122	\$40,594,945	\$31,251,993	\$30,976,993
Vehicle Replacement Reserve	\$11,180,909	\$7,576,819	\$9,456,223	\$7,808,899	\$7,808,899
Facility Infr./Renewal Reserve	1,294,678	1,188,151	1,271,721	1,271,721	996,721
Ambulance Replacement Reserve	3,055,744	2,489,744	3,033,484	2,437,484	2,437,484
Fire Apparatus Repl. Reserve	6,522,977	6,213,964	7,901,199	7,845,403	7,845,403
School Bus Replacement Reserve	17,019	17,019	17,019	17,019	17,019
FASTRAN Bus Repl. Reserve	1,717,610	1,717,610	1,717,610	1,717,610	1,717,610
Helicopter Replacement Reserve	7,433,900	2,533,363	4,061,061	12,911	12,911
Boat Replacement Reserve	160,027	237,673	229,046	298,065	298,065
Police Specialty Vehicle Reserve	2,897,293	3,206,843	2,988,685	3,234,445	3,234,445
Fuel Operations Reserve	1,844,473	907,454	1,273,208	1,057,996	1,057,996
Fuel Price Stabilization Reserve	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Other	4,765,706	2,183,482	4,645,689	1,550,440	1,550,440
Unreserved Beginning Balance	\$0	\$0	\$0	\$0	\$0
Revenue:					
Vehicle Replacement Charges	\$6,815,119	\$6,710,525	\$6,710,525	\$6,294,749	\$6,294,749
Ambulance Repl. Charges	751,518	214,000	214,000	214,000	214,000
Fire Apparatus Repl. Charges	3,919,388	2,884,000	2,884,000	3,134,000	3,134,000
FASTRAN Bus Repl Charges	0	0	0	74,962	74,962
Helicopter Repl. Charges	2,453,624	894,256	2,199,256	640,000	640,000
Boat Replacement Charges	69,019	69,019	69,019	69,019	69,019
Police Specialty Veh. Charges	91,392	245,760	245,760	245,760	245,760
Vehicle Fuel Charges	27,594,745	26,699,369	32,482,567	29,927,150	29,927,150
Other Charges	32,993,593	31,241,757	32,007,757	33,489,690	33,725,336
Total Revenue	\$74,688,398	\$68,958,686	\$76,812,884	\$74,089,330	\$74,324,976
Total Available	\$119,578,734	\$101,230,808	\$117,407,829	\$105,341,323	\$105,301,969
Expenditures:					
Vehicle Replacement	\$4,539,805	\$5,195,013	\$8,357,849	\$6,911,315	\$6,911,315
Facility Infrastructure/Renewal	22,957	0	275,000	0	0
Ambulance Replacement	773,778	810,000	810,000	850,500	850,500
Fire Apparatus Replacement	2,541,166	2,400,000	2,939,796	6,366,600	6,366,600
Helicopter Replacement	5,826,463	0	6,247,406	0	0
Boat Replacement	0	0	0	0	0
Fuel Operations:					
Fuel	27,555,463	26,088,837	31,872,035	30,138,129	30,138,129
Other Fuel Related Expenses	610,547	806,889	825,744	909,404	910,177
Other:					
Personnel Services	18,469,568	19,212,559	19,437,503	19,921,560	20,156,433
Operating Expenses	14,644,042	14,876,847	15,657,347	15,380,946	15,380,946
Capital Equipment	0	8,156	8,156	60,060	60,060
Total Expenditures	\$74,983,789	\$69,398,301	\$86,430,836	\$80,538,514	\$80,774,160

Fund 503

Department of Vehicle Services

FUND STATEMENT

Fund Type G50, Internal Service Fund

Fund 503, Department of Vehicle Services

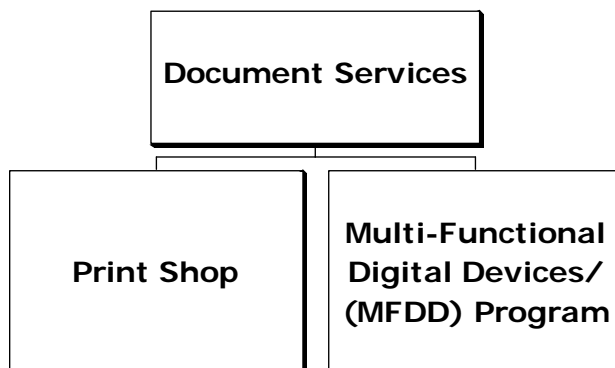
	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Transfers Out:					
General Fund (001) ¹	\$4,000,000	\$0	\$0	\$0	\$0
Total Transfers Out	\$4,000,000	\$0	\$0	\$0	\$0
Total Disbursements	\$78,983,789	\$69,398,301	\$86,430,836	\$80,538,514	\$80,774,160
Ending Balance²	\$40,594,945	\$31,832,507	\$30,976,993	\$24,802,809	\$24,527,809
Vehicle Replacement Reserve ³	\$9,456,223	\$8,420,008	\$7,808,899	\$4,757,510	\$4,757,510
Facility Infr./Renewal Reserve	1,271,721	1,188,151	996,721	1,271,721	996,721
Ambulance Replacement Reserve ³	3,033,484	1,893,744	2,437,484	1,950,984	1,950,984
Fire Apparatus Replacement Reserve ³	7,901,199	6,697,964	7,845,403	6,512,803	6,512,803
School Bus Replacement Reserve	17,019	17,019	17,019	17,019	17,019
FASTRAN Bus Repl. Reserve	1,717,610	1,717,610	1,717,610	1,792,572	1,792,572
Helicopter Replacement Reserve	4,061,061	3,427,619	12,911	652,911	652,911
Boat Replacement Reserve	229,046	306,692	298,065	367,084	367,084
Police Specialty Veh. Reserve	2,988,685	3,452,603	3,234,445	3,480,205	3,480,205
Fuel Operations Reserve	1,273,208	711,097	1,057,996	0	0
Fuel Price Stabilization Reserve	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Other	4,645,689	0	1,550,440	0	0
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹ In FY 2011, a Transfer Out to the General Fund totaling \$4.0 million was made associated with deferring replacement of the majority of vehicles in the County fleet for two years effective October 14, 2008. When combined with \$5.0 million in previous Transfers Out, the entire savings associated with deferring vehicle replacement was \$9.0 million.

² The Ending Balance in Fund 503, Department of Vehicle Services, fluctuates based on vehicle replacement requirements in a given year. Except in rare cases, vehicles are not replaced until they have met both established age and mileage criteria. In years where more vehicles meet their criteria and are replaced, the ending balance will be lower (and vice versa).

³ As part of the FY 2013 Advertised Budget Plan, an amount of \$1.9 million is being transferred from the Vehicle Replacement Reserve (VRR) to the Fire Apparatus Replacement Reserve (FARR) and an amount of \$150,000 is being transferred from the VRR to the Ambulance Replacement Reserve to meet long-term funding requirements in these two reserves managed by the Fire and Rescue Department. It should be noted that the Fire and Rescue Department is also increasing its baseline contribution to the FARR by \$250,000 annually starting in FY 2013.

Fund 504 Document Services



Mission

To provide and coordinate high speed production printing services to County agencies as well as to the Fairfax County Public School System.

Focus

As part of the FY 2011 Adopted Budget Plan, the Print Shop, previously managed by the Department of Cable and Consumer Services (DCCS), was consolidated under the Department of Information Technology (DIT). The Print Shop is responsible for providing high-speed digital black and white and color printing, offset printing, and bindery services. In FY 2011 Printing and Duplicating Services produced over 14.3 million digital black and white impressions, over 1.3 million digital color impressions, and over 4,200 billable hours in offset printing. The Print Shop fully recovered over 100 percent of expenses while maintaining an 84 percent customer satisfaction rating for all printing jobs. The Print Shop also conducts printing consultations to advise County and Fairfax County Public Schools staff regarding printing requirements and provides recommendations on available printing methods, document layout, and bindery options. All direct labor and material costs associated with these services, as well as an equipment replacement reserve fee, are recovered from customer agencies.

The Department of Information Technology is also responsible for the authorized fleet of large and mid-size Multi-Functional Digital Devices (MFDDs) that are used throughout County government for copying, printing, faxing, and scanning. MFDDs are installed in buildings across the County and are linked to individual workstations via the County's enterprise network. Program activities include administration of the County's MFDD fleet contract; day-to-day management of the service delivery; and integration with the County's technology infrastructure, including network and Microsoft applications. DIT also uses job-based accounting and tracking software to help identify program costs that can be recovered from non-General Fund sources. In late FY 2010, the County signed a contract with Meridian Imaging Solutions to provide these services.

Currently, DIT is exploring opportunities to maximize the digital capabilities and overall efficiency of the Print Shop operation and the MFDD fleet.

Fund 504 Document Services

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	10 / 10	10 / 10	10 / 10	10 / 10	10 / 10
Expenditures:					
Personnel Services	\$797,002	\$816,364	\$826,005	\$849,786	\$857,614
Operating Expenses	3,360,725	3,734,423	4,152,173	3,734,423	3,734,423
Capital Equipment	1,430,377	1,500,000	1,500,000	1,500,000	1,500,000
Total Expenditures	\$5,588,104	\$6,050,787	\$6,478,178	\$6,084,209	\$6,092,037

Position Summary					
1	Printing Services Manager	1	Printing Shift Supervisor	5	Print Shop Operators II
2	Customer Services Specialists	1	Digital Printing Analyst		
TOTAL POSITIONS					
10 Positions / 10.0 Staff Years					

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$25,360**
An increase of \$25,360 in Personnel Services reflects \$17,532 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$7,828 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$15,890**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$15,890 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the *FY 2011 Carryover Review*, *FY 2012 Third Quarter Review*, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$427,391**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$9,641 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$417,750 in Operating Expenses, including \$320,922 for the MFDD program and \$96,828 for the Print Shop.

Fund 504

Document Services

Key Performance Measures

Goal

To provide high-speed production printing services to all County agencies and the Fairfax County Public Schools in order to fulfill their informational and educational objectives with printed material.

Objectives

- ◆ To provide quality printing and duplicating services in a cost-effective and timely manner by recovering 100 percent of offset and digital expenses.
- ◆ To provide an efficient cost per copy charge by managing the Multi-Functional Digital Devices program, while limiting increases in cost per copy and achieving a customer satisfaction rate of 90 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Offset printing hours billed	6,086	5,009	4,750 / 4,287	5,000	4,500
Digital black and white impressions produced (in millions)	21.2	16.7	16.7 / 14.3	18.1	15.0
Digital color impressions produced (in millions)	2.3	1.6	1.6 / 1.3	1.9	1.3
Office copies made (in millions)	53.8	57.5	54.0 / 48.8	50.5	52.0
Efficiency:					
Cost per offset printing hour billed	\$122.31	\$73.78	\$73.78 / \$73.48	\$73.78	\$73.78
Cost per digital black and white impression produced	\$0.054	\$0.059	\$0.057 / \$0.022	\$0.057	\$0.022
Cost per digital color impression produced	\$0.143	\$0.185	\$0.200 / \$0.110	\$0.200	\$0.110
Cost per office copy	\$0.045	\$0.045	\$0.045 / \$0.045	\$0.045	\$0.045
Client charge per office copy	\$0.045	\$0.045	\$0.045 / \$0.045	\$0.045	\$0.045
Service Quality:					
Percent of office copier clients satisfied with services	85%	80%	82% / 84%	90%	90%
Outcome:					
Percent of offset expenses recovered	89%	99%	100% / 106%	100%	100%
Percent of digital black and white expenses recovered	107%	116%	100% / 106%	100%	100%
Percent of digital color expenses recovered	137%	114%	100% / 106%	100%	100%
Percent change in cost per copy	0.00%	0.00%	0.00% / 0.00%	0.00%	0.00%

Fund 504

Document Services

Performance Measurement Results

In FY 2011, the Print Shop recovered well over 100 percent of offset and digital black and white and digital color expenses.

In FY 2010, the County entered into a four-year contract with Meridian Imaging Solutions introducing new Ricoh hardware, software and increased functionality. Cost per copy and client charge per copy in FY 2013 are anticipated to remain flat at \$0.045. Upon the phase out of the ten-year relationship with the previous vendor, cost per copy and client charge per office copy remained a consistent \$0.045. Office copies, or more appropriately "impressions," produced in FY 2011 using printers in the MFDD program decreased 5.2 million from the estimated 54 million. This 10-percent reduction in document output is directly related to the implementation of Equitrac Follow-You printing, NSi AutoStore automated workflows and defaulting the MFDD output to double-sided printing. It is possible that usage will increase slightly in FY 2013, as departments retire their relatively expensive and less efficient local and network printers. Even though the deployment of replacement MFDDs continued into early FY 2011 and many users had to grow accustomed to new equipment, there was a slight increase in satisfied MFDD clients to 84 percent in FY 2011, versus 80 percent in FY 2010. Through additional training and adoption, custom workflow rollout and system stabilization, customer satisfaction is estimated to increase to 90 percent in FY 2013. The MFDD Program has not seen an increase in cost per copy over the last three fiscal years, nor is it estimated to increase in future years. This estimate, of course, is dependent upon the cost of paper remaining relatively stable during this same timeframe. If paper costs increase significantly, then there will likely be a corresponding increase in the cost per copy.

Fund 504 Document Services

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 504, Document Services

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$2,298,809	\$646,001	\$2,188,180	\$1,583,350	\$1,583,350
Revenue:					
County Receipts	\$2,026,441	\$2,384,771	\$2,384,771	\$2,301,549	\$2,301,549
School Receipts	958,375	977,767	977,767	977,767	977,767
Equipment Replacement Reserve	94,426	112,577	112,577	109,791	109,791
Total Revenue	\$3,079,242	\$3,475,115	\$3,475,115	\$3,389,107	\$3,389,107
Transfer In:					
General Fund (001) ¹	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233
Total Transfer In	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233
Total Available	\$7,776,284	\$6,519,349	\$8,061,528	\$7,370,690	\$7,370,690
Expenditures:					
Personnel Services	\$797,002	\$816,364	\$826,005	\$849,786	\$857,614
Operating Expenses	3,360,725	3,734,423	4,152,173	3,734,423	3,734,423
Capital Equipment	1,430,377	1,500,000	1,500,000	1,500,000	1,500,000
Total Expenditures	\$5,588,104	\$6,050,787	\$6,478,178	\$6,084,209	\$6,092,037
Total Disbursements	\$5,588,104	\$6,050,787	\$6,478,178	\$6,084,209	\$6,092,037
Ending Balance²	\$2,188,180	\$468,562	\$1,583,350	\$1,286,481	\$1,278,653
Print Shop Replacement Equipment Reserve	\$505,649	\$158,562	\$500,662	\$612,767	\$604,939
PC Replacement Reserve ³	5,000	10,000	10,000	15,000	15,000
Print Shop Operating Reserve ⁴	1,325,692	300,000	1,072,688	658,714	658,714
Unreserved Ending Balance	\$351,839	\$0	\$0	\$0	\$0

¹The General Fund transfer supports the equipment lease for the County's Multi-Functional Digital Device (MFDD) program.

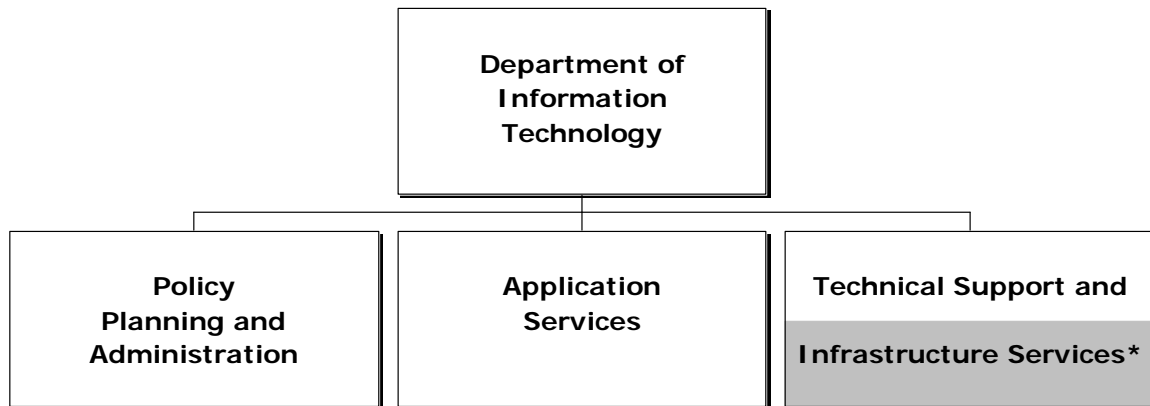
²The ending balance supports the three reserves for the agency and fluctuates depending upon the needs of the fund in a given year.


³The PC Replacement Reserve provides for the timely replacement of computer equipment for the activities in this fund.

⁴The Print Shop Operating Reserve is used to provide financial support to the Print Shop program as the technical and business practices in the industry evolve.

Fund 505

Technology Infrastructure Services



 - Fund 505, Technology Infrastructure Services

* All staffing and operating support for Infrastructure Services is found in Volume 2, Fund 505.

Mission

To provide a reliable and secure technology infrastructure foundation required to support County business processes and systems that strengthen the public service commitment of Fairfax County.

Focus

Fund 505, Technology Infrastructure Services, provides the underlying technology foundation supporting information systems and communications for Fairfax County Government. This consists of the enterprise portfolio of computers, telecommunications equipment, and infrastructure providing the essential foundational technology that supports Fairfax County government. The Department of Information Technology (DIT) coordinates all aspects of information technology for the County and plays an enabling role in advancing the strategic value of technology to transform work processes and provide quality services to customers. DIT manages technology as an enterprise asset and is responsible for direction and execution of information technology and communications systems by supporting and managing services and Fund 505.

The County's centralized approach to common infrastructure systems and operations provides economies and efficiencies through consolidation and leveraging of resources. Optimum performance is achieved by automated information technology (IT) support processes and enterprise-wide security tools, ensuring data integrity and system-use accountability. County IT architecture employs industry-standard products and best practices for efficient solution delivery and support. Fund 505, Technology Infrastructure Services, includes technology activities and communication services that support systems and operations for County agencies, including the management of County desktop computers (PCs and mobiles), servers, enterprise office-productivity software, e-mail and messaging system (Microsoft suite) and databases (Oracle, SQL, and Access). Fund 505 also supports the operations of the County's primary data center (the Enterprise Technology Operation Center, or ETOC), monitoring and maintenance of enterprise data communications networks, and the Public Service Radio System and Radio Center services. One of the County's major assets is the fiber Institutional Network (I-Net) which provides a private secure network infrastructure connecting 400 County and Fairfax County Public Schools buildings serving data, voice and video transport. DIT manages the I-Net infrastructure and services. I-Net equipment and related maintenance is directly supported from the I-Net program funds within Fund

Fund 505

Technology Infrastructure Services

105, Cable Communications. New IT projects are implemented through Fund 104, IT Projects, while some other IT systems are implemented by agencies; however, all new IT systems require infrastructure services, thus increasing supporting infrastructure service obligations and depending on requirements, there may be resulting upward pressure on costs.

Fund 505 is an internal service fund supported by revenues from County agencies and other entities such as the Fairfax County Public Schools (FCPS). Expenditures are primarily driven by the customer agencies' use of the IT utility, software licenses, data center operations, computer equipment refresh, PC replacement program, network carrier services and support staff.

DIT also provides intra-governmental services including the operation and maintenance of the County data center, mainframe, servers and data storage and back-up 24 hours a day, seven days per week; the safeguarding of County software license obligations, data repositories and information assets; and the on-going maintenance of County data and radio communication networks. The County's enterprise network provides bandwidth securely connecting County agencies to the vast array of business applications available on the County mainframe or server platforms (over 16,000 end-user PC devices, over 600 servers and 400 production databases). Based on energy efficiency initiatives, DIT was able to achieve major goals in server consolidation, which provided cost efficiencies in supporting applications and databases. The data center charge-back also supports the legacy corporate systems on the current mainframe used by County and schools. A transfer from Fund 105, Cable Communications, is provided to offset I-Net costs for General Fund and General Fund-supported agencies.

Another activity within Fund 505 is the management of the PC Replacement Reserve, which ensures that funding is available for scheduled desktop device technology refreshes. The regularly scheduled replacement of this equipment helps County agencies remain current with advancements in technology that could affect their services. The PC Replacement schedule was deferred for one year in FY 2010 to allow for necessary budget reductions. Beginning in FY 2011, the program moved from a four-year to a five-year replacement cycle in order to achieve additional annual savings, and DIT continually reviews various service options to try to identify efficiencies in the acquisition and deployment of equipment. The cost per PC in the program includes PC hardware, required software licenses, IT security agent, protected disposal, and desk-side staff support of County PCs. The County's program has been recognized as a cost-effective value, best-practice model in both the governmental and commercial sectors, fully optimizing the allocation of IT assets and providing efficient and predictable desktop maintenance and support. DIT staff is studying several options for future restructuring of the PC Replacement Program, such as separate replacement charges and schedules to accommodate desktop and laptop PCs, with possible implementation as early as the FY 2014 budget process.

In addition to the areas mentioned above, Fund 505 also supports the staff positions dedicated to coordinating radio repair and providing radio engineering services to County agencies and the FCPS system. Operational maintenance of the radio network is of primary importance to the County public safety agencies, public works agencies, FCPS and other County agencies. In addition to overseeing the operations of the public safety and public service radio systems, the Radio Center staff members also work with regional counterparts on interoperability initiatives so as to ensure 24/7 communication with other jurisdictions. To support the operational and maintenance requirements of the systems, costs are recovered from the County user agencies, FCPS and Fairfax Water.

Fund 505

Technology Infrastructure Services

Budget and Staff Resources



Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	73 / 73	73 / 73	73 / 73	73 / 73	73 / 73
Expenditures:					
Personnel Services	\$6,166,530	\$6,524,403	\$6,597,845	\$6,755,675	\$6,817,539
Operating Expenses	20,587,248	21,509,761	22,563,513	23,041,427	23,041,427
Capital Equipment	1,589,108	1,449,400	1,785,100	4,255,600	4,255,600
Total Expenditures	\$28,342,886	\$29,483,564	\$30,946,458	\$34,052,702	\$34,114,566

Position Summary		
<p><u>Communication/Infrastructure Program Management</u></p> <p>1 Info. Tech. Program Director III 2 Network/Telecom Analysts IV 1 Management Analyst I</p> <p><u>Server/SAN Infrastructure</u></p> <p>2 Network/Telecom Analysts IV 2 Network/Telecom Analysts I</p> <p><u>Desktop Support/PC Replacement</u></p> <p>1 Network/Telecom Analyst III 23 Enterprise IT Technicians</p>	<p><u>Data Center Services</u></p> <p>1 Info. Tech. Program Manager II 1 IT Systems Architect 2 Systems Programmer III 5 Systems Programmers II 1 System Programmer I 1 Programmer Analyst III 1 Programmer Analyst II 1 Database Administrator II 1 Business Analyst I 1 IT Technician II 1 Network/Telecom Analyst III</p>	<p><u>Radio Center Services</u></p> <p>1 Info. Tech. Program Manager II 1 Network/Telecom Analyst IV 3 Network/Telecom Analysts III 2 Network/Telecom Analysts II 1 Communications Engineer 2 Communications Technicians 1 IT Technician II</p> <p><u>Network/I-Net</u></p> <p>1 Info. Tech. Program Director I 1 Info. Tech. Program Manager I 1 Network/Telecom Analyst IV 7 Network/Telecom Analysts III 4 Network/Telecom Analysts II 1 Info. Security Analyst IV</p>
<p>TOTAL POSITIONS 73 Positions / 73.0 Staff Years</p>		

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** \$196,901
 An increase of \$196,901 in Personnel Services reflects \$135,037 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$61,864 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** \$96,235
 As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$96,235 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆ **PC Replacement** \$429,666
 An increase of \$429,666 is included for PC Replacement-related costs, of which \$247,500 is due primarily to an increase in the total number of units programmed for replacement in FY 2013

Fund 505

Technology Infrastructure Services

compared to FY 2012. The remaining increase of \$182,166 reflects higher maintenance expenses for the Microsoft enterprise software agreement, which was recently renegotiated. Available balance in the PC Replacement Reserve will be used to cover these expenses.

- ◆ **Software Maintenance Requirements** **\$634,000**

An increase of \$634,000 is included for software maintenance requirements for programs that securely provide online services to the County's citizens, businesses and visitors. Of this total, \$312,000 is required for identity management and user authentication software maintenance. This software permits individual user accounts to be created automatically and in accordance with predetermined rules. Online services that are particularly reliant upon user authentication include those that collect taxes, fines and fees. An additional \$160,000 is included for maintenance of Business Intelligence (BI) software products used to consolidate and streamline agency, citizen and industry access to land-use data and other business systems, while the remaining \$162,000 is required for maintenance of third-party supplemental operational software in support of FOCUS including Oracle database licensing adjustments, an on-site system monitoring tool for SAP, and a specialized tool for testing and reporting for Human Resources payroll transactions and data.

- ◆ **24-Hour Monitoring of SAP Environment** **\$468,000**

An increase of \$468,000 is required for 24-7-365 remote monitoring and support of the SAP virtual and physical environments and after-hours BASIS support performed off site by a managed services provider. BASIS is the underlying infrastructure, hardware, and system configuration activity for SAP systems – it is the backbone of the SAP system – it comprises hardware, software configuration and integration of all the SAP systems implemented to date. If not provided, system errors would go undetected and the ability to perform required maintenance to keep the system properly performing would be compromised.

- ◆ **Capital Equipment** **\$4,255,600**

Funding of \$4,255,600 is included for Capital Equipment. Of this total, \$2,806,200, fully supported by a transfer from Fund 105, Cable Communications, reflects funding to support the first year of a multi-year refresh plan of core elements of the Institutional Network (I-Net) in alignment with the County's approved IT principles for sustaining a secure and supportable technology infrastructure and to ensure the I-Net remains a functional IT asset for both the County and Schools. An additional \$1,200,000 is included for capitalized lease payments primarily associated with network equipment, server replacement, and Storage Area Network capacity, while the remaining \$249,400 reflects funding for capitalized software licenses.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$1,462,894**

As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$73,442 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$1,319,452 in Operating Expenses. The remaining increase of \$70,000 was included to upgrade the agency's ability to provide necessary communications support to incident commanders.

Fund 505

Technology Infrastructure Services

Key Performance Measures

Objectives

- ◆ To maintain the number of business days to fulfill Telecommunications service requests for a) non-critical requests at a standard of 4 days; b) critical requests at a standard of next business day; and c) emergency requests at a standard of the same day.
- ◆ To close 82 percent of end-user calls to Technical Support Services within 72 hours.
- ◆ To achieve a resolution rate for the average first-call problem for the Technical Support Center (TSC), DIT Help Desk of 81 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Responses to calls for repairs on voice devices	1,691	1,778	1,700 / 1,932	2,000	2,050
Moves, adds or changes (voice and data)	8,711	2,575	3,000 / 4,357	4,560	4,600
LAN/PC calls resolved within 72 hours	15,184	14,892	15,400 / 15,368	15,800	16,000
Customer requests for service fulfilled by Technical Support Center (TSC)	77,186	86,402	87,500 / 87,102	89,250	91,700
Efficiency:					
Cost per call	\$110	\$110	\$110 / \$110	\$110	\$110
Average number of hours annually spent per staff member to resolve calls	1,240	1,280	1,280 / 1,282	1,350	1,525
Customer requests for service per TSC staff member	6,223	7,200	7,200 / 8,685	8,825	8,975
Service Quality:					
Customer satisfaction with telecommunication services	95.0%	95.0%	95.0% / 95.0%	95.0%	95.0%
Percent of customers reporting satisfaction with resolution of LAN/PC workstation calls	91%	91%	92% / 93%	94%	95%
Percent satisfaction of County employees with support from Technical Support Center	92%	97%	97% / 96%	96%	97%
Outcome:					
Business days to fulfill service requests from initial call to completion of request for non-critical requests	4	4	4 / 4	4	4
Business days to fulfill service requests from initial call to completion of request for critical calls	1	2	2 / 2	2	2
Business days to fulfill Telecommunications service requests for emergencies	1	1	1 / 1	1	1
Percent of calls closed within 72 hours	83%	86%	87% / 75%	70%	82%
Percent of first-contact problem resolution	70%	85%	86% / 68%	75%	81%

Fund 505

Technology Infrastructure Services

Performance Measurement Results

This cost center provides IT infrastructure and communication services to all County agencies and other government customers, response to help desk service requests and maintenance of the County data communication networks. Prior implementation of the modern enterprise-wide voice communication platform resulted in the reduction of telephone system repairs. However, the growth of the number of calls for end-user services between FY 2010 and FY 2011 is largely in response to user requests for feature changes, password resets, and enhanced capabilities that are represented in the 'repair' category. After a significant decline in FY 2010, MACDs (Moves, Adds, Changes and Deletions) stabilized in FY 2011 due to a slowdown in demand by County agencies. The FY 2013 estimate forecasts a slight increase in MACDs due to anticipated agency relocations, realignments and operational efficiencies. Customer satisfaction levels remained steady. The voice system infrastructure will transition to the county's fiber I-Net backbone for greater cost and operational efficiencies.

The Technical Support Center Help Desk (IT Service Desk) requests for service increased in FY 2011, with much of this increase resulting from the provision of additional services in support of the FOCUS project. Additionally, FOCUS project-related calls tended to be more challenging and time consuming than routine calls to the IT Service Desk. Additional time and effort for first and second tier resolution is required for responding to the more complex inquiries. This reduced the percentage of calls that could be resolved upon first contact and closed within 72 hours. Strengthened enterprise-wide management and image control processes have reduced the time required for resolving end-user workstation requests. The agency is hopeful that newly adopted management strategies will help to manage and decrease the time needed to resolve such user requests in future years. Customer satisfaction generally continues to be strong due to internal quality control measures and remote resolution capabilities. Efforts in FY 2012 and FY 2013 will focus on enhanced remote resolution and IT Service desk system-workflow services to streamline routine processes. With the implementation of Windows 7 and Office 2010, DIT anticipates a short-term increase in call volume as users adjust to the new operating system and application. The implementation of the FOCUS project is anticipated to cause an increase in support calls to the IT Service Desk in FY 2013, and in future years, as future phases go live for County operations.

Fund 505

Technology Infrastructure Services

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 505, Technology Infrastructure Services

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$5,641,038	\$3,051,065	\$5,583,372	\$4,029,705	\$4,029,705
Revenue:					
Radio Services Charges	\$766,422	\$576,350	\$576,350	\$618,363	\$618,363
PC Replacement Charges	5,877,182	5,884,782	5,884,782	5,884,782	5,884,782
DIT Infrastructure Charges					
County Agencies and Funds	18,239,503	19,369,961	19,369,961	19,504,998	19,504,998
Fairfax County Public Schools	1,588,010	1,651,530	1,651,530	1,717,591	1,717,591
Outside Customers	0	96,065	96,065	0	0
Subtotal DIT Infrastructure Charges	<u>\$19,827,513</u>	<u>\$21,117,556</u>	<u>\$21,117,556</u>	<u>\$21,222,589</u>	<u>\$21,222,589</u>
Total Revenue	\$26,471,117	\$27,578,688	\$27,578,688	\$27,725,734	\$27,725,734
Transfers In:					
Cable Communications (105) ¹	\$1,814,103	\$1,814,103	\$1,814,103	\$4,620,303	\$4,620,303
Total Transfers In	\$1,814,103	\$1,814,103	\$1,814,103	\$4,620,303	\$4,620,303
Total Available	\$33,926,258	\$32,443,856	\$34,976,163	\$36,375,742	\$36,375,742
Expenditures:					
Infrastructure Services	\$20,590,397	\$21,999,593	\$23,062,196	\$26,087,662	\$26,135,605
Radio Center Services	1,033,863	1,004,020	1,092,073	1,034,790	1,043,130
Computer Equipment Replacement Program	6,120,715	5,779,951	5,950,695	6,230,250	6,235,831
Upgrade/Replacement of Technology Infrastructure Equipment	597,911	700,000	841,494	700,000	700,000
Total Expenditures	<u>\$28,342,886</u>	<u>\$29,483,564</u>	<u>\$30,946,458</u>	<u>\$34,052,702</u>	<u>\$34,114,566</u>
Total Disbursements	\$28,342,886	\$29,483,564	\$30,946,458	\$34,052,702	\$34,114,566
Ending Balance²	\$5,583,372	\$2,960,292	\$4,029,705	\$2,323,040	\$2,261,176
Infrastructure Replacement Reserve (CERF) ³					
PC Replacement Reserve ⁴	\$3,534,593	\$500,621	\$2,040,302	\$778,619	\$716,755
PC Replacement Reserve ⁴	1,949,265	2,204,714	1,889,889	1,544,421	1,544,421
Unreserved Balance	\$99,514	\$254,957	\$99,514	\$0	\$0

¹ The base Transfer In from Fund 105, Cable Communications, of \$1,814,103 is used to offset costs related to the I-Net for General Fund and General Fund supported agencies. This funding supports the system wide charges of the new Public Safety and Public Service radio program, as well as maintains funding for the replacement and upgrade of enterprise computer equipment. In FY 2013, an increase of \$2,806,200 is included reflecting the first year of a multi-year commitment to replace and refresh core elements of the I-Net.

² The fluctuation in ending balance is primarily due to the operation of the PC Replacement and Computer Equipment Reserve Programs. The programs collect funding each year, hold it in reserve until needed, and then expend the funds for replacement equipment. The time period for this action varies based on the needs of the programs.

³ This reserve is designed to assist in the scheduled replacement of enterprise computer and network assets. The funds are held in this Computer Equipment Replacement Fund (CERF).

⁴ The balance in the PC Replacement Reserve fluctuates annually based on scheduled PC replacements which were previously on a four-year replacement cycle. PC Replacement was deferred in FY 2010, effectively extending the program to a five-year cycle.

Fund 506

Health Benefits Fund

Focus

Fund 506, Health Benefits Fund, is the administrative unit for the County's self-insured health plans. For the self-insured plans, the County pays only for claims and third party administrative fees. The cost to fund claims expenses is covered by premiums from active employees, the employer, retirees, and retention of interest earnings.

Fairfax County Government offers its employees and retirees four health insurance choices providing various coverage options and competitive premium rates:

- Self-Insured point-of-service (POS) plan – Features a local network of providers with a co-pay structure for office visits and other services.
- Self-Insured open access plan (OAP) with two levels of coverage – Features a national network of providers. High option coverage features a co-pay structure for office visits and other services, while the Low option coverage features co-insurance and modest deductibles.
- Fully-insured health maintenance organization (HMO) – Features care centers located in communities throughout the area with a co-pay structure for office visits and other services.

In calendar year (CY) 2007, self-insured vision benefits were added to all health insurance plans. A disease management program was implemented in CY 2009 as part of the County's wellness initiative. This program is used to detect chronic conditions early and provide assistance to those affected to help manage their diseases, resulting in healthier outcomes. In CY 2011, the County's health insurance program was revised to consolidate plans similar in design and implement a new lower cost option. In addition, all plans were changed to offer eligible preventive care services on a zero-cost basis. This change is expected to help stem the cost of coverage for participants while also providing early intervention for chronic conditions or illness. All of the County's health insurance plans are self-insured, with the exception of the HMO plan. Self-insurance allows the County to more fully control all aspects of the plans, including setting premiums to smooth out the impact of increases on employees while maintaining adequate funding to cover claims expenses and reserves.

As part of the March 2010 passage of comprehensive health care reform legislation, the Early Retiree Reinsurance Program (ERRP) was established to provide reimbursements to participating employers for a portion of the costs of health benefits for early retirees not yet eligible for Medicare. The County applied and was approved for participation in the ERRP, and received reimbursements of \$2.0 million in FY 2011 and an additional \$0.7 million in FY 2012 as of the *FY 2012 Third Quarter Review*. This revenue was reflected in the Health Benefits Fund and set aside in reserve. As there is uncertainty surrounding how long the \$5 billion allocated to the ERRP will last, it is difficult to project how much total revenue the County may receive under this program. As a result, no anticipated revenues from the ERRP have been included in the [FY 2013 Adopted Budget Plan](#); any additional revenues received will be reflected at future quarterly reviews. All revenues received under the ERRP will be used to offset increases in health insurance costs for all participants in the County's self-insured plans.

As the health care environment is in the midst of significant reform, staff is monitoring changes in the health plan market, incorporating required changes in the County's plans and processes, and examining the overall impact of reform on the County's benefits package. Upon a thorough examination, staff will be developing a long-term strategy to continue to provide cost-effective and comprehensive health care coverage to employees and retirees within the parameters of the new health care laws.

Fund 506 Health Benefits Fund

The County continues to contribute 85 percent of the total premium for employees enrolled as an individual and 75 percent of the total premium for employees enrolled under either the two-party or family plan. Retirees over the age of 55 currently receive a subsidy from the County toward the cost of health insurance. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service. Details on the retiree health subsidy can be found in the narrative for Fund 603, OPEB (Other Post-Employment Benefits) Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

As with many employers nationwide, the County has experienced considerable fluctuations in medical costs. Prescription drugs, new medical technologies and increased utilization, as well as the cost of medical malpractice and liability insurance, continue to drive increases in medical costs. After significant increases in claims expenses at the beginning of the decade, cost growth was moderate (at or below 5 percent) in FY 2005 and FY 2006, but has fluctuated within a range of 10-14 percent since FY 2007. Based on estimated FY 2012 average cost growth of over 11 percent, premium increases for January 2012 were set at 5.0 percent for the POS plan and 10.0 percent for the OAP (High Option) plan while premiums decreased 15.0 percent for the OAP (Low Option) plan. These rates were set with consideration of balancing the impact to employees with ensuring that the premiums for each plan would cover the associated expenses, as each plan has experienced different participation trends and claims experience. Additionally, premiums were set taking into consideration the potential impacts on the County's GASB 45 liability. If premiums are not set appropriately, and increases in retiree claims outpace the growth in premiums, the County's liability under GASB 45 and, consequently, the annual required contribution for OPEB, may increase. It is the County's policy to maintain a net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year. For more information on GASB 45 and other post-employment benefits (OPEB), please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

As a result of continuing increases in cost growth, it is projected that the County will raise premiums by 8 percent for all plans, effective January 1, 2013 for the final six months of FY 2013. It should be noted that these premium increases are budgetary projections only; final premium decisions will be made in the fall of 2012 based on updated experience. Premium decisions will be based on the impact to employees and retirees, the actual claims experience of each plan, the maintenance of adequate reserves, and the impact on the County's GASB 45 liability. It should also be noted that the FY 2013 premium revenues reflected in the Fund 506 Fund Statement are based on the initial projected premium increase of 10 percent shown in the FY 2013 Advertised Budget Plan. As part of the *FY 2013 Third Quarter Review*, revenue estimates will be updated to reflect final premium decisions, and expenditure estimates will be adjusted based on updated experience.

Premium Stabilization Reserve

To help mitigate the impact of unanticipated cost increases in future years, the County created a premium stabilization reserve in FY 2005. This reserve allows the County to maintain premium increases at manageable levels and smooth out the employer and employee impact of dramatic cost growth swings.

During the years of moderate cost growth, the County was able to accumulate funds within the Premium Stabilization Reserve and these funds were utilized to mitigate premium increases, especially during calendar years 2007 and 2009 when premiums were held flat for the self-insured plans. In order to maintain an appropriate funding level within the reserve, guidelines were developed in FY 2010 for the future use of and target funding for the reserve:

Fund 506 Health Benefits Fund

- The Premium Stabilization Reserve should remain at 10-15 percent of claims expenses in order to maintain appropriate funding to offset unanticipated increases in cost growth or individual high-cost claims. If the reserve balance is within the target corridor, these balances may be used to mitigate premium increases. At no point should reserve balances be utilized which would bring the balance to less than 5 percent of claims.
- If the reserve falls below 10 percent of claims, the County will take actions to increase the reserve amount in order to reach the 10-15 percent target corridor within 3 years. These actions may include making additional General Fund contributions to the fund or increasing premiums.
- Any amount above 15 percent of claims may also be used for contributions towards the County's OPEB requirements under GASB 45 or to offset other County benefit expenses.

By following these parameters, an appropriate amount should be held within the reserve to ensure that funds are available to mitigate premium increases when necessary, although increases may still be significant based on claims trends within each plan.

In addition to the Premium Stabilization Reserve, the fund maintains an unreserved ending balance based on a percent of claims paid of at least 10 percent. An ending balance of 10 to 15 percent of claims paid is the targeted industry standard based on potential requirements in the event of a plan termination.

LiveWell Workforce Wellness Program

In FY 2009, the LiveWell Workforce Wellness Program began as an effort to provide increased opportunities for employees to improve their overall health and well-being, while also serving to curb rising health care costs. The program currently includes subsidized membership fees at County RECenters, weight loss support, influenza vaccinations, and other wellness programming. In FY 2011, the Employee Fitness and Wellness Center (EFWC) was integrated into the LiveWell Program. The EFWC, located at the Government Center, provides convenient access for employees and retirees to cardiovascular and strength training equipment, as well as a variety of fitness classes at a reasonable monthly rate. The center is staffed by the Park Authority, but all associated personnel and operating costs are charged to Fund 506.

Other components of the LiveWell program include:

- *Reduced membership fees at County RECenters.* In response to employee demand and to promote the importance of overall physical health, a 50 percent subsidy for 6-month and annual memberships at County RECenters is included in the program. As workplace sites for employees are spread throughout the County and, thus, all employees are not located near the EFWC, this benefit allows merit employees and retirees to use all nine County RECenters at a reduced rate.
- *Influenza vaccinations.* Providing flu shots to employees is a simple mechanism to reduce absenteeism due to flu outbreaks and protect the overall health of employees and retirees.
- *Health & Wellness Programming.* LiveWell sponsors workshops throughout the year, at various employee worksites, on a variety of health and wellness topics, including nutrition, stress, exercise, dementia, weight management and smoking cessation support.

Fund 506 Health Benefits Fund

- *Weight Management.* LiveWell subsidizes the membership costs for a weight management program available to employees at worksites, in the community, and online.
- *Partnerships.* LiveWell partners with community programs, such as farmer's markets and bike-to-work campaigns, and county initiatives, such as the promotion of volunteering and financial fitness, to encourage employees to continually seek the benefits of improved well-being.

In June 2010, LiveWell was awarded with a 2010 Achievement Award from the National Association of Counties (NACo) for a summer, team-based challenge which encouraged employees to walk more, exercise, and lose weight. In recognition of the budgetary constraints facing the County, this program was primarily funded through employee participation fees.

A comprehensive wellness program has the potential to reduce the rate of escalation of health care costs, resulting in savings for self-insured plans through cost avoidance. As such, expenses related to the LiveWell initiative are included in Fund 506, Health Benefits Fund, as it is anticipated that increases in self-insured claims expenses will be mitigated as benefits of the program begin to materialize.

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Health Insurance Requirements** **\$19,880,885**
A net increase of \$19,880,885 is attributable to an increase of \$20,734,165 in benefits paid and an increase of \$397,448 in administrative expenses, partially offset by a decrease of \$1,250,728 for Incurred But Not Reported (IBNR) claims. These adjustments are based on prior year experience and projected claims as a result of health insurance plan changes implemented in January 2012.
- ◆ **Premium Stabilization Reserve** **(\$1,020,788)**
A decrease of \$1,020,788 reflects an adjustment in the Premium Stabilization Reserve based on available fund balance. Any balances above the funding equivalent to two months of claims set aside in the Unreserved Ending Balance are appropriated to the Premium Stabilization Reserve to provide the fund flexibility in managing unanticipated increases in claims.

Fund 506 Health Benefits Fund

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$4,895,137**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$4,895,137 to reflect an appropriation from fund balance to increase the Premium Stabilization Reserve, which allows the fund flexibility in maintaining premium increases at manageable levels.

- ◆ **Third Quarter Adjustments** **\$13,724,228**
As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$13,724,228. Of this amount, \$13,342,516 is attributable to increases in required benefit payments, administrative expenses, and IBNR claims for the County's self-insured health plans, primarily due to greater than anticipated migration to the OAP High Option plan as a result of the January 2011 elimination of the PPO (Preferred Provider Option). The remaining increase of \$381,712 is attributable to the appropriation of available fund balance to the premium stabilization reserve.

Fund 506 Health Benefits Fund

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 506, Health Benefits Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$27,473,477	\$21,303,230	\$27,388,846	\$22,248,999	\$22,495,247
Revenue:					
Employer Share of Premiums	\$76,559,813	\$82,831,438	\$89,535,473	\$94,773,375	\$94,773,375
Employee Share of Premiums	22,577,570	24,479,380	26,308,233	26,616,319	26,616,319
Retiree Premiums	22,301,643	21,547,889	26,396,941	27,738,388	27,738,388
Early Retiree Reinsurance Program (ERRP)	2,008,767	0	674,646	0	0
Interest Income	177,256	211,188	125,078	122,897	122,897
Administrative Service Charge / COBRA Premiums	489,325	477,701	477,701	475,298	475,298
Employee Fitness Center Revenue	62,236	61,000	61,000	64,426	64,426
Total Revenue	\$124,176,610	\$129,608,596	\$143,579,072	\$149,790,703	\$149,790,703
Total Available	\$151,650,087	\$150,911,826	\$170,967,918	\$172,039,702	\$172,285,950
Expenditures:					
Benefits Paid	\$119,289,863	\$118,913,293	\$130,662,280	\$139,647,458	\$139,647,458
Administrative Expenses	5,760,562	5,346,192	5,449,786	5,743,640	5,743,640
Premium Stabilization Reserve ¹	0	2,359,782	7,636,631	1,338,994	1,338,994
Incurred but not Reported Claims (IBNR)	(1,128,506)	2,492,039	3,981,974	1,241,311	1,241,311
LiveWell Program	339,322	742,000	742,000	742,000	742,000
Total Expenditures	\$124,261,241	\$129,853,306	\$148,472,671	\$148,713,403	\$148,713,403
Total Disbursements	\$124,261,241	\$129,853,306	\$148,472,671	\$148,713,403	\$148,713,403
Ending Balance:					
Fund Equity	\$39,086,346	\$36,517,249	\$38,174,721	\$40,083,994	\$40,330,242
IBNR	11,697,500	15,458,729	15,679,474	16,757,695	16,757,695
Ending Balance	\$27,388,846	\$21,058,520	\$22,495,247	\$23,326,299	\$23,572,547
Premium Stabilization Reserve ¹	\$5,458,672	\$0	\$0	\$0	\$246,248
ERRP Reserve ²	2,008,767	1,200,000	674,646	0	0
Unreserved Ending Balance	\$19,921,407	\$19,858,520	\$21,820,601	\$23,326,299	\$23,326,299
Percent of Claims	16.7%	16.7%	16.7%	16.7%	16.7%

¹ Fluctuations in the Premium Stabilization Reserve are the result of reconciliations of budget to actual experience. For example it is anticipated that a significant portion of the Revised Budget Plan Premium Stabilization Reserve will be carried forward from one year to the next with adjustments as a result of final year-end experience.

² ERRP revenues of \$2,008,767, which were received in FY 2011, were used in FY 2012 to offset increases in health insurance costs for all participants in the County's self-insured plans. Additional revenues received in FY 2012, including \$674,646 received as of the *FY 2012 Third Quarter Review*, will be used to offset increases in health insurance costs in FY 2013. Due to the uncertainty surrounding how much total revenue the County may receive under this program, any additional revenues received will be reflected at future quarterly reviews.

Fund 590

Public School Insurance Fund

Focus

Fund 590, Public School Insurance Fund, provides administration for workers' compensation insurance, self-insurance funds for automobile and general liability, and the purchase of commercial insurance for other liabilities. FY 2013 expenditures are estimated at \$16.6 million.

Fund 590

Public School Insurance Fund

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 590, Public School Insurance Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance	\$31,488,395	\$27,600,355	\$40,900,952	\$40,568,841	\$40,568,841
Revenue:					
Workers' Compensation:					
School Operating Fund (090)	\$13,998,141	\$9,238,928	\$9,238,928	\$9,238,928	\$9,238,928
School Food & Nutrition Services Fund (191)	489,499	277,166	277,166	324,284	324,284
Other Insurance					
School Operating Fund (090)	4,468,127	4,468,127	4,468,127	4,468,127	4,468,127
Insurance Proceeds	155,017	50,000	50,000	50,000	50,000
Total Revenue	\$19,110,784	\$14,034,221	\$14,034,221	\$14,081,339	\$14,081,339
Total Available	\$50,599,179	\$41,634,576	\$54,935,173	\$54,650,180	\$54,650,180
Expenditures:					
Workers' Compensation					
Administration	\$613,691	\$875,246	\$875,246	\$860,093	\$860,093
Claims Paid	5,006,394	6,883,339	6,883,339	8,462,593	8,462,593
Other Insurance	3,376,630	5,921,615	5,921,615	6,508,673	6,508,673
Claims Management	701,512	686,132	686,132	745,807	745,807
Allocated Reserves		4,518,395	0	0	0
Subtotal Expenditures	\$9,698,227	\$18,884,727	\$14,366,332	\$16,577,166	\$16,577,166
Net Change in Accrued Liabilities					
Workers' Compensation	\$6,284,395	\$0	\$1,500,656	\$0	\$0
Other Insurance	860,496	0	523,034	0	0
Net Change in Accrued Liabilities	\$7,144,891	\$0	\$2,023,690	\$0	\$0
Total Expenditures³	\$16,843,118	\$18,884,727	\$16,390,022	\$16,577,166	\$16,577,166
Total Disbursements	\$16,843,118	\$18,884,727	\$16,390,022	\$16,577,166	\$16,577,166
Ending Balance	\$40,900,952	\$22,749,849	\$40,568,841	\$38,073,014	\$38,073,014
Restricted Reserves:					
Workers' Comp Accrued Liability	(\$25,710,468)	(\$19,426,073)	(\$27,211,124)	(\$27,211,124)	(\$27,211,124)
Other Insurance Accrued Liability	(4,184,272)	(3,323,776)	(4,707,306)	(4,707,306)	(4,707,306)
Reserve for Catastrophic Occurrences	(11,006,212)	0	(8,650,411)	(6,154,584)	(6,154,584)
Unreserved Balance	\$0	\$0	\$0	\$0	\$0

¹ The FY 2012 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their FY 2012 Third Quarter Review.

² Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the FY 2012 Carryover Review.

³ It should be noted that the County's financial schedules reflect total FY 2012 Revised Budget Plan expenditures of \$23,016,743, as approved by the Board of Supervisors as part of the FY 2012 Third Quarter Review.

Fund 591 Public School Health and Flexible Benefits

Focus

Fund 591, Health and Flexible Benefits, provides for the administration of health and dental care benefit plans for employees and retirees. In addition, the Health and Flexible Benefits Fund administers two Flexible Spending Accounts, which enable employees to realize savings by setting aside pre-tax dollars, through Fairfax County Public Schools (FCPS) payroll deductions, for eligible health care and dependent care costs. FY 2013 expenditures are estimated at \$366.3 million.



Fund 591

Public School Health and Flexible Benefits

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 591, Public School Health
and Flexible Benefits

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance	\$49,703,020	\$46,713,537	\$52,012,885	\$48,259,277	\$48,259,277
Revenue:					
Employer/Employee Premiums	\$224,178,719	\$237,074,379	\$233,103,848	\$259,063,310	\$259,063,310
Retiree/Other Health Premiums	39,033,639	39,339,515	40,075,000	44,512,923	44,512,923
Interest Income	3,216,261	3,571,200	3,571,200	4,189,899	4,189,899
Medicare Part D	5,364,782	2,773,827	4,213,158	3,254,730	3,254,730
Flexible Account Withholdings	6,947,768	6,814,957	7,005,000	7,045,692	7,045,692
Total Revenue	\$278,741,169	\$289,573,878	\$287,968,206	\$318,066,554	\$318,066,554
Total Available	\$328,444,189	\$336,287,415	\$339,981,091	\$366,325,831	\$366,325,831
Expenditures:					
Health Benefits Paid	\$206,790,389	\$217,553,940	\$217,553,940	\$235,079,000	\$235,079,000
Premiums Paid	51,036,956	55,788,480	53,398,000	55,936,000	55,936,000
Health Administration Expenses	10,740,727	11,512,874	11,512,874	11,782,016	11,782,016
Flexible Accounts Reimbursements	6,684,094	6,689,875	6,876,000	6,916,692	6,916,692
FSA Administrative Expenses	126,138	125,082	129,000	129,000	129,000
Claims Incurred but not Reported (IBNR)	18,378,000	19,550,000	20,630,000	21,789,000	21,789,000
IBNR Prior Year Credit	(17,325,000)	(18,446,000)	(18,378,000)	(20,630,000)	(20,630,000)
Premium Stabilization Reserve ³	0	43,513,164	0	55,324,123	55,324,123
Total Expenditures	\$276,431,304	\$336,287,415	\$291,721,814	\$366,325,831	\$366,325,831
Total Disbursements	\$276,431,304	\$336,287,415	\$291,721,814	\$366,325,831	\$366,325,831
Ending Balance	\$52,012,885	\$0	\$48,259,277	\$0	\$0
Less:					
Premium Stabilization Reserve	\$52,012,885	\$0	\$48,259,277	\$0	\$0
Unreserved Balance	\$0	\$0	\$0	\$0	\$0

¹The FY2012 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their FY2012 Third Quarter Review.

²Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the FY2012 Carryover Review.

³The Premium Stabilization Reserve is appropriated for budgeting purposes to offset fluctuations in health insurance costs during the fiscal year. This reserve is to be carried forward as beginning balance for FY 2013.

Fund 592 Public School Central Procurement

Focus

Fund 592, Public School Central Procurement, facilitates accounting of orders for textbooks, supplies, library materials, printing and equipment for the Fairfax County Public Schools (FCPS). Central purchases processed through this fund will be charged to individual school accounts; therefore, this Internal Service clearing account does not increase the total FCPS budget. FY 2013 expenditures are estimated at \$6.5 million, a decrease of \$7.5 million from the FY 2012 Adopted Budget Plan due to the transition of copy paper from the passive order process managed through the Central Procurement Fund to a next-day delivery directly from the office supply contract and a change in the financial transaction process utilized for payment of office supplies as a result of the implementation of the Fairfax County Unified System (FOCUS) project.



Fund 592

Public School Central Procurement

FUND STATEMENT

Fund Type G50, Internal Service Funds

Fund 592, Public School Central Procurement

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance	\$457,516	\$457,516	\$223,023	\$223,023	\$223,023
Revenue:					
Sales to Schools/Departments	\$11,891,884	\$14,000,000	\$14,000,000	\$6,500,000	\$6,500,000
Total Revenue	\$11,891,884	\$14,000,000	\$14,000,000	\$6,500,000	\$6,500,000
Total Available	\$12,349,400	\$14,457,516	\$14,223,023	\$6,723,023	\$6,723,023
Expenditures:					
Purchase for Resale	\$12,126,377	\$14,000,000	\$14,000,000	\$6,500,000	\$6,500,000
Total Expenditures	\$12,126,377	\$14,000,000	\$14,000,000	\$6,500,000	\$6,500,000
Total Disbursements	\$12,126,377	\$14,000,000	\$14,000,000	\$6,500,000	\$6,500,000
Inventory Change					
Ending Balance	\$223,023	\$457,516	\$223,023	\$223,023	\$223,023

¹The *FY 2012 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their *FY 2012 Third Quarter Review*.

²Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the *FY 2012 Carryover Review*.

Trust and Agency Funds

Overview

Trust Funds account for assets held by the County in a trustee capacity and include four retirement trust funds and two trust funds to pre-fund other post-employment benefits. Agency Funds are custodial in nature and are maintained to account for funds received and disbursed by the County for various governmental agencies and other organizations. Agency Funds include two holding funds for revenue collected for the Route 28 Tax District and the Mosaic District Community Development Authority.

Retirement Trust Funds

- ◆ Each of the four retirement funds derives income from employer contributions, employee contributions, and returns on investments. Payments are made from these funds to eligible retirees based on established benefit formulas. Three retirement trust funds comprise the Fairfax County Employee Retirement Systems and are administered by the Fairfax County Retirement Administration Agency. The fourth retirement fund is for educational employees and is administered by Fairfax County Public Schools.
 - **Fund 600 - Uniformed Retirement System**
 - **Fund 601 - Fairfax County Employees' Retirement System**
 - **Fund 602 - Police Officers Retirement System**
 - **Fund 691 - Educational Employees' Supplementary Retirement**

Other Post-Employment Benefits (OPEB) Trust Funds

- ◆ Beginning in FY 2008, Fairfax County and Fairfax County Public Schools were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits (OPEBs). GASB 45 requires that the County and Schools accrue the cost of the retiree health subsidy and other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability in order to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. Fund 603, OPEB Trust Fund, and Fund 692, Public School OPEB Trust Fund, allow the County and Schools to capture long-term investment returns, make progress towards reducing the unfunded liability, and pre-fund the cost of post-employment health care and other non-pension benefits.
 - **Fund 603 - OPEB Trust Fund**
 - **Fund 692 - Public School OPEB Trust Fund**

Route 28 Tax District

- ◆ Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District in 1987. The District was formed to accelerate planned highway improvements to State Route 28 that relied on slower pay-as-you-go financing. The owners of industrial and commercial property within the District are subject to an additional tax assessment of 18 cents per \$100 of assessed value.
 - **Fund 700 - Route 28 Tax District**

Trust and Agency Funds

Mosaic District Community Development Authority

- ◆ The Board of Supervisors approved the Mosaic District Community Development Authority (CDA) on April 27, 2010. The District consists of a land area of approximately 31 acres within Fairfax County on a site located in the southwest quadrant of the intersection of Lee Highway and Gallows Road in the Merrifield area, approximately 12 miles west of Washington D.C. The District is part of a mixed-use development that is expected to be developed by Eskridge (E&A), LLC, a South Carolina limited liability company to include residential, retail, hotel and office components. The CDA will fund a \$30.0 million dollar portion of the public facilities to be constructed on the site through a 30-year bond to be issued by the CDA whose debt service will be paid by a self-assessment. The CDA will also fund a \$42.0 million dollar portion of the public facilities to be constructed on the site (road improvements, parks, and a small portion of the parking garage) through a 22-year bond also issued through the CDA whose debt service will be paid through incremental real estate tax revenues. Liability for the debt service will be secured by the CDA, not the County.

- **Fund 716 - Mosaic District Community Development Authority**

Employee Retirement Systems Overview

Fairfax County employee retirement systems include the Uniformed Retirement System (Fund 600), the Fairfax County Employees' Retirement System (Fund 601), and the Police Officers Retirement System (Fund 602). Each of these systems is funded from employees' contributions based on a fixed percentage of pay, County contributions based on a variable percentage of employee pay as determined by actuarial analysis, and return on investments. In order to assure the continued soundness of each fund, an actuarial valuation is conducted annually and, if appropriate, an adjustment is made to the employer contribution rate.

For the Uniformed Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, in the County's General Fund, for uniformed public safety employees in General Fund agencies and Fund 120, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Fairfax County Employees' Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, for County employees and Fairfax County Public Schools (FCPS) for school employees. For the Police Officers Retirement Trust Fund, the full amount of the employer's contribution comes from Agency 89, Employee Benefits, in the County's General Fund.

On March 18, 2002 the Board of Supervisors adopted a corridor approach to employer contributions. The corridor approach adds further stability to the employer contribution rates and continues to adequately fund the retirement systems. In the corridor method of funding, a fixed contribution rate is assigned to each system and the County contributes at the fixed rate unless the system's funding ratio falls outside the pre-selected corridor of 90-120 percent or if benefit enhancements are approved. At the Board of Supervisors' direction, staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained, as it has cushioned the County from dramatic rate increases in the past and is currently providing insulation from the global financial crisis. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. This solution will allow the County to maintain the flexibility afforded by the current policy with the understanding that increasing contributions to the retirement systems, when feasible from a budgetary perspective, will improve the systems' financial position. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

A Deferred Retirement Option Plan (DROP) was added as a benefit enhancement for members of the Uniformed and Police Officers Retirement Systems in FY 2004 and was added for members of the Employees' Retirement System in FY 2006.

Retirees are eligible to receive a Cost-of-Living Adjustment (COLA) composed of a base COLA which is the lesser of the Consumer Price Index (CPI) for the 12 months ending on the previous year's March 31, or 4.0 percent. If certain conditions are met, an additional 1.0 percent COLA can be awarded at the discretion of each retirement system's Board of Trustees. This additional ad-hoc COLA results in an increase in the employer contribution rate. Staff reviewed the ad-hoc COLA policy at the Board of Supervisors' direction in FY 2010 and concluded that it is important for an individual Board of Trustees to maintain the discretion to grant an ad-hoc COLA for its retirees and that the criteria used to grant a COLA among the three systems be consistent. However, it was determined that the financial conditions that must be met in order for a Board of Trustees to consider granting an ad-hoc COLA should be strengthened, especially since the granting of such a COLA impacts the employer contribution rates and,

Employee Retirement Systems Overview

thus, requires County funding. As a result, the Fairfax County Code was changed to require that the retirement system must have an actuarial surplus - demonstrated by having a funding ratio exceeding 100 percent - before an ad-hoc COLA can be considered.

As directed by the Board of Supervisors, and with funding designated at the *FY 2010 Carryover Review*, the Department of Human Resources contracted with a benefits consultant to conduct a comprehensive retirement study. Based on the results of this study, the Board of Supervisors, as part of their mark-up of the FY 2013 budget on April 24, 2012, reaffirmed the County's commitment to a defined benefit plan model for current employees and for new hires. The Board also directed staff to prepare revisions to the Fairfax County Code to incorporate several modifications to the retirement systems, to apply only to new employees who are hired after the date the ordinances are revised. These changes include increasing the minimum retirement age from 50 to 55 in the Employees' system, increasing the rule of 80 to the rule of 85 in the Employees' system, removing the pre-Social Security Supplement from DROP accounts in the Employees' system and the Uniformed system, and placing a cap on the use of sick leave for retirement purposes at 2,080 hours for all three retirement systems.

Funding Status

The global financial crisis during FY 2009 resulted in significant losses in the value of the invested assets of all three retirement systems. Capital markets rebounded significantly in FY 2010 and FY 2011, and the retirement systems achieved strong positive results for each year. The continued strong investment returns achieved in FY 2011 have strengthened the financial position of the systems and, as these returns are smoothed into valuation results over three years, will continue to positively impact actuarial funding ratios over the next two years. It should also be noted that the impact of the FY 2009 results have now been fully recognized in the funding ratios and contribution requirements. In addition, an actuarial experience study was conducted in FY 2011 to review the actuarial assumptions compared to actual experience over the preceding five years. As a result of that study, a number of assumptions were revised, including changes to the projected rate of inflation and the adoption of a new mortality table that better reflects increases in expected longevity. The FY 2011 investment results and demographic experience, combined with the changes to the actuarial assumptions, affected the funding ratios as demonstrated in the table below. It should be noted that the table below displays the corridor funding ratios, which have been adjusted to reflect the unfunded liability already being amortized as a result of benefit changes and ad hoc retiree COLAs that were adopted since the corridor method was established.

	June 30, 2010	June 30, 2011
Uniformed	82.1%	82.2%
Employees'	72.0%	72.5%
Police Officers	82.1%	83.3%

Employer Contribution Rates

Following the actuarial funding policy currently in effect, contribution rates are adjusted only to fund approved benefit enhancements, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls below 90 percent or rises above 120 percent.

Though the funding ratio of each system increased, the employer contribution rates for each of the systems must be increased due to growth in unfunded liabilities as reflected in the FY 2011 actuarial valuations and due to changes in actuarial assumptions as a result of the experience study. The employer

Employee Retirement Systems Overview

contribution rates for the Employees' System and Uniformed System are also required to increase due to a reduction in the Social Security offset for service-connected disability retirees approved by the Board of Supervisors on July 26, 2011.

The final FY 2013 employer contribution rates for each of the three retirement systems, as well as the cost impact to the General Fund as a result of adjustments, are as follows:

	FY 2012 Rates (%)	FY 2013 Rates (%)	Percentage Point Change (%)	Net General Fund Impact
Uniformed	33.81	35.00	1.19	\$1,644,155
Employees'	17.20	19.05	1.85	\$6,098,483
Police Officers	31.30	33.15	1.85	<u>\$1,886,539</u>
Total				\$9,629,177

- ◆ The employer contribution rate for the Uniformed system is required to increase by 1.19 percentage points based on a reduction in the Social Security offset for service-connected disability retirees (0.06 percentage points), changes to actuarial assumptions as a result of the five-year actuarial experience study (0.30), and FY 2011 experience as reflected in the annual actuarial valuation (0.83).
- ◆ The employer contribution rate for the Employees' system is required to increase by 1.85 percentage points based on a reduction in the Social Security offset for service-connected disability retirees (0.01 percentage points), changes to actuarial assumptions as a result of the five-year actuarial experience study (1.03), and FY 2011 experience as reflected in the annual actuarial valuation (0.81).
- ◆ The employer contribution rate for the Police Officers system is required to increase by 1.85 percentage points based on changes to actuarial assumptions as a result of the five-year actuarial experience study (1.79 percentage points) and FY 2011 experience as reflected in the annual actuarial valuation (0.06).

It should also be noted that, in line with the recommendation to move gradually to a 95-105 percent corridor and in recognition of the need to increase the employer contribution rates in order to improve the systems' financial position, a change to the amortization schedule was initiated in FY 2011. Prior to FY 2011, if the funding ratio fell below 90 percent, the unfunded actuarial accrued liability (UAAL) below 90 percent was amortized over 15 years in order to get back to a 90 percent level. For FY 2011, the employer contribution rates were increased to allow for an amortization to a 91 percent level, in accordance with the phased approach to move towards the 95 percent target. For FY 2013, this change has been maintained to continue to allow for an amortization to a 91 percent level.

For more information on the General Fund impact of these employer contribution rate changes, please refer to the Agency 89, Employee Benefits, narrative in the Nondepartmental program area section of Volume 1.

Employee Retirement Systems Overview

The following table displays relevant information about each retirement system:

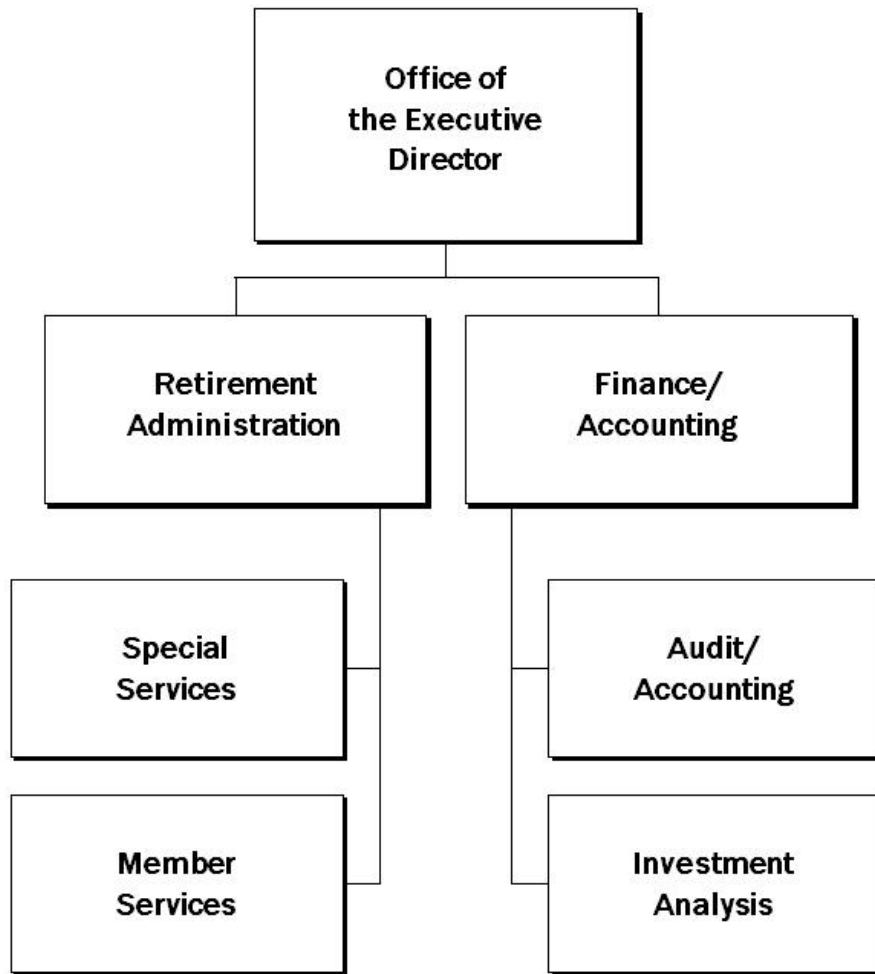
EMPLOYEES COVERED					
Uniformed Retirement	Fairfax County Employees' Retirement		Police Officers Retirement		
Fire and Rescue Personnel; Uniformed Sheriff's Office employees; Animal Control Officers; Helicopter Pilots; Non-administrative staff in the Department of Public Safety Communications.	County employees not covered under Uniformed or Police Officers system; certain FCPS employees including food service, custodial, bus drivers, part-time and substitute teachers, maintenance staff.		Fairfax County Police Officers.		
CONDITIONS OF COVERAGE					
Uniformed Retirement	Fairfax County Employees' Retirement *		Police Officers Retirement		
At age 55 with 6 years of service or after 25 years of service.	At age 65 with 5 years of service or earlier when age and years of service combined equal 80 or, for reduced "early retirement" benefits, 75. Not before age 50.		At age 55 or after 20 years of police service if hired before 7/1/81; or 25 years of service if hired on or after 7/1/81.		
EMPLOYEE CONTRIBUTIONS (% of Pay)					
	Uniformed Retirement		Fairfax County Employees' Retirement		Police Officers Retirement
	Plan A	Plan B	Plan A	Plan B	
Up to Wage Base	4.00%	7.08%	4.00%	5.33%	10.00%
Above Wage Base	5.75%	8.83%	5.33%	5.33%	
Plan C	4.00%				
Plan D	7.08%				
FY 2013 EMPLOYER CONTRIBUTIONS (% of Pay)					
Uniformed Retirement	Fairfax County Employees' Retirement		Police Officers Retirement		
35.00%	19.05%		33.15%		

* The conditions of coverage listed for the Employees' Retirement System do not yet reflect the changes approved by the Board of Supervisors as part of their mark-up of the FY 2013 budget. The Board directed staff to prepare revisions to the Fairfax County Code to increase the minimum retirement age to 55 and increase the rule of 80 to the rule of 85. These changes would apply only to new employees hired after the date the ordinances are revised.

Employee Retirement Systems Overview

INVESTMENT MANAGERS AS OF JUNE 30, 2011		
Uniformed Retirement	Fairfax County Employees' Retirement	Police Officers Retirement
<ul style="list-style-type: none"> ▪ Acadian Asset Management ▪ Advisory Research ▪ Artha Capital ▪ Artio Global Investors ▪ Ashmore Investment Management ▪ BlackRock, Inc. ▪ Bluecrest Capital ▪ Brandywine Global Investment Management ▪ Bridgewater Associates ▪ Cohen & Steers Capital Management ▪ DoubleLine Capital ▪ FrontPoint Partners ▪ Gramercy Advisors ▪ Harbourvest Partners ▪ JP Morgan Investment Management ▪ King Street Capital ▪ Marathon Asset Management ▪ NCM Capital Management ▪ Optima Management ▪ Orbimed Advisors ▪ Pacific Investment Management Co. ▪ Pantheon Ventures ▪ Ramius, LLC ▪ Standish Mellon Asset Management ▪ UBS Realty Advisors ▪ Victory Capital Management 	<ul style="list-style-type: none"> ▪ Artio Global Investors ▪ BlackRock, Inc. ▪ Brandywine Global Investment Management ▪ Bridgewater Associates ▪ The Clifton Group ▪ Cohen & Steers Capital Management ▪ Columbia Wanger Asset Management ▪ Dearborn Partners ▪ DePrince, Race & Zollo ▪ DoubleLine Capital ▪ Enhanced Investment Technologies ▪ First Quadrant ▪ FrontPoint Partners ▪ Gramercy Advisors ▪ JP Morgan Investment Management ▪ LSV Asset Management ▪ MacKay Shields ▪ Marathon Asset Management ▪ Pacific Investment Management Co. ▪ Post Advisory Group ▪ Pzena Investment Management ▪ Sands Capital Management ▪ Shenkman Capital Management ▪ Standish Mellon Asset Management ▪ Stark Investments ▪ Trust Company of the West 	<ul style="list-style-type: none"> ▪ Acadian Asset Management ▪ AQR Capital Management ▪ Bluecrest Capital ▪ Bridgewater Associates ▪ Clarivest Asset Management ▪ The Clifton Group ▪ Cohen & Steers Capital Management ▪ Dodge & Cox Investment Managers ▪ DoubleLine Capital ▪ FrontPoint Partners ▪ Goldman Sachs ▪ Gramercy Advisors ▪ Grantham, Mayo, Van Otterloo ▪ King Street Capital ▪ Loomis Sayles ▪ McKinley Capital Management ▪ MetWest Asset Management ▪ Oaktree Capital Management ▪ Pacific Investment Management Co. ▪ Pzena Investment Management ▪ Ramius, LLC ▪ Standish Mellon Asset Management

Retirement Administration Agency



Mission

As an agent of the Boards of Trustees of the Employees', Police Officers, and Uniformed Retirement Systems, the mission of the Retirement Administration Agency is to administer the systems according to the terms established by the County of Fairfax and to do so in a manner that:

- ◆ Safeguards and invests the assets of the systems;
- ◆ Maximizes cost effectiveness of the retirement programs by optimizing long-term investment returns within an acceptable level of variation in required funding and by maintaining efficient administrative operations;
- ◆ Maximizes the value of retirement plans in retaining County personnel through communications, education, and counseling programs and by providing quality service;
- ◆ Fulfills the obligations of the systems to retirees by providing timely and accurate payments and by providing quality service; and
- ◆ Provides technical support and advice to County management and the Board of Supervisors regarding retirement benefits.

Retirement Administration Agency

Focus

The Retirement Administration Agency contributes to the County's corporate stewardship through sound management of County resources and assets. To accomplish its specific mission, the Retirement Administration Agency will focus on:

- ◆ Support for the Boards of Trustees;
- ◆ Services to active employees and retirees;
- ◆ Accurate accounting and control of plan assets;
- ◆ Accuracy of data;
- ◆ Cost efficiency of processes; and
- ◆ Investment return and risk control.

Under the direction of the Boards of Trustees for the Fairfax County Employees', Police Officers, and Uniformed Retirement Systems, the Retirement Administration Agency processes benefit payments to eligible Fairfax County retirees and beneficiaries. The agency also processes payments for the retiree health benefit subsidy and provides counseling and comprehensive information pertaining to benefits to active and retired County employees.

The agency receives revenues from various sources, including employee and employer contributions to the various retirement systems, employee payback, and return on investments, to finance the three employee retirement systems. Employee contributions are based on a fixed percentage of pay. For the Uniformed Retirement System, employer contributions come from two sources: Agency 89, Employee Benefits, for uniformed public safety employees in General Fund agencies and Fund 120, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Employees' Retirement System, employer contributions come from Agency 89, Employee Benefits, for County employees and from Fairfax County Public Schools (FCPS) for school employees. Employer contributions for the Police Officers Retirement System come solely from Agency 89, Employee Benefits, in the County's General Fund. Adjustments are made to the employer's contribution rate only to fund approved benefit enhancements, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls out of the 90 to 120 percent funding corridor. It should be noted that staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

Some revenues are also generated through employee payback, a process by which employees who have left the County can make a "payback" contribution and return to their previous standing in the retirement system upon their return to County employment. Additionally, significant revenues are achieved through returns on fund investments. Revenue projections are based on an assumed actuarial rate of return of 7.5 percent. An actuarial valuation is conducted annually for each of the three funds to

Retirement Administration Agency

assure the continued soundness of the retirement systems. In addition, an experience study – which compares actual experience to actuarial assumptions, both economic and demographic – is conducted once every five years to ensure that the plan is being valued appropriately. Experience studies of each System were conducted in FY 2011. The assumption changes adopted by the Boards of Trustees as a result of those studies were incorporated in the actuarial valuations for FY 2011 and their impacts are included in the employer contribution rates for FY 2013.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	25 / 25	25 / 25	25 / 25	25 / 25	25 / 25
Expenditures:					
Personnel Services	\$2,395,066	\$2,722,119	\$2,752,566	\$2,930,865	\$2,956,855
Operating Expenses	324,113,187	359,468,352	369,468,352	402,185,475	402,185,475
Capital Equipment	0	0	0	0	0
Total Expenditures	\$326,508,253	\$362,190,471	\$372,220,918	\$405,116,340	\$405,142,330

Position Summary		
<p>OFFICE OF THE DIRECTOR</p> <p>1 Executive Director</p> <p>1 Administrative Assistant IV</p> <p>RETIREMENT ADMINISTRATION</p> <p>1 Deputy Director</p> <p>2 Administrative Assistants II</p>	<p>Special Services</p> <p>1 Programmer Analyst III</p> <p>1 Programmer Analyst II</p> <p>1 Communications Specialist II</p> <p>Membership Services</p> <p>1 Management Analyst III</p> <p>1 Management Analyst II</p> <p>1 Financial Specialist II</p> <p>3 Retirement Counselors</p> <p>4 Administrative Assistants V</p>	<p>FINANCE/ACCOUNTING</p> <p>1 Financial Specialist IV</p> <p>Audit/Accounting</p> <p>1 Accountant I</p> <p>Investment Analysis</p> <p>1 Chief Investment Officer</p> <p>3 Senior Investment Managers</p> <p>1 Investment Analyst</p>
<p>TOTAL POSITIONS¹ 25 Positions / 25.0 Staff Years</p>		

¹ It should be noted that 1/1.0 SYE Accountant III resides in the Retirement Administration Agency, but is accounted for and financed by Fund 603, OPEB Trust Fund. The 25/25.0 SYE positions shown above are financed jointly by the three retirement trust funds (Fund 600, Fund 601, and Fund 602).

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$70,347**

An increase of \$70,347 in Personnel Services reflects \$44,357 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$25,990 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$39,894**

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$39,894 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

Retirement Administration Agency

- ◆ **Fringe Benefits** **\$118,745**
A net increase of \$118,745 in Personnel Services is primarily attributable to increases in employer retirement contribution rates and health insurance expenses, based on actual enrollment and premium increases.
- ◆ **Other Post-Employment Benefits** **\$5,750**
An increase of \$5,750 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the [FY 2013 Adopted Budget Plan](#).
- ◆ **Benefit Payments** **\$38,806,000**
An increase of \$38,806,000 in Operating Expenses reflects increased payments of \$38,898,000 to retirees due to a higher number of retirees and higher individual payment levels and an increase in payments to beneficiaries of \$268,000, offset by a decrease of \$360,000 in the allowance for refunds based on projected turnover of active members.
- ◆ **Investment Management Fees** **\$3,945,258**
An increase of \$3,945,258 in Operating Expenses reflects an increase in investment management fees due to anticipated gain in assets and the investment strategies adopted by the Boards of Trustees.
- ◆ **Computer Equipment** **\$47,100**
An increase of \$47,100 in Operating Expenses is due to the replacement of agency computers.
- ◆ **Actuarial Services** **\$38,449**
An increase of \$38,449 in Operating Expenses reflects an increase in the costs associated with conducting an independent actuarial audit that is required every ten years, partially offset by a decrease in costs associated with special ad-hoc actuarial analyses.
- ◆ **Computer Software** **\$21,140**
An increase of \$21,140 in Operating Expenses is due to an increase in system software expenses and maintenance costs.
- ◆ **Office Rent** **(\$65,079)**
A decrease of \$65,079 in Operating Expenses reflects the renewal of the lease for office space which resulted in a significant reduction in the rental rate.
- ◆ **Investment Consulting Services** **(\$70,815)**
A decrease of \$70,815 in Operating Expenses reflects net savings from the insourcing of investment consulting services for the Police Officers system, partially offset by expected escalation rates for the external investment consultant for the Uniformed system and an allowance for additional external investment analytical services.
- ◆ **Other Operating Expenses** **(\$4,930)**
A net decrease of \$4,930 in all other Operating Expenses reflects the net impact of several adjustments.

Retirement Administration Agency

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$30,447**
As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$30,447 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011.

- ◆ **Third Quarter Adjustments** **\$10,000,000**
As part of the FY 2012 Third Quarter Review, the Board of Supervisors approved an increase of \$10,000,000 due to higher than anticipated benefit payments based on year to date experience.

Key Performance Measures

Objectives

- ◆ To maintain at 100 percent the number of retiree benefit payments processed on time.

- ◆ To achieve at least a 7.5 percent return on investment over rolling three year periods.

- ◆ To achieve realized return on investment commensurate with the S&P 500 Index and the Barclays Capital Aggregate Bond Index.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Members: Fairfax County Employees	20,685	20,696	21,327 / 21,851	21,313	22,517
Members: Uniformed	2,926	3,040	3,043 / 3,113	3,145	3,221
Members: Police Officers	2,140	2,182	2,199 / 2,234	2,229	2,291
Return on investment: Fairfax County Employees	(\$637,156,651)	\$516,765,952	\$182,360,175 / \$618,882,709	\$205,951,893	\$231,929,513
Return on investment: Uniformed	(\$206,666,367)	\$135,620,783	\$78,443,625 / \$256,195,643	\$83,795,904	\$96,297,748
Return on investment: Police Officers	(\$148,302,988)	\$146,351,038	\$62,907,327 / \$227,929,623	\$70,433,212	\$81,643,558
Efficiency:					
Cost per member: Fairfax County Employees	\$71	\$74	\$80 / \$72	\$85	\$91
Cost per member: Uniformed	\$107	\$110	\$129 / \$112	\$131	\$136
Cost per member: Police Officers	\$152	\$155	\$183 / \$156	\$186	\$196
Investment costs as a percent of assets: Fairfax County Employees	0.47%	0.40%	0.54% / 0.42%	0.57%	0.54%
Investment costs as a percent of assets: Uniformed	0.50%	0.42%	0.56% / 0.43%	0.58%	0.57%
Investment costs as a percent of assets: Police Officers	0.42%	0.39%	0.50% / 0.37%	0.54%	0.47%

Retirement Administration Agency

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Service Quality:					
Percent of retiree checks issued within schedule time frame: Fairfax County Employees	100%	100%	100% / 100%	100%	100%
Percent of retiree checks issued within schedule time frame: Uniformed	100%	100%	100% / 100%	100%	100%
Percent of retiree checks issued within schedule time frame: Police Officers	100%	100%	100% / 100%	100%	100%
Return compared to assumed actuarial rate (7.5%): Fairfax County Employees	(23.65%)	25.21%	7.50% / 23.61%	7.50%	7.50%
Return compared to assumed actuarial rate (7.5%): Uniformed	(19.96%)	15.53%	7.50% / 24.20%	7.50%	7.50%
Return compared to assumed actuarial rate (7.5%): Police Officers	(17.41%)	20.78%	7.50% / 25.23%	7.50%	7.50%
Large cap domestic equity return compared to S&P 500 Index: S&P 500 Index	(26.21%)	14.43%	NA / 30.69%	NA	NA
Large cap domestic equity return compared to S&P 500 Index: Fairfax County Employees	(24.47%)	21.74%	NA / 32.99%	NA	NA
Large cap domestic equity return compared to S&P 500 Index: Uniformed	(30.41%)	15.74%	NA / 36.12%	NA	NA
Large cap domestic equity return compared to S&P 500 Index: Police Officers	(30.44%)	20.59%	NA / 32.71%	NA	NA
Fixed income return compared to the Barclays Capital Aggregate Bond Index: Barclays Capital Aggregate Bond Index	6.05%	9.50%	NA / 3.90%	NA	NA
Fixed income return compared to the Barclays Capital Aggregate Bond Index: Fairfax County Employees	8.96%	30.96%	NA / 22.81%	NA	NA
Fixed income return compared to the Barclays Capital Aggregate Bond Index: Uniformed	9.20%	20.83%	NA / 11.52%	NA	NA
Fixed income return compared to the Barclays Capital Aggregate Bond Index: Police Officers	11.91%	22.22%	NA / 14.23%	NA	NA

Retirement Administration Agency

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Outcome:					
Percent of retiree payments processed on time: Fairfax County Employees	100%	100%	100% / 100%	100%	100%
Percent of retiree payments processed on time: Uniformed	100%	100%	100% / 100%	100%	100%
Percent of retiree payments processed on time: Police Officers	100%	100%	100% / 100%	100%	100%
Deviation from actuarial rate of return (total plan): Fairfax County Employees	(31.2%)	17.7%	0.0% / 16.1%	0.0%	0.0%
Deviation from actuarial rate of return (total plan): Uniformed	(27.5%)	8.0%	0.0% / 16.7%	0.0%	0.0%
Deviation from actuarial rate of return (total plan): Police Officers	(24.9%)	13.3%	0.0% / 17.7%	0.0%	0.0%
Deviation from S&P 500 (large cap equities): Fairfax County Employees	1.7%	7.3%	0.0% / 2.3%	0.0%	0.0%
Deviation from S&P 500 (large cap equities): Uniformed	(4.2%)	1.3%	0.0% / 5.4%	0.0%	0.0%
Deviation from S&P 500 (large cap equities): Police Officers	(4.2%)	6.2%	0.0% / 2.0%	0.0%	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Fairfax County Employees	2.9%	21.5%	0.0% / 18.9%	0.0%	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Uniformed	3.2%	11.3%	0.0% / 7.6%	0.0%	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Police Officers	5.9%	12.7%	0.0% / 10.3%	0.0%	0.0%

Performance Measurement Results

During FY 2011, the markets continued to rebound from the global financial and economic crisis that began during FY 2009, and the retirement systems were able to generate strong investment returns. The rates of return for all three systems for FY 2011 exceeded the long-term return target of 7.5 percent by a significant amount. The Police Officers System returned 25.2 percent; the Uniformed System returned 24.2 percent; and the Employees' System returned 23.6 percent. To provide a context for these returns, it is helpful to compare them to the returns in the major capital markets. For the year ending June 30, 2011, the S&P 500 Index returned 30.7 percent and U.S. small-cap stocks returned 37.4 percent. Among non-U.S. stocks, developed markets were up 28.3 percent and emerging markets rose 28.2 percent. Investments in real assets also had positive returns. The commodity index rose 25.9 percent and real estate investment trusts increased 34.1 percent. The fixed income markets produced moderately positive results and the Barclays Capital Bond index rose by 3.9 percent.

In addition to comparing returns to general market results, they should also be considered relative to the returns achieved by other public pension plans. All three systems had very strong results relative to their peers across the country and were in the top quartile of the BNYMellon public plan universe. The Police Officers System placed in the 6th percentile, the Uniformed System placed in the 11th percentile, and the Employees' System was in the 17th percentile. The dispersion of investment results among the three systems in FY 2011 is attributable to differences in the systems' asset allocation strategies and the varying degrees to which each system's investment management firms added value.

Retirement Administration Agency

The very high investment returns achieved in FY 2011 have strengthened the financial position of the systems, and the shortfalls resulting from the impact of the global financial crisis on FY 2009 results have now been fully included in the actuarial funding ratios and contribution requirements. Employer contribution rates and funding ratios are calculated based on a number of actuarial assumptions, including an actuarially determined rate of return. The actuarial rate of return uses a smoothing methodology to phase in total recognition of a given year's returns above or below the long-term expected rate of 7.5 percent. This smoothing is done to mitigate volatility in funding requirements, recognizing the cyclical nature of capital market returns. However, this smoothing process does not include the impact of any liability gains or losses, which are determined by comparing actual experience, such as rates of retirement and death, against actuarial assumptions. Funding policy and calculations include an average compound return of 7.5 percent over the long term. Including the results through FY 2011, the actual compound annual returns achieved since 1981, the earliest date for which data is available, have been 9.6 percent for the Uniformed System, 10.3 percent for the Police Officers System and 10.4 percent for the Employees' System.

Retirement Administration Agency

FUND STATEMENT

Fund Type G60, Pension Trust Funds

Fund 600, Uniformed Retirement

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$991,072,541	\$1,048,886,820	\$1,220,411,972	\$1,285,296,711	\$1,284,296,711
Revenue:					
Employer Contributions	\$45,817,015	\$50,121,640	\$50,121,640	\$51,124,073	\$51,124,073
Employee Contributions	10,470,025	10,521,857	10,521,857	10,732,294	10,732,294
Employee Payback	51,500	100,000	100,000	100,000	100,000
Return on Investments	105,845,944	83,795,904	83,795,904	96,297,748	96,297,748
Total Realized Revenue	\$162,184,484	\$144,539,401	\$144,539,401	\$158,254,115	\$158,254,115
Unrealized Gain/(Loss) ¹	\$137,444,771	\$0	\$0	\$0	\$0
Total Revenue	\$299,629,255	\$144,539,401	\$144,539,401	\$158,254,115	\$158,254,115
Total Available	\$1,290,701,796	\$1,193,426,221	\$1,364,951,373	\$1,443,550,826	\$1,442,550,826
Expenditures:					
Administrative Expenses	\$800,232	\$1,027,095	\$1,031,662	\$1,087,527	\$1,091,426
Investment Services	4,812,892	5,800,000	5,800,000	6,803,670	6,803,670
Payments to Retirees	63,175,410	71,368,000	72,368,000	80,844,000	80,844,000
Beneficiaries	647,384	755,000	755,000	754,000	754,000
Refunds	853,906	700,000	700,000	940,000	940,000
Total Expenditures	\$70,289,824	\$79,650,095	\$80,654,662	\$90,429,197	\$90,433,096
Total Disbursements	\$70,289,824	\$79,650,095	\$80,654,662	\$90,429,197	\$90,433,096
Ending Balance ²	\$1,220,411,972	\$1,113,776,126	\$1,284,296,711	\$1,353,121,629	\$1,352,117,730

¹ Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

² The Uniformed Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Retirement Administration Agency

FUND STATEMENT

Fund Type G60, Pension Trust Funds

Fund 601, Fairfax County Employees'
Retirement

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$2,469,080,091	\$2,569,612,621	\$2,984,863,644	\$3,114,128,833	\$3,106,128,833
Revenue:					
County Employer Contributions	\$69,720,292	\$83,312,528	\$83,312,528	\$84,978,779	\$84,978,779
County Employee Contributions	22,115,936	23,087,072	23,087,072	23,548,813	23,548,813
School Employer Contributions	26,969,997	27,720,691	27,720,691	28,275,105	28,275,105
School Employee Contributions	8,551,543	9,638,152	9,638,152	9,830,915	9,830,915
Employee Payback	373,597	400,000	400,000	300,000	300,000
Return on Investments	285,435,550	205,951,893	205,951,893	231,929,513	231,929,513
Total Realized Revenue	\$413,166,915	\$350,110,336	\$350,110,336	\$378,863,125	\$378,863,125
Unrealized Gain/(Loss) ¹	\$304,728,546	\$0	\$0	\$0	\$0
Total Revenue	\$717,895,461	\$350,110,336	\$350,110,336	\$378,863,125	\$378,863,125
Total Available	\$3,186,975,552	\$2,919,722,957	\$3,334,973,980	\$3,492,991,958	\$3,484,991,958
Expenditures:					
Administrative Expenses	\$2,620,090	\$3,037,834	\$3,059,147	\$3,199,428	\$3,217,620
Investment Services	11,724,854	14,100,000	14,100,000	16,526,454	16,526,454
Payments to Retirees	179,854,080	194,504,000	202,504,000	219,849,000	219,849,000
Beneficiaries	4,028,802	4,392,000	4,392,000	4,927,000	4,927,000
Refunds	3,884,082	4,790,000	4,790,000	4,280,000	4,280,000
Total Expenditures	\$202,111,908	\$220,823,834	\$228,845,147	\$248,781,882	\$248,800,074
Total Disbursements	\$202,111,908	\$220,823,834	\$228,845,147	\$248,781,882	\$248,800,074
Ending Balance ²	\$2,984,863,644	\$2,698,899,123	\$3,106,128,833	\$3,244,210,076	\$3,236,191,884

¹ Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

² The Employees' Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Retirement Administration Agency

FUND STATEMENT

Fund Type G60, Pension Trust Funds

Fund 602, Police Retirement

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$836,033,056	\$879,532,107	\$1,035,145,961	\$1,086,005,955	\$1,085,005,955
Revenue:					
Employer Contributions	\$29,174,611	\$31,954,831	\$31,954,831	\$32,593,928	\$32,593,928
Employee Contributions	10,142,459	10,193,060	10,193,060	10,396,921	10,396,921
Employee Payback	0	0	0	0	0
Return on Investments	104,397,063	70,433,212	70,433,212	81,643,558	81,643,558
Total Realized Revenue	\$143,714,133	\$112,581,103	\$112,581,103	\$124,634,407	\$124,634,407
Unrealized Gain/(Loss) ¹	\$109,505,293	\$0	\$0	\$0	\$0
Total Revenue	\$253,219,426	\$112,581,103	\$112,581,103	\$124,634,407	\$124,634,407
Total Available	\$1,089,252,482	\$992,113,210	\$1,147,727,064	\$1,210,640,362	\$1,209,640,362
Expenditures:					
Administrative Expenses	\$722,639	\$940,542	\$945,109	\$893,127	\$897,026
Investment Services	3,488,400	4,500,000	4,500,000	5,015,134	5,015,134
Payments to Retirees	46,584,842	52,087,000	53,087,000	56,164,000	56,164,000
Beneficiaries	2,844,277	3,589,000	3,589,000	3,323,000	3,323,000
Refunds	466,363	600,000	600,000	510,000	510,000
Total Expenditures	\$54,106,521	\$61,716,542	\$62,721,109	\$65,905,261	\$65,909,160
Total Disbursements	\$54,106,521	\$61,716,542	\$62,721,109	\$65,905,261	\$65,909,160
Ending Balance ²	\$1,035,145,961	\$930,396,668	\$1,085,005,955	\$1,144,735,101	\$1,143,731,202

¹ Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

² The Police Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Fund 603

OPEB Trust Fund

Focus

Fund 603, OPEB Trust Fund, was created to capture long-term investment returns and make progress towards reducing the unfunded actuarial accrued liability under GASB 45 and funds the cost of other post-employment benefits (OPEBs) including health care, life insurance, and other non-pension benefits offered to retirees, such as the County's retiree health benefit subsidy.

GASB 45

Beginning in FY 2008, the County's financial statements were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits. This standard addresses how local governments should account for and report their costs related to post-employment health care and other non-pension benefits. Historically, the County funded these benefits on a pay-as-you-go basis. GASB 45 requires that the County accrue the cost of other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. A valuation is performed to calculate the County's actuarial accrued liability and the associated annual required contribution (ARC). The liability and ARC are calculated annually, and adjustments are made due to benefit enhancements, medical trend experience, and normal growth assumptions. It is the County's policy to maintain a net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year.

The liability includes the retiree health benefit subsidy, which is paid out to County retirees, as well as the liability associated with an "implicit" subsidy provided to retirees. As premiums for the County's self-insured health plans are set using the blended experience of active employees and retirees, retiree premiums are lower than if they were set solely using the experience of the retiree group. GASB 45 requires that the County calculate and include the liability for this implicit subsidy. The differential between actuarial assumptions related to retiree claims and premiums and actual claims experience and premiums is the primary driver behind the liability related to the implicit subsidy. When claims experience is favorable compared to premium increases and actuarial assumptions, the implicit subsidy liability is likely to decline. Conversely, if the County experiences an unanticipated spike in retiree claims expenses, the implicit subsidy liability could increase. The impact of the difference between actuarial assumptions and actual experience is magnified by the fact that, similar to pension benefits, the County must project the impact over a 30-year period. Thus, a small change in the implicit subsidy in a single year is compounded over time.

The actuarial valuation as of July 1, 2011 under GASB 45 calculated the County's actuarial accrued liability (AAL), excluding the Schools portion, at approximately \$474.2 million and the unfunded actuarial accrued liability as \$394.1 million, as shown below.

Valuation Results as of July 1, 2011	
(in thousands)	
Actuarial Accrued Liability (AAL)	\$474,229
Plan Assets	\$80,087
Unfunded Actuarial Accrued Liability	\$394,142
Annual Required Contribution (ARC)	\$37,640

Fund 603 OPEB Trust Fund

It should be noted that the July 1, 2011 AAL of \$474.2 million decreased from the July 1, 2010 AAL of \$489.2 million primarily due to actual retiree claims experience.

To begin preparing for the implementation of GASB 45, a reserve was established in Fund 506, Health Benefits Fund, as part of the *FY 2005 Carryover Review* to begin to address the County's unfunded liability. Through excess revenues received from employer contributions and additional General Fund contributions, a reserve of \$48.2 million was accumulated and transferred to the newly created Fund 603, OPEB Trust Fund, at the *FY 2007 Carryover Review*. This \$48.2 million in initial funding helped reduce the unfunded liability and fully funded the FY 2008 annual required contribution. The *FY 2009 Revised Budget Plan* included an additional \$14.9 million transfer from the GASB 45 Liability Reserve in Fund 506 which counted towards the FY 2009 ARC. Contributions towards the ARC were made through a \$9.9 million General Fund transfer in FY 2010 and a \$13.9 million General Fund transfer in FY 2011. Additionally, in recognition of the fact that the OPEB liability is calculated based on all County positions and not only those funded by the General Fund, beginning in FY 2011, funds not supported by General Fund dollars began making contributions.

The initial funding in FY 2008 helped to establish a net OPEB asset which was carried forward each year and helped to offset ARC requirements. In recognition that the net OPEB asset has been depleted and is no longer available to offset the annual required contribution, the *FY 2012 Revised Budget Plan* increased the General Fund transfer to \$27.7 million. The FY 2013 Adopted Budget increases the General Fund transfer to \$28.0 million which, combined with contributions from other funds of \$4.2 million and the implicit subsidy, is projected to fully fund the FY 2013 ARC. Building adequate funding in the baseline budget is an important step in ensuring that the County can fully fund the ARC each year and meet its OPEB obligations.

Primarily due to a lower than anticipated implicit subsidy contribution, which was calculated by the County's actuaries after the close of the fiscal year, the County had a net OPEB obligation of \$1.8 million at the end of FY 2011. Based on preliminary estimates of the implicit subsidy contribution, it is projected that current funding levels will fully fund the FY 2011 net OPEB obligation and the FY 2012 ARC, resulting in no net OPEB obligation for FY 2012 as displayed in the chart below.

Net OPEB Asset		
(in thousands)		
	FY 2011	FY 2012
	Actual	Estimate
Annual Required Contribution (ARC)	\$35,373	\$37,640
<i>Adjustments to ARC</i>	(\$184)	\$60
Annual OPEB Cost (AOC)	\$35,189	\$37,700
Resources to Apply toward the ARC:		
<i>Transfer from the General Fund</i>	\$13,900	\$27,737
<i>Contributions from Other Funds</i>	\$2,882	\$3,960
<i>Implicit Subsidy Contribution</i>	\$7,104	\$7,800
Carryover of Prior Year Asset/(Obligation)	\$9,506	(\$1,797)
Net OPEB Asset/(Obligation)	(\$1,797)	\$0

Fund 603 OPEB Trust Fund

After exploring numerous alternatives as to how to prudently invest and accumulate resources for OPEB, County staff recommended, and the Board of Supervisors approved on February 25, 2008, County participation in the Virginia Pooled OPEB Trust Fund in cooperation with the Virginia Municipal League (VML)/Virginia Association of Counties (VACo) Finance Program and other jurisdictions in the Commonwealth of Virginia. The County is represented on the Board of Trustees for the pooled trust and actively participates in decision-making to prudently invest accumulated resources for OPEB. It should be noted that the Virginia Pooled OPEB Trust Fund is used for investment purposes only; funds accumulated for OPEB are still accounted for in Fund 603.

Retiree Health Benefit Subsidy

The County provides monthly subsidy payments to eligible retirees to help pay for health insurance. Prior to July 2003, the monthly subsidy was \$100 for all eligible retirees. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service as detailed in the following table. It should be noted that for those retired prior to July 2003, the monthly subsidy is the greater of \$100 and the amounts below. There is not a reduction in subsidy payments for employees who retired prior to July 1, 2003 but do not meet the revised requirements for years of service. However, those employees who retired prior to July 1, 2003 with 15 or more years of service were eligible for the increased subsidy as of July 1, 2003. The retiree health benefit subsidy is provided to retirees on a discretionary basis, and the Board of Supervisors reserves the right to reduce or eliminate the benefit in the future if the cost of the subsidy becomes prohibitive or an alternative is chosen to aid retirees in meeting their health insurance needs.

Retiree Health Benefit Subsidy	
Years of Service at Retirement	Monthly Subsidy
5 to 9	\$30
10 to 14	\$65
15 to 19	\$155
20 to 24	\$190
25 or more	\$220

The current subsidy structure became effective January 1, 2006 and includes a 25 percent increase approved by the Board of Supervisors in response to the implementation of the Medicare Part D prescription drug benefit. This increase qualified the County's self-insured health insurance plan to be deemed as actuarially equivalent to the Medicare Part D program. Employers who offer an actuarially equivalent program are eligible to receive a subsidy from the Centers for Medicare and Medicaid Services (CMS) based on retiree enrollment in their plans. The County receives the CMS subsidy on retirees and spouses enrolled in the County's self-insured health plan who do not enroll in Medicare Part D. The federal funding from CMS completely offsets the cost of the 25 percent increase to the retiree subsidy. In addition to the increase, the subsidy structure was changed so that retirees no longer receive a reduced subsidy upon reaching the age of Medicare eligibility.

Primarily as a result of the March 2010 passage of comprehensive health care reform legislation, the health care environment is in the midst of significant changes. Staff is continuing to examine the impact of reform on the County's current benefit options and will be developing a long-term strategy to provide cost-effective and comprehensive health care coverage to retirees.

Fund 603 OPEB Trust Fund

During FY 2013, the average number of subsidy recipients, including new retirees who are eligible to receive the retiree health benefit subsidy, is expected to increase by 205, or 6.8 percent, from 3,033 in FY 2012 to 3,238 in FY 2013. Estimates of the average number of subsidy recipients are based on a review of the projected number of retirements and health subsidy eligibility for personnel already retired from the Fairfax County Employees', Uniformed, and Police Officers Retirement Systems. Retirees who become eligible to receive the subsidy are paid based on the period of eligibility within the fiscal year, which may or may not comprise a full year of payments. It should be noted that in FY 2006, the Board of Supervisors approved an additional benefit to Health Department employees who remained in the Virginia Retirement System (VRS) after their conversion from state to County employment in 1995. Current and future retirees who participate in a County health plan are eligible to receive the differential between the County retiree health benefit subsidy for which the employee is eligible based on years of service, which currently has a maximum of \$220 per month, and that provided by VRS, which has a maximum of \$120 per month. Furthermore, effective July 1, 2006, the County began providing the maximum retiree health benefit subsidy of \$220 per month to those Police officers who were hired before July 1, 1981 and retired or will retire with full retirement benefits with 20, but less than 25, years of service. These Police officers previously received a subsidy of \$190 per month.

Initiatives

- ◆ Provide an appropriate funding level to support the retiree health benefit subsidy and make progress towards reducing the County's unfunded OPEB liability.
- ◆ Continue to allow for the timely and accurate distribution of retiree health benefit subsidy payments.
- ◆ Estimate actuarial liabilities to comply with GASB's accounting requirements for post-employment benefits other than pensions.
- ◆ Invest fund assets appropriately in order to facilitate the capture of long-term investment returns.
- ◆ Continue to develop, considering the impacts of health care reform, a long-term County strategy to provide retiree medical benefits.

Budget and Staff Resources

Agency Summary ¹					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	1 / 1	1 / 1	1 / 1	1 / 1	1 / 1
Total Expenditures	\$13,923,151	\$7,144,556	\$16,544,085	\$7,625,991	\$7,627,316

Position Summary ¹
1 Accountant III
TOTAL POSITIONS
1 Position / 1.0 Staff Year

¹ It should be noted that the 1/1.0 SYE Accountant III position resides in the Retirement Administration Agency and is financed by Fund 603, OPEB Trust Fund.

Fund 603

OPEB Trust Fund

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$3,554**
An increase of \$3,554 in Personnel Services reflects \$2,229 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$1,325 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$2,004**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$2,004 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Benefit Payments** **\$457,202**
An increase of \$457,202 is attributable to a projected increase in the number of retirees receiving the retiree health benefits subsidy.

- ◆ **Administrative Expenses** **\$20,000**
An increase of \$20,000 in Operating Expenses is primarily associated with an anticipated increase in investment services fees.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$1,529**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$1,529 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011.

- ◆ **Third Quarter Adjustments** **\$9,398,000**
As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors approved an increase of \$9,398,000, primarily to appropriately reflect the County's contribution and benefit payments for the implicit subsidy for retirees. An increase of \$9,248,000 to both revenues and expenditures is required to offset anticipated audit adjustments that are posted to the fund at the end of the fiscal year to reflect all activities under GASB 45. The remaining increase of \$150,000 is due to increases in required benefit payments based on year to date experience.

Fund 603 OPEB Trust Fund

FUND STATEMENT

Fund Type G60, Trust Funds

Fund 603, OPEB Trust Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$62,653,494	\$73,987,841	\$87,050,168	\$105,103,645	\$112,690,645
Revenue:					
CMS Medicare Part D Subsidy	\$1,322,067	\$1,200,000	\$1,200,000	\$1,300,000	\$1,300,000
Investment Income	31,045	40,000	40,000	60,000	60,000
Implicit Subsidy	7,104,000	0	9,248,000	0	0
Other Funds Contributions	2,882,138	3,959,562	3,959,562	4,183,233	4,183,233
Total Realized Revenue	\$11,339,250	\$5,199,562	\$14,447,562	\$5,543,233	\$5,543,233
Unrealized Gain/(Loss)	\$13,080,575	\$0	\$0	\$0	\$0
Total Revenue	\$24,419,825	\$5,199,562	\$14,447,562	\$5,543,233	\$5,543,233
Transfers In:					
General Fund (001)	\$13,900,000	\$20,000,000	\$27,737,000	\$28,000,000	\$28,000,000
Total Transfers In	\$13,900,000	\$20,000,000	\$27,737,000	\$28,000,000	\$28,000,000
Total Available	\$100,973,319	\$99,187,403	\$129,234,730	\$138,646,878	\$146,233,878
Expenditures:					
Benefits Paid	\$6,611,036	\$6,969,315	\$7,119,315	\$7,426,517	\$7,426,517
Implicit Subsidy	7,104,000	0	9,248,000	0	0
Administrative	208,115	175,241	176,770	199,474	200,799
Total Expenditures	\$13,923,151	\$7,144,556	\$16,544,085	\$7,625,991	\$7,627,316
Total Disbursements	\$13,923,151	\$7,144,556	\$16,544,085	\$7,625,991	\$7,627,316
Reserved Ending Balance¹	\$87,050,168	\$92,042,847	\$112,690,645	\$131,020,887	\$138,606,562

¹ The Reserved Ending Balance in Fund 603, OPEB Trust Fund, represents the amount of assets held in reserve by the County to offset the estimated Unfunded Actuarial Accrued Liability for other post-employment benefits. The balance is anticipated to grow each year as a result of contributions and investment returns. The \$138.6 million reserve in FY 2013 is applied toward the liability of \$474.2 million calculated as of July 1, 2011.

Fund 691

Educational Employees' Supplementary Retirement

Focus

The Educational Employees' Supplementary Retirement Fund is a qualified retirement plan under section 401(a) of the Internal Revenue Code and is required to operate under specific provisions of the Code and in conformance with general trust law. Responsibility for general administration and operation of the fund is vested in a Board of Trustees. FY 2013 expenditures are estimated at \$190.6 million.

Fund 691

Educational Employees' Supplementary Retirement

FUND STATEMENT

Fund Type G60, Trust and Agency Funds

Fund 691, Educational Employees'
Supplementary Retirement

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance	\$1,607,663,423	\$1,730,695,809	\$1,886,988,080	\$2,028,577,921	\$2,028,577,921
Receipts:					
Contributions	\$94,285,240	\$103,851,702	\$103,851,702	\$108,161,811	\$108,161,811
Investment Income	350,657,510	212,881,558	218,012,750	234,903,388	234,903,388
Total Revenue	\$444,942,750	\$316,733,260	\$321,864,452	\$343,065,199	\$343,065,199
Total Available	\$2,052,606,173	\$2,047,429,069	\$2,208,852,532	\$2,371,643,120	\$2,371,643,120
Total Expenditures	\$165,618,093	\$179,749,264	\$180,274,611	\$190,645,039	\$190,645,039
Total Disbursements	\$165,618,093	\$179,749,264	\$180,274,611	\$190,645,039	\$190,645,039
Ending Balance	\$1,886,988,080	\$1,867,679,805	\$2,028,577,921	\$2,180,998,081	\$2,180,998,081

¹The *FY 2012 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their *FY 2012 Third Quarter Review*.

²Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the *FY 2012 Carryover Review*.

Fund 692

Public School OPEB Trust Fund

Focus

Fund 692, Public School Other Post-Employment Benefits (OPEB) Trust Fund, was established by the School Board in FY 2008 as a mechanism to accumulate and invest assets to fund the Fairfax County Public School (FCPS) system's other post-employment benefits.

In July 2004, the Governmental Accounting Standards Board (GASB) issued Statement No. 45, "Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions." This standard addresses how the school system should account for and report its costs related to post-employment health care and other non-pension benefits, such as the program subsidizing the cost of health benefit coverage and premiums for eligible retirees and their surviving spouses.

Program participants may continue medical coverage by paying the appropriate subsidized premiums. Subsidies range from \$15 to \$175 per month (explicit subsidy), based on years of service and the retirement plan under which the retiree is covered. In addition, FCPS subsidizes the premium rates paid by the retirees by allowing them to participate in the medical plans at the reduced or blended group premium rates for both active and retired employees (implicit subsidy). These rates provide an implicit subsidy for retirees because, on an actuarial basis, their current and future claims are expected to result in higher costs to the program on average than those of active employees. GASB 45 requires that FCPS calculate and include the liability for this implicit subsidy.

An actuarial valuation is performed to determine the actuarial accrued liability and the corresponding Annual Required Contribution (ARC) based on the 30-year amortization of this liability and an additional amount necessary to pre-fund benefits accrued by active employees during the current year. Funding contributions towards the ARC are determined by the School Board. The FY 2013 projected ARC is \$38.9 million, as determined by the most recent actuarial valuation. FCPS will contribute a total of \$47.3 million in FY 2013 as part of a proposed multiyear plan to fund OPEB.

Fund 692

Public School OPEB Trust Fund

FUND STATEMENT

Fund Type G60, Trust Funds

Fund 692, Public School OPEB Trust Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan ¹	FY 2013 Superintendent's Proposed	FY 2013 Adopted Budget Plan ²
Beginning Balance	\$19,562,623	\$37,002,623	\$40,051,085	\$54,530,585	\$54,530,585
Revenue:					
Employer Contributions	\$45,492,399	\$36,789,000	\$43,784,000	\$47,255,000	\$47,255,000
Net Investment Income	5,523,126	2,500,000	4,500,000	5,000,000	5,000,000
Total Revenue	\$51,015,525	\$39,289,000	\$48,284,000	\$52,255,000	\$52,255,000
Total Available	\$70,578,148	\$76,291,623	\$88,335,085	\$106,785,585	\$106,785,585
Total Expenditures	\$30,527,063	\$32,552,500	\$33,804,500	\$37,335,500	\$37,335,500
Total Disbursements	\$30,527,063	\$32,552,500	\$33,804,500	\$37,335,500	\$37,335,500
Reserved Ending Balance	\$40,051,085	\$43,739,123	\$54,530,585	\$69,450,085	\$69,450,085

¹The *FY 2012 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on March 8, 2012 during their *FY 2012 Third Quarter Review*.

²Fairfax County School Board action on the FY 2013 budget was taken on May 24, 2012 and will be included for approval by the Board of Supervisors as part of the *FY 2012 Carryover Review*.

Fund 700

Route 28 Tax District

Focus

Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District (District) on December 21, 1987. Under Virginia law such a district may be formed only upon the joint petition of owners of at least 51 percent of the land area in each county which is within the boundaries of the proposed district and which has been zoned or is used for commercial or industrial purposes. The District was formed to provide improvements to State Route 28 which connects State Route 7 in eastern Loudoun County to U.S. Route 50 and Interstate 66 in western Fairfax County, running approximately parallel to the County's western border. State Route 28 provides access to Dulles International Airport, along with the Dulles Access Road, which connects the Capital Beltway to Dulles International Airport. This District was formed upon landowner petition to accelerate planned highway improvements proposed by the state which relied primarily on slower pay-as-you-go financing from the Northern Virginia region's share of the State Primary Road Fund allocation. Under the terms of the agreement with the state, the District will fund 75 percent of defined Phase I and Phase II improvements and the state will fund 25 percent.

The District, administered by a Commission appointed by the Board of Supervisors of both counties, may subject the owners of industrial and commercial property within the District to a maximum additional tax assessment of 20 cents per \$100 of assessed value. The FY 2013 assessment is 18 cents per \$100 of assessed value. These funds, in addition to funds received through the State Primary Road Fund allocation formula, are to be used for the road improvements and debt service on bonds issued by the state. Improvements completed for Phase I of the Route 28 project included widening the existing road from two to six lanes and upgrading three major intersections. Legislation authorizing the issuance of Commonwealth Transportation Board (CTB) revenue bonds up to \$160.7 million plus issuance expenses to finance the Phase I improvements to Route 28 was enacted during the 1988 Virginia General Assembly and became effective July 1, 1988. This legislation stipulates that the additional tax assessment in the District and funds allocated to the highway construction district, in which Route 28 lies, would reimburse the state for its debt service payments on its bonds. The Commonwealth issued \$138.5 million in revenue bonds for the District in September 1988.

Fairfax County and Loudoun County entered into a contract with the District and agreed to levy an additional tax assessment, as requested by the District, collect the tax, and pay all tax revenues to a Fiscal Agent for distribution. The contract specifies that the counties shall pay all revenues collected on behalf of the District to Trustees jointly designated by the CTB and the counties, and the District in turn shall notify the County of the required payment and request a rate sufficient to collect that amount, up to a maximum of 20 cents per \$100 of assessed value. The rate is set at \$0.18 cents per \$100 dollars of assessed value. In FY 2013, an amount of \$10,578,633 has been included for Fairfax County collections based on estimated tax collections and an allowance for potential property buy outs, late payments, and penalties.

In August 2002 Fairfax County, Loudoun County, the Commonwealth Transportation Board and the Fairfax County Economic Development Authority (EDA) entered into contractual agreements to provide for construction of a portion of additional improvements on Route 28 (Phase II improvements). Specifically, six separated grade interchanges would be constructed to ease traffic congestion. Funding totaling \$201.7 million was made available from a joint financing plan providing \$75.4 million from CTB funds allocated from the state six-year primary and secondary road plan, approximately \$36.3 million of remaining CTB Route 28 bond authorization, and approximately \$90.0 million of bond funds issued by the EDA in 2003 and 2004 and supported by the two counties. Construction of the first six interchanges was completed in FY 2008.

Fund 700 Route 28 Tax District

In October 2006, the CTB, the counties and the Fairfax County EDA approved the financing plan for the construction of the final four interchanges at Willard Road, Frying Pan Park Road, CIT/Innovation Drive and Nokes Boulevard. The plan included acceptance of a \$5.0 million grant and a \$20.0 million loan from the State Transportation Partnership Opportunity Fund (TPOF); issuance of Route 28 District revenue bonds; and use of surplus District tax revenues to fund the \$119.2 million project estimate. Fairfax County EDA Revenue bonds were planned to be issued in two series. A total of \$41.505 million were issued on February 27, 2007 and \$51.505 million were issued on July 9, 2008. It should be noted that on July 24, 2007, the CTB notified the District Commission that an additional \$23,936,772 was approved in the CTB's FY 2008-2013 Six Year Improvement Plan as payment toward the State Obligation under the District Contract. Therefore, this additional funding fully replaced the \$20,000,000 originally planned for the TPOF loan.

All bond issues will be fully supported by District tax revenue. In order to maximize revenues available for new debt service, the CTB refunded its outstanding 1992 bonds in October 2002. At the same time the CTB issued \$36.4 million of new bonds for construction, representing the balance of bond authorization remaining from the 1988 acts of the General Assembly. The Fairfax County EDA issued Transportation Contract Revenue bonds in the amount of \$33.375 million in October 2003 and issued \$57.4 million in August 2004 as well as \$41.505 million on February 27, 2007 and \$51.505 million on July 9, 2008. In the event that District revenues are not sufficient to make debt service payments, the state bonds are backed by the appropriated state allocations to the Northern Virginia Transportation District. The EDA bonds are supported by a Revenue Stabilization Fund (RSF) that is equal to the maximum annual EDA debt service and is created from surplus revenue collections. As a further credit enhancement for the proposed EDA bonds, both Fairfax and Loudoun Counties have pledged a joint moral obligation in the event that tax revenues and the RSF are not sufficient to support EDA debt service. It should be noted that due to the strong financial status of the fund, the Route 28 District Advisory Board recommended on March 18, 2009 a two cent decrease in the tax rate from \$0.20 to \$0.18 per \$100 of assessed value. This tax rate decrease was subsequently adopted by the Board of Supervisors on April 27, 2009.

In March 2011, the Route 28 District Advisory Board recommended to approve \$6 million in Project Completion Funds for final design plans for four priority sections of Route 28 widening from six to eight lanes. These design areas include the following: Priority 1 – Route 28 southbound between Sterling Boulevard and the Dulles Toll Road; Priority 2 – the Route 28 southbound bridge over the Dulles Toll Road; Priority 3 – Route 28 northbound between McLearn Road and the Dulles Toll Road; and Priority 4 – Route 28 southbound between the Dulles Toll Road and Route 50.

Favorable market conditions in the spring of 2012 allowed for a refunding opportunity of outstanding district debt obligations. The District Commission approved a resolution to proceed with refunding the Series 2003 and Series 2004 EDA revenue bonds at their March 2012 annual meeting. Concurrent with the EDA refunding, the CTB agreed to a refunding of the Transportation Contract Revenue Refunding Bonds Series 2002 from its original Capital Appreciation Bonds (CABs) to Current Interest Bonds (CIBs). On May 9, 2012, two separate competitive bond sales occurred that resulted in combined savings of \$22.48 million.

Stakeholder discussions will follow to determine the feasibility of positioning the District to support potential new money issues necessary to begin the final phase of the previously cited four priority sections of widening projects. There is no change in the tax rate of \$0.18 per \$100 of assessed value for FY 2013. The following chart depicts the current financing structure:

Fund 700 Route 28 Tax District

Current Bonds

Bond Year (April 1)	District Revenues ¹	Series 2002 CTB Debt Service ²	Series 2003 2004 2007A & 2008 EDA Debt Service ³	Total Debt Service	Excess Revenues	Cumulative Excess Revenues ⁴
Balance Fwd						\$6,408,259
2003	\$5,836,398	\$4,656,294	\$0	\$4,656,294	\$1,180,104	7,588,363
2004	12,679,429	7,523,176	3,127,943	10,651,119	2,028,310	9,616,673
2005	13,367,270	7,531,145	3,676,138	11,207,283	2,159,987	11,776,660
2006	14,486,968	7,528,145	4,169,446	11,697,591	2,789,377	13,066,037
2007	20,912,782	7,529,845	4,169,445	11,699,290	9,213,492	22,279,529
2008	24,706,517	7,524,883	6,034,672	13,559,555	11,146,962	33,426,491
2009	24,836,571	7,530,712	7,582,839	15,113,551	9,723,020	43,149,511
2010	21,578,600	7,528,150	8,679,995	16,208,145	5,370,455	38,104,608 ⁵
2011	17,189,628	7,528,835	9,299,115	16,827,950	361,678	38,466,286
2012	17,361,498	7,529,625	9,752,250	17,281,875	79,623	38,545,909
2013	18,346,647	7,530,300	9,988,263	17,518,563	828,084	39,373,993
2014		7,528,050	10,530,813	18,058,863		
2015		7,531,800	11,291,025	18,822,825		
2016		7,530,550	11,765,485	19,296,035		
2017		7,528,800	11,767,235	19,296,035		
2018		7,525,800	11,771,675	19,297,475		
2019		8,100,000	11,200,688	19,300,688		
2020		8,100,000	11,197,350	19,297,350		
2021		8,105,000	11,192,438	19,297,438		
2022		8,105,000	11,193,663	19,298,663		
2023		8,105,000	11,193,950	19,298,950		
2024		8,105,000	11,191,975	19,296,975		
2025		8,105,000	11,195,588	19,300,588		
2026		8,105,000	11,192,388	19,297,388		
2027		8,105,000	11,190,888	19,295,888		
2028		8,105,000	11,191,513	19,296,513		
2029		8,105,000	11,193,488	19,298,488		
2030		8,105,000	11,191,838	19,296,838		
2031		8,105,000	11,195,288	19,300,288		
2032		8,105,000	11,192,888	19,297,888		
2033			19,299,088	19,299,088		
2034			19,298,213	19,298,213		
2035			19,298,325	19,298,325		
2036			19,298,038	19,298,038		
2037			19,295,813	19,295,813		
Total	N/A	\$231,046,110	\$376,809,759	\$607,855,869	N/A	N/A

¹ FY 2003 represents partial year tax revenue and interest collections from October 1, 2002 to April 1, 2003. Tax district revenues represent all revenue collected from April 2 through April 1, respectively. FY 2003 through FY 2011 amounts are actual figures. FY 2012 and FY 2013 are estimates of combined Fairfax and Loudoun collections plus estimated interest earnings on revenue, debt service reserve and revenue stabilization fund accounts. Actual revenues also may include district buy-out proceeds.

Fund 700

Route 28 Tax District

- ² CTB Revenue Refunding and Revenue Bond Debt Service issue of September 26, 2002.
- ³ Based on completion of EDA bond issues in 2003, 2004, 2007 and 2008 for an aggregate amount of \$183,795,000. Sale of the Series 2003 bonds in the amount of \$33,375,000 was completed on October 29, 2003. Sale of the Series 2004 bonds in the amount of \$57,410,000 was completed on August 19, 2004. Sale of the Series 2007A Bonds in the amount of \$41,505,000 was completed on February 27, 2007. Sale of the Series 2008 Bonds in the amount of \$51,505,000 was completed on July 9, 2008.
- ⁴ Balance Forward represents funds on account with CTB and transferred to the Fiscal Agent upon refunding the 1992 bonds and new money bonds issued October 2002. An amount of \$19.30 million is reserved to fund the Revenue Stabilization Fund (RSF). Excess revenues available after achieving full RSF funding are held with the Fiscal Agent and may be used to fund deficiencies in the Debt Service Fund, additional Phase II improvements or reduce the tax rate in accordance with the District Contract. The tax rate may not be reduced until the District has recorded at least two successive years of excess revenues.
- ⁵ The balance is adjusted to reflect decreases associated with a transfer out of \$10,383,996 to the Virginia Department of Transportation for design work related to spot road widening improvements and audit expenses of \$31,362.

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Fiscal Agent Payments** **\$813,227**
An increase of \$813,227 or 8.3 percent over the FY 2012 Adopted Budget Plan amount of \$9,765,406 for estimated payments to the fiscal agent is projected primarily due to assessed value adjustments anticipated for FY 2013 which include tax estimates of \$9,578,633 based on projections for the January 1, 2012 assessments and an allowance for one-time buy-outs and late payments of \$1,000,000.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$35,458**
As part of the FY 2011 Carryover Review, the Board of Supervisors approved appropriation of \$35,458 remaining in the fund balance. All taxes collected, as well as tax district buy-out funds, are remitted to the fiscal agent on a monthly basis as collected.

Fund 700 Route 28 Tax District

FUND STATEMENT

Fund Type G70, Agency Funds

Fund 700, Route 28 Tax District

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$303	\$0	\$35,458	\$0	\$0
Revenue:					
Real Estate Taxes-Current ¹	\$8,396,068	\$8,765,406	\$8,765,406	\$9,578,633	\$9,578,633
Revenue from Buy Outs	0	1,000,000	1,000,000	1,000,000	1,000,000
Interest on Investments	2,485	0	0	0	0
Total Revenue	\$8,398,553	\$9,765,406	\$9,765,406	\$10,578,633	\$10,578,633
Total Available	\$8,398,856	\$9,765,406	\$9,800,864	\$10,578,633	\$10,578,633
Expenditures:					
Payments to the Fiscal Agent	\$8,363,398	\$9,765,406	\$9,800,864	\$10,578,633	\$10,578,633
Total Expenditures	\$8,363,398	\$9,765,406	\$9,800,864	\$10,578,633	\$10,578,633
Total Disbursements	\$8,363,398	\$9,765,406	\$9,800,864	\$10,578,633	\$10,578,633
Ending Balance²	\$35,458	\$0	\$0	\$0	\$0
Tax rate per \$100 Assessed Value	\$0.18	\$0.18	\$0.18	\$0.18	\$0.18

¹ Estimate to provide for sufficient appropriation includes projected tax collections based on assessments, and allowances for late payments, penalties and permitted property buy-outs. All monies collected are required to be remitted to the Fiscal Agent monthly as collected.

² As all monies collected are required to be remitted to the Fiscal Agent monthly as collected the ending balance should be zero unless as of the closing period there were pending remittances to the Fiscal Agent.

Fund 716

Mosaic District Community Development Authority

Focus

The purpose of this fund is to provide the necessary accounting structure for revenue collections and anticipated bond proceeds from the sale of Mosaic District Community Development Authority (CDA) bonds for this project. The District was created in order to provide a vehicle for financing certain public improvements that are needed to develop the District in accordance with existing zoning. The County agreed to create the District for that purpose in order to promote economic development generally and development of an especially desirable nature (i.e., mixed-use urban) in particular. The public improvements to be financed through the District include all or a portion of the following infrastructure, facilities, and services: sanitary sewers mains and lines; water mains and lines, pump stations, and water storage facilities; storm sewer mains and lines; landscaping and related site improvements; parking facilities; sidewalks and walkway paths; storm water management and retention systems; lighting; street and directional signage; wetlands mitigation; roads, curbs, and gutters; public park and plaza facilities; open space areas; public school improvements; and any and all facilities and services appurtenant to the above including the acquisition of land.

On July 21, 2008, the Board of Supervisors adopted 16 Principles for Public Investment in Support of Commercial Redevelopment ("Principles") in order to provide policy guidance related to requests for public investment in designated redevelopment, revitalization and other strategic areas of the County and endorsed a process whereby such requests would be evaluated.

The County has various funding methods available that can be used to assist commercial investment. One mechanism by which public investment may be requested is through the establishment of a CDA, which can be established to provide a broad range of public infrastructure and services. A CDA is established by petition to the Board from a majority (51 percent) of land owners within a proposed area, and is governed by appointees of the Board of Supervisors. The 51percent can be based on either land area or assessed value. A CDA is a flexible tool that can be funded by ad valorem special taxes or special assessments, as negotiated with petitioners. It typically covers a relatively small area, such as a single shopping mall, a downtown redevelopment area, a mixed use development, and typically involves a single or small group of owners. No general fund or debt impact is intended, unless the CDA is coupled with tax increment financing.

Pursuant to Article 6 of Title 15.2 of the Code of Virginia, prior to accepting any petitions for the creation of a CDA, the Board must act to assume the power to consider such request. The Board held a public hearing on September 8, 2008, after which the Board adopted an ordinance by which the County assumed the power to consider petitions for the establishment of CDAs.

On October 15, 2007, the Board of Supervisors approved a rezoning of properties subsequently included in the District in RZ 2005-PR-041, a request by the private developer to rezone 31.31 acres of land to the Planned Development Commercial (PDC) and Planned Residential Mix (PRM) Districts in order to develop the portion of Merrifield designated as the town center in the Comprehensive Plan. More specifically, the site is located south of Lee Highway/Rt. 29, west of Yates Way, east of Eskridge Road and north of the Luther Jackson Middle School. The project was approved for approximately 1,000 dwelling units, a multi-plex theatre, 125,000 square feet of office space, 500,000 square feet of other non-residential uses and a 150 room hotel. Among the public improvements are two parks, the realignment and widening of Eskridge Road, the widening of Lee Highway, improvements to the Lee Highway/Gallows Road intersection and construction of a grid of streets. Virtually all parking will be provided in structures. Two Proffered Conditions Amendments have subsequently been approved which modified certain uses and layout of the site.

Fund 716

Mosaic District Community Development Authority

The Board of Supervisors adopted an Ordinance that established the Mosaic District (CDA) on April 27, 2009 on the land that is encompassed by RZ 2005-PR-041. The Ordinance establishing the Mosaic District CDA was amended on April 27, 2010, and again on April 26, 2011. The last amendment included the imposition of a special assessment to be levied on the properties within the District. On April 26, 2011, the Board also approved the bond resolution and amendments to the Board's by-laws, and endorsed the special assessment report that provided the basis for the allocation of the special assessment among the various parcels within the District.

County staff and the County's financial and bond consultants negotiated terms and conditions for the Memorandum of Understanding (MOU) among the County, the CDA and the developer. In summary, the MOU proposes to:

- ◆ Fund a \$30.0 million dollar portion of the public facilities to be constructed on the site through a 30 year bond to be issued by the District whose debt service will be paid by a self-assessment.

- ◆ Fund a \$42.0 million dollar portion of the public facilities to be constructed on the site (road improvements, parks, and a small portion of the parking garage) through a 22 year bond also issued through the District whose debt service will be paid through incremental real estate tax revenues. Liability for the debt service will be secured by the District, not the County.

In June, 2011, the CDA issued \$46,980,000 Revenue Bonds, Series 2011A, and the CDA issued in July, 2011 an additional \$18,670,000 Revenue Bonds, Taxable Series 2011A-T. Proceeds from the CDA Bonds are to be used to finance certain public infrastructure improvements within the Mosaic District to support a mixed-use development to be constructed within the Mosaic District. The CDA Bonds are payable primarily from certain incremental real estate tax revenues collected by the County in the District and certain special assessments imposed and collected by the County within the District. The payment of incremental real estate tax revenues and special assessments, as applicable, by the County to the CDA for debt service payments on the CDA Bonds are subject to appropriation by the County.

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Fiscal Agent Payments** **\$1,492,499**
Funding of \$1,492,499 represents estimated payments to the fiscal agent projected from assessed value adjustments anticipated for FY 2013 from January 1, 2012 assessments. There were no funds budgeted as part of the FY 2012 Adopted Budget Plan.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no adjustments to this fund since approval of the FY 2012 Adopted Budget Plan.

Fund 716

Mosaic District Community Development Authority

FUND STATEMENT

Fund Type G70, Agency Funds

Fund 716, Mosaic District Community
Development Authority

	FY 2011 Actual ¹	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan ¹
Beginning Balance	\$0	\$6,500,000	\$0	\$0	\$0
Revenue:					
TIF Revenue - Series A	\$0	\$0	\$0	\$1,492,499	1,492,499
Total Revenue	\$0	\$0	\$0	\$1,492,499	\$1,492,499
Total Available	\$0	\$6,500,000	\$0	\$1,492,499	\$1,492,499
Expenditures:					
TIF Revenue - Series A to Trustee	\$0	\$0	\$0	\$1,492,499	\$1,492,499
Total Expenditures	\$0	\$0	\$0	\$1,492,499	\$1,492,499
Total Disbursements	\$0	\$0	\$0	\$1,492,499	\$1,492,499
Ending Balance	\$0	\$6,500,000	\$0	\$0	\$0

¹ During the *FY 2011 Carryover Review*, a full review and classification of this fund was conducted by the Department of Finance, who determined that the fund statement should only reflect Tax Increment Financing (TIF) revenue and Special Assessment taxes collected and distributed to the trustee. As a result, the January 2012 assessments are projected to generate \$1.49 million in TIF revenues that will be distributed to the trustee. The Community Development Authority, while related to the County, is a legally separate Authority and is not considered a component unit of the County. Proceeds from the bond sale in June 2011 totaling \$64,801,855 were received directly by the trustee for the Community Development Authority, therefore, the activity is not reflected in the County statements.

Housing and Community Development Program Overview



Introduction

The Housing Overview section describes the programs and projects operated by the Fairfax County Department of Housing and Community Development (HCD) and the multiple sources of funds that support these activities.

As a County agency, HCD undertakes many programs on behalf of the Board of Supervisors. HCD also serves as the administrative arm of the Fairfax County Redevelopment and Housing Authority (FCRHA), a separate legal entity that was established in 1966 pursuant to Chapter 1, Title 36 of the Code of Virginia. FCRHA's roles include planning, design, production, rehabilitation and maintenance of housing, for low- and moderate-income households, and assisting in the revitalization of neighborhoods in Fairfax County. Eleven Commissioners are appointed to the FCRHA for four-year terms by the Board of Supervisors. A chairman and vice-chairman are then selected by a vote of the commissioners.

Housing Blueprint

In January 2010, the Board of Supervisors endorsed a new affordable housing policy, known as the "Housing Blueprint", which focuses on providing housing for those with the greatest need, including homeless families and individuals, persons with disabilities, and households with extremely low incomes. The Blueprint also emphasizes partnering with the County's non-profit community to provide creative affordable housing solutions, refocusing of existing resources, and fostering the development of workforce housing through land use policies and public/private partnerships. The Blueprint has four goals:

- ◆ To end homelessness in 10 years;
- ◆ To provide affordable housing options to those with special needs;
- ◆ To streamline and reduce the waiting lists for affordable housing by half in 10 years; and
- ◆ To produce workforce housing sufficient to accommodate projected job growth.

A set of specific Blueprint metrics was established for FY 2012 using a combination of existing resources and additional County funding; the Blueprint metrics called for the creation of a locally-funded "Bridging Affordability" program to address the homelessness and waiting list goals (see details in the subsequent Volume 2 Fund 319, The Penny for Affordable Housing narrative). The commitment of resources and metrics reflect the Board-adopted 10-Year Plan to Prevent and End Homelessness and the recommendations of the Fairfax County Affordable Housing Advisory Committee, in concert with the FCRHA, the interagency Housing Options Group, and the Fairfax-Falls Church Community Services Board, including priority recommendations regarding the County funds requested for Blueprint projects and programs.

Housing and Community Development Program Overview

Funding Sources Supporting HCD Operations

The sources supporting HCD's operations include County funds, FCRHA revenue bonds, federal grants, private capital, revenue from program operations (e.g., developer fees, rent from tenants of housing owned by the FCRHA and income from repayment of loans) and interest income. As a result of these multiple, complex funding streams, HCD administers 19 funds. Some funds are appropriated by the Board of Supervisors, while others are allocated by the FCRHA. All are included in this budget in order to provide a complete financial overview. These 19 funds encompass all of the operations of HCD/FCRHA with the exception of nine housing developments that are operated by outside management companies under contract with the FCRHA and/or are owned by the FCHRA in partnership with private investors. Separate financial records are maintained for these developments.

FY 2013 anticipated expenditures supporting the HCD and FCRHA activities total \$112,666,146 including \$8,789,856 in General Fund support, \$29,782,983 in other County appropriated funds, and \$74,093,307 in non-County appropriated funds. Total revenue for FY 2013 is anticipated to be \$112,349,571 as shown on the Consolidated Fund Statement. Receipts from federal/state sources are anticipated to be \$62,591,261 or 55.7 percent of total funding sources. More detailed descriptions of FY 2013 funding levels may be found in the narratives for each fund following this Overview.

Because HCD's programs are supported by multiple sources of funds, the Agency Mission and Focus, Program Goals, and Performance Measures are consolidated in this Overview rather than appearing with each fund. Performance measures for FY 2013 are generally consistent with FY 2012 performance measures. This Overview also provides summary information on the organization, staffing and consolidated budget for HCD.

Mission

To create and preserve affordable housing and caring, livable communities; serve the diverse needs of Fairfax County's residents through innovative programs, partnerships and effective stewardship; and foster a respectful supportive workplace.

Focus

HCD connects with the residents of Fairfax County at their roots – home, neighborhood and community. All HCD programs, activities and services revolve around this important link and can be grouped in three service areas: **Affordable Housing; Neighborhood Preservation and Revitalization;** and **Capital Formation and Capacity Building.**

Affordable Housing supports individuals and families in their effort to find homes that are safe, affordable, and stable through rental housing, partnerships with non-profits and other organizations, rental subsidies and homeownership opportunities.

Neighborhood Preservation and Revitalization focuses on sustaining and improving communities.

Capital Formation and Capacity Building focuses on development of partnerships with private investors and other public agencies resulting in capital investment and financial support for the HCD and FCRHA mission.

These service areas encompass all of the activities of the 19 HCD funds. The total FY 2013 Adopted Budget Plan of \$112.7 million can be distributed to these service areas and the general costs of running the department. It should be noted that many of the functional areas of HCD cross these service areas, so an exact allocation to the service areas is not possible. The FY 2013 Adopted Budget Plan is \$0.7 million

Housing and Community Development Program Overview

less than the FY 2012 Adopted Budget Plan, primarily due to projected reductions in funding from the U.S. Department of Housing and Urban Development (HUD) that were based on the FY 2012 awards offset by a market rate adjustment (MRA) in FY 2013, effective July 2012, and performance-based scale and salary increase for non-uniformed merit employees effective January 2013. The official award from HUD indicates there likely will be reductions from the FY 2012 funding award amounts for FY 2013. Appropriate revisions to the current FY 2013 budgets will be made as part of the *FY 2012 Carryover Review*. See subsequent Housing Fund narratives in Volume 2.

Highlighted below are the main functions included in each of the service areas.

Affordable Housing:

Housing Blueprint

The Housing Blueprint, originally adopted by the Board in January 2010, represents a shift in emphasis for the County's affordable housing policies as the County recovers from the recent recession. The Blueprint focuses on providing housing for those with the greatest need, including homeless families and individuals, persons with special needs, and households with extremely low-incomes. The Blueprint has four goals: 1) to prevent and end homelessness in ten years; 2) to provide affordable housing opportunities to those with special needs; 3) to streamline and reduce the waiting lists for affordable housing by half in ten years; and 4) to produce workforce housing sufficient to accommodate projected job growth. The FY 2013 Housing Blueprint includes specific metrics to achieve these goals, using a combination of existing federal and County resources, as well as proposed County funding for the Bridging Affordability program and affordable housing development by partners.

Affordable Housing Preservation

Over the past years, a total of 2,436 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,184 units are preserved for 20 years or longer. The FCRHA's major affordable housing preservation successes include: Wedgewood Apartments, 672 units (Braddock District); Janna Lee Village, 319 units (Lee District); Madison Ridge, 216 units (Sully District); Crescent Apartments, 180 units (Hunter Mill District); Coralain Gardens, 105 units (Mason District); Sunset Park Apartments, 90 units (Mason District); and Hollybrooke II, 98 units and Hollybrooke III, 50 units (Mason District).

Bridging Affordability Program

Authorized as part of the FY 2011 Adopted Budget Plan and included in the Housing Blueprint, the "Bridging Affordability" Program is designed to provide funding to non-profits, via a competitive process, for use as rental subsidies and as capital for the acquisition of additional affordable units to address the homelessness and waiting list goals of the Blueprint. In June 2011, Fairfax County awarded a \$3.8 million contract with Northern Virginia Family Service (NVFS), which is leading a collaborative of nine non-profit organizations. The collaborative includes NVFS, Alternative House, FACETS, Good Shepherd Housing and Family Services, New Hope Housing, Reston Interfaith, Shelter House, United Community Ministries and Volunteers of America Chesapeake. The collaborative will provide rental subsidies and an array of supportive services to program participants. It is anticipated that the Bridging Affordability program will provide rental subsidies to over 300 homeless households and those on the County's affordable housing waiting lists over the three year contract with NVFS. The Bridging Affordability Program is funded, subject to annual allocation, with program income from the County-owned Wedgewood Apartments property.

Housing and Community Development Program Overview

First-Time Homebuyers Program and Moderate Income Direct Sales Program

This program offers new and resale homes at below market prices. These homes are built by private developers and are located throughout the County. HCD markets the homes and, in most cases, provides financing assistance to first-time homebuyers. In FY 2011, a total of 51 families purchased homes via the Fairfax County First-Time Homebuyers program. Through FY 2011, 2,177 homes have been sold to first-time homebuyers as a result of these programs since 1992.

Below-Market Mortgages

The Homeownership Division administers FCRHA second-trust financing for first-time homebuyers using federal HOME and Neighborhood Stabilization Program (NSP) funding, and facilitates homebuyer access to below-market first-trust financing from the Virginia Housing Development Authority (VHDA). In FY 2011, a total investment of \$3,070,876 in non-County funds was leveraged by the Fairfax County First-Time Homebuyers Program in the form of federal HOME and NSP resources for second-trust financing and VHDA first-trust financing.

Homeownership Resource Center

The Homeownership Resource Center, located on the first floor of the FCRHA headquarters building on Pender Drive, serves nearly 400 persons per month, providing information on homeownership, homeownership education, one-on-one and group counseling sessions, opportunities to meet with lenders, applicant briefings, and coordination of resources for current and prospective first-time homebuyers. In FY 2011, a total of 4,641 individuals were served either as walk-ins or by telephone through the Homeownership Resource Center.

Homeownership Education

Through a partnership with VHDA, local lenders and housing professionals, six-hour homeownership education classes were provided to potential Fairfax County homebuyers in FY 2011. Completion of the class qualifies graduates to participate in the First-Time Homebuyers Program and the ability to access below-market financing, down payment and closing cost assistance. Classes have been offered in English, Spanish, Vietnamese, Korean, and American Sign Language. During FY 2011, the Fairfax County First-Time Homebuyers Program conducted 7 orientation sessions serving 645 attendees, and 10 application sessions serving 85 attendees. Nineteen VHDA homebuyer classes were held, serving 468 participants. Also, pre-purchase, financial education and foreclosure outreach sessions were conducted serving 451 people. In addition, first-time homebuyers learned about maintaining their home and their responsibilities as homeowners living within their community. In FY 2011, HCD continued to provide post-purchase counseling, at settlement, for purchasers of ADUs being re-sold through the FCRHA. Also, in FY 2011, staff conducted 1,150 annual compliance checks on all current homebuyers in the First-Time Homebuyers Program. This included mailing annual occupancy affidavits to all 1,150 households.

Compliance Monitoring

Compliance monitoring is an ongoing activity which encompasses a variety of HCD programs. This activity includes monitoring of:

- ◆ Over 1,400 properties sold through the First-Time Homebuyer Program (including “for-sale” ADUs);
- ◆ 1,041 privately-owned and operated rental ADUs which are located in large multifamily apartment properties across the County;
- ◆ An estimated 1,312 Workforce Housing units which have been committed to be built by private developers as of January 2012;

Housing and Community Development Program Overview

- ◆ 2,989 Fairfax County/FCRHA-owned Public Housing and Fairfax County Rental Program (FCRP) multifamily units, 19 residential studio units, 504 senior independent units and 112 beds of assisted living; and
- ◆ Over 3,500 Housing Choice Vouchers.

In addition, HCD monitors the use of federal funds received by Fairfax County and granted to a variety of agencies and organizations. These programs include the Community Development Block Grant (CDBG) and the HOME Investment Partnership (HOME) program.

FCRHA Rental Housing Programs

This function includes properties owned by the FCRHA under the Fairfax County Rental Program (FCRP) for households with modest means, as well as properties owned by limited partnerships affiliated with the FCRHA. In addition, it encompasses properties owned by the FCRHA and operated under the federal Public Housing Program and rental subsidies managed by the FCRHA and funded by the federal Housing Choice Voucher (HCV) Program for those with very low incomes. In FY 2011, the average income of households served in the FCRHA's major multifamily affordable rental housing and tenant subsidy programs, including the federal Public Housing and HCV programs, and the FCRP, was approximately \$25,905, or 27 percent of the Area Median Income (AMI) for a family of three (the average household size in these programs). This meets the U.S. Department of Housing and Urban Development's (HUD) definition of "extremely low income". A total of 18,056 individuals were housed in these programs in FY 2011. In FY 2011, the FCRHA was again named a HUD "High Performer" for the HCV program.

In September 2010, HCD established the PROGRESS Center: the Partnership for Resident Opportunities, Growth, Resources and Economic Self Sufficiency Center. The Center is housed within HCD and staffed by existing employees, each bringing a rich background and experience in HCD housing programs and human services. The Center is initially focused on Public Housing residents, participants in the HCV program, and the residents at Fairfax County Rental Program properties such as Stonegate and Murraygate. The Center will be a resource within HCD for staff addressing client issues that can range from job loss to behavior issues to residents in crisis. The PROGRESS Center will focus on a number of critical areas of need, including employment and training opportunities, services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities.

Consistent with its November 2010 Lines of Business (LOBS) presentation to the Fairfax County Board of Supervisors, HCD has begun reorganizing its Housing Application Center and process, to ensure the delivery of sensitive, pro-active customer service designed to achieve the goal of helping applicants find a home. HCD is also in the process of establishing an Asset Management Division, utilizing existing staff and consolidating resources, to focus on the financial performance, physical condition, capital improvements and accountability of the FCRHA's affordable housing properties.

FCRHA Development Activities

HCD, in conjunction with the FCRHA, facilitates the development of affordable housing by non-profit and for-profit developers through incentives and financing. HCD and FCRHA also build and own housing for low- and moderate-income families and individuals, and households with special needs. In addition, FCRHA partners with private investors, through limited partnerships, to develop and operate affordable housing. In October 2010, the FCRHA completed construction of Olley Glen, a new 90-unit active adult property at the Glens at Little River senior campus in the Braddock District. Per its

Housing and Community Development Program Overview

November 2010 LOBS review, HCD plans further utilization of the construction management resources of the Department of Public Works and Environmental Services (DPWES) for county-funded projects.

Active Adult Housing and Assisted Living

This activity provides 504 affordable active adult rental apartments in Fairfax, Herndon, Springfield, Lincolnia, McLean, and the Mount Vernon/Gum Springs areas of Fairfax County, including the new 90-unit Olley Glen facility. In addition, this activity provides 112 beds of assisted living at Braddock Glen in Fairfax (Braddock District) and at the Lincolnia Senior Center and Residence in Alexandria (Mason District).

Relocation Services and Monitoring

This program provides technical assistance and monitoring for preservation initiatives. This activity also includes relocation services for all federally-funded projects throughout the agency. In FY 2011, staff conducted relocation reviews of 63 projects for compliance with the federal Uniform Relocation Act and the Fairfax County Voluntary Relocation Assistance Guidelines.

Relocation Advisory Services for Condominium Conversion

These services provide technical assistance to developers under both the Fairfax County Relocation Guidelines and Fairfax County Code for projects where there is substantial rehabilitation and condominium conversion. Technical assistance under the federally mandated Uniform Relocation Act is provided if federal funds are involved in the project.

Affordable/Workforce Housing

The Board of Supervisors created a Workforce Housing Program through amendments to the Fairfax County Comprehensive Plan and Zoning Ordinance, and the adoption of a new Board policy. The Workforce Housing Program, based on the recommendations of the Board-appointed High-Rise Affordability Panel, is a proffer-based incentive system to encourage developers to provide workforce housing in the County's mixed-use development centers. The Board's action sets forth the expectation that 12 percent of all new residential units will be affordable to a range of moderate-incomes up to 120 percent of the AMI. As of June 2012, a total of 1,312 Workforce Dwelling Units had been committed by private developers in rezoning actions approved by the Board of Supervisors. Development of these units is expected to begin in two to three years. A total of 32 rental workforce units had been constructed as of June 2012.

Neighborhood Preservation:

Home Improvement Loan Program and Home Repair for the Elderly

These activities provide loans to homeowners and some non-profit landlords to improve their properties. In addition, there is a crew to assist qualified elderly and disabled homeowners in making minor repairs at no charge. In FY 2011, a total of 20 loans and grants were provided to homeowners and a non-profit organization for repairs and improvements to their properties and 114 qualified disabled or elderly homeowners received free repairs.

Capital Formation and Capacity Building:

Impact of Federal Fiscal Year 2012 Reductions

In November 2011, H.R. 2112 was enacted, which provided funding for the U.S. Department of Housing and Urban Development (HUD) for Federal Fiscal Year 2012. The bill included significant cuts to core federal housing funding sources, including the Community Development Block Grant (CDBG) program,

Housing and Community Development Program Overview

which funds numerous County and nonprofit community development and housing activities, as well as the HOME Investment Partnership Program (HOME), which provides rental assistance and funding for affordable housing development. Taken together, the cuts to CDBG (18.5 percent) and HOME (41 percent) will result in a loss to Fairfax County of nearly \$2 million in FY 2013, as compared to FY 2012. It is also anticipated that the County will have a loss of approximately \$120,000 due to reductions in the Public Housing Capital Fund, which supports efforts to maintain and modernize the County's aging Public Housing stock. Finally, it is anticipated that there will be decreases in administrative fees for the Housing Choice Voucher program, which support efforts to help voucher holders find affordable housing; among other reductions. These cuts have the effect of establishing a new baseline for each of these programs and could potentially lead to further reductions.

Funding Opportunities

This activity focuses on identifying and applying for available funding opportunities to leverage and supplement County funds for projects and programs. It includes federal entitlement grants such as Community Development Block Grant (CDBG) and HOME Investment Partnership Grant (HOME), other federal grants such as the Public Housing Capital Fund Program, and other state and local grants and loans, as well as private financing. A recent example of the FCRHA's success in applying for federal funds is the award of a \$480,000 federal Resident Opportunity and Self-Sufficiency (ROSS) Grant in 2010 to fund two service coordinators over three years in the Public Housing program. This grant allowed HCD to laterally move two HCD staff members to these positions as part of the creation of the HCD PROGRESS Center.

Partnering

This activity links the FCRHA financing abilities with those of the private sector (non-profit and for-profit) to generate additional financial resources. Non-profit corporations or limited liability corporations (LLC) formed by the FCRHA partner with private investors and benefit from Federal Low Income Housing Tax Credits to fund FCRHA affordable housing for families and seniors. In addition, the FCRHA issues revenue bonds to raise funds from private investors to fund affordable housing and community facilities.

Consolidated Plan/Consolidated Community Funding Advisory Committee (CCFAC)

HCD provides leadership in developing and implementing the County's annual Consolidated Plan in conjunction with the CCFAC, a citizen committee. The Consolidated Plan is the required annual application for several entitlement grants to the County from the U.S. Department of Housing and Urban Development (HUD), which provided over \$8.2 million for local housing and community development programs in FY 2012. In FY 2011, HCD staff facilitated the development of the HUD-required Consolidated Plan – One Year Action Plan for FY 2012.

Human Services

This activity provides resources to the County's non-profit partners through the Consolidated Community Funding Pool (CCFP) for critical human services such as youth programs, housing support services, and services targeted toward the County's immigrant population. A significant portion of the funding comes from CDBG, administered by HCD, which also supports CCFP planning and administers contract awards. CCFP is providing approximately \$11.6 million in total funding for these services in FY 2013 subject to final HUD approval for CDBG funds.

Housing and Community Development Program Overview

Affordable Housing Service Area

Goal

To implement the Board of Supervisors' Affordable Housing Goal that "opportunities should be available to all who live or work in Fairfax County to purchase or rent safe, decent, affordable housing within their means." This goal will be achieved through providing affordable housing preservation and development, technical assistance, and financing services in conjunction with the FCRHA and both for- and non-profit community partners; managing and maintaining quality affordable rental housing; administering rental housing subsidies in accordance with federal regulations and local policies; and providing homeownership opportunities to eligible households.

Key Performance Measures

Affordable Housing Preservation

Objectives

- ◆ To preserve at least 2,500 units of affordable housing by the end of fiscal year 2014 (from 2004 to 2014) and to leverage every \$1 in local funds invested in preservation with \$3 in non-County resources.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Number of affordable housing units preserved	152	27	29 / 33	29	38
Efficiency:					
Amount of General County funds per affordable housing unit preserved (1)	\$64,644	\$7,509	\$10,000 / \$5,838	\$10,000	\$10,000
Service Quality:					
Amount of funds leveraged per \$1 of County funds for units preserved (2)	\$3	\$14	\$3 / \$18	\$3	\$3
Outcome:					
Cumulative number of affordable units preserved since April 2004	2,376	2,403	2,432 / 2,436	2,465	2,503

(1) As in FY 2011, preservation activities in FY 2012 and 2013 are anticipated to rely almost exclusively on federal funding.

(2) Future year estimate dependent on the availability of additional County funding for affordable housing preservation.

Performance Measurement Results

In FY 2011, a total of 33 affordable units were preserved via FCRHA financing using federal funds; this exceeded the target but was considerably less than in previous fiscal years. In FY 2011, the allocation for Fund 319, The Penny for Affordable Housing Fund was expended on debt service for two prior years' preservation projects, the Crescent (180 units; Hunter Mill District) and Wedgewood Apartments (672 units; Braddock District), as well as the new Bridging Affordability rental subsidy program. With the dedication of Fund 319 primarily to debt service on the Crescent and Wedgewood properties and rent subsidies, it is anticipated that future preservation efforts will rely primarily on federal funding.

Housing and Community Development Program Overview

Public Housing

Objectives

- ◆ To obtain a Public Housing Assessment System (PHAS) rating of at least 85 percent or better on a 100-point scale and maintain an occupancy rate of 95 percent or better.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Clients housed	2,863	2,866	2,850 / 2,839	2,850	2,850
Number of New Households Served	NA	NA	NA / NA	75	75
Efficiency:					
Average income served as percentage of Area Median Income	24%	23%	30% / 23%	30%	30%
Service Quality:					
Percent on-time re-certifications	100%	99%	95% / 99%	95%	95%
Percent on-time inspections	100%	100%	95% / 99%	95%	95%
Outcome:					
Occupancy Rate	99%	99%	95% / 98%	95%	95%
HUD's PHAS rating (1)	NA	89%	85% / NA	85%	85%

(1) FY 2011 PHAS score not yet available.

Performance Measurement Results

In FY 2011, the Public Housing program continued to provide high quality housing to over 2,800 Fairfax County residents and maintained a high occupancy rate of 98 percent at the properties. Agency indicators in nearly every area of the Public Housing Assessment System (PHAS) are rated very highly, resulting in an overall PHAS rating of 89 percent in FY 2010, the most recent assessment awarded. Ninety-nine percent of re-certifications, and 99 percent of inspections, were completed on time in FY 2011. The average household income served by the Public Housing program in FY 2011 was \$22,334, or 23 percent of the Area Median Income for a family of three (meets HUD definition of "extremely low-income").

Housing and Community Development Program Overview

Fairfax County Rental Program (FCRP)

Objectives

- ◆ To maintain an overall occupancy rate of 95 percent or higher for FCRP multi-family properties.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Individuals housed (1)	5,956	5,666	5,600 / 5,578	5,600	5,600
Number of units in program (2)	2,066	2,069	2,069 / 2,063	2,063	2,063
Efficiency:					
Average income served as a percentage of Area Median Income	42%	39%	45% / 38%	45%	45%
Service Quality:					
Percent on-time re-certifications (3)	99%	99%	95% / 99%	95%	95%
Outcome:					
Occupancy rate (4)	96%	97%	95%/98%	95%	95%

(1) In FY 2009 and prior years, this count included residents of certain Public Housing units that are part of tax-credit partnership properties managed under FCRP; starting in FY 2010, these units have been excluded.

(2) Includes all FCRP multifamily units, the Woodley Hills mobile home park and the Coan Pond working singles residences; does not include senior housing properties and certain special needs programs.

(3) Indicator includes all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties.

(4) Indicator moved from "Service Quality" to "Outcome" for FY 2011 and subsequent years.

Performance Measurement Results

In FY 2011, there were 2,063 housing units for families and singles in the program, and 5,578 individuals were housed. The occupancy rate was 98 percent and the average household income served was \$36,290, or 38 percent of the Area Median Income for a family of three (meets HUD definition of "very low-income"). A total of 99 percent of re-certifications of all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties, were conducted on-time.

Housing and Community Development Program Overview

Housing Choice Voucher

Objectives

- ◆ To obtain a Section 8 Management Assessment rating of 90 percent or better on a 100-point scale in the categories of timeliness and quality of inspections, rent calculations, lease-ups and contract enforcement, as well as in nine other areas specified by HUD.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Individuals housed (1)	8,454	8,138	8,500 / 9,103	8,500	8,500
Efficiency:					
Average income served as a percentage of Area Median Income	21%	21%	30% / 21%	30%	30%
Service Quality:					
Utilization rate	102%	100%	98% / 100%	98%	98%
Percent on-time inspections	100%	100%	95% / 100%	95%	95%
Percent on-time recertifications	99%	100%	95% / 100%	95%	95%
Outcome:					
HUD SEMAP rating	102%	102%	90% / 102%	90%	90%

(1) Increase in households served in FY 2011 reflects new allocations of Family Unification Program vouchers, Veterans Affairs Supportive Housing (VASH) vouchers, and preservation vouchers for the Winter Hill property (City of Falls Church).

Performance Measurement Results

The FCRHA's federal Housing Choice Voucher program housed over 9,000 individuals in FY 2011. The average household income served in FY 2011 was \$20,032, or approximately 21 percent of the Area Median Income for a family of three (meets HUD definition of "extremely low-income"). The targets for utilization rate, percent of on-time inspections, and percent of on-time re-certifications were all met. In addition, the FCRHA was again designated a "High Performer" by the United States Department of Housing and Urban Development (HUD), receiving a 102 percent HUD SEMAP score; this demonstrates highly effective stewardship of this vital federal affordable housing resource.

Housing and Community Development Program Overview

Elderly Housing Programs

Objectives

- ◆ To maintain an occupancy rate of 95 percent or higher and accurately track the cost for two subsidized Assisted Living facilities that contain a total of 112 beds.
- ◆ To maintain a customer satisfaction rating of 90 percent or higher and maintain an occupancy rate of 95 percent or higher.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Assisted Living clients housed (1)	112	111	112 / 108	112	112
Independent Living individuals housed (2)	442	447	434 / 428	504	504
Efficiency:					
Assisted Living cost per client (3)	\$26,579	\$28,580	\$29,000 / \$31,625	\$30,000	\$30,000
Independent Living cost per client	\$10,704	\$10,550	\$12,000 / \$10,551	\$12,000	\$12,000
Service Quality:					
Assisted Living occupancy rate	95%	95%	95% / 96%	95%	95%
Independent Living occupancy rate	98%	96%	95% / 99%	95%	95%
Outcome:					
Assisted Living overall customer satisfaction rating	NA	96%	95% / 93%	90%	90%
Independent Living overall customer satisfaction rating	85%	96%	90% / 91%	90%	90%

(1) Refers to the number of beds in use as of the end of the fiscal year.

(2) Refers to households served in all senior independent living units, including those managed by the FCRHA and properties managed by third-party firms under contract with the FCRHA. FY 2012 and future year estimates include the new Olley Glen community

(3) Includes all operating costs except major capital expenditures.

Performance Measurement Results

Elderly Housing – Assisted Living

In FY 2011, this performance measure addressed performance of two assisted living developments with 112 beds/units (Braddock Glen and the Lincolnia Senior Center and Residence). The cost per client was \$31,625 in FY 2011 and the properties maintained a 96 percent occupancy rate.

Elderly Housing – Independent Living

In FY 2011, this performance measure addressed performance of all 434 senior independent living units under FCRHA management (Little River Glen, Lincolnia Senior Center and Residence and Lewinsville), and those units under management by private third party. In FY 2011, a total of 428 individuals were housed, and the cost per client was \$10,551. The properties, including those managed by the FCRHA and those managed by third-party firms under contract with the FCRHA, maintained a 99 percent occupancy rate in FY 2011. The overall customer service satisfaction rating was 91 percent.

Housing and Community Development Program Overview

Homeownership

Objectives

- ◆ To obtain a Program Assessment rating of 95 percent or better on indicators addressing sales rate, foreclosures and rate of participation.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
First-time homebuyers	120	63	50 / 51	50	50
Efficiency:					
Cost per new homeowner	\$2,633	\$5,306	\$5,000 / \$5,788	\$5,000	\$5,000
Non-County funds leveraged for Fairfax County First-Time Homebuyers (1)	\$15,890,554	\$7,288,968	\$3,500,000 / \$3,070,876	\$1,750,000	\$1,750,000
Service Quality:					
Participant satisfaction survey scores	95%	94%	95% / 97%	95%	95%
Outcome:					
Assessment rating	95%	93%	95% / 95%	95%	95%

(1) Non-County funds consist primarily of VHDA first-trust mortgage funds. It should be noted that in 2011, unlike previous years, there was no set-aside for Fairfax County of funds under VHDA's Sponsoring Partnerships And Revitalizing Communities (SPARC) program. VHDA financing is not currently available to ADU purchasers due to an issue with FHA Mortgagee Letter 94-2 and the covenants on ADUs in Fairfax County. Staff, working with the Office of the County Attorney, has requested a waiver from the FHA.

Performance Measurement Results

The number of new and resale units varies from year to year, due to a variety of external factors such as real estate market conditions and the economy. The pace of real estate development in the County determines the timing of the production of affordable dwelling units (ADUs) within new residential developments. In FY 2011, 51 first time homebuyers achieved homeownership utilizing HCD programs. The cost per client was \$5,788 per new homeowner in FY 2011.

A total of \$3,070,876 in non-County funds was leveraged in investments in homeownership for Fairfax County first-time homebuyers. Non-County funds were made up primarily of the Virginia Housing Development Authority's (VHDA) first trust mortgage funds, as well as federal HOME and NSP funds.

In addition, a total of 6,290 individuals were served as walk-ins or by telephone, or as participants in homebuyer education activities, through the HCD Homeownership Resource center. The service delivery satisfaction rate was 97 percent.

Housing and Community Development Program Overview

Neighborhood Preservation

Objectives

- ◆ To preserve and improve County residential properties by providing home improvement/rehabilitation services and financing to income-eligible households and non-profit organizations.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Number of properties improved and enhanced (1)	136	150	118 / 134	100	100
Efficiency:					
Amount of General County funds per property improved or enhanced	\$550	\$2,398	\$2,400 / \$2,615	\$2,400	\$2,400
Service Quality:					
Amount of leveraged funds per \$1 of County funds	\$5	\$1	\$1 / \$1	\$1	\$1
Outcome:					
Cumulative number of properties improved or enhanced since 2000 through County programs	1,228	1,378	1,496 / 1,512	1,612	1,712

(1) FY 2012 and FY 2013 estimates reflect the partial suspension of the Home Improvement Loan Program (HILP) due to staff reductions.

Performance Measurement Results

In FY 2011, 134 properties were improved or enhanced, the amount of County General funds per property improved or enhanced was \$2,615, and \$1 in non-County funds was leveraged for every \$1 in County funds expended. As of the end of FY 2011, a total of 1,512 properties were improved through County programs.

Housing and Community Development Program Overview

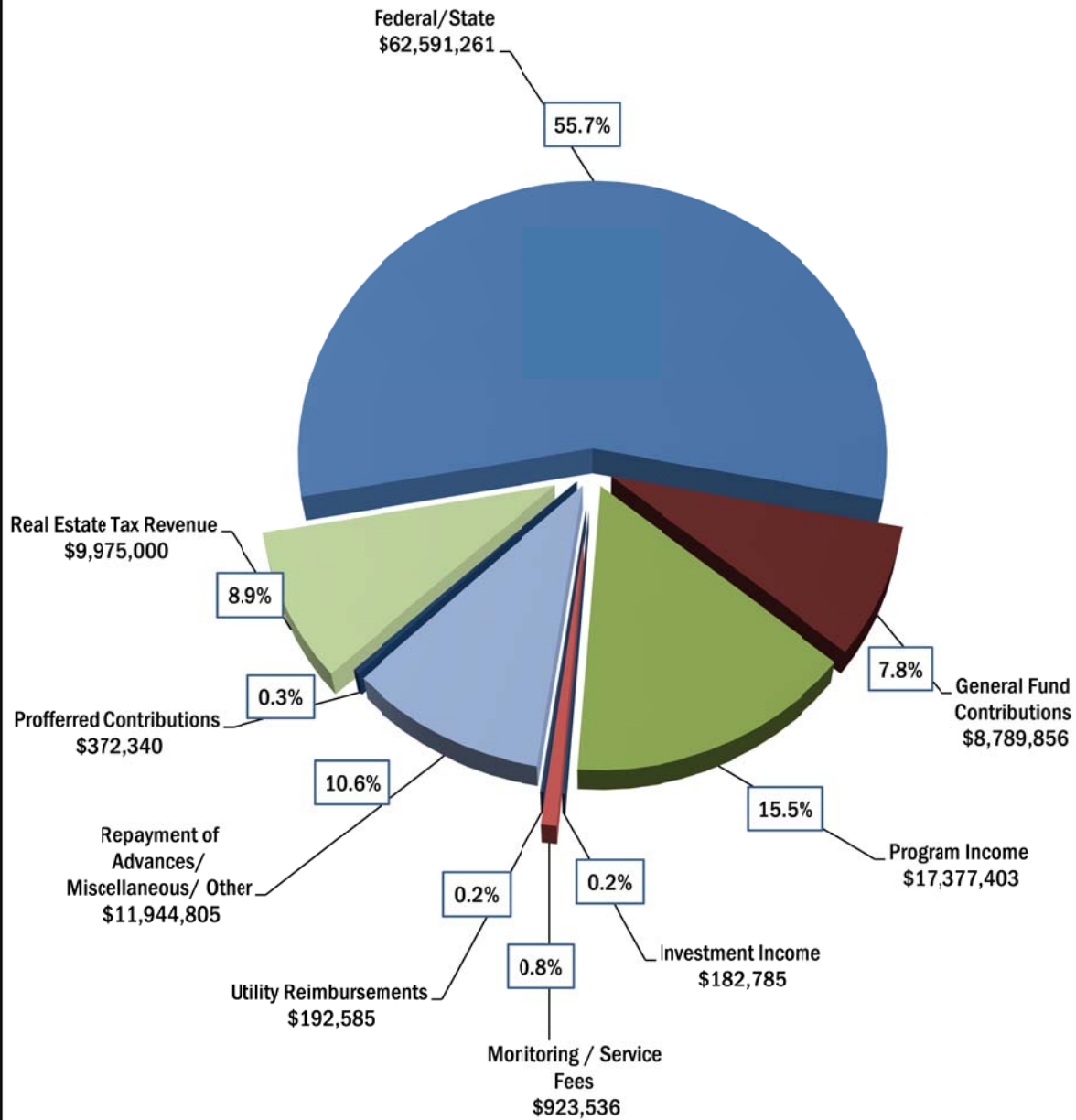
CONSOLIDATED FUND STATEMENT

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$55,723,097	\$40,912,006	\$67,805,407	\$44,024,264	\$43,229,793
Revenue:					
Federal/State	\$72,800,597	\$65,352,043	\$78,538,103	\$62,591,261	\$62,591,261
General Fund Contributions	8,328,650	8,432,982	8,827,915	8,181,046	8,789,856
Program Income	16,402,205	17,393,574	21,440,465	17,335,360	17,377,403
Sale of Bonds	0	0	4,356,833	0	0
Investment Income	231,268	257,492	175,757	182,785	182,785
Monitoring/Service Fees	1,220,607	760,632	1,212,359	923,536	923,536
Utility Reimbursements	192,585	178,895	178,895	192,585	192,585
Repayment of Advances	502,838	8,298	8,298	7,008	7,008
Proffered Contributions	398,991	225,000	225,000	372,340	372,340
Real Estate Tax Revenue	9,340,000	9,650,000	9,650,000	9,975,000	9,975,000
Miscellaneous/Other	12,379,796	12,138,224	18,695,570	11,929,254	11,937,797
Total Revenue¹	\$121,797,537	\$114,397,140	\$143,309,195	\$111,690,175	\$112,349,571
Total Available	\$177,520,634	\$155,309,146	\$211,114,602	\$155,714,439	\$155,579,364
Expenditures:					
Personnel Services	\$15,298,236	\$16,060,343	\$16,150,129	\$17,226,393	\$17,426,510
Operating Expenses	69,087,271	71,927,252	78,210,666	70,079,196	70,079,196
Capital Equipment	0	0	0	0	0
Grant Projects	10,760,489	9,155,745	25,270,064	7,802,196	7,802,196
Capital Projects	14,569,231	16,253,176	48,253,950	16,814,494	17,358,244
Total Expenditures¹	\$109,715,227	\$113,396,516	\$167,884,809	\$111,922,279	\$112,666,146
Total Disbursements	\$109,715,227	\$113,396,516	\$167,884,809	\$111,922,279	\$112,666,146
Ending Balance	\$67,805,407	\$41,912,630	\$43,229,793	\$43,792,160	\$42,913,218

¹ Designations are based on fund category, for example, Fund 340, Housing Assistance Programs is included in Capital Projects although some funding is used to support Personnel Services. Fund 949, FCRHA Internal Service Fund, was included as a separate housing fund beginning in FY 1998. Revenues and expenditures for this fund are included in the Consolidated Fund Statement, but do not increase total funding available to the agency. As such, this funding is netted out of the Program Area Summary by Fund.

Housing and Community Development Program Overview

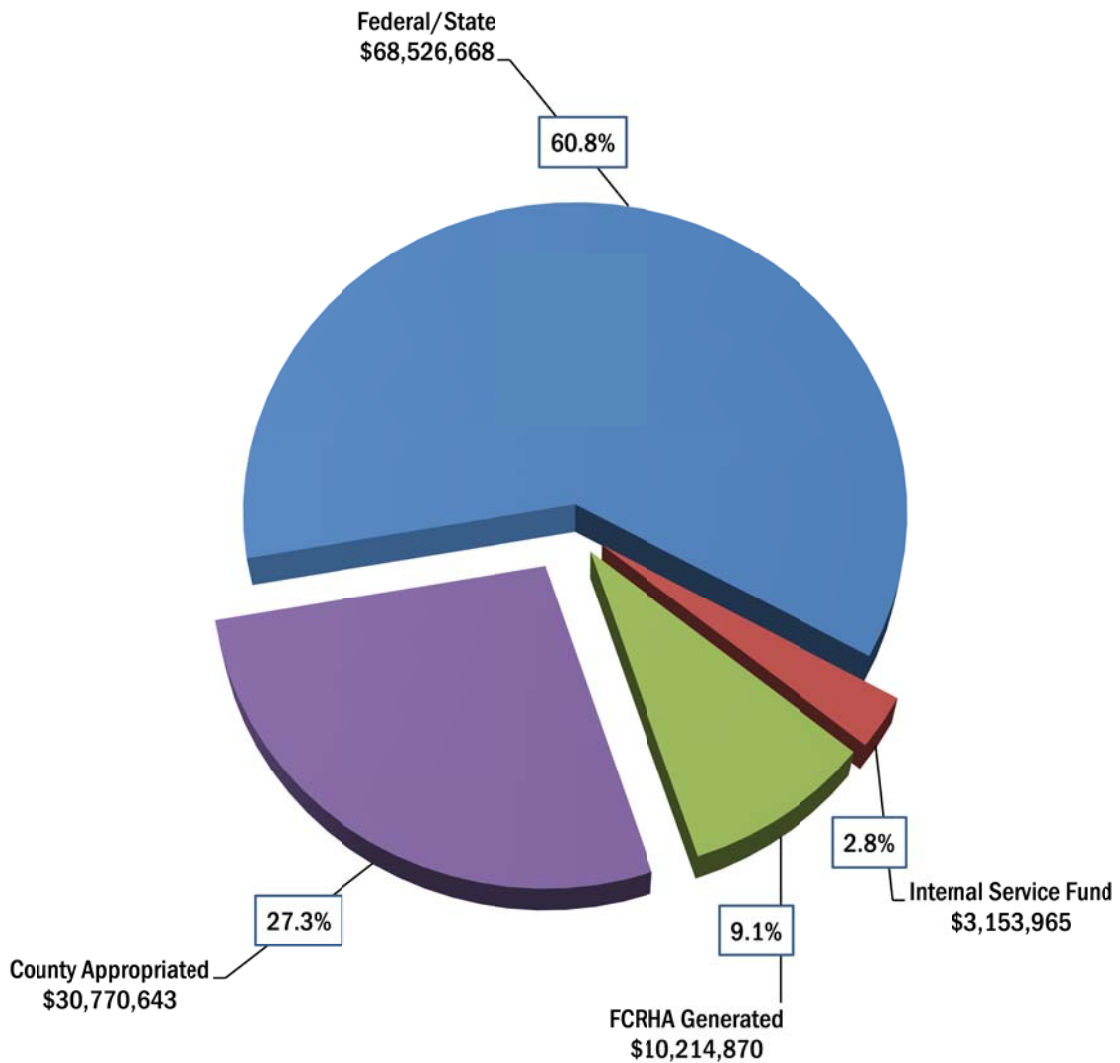
HOUSING PROGRAMS FY 2013 SOURCE OF FUNDS



TOTAL REVENUES = \$112,349,571

Housing and Community Development Program Overview

HOUSING PROGRAMS FY 2013 EXPENDITURES



TOTAL EXPENDITURES = \$112,666,146

Housing and Community Development Program Overview

Budget and Staff Resources

Program Area Summary by Fund					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	218 / 218	218 / 218	113 / 113	112 / 112	112 / 112
Grant	12 / 12	12 / 12	118 / 118	118 / 118	118 / 118
Total Positions	230 / 230	230 / 230	231 / 231	230 / 230	230 / 230
County Appropriated Funds:					
Operating:					
Department of Housing and Community Development	\$5,824,425	\$5,928,757	\$6,024,542	\$5,635,141	\$5,687,809
141 Elderly Housing Programs	4,553,654	4,159,501	4,948,132	4,206,682	4,219,074
143 Homeowner and Business Loan Programs	3,077,573	4,514,316	10,066,452	3,910,249	3,910,249
Total Operating Expenditures	\$13,455,652	\$14,602,574	\$21,039,126	\$13,752,072	\$13,817,132
Capital:					
144 Housing Trust Fund	\$77,529	\$348,814	\$4,841,856	\$451,361	\$451,361
319 The Penny for Affordable Housing Fund	10,824,709	14,668,400	29,092,284	15,443,400	16,502,150
340 Housing Assistance Program	782,786	515,000	7,727,170	515,000	0
Total Capital Expenditures	\$11,685,024	\$15,532,214	\$41,661,310	\$16,409,761	\$16,953,511
Total County Appropriated Fund Expenditures	\$25,140,676	\$30,134,788	\$62,700,436	\$30,161,833	\$30,770,643
Federal/State Support:					
965 Housing Grants Fund	\$316,235	\$0	\$472,683	\$0	\$0
966 Housing Choice Voucher	49,169,751	50,911,987	50,151,624	50,888,699	50,924,700
967 Public Housing, Projects Under Management	9,739,608	9,658,684	10,156,692	9,757,729	9,799,772
969 Public Housing, Projects Under Modernization	2,106,807	0	2,619,994	0	0
142 Community Development Block Grant	8,454,534	6,463,133	14,608,812	5,418,429	5,418,429
145 HOME Investment Partnerships Grant	1,989,720	2,692,612	10,188,569	2,383,767	2,383,767
Total Federal/State Support	\$71,776,655	\$69,726,416	\$88,198,374	\$68,448,624	\$68,526,668

Housing and Community Development Program Overview

Program Area Summary by Fund					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
FCRHA Generated Funds:					
940 FCRHA General Operating	\$2,367,942	\$2,516,625	\$2,832,448	\$3,114,795	\$3,136,947
941 Fairfax County Rental Program	4,622,781	4,667,664	5,110,846	4,892,007	4,918,325
945 Non-County Appropriated Rehabilitation Loan	0	25,000	1,000	1,000	1,000
946 FCRHA Revolving Development	9,300	0	955,532	0	0
948 FCRHA Private Financing	768,100	720,962	3,017,114	404,733	404,733
949 Internal Service Fund	3,394,261	3,864,914	3,192,667	3,153,965	3,153,965
950 Housing Partnerships	1,635,512	1,740,147	1,876,392	1,745,322	1,753,865
Subtotal, FCRHA Funds	\$12,797,896	\$13,535,312	\$16,985,999	\$13,311,822	\$13,368,835
Less:					
949 Internal Service Fund	(\$3,394,261)	(\$3,864,914)	(\$3,192,667)	(\$3,153,965)	(\$3,153,965)
Total, FCRHA Funds	\$9,403,635	\$9,670,398	\$13,793,332	\$10,157,857	\$10,214,870
Total, All Sources	\$109,715,227	\$113,396,516	\$167,884,809	\$111,922,279	\$112,666,146
Less:					
949 Internal Service Fund	(\$3,394,261)	(\$3,864,914)	(\$3,192,667)	(\$3,153,965)	(\$3,153,965)
Net Total, All Sources	\$106,320,966	\$109,531,602	\$164,692,142	\$108,768,314	\$109,512,181

Note: Fund 142, Community Development Block Grant, and Fund 145, HOME Investment Partnership Grant, are federally-supported County Appropriated funds and have been reflected under the Federal/State Support Category. While the Board of Supervisors appropriates funding in these funds by project, the source of revenue is the federal government. The FY 2013 preliminary estimated federal funding for Fund 142, Community Development Block Grant (CDBG), is \$5,418,429 and for Fund 145, HOME Investment Partnership Grant (HOME), is \$2,383,767, and is based on the actual FY 2012 award. The official award from HUD indicates there likely will be reductions from the FY 2012 funding award amount for FY 2013, and necessary project adjustments will be made as part of the *FY 2012 Carryover Review*.

Housing and Community Development Program Overview

Position Summary		
<p><u>ADMINISTRATION</u> General Fund:</p> <p>1 Director 1 Deputy Director 1 HCD Division Director 1 H/C Developer IV 1 Human Resources Generalist II 1 Info. Tech. Prog. Mgr. I 2 Network/Telecom. Analysts II 2 Administrative Assistants IV</p> <p>CDBG (Fund 142):</p> <p>1 GIS Analyst II</p> <p>FCRHA:</p> <p>1 H/C Developer V 1 H/C Developer IV 1 Information Officer II 3 Administrative Assistants IV 1 Administrative Assistant III 1 Administrative Assistant II</p> <p>Hsg. Choice Voucher (Fund 966):</p> <p>1 Network/Telecom. Analyst III</p> <p>Public Housing (Fund 967):</p> <p>1 Administrative Assistant II</p> <p><u>COMMUNITY IMPROVEMENT</u> General Fund:</p> <p>1 Deputy Director 1 Real Estate/Grant Mgr. 1 Finance Manager 1 H/C Developer IV 1 Administrative Assistant IV</p> <p><u>DESIGN, DEVELOPMENT AND CONSTRUCTION</u> General Fund:</p> <p>1 HCD Division Director 2 H/C Developers IV</p> <p>CDBG (Fund 142):</p> <p>2 H/C Developers IV 1 Administrative Assistant IV</p> <p>HOME (Fund 145):</p> <p>1 H/C Developer IV 1 Housing Services Specialist II</p> <p>FCRHA:</p> <p>1 HCD Division Director 1 H/C Developer V 2 H/C Developers III 1 H/C Developer II 1 Hsg. Services Specialist III</p> <p><u>FINANCIAL MANAGEMENT</u> General Fund:</p> <p>1 Finance Manager 1 Financial Specialist IV 2 Accountants II 2 Accountants I 1 Contract Analyst III 2 Administrative Assistants IV 4 Administrative Assistants III</p> <p>CDBG (Fund 142):</p> <p>1 Hsg. Community Dev. V 1 Accountant III 1 Administrative Assistant V</p>	<p><u>FINANCIAL MANAGEMENT (cont.)</u> FCRHA:</p> <p>1 Financial Specialist IV 1 Financial Specialist III 1 Accountant III 1 Accountant II 1 Administrative Assistant II</p> <p>Hsg. Choice Voucher (Fund 966):</p> <p>1 Accountant II 1 Administrative Assistant IV</p> <p>Public Housing (Fund 967):</p> <p>1 Financial Specialist IV 1 Accountant III 2 Administrative Assistants III</p> <p><u>HOUSING MANAGEMENT</u> General Fund:</p> <p>2 HCD Division Directors 1 Housing Services Specialist IV 1 H/C Developer IV 1 H/C Developer II 1 Material Mgmt. Supervisor 1 Administrative Associate 2 Administrative Assistants IV 1 Administrative Assistant III</p> <p>Elderly Housing Programs:</p> <p>1 Director of Senior Housing 1 Housing Services Specialist V 1 Housing Services Specialist IV 1 Housing Services Specialist III 1 Housing Services Specialist II 1 Housing Services Specialist I 1 Sr. Mech. Sys. Supervisor 1 Trades Supervisor 1 Electrician II 3 Facility Attendants II 1 General Bldg. Maint. Worker I 1 Maintenance Trade Helper II 1 Administrative Assistant V</p> <p>CDBG (Fund 142):</p> <p>1 Hsg. Services Specialist IV 3 Hsg. Services Specialists II 1 Administrative Assistant IV</p> <p>FCRHA:</p> <p>1 Hsg. Services Specialist IV 1 Housing Community Dev. III 1 Asst. Supr. Facilities Support</p> <p>FCRP:</p> <p>1 Chief Accounting Fiscal Officer 1 H/C Developer V 1 H/C Developer II 1 Housing Manager 1 Housing Services Specialist IV 3 Housing Services Specialists II 1 Chief, Bldg. Maintenance Section 1 Material Management Spec. III 1 Electrician II 1 Engineering Technician II 1 Plumber II 3 General Bldg. Maint. Workers II 2 General Bldg. Maint. Workers I 1 Administrative Assistant IV 1 Administrative Assistant III 1 Human Services Assistant</p>	<p><u>HOUSING MANAGEMENT (cont.)</u> Housing Partnerships:</p> <p>1 Housing Services Specialist III 1 Housing Services Specialist II 1 Housing Services Specialist I 1 HVAC II 2 Gen. Bldg. Maint. Workers II 1 Plumber I 1 Administrative Assistant III 1 Administrative Assistant II</p> <p>Housing Grants (Fund 965):</p> <p>2 Hsg. Services Specialists III 1 Hsg. Services Specialist II 1 Housing Comm. Dev. III</p> <p>Hsg. Choice Voucher (Fund 966):</p> <p>3 Hsg. Services Specialists V 5 Hsg. Services Specialists III 24 Hsg. Services Specialists II 1 Human Services Assistant 2 Administrative Assistants III 1 Administrative Assistant II</p> <p>Public Housing (Fund 967):</p> <p>1 HCD Division Director 1 H/C Developer V 1 Management Analyst I 1 Human Svcs. Coordinator II 2 Hsg. Services Specialists V 2 Hsg. Services Specialists III 12 Hsg. Services Specialists II 3 Hsg. Services Specialists I 1 Trades Supervisor 4 HVACs I 6 Gen. Bldg. Maint. Workers II 4 Gen. Bldg. Maint. Workers I 1 Locksmith II 2 Plumbers II 1 Administrative Assistant IV 1 Administrative Assistant III</p> <p>Public Hsg./Mod. (Fund 969):</p> <p>1 H/C Developer V 2 H/C Developers III</p> <p><u>REAL ESTATE FINANCE AND GRANTS MANAGEMENT</u> General Fund:</p> <p>1 H/C Developer I</p> <p>CDBG (Fund 142):</p> <p>1 HCD Division Director 3 H/C Developers IV 2 H/C Developers III 1 Sr. Maintenance Supervisor 2 Gen. Bldg. Maint. Workers I 1 Administrative Assistant IV</p> <p>FCRHA:</p> <p>1 HCD Division Director 1 H/C Developer V 1 Management Analyst III</p>
<p><u>TOTAL POSITIONS</u> 112 Regular Positions / 112.0 Regular Staff Years 118/118.0 SYE Grant Positions in Funds 142, 145, 965, 966, 967, and 969</p>		

Housing and Community Development Program Overview

Housing Fund Structure

In many cases HCD service areas span multiple elements of the fund structure which follows. For example, the General Fund and the FCRHA Operating staff support most of the activities of the Department.

- ◆ County General Fund
 - Fund 001, General Operating

This fund supports positions in Agency 38, HCD, and provides limited support for expenses such as administrative and maintenance staff costs, as well as a portion of condominium fees for certain FCRHA-owned units, limited partnership real estate taxes, and building maintenance. For FY 2013, the portion of condominium fees paid from the General Fund is reduced by 39 percent.

- ◆ FCRHA General Operating
 - Fund 940, FCRHA General Operating

This fund includes all FCRHA revenues generated by rental income, financing fees earned from issuance of bonds, monitoring and service fees charged to developers, investment income, project reimbursements, consultant fees, and ground rents on land leased to developers. Revenues support operating expenses for the administration of the private activity bonds, the Home Improvement Loan Program staff, and other administrative costs, which crosscut many of the housing programs.

- ◆ Local Rental Housing Program
 - Fund 941, Fairfax County Rental Program (FCRP)

Fund 941 covers the operation of housing developments that are owned or managed by the FCRHA, other than federally-assisted public housing and certain County-supported rental housing. This includes operating costs for the FCRP units, the Woodley Hills Estates manufactured housing development, and projects regulated by the Virginia Housing Development Authority, including group homes for the physically disabled and developmentally disabled. These latter units are owned and maintained by FCRHA; however, programs for the residents are administered by the Fairfax-Falls Church Community Services Board.

 - Fund 950, FCRHA Housing Partnerships

Fund 950 was established in FY 2002 to budget and account for revenue and expenditures related to the housing developments owned by partnerships between FCRHA and private investors. Financial records for these partnerships are maintained separately, outside the County financial systems, in order to meet accounting and reporting requirements, but are included in the consolidated audit. However, HCD provides staff support to some of these developments and procures goods and services on behalf of these partnerships which are reflected in Fund 950. Previously, these items were included in Fund 941.

- ◆ Federal Section 8 Rental Assistance
 - Fund 966, Housing Choice Voucher Program

The Housing Choice Voucher program is a federal housing rental assistance program for lower income families to assist them in leasing housing in the private marketplace. A portion of rent payments is provided by HUD, through HCD, and is calculated under various formulas, incorporating family income and the fair market rent for various types of housing in the Washington Metropolitan Area. The FCRHA administers the program, providing rental vouchers to eligible participants and rental subsidies to certain housing developments.

Housing and Community Development Program Overview

◆ Public Housing Program

- Fund 967, Public Housing, Projects Under Management
- Fund 969, Public Housing, Projects Under Modernization

These funds represent the Federal Public Housing Program that supports the operation, modernization, or acquisition of rental housing to be owned and operated by local housing authorities such as the FCRHA. The Public Housing Program had been divided into two separate components: projects in operation and modernization of existing Public Housing facilities. Under the program qualifications for Public Housing, units are leased to low-income tenants, and tenants pay no more than 30 percent of adjusted income toward dwelling rent or a minimum of \$50 per month.

◆ Special Revenue Funds

- Fund 141, Elderly Housing Programs
- Fund 142, Community Development Block Grant (CDBG)
- Fund 143, Homeowner and Business Loan Programs
- Fund 144, Housing Trust Fund
- Fund 145, HOME Investment Partnership Grant (HOME)
- Fund 945, Non-County Appropriated Rehabilitation Loan Program

These funds include housing programs which have a variety of sources of revenue, including rental income, federal/state support, bank funds, or proffered contributions. Elderly Housing Programs in Fund 141 provide for the operation of FCRHA-owned affordable housing for the low- and moderate-income elderly of the County. The CDBG program in Fund 142 is a federal grant that is used to conserve and upgrade neighborhoods through the provision of public facilities, support for community services and stimulation of development of low- and moderate-income housing. The Homeowner and Business Loan Programs in Fund 143 support homeowner assistance, such as the Moderate Income Direct Sales Program, which aids homeowners in the purchase of homes, as well as a federal grant aimed at providing loans to small and minority businesses. Fund 144 utilizes proffered contributions from private developers, County contributions, and investment earnings to encourage the preservation, development, and redevelopment of affordable housing by the FCRHA, non-profit sponsors, and the private sector. The HOME program in Fund 145 is a federal grant program that supports provision of affordable housing through acquisition, rehabilitation, new construction, and tenant-based rental assistance. Fund 945, Non-County Appropriated Rehabilitation Loan Fund, represents funds raised from private sources for the rehabilitation and upgrading of housing, and works in conjunction with County-appropriated funds in the CDBG and the Homeowner and Business Loan Program funds.

◆ Capital Projects

- Fund 319, The Penny for Affordable Housing Fund
- Fund 340, Housing Assistance Program

These funds provide County support for both affordable housing and limited community revitalization capital projects. Fund 319, The Penny for Affordable Housing Fund, is designed to provide funds to quickly and significantly impact the availability of affordable housing in the County within established criteria. In FY 2011, HCD established the Bridging Affordability program. It was conceived during the development of the Housing Blueprint, and is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the Fairfax County Redevelopment and Housing Authority, the Fairfax-Falls Church Community Services Board, the Office to Prevent and End Homelessness and the homeless shelters. Per the FY 2013 Housing Blueprint, it is anticipated that approximately 300 homeless

Housing and Community Development Program Overview

individuals and families and households on the County's affordable housing waiting lists will be served using Bridging Affordability funding. Per the Board's direction in the Housing Blueprint, the Bridging Affordability Program will be administered by HCD with specific grants made to one or more of the County's non-profit partners. HCD will provide program compliance, inspect units and administer the contracts with the non-profit partners. As designated by the Housing Blueprint, a portion of the operations revenue at the County-owned Wedgewood property will be used to fund the program, including two merit positions that will support the program. Fund 340, Housing Assistance Program, supports countywide residential improvement and repair projects, including staff resources, marketing, consultant services and capitalized projects.

◆ FCRHA Development Support

- Fund 946, FCRHA Revolving Development
- Fund 948, FCRHA Private Financing

Fund 946 provides development support for proposed new FCRHA projects and provides temporary advances for architectural and engineering plans, studies, or fees for which federal, state, County, or private funds will reimburse the FCRHA at a later date. Funding capital improvement projects for existing FCRP units is also provided. Fund 948, FCRHA Private Financing, is used to budget and report costs for two types of funds: those borrowed by the FCRHA from private lenders and other sources, and funds for FCRHA projects which are generated through the sale of FCRHA bonds.

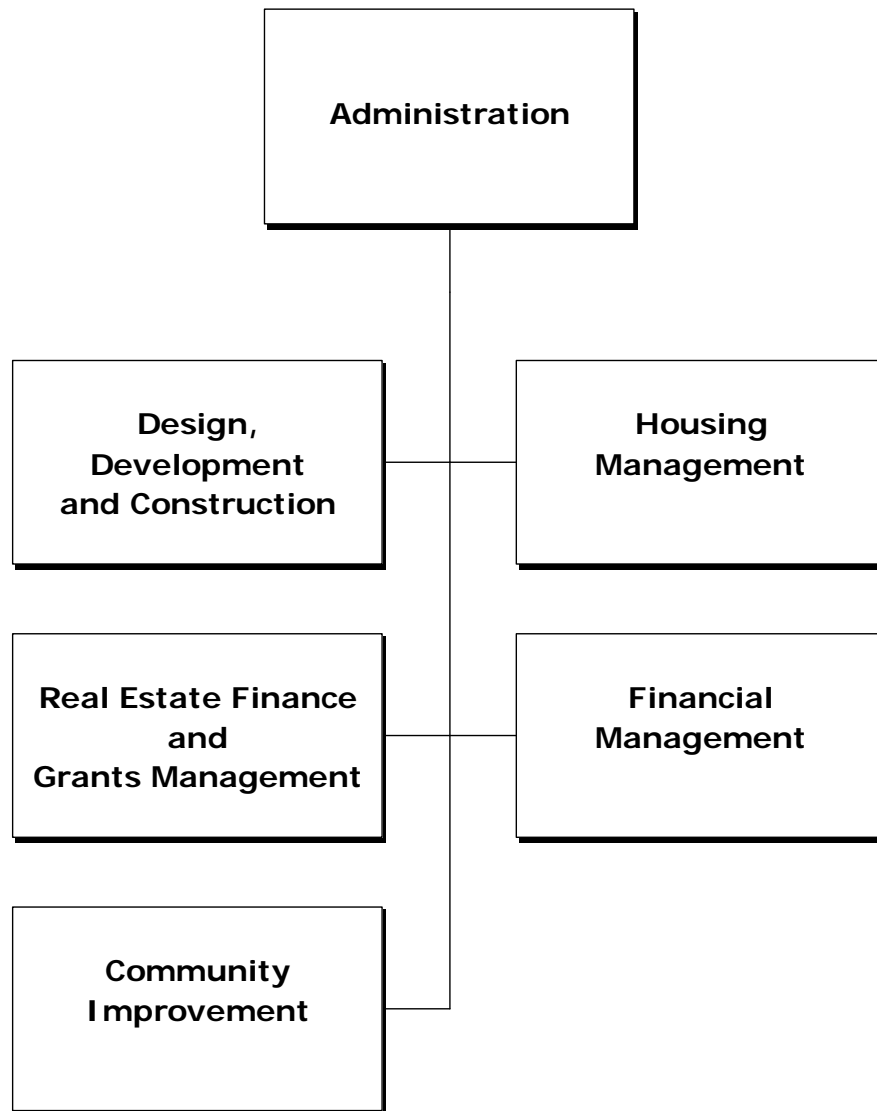
◆ FCRHA Internal Service Fund

- Fund 949, FCRHA Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying, insurance, and audits which have been budgeted and paid from one of the FCRHA's funds and then allocated to the other funds proportionate to their share of the costs. This fund also includes costs associated with the maintenance and operation of FCRHA housing development, such as service contracts for extermination, custodial work, elevator maintenance, and grounds maintenance. The fund allows one contract to be established for goods and services, as opposed to multiple contracts in various funds.

◆ FCRHA Grant Fund

- Fund 965, Housing Grants, was established in FY 2000 to administer grants awarded to the FCRHA. The grants currently in this fund are awarded by the U.S. Department of Housing and Urban Development (HUD), based on competitive applications for funding, and provide for rent subsidies, counseling services, support services, operating expenses, and property improvements. HCD established the PROGRESS Center in FY 2011 to focus on a number of critical areas including employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities for our program residents. The key to connecting FCRHA residents to these services and resources will be partnerships established with other county agencies. Such partnerships already exist but are in the process of being formalized. They include partnerships with the Northern Virginia Workforce Investment Board and its non-profit employment training and job placement arm—The SkillSource Group, Inc. (SkillSource) and partnerships with sister county agencies including the Fairfax County Department of Family Services (DFS) and the Fairfax-Falls Church Community Services Board (CSB). The federal ROSS (Residential Opportunity Self Sufficiency) Grant totaling \$480,000 will be used to support this program.

Department of Housing and Community Development



Mission

To provide the residents of the County with safe, decent and more affordable housing for low- and moderate-income households. In addition, the Department of Housing and Community Development seeks to preserve, upgrade and enhance existing neighborhoods through conservation and rehabilitation of housing, and through the provision of public facilities and services.

Focus

The Fairfax County Department of Housing and Community Development (HCD) provides housing opportunities for low- and moderate-income residents in Fairfax County and assists in the renovation and improvement of neighborhoods. HCD, which acts as staff to the Fairfax County Redevelopment and Housing Authority (FCRHA), supports, develops and administers a wide variety of FCRHA programs, including:

- ◆ Rental housing and tenant subsidies;
- ◆ Specialized housing;
- ◆ Loans for home ownership and home improvement;

Department of Housing and Community Development

- ◆ Affordable housing finance; and
- ◆ Community development.

County resources within the General Fund provide support for positions in Agency 38, Housing and Community Development (HCD). These positions coordinate the County's community development and improvement programs, support the development and operation of FCRHA-assisted housing, and provide critical support in financial management, computer network operations and policy planning.

The General Fund also supports the federal public housing and local rental programs by funding a portion of the administrative and maintenance staff costs, as well as condominium fees, limited partnership real estate taxes and building maintenance.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	43 / 43	43 / 43	43 / 43	42 / 42	42 / 42
Expenditures:					
Personnel Services	\$3,699,295	\$4,181,534	\$3,854,108	\$4,283,817	\$4,336,485
Operating Expenses	2,358,630	2,259,723	2,411,684	1,863,824	1,863,824
Capital Equipment	0	0	0	0	0
Subtotal	\$6,057,925	\$6,441,257	\$6,265,792	\$6,147,641	\$6,200,309
Less:					
Recovered Costs	(\$233,500)	(\$512,500)	(\$241,250)	(\$512,500)	(\$512,500)
Total Expenditures	\$5,824,425	\$5,928,757	\$6,024,542	\$5,635,141	\$5,687,809

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** \$145,651
An increase of \$145,651 in Personnel Services reflects \$92,983 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$52,668 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** \$83,630
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$83,630 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Position Adjustment** (\$74,330)
A decrease of \$74,330 in Personnel Services is due to the transfer of 1/1.0 SYE Public Information Officer III to the Office of Public Affairs as part of a reorganization associated with an interdepartmental realignment.

Department of Housing and Community Development

◆ **Reductions** (\$395,899)

A decrease of \$395,899 reflects the following reduction utilized to balance the FY 2013 budget. The following chart provides details on the specific reductions approved:

Title	Impact	Posn	SYE	Reduction
Condominium Fees and Homeowner Association Dues	The reduction of condominium fees and homeowner association dues paid for by the Housing General Fund impacts expenditures incurred by the Fairfax County Rental (FCRP) and Public Housing programs. The agency will absorb this reduction within these two programs. The impact of transferring these expenditures to the FCRHA funds will have a long term effect on the ability of individual properties to build operating reserves for capital improvement needs and on-going maintenance requirements.	0	0.0	\$395,899

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

◆ **Carryover Adjustments** \$95,785

As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$63,824 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved an increase of \$31,961 for encumbrances comprised of furniture and fixtures, repair and maintenance requirements, condominium fees, and other miscellaneous expenses.

Administration

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	10 / 10	10 / 10	11 / 11	10 / 10	10 / 10
Total Expenditures	\$2,112,759	\$1,814,993	\$1,969,028	\$1,974,834	\$1,989,941

Position Summary					
1 Director	1 Housing/Community Developer IV	2 Network/Telecom Analysts II			
1 Deputy Director	1 Human Resources Generalist II	2 Administrative Assistants IV			
1 HCD Division Director	1 Info. Tech. Program Manager I				
TOTAL POSITIONS					
10 Positions/ 10.0 Staff Years					

Goal

To provide administrative and computer systems support to the core business areas of the Fairfax County Redevelopment and Housing Authority and the Department of Housing and Community Development by responding to computer network requests from agency employees; public information requests from citizens, agencies and other interested individuals and groups; data collection and analysis; and, administrative management of the agency.

Department of Housing and Community Development

Design, Development and Construction

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	3 / 3	3 / 3	3 / 3	3 / 3	3 / 3
Total Expenditures	\$310,726	\$351,920	\$277,991	\$366,784	\$371,369

Position Summary	
1	HCD Division Director
2	Housing/Community Developers IV
TOTAL POSITIONS	
3 Positions / 3.0 Staff Years	

Goal

To provide design and development services to facilitate the availability of affordable housing for low- and moderate-income residents and to implement public improvement projects in the County, and coordinate construction, rehabilitation and renovations of FCRHA properties in coordination with DPWES, private architects and engineers and contractors. Oversee the coordination of ADU production and workforce housing policy implementation and compliance.

Housing Management

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	10 / 10	10 / 10	10 / 10	10 / 10	10 / 10
Total Expenditures	\$1,908,922	\$2,120,678	\$2,130,682	\$1,662,581	\$1,674,241

Position Summary					
2	HCD Division Directors	1	Material Management Supervisor	2	Administrative Assistants IV
1	Housing Services Specialist IV	1	Housing/Community Developer IV	1	Administrative Assistant III
		1	Housing/Community Developer II	1	Administrative Associate
TOTAL POSITIONS					
10 Positions / 10.0 Staff Years					

Goal

To manage and maintain affordable housing that is decent, safe and sanitary for eligible families and to maintain FCRHA housing in accordance with community standards and to provide homeownership opportunities to eligible households. Provide asset management services and rental assistance.

Department of Housing and Community Development

Financial Management

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	13 / 13	13 / 13	13 / 13	13 / 13	13 / 13
Total Expenditures	\$1,034,957	\$994,169	\$1,009,343	\$1,036,160	\$1,049,112

Position Summary					
1 Finance Manager	2 Accountants II	2 Administrative Assistants IV			
1 Financial Specialist IV	2 Accountants I	4 Administrative Assistants III			
1 Contract Analyst III					
TOTAL POSITIONS					
13 Positions / 13.0 Staff Years					

Goal

To provide management information for controls and compliance reporting to external oversight entities as required by policies and regulations; to collect revenues, process expenditures and service loans on a timely basis; to provide budgetary preparation and control of all agency funds; to maintain accounting records and prepare financial reports in conformance with generally accepted accounting principles to ensure accurate and auditable financial statements. Ensure proper purchasing processes.

Real Estate Finance and Grants Management

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	2 / 2	2 / 2	1 / 1	1 / 1	1 / 1
Total Expenditures	\$74,126	\$272,603	\$257,389	\$204,575	\$208,062

Position Summary					
1 Housing/Community Developer I					
TOTAL POSITIONS					
1 Position / 1.0 Staff Year					

Goal

To plan, implement and maintain community-based and agency-based support services designed to improve the quality of life for residents in low- and moderate-income communities, and to provide financial services in order to facilitate the preservation and development of affordable housing. Provide financing services to the FCRHA, non-profits and other affordable housing providers. Ensure compliance with federal laws. Provide economic opportunities to low- and moderate-income residents.

Department of Housing and Community Development

Community Improvement

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	5 / 5	5 / 5	5 / 5	5 / 5	5 / 5
Total Expenditures	\$382,935	\$374,394	\$380,109	\$390,207	\$395,084

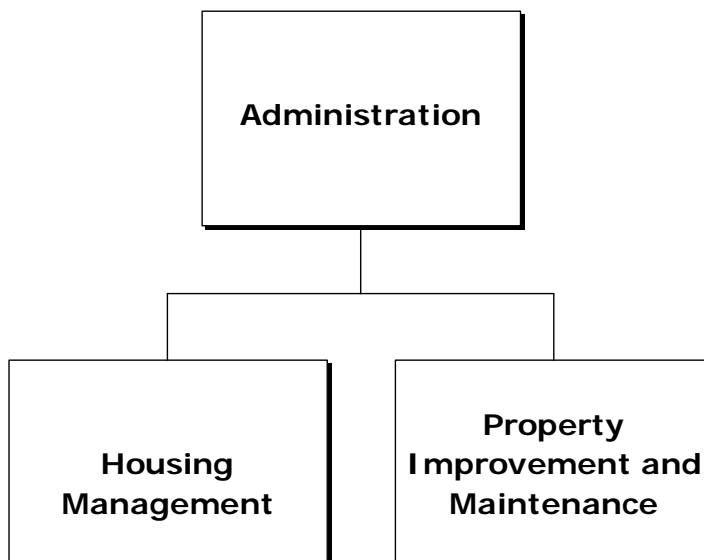
Position Summary					
1 Deputy Director	1 Finance Manager	1 Administrative Assistant IV			
1 Real Estate/Grant Manager	1 Housing/Community Developer IV				
TOTAL POSITIONS					
5 Positions / 5.0 Staff Years					

Goal

To address current program needs associated with countywide residential improvement and repair projects within the Department of Housing and Community Development as well as home repair for the elderly and development of FCRHA properties.

Fund 141

Elderly Housing Programs



Mission

To manage affordable rental housing acquired by the FCRHA for the benefit of the elderly, and to maintain and preserve the units for long-term rental availability.

Focus

Fund 141, Elderly Housing Programs, accounts for personnel, operating, and equipment costs related to the County's support of the operation of the three locally-funded elderly housing developments owned or leased by the Fairfax County Redevelopment and Housing Authority (FCRHA). The three elderly housing developments funded in Fund 141, Elderly Housing Programs, are: Lewinsville Senior Residences in McLean (Dranesville District), Little River Glen in Fairfax (Braddock District), and Lincolnia Senior Center and Residence (Lincolnia area of the Mason District). Funding for five facilities, Morris Glen in the Lee District, Gum Springs located in the Mount Vernon District, Herndon Harbor House in the Dranesville District, Olley Glen in the Braddock District and Braddock Glen in Fairfax (Braddock District) are not presented in Fund 141, Elderly Housing Programs. Although they are owned by a limited partnership of which the FCRHA is the managing general partner, the facilities are managed by private firms. Together, in FY 2013, these eight facilities will provide for 610 congregate housing units, four Adult Day Health Care Centers, a 52-bed Adult Care Residence and a 60-unit assisted living facility that is affordable to low-income elderly.

In FY 2013, the operation of Elderly Housing Programs will be supported in part with rental income, a state auxiliary grant for indigent care in the Adult Care Residence component at the Lincolnia Center, County support, and a rental subsidy from the federally funded Housing Choice Voucher program. The County's General Fund transfer of approximately \$2.0 million supports more than 48 percent of expenditures in Fund 141. The Morris Glen, Gum Springs, Herndon Harbor House, Olley Glen and Braddock Glen facilities are self-supporting and do not require County General Fund support in Fund 141.

Other costs related to the County's housing program at these sites, including the operating costs of senior centers, adult day health care centers, and a congregate food program, are reflected in the agency budgets

Fund 141

Elderly Housing Programs

for the Department of Neighborhood and Community Services, the Health Department, Fund 102, Federal/State Grant Fund, and the County and Schools Consolidated Debt Service Fund.

The elderly projects funded in Fund 141 are briefly described below:

- ◆ Lewinsville Senior Residences is a housing facility in McLean (Dranesville District) that is comprised of 22 efficiency units and a congregate living area, serving the residential needs of low-to-moderate income elderly. The Department of Housing and Community Development (HCD) manages the residential facility, reviews applications to determine eligibility requirements, and provides maintenance services. A congregate meal program is funded through Fund 102, Federal/State Grant Fund. The FCRHA leases a portion of this facility for the elderly housing program from the County. In addition, the facility also houses a senior recreation program that serves an average of 55 senior citizens, which is run by the County's Department of Neighborhood and Community Services, and an Adult Day Health Care Center run by the Health Department that serves an average of 35 senior citizens. FY 2013 funding provided in Fund 141 for the operation of the elderly housing component of this facility is \$263,850.

- ◆ Little River Glen is a 120-unit facility which opened in the fall of 1990. It is spread over five buildings on an eight-acre site in the Braddock District and serves the residential needs of low-to-moderate income elderly. Four different models of one-bedroom units are available. The space is designed for senior citizens who are capable of living independently and desire to participate in social and recreational opportunities provided on-site. There is a Senior Center with lounges, recreation/activity rooms, and a commercial kitchen. The Department of Neighborhood and Community Services runs the Senior Center and Fund 102, Federal/State Grant Fund funds the congregate meal program. FY 2013 funding provided in Fund 141 for the operation of this facility is \$1,476,202.

- ◆ Lincolnia Center is a multi-purpose facility which opened in January 1990 in the Mason District in response to the residential needs of low-income and indigent elderly. It consists of two separate residential areas: a Congregate Residence of 26 units which provides independent living for senior citizens with limited means, and a 52-bed Adult Care Residence for elderly residents who require assistance with daily living activities. The FCRHA leases the residential portion of this facility for the elderly housing program from the County. Funding for a management contract in the amount of \$895,000 for the Lincolnia Adult Care Residence will cover the costs of care giving staff that provides services 24 hours a day for that component of the Lincolnia facility. The Lincolnia Center also houses a Senior Center with recreation/activity rooms, a commercial kitchen, lounges, and an Adult Day Health Care Center. The Department of Neighborhood and Community Services administers the Senior Center and the Health Department staffs and operates the Adult Day Health Care Center. A congregate meal program is administered by HCD and funded by Fund 102, Federal/State Grant Fund, for all program participants and residents. FY 2013 funding provided in Fund 141 for the operation of this facility is \$2,479,022.

The elderly projects owned by the limited partnership are briefly described below:

- ◆ Morris Glen is a 60-unit garden apartment community located in the Lee District in the Manchester Lakes Community. The facility was completed in December 1995 for moderate-income seniors capable of independent living. Morris Glen consists of two two-story residential buildings and a small community building. Four different models of one-bedroom units are available as well as a large common area in each residential building. This facility is managed and maintained by a private

Fund 141

Elderly Housing Programs

contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income.

- ◆ Gum Springs Glen is a 60-unit garden retirement community for independent seniors which opened in May 2003, in the Mount Vernon District. Gum Springs Glen consists of two two-story buildings with 30 apartments plus common space in each building. There are 56 one-bedroom residential apartments of approximately 425 square feet and four two-bedroom apartments with approximately 550 square feet. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income. In addition to the residential units, the lower level of Gum Springs Glen provides space for a Head Start program and training center which is operated by the Department of Family Services, Office for Children.
- ◆ Herndon Harbor House is an adult care community that was developed in three phases. Opened in October 1998, Herndon Harbor House I is a 60-unit community that includes two 30-unit residential buildings and is located in the Town of Herndon in the Dranesville District. The facility is managed and maintained by a private contractor with HCD staff serving as contract administrator. Expenditures are supported by rental income. Herndon Harbor House II includes an additional 60 units of congregate housing, for a total of 120 units, and an Adult Day Health Care Center, both of which were opened in FY 2001. Phase III is a Senior Center that was completed in May 2005.
- ◆ Olley Glen is a 90-unit active senior development on the FCRHA's Glens at Little River senior housing campus in the Braddock District. This project was completed in October 2010. The total development cost for the project was \$24,037,988, including \$17,033,859 in non-county funds, as well as \$704,129 from the Housing Trust Fund and \$6,300,000 from Fund 319, The Penny for Affordable Housing Fund. This property is owned as a partnership, managed by the FCRHA, and budgeted in Fund 950, Housing Partnerships.
- ◆ Braddock Glen is a 60-unit affordable, assisted living facility which opened in July 2006. This facility is owned by the FCRHA, managed and maintained by a private contractor, and a congregate meal program is funded by Fund 102, Federal/State Grant Fund. The Adult Day Health Care Center inside the facility is leased to Inova Health Care Services, a not-for-profit entity, which operates PACE (Program for All Inclusive Care for the Elderly), a program that provides community-based health care services to the elderly. The PACE model at the site is called InovaCares for Seniors and began operation in April 2012.

Certain expenses reflected in this fund are not directly related to housing operations. The FCRHA, as landlord of these facilities, has inter-agency agreements, which provide for budgeting by HCD for common area expenses for utilities, telecommunications, maintenance, custodial services, and contracts. The facilities provide space for general community use, as well as for services provided by other County agencies.

Fund 141

Elderly Housing Programs

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	15 / 15	15 / 15	15 / 15	15 / 15	15 / 15
Expenditures:					
Personnel Services	\$1,166,254	\$1,048,665	\$1,063,623	\$1,090,345	\$1,102,737
Operating Expenses	3,387,400	3,110,836	3,884,509	3,116,337	3,116,337
Capital Equipment	0	0	0	0	0
Total Expenditures	\$4,553,654	\$4,159,501	\$4,948,132	\$4,206,682	\$4,219,074

Position Summary					
HOUSING MANAGEMENT	1	Housing Services Specialist II	1	General Building Maintenance Worker I	
1 Director of Senior Housing	1	Housing Services Specialist I	1	Administrative Assistant V	
1 Housing Services Specialist V	1	Senior Mechanical Systems Supervisor	1	Maintenance Trade Helper II	
1 Housing Services Specialist IV	1	Trades Supervisor	1	Facility Attendants II	
1 Housing Services Specialist III	1	Electrician II	3		
TOTAL POSITIONS					
15 Positions / 15.0 Staff Years					

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation**

An increase of \$34,475 in Personnel Services reflects \$22,083 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$12,392 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

\$34,475
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment**

As part of the FY 2011 *Carryover Review*, the Board of Supervisors approved an increase of \$19,597 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

\$19,597
- ◆ **Operating and Maintenance Costs**

A net increase of \$5,501 in Operating Expenses is associated with increased costs based on prior years' actual expenses primarily for repair and maintenance, utility consumption and administration with adjustments for one-time repair and maintenance costs at the Lincolnia property.

\$5,501

Fund 141

Elderly Housing Programs

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$788,631**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$14,958 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved \$207,589 in encumbered carryover and an allocation of \$566,084 from fund balance to support required capital improvements at the Lincolnia Senior Living Facility that were not completed in FY 2011.

Fund 141 Elderly Housing Programs

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 141, Elderly Housing Programs

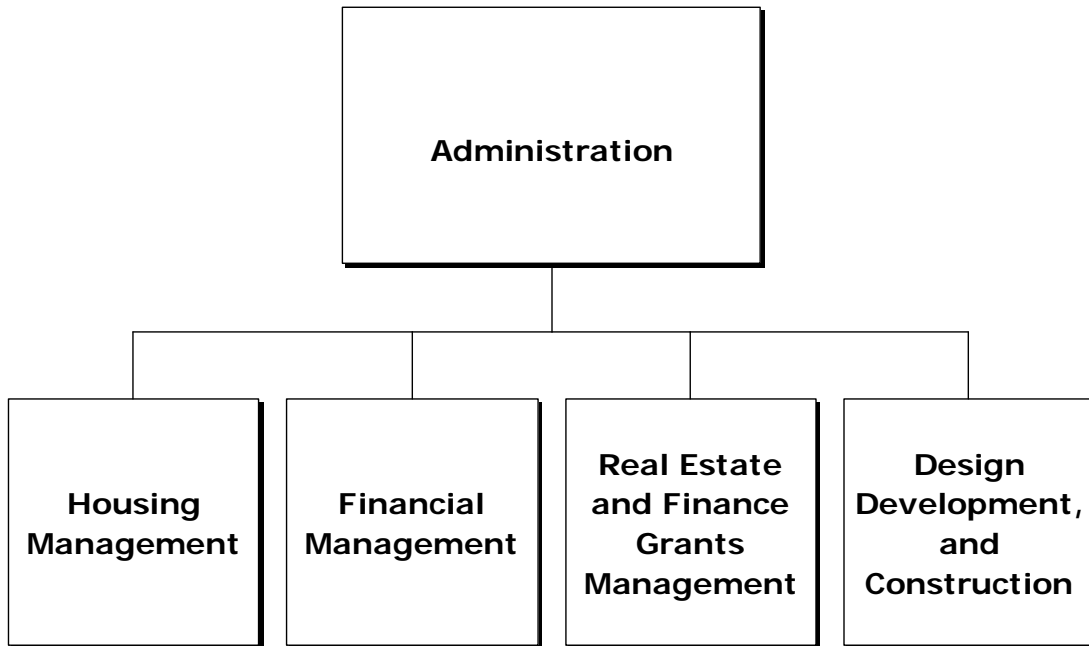
	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$1,843,707	\$1,205,345	\$1,593,103	\$1,318,207	\$1,079,147
Revenue:					
Rental Income ¹	\$2,240,067	\$2,085,153	\$2,165,707	\$1,925,834	\$1,925,834
Miscellaneous Revenue ¹	7,916	18,702	18,702	18,702	18,702
HOME Rental Assistance	65,842	245,584	245,584	355,032	355,032
Total Revenue	\$2,313,825	\$2,349,439	\$2,429,993	\$2,299,568	\$2,299,568
Transfer In:					
General Fund (001)	\$1,989,225	\$1,989,225	\$2,004,183	\$2,030,905	\$2,043,297
Total Transfer In	\$1,989,225	\$1,989,225	\$2,004,183	\$2,030,905	\$2,043,297
Total Available	\$6,146,757	\$5,544,009	\$6,027,279	\$5,648,680	\$5,422,012
Expenditures:					
Personnel Services ¹	\$1,166,254	\$1,048,665	\$1,063,623	\$1,090,345	\$1,102,737
Operating Expenses ¹	3,387,400	3,110,836	3,884,509	3,116,337	3,116,337
Total Expenditures	\$4,553,654	\$4,159,501	\$4,948,132	\$4,206,682	\$4,219,074
Total Disbursements	\$4,553,654	\$4,159,501	\$4,948,132	\$4,206,682	\$4,219,074
Ending Balance²	\$1,593,103	\$1,384,508	\$1,079,147	\$1,441,998	\$1,202,938
Replacement Reserve	\$1,593,103	\$1,384,508	\$1,079,147	\$1,441,998	\$1,202,938
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$239,059.76 have been reflected as an increase of \$51,163.98 in FY 2011 revenues to record accrual adjustments, and an increase of \$290,223.74 in FY 2011 expenditures to record expenses for personnel services, contracts, building maintenance and refuse disposal charges in the appropriate fiscal year. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the FY 2011 audit adjustments were included in the FY 2012 Third Quarter package.

²Ending Balances fluctuate due to program adjustments, carryover of operating expenditures, audit adjustments and adjustments in the General Fund transfer.

Fund 142

Community Development Block Grant



Mission

To conserve and upgrade low- and moderate-income neighborhoods through the provision of public facilities, home improvements, public services, and economic development, and to stimulate the development and preservation of low- and moderate-income housing.

Focus

Fund 142, Community Development Block Grant (CDBG), seeks to stimulate the development and preservation of low- and moderate-income housing and the provision of loans, public facilities, and improvements directed toward conserving and upgrading low- and moderate-income neighborhoods. It also supports the provision of special outreach and assistance services to low- and moderate-income households. Fairfax County receives an annual Community Development Block Grant through the U.S. Department of Housing and Urban Development (HUD). The use of these funds is subject to eligibility criteria established by Congress for the program and must meet one of three national objectives: (1) benefit to the low- and moderate-income population of the County; (2) elimination of slums and blight; and (3) meet urgent needs. Specific uses of each annual grant are outlined in the Consolidated Plan One-Year Action Plan. The Board of Supervisors has designated the Consolidated Community Funding Advisory Committee, (CCFAC) as the citizen advisory group charged with overseeing the Consolidated Plan process. The Consolidated Plan also incorporates the recommendations of the Fairfax County Redevelopment and Housing Authority (FCRHA) concerning the use of CDBG funds. The CCFAC forwards the Plan to the Board of Supervisors for a public hearing and adoption. The Plan is then forwarded to HUD for approval and final grant award.

Fund 142

Community Development Block Grant

Historically, CDBG funds have been used for:

- development and preservation of affordable housing;
- neighborhood improvements in communities designated as Conservation or Redevelopment Areas by the Board of Supervisors;
- programs providing needed services to the low- and moderate-income population;
- financial and technical assistance to homeowners for housing rehabilitation and repair;
- payments on loans used for affordable housing development; and
- costs to administer this grant and related programs.

Funding in the amount of \$1,610,504 was received during FY 2010 as part of the American Recovery and Reinvestment Act of 2009. The grant program was a supplemental appropriation and is commonly referred to as the CDBG Recovery Program (CDBG-R). More than 77 percent of the funding has been used to rehabilitate FCRHA rental, group homes, and elderly properties. Any balance of the funding will be carried over and expended on these properties during FY 2013.

FY 2013 Initiatives

Funding in the amount of \$5,418,429 is estimated for FY 2013 (Program Year 38), and is based on the actual FY 2012 award. The official award from HUD indicates there likely will be reductions from the FY 2012 funding award amount for FY 2013, and necessary project adjustments will be made as part of the *FY 2012 Carryover Review*. The following identifies some of the projected funding initiatives:

- ◆ A portion of the County's CDBG entitlement will be combined with County General Funds and the Community Services Block Grant into a Consolidated Community Funding Pool (CCFP), providing funds through a competitive process to non-profit organizations for human services and affordable housing development and preservation. In FY 2013, it is initially projected that an amount of \$1,600,678 will be available for the CCFP.
- ◆ An amount of \$57,512 is currently projected for the Fair Housing Program implementation, including conducting and reporting on fair housing tests, filing fair housing complaints, training rental agents and housing counselors in the County's rental market, establishing and staffing the Fair Housing Task Force, and continuing to study and report on the County's fair housing needs.
- ◆ An amount of \$243,424 is projected to support staff and operating costs for the Home Repair for the Elderly Program. This program provides minor home repairs to low-income elderly or disabled residents to enable these individuals to live in safe and sanitary housing.
- ◆ Funding of \$720,398 is projected to be available for payments on Section 108 Loans. These loans, approved by the Board of Supervisors and HUD, are designated for affordable housing preservation and development, the reconstruction of Washington Plaza, Strawbridge Square, Olley Glen and road and storm drainage improvements in five conservation areas: Baileys, Fairhaven, Gum Springs, James Lee, and Jefferson Manor.

Fund 142

Community Development Block Grant

- ◆ Also included in Fund 142 is support for staff and operating costs to provide federally-mandated relocation and advisory services to individuals affected by federally funded County and FCRHA programs. In addition, funding is provided for staff support and operating costs for overall program management and planning for Community Development Block Grant and Section 108 Loan programs. This includes preparation of the annual HUD Consolidated Plan and other program reports, administration and monitoring of non-profit contracts, evaluation of program performance, and planning of the development of affordable housing in the County. In FY 2013, funding for these services is estimated to be \$1,355,536 (Planning and Urban Design, General Administration and Housing Program Relocation projects).
- ◆ The Homeownership Assistance Program provides funding in the amount of \$351,965 for the support of staff in the Relocation Services Branch, who provide support to the First-Time Homebuyer and Moderate Income Direct Sales programs. The main duties of these positions include application data entry, waiting list maintenance, application processing, conducting lotteries, annual occupancy certifications, and counseling applicants.
- ◆ It is anticipated that funding in the amount of \$1,088,916 for Contingency Fund requirements will be available for allocation to rehabilitation, revitalization and loan programs, which is outlined in the Consolidated Plan One Year Action Plan for FY 2013.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/ Staff Years					
Grant	0 / 0	0 / 0	22 / 22	22 / 22	22 / 22
Regular	22 / 22	22 / 22	0 / 0	0 / 0	0 / 0
Expenditures:					
CDBG Projects	\$8,454,534	\$6,463,133	\$14,608,812	\$5,418,429	\$5,418,429
Total Expenditures	\$8,454,534	\$6,463,133	\$14,608,812	\$5,418,429	\$5,418,429

Position Summary		
ADMINISTRATION 1 GIS Analyst II HOUSING MANAGEMENT 1 Hsg. Services Specialist IV 3 Hsg. Services Specialists II 1 Administrative Assistant IV	REAL ESTATE FINANCE AND GRANTS MANAGEMENT 1 HCD Division Director 3 H/C Developers IV 2 H/C Developers III 1 Senior Maintenance Supervisor 2 General Bldg. Maint. Workers I 1 Administrative Assistant IV	FINANCIAL MANAGEMENT 1 Accountant III 1 Administrative Assistant V 1 H/C Developer V DESIGN, DEVELOPMENT AND CONSTRUCTION 2 H/C Developers IV 1 Administrative Assistant IV
TOTAL POSITIONS 22 Grant Positions / 22.0 Grant Staff Years		

Fund 142

Community Development Block Grant

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$0**
It should be noted that no additional funding is included for the 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012; the 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013; or the full year impact of the FY 2012 market rate adjustment that was effective September 24, 2011, as the fund will absorb the impact within the existing HUD award authorization.

- ◆ **U.S. Department of Housing and Urban Development (HUD) Award** **(\$1,044,704)**
A decrease of \$1,044,704 is associated with the FY 2012 HUD award that was used to project expenditures for this fund in FY 2013.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Position Adjustments** **\$0**
Subsequent to the *FY 2011 Carryover Review*, 22/22.0 SYE Merit Regular positions were converted to 22/22.0 SYE Merit Grant positions as a result of the change in definition of CDBG funding in the new financial reporting system. There is no fiscal impact as a result of the conversion.

- ◆ **Carryover Adjustments** **\$8,117,832**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase \$8,117,832 due to carryover of \$8,640,552 in unexpended project balances; appropriation of \$237,794 in unanticipated program income received in FY 2011; a General Fund transfer of \$284,190 to support programs in Fund 142, Community Development Block Grant that are impacted due to federal budget reductions, offset by a reduction of \$1,044,704 due to the amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on April 26, 2011. In addition, the Board of Supervisors approved a 2.0 percent market rate adjustment, effective September 24, 2011, which the fund will absorb within the existing HUD award authorization.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 142

Community Development Block Grant

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 142, Community Development
Block Grant

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$496,240	\$0	\$721,772	\$0	\$0
Revenue:					
Community Development Block Grant (CDBG)	\$8,099,890	\$6,463,133	\$12,791,838	\$5,418,429	\$5,418,429
American Recovery and Reinvestment Act of 2009	342,382	0	811,012	0	0
CDBG Program Income	237,794	0	0	0	0
Total Revenue	\$8,680,066	\$6,463,133	\$13,602,850	\$5,418,429	\$5,418,429
Transfers In:					
General Fund (001)	\$0	\$0	\$284,190	\$0	\$0
Total Transfers In	\$0	\$0	\$284,190	\$0	\$0
Total Available	\$9,176,306	\$6,463,133	\$14,608,812	\$5,418,429	\$5,418,429
Expenditures:					
CDBG Projects ¹	\$8,454,534	\$6,463,133	\$14,608,812	\$5,418,429	\$5,418,429
Total Expenditures	\$8,454,534	\$6,463,133	\$14,608,812	\$5,418,429	\$5,418,429
Total Disbursements	\$8,454,534	\$6,463,133	\$14,608,812	\$5,418,429	\$5,418,429
Ending Balance²	\$721,772	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a decrease of \$27,847.22 have been reflected as a decrease in FY 2011 expenditures to accurately record expenditures. FY 2012 expenditures were adjusted by commensurate amounts. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 142

Community Development Block Grant

FY 2013 Summary of Capital Projects

Fund: Fund 142, Community Development Block Grant

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
003800	Adjusting Factors		\$0.00	\$0.00	\$1,600,678	\$1,600,678
003813	Home Improvement Loan Program	17,600,572	92,801.64	350,938.83	0	0
003823	Huntington Community Center	179,374	0.00	0.00	0	0
003836	Woodley-Nightingale	8,730,467	0.00	0.00	0	0
003846	Bailey's Road Improvements	2,735,258	0.00	0.00	0	0
003848	Fairhaven Public Improvements	3,560,690	0.00	0.00	0	0
003864	Home Repair for the Elderly		247,703.42	479,919.74	243,424	243,424
003899	Contingency Fund		0.00	7,794.00	1,088,916	1,088,916
003905	Gum Springs Public Improvements	2,360,042	0.00	0.00	0	0
003907	James Lee Community Center	64,495	0.00	0.00	0	0
003910	James Lee Road Improvements	2,971,992	487.19	0.00	0	0
003915	Planning and Urban		395,675.11	491,927.09	530,324	530,324
003916	General Administration		644,741.95	703,451.74	494,317	494,317
003978	Lincolnia Center	156,271	61.70	156,209.30	0	0
013868	Good Shepherd Housing	4,431,735	341,073.00	470,878.00	0	0
013872	Housing Program Relocation		267,385.75	607,695.33	330,895	330,895
013886	RPJ Transitional Housing	816,200	3,950.00	10,317.00	0	0
013887	Section 108 Loan Payments		987,560.65	1,283,520.12	720,398	720,398
013908	West Ox	200,000	16,804.46	183,195.54	0	0
013918	Jefferson Manor Public Imp.	990,441	0.00	0.00	0	0
013919	Homestretch		402,759.00	540,574.57	0	0
013928	RPJ Housing Acquisition	732,134	0.00	0.00	0	0
013933	Reston Interfaith Townhouses	824,051	0.00	0.00	0	0
013966	Glenwood Mews	206,866	0.00	0.00	0	0
013980	FACETS Family Enrichment		275,232.00	265,406.00	0	0
013992	Christian Relief Services		144,851.00	156,470.40	0	0
014028	Ethiopian Development Council		37,750.00	117,500.00	0	0
014034	Fair Housing Program		115,468.02	226,162.60	57,512	57,512
014091	Psychiatric Rehabilitation Services	101,000	0.00	0.00	0	0

Fund 142

Community Development Block Grant

FY 2013 Summary of Capital Projects

Fund: Fund 142, Community Development Block Grant

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
014093	RPJ Housing Development Corporation	10,000	0.00	0.00	0	0
014107	Wesley/Coppermine		105,948.00	230,069.10	0	0
014110	Bilingual Rehabilitation Specialist		45,063.00	163,977.87	0	0
014113	Homeownership Assistance Program		298,124.21	821,549.25	351,965	351,965
014127	Magnet Housing		0.00	29,999.84	0	0
014129	Senior/Disabled Housing Development		6,960.00	2,877,853.51	0	0
014132	Child Care Center Grant Pr		97,534.82	646.98	0	0
014140	Lewinsville Expansion	152,876	0.00	152,876.00	0	0
014150	Catholics F/HSG Acq. Of Briarcliff TNHS	200,000	0.00	0.00	0	0
014151	Home Ownership Strategy	10,065	0.00	0.00	0	0
014152	Revitalization		0.00	2,630.90	0	0
014155	Language Initiative	63,524	0.00	0.00	0	0
014182	Pathway Homes		41,298.00	178,851.00	0	0
014191	Rehabilitation of FCRHA Properties		1,085,402.71	374,740.67	0	0
014194	Woodley Park Community Center	100,000	0.00	0.00	0	0
014196	Affordable/Workforce Housing Projects		0.00	70,682.63	0	0
014249	North Hill	620,213	0.00	620,212.86	0	0
014310	The Brain Foundation		300,000.00	310,000.00	0	0
014311	Neighborhood Stabilization Program	2,807,300	1,366,813.28	20,270.00	0	0
014312	Neighborhood Stabilization Program (State)		495,121.00	41,487.80	0	0
014316	Mondloch House	1,279,976	110,262.29	1,124,263.29	0	0
014321	Non-Profit Blueprint Project		0.00	836,344.85	0	0
014948	New Hope Housing, Inc.		93,202.00	128,575.00	0	0
014949	Reston Interfaith Housing Corporation		434,500.00	571,820.00	0	0
Total		\$51,905,543	\$8,454,534.20	\$14,608,811.81	\$5,418,429	\$5,418,429

Fund 143

Homeowner and Business Loan Programs

Mission

The overall goals of the Homeowner and Business Loan Programs are to enhance the quality of life and economic base of the County by providing support for homeownership, to repair and upgrade existing housing and to assist small and minority businesses. One focus is to provide a means and opportunity for low- and moderate-income households to become homeowners in the County through the First-Time Homebuyers Program operated by the FCRHA and offering units through the Moderate Income Direct Sales (MIDS) Program and Fairfax County's Affordable Dwelling Unit (ADU) Ordinance. The second focus is to provide affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement, resulting in the elimination of health and safety code violations, enhancing the quality and appearance of existing housing and retaining existing affordable housing. The third focus is to provide business assistance and counseling services as well as direct loans to qualified minority businesses.

Focus

Fund 143, Homeowner and Business Loan Programs, is comprised of three programs designed to meet the agency mission as detailed below:

- ◆ The First-Time Homebuyers Program is designed to provide affordable homeownership opportunities for low- to moderate-income families who otherwise could not afford to purchase a home. The Moderate Income Direct Sales (MIDS) program as established in 1978, acquired or constructed units sold by the FCRHA to moderate income families, with second trust loans provided to make the loan amount affordable. The resale price of the unit is limited, and the FCRHA has the right of first refusal when the home is resold. Since 1993, the FCRHA has been marketing units that are provided under provisions of Fairfax County's Affordable Dwelling Unit Ordinance. These units also serve low- and moderate-income households who are first-time homebuyers earning at least \$25,000. Homes range in price from \$70,000 to \$160,000. Restrictive covenants apply that limit the sales price, and require owners to occupy the home. Homes purchased currently have a 30-year control period. The FCRHA has the right of repurchase or the right to assign the purchase to a new homebuyer. Applicants for both ADU units and MIDS units are required to participate in homeownership education classes and obtain a pre-conditional approval from a lender to participate in drawings to receive these homes.

- ◆ The Home Improvement Loan Program (HILP) provides loans to low- and moderate-income individuals to repair, modernize, or expand the living space for their families to help alleviate overcrowded conditions. Funds are also loaned to homeowners who are cited for health and housing code violations, and for replacement housing, if necessary. Grants are provided for low-income elderly or disabled residents through the Elderly Home Repair Program to make needed repairs and provide for handicapped accessibility, to prevent displacement, and to allow these individuals to live in safe and sanitary housing. County appropriated funds within Fund 143, Homeowner and Business Loan Programs are used in conjunction with federal funding in Fund 142, Community Development Block Grant, to increase the assistance available to County residents. In FY 2012, the HILP provided assistance to Fairfax County flood victims as a result of Hurricane Irene.

Fund 143

Homeowner and Business Loan Programs

- ◆ The Small and Minority Business Loan program was initiated in FY 1996, and Fund 143, Homeowner and Business Loan Programs, was expanded to include the receipt of federal funds for the operations of this program which provides loans to qualified small and minority businesses. Program funds are administered by the Community Business Partnership (formerly the South Fairfax Regional Business Partnership, Inc.) through an agreement with the Department of Housing and Community Development. Loan repayments from the business loans will be received as revenue in Fund 143, Homeowner and Business Loan Programs, and will be used to pay debt service on the Section 108 Loan 7.

Program income from the HILP and MIDS programs will provide direct loans, consistent with the business plan approved by the FCRHA and the Board of Supervisors.

FY 2013 revenues are projected to be \$3,910,249 with the actual results reliant upon economic conditions, participants' ability to repay rehabilitation loans and the real estate market environment for MIDS and ADU resale properties and second trusts. The Section 108 loans will be repaid according to scheduled payments. In addition to the funding in Fund 143, the HILP Program initiated a two percent loan origination fee as of July 1, 1996 on all loans settled by the program. The revenue generated by this program goes directly into Fund 940, FCRHA General Operating to support staff costs associated with the program.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$3,077,573	\$4,514,316	\$10,066,452	\$3,910,249	\$3,910,249
Total Expenditures	\$3,077,573	\$4,514,316	\$10,066,452	\$3,910,249	\$3,910,249

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Moderate Income Direct Sales Program** (\$653,632)
A decrease of \$653,632 is due to lower expenditures for a decreased number of units and cost per unit purchased and resold.
- ◆ **Home Improvement Loan Program** \$51,203
An increase of \$51,203 is due to higher expenditures for loan repayments and administrative costs based on a previous three-year average of activity.
- ◆ **Small and Minority Business Loan Program** (\$1,638)
A decrease of \$1,638 is due to lower expenditures for U.S. Department of Housing and Urban Development Section 108 Loan 7 repayments based on the repayment schedule.

Fund 143

Homeowner and Business Loan Programs

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$5,612,176**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$5,612,176 due to the carryover of FY 2011 balances in the Moderate Income Direct Sales (MIDS) Program, Home Improvement Loan Program, and Small and Minority Business Loan Program.

Fund 143

Homeowner and Business Loan Programs

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 143, Homeowner and Business
Loan Programs

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$3,876,924	\$3,263,192	\$3,336,124	\$3,263,192	\$3,263,353
Revenue:					
Program Income (MIDS) ¹	\$2,305,489	\$4,342,271	\$8,004,231	\$3,688,639	\$3,688,639
County Rehabilitation Loan Repayments ¹	209,409	124,457	1,267,635	175,660	175,660
Business Loan Program ¹	21,875	47,588	721,815	45,950	45,950
Total Revenue	\$2,536,773	\$4,514,316	\$9,993,681	\$3,910,249	\$3,910,249
Total Available	\$6,413,697	\$7,777,508	\$13,329,805	\$7,173,441	\$7,173,602
Expenditures:					
Moderate Income Direct Sales Program (MIDS)	\$2,519,657	\$4,342,271	\$6,985,654	\$3,688,639	\$3,688,639
Rehabilitation Loans and Grants ¹	509,404	124,457	2,140,118	175,660	175,660
Business Loan Program ¹	48,512	47,588	940,680	45,950	45,950
Total Expenditures	\$3,077,573	\$4,514,316	\$10,066,452	\$3,910,249	\$3,910,249
Total Disbursements	\$3,077,573	\$4,514,316	\$10,066,452	\$3,910,249	\$3,910,249
Ending Balance²	\$3,336,124	\$3,263,192	\$3,263,353	\$3,263,192	\$3,263,353

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$59,879.80 have been reflected as an increase of \$159.69 in FY 2011 revenues to record interest adjustments, and an increase of \$60,039.49 in FY 2011 expenditures to record accrued expenses in the appropriate fiscal year. This impacts the amount carried forward resulting in a net decrease of \$60,039.49 to the *FY 2012 Revised Budget Plan*. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

² Projects are budgeted based on the total program costs and most programs span multiple years. Therefore, funding is carried forward each fiscal year and ending balances fluctuate, reflecting the carryover of these funds.

Fund 144

Housing Trust Fund

Focus

Fund 144, Housing Trust Fund, was created in FY 1990 to reflect the expenditures and revenues of funds earmarked to encourage and support the acquisition, preservation, development and redevelopment of affordable housing by the Fairfax County Redevelopment and Housing Authority (FCRHA), non-profit sponsors and private developers. The fund is intended to promote endeavors that will furnish housing to low- and moderate-income individuals in Fairfax County by providing low-cost debt and equity capital in the form of loans, grants and equity contributions. Only capitalized costs are eligible for funding from the Housing Trust Fund.

Under the criteria approved by the FCRHA and the Board of Supervisors for the Housing Trust Fund, highest priority is assigned to projects which enhance existing County and FCRHA programs, acquire, construct or preserve housing which will be maintained for lower income occupants over the long term, promote affordable housing and leverage private funds.

In FY 1996, the Board of Supervisors authorized the FCRHA to implement a pre-development fund as a component of the Housing Trust Fund.

On behalf of the County, the FCRHA administers the Housing Trust Fund, and on an on-going basis, accepts and reviews applications from non-profit corporations and private developers for contributions from this source. The FCRHA forwards its recommendations of projects to be funded to the Board of Supervisors based on this review. The FCRHA itself may submit proposals meeting the Housing Trust Fund criteria to the Board of Supervisors at any time for the Board's approval.

In FY 2013, revenues are estimated to be \$451,361, an increase of \$102,547 or 29.4 percent more than the FY 2012 Adopted Budget Plan. This increase is primarily attributable to higher proffered contributions based on an average of the past three years' actuals. FY 2013 expenditures of \$451,361 will be allocated to three projects: the Rehabilitation of FCRHA Properties, Affordable Housing Partnership Program - Tier Three and the Undesignated Project for reallocation to specific projects when identified and approved.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$4,493,042**
As part of the FY 2011 Carryover Review, the Board of Supervisors approved an increase of \$4,493,042 due to the carryover of unexpended project balances in the amount of \$4,158,103, and the appropriation of additional program income of \$334,939 received in FY 2011.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 144 Housing Trust Fund

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 144, Housing Trust Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$4,239,692	\$229,060	\$4,722,102	\$229,060	\$229,060
Revenue:					
Proffered Contributions	\$398,991	\$225,000	\$225,000	\$372,340	\$372,340
Investment Income	20,447	25,000	25,000	12,311	12,311
Miscellaneous Revenue	140,501	98,814	98,814	66,710	66,710
Total Revenue	\$559,939	\$348,814	\$348,814	\$451,361	\$451,361
Total Available	\$4,799,631	\$577,874	\$5,070,916	\$680,421	\$680,421
Expenditures:					
Capital Projects	\$77,529	\$348,814	\$4,841,856	\$451,361	\$451,361
Total Expenditures	\$77,529	\$348,814	\$4,841,856	\$451,361	\$451,361
Total Disbursements	\$77,529	\$348,814	\$4,841,856	\$451,361	\$451,361
Ending Balance¹	\$4,722,102	\$229,060	\$229,060	\$229,060	\$229,060
Reserved Fund Balance ²	\$229,060	\$229,060	\$229,060	\$229,060	\$229,060
Unreserved Ending Balance	\$4,493,042	\$0	\$0	\$0	\$0

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

² The Reserved Fund Balance reflects revenue receivable to the Housing Trust Fund for interest owed by Reston Interfaith on an equity lien held by the FCRHA.

Fund 144 Housing Trust Fund

FY 2013 Summary of Capital Projects

Fund: 144, Housing Trust Fund

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
003910	James Lee Road Improvements	\$624	\$0.00	\$623.88	\$0	\$0
013906	Undesignated Project		0.00	290,731.21	151,361	151,361
013908	West Ox	119,852	0.00	0.00	0	0
013948	Little River Glen Phase II	8,200,213	12,123.07	4,698.05	0	0
013966	Glenwood Mews	3,200,878	0.00	0.00	0	0
014116	AHPP Tier III		0.00	0.00	200,000	200,000
014140	Lewinsville Expansion	2,932,752	0.00	1,997,270.07	0	0
014142	HTF RSRV/Emergencies & Opportunities		0.00	150,131.48	0	0
014143	HTF Land/Unit Acquisition		0.00	140,794.00	0	0
014144	Transitional Housing	1,000,000	0.00	1,000,000.00	0	0
014191	Rehabilitation of FCRHA Properties		50,866.25	784,213.98	100,000	100,000
014199	Route 50 / West Ox Magnet Housing Project	650,153	0.00	0.00	0	0
014268	Wedgewood	1,900,000	0.00	0.00	0	0
014271	Bond Release Projects		10,129.80	14,769.00	0	0
014316	Mondloch House	332,953	4,410.00	328,543.40	0	0
014321	Non-Profit Blueprint Project		0.00	130,081.00	0	0
VA1951	Tavener Lane Apartments	271,934	0.00	0.00	0	0
VA1952	Water's Edge	780,551	0.00	0.00	0	0
Total		\$19,389,910	\$77,529.12	\$4,841,856.07	\$451,361	\$451,361

Fund 145

HOME Investment Partnership Grant

Mission

The goal of the HOME Investment Partnership Program (HOME) is to provide affordable housing through acquisition, rehabilitation, new construction and tenant-based rental assistance.

Focus

In FY 2013, funding of \$2,383,767 represents an estimated award from the U.S. Department of Housing and Urban Development (HUD) that is based on the actual FY 2012 award. The official award from HUD indicates there will be reductions from the FY 2012 funding award amount for FY 2013, and needed adjustments will be made as part of the *FY 2012 Carryover Review*. FY 2013 funding will provide for the Tenant Based Rental Assistance program and various other new and ongoing projects. Details for specific projects in Program Year 21 (FY 2013) were approved by the Board of Supervisors (BOS) on May 1, 2012 and submitted to HUD as part of the Consolidated Plan One-Year Action Plan: Use of Funds for FY 2013.

The HOME Program was established as part of the Cranston-Gonzalez National Affordable Housing Act of 1990. HOME funds are allocated on an annual basis to eligible participating jurisdictions based on a formula allocation system. The HOME Program requires a 25 percent local match from the participating jurisdiction. The local match can come from any Housing and Community Development project, regardless of funding source that is HOME eligible. Any expenditure beginning in October 1992 in qualifying projects can be considered as part of the required matching funds. In FY 2013, the County will have adequate matching funds from all eligible projects to satisfy the requirement. Therefore, no additional local funds will need to be allocated to meet this requirement.

Budget and Staff Resources

Agency Summary					
Category ¹	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	2 / 2	2 / 2	0 / 0	0 / 0	0 / 0
Grant	0 / 0	0 / 0	2 / 2	2 / 2	2 / 2
Expenditures:					
Housing Capital	\$436,969	\$0	\$2,584,490	\$719,869	\$719,869
Homeless/Special Needs	932,672	2,019,460	3,768,005	1,067,956	1,067,956
Community Housing Development					
Organizations	456,474	403,892	2,860,238	357,565	357,565
Administration	163,605	269,260	975,836	238,377	238,377
Total Expenditures	\$1,989,720	\$2,692,612	\$10,188,569	\$2,383,767	\$2,383,767

¹ Categories as required by the U.S. Department of Housing and Urban Development (HUD) for reporting purposes.

Position Summary	
DESIGN, DEVELOPMENT AND CONSTRUCTION	
1 Housing Community Developer IV	1 Housing Services Specialist II
TOTAL POSITION	
2 Grant Positions / 2.0 Grant Staff Years	

Fund 145

HOME Investment Partnership Grant

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$0**
It should be noted that no additional funding is included for the 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012; the 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013; or the full year impact of the FY 2012 market rate adjustment that was effective September 24, 2011, as the fund will absorb the impact within the existing HUD award authorization.

- ◆ **U.S. Department of Housing and Urban Development (HUD) Award** **(\$308,845)**
A decrease of \$308,845 is associated with the FY 2012 HUD award that was used to project expenditures for this fund in FY 2013.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Position Adjustments** **\$0**
Subsequent to the FY 2011 Carryover Review, 2/2.0 SYE Merit Regular positions were converted to 2/2.0 SYE Merit Grant positions as a result of the change in definition of HOME funding in the new financial reporting system. There is no fiscal impact as a result of the conversion.

- ◆ **Carryover Adjustments** **\$7,495,957**
As part of the FY 2011 Carryover Review, the Board of Supervisors approved an increase of \$7,495,957 due to carryover of \$7,079,953 in unexpended project balances, the appropriation of \$724,850 in additional program income revenue received in FY 2011, and a decrease of \$308,846 due to the amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on April 26, 2011. In addition, the Board of Supervisors approved a 2.0 percent market rate adjustment, effective September 24, 2011, which the fund will absorb within the existing HUD award authorization.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 145

HOME Investment Partnership Grant

FUND STATEMENT

Fund Type H14, Special Revenue Funds

Fund 145, HOME Investment
Partnership Grant

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$16,318	\$0	\$378,356	\$0	\$0
Revenue:					
HOME Grant Funds ¹	\$1,626,908	\$2,692,612	\$9,810,213	\$2,383,767	\$2,383,767
HOME Program Income	724,850	0	0	0	0
Total Revenue	\$2,351,758	\$2,692,612	\$9,810,213	\$2,383,767	\$2,383,767
Total Available	\$2,368,076	\$2,692,612	\$10,188,569	\$2,383,767	\$2,383,767
Expenditures:					
HOME Projects ¹	\$1,989,720	\$2,692,612	\$10,188,569	\$2,383,767	\$2,383,767
Total Expenditures	\$1,989,720	\$2,692,612	\$10,188,569	\$2,383,767	\$2,383,767
Total Disbursements	\$1,989,720	\$2,692,612	\$10,188,569	\$2,383,767	\$2,383,767
Ending Balance²	\$378,356	\$0	\$0	\$0	\$0

¹ FY 2013 HOME funding projections include a planning factor of \$1,067,956 for the Homeless/Special Needs Initiatives including Tenant-Based Rental Assistance, \$477,632 and Non-Profit Blueprint Project, \$590,324; a planning factor of \$719,869 for HOME Development Costs; a set-aside of at least 15 percent, \$357,565, mandated under HOME regulations, from the County's total HOME allocation for eligible Community Housing Development Organizations (CHDOs); and a 10 percent set-aside of \$238,377 for administrative expenses as permitted under HOME regulations (including \$24,427 for the Fair Housing Program).

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 145

HOME Investment Partnership Grant

FY 2013 Summary of Capital Projects

Fund: 145, HOME Investment Partnership Grant

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
013856	Birmingham Green	\$1,250,000	\$0.00	\$0.00	\$0	\$0
013868	Good Shepherd Housing	902,202	456,474.00	83,526.00	0	0
013886	RPJ Transitional Housing	688,500	0.00	0.00	0	0
013933	Reston Interfaith Townhouses	1,880,446	0.00	575,188.40	0	0
013954	CHDO Undesignated		0.00	192,442.00	357,565	357,565
013969	Castellani Meadows	1,039,961	0.00	0.00	0	0
013971	Tenant-Based Rental Assistance		65,842.00	592,528.52	0	0
013974	HOME Development Costs		0.00	0.00	719,869	719,869
013975	HOME Administration		156,066.33	893,140.60	213,950	213,950
014034	Fair Housing Program		7,538.64	82,695.21	24,427	24,427
014129	Senior/Disabled Housing Development		0.00	1,361,296.95	0	0
014144	Transitional Housing	407,000	0.00	94,580.86	0	0
014190	American Dream Downpayment Initiative		20,000.00	26,650.52	0	0
014191	Rehabilitation of FCRHA Properties		423,393.70	1,151,337.36	0	0
014255	Lorton Valley	264,117	0.00	0.00	0	0
014265	Partnership for Permanent Housing		131,560.00	344,879.25	120,000	120,000
014275	Silver Lining Initiative		715,270.00	0.00	0	0
014310	The Brain Foundation		0.00	309,271.00	0	0
014316	Mondloch House	1,446,729	13,575.25	1,433,153.75	0	0
014321	Non-Profit Blueprint Project		0.00	1,833,574.00	590,324	590,324
014322	TBRA Non Elderly Disabled		0.00	330,000.00	0	0
014323	TBRA Homeless Prevention		0.00	300,000.00	253,327	253,327
014327	TBRA Progress Center		0.00	104,305.00	104,305	104,305
014328	NOVACO		0.00	480,000.00	0	0
Total		\$7,878,956	\$1,989,719.92	\$10,188,569.42	\$2,383,767	\$2,383,767

Fund 319

The Penny for Affordable Housing Fund

Focus

Fund 319, The Penny for Affordable Housing Fund, formerly known as the Housing Flexibility Fund, was established in FY 2006 and is designed to serve as a readily available local funding source with the flexibility to address emerging local affordable housing needs. For fiscal years 2006 through 2009, the Board of Supervisors dedicated revenue commensurate with the value of one cent from the Real Estate tax rate to the Preservation of Affordable Housing, a major County priority. In FY 2010, the Board of Supervisors reduced The Penny for Affordable Housing Fund by 50 percent to reallocate funding for critical human services and public safety program restorations in order to balance the FY 2010 budget. From FY 2006 through FY 2012, the fund has provided a total of \$119.6 million for affordable housing in Fairfax County; a total of \$16.5 million is provided in FY 2013.

Between 1980 and 2005, the assessed value of housing in Fairfax County rose more than 300 percent. The foreclosure crisis in Fairfax County precipitated a significant decline in sales prices from their unprecedented highs in 2005 and 2006. However, according to the George Mason University Center for Regional Analysis, despite these declines in sales prices, the average housing price in 2009 remained equivalent to that of February 2004, or approximately 84 percent higher than 2000. According to HUD, Fairfax County remains a high cost area for homeownership

Rents have also been driven up by the significant and growing demand for housing in the County. Although current market conditions have seen decreases in residential real estate prices, the recent recession has not had an impact on rent affordability. Between 2002 and 2010, Fairfax County lost approximately 8,051 non-subsidized rental units affordable to households earning up to 70 percent of the Area Median Income (AMI), or \$72,450 for a family of four in FY 2010. The percentage of rental units affordable at 70 percent of AMI fell from 75 percent in 2002 to 56 percent in 2008, and remained at 56 percent in 2010. The AMI for Fairfax County in FY 2011, as published by the United States Department of Housing and Urban Development (HUD), is \$106,100. In fact, the annual income needed to afford a two bedroom apartment at the HUD-published fair market rate of \$1,461 per month was estimated to be \$58,440 in FY 2011. This is over 50 percent of the AMI, meaning that there are many wage earners for whom living in Fairfax County is a significant financial struggle.

According to the Virginia Tech Center for Housing Research, the total current affordable housing gap for low- and moderate-income renters in Fairfax County (those earning 80 percent of the AMI and below) is approximately 28,405 units. Based on job growth and housing data prepared by the Center for Regional Analysis at George Mason University, and assuming that 65 percent of new workers will be housed in the County, it is estimated that there is a need for at least 50,206 net new affordable units for households earning up to \$124,000 per year (just under 120 percent of the AMI) by 2030. Taken together, this represents a need for nearly 79,000 units of affordable workforce housing in Fairfax County within the next 19 years.

Fund 319 represents the County's financial commitment to preserving and creating affordable housing opportunities by dedicating a portion of its revenue specifically for affordable and workforce housing. To maximize the effectiveness of these funds, the Board of Supervisors recommended a minimum leverage ratio of 3:1 with non-County funds and that units funded by Fund 319 remain affordable at a minimum for a period of time consistent with the County's Affordable Dwelling Unit Ordinance, which was amended to be 30 years effective February 2006.

Fund 319

The Penny for Affordable Housing Fund

Over the past years, a total of 2,436 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,184 units are preserved for 20 years or longer. A variety of funding sources were used to preserve these units; however, Fund 319 funds were critical for the preservation efforts associated with five large multifamily complexes that were purchased by private nonprofits and which represent a significant portion of the units preserved: 216 units in Madison Ridge in Centreville (Sully District), 148 units in Hollybrooke II and III in the Seven Corners area of Falls Church (Mason District), 90 units in Sunset Park Apartments in Falls Church (Mason District), 319 units in Janna Lee Villages in the Hybla Valley area (Lee District) and 105 units in Coralain Gardens located on Arlington Boulevard (Route 50) in Falls Church (Mason District). Fund 319 was also instrumental in preserving two large complexes: 180 units at the Crescent apartment complex in Reston (Hunter Mill District) and 672 units at the Wedgewood Apartments complex in Annandale (Braddock District). These projects were purchased by the County and are being managed by the Fairfax County Redevelopment and Housing Authority as part of the low- and moderate-income rental program. Without the availability of Fund 319, both of these apartment complexes may have been lost as affordable housing.

More recently, the Board of Supervisors has used the flexibility of Fund 319 to address emerging local affordable housing opportunities and needs other than preservation. In FY 2009, the fund provided \$6.3 million for the construction of 90 units of affordable active senior living at Olley Glen (Braddock District). The fund also provided \$0.8 million in FY 2009 to support the Silver Lining Initiative, which provides below-market second trusts to income-qualified Fairfax County first-time homebuyers purchasing foreclosed homes. From June 2008 through June 2010, a total of 67 foreclosed homes were purchased by first-time homebuyers using federal, state and local funds, including Fund 319.

During its retreat in June 2009, the Board of Supervisors reaffirmed the County's commitment to affordable housing and discussed the use of affordable housing resources in future fiscal years. In response to these discussions, the "Housing Blueprint" was adopted by the Board in 2010. The Blueprint was a collaborative effort among County agencies, non-profits and advocates and laid out the priorities for housing, including four principal goals: 1) To end homelessness in 10 years; 2) To provide affordable housing options to those with special needs; 3) To reduce the waiting lists for affordable housing by half in 10 years; and 4) To produce workforce housing sufficient to accommodate projected job growth. The effort also supports the shift of emphasis from preserving affordable housing to: 1) providing housing for those in greatest need, 2) partnering with non-profits, 3) refocusing existing resources, 4) bridging the affordability gap, 5) completing projects in the pipeline and 6) promoting workforce housing through land use policy and private sector partnerships.

The collaborative process that resulted in the Blueprint also helped to create specific FY 2011 metrics for each of the four overarching Blueprint goals. In addition to re-focusing existing resources and other efforts, the FY 2011 Blueprint metrics called for the creation of a locally-funded "Bridging Affordability" program to address the homelessness and waiting list goals. The Board subsequently provided, as part of the FY 2011 budget process, a total of \$4.1 million in project revenue from the County-owned Wedgewood Apartments complex for the Bridging Affordability program. The program commenced in June 2011 with the selection of a coalition of nine non-profits to operate the program.

Fund 319

The Penny for Affordable Housing Fund

The Bridging Affordability program is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the FCRHA, the Fairfax-Falls Church Community Services Board, the Office to End and Prevent Homelessness and the homeless shelters. Through a competitive request for proposals (RFP) process in FY 2011, an award of \$3.8 million was made to Northern Virginia Family Service (NVFS) to provide long-term rental subsidies to 300 households and those on the County's waiting lists over a three-year period. In FY 2012 the Board of Supervisors approved \$4,318,400 in funding for Bridging Affordability and it is expected that these funds will also serve homeless individuals and families and households on the County's waiting lists. HCD will provide program compliance, inspect units and administer the contracts with non-profit partners. As designated by the Housing Blueprint, a portion of the operations revenue at the County-owned Wedgewood property is being used to fund two merit positions that support this program.

In FY 2013, Fund 319 funding of \$16,502,150 is comprised of \$9,975,000 in Real Estate Tax Revenue, \$5,218,400 in operating revenue from the Wedgewood and Crescent Apartments, \$1,058,750 from a General Fund Transfer, and \$250,000 in Affordable Housing Partnership Program loan repayments. FY 2013 funding is allocated as follows: \$5,753,063 for Wedgewood for the annual debt service, \$4,318,400 to fund the Bridging Affordability Program portion of the Housing Blueprint, \$3,349,000 for Crescent Apartments for the annual debt service, and \$106,687 for the Affordable/Workforce Housing Project for reallocation to specific projects when authorized by the Board of Supervisors. Additionally, \$1,675,000 in FY 2013 funding is identified for the Non-Profit Blueprint Project, which could be combined with \$1,355,841 in anticipated available funding from the FY 2012 Affordable Workforce Housing project for a total balance of \$3,030,841 for the Non-Profit Blueprint project in FY 2013. The remaining balance of \$2 million for the FY 2013 Blueprint will be funded through program income, loan repayments, and project reallocations, and necessary funding adjustments will be made as part of the *FY 2012 Carryover Review*. It should also be noted that the FY 2013 Blueprint includes a community challenge of approximately \$2.6 million to support 307 households, which represents the gap between the County's Blueprint goals and anticipated available resources. The Board of Supervisors has included \$1,300,000 as a match for this purpose in the Community Challenge for the Housing Blueprint project; however, the community challenge is dependent on resources outside the County's budget and community advocates and partners are encouraged to work with County staff to help ensure the Blueprint remains on track in FY 2013.

It should be noted that the Crescent Apartments FY 2013 debt service budgeted amount of \$3,349,000 is projected based on FY 2012 full year total debt service. However, in March 1, 2013, there will be a balloon payment due in the amount of \$26,725,000. At such time, the debt would be repaid from the proceeds generated as a result of the redevelopment of the property by a private builder/developer or repaid through refinancing.

Fund 319

The Penny for Affordable Housing Fund

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$14,423,884**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$14,423,884 associated with \$9,040,190 in unexpended project balances; \$5,000,000 to appropriate accrued program income and savings from Wedgewood Apartments operations; \$289,018 to appropriate additional revenue received in FY 2011 from interest payments on Affordable Housing Partnership Program loans made in prior years; and \$94,676 to appropriate the additional cost of issuance proceeds from Crescent Apartments debt refinancing.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 319

The Penny for Affordable Housing Fund

FUND STATEMENT

Fund Type G30, Capital Project Funds

Fund 319, The Penny for Affordable
Housing Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$5,506,499	\$0	\$9,423,884	\$0	\$0
Revenue:					
Real Estate Tax Revenue Associated with The Penny for Affordable Housing	\$9,340,000	\$9,650,000	\$9,650,000	\$9,975,000	\$9,975,000
Miscellaneous	5,402,094	5,018,400	10,018,400	5,468,400	5,468,400
Total Revenue	\$14,742,094	\$14,668,400	\$19,668,400	\$15,443,400	\$15,443,400
Transfer In:					
General Fund (001)	\$0	\$0	\$0	\$0	\$1,058,750
Total Transfers In	\$0	\$0	\$0	\$0	\$1,058,750
Total Available	\$20,248,593	\$14,668,400	\$29,092,284	\$15,443,400	\$16,502,150
Total Expenditures	\$10,824,709	\$14,668,400	\$29,092,284	\$15,443,400	\$16,502,150
Total Disbursements	\$10,824,709	\$14,668,400	\$29,092,284	\$15,443,400	\$16,502,150
Ending Balance¹	\$9,423,884	\$0	\$0	\$0	\$0

¹ Capital projects are budgeted based on the total project costs. Many projects span multiple years, and therefore, funding for those projects are carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 319

The Penny for Affordable Housing Fund

FY 2013 Summary of Capital Projects

Fund: 319, The Penny for Affordable Housing Fund

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
014196	Affordable/Workforce Housing Projects		\$278,391.00	\$1,647,090.66	\$347,937	\$106,687
014239	Crescent Apartments	75,467,856	4,048,092.28	4,398,752.88	3,349,000	3,349,000
014268	Wedgewood	42,944,313	5,775,931.76	9,642,738.17	5,753,063	5,753,063
014275	Silver Lining Initiative		547,294.00	141,902.00	0	0
014277	Bridging Affordability Program		175,000.00	8,261,800.00	4,318,400	4,318,400
014321	Non-Profit Blueprint Project		0.00	2,000,000.00	1,675,000	1,675,000
014324	Matching Grants to Non-Profits		0.00	1,500,000.00	0	0
014326	Wedgewood Renovation		0.00	1,500,000.00	0	0
014329	Community Challenge - Housing Blueprint		0.00	0.00	0	1,300,000
Total		\$118,412,169	\$10,824,709.04	\$29,092,283.71	\$15,443,400	\$16,502,150

Fund 340

Housing Assistance Program

Focus

The Housing Assistance Program has been a source of funds for the development of low- and moderate-income housing and support of public improvement projects in low- and moderate-income neighborhoods. In addition, proceeds from the U.S. Department of Housing and Urban Development (HUD) Section 108 Loan provide for public improvement projects in five of the County's Conservation Areas: Bailey's, Fairhaven, Gum Springs, James Lee and Jefferson Manor.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$7,212,170**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$7,212,170 due to the carryover of unexpended project balances in the amount of \$7,573,090 offset by a decrease of \$360,920 to transfer remaining project balances to Fund 102, Federal/State Grant Fund in preparation for the implementation of SAP, as grant funding associated with Fund 340 is being consolidated into Fund 102.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 340 Housing Assistance Program

FUND STATEMENT

Fund Type H34, Capital Project Funds

Fund 340, Housing Assistance Program

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	(\$3,852,467)	\$23,095	\$2,564,708	\$22,599	\$22,599
Revenue:					
Bond Proceeds ¹	\$0	\$0	\$4,356,833	\$0	\$0
Grant Proceeds	150,031	0	6,299	0	0
Section 108 Proceeds	6,534,930	0	306,929	0	0
Total Revenue	\$6,684,961	\$0	\$4,670,061	\$0	\$0
Transfer In:					
General Fund (001) ²	\$515,000	\$515,000	\$515,000	\$515,000	\$0
Total Transfer In	\$515,000	\$515,000	\$515,000	\$515,000	\$0
Total Available	\$3,347,494	\$538,095	\$7,749,769	\$537,599	\$22,599
Expenditures:					
Capital Projects ²	\$782,786	\$515,000	\$7,727,170	\$515,000	\$0
Total Expenditures	\$782,786	\$515,000	\$7,727,170	\$515,000	\$0
Total Disbursements	\$782,786	\$515,000	\$7,727,170	\$515,000	\$0
Ending Balance³	\$2,564,708	\$23,095	\$22,599	\$22,599	\$22,599

¹ It should be noted that in the Fall of 1988 a Commercial and Development Bond Referendum was approved, of which \$9.7 million was designated for the redevelopment of the Woodley-Nightingale mobile home park. The amount of \$4,356,833 represents the authorized but unissued bond proceeds for this project.

² The Transfer In from the General Fund was reallocated to Project 014329, Community Challenge-Housing Blueprint project in Fund 319, The Penny for Affordable Housing.

³ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 340

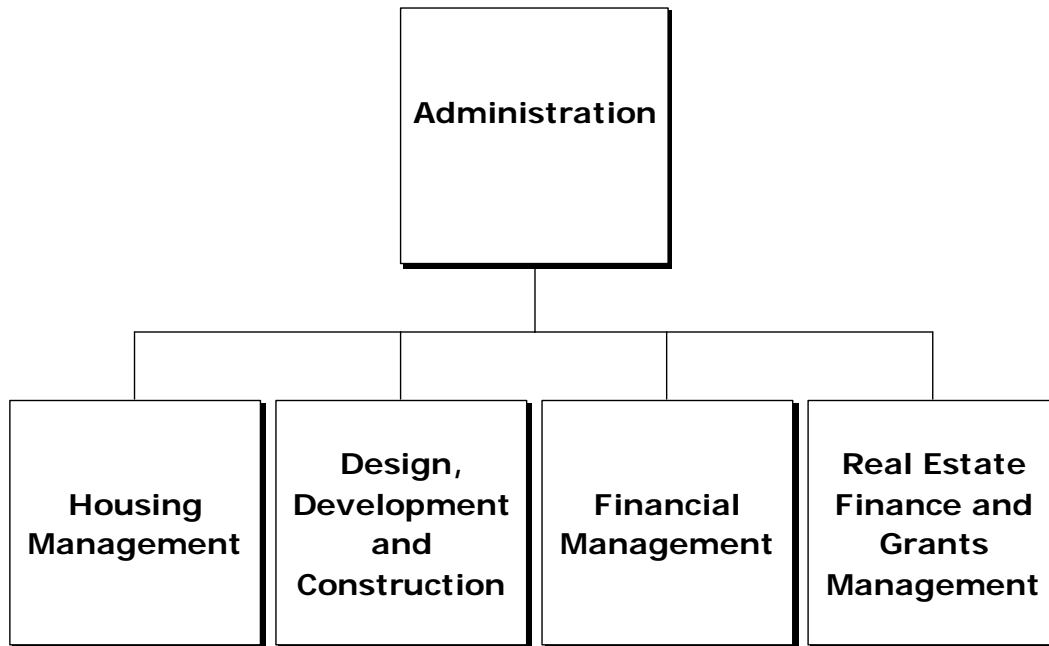
Housing Assistance Program

FY 2013 Summary of Capital Projects

Fund: 340, Housing Assistance Program

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
003836	Woodley-Nightingale	\$3,351,250	\$99,405.82	\$2,334,313.84	\$0	\$0
003844	Emergency Housing	578,448	0.00	96,418.58	0	0
003846	Bailey's Road Improvements	586,783	0.00	45,824.49	0	0
003848	Fairhaven Public Improvements	1,796,863	0.00	395,983.69	0	0
003905	Gum Springs Public Improvements	1,825,391	0.00	5,517.35	0	0
003907	James Lee Community Center	642,729	0.00	3,441.20	0	0
003910	James Lee Road Improvements	352,092	0.00	41,382.53	0	0
003978	Lincolnia Center	7,743,067	0.00	0.00	0	0
013905	Creighton Square/Lockheed Blvd.	53,365	0.00	0.00	0	0
013918	Jefferson Manor Public Imp.	8,210,707	0.00	1,767,947.92	0	0
013948	Little River Glen Phase II	9,384	0.00	0.00	0	0
013963	Section 108 Loan Issuance Costs		0.00	115,808.00	0	0
013966	Glenwood Mews	36,908	0.00	0.00	0	0
013969	Castellani Meadows	9,875	0.00	0.00	0	0
014010	Commercial Revitalization		17,852.46	1,871.78	0	0
014100	Commerce Street Redevelopment	2,158,422	0.00	2,079,049.23	0	0
014117	Richmond Highway Corridor	100,000	0.00	23,364.51	0	0
014160	Baileys SE Quad. Town Ctr. Comm.	75,000	0.00	367.13	0	0
014161	Revitalization Field Services		0.00	5,476.24	0	0
014247	Magnet Housing		86,560.00	0.00	0	0
014272	Community Improvement Program Costs	2,060,000	515,000.00	515,180.00	515,000	0
014306	EDI Housing Information Technology		7,268.38	0.00	0	0
014313	Huntington Flood Insurance Program		0.00	295,224.00	0	0
014314	EDI-SRO Housing		56,699.00	0.00	0	0
VA1940	Reston Towne Center	615,000	0.00	0.00	0	0
Total		\$30,205,283	\$782,785.66	\$7,727,170.49	\$515,000	\$0

Fund 940
Fairfax County Redevelopment and
Housing Authority General Operating



Mission

To preserve and increase opportunities for affordable housing in Fairfax County based on need, community priorities and the policy of the Board of Supervisors and the Fairfax County Redevelopment and Housing Authority (FCHRA). Driven by community vision, to lead efforts to revitalize older areas of Fairfax County, to spur private reinvestment, maximize existing infrastructure and public investment, reverse negative perceptions and create employment opportunities.

Focus

This fund includes all FCRHA revenues generated by financing fees earned from the issuance of bonds, monitoring and service fees charged to developers, management fees, investment income, project reimbursements, consultant fees and ground rents on land leased to developers. Revenue supports operating expenses for the administration of the private activity bonds, Home Improvement Loan Program (HILP) staff, and other administrative costs, which crosscut many or all of the housing programs.

In FY 2013, revenue projections for Fund 940, FCRHA General Operating, are \$3,114,795, an increase of \$512,260 or 19.7 percent over the FY 2012 Adopted Budget Plan amount. The revenue increase is primarily attributable to an anticipated increase in management fee income and the collection of a one-time developer fee. Expenditures are \$3,136,947, an increase of \$620,322 or 24.6 percent over the FY 2012 Adopted Budget Plan due primarily to fund an increase in market rate and salary adjustments, fringe benefits costs, maintenance and rehabilitation of FCRHA properties, and a balloon mortgage payment for the Tavenner Apartments partnership.

Fund 940

Fairfax County Redevelopment and Housing Authority General Operating

A portion of the staff costs associated with the HILP, FCRHA Partnership for Resident Opportunities, Growth, Resources and Economic Self-Sufficiency (PROGRESS) Center, Homeownership Programs, and FCRHA real estate development and financing activities are supported by the financing and development/management fees generated by these activities.

In FY 2011, HCD established the Bridging Affordability Program. It was conceived during the development of the Housing Blueprint, and is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the FCRHA, the Fairfax-Falls Church Community Services Board, the Office to End and Prevent Homelessness and the homeless shelters. The program will make grants available to one or more of the County's non-profit partners per the Board's direction in the Housing Blueprint, and the Bridging Affordability Program will be administered by HCD and funded by the operations revenue of the County-owned Wedgewood property. While the revenue and loans made for this program will be recorded in Fund 319, The Penny for Affordable Housing, a portion of the budget will be used to fund two merit positions (in Fund 940) that support this program by providing program compliance, inspecting units and administering the contracts with non-profit partners.

The FCRHA will continue to make tax-exempt financing available and earn related financing fees. The financing will be used for the agency's own development as well as for the construction or preservation of qualified multi-family housing owned by other developers. However, because many types of projects must compete for an allocation of tax-exempt bond authority from the limited pool of such authority available in the Commonwealth of Virginia, the number of FCRHA tax-exempt bond issues in any year is limited and will vary significantly from year to year. Under this financing mechanism, a percentage of the units in a housing development must meet lower income occupancy requirements. Since 1986, there have been two alternate standards for meeting these requirements. Either 20 percent of the units must be occupied by households with incomes at 50 percent or less of the Washington D.C./Baltimore Metropolitan Statistical Area (MSA) median income (adjusted for household size), or 40 percent of the units must be occupied by households with 60 percent or less of the MSA median income. In addition, the FCRHA will continue to monitor existing tax-exempt financed multi-family housing projects to assure continuing developer compliance with program guidelines.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	24 / 24	24 / 24	25 / 25	25 / 25	25 / 25
Expenditures:					
Personnel Services	\$1,715,521	\$1,804,340	\$1,919,504	\$1,998,675	\$2,020,827
Operating Expenses	652,421	712,285	912,944	1,116,120	1,116,120
Capital Equipment	0	0	0	0	0
Total Expenditures	\$2,367,942	\$2,516,625	\$2,832,448	\$3,114,795	\$3,136,947

Fund 940

Fairfax County Redevelopment and Housing Authority General Operating

Position Summary		
<p><u>ADMINISTRATION</u></p> <p>1 Information Officer II</p> <p>3 Administrative Assistants IV</p> <p>1 Administrative Assistant III</p> <p>1 Administrative Assistant II</p> <p>1 Housing/Community Developer V</p> <p>1 Housing/Community Developer IV</p> <p>1 Housing Services Specialist III</p> <p><u>FINANCIAL MANAGEMENT</u></p> <p>1 Financial Specialist IV</p> <p>1 Financial Specialist III</p> <p>1 Accountant III</p> <p>1 Accountant II</p> <p>1 Administrative Assistant II</p>	<p><u>DESIGN, DEVELOPMENT AND CONSTRUCTION</u></p> <p>1 HCD Division Director</p> <p>1 Housing/Community Developer V</p> <p>2 Housing/Community Developers III</p> <p>1 Housing/Community Developer II</p> <p><u>HOUSING MANAGEMENT</u></p> <p>1 Housing Services Specialist IV</p> <p>1 Housing Community Developer III</p> <p>1 Assistant Supervisor Facilities Support</p>	<p><u>REAL ESTATE FINANCE AND GRANTS MANAGEMENT</u></p> <p>1 HCD Division Director</p> <p>1 Housing/Community Developer V</p> <p>1 Management Analyst III</p>
<u>TOTAL POSITIONS</u>		
25 Positions/ 25.0 Staff Years		

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$52,115**

An increase of \$52,115 in Personnel Services reflects \$29,963 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$22,152 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$26,424**

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$26,424 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **\$539,062**

An increase of \$539,062 is associated with \$135,227 in Personnel Services primarily for increases in fringe benefits based on actual experience and \$403,835 in Operating Expenses primarily for rehabilitation of FCRHA properties and a balloon payment for the Tavenner Apartments partnership.
- ◆ **Other Post-Employment Benefits** **\$2,721**

An increase of \$2,721 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

Fund 940

Fairfax County Redevelopment and Housing Authority General Operating

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Third Quarter Adjustments** **\$95,000**
As part of the FY 2012 Third Quarter Review, the Board of Supervisors approved a net increase of \$95,000 associated with projected salary and fringe benefits for the remainder of the fiscal year.

- ◆ **Position Adjustments** **\$0**
Subsequent to the FY 2011 Carryover Review 1/1.0 SYE Housing Services Specialist III position was established. Funding adjustments, associated with project-based budget requirements, will be made at a subsequent budget review process.

- ◆ **Program Adjustments** **\$195,931**
Subsequent to the FY 2011 Carryover Review, an allocation provided \$195,931 to establish a reserve account with Bank of New York for the Olley Glen partnership.

- ◆ **Carryover Adjustments** **\$24,892**
As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$20,164 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$4,728 in Operating Expenses primarily associated with requirements for professional consulting services and various program expenses.

Fund 940

Fairfax County Redevelopment and Housing Authority General Operating

FUND STATEMENT

Fund Type H94, FCRHA General Revenue

Fund 940, FCRHA General Operating

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$11,751,863	\$11,830,714	\$12,723,010	\$12,906,582	\$13,191,964
Revenue:					
Investment Income	\$25,362	\$88,000	\$26,763	\$26,763	\$26,763
Monitoring/Developer Fees ^{1,2}	1,220,607	760,632	1,212,359	923,536	923,536
Rental Income	87,051	73,803	73,803	77,122	77,122
Program Income ³	1,672,521	1,371,054	1,679,431	1,767,428	1,767,428
Other Income	333,548	309,046	309,046	319,946	319,946
Total Revenue	\$3,339,089	\$2,602,535	\$3,301,402	\$3,114,795	\$3,114,795
Total Available	\$15,090,952	\$14,433,249	\$16,024,412	\$16,021,377	\$16,306,759
Expenditures:					
Personnel Services ^{2,4}	\$1,715,521	\$1,804,340	\$1,919,504	\$1,998,675	\$2,020,827
Operating Expenses ⁵	652,421	712,285	912,944	1,116,120	1,116,120
Total Expenditures	\$2,367,942	\$2,516,625	\$2,832,448	\$3,114,795	\$3,136,947
Total Disbursements	\$2,367,942	\$2,516,625	\$2,832,448	\$3,114,795	\$3,136,947
Ending Balance⁶	\$12,723,010	\$11,916,624	\$13,191,964	\$12,906,582	\$13,169,812
Debt Service Reserve on					
One University Plaza	\$2,195,925	\$2,195,925	\$1,754,520	\$1,754,520	\$1,754,520
Cash with Fiscal Agent	6,854,000	6,854,000	7,290,154	7,290,154	7,290,154
Unreserved Ending Balance	\$3,673,085	\$2,866,699	\$4,147,290	\$3,861,908	\$4,125,138

¹ The FY 2013 increase over the FY 2012 Adopted Budget Plan is primarily due to an increase in developer fees anticipated for Cedar Ridge and Olley Glen.

² In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$73,760.41 have been reflected as an increase of \$68,433.00 in FY 2011 revenues to record management fee and servicing fee income, and an increase of \$142,193.41 in FY 2011 expenditures to record expenses for personnel services accruals and accrued audit fees in the appropriate fiscal year. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

³ The FY 2013 increase over the FY 2012 Adopted Budget Plan primarily reflects management fee income from selected FCRHA properties including Crecent Apartments, Wedgewood and Olley Glen.

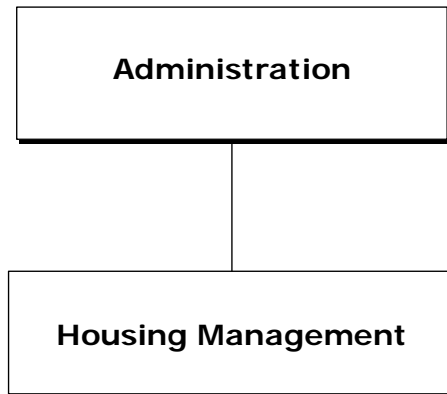
⁴ The FY 2013 increase over the FY 2012 Adopted Budget Plan is primarily due to market rate and salary adjustments, as well as fringe benefit increases based on actual experience.

⁵ The FY 2013 increase over the FY 2012 Adopted Budget Plan is primarily due to rehabilitation of FCRHA properties and a balloon mortgage payment for the Tavenner Apartments partnership.

⁶ Ending balances fluctuate due to adjustments in revenues and expenditures, as well as the carryover of balances each year.

Fund 941

Fairfax County Rental Program



Mission

To manage affordable rental housing acquired by the Fairfax County Redevelopment and Housing Authority (FCRHA) and to maintain and preserve the units for long term rental availability.

Focus

The Fairfax County Rental Program (FCRP) is a local rental-housing program developed and managed by the Department of Housing and Community Development (HCD) for the Fairfax County Redevelopment and Housing Authority (FCRHA). The FCRP is designed to provide affordable rental housing in the County for low- and moderate-income families. The FCRP includes projects developed by the FCRHA and other privately developed or rehabilitated housing units acquired by the FCRHA or Fairfax County. In FY 2013, a total of 1,423 multifamily, senior independent, specialized units and beds in FCRHA-owned group homes will receive operating support under Fund 941.

The operation of this program is primarily supported by tenant rents. In addition, debt service contributions are received from Fund 141, Elderly Housing, to provide support for the debt service costs of Little River Glen, an elderly housing development owned by the FCRHA. Accounting procedures require that the debt service for this project be paid out of Fund 941, Fairfax County Rental Program, although the operating costs are reflected in Fund 141, Elderly Housing. Fund 941 is also used to account for debt service payments on two facilities owned by the FCRHA and leased to Fairfax County: the United Community Ministries (UCM) offices and the replacement Mondloch I emergency shelter (Creighton Square project).

In addition, HCD staff administers contracts between the FCRHA and private firms hired to manage Hopkins Glen, Crescent Apartments and Wedgewood Apartments.

Fund 941 Fairfax County Rental Program

The following charts summarize the total number of units in the Rental Program and Group Homes in FY 2013 and the projected operating costs associated with the units:

<u>Project Name</u>	<u>Units</u>	<u>2013 Cost</u>	<u>District</u>
Chatham Town	10	\$109,120	Braddock
Charleston Square	1	10,912	Springfield
Little River Square	45	490,936	Braddock
McLean Hills	25	330,018	Providence
Springfield Green	14	183,225	Lee
Colchester Towne	24	276,818	Lee
Penderbrook	48	642,639	Providence
Island Creek	8	87,298	Lee
Cedar Lakes	3	32,737	Sully
Westbriar	1	10,912	Providence
Faircrest	6	102,140	Sully
Westcott Ridge	10	157,400	Springfield
Laurel Hill	6	102,140	Mt Vernon
Willow Oaks	7	116,408	Sully
Saintsbury Plaza ¹	6	65,473	Providence
ParcReston	23	250,979	Hunter Mill
Holly Acres	2	33,139	Lee
Legato Corner Condominiums	13	207,522	Springfield
East Market	4	49,717	Springfield
Madison Ridge	10	109,121	Sully
Lorton Valley	2	21,825	Mt Vernon
Fair Oaks Landing	3	54,993	Springfield
Bryson at Woodland Park	4	68,044	Hunter Mill
Northampton	4	81,983	Lee
Halstead	4	63,581	Providence
Stockwell Manor	3	52,893	Dranesville
Glenwood Mews	9	99,163	Lee
Coan Pond (Working Singles Housing Program)	19	41,113	Providence
FCRHA Operating ²	NA	26,623	N/A
Fairfax Ridge Condo	1	10,754	Springfield
Stonegate at Faircrest	1	10,754	Springfield
Woodley Homes Estates	115	189,467	Mt. Vernon
Hopkins Glen ³	91	0	Providence
Crescent Apartments ³	180	0	Hunter Mill
Wedgewood Apartments ³	672	0	Braddock
United Community Ministries (Debt Service)	NA	31,640	Lee
Mondloch I Shelter/Creighton Square (Debt Service)	NA	19,787	Lee
Little River Glen (Debt Service)	NA	531,802	Braddock
Units Managed Under Fund 941			
Subtotal FCRP Operating	1,374	\$4,673,076	

¹ The six units at Saintsbury Plaza are age restricted and managed as senior properties. Senior independent properties, other than Saintsbury Plaza, that are directly managed by the FCRHA are supported under Fund 141.

Fund 941

Fairfax County Rental Program

²FCRHA operating project tracks occupancy cost allocation to the FCRP.

³The units at Hopkins Glen, Crescent Apartments and Wedgewood Apartments are part of the FCRP Program. The properties are managed and maintained by private contractors. All funding for these units will be budgeted and reported by the property management firm and reported to the agency on a regular basis. It should also be noted that a variety of other FCRP multifamily and senior independent units are owned by FCRHA-controlled partnerships and are either privately managed by third-party entities or are managed directly by the FCRHA under Fund 950.

The Group Homes program is summarized in the following table including the number of beds and the level of FY 2013 funding:

<u>Project Name</u>	<u>Beds/Units</u>	<u>FY 2013 Cost</u>
Minerva Fisher Group Home	12	\$72,390
Rolling Road Group Home	5	16,821
First Stop Group Home (Sojourn House)	8	63,799
Mount Vernon Group Home	8	11,534
Leland Group Home	8	64,222
Patrick Street Group Home	8	16,483
Subtotal Group Homes	49	\$245,249
Total Beds/Fund Expenditures	1,423	\$4,918,325
Less: Debt Service	NA	(\$531,802)
Total Program Operations	1,423	\$4,386,523

Budget and Staff Resources

Agency Summary					
<u>Category</u>	<u>FY 2011 Actual</u>	<u>FY 2012 Adopted Budget Plan</u>	<u>FY 2012 Revised Budget Plan</u>	<u>FY 2013 Advertised Budget Plan</u>	<u>FY 2013 Adopted Budget Plan</u>
Authorized Positions/Staff Years					
Regular	21 / 21	21 / 21	21 / 21	21 / 21	21 / 21
Expenditures:					
Personnel Services	\$2,048,768	\$1,780,464	\$1,861,265	\$2,143,392	\$2,169,710
Operating Expenses	2,574,013	2,887,200	3,249,581	2,748,615	2,748,615
Total Expenditures	\$4,622,781	\$4,667,664	\$5,110,846	\$4,892,007	\$4,918,325

Position Summary					
HOUSING MANAGEMENT					
1 Chief Accounting Fiscal Officer	1 Housing Manager	1 Human Services Assistant			
1 Hsg. Community Developer V	1 Chief Building Maint. Section	1 Material Management Specialist III			
1 Hsg. Community Developer II	1 Electrician II	3 General Building Maintenance Workers II			
1 Housing Services Specialist IV	1 Plumber II	2 General Building Maintenance Workers I			
3 Housing Services Specialists II	1 Engineering Technician II	1 Administrative Assistant IV			
		1 Administrative Assistant III			
TOTAL POSITIONS					
21 Positions/ 21.0 Staff Years					

Fund 941

Fairfax County Rental Program

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$62,156**
An increase of \$35,838 in Personnel Services reflects \$35,838 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$26,318 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$25,596**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$25,596 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **\$163,443**
A net increase of \$163,443 includes an increase of \$302,028 for Personnel Services, primarily associated with program adjustments to support project-based budgeting, offset by a decrease of \$138,585 for Operating Expenses, primarily associated with additional requirements for condominium fees and services provided by other Housing funds adjusted for decreases in professional and consulting services and repair and maintenance based on prior year actual expenses.

- ◆ **Other Post-Employment Benefits** **(\$534)**
A decrease of \$534 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Program Adjustments** **\$261,450**
Subsequent to the *FY 2011 Carryover Review*, allocations provided \$105,450 for supplemental maintenance expenses at the Minerva Fisher property and \$156,000 associated with repairs and maintenance for the Penderbrook property.

- ◆ **Carryover Adjustments** **\$181,732**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$19,523 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved \$61,278 associated with project based budget allocation model requirements, and encumbrances of \$100,931 that carryover to FY 2012.

Fund 941

Fairfax County Rental Program

FUND STATEMENT

Fund Type H94, Local Rental Housing Program

Fund 941, Fairfax County
Rental Program

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$6,337,784	\$6,164,270	\$6,574,113	\$6,816,487	\$6,574,113
Revenue:					
Dwelling Rents ¹	\$3,998,839	\$4,062,522	\$4,062,522	\$4,098,295	\$4,098,295
Investment Income ^{2,3}	103,395	58,429	38,800	77,603	77,603
Other Income	248,057	197,128	344,705	232,260	232,260
Intergovernmental Income	0	0	156,000	0	0
Debt Service Contribution (Little River Glen)	508,819	508,819	508,819	508,819	508,819
Total Revenue	\$4,859,110	\$4,826,898	\$5,110,846	\$4,916,977	\$4,916,977
Total Available	\$11,196,894	\$10,991,168	\$11,684,959	\$11,733,464	\$11,491,090
Expenditures:					
Personnel Services ³	\$2,048,768	\$1,780,464	\$1,861,265	\$2,143,392	\$2,169,710
Operating Expenses ³	2,574,013	2,887,200	3,249,581	2,748,615	2,748,615
Total Expenditures	\$4,622,781	\$4,667,664	\$5,110,846	\$4,892,007	\$4,918,325
Total Disbursements	\$4,622,781	\$4,667,664	\$5,110,846	\$4,892,007	\$4,918,325
Ending Balance⁴	\$6,574,113	\$6,323,504	\$6,574,113	\$6,841,457	\$6,572,765
Replacement Reserve	\$5,990,426	\$5,739,817	\$5,990,426	\$6,257,770	\$5,989,078
Cash with Fiscal Agent	583,687	583,687	583,687	583,687	583,687
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹ FY 2013 rental revenues are estimated to increase over the *FY 2012 Revised Budget Plan* due to anticipated rent increases.

² Investment income increases as a result of a methodology revision that includes pooled investments, cash with fiscal agents and accrued interest on loans rather than only pooled investments and accrued interest.

³ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$242,374.68 have been reflected as an increase of \$48,837.28 in FY 2011 revenues to record accrual adjustments, and an increase of \$291,211.96 in FY 2011 expenditures to record expenses for personnel services, contracts, building maintenance and refuse disposal charges in the appropriate fiscal year. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the FY 2011 audit adjustments were included in the FY 2012 Third Quarter package.

⁴ Ending balances fluctuate due to adjustments in revenues and expenditures, as well as the carryover of balances each fiscal year.

Fund 945

FCRHA Non-County Appropriated Rehabilitation Loan Program

Mission

To enhance the quality and economic life of existing housing in the County through the provision of affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement.

Focus

Fund 945, FCRHA Non-County Appropriated Rehabilitation Loan Program, provides the Fairfax County Redevelopment and Housing Authority (FCRHA) additional options for funding the Home Improvement Loan Program (HILP).



The purpose of the Home Improvement Loan Program is to provide financial and technical assistance to low- and moderate-income homeowners for the rehabilitation of their property. The program is designed to preserve the affordable housing stock in the County and to upgrade neighborhoods through individual home improvements. Resources in Fund 945 include bank loans, homeowners' contributions to the cost of rehabilitation and the reprogramming for re-use of payments received on outstanding home improvement loans made through this fund. Additional funding for the Home Improvement Loan Program is provided in Fund 142, Community Development Block Grant, and Fund 143, Homeowner and Business Loan Programs.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Expenditures:					
Operating Expenses	\$0	\$25,000	\$1,000	\$1,000	\$1,000
Total Expenditures	\$0	\$25,000	\$1,000	\$1,000	\$1,000

Fund 945

FCRHA Non-County Appropriated Rehabilitation Loan Program

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Homeowners Contributions** **(\$4,000)**
A decrease of \$4,000 is due to reduced activity for new HILP loans based on prior years' activity.

- ◆ **Fairfax City Rehabilitation Loans** **(\$20,000)**
A decrease of \$20,000 is due to reduced activity for additional loans as a result of the deferral of loan repayments from Fairfax City residents.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **(\$24,000)**
As part of the FY 2011 Carryover Review, the Board of Supervisors approved a decrease of \$24,000 associated with a decrease in activity for new HILP loans, as well as no anticipated loans to Fairfax City residents.

Fund 945

FCRHA Non-County Appropriated Rehabilitation Loan Program

FUND STATEMENT

Fund Type H94, Rehabilitation Loan Funds

Fund 945, Non-County Appropriated
Rehabilitation Loan Program

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$232,515	\$239,298	\$233,562	\$235,562	\$235,562
Revenue:					
Other (Pooled Interest, etc.)	\$1,047	\$2,869	\$2,000	\$1,200	\$1,200
Homeowners Contributions	0	5,000	1,000	1,000	1,000
Fairfax City Rehab. Loans ¹	0	20,000	0	0	0
Total Revenue	\$1,047	\$27,869	\$3,000	\$2,200	\$2,200
Total Available	\$233,562	\$267,167	\$236,562	\$237,762	\$237,762
Expenditures:					
Homeowners Contributions	\$0	\$5,000	\$1,000	\$1,000	\$1,000
Fairfax City Rehab. Loans ¹	0	20,000	0	0	0
Total Expenditures	\$0	\$25,000	\$1,000	\$1,000	\$1,000
Total Disbursements	\$0	\$25,000	\$1,000	\$1,000	\$1,000
Ending Balance	\$233,562	\$242,167	\$235,562	\$236,762	\$236,762

¹ Based on prior years' activity, there is no scheduled repayment of deferred Fairfax City loans in FY 2013.

Fund 946

FCRHA Revolving Development

Focus

Fund 946, Fairfax County Redevelopment and Housing Authority (FCRHA) Revolving Development Fund provides initial funds in the form of advances for projects for which federal, state, or private financing is later available. Initial project costs, such as development support for new site investigations for proposed projects, architectural and engineering plans, studies and fees, are advanced from this fund and are later included in permanent financing plans for repayment to this fund. This funding mechanism ensures that sufficient funding is available to provide adequate plans and proposals for individual projects prior to obtaining construction and permanent project financing.

This fund is supported by multiple revenue sources, including income from investments and repayment of advances on behalf of two projects, Mt. Pleasant and Charleston Square.

No funding for advances is required for Fund 946, FCRHA Revolving Development Fund in FY 2013. As projects are identified and approved by the FCRHA that require Revolving Development funds, adjustments will be made through allocations during the year. Repayment of two previously advanced loans totaling \$7,008 is anticipated in FY 2013.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$955,532**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$955,532 due to the carryover of unexpended project balances of \$2,082,750 for continuing projects, offset by a decrease of \$1,127,218 to close out three projects.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 946

FCRHA Revolving Development

FUND STATEMENT

Fund Type H94, FCRHA Development Support

Fund 946, FCRHA Revolving
Development

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$4,549,229	\$2,487,226	\$5,063,933	\$4,139,417	\$4,139,417
Revenue:					
Investment Income	\$21,166	\$22,718	\$22,718	\$18,385	\$18,385
Repayment of Advances	502,838	8,298	8,298	7,008	7,008
Total Revenue	\$524,004	\$31,016	\$31,016	\$25,393	\$25,393
Total Available	\$5,073,233	\$2,518,242	\$5,094,949	\$4,164,810	\$4,164,810
Expenditures:					
Advances	\$9,300	\$0	\$955,532	\$0	\$0
Total Expenditures	\$9,300	\$0	\$955,532	\$0	\$0
Total Disbursements	\$9,300	\$0	\$955,532	\$0	\$0
Ending Balance¹	\$5,063,933	\$2,518,242	\$4,139,417	\$4,164,810	\$4,164,810

¹ Ending balances fluctuate due to increases and decreases in investment income and the repayment of advances.

Fund 946

FCRHA Revolving Development

FY 2013 Summary of Capital Projects

Fund: 946, FCRHA Revolving Development

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
003844	Emergency Housing	\$124,999	\$0.00	\$0.00	\$0	\$0
003907	James Lee Community Center	698,845	0.00	0.00	0	0
003978	Lincolnia Center	600,000	0.00	600,000.00	0	0
013905	Creighton Square/Lockheed Blvd.	206,852	0.00	0.00	0	0
013908	West Ox	861,464	0.00	0.00	0	0
013948	Little River Glen Phase II	156,028	0.00	0.00	0	0
013966	Glenwood Mews	848,208	9,300.00	0.00	0	0
013969	Castellani Meadows	250,404	0.00	4,122.59	0	0
014196	Affordable/Workforce Housing Projects		0.00	50,000.00	0	0
014257	Crescent Redevelopment	300,000	0.00	300,000.00	0	0
014307	Ox Road	1,100,000	0.00	1,409.72	0	0
VA1942	Old Mill Site	368,421	0.00	0.00	0	0
VA1945	Ragan Oaks	255,749	0.00	0.00	0	0
VA1951	Tavener Lane Apartments	263,918	0.00	0.00	0	0
VA1956	Scattered ADU'S	736,052	0.00	0.00	0	0
Total		\$6,770,940	\$9,300.00	\$955,532.31	\$0	\$0

Fund 948

FCRHA Private Financing

Focus

Fund 948, FCRHA Private Financing, was established to budget and report costs for capital projects which are supported in full or in part by funds borrowed by the Fairfax County Redevelopment and Housing Authority (FCRHA) through the FCRHA sale of notes or bonds, or through equity financing received through the sale of federal low-income housing tax credits. Housing development and improvement projects may be financed with funds borrowed from private lenders, the Virginia Housing Development Authority, or the federal government. At times, the FCRHA has invested in short-term notes of the County to provide an interim source of financing until permanent financing from one of these sources can be secured. Fund 948, FCHRA Private Financing, permits accounting for the receipt of funds from the lender and disbursements made by the FCRHA so that the total cost of a project can be maintained in the County's financial system and can be reflected on the FCRHA balance sheet.

An amount of \$404,733 is included in FY 2013 for payment of debt service for two Section 108 Loans (Loans 4 and 5) paid by this fund. Debt service payments, in the amount of \$14,543, are budgeted in Fund 142, Community Development Block Grant (CDBG), and are received as revenue in Fund 948. The expenditures are made from Fund 948 to accommodate accounting requirements. The remaining debt service of \$390,190 will be paid from two sources: a scheduled repayment on Loan 5A (partial payment) and remaining loan proceeds from Section 108 Loans 4A/B.

In FY 2013, necessary adjustments will be made to Fund 948 to track revenue and disbursements, as new projects and additional plans that require private financing are developed and approved by the FCRHA and the Board of Supervisors.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$2,651,673**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$2,651,673 due to the carryover of unexpended project balances of \$2,670,166 for continuing projects, to appropriate \$3,327 in unanticipated investment earnings received in FY 2011, offset by a decrease of \$21,820 to align the budget with required Section 108 principal and interest payments.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 948 FCRHA Private Financing

FUND STATEMENT

Fund Type H94, FCRHA Development Support

Fund 948, FCRHA Private Financing

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$7,524,097	\$5,255,584	\$7,109,758	\$4,813,348	\$4,813,606
Revenue:					
Section 108 Debt Service	\$323,166	\$720,962	\$720,962	\$26,443	\$26,443
Investment Income ¹	9,956	0	0	0	0
Miscellaneous Income	20,639	0	0	0	0
Total Revenue	\$353,761	\$720,962	\$720,962	\$26,443	\$26,443
Total Available	\$7,877,858	\$5,976,546	\$7,830,720	\$4,839,791	\$4,840,049
Expenditures:					
Capital Projects ¹	\$768,100	\$720,962	\$3,017,114	\$404,733	\$404,733
Total Expenditures	\$768,100	\$720,962	\$3,017,114	\$404,733	\$404,733
Total Disbursements	\$768,100	\$720,962	\$3,017,114	\$404,733	\$404,733
Ending Balance²	\$7,109,758	\$5,255,584	\$4,813,606	\$4,435,058	\$4,435,316

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$355,262.01 have been reflected as an increase of \$258.41 in FY 2011 revenues to record interest income, and an increase of \$355,520.42 for Project 013887, Section 108 Loan Payments in FY 2011 expenditures to accurately record accrued expenses in the appropriate fiscal year. This impacts the amount carried forward resulting in a net decrease of \$355,520.42 to the *FY 2012 Revised Budget Plan*. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 948 FCRHA Private Financing

FY 2013 Summary of Capital Projects

Fund: 948, FCRHA Private Financing

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
003907	James Lee Community Center	\$18,464,058	\$0.00	\$0.00	\$0	\$0
003923	Undesignated Projects		24,826.41	2,188,700.16	0	0
013887	Section 108 Loan Payments		752,412.64	720,961.04	404,733	404,733
013905	Creighton Square/Lockheed Blvd.	1,040,000	(9,139.50)	34,584.48	0	0
013948	Little River Glen Phase II	1,740,576	0.00	0.00	0	0
013966	Glenwood Mews	606,257	0.00	0.00	0	0
013969	Castellani Meadows	2,580,000	0.00	0.00	0	0
014251	Braddock Glen Adult Day Health Care Center	3,780,000	0.00	72,868.43	0	0
VA1942	Old Mill Site	640,249	0.00	0.00	0	0
Total		\$28,851,140	\$768,099.55	\$3,017,114.11	\$404,733	\$404,733

Fund 949

FCRHA Internal Service Fund

Focus

Fund 949, Fairfax County Redevelopment and Housing Authority (FCRHA) Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying and audits, which have been budgeted in and expensed from one of the FCRHA's funds, and then allocated out to other funds proportionate to their share of the costs. It also includes costs associated with the maintenance and operation of FCRHA housing developments such as service contracts for extermination, custodial work, elevator maintenance and grounds maintenance. The fund allows one purchasing document to be established for each vendor, as opposed to multiple purchase orders in various funds. Reimbursed charges incurred on behalf of other Department of Housing and Community Development funds are recorded as revenue.

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

◆ **Program Adjustments** **(\$710,949)**

A net decrease of \$710,949 is associated with the Department of Housing and Community Development's transition to move project-specific expenditures from Fund 949 to each project's home fund to minimize project-related expenditures in Fund 949.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

◆ **Carryover Adjustments** **(\$672,247)**

As part of the FY 2011 Carryover Review, the Board of Supervisors approved a decrease of \$672,247 associated with a reduction of \$748,890 due to the Department of Housing and Community Development's decision to move project-specific expenditures from Fund 949 to each project's home fund to minimize project-related expenditures in Fund 949, offset by an increase of \$76,643 for encumbered carryover.

Fund 949

FCRHA Internal Service Fund

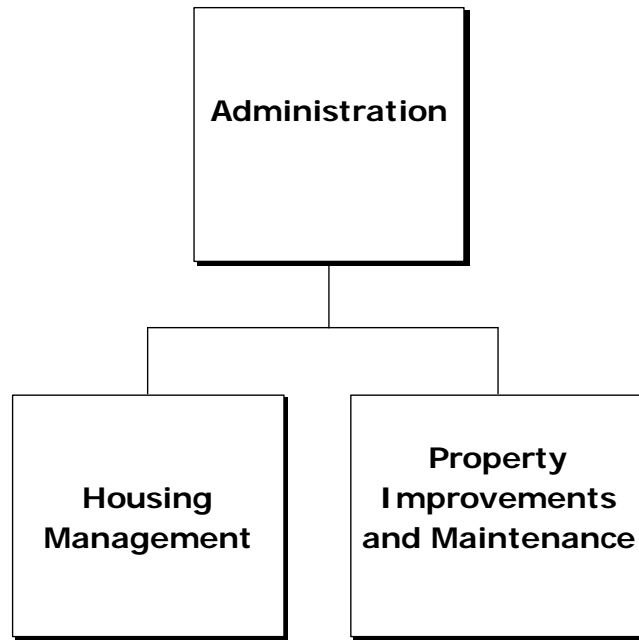
FUND STATEMENT

Fund Type H94, FCRHA Development Support

Fund 949, FCRHA Internal Service Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$0	\$0	\$0	\$0	\$0
Revenue:					
Reimbursement from Other Funds	\$3,394,261	\$3,864,914	\$3,192,667	\$3,153,965	\$3,153,965
Total Revenue	\$3,394,261	\$3,864,914	\$3,192,667	\$3,153,965	\$3,153,965
Total Available	\$3,394,261	\$3,864,914	\$3,192,667	\$3,153,965	\$3,153,965
Expenditures:					
Operating Expenses	\$3,394,261	\$3,864,914	\$3,192,667	\$3,153,965	\$3,153,965
Total Expenditures	\$3,394,261	\$3,864,914	\$3,192,667	\$3,153,965	\$3,153,965
Total Disbursements	\$3,394,261	\$3,864,914	\$3,192,667	\$3,153,965	\$3,153,965
Ending Balance	\$0	\$0	\$0	\$0	\$0

Fund 950 Housing Partnerships



Mission

To provide affordable rental housing through partnerships between the Fairfax County Redevelopment and Housing Authority (FCRHA) and private investors.

Focus

Fund 950, Housing Partnerships, was created in FY 2002 to allow the FCRHA to efficiently track partnership properties in the Federal Low Income Housing Tax Credit program, which promotes private investment in affordable housing through partnerships with nonprofit entities such as the FCRHA. The Housing Partnerships Fund supports a portion of the operating expenses for local rental-housing programs that are owned by limited partnerships of which the FCRHA is the managing general partner. In FY 2013, the FCRHA will directly manage five partnership properties: Castellani Meadows, The Green, Tavenner Lane, Murraygate Village and Olley Glen. Some costs of the operation of these five properties are tracked through the County's mainframe financial system; however, a separate FCRHA software system, Yardi, is required to maintain partnership accounts and meet partnership calendar year reporting schedules. The operation of these developments is primarily supported by tenant rents with a County contribution for real estate taxes. The revenue collected from rents and property excess income is also monitored by Yardi and utilized by the partnerships to reimburse the FCRHA for expenses incurred to support salaries, maintenance and other operating expenses as identified in Fund 950.

Six other partnership properties receive a County contribution for real estate taxes, but are managed by a private management company and are not reported in the County's mainframe financial system. These other partnership properties include: Herndon Harbor I & II, Gum Springs Glen, Morris Glen, Stonegate, and Cedar Ridge.

Fund 950 Housing Partnerships

The following chart summarizes the total number of units in the FCRHA managed portion of the Partnership Program in FY 2013 and the projected operating costs associated with the units:

<u>Project Name</u>	<u>Units</u>	<u>FY 2013 Cost</u>	<u>District</u>
Castellani Meadows	24	\$138,125	Sully
The Green ¹	24	354,258	Providence, Hunter Mill, and Sully
Tavener Lane ²	12	116,900	Lee
Murraygate Village	199	901,730	Lee
Olley Glen	90	242,852	Braddock
Total Partnership Program	349	\$1,753,865	

¹ An additional 50 units counted as part of The Green Partnership property are part of the federally assisted Public Housing program and are reflected in Fund 967, Public Housing Projects Under Management. However, operating expenses for all 74 units are included in Fund 950 since they are all owned by a limited partnership.

² An additional 12 units at Tavener Lane are part of the federally assisted Public Housing program and are reflected in Fund 967, Public Housing Projects Under Management. However, operating expenses for all 24 units are included in Fund 950 since they are all owned by a limited partnership.

Budget and Staff Resources

Agency Summary					
<u>Category</u>	<u>FY 2011 Actual</u>	<u>FY 2012 Adopted Budget Plan</u>	<u>FY 2012 Revised Budget Plan</u>	<u>FY 2013 Advertised Budget Plan</u>	<u>FY 2013 Adopted Budget Plan</u>
Authorized Positions/Staff Years					
Regular	9 / 9	9 / 9	9 / 9	9 / 9	9 / 9
Expenditures:					
Personnel Services	\$618,656	\$690,045	\$697,679	\$761,232	\$769,775
Operating Expenses	1,016,856	1,050,102	1,178,713	984,090	984,090
Total Expenditures	\$1,635,512	\$1,740,147	\$1,876,392	\$1,745,322	\$1,753,865

Position Summary					
HOUSING MANAGEMENT	1	Housing Services Specialist I	1	Administrative Assistant III	
1 Housing Services Specialist III	1	HVAC II	1	Administrative Assistant II	
1 Housing Services Specialist II	2	General Building Maintenance Workers II	1	Plumber I	
TOTAL POSITIONS					
9 Positions / 9.0 Staff Years					

Fund 950

Housing Partnerships

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$20,300**
An increase of \$20,300 in Personnel Services reflects \$11,757 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$8,543 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$10,002**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$10,002 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **(\$14,689)**
A decrease of \$14,689 includes an increase of \$51,323 for Personnel Services, primarily associated with program adjustments and other necessary adjustments to support project-based budgeting, offset by a reduction of \$66,012 for Operating Expenses, primarily associated with a decrease in non-recurring costs.

- ◆ **Other Post-Employment Benefits** **(\$1,895)**
A decrease of \$1,895 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$136,245**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$7,634 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$128,611 in Operating Expenses.

Fund 950 Housing Partnerships

FUND STATEMENT

Fund Type H94, FCRHA Development Support

Fund 950, Housing Partnerships

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$32,016	\$21,513	\$324,955	\$286,882	\$314,925
Revenue:					
FCRHA Reimbursements	\$1,928,451	\$1,737,751	\$1,866,362	\$1,745,322	\$1,753,865
Total Revenue	\$1,928,451	\$1,737,751	\$1,866,362	\$1,745,322	\$1,753,865
Total Available	\$1,960,467	\$1,759,264	\$2,191,317	\$2,032,204	\$2,068,790
Expenditures:					
Personnel Services ¹	\$618,656	\$690,045	\$697,679	\$761,232	\$769,775
Operating Expenses ¹	1,016,856	1,050,102	1,178,713	984,090	984,090
Total Expenditures	\$1,635,512	\$1,740,147	\$1,876,392	\$1,745,322	\$1,753,865
Total Disbursements	\$1,635,512	\$1,740,147	\$1,876,392	\$1,745,322	\$1,753,865
Ending Balance²	\$324,955	\$19,117	\$314,925	\$286,882	\$314,925
Replacement Reserve	\$296,912	\$19,117	\$314,925	\$286,882	\$314,925
Unreserved Ending Balance	\$28,043	\$0	\$0	\$0	\$0

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$28,043.67 have been reflected as a decrease of \$28,043.67 in FY 2011 expenditures to reclassify expenses for reporting purposes. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

²The Housing Partnerships Fund maintains fund balances at adequate levels relative to projected operating and maintenance requirements. These costs change annually, therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 965

Housing Grants

Mission

To provide the residents of the County with safe, decent, and more affordable housing for low- and moderate-income households.

Focus

Fund 965, Housing Grants, separately tracks grants which are awarded to the Fairfax County Redevelopment and Housing Authority (FCRHA). This fund currently provides accounting for the Resident Opportunity and Self Sufficiency (ROSS) Grant received by the FCRHA from the U.S. Department of Housing and Urban Development (HUD). The ROSS grant is a three-year grant that provides and coordinates supportive services that help public housing residents move toward self-sufficiency. In FY 2012, the Department of Housing and Community Development (HCD) is administering three ROSS programs, the Public Housing Family Self-Sufficiency Program, the Partnership for Resident Opportunities, Growth, Resources and Economic Self-Sufficiency (PROGRESS) Center, and the Family and Homeownership Program.

HUD's Public Housing Family Self-Sufficiency Program provides funds for the FCRHA to support one grant-funded program coordinator position. The coordinator is responsible for leveraging public and private support services for selected Public Housing families to help them achieve economic independence and self-sufficiency.

HCD established the PROGRESS Center in FY 2011 to focus on a number of critical areas including crisis intervention, employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities for program residents. The key to connecting FCRHA residents to these services and resources are partnerships established with other County agencies. They include partnerships with the Northern Virginia Workforce Investment Board and its non-profit employment training and job placement, The Skill Source Group, Inc. (Skillsource) and partnerships with County agencies including the Department of Family Services, the Department of Neighborhood and Community Services and the Fairfax-Falls Church Community Services Board. The remaining unexpended balance of \$353,636 from the original ROSS grant totaling \$480,000 will be used in FY 2012 to support this program, including two grant-funded program coordinator positions. An additional ROSS grant was provided in September 2011 in the amount of \$138,000 to provide services and resources to non-profit organizations.

The Family and Homeownership Program provides funds for the FCRHA to offer housing counseling services to Public Housing residents and supports one grant-funded program coordinator position.

No FY 2013 funding is included for Fund 965 at this time. Funding will be allocated at the time of the award from HUD.

Fund 965 Housing Grants

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Grant	4 / 4	4 / 4	4 / 4	4 / 4	4 / 4
Expenditures:					
Personnel Services	\$249,825	\$0	\$404,372	\$0	\$0
Operating Expenses	66,410	0	68,311	0	0
Total Expenditures	\$316,235	\$0	\$472,683	\$0	\$0

Position Summary					
HOUSING MANAGEMENT					
2	Housing Services Specialists III	1	Housing Services Specialist II	1	Housing Comm. Developer III
TOTAL POSITIONS					
4 Grant Positions/4.0 Grant Staff Years					

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** \$0
It should be noted that no additional funding is included for the 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012; the 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013; or the full year impact of the FY 2012 market rate adjustment that was effective September 24, 2011, as the fund will absorb the impact within the existing HUD award authorization.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Program Adjustments** \$138,000
Subsequent to the *FY 2011 Carryover Review*, an additional ROSS grant in the amount of \$138,000 supported the provision of services and resources to non-profit organizations.
- ◆ **Carryover Adjustments** \$353,636
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an expenditure increase of \$353,636 due to the carryover of unexpended FY 2011 grant balances. In addition, the Board of Supervisors approved a 2.0 percent market rate adjustment, effective September 24, 2011, which the fund will absorb within the existing HUD award authorization.

Fund 965 Housing Grants

FUND STATEMENT

Fund Type H94, FCRHA Development Support

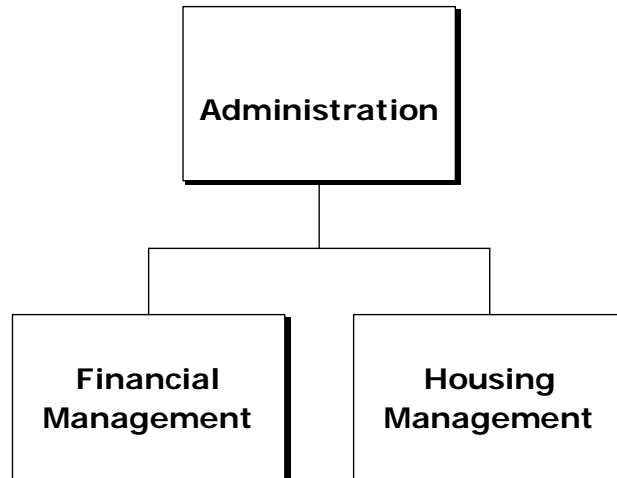
Fund 965, Housing Grants

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$0	\$0	\$0	\$0	\$0
Revenue:					
ROSS Grant ¹	\$316,235	\$0	\$472,683	\$0	\$0
Total Revenue	\$316,235	\$0	\$472,683	\$0	\$0
Total Available	\$316,235	\$0	\$472,683	\$0	\$0
Expenditures:					
ROSS Grant ¹	\$316,235	\$0	\$472,683	\$0	\$0
Total Expenditures	\$316,235	\$0	\$472,683	\$0	\$0
Total Disbursements	\$316,235	\$0	\$472,683	\$0	\$0
Ending Balance	\$0	\$0	\$0	\$0	\$0

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net adjustment of \$0 have been reflected as an increase of \$18,952.68 in FY 2011 revenues to record accrual adjustments, and an increase of \$18,952.68 in FY 2011 expenditures to record accrued expenses for personnel services in the appropriate fiscal year. This impacts the amount of both revenues and expenditures carried forward resulting in a decrease of \$18,952.68 to the *FY 2012 Revised Budget Plan* in both categories. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

Fund 966

Housing Choice Voucher Program



Mission

To ensure that participants in the Federal Housing Choice Voucher program are provided with decent, safe and affordable private market housing.

Focus

The Housing Choice Voucher program is a Federal Housing Assistance Program for lower income families seeking housing in the private market place. The United States Department of Housing and Urban Development (HUD) provides funds to pay a portion of the family's rent. In most cases, this subsidy is the difference between 30 percent of the eligible family's income and a HUD-approved Fair Market Rent (FMR) for a housing unit, although FMRs are different for the Housing Choice Voucher (HCV) program and the project-based components of the program. The rent subsidy payments are made pursuant to a Housing Assistance Payments (HAP) Contract with the owner of the housing. Housing authorities administer the contract for these subsidy funds on behalf of HUD, which involves making the monthly subsidy payments, verifying that those benefiting from the subsidy are eligible and monitoring compliance with federal regulations. This is done pursuant to an Annual Contribution Contract between the Fairfax County Redevelopment and Housing Authority (FCRHA) and HUD. Administrative fees are established by HUD and earned from HUD by the FCRHA. The administrative fee earned is used to cover expenses associated with administering the Housing Choice Voucher program.

Under Fund 966, Housing Choice Voucher program, rental subsidies are provided by HUD to cover the difference between a market-established rent and the rent which is determined to be affordable at a given family's income level. In some cases, the subsidies are associated with a particular housing development and in other cases they are transferable with the tenant. Private developers, local housing authorities and state housing finance agencies all participate in different aspects of the HCV program. Within the Portability Program, one aspect of the HCV program, a tenant from another locality finds housing in Fairfax County and the FCRHA pays the subsidy portion of the rent on behalf of the originating housing authority. A subsequent reimbursement from the originating housing authority is received by the FCRHA as Portability Program Revenue to cover the subsidy payment as well as 80 percent of the originating Housing Authority's administrative fee to cover administrative costs.

Fund 966

Housing Choice Voucher Program

The FY 2013 funding level of \$50,924,700 consists of housing assistance payments of \$47,158,178 and administrative expenses of \$3,766,522 to support 3,527 Housing Choice Voucher units as part of the Federal Housing Assistance Program for lower income families. The FY 2013 request for this program is based on the Calendar Year 2011 HUD budget for July 2011 through December 2011 and projected for the full fiscal year for HAP and Administrative Fees. In FY 2010, the FCRHA was awarded 35 vouchers to serve homeless veterans and their families who are referred by the Veterans Affairs (VA) Administration to HCD. These 35 veterans are assigned a VA case manager who works with the veteran to improve the veteran's general health and mental health, and to enhance the veteran's ability to remain stable, housed, and community integrated.

The FY 2013 revenue projection of \$50,848,983, a decrease of \$533,671 from the FY 2012 Adopted Budget Plan, is the net result of a decrease in the Annual Contributions from HUD for Calendar Year 2011; an increase in Annual Contributions for an additional award of 31 Enhanced vouchers and 3 Veteran Affairs Supportive Housing vouchers; and a decrease in leasing by HCV Portability participants. In accordance with the HUD funding formula that became effective January 1, 2008, administrative fees are earned by the FCRHA for the lease-up of authorized FCRHA vouchers. The formula is based on a graduated scale for leased units rather than a fixed rate.

Appropriate revisions to the FY 2013 Fund 966 budget will be made as part of the *FY 2012 Carryover Review* or a future quarterly review, subsequent to final federal approval of Fairfax County's actual award. It should be noted that preliminary estimates from HUD indicate there likely will be reductions from the FY 2012 funding award amount for FY 2013.

The current income limits for most components of the HCV Program as established by HUD, effective as of May 31, 2011, are shown below:

Household Size	Very Low Income	Lower Income
1	\$37,150	\$47,350
2	\$42,450	\$54,100
3	\$47,750	\$60,850
4	\$53,050	\$67,600
5	\$57,300	\$73,050
6	\$61,550	\$78,450
7	\$65,800	\$83,850
8+	\$70,050	\$89,250

FY 2013 SUMMARY OF PROJECTS	
PROJECTS	NUMBER OF UNITS
Consolidated Vouchers ¹	3,527
Total Contract P-2509 Fund 966	3,527

¹ Actual number of vouchers issued may be lower than HUD-approved count due to local market conditions.

Fund 966

Housing Choice Voucher Program

Fund 966 covers the following components in FY 2013:

- ◆ **Housing Choice Vouchers – 3,527 issued through the FCRHA**
Under this component of the Housing Choice Voucher housing program, local or state housing authorities contract with HUD for housing assistance payment subsidy funds and issue vouchers to eligible households who may lease any appropriately sized, standard quality rental unit from a participating landlord.
- ◆ The housing authority maintains a waiting list of those seeking a Housing Choice Voucher, verifies applicant income eligibility before issuing a voucher, inspects the unit the family selects to ensure compliance with HCV Housing Quality Standards, computes the portion of rent the family must pay or the maximum subsidy, contracts with the landlord to pay the subsidy, recertifies eligibility annually, and maintains required financial records and reports. The owner of the housing (landlord), not the housing authority, selects the families to whom the landlord will rent, and renews or terminates the family's lease in accordance with the terms of the lease.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	35 / 35	35 / 35	0 / 0	0 / 0	0 / 0
Grant	4 / 4	4 / 4	39 / 39	39 / 39	39 / 39
Expenditures:					
Personnel Services	\$2,553,174	\$2,944,864	\$2,978,610	\$3,142,734	\$3,178,735
Operating Expenses	46,616,577	47,967,123	47,173,014	47,745,965	47,745,965
Total Expenditures	\$49,169,751	\$50,911,987	\$50,151,624	\$50,888,699	\$50,924,700

Position Summary					
ADMINISTRATION		HOUSING MANAGEMENT		2	Admin. Assistants III
1	Network/Telecom Analyst III	3	Housing Svcs. Specialists V	1	Admin. Assistant II
FINANCIAL MANAGEMENT		5	Housing Svcs. Specialists III		
1	Accountant II	24	Housing Svcs. Specialists II		
1	Admin. Assistant IV	1	Human Services Assistant		
TOTAL POSITIONS					
39 Grant Positions / 39.0 Grant Staff Years					

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** \$85,557
An increase of \$85,557 in Personnel Services reflects \$49,556 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$36,001 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

Fund 966

Housing Choice Voucher Program

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$44,219**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$44,219 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Other Post-Employment Benefits** **\$6,885**
An increase of \$6,885 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

- ◆ **Housing Assistance Program** **(\$219,888)**
A decrease of \$219,888 in Housing Assistance Payments (HAP) is based primarily on the net result of the reduction in Annual Contributions to support HAP expenses and decrease in the anticipated Portability In program lease rate.

- ◆ **Ongoing Administrative Expenses** **\$95,940**
An increase of \$95,940 in Ongoing Administrative Expenses is primarily due to the net impact of a Personnel Services increase of \$97,210 associated with fringe benefits based on the market rate adjustment for Personnel Services and project-based budgeting adjustments, offset by a decrease in anticipated Operating Expenses of \$1,270.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Position Adjustments** **\$0**
Subsequent to the *FY 2011 Carryover Review*, 35/35.0 SYE Merit Regular positions were converted to 35/35.0 SYE Merit Grant positions as a result of the change in the definition of HCV funding in the new financial reporting system. There is no fiscal impact as a result of the conversion.

- ◆ **Carryover Adjustments** **(\$760,363)**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved a decrease \$760,363 due to a reduction of \$799,498 for Housing Choice Voucher (HCV) housing assistance payment funding based on the U. S. Department of Housing and Urban Development (HUD) Housing Choice Voucher (HCV) Annual Contributions funding renewal notice received in June 2011, offset by encumbrances of \$5,389 for professional contracts, and an increase of \$33,746 in Personnel Services to support a 2 percent market rate adjustment.

Fund 966

Housing Choice Voucher Program

FUND STATEMENT

Fund Type H96, Annual Contribution Contract

Fund 966, Housing Choice Voucher Program

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$6,430,593	\$5,991,194	\$6,643,321	\$5,197,549	\$4,844,240
Revenue:					
Annual Contributions ^{1,2}	\$46,791,485	\$46,922,352	\$44,741,048	\$46,457,972	\$46,457,972
Investment Income	21,074	16,354	16,354	16,354	16,354
Portability Program ³	2,533,637	4,398,815	3,550,008	4,329,524	4,329,524
Miscellaneous Revenue	36,283	45,133	45,133	45,133	45,133
Total Revenue	\$49,382,479	\$51,382,654	\$48,352,543	\$50,848,983	\$50,848,983
Total Available	\$55,813,072	\$57,373,848	\$54,995,864	\$56,046,532	\$55,693,223
Expenditures:					
Housing Assistance Payments ^{1,4}	\$46,064,852	\$47,378,066	\$46,578,568	\$47,158,178	\$47,158,178
Ongoing Admin. Expenses ^{1,5}	3,104,899	3,533,921	3,573,056	3,730,521	3,766,522
Total Expenditures	\$49,169,751	\$50,911,987	\$50,151,624	\$50,888,699	\$50,924,700
Total Disbursements	\$49,169,751	\$50,911,987	\$50,151,624	\$50,888,699	\$50,924,700
Ending Balance⁶	\$6,643,321	\$6,461,861	\$4,844,240	\$5,157,833	\$4,768,523
HAP Reserve	\$4,550,661	\$4,272,886	\$2,488,618	\$3,043,796	\$2,488,618
Operating Reserve	2,092,660	2,188,975	2,355,622	2,114,037	2,279,905
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$353,309.67 have been reflected as a decrease of \$39,691.99 in FY 2011 revenues to record damage claims and debt set off in the proper fiscal period, and an increase of \$313,617.68 in FY 2011 expenditures to reclassify expenditures for accounting purposes and record accruals and personnel services in the appropriate fiscal year. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

² The FY 2013 Adopted Budget Plan is based on the calendar year 2011 HUD budget for July 2011 through December 2011 and projected for the full fiscal year. Adjustments to projected Annual Contributions, if necessary, will be made at a future quarterly review.

³ Portability In tenants are being billed to the local originating housing authorities. The decrease from the FY 2012 Adopted Budget Plan in Recovered Costs and Servicing Fees is due to a decrease in the anticipated lease rate based on actual Portability In monthly leasing activity. Revenue for FY 2013 is based on Recovered Costs of 100 percent for Portability Housing Assistance Payment (HAP) and Utility Allowance Payment (UAP) expenses. A servicing fee will also be earned equal to 80 percent of the originating housing authority's administrative fees.

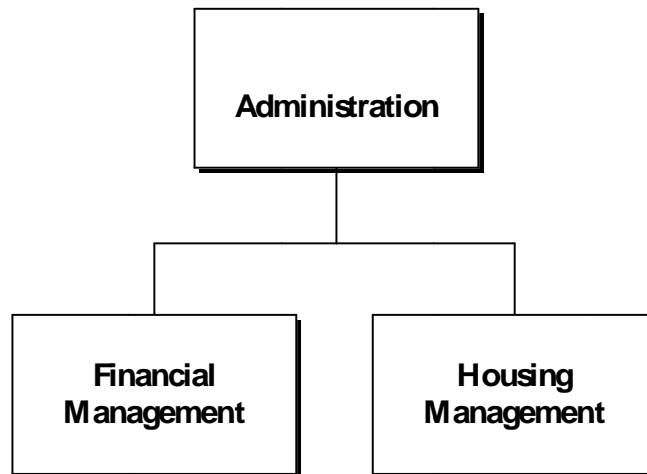
⁴ The FY 2013 decrease from the FY 2012 Adopted Budget Plan is primarily the net result of the reduction in Annual Contributions to support Housing Assistance Payment expense and the decrease in the anticipated Portability In lease rate.

⁵ The FY 2013 increase over the FY 2012 Adopted Budget Plan is primarily the net result of market rate and salary adjustments for Personnel Services and fringe benefits associated with the market rate and salary adjustments.

⁶ The fluctuations in the Ending Balance are primarily a result of projected adjustments in leasing trends and corresponding administrative expenses.

Fund 967

Public Housing Projects Under Management



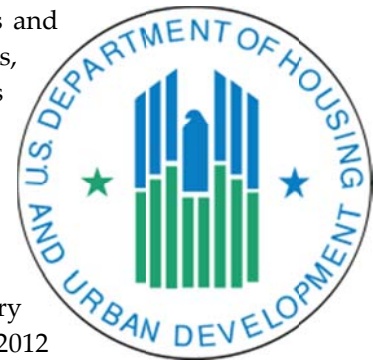
Mission

To ensure that all tenants of Fairfax County Redevelopment and Housing Authority's (FCRHA) owned and operated public housing units are provided with decent, safe and adequate housing; maintenance and management; social services referrals; and housing counseling.

Focus

The Federal Public Housing Program is administered by the U.S. Department of Housing and Urban Development (HUD) to provide funds for rental housing serving low-income households owned and operated by local housing authorities such as the Fairfax County Redevelopment and Housing Authority (FCRHA). There are two components of this program with each having separate funding for operations and capital improvements. Fund 967, Public Housing Projects Under Management, is for management and maintenance of public housing properties and includes an annual federal operating subsidy from HUD. Fund 969, Public Housing Projects Under Modernization, provides funds for capital improvements and repairs of existing public housing through an annual Capital Fund Grant (formerly the Comprehensive Grant).

Revenues are derived from dwelling rents, HUD-provided contributions and subsidies, payments for utilities in excess of FCRHA established standards, investment income, and maintenance charges. Projected FY 2013 revenues of \$9,810,320 represent an increase of \$77,475 or 0.8 percent over the FY 2012 Adopted Budget Plan. Effective January 1, 2007, the HUD Operating Subsidy calculation is based on HUD's Final Rule (Revisions to Public Housing Operating Fund) published on September 19, 2005, using a formula developed by HUD to provide a mechanism to align expenditures and revenues for Public Housing Authorities. Preliminary estimates from HUD indicate there likely will be reductions from the FY 2012 funding award amount for FY 2013. After HUD approval of the final award, necessary program adjustments will be made as part of a subsequent quarterly budget review process.



Fund 967

Public Housing Projects Under Management

The FCRHA is required by HUD to be in compliance with Project Based Accounting and Budgeting, which requires separate reporting for the County's Public Housing properties. The 26 Public Housing properties are grouped into 11 Asset Management Projects (AMPs) for HUD reporting purposes. In addition to the project reporting requirement, Public Housing Authorities are also required to track and report activities of the Central Office for various types of expenses including indirect administrative costs, which are covered by HUD prescribed management fees. The expenses for the AMPs are covered by program revenues, which are mainly Dwelling Rental Income and HUD Operating Subsidy.

In addition to the public housing support provided in this fund, FY 2013 funds are provided in the General Fund, Agency 38, Department of Housing and Community Development, in support of refuse-collection costs, painting expenses and townhouse/condominium-association fees for a portion of these properties.

The current income limits for the program as established by HUD effective May 31, 2011 are as follows:

INCOME LIMITS		
Number of Persons	Very Low	Low
1	\$37,150	\$47,350
2	\$42,450	\$54,100
3	\$47,750	\$60,850
4	\$53,050	\$67,600
5	\$57,300	\$73,050
6	\$61,550	\$78,450
7	\$65,800	\$83,850
8	\$70,050	\$89,250

The Public Housing projects, as reflected in the following chart, are located throughout the County.

Project Name	HUD Number	Number of Units	Supervisory District
Audubon Apartments	VA 19-01	46	Lee
Rosedale Manor	VA 19-03	97	Mason
Newington Station	VA 19-04	36	Mt. Vernon
The Park	VA 19-06	24	Lee
Shadowood	VA 19-11	16	Hunter Mill
Atrium Apartments	VA 19-13	37	Lee
Villages of Falls Church ¹	VA 19-25	37	Mason
Heritage Woods I	VA 19-26	19	Braddock
Robinson Square	VA 19-27	46	Braddock
Heritage Woods South	VA 19-28	12	Braddock
Sheffield Village	VA 19-29	8	Mt. Vernon
Greenwood	VA 19-30	138	Mason
Briarcliff II	VA 19-31	20	Providence
West Ford II	VA 19-32	22	Mt. Vernon
West Ford I	VA 19-33	24	Mt. Vernon
West Ford III	VA 19-34	59	Mt. Vernon
Barros Circle	VA 19-35	44	Sully
Belle View	VA 19-36	40	Mt. Vernon
Kingsley Park	VA 19-38	108	Providence
Scattered Sites	VA 19-39	25	Various
Reston Town Center	VA 19-40	30	Hunter Mill

Fund 967

Public Housing Projects Under Management

Project Name	HUD Number	Number of Units	Supervisory District
Old Mill	VA 19-42	48	Lee
Ragan Oaks	VA 19-45	51	Sully
Tavener Lane ²	VA 19-51	12	Lee
Waters Edge	VA 19-52	9	Sully
West Glade ²	VA 19-55	50	Hunter Mill
Scattered ADU Sites	VA 19-56	7	Various
Total Units³		1,065	

¹ This HUD project includes one unit at Heritage Woods South in Braddock District.

² Properties are owned by limited partnerships of which the FCRHA is the managing general partner. Therefore, rental revenue and other expenses for these properties are not reported in Fund 967.

³ There are projected to be 1,065 units of Public Housing; however, only 1,063 are income producing. There are two units off-line, one of which is used as an office and the other as a community room. Per HUD guidelines, the community room is not reported to HUD when requesting the HUD Operating Subsidy. Tavener Lane and West Glade are reported separately when reporting to HUD, since they are partnership properties and have different reporting requirements. The FY 2013 vacancy rate is projected to be approximately 2 percent for public housing properties, primarily due to normal turnover.

Admissions and Occupancy policies for this program are governed by the Quality Housing and Work Responsibility Act of 1998 (which amended the United States Housing Act of 1937) and are consistent with the objectives of Title VI of the Civil Rights Act of 1964. Eligibility for admission and occupancy to Low-Income Housing requires the applicants to fulfill the following general criteria: (1) qualify as a family, (2) have annual income which does not exceed the income limits for admission to a designated development, and (3) qualify under the Local Preference if head or spouse is employed, attending school or participating in a job training program, a combination thereof at least 30 hours per week; or is 62 or older; or is a primary caretaker of a disabled dependent; or meets HUD's definition of being disabled. In addition, the FCRHA approved a new income policy on May 1, 2008, to support the FCRHA's mission to serve low-income households. Eligible applicants for Public Housing who live or work in Fairfax County, City of Fairfax, City of Falls Church or Town of Herndon can (4) have household incomes above 50 percent of the AMI and must be from households that pay more than 30 percent of gross income for rent and utilities for the past 90 days (excluding telephone and cable costs), or have household incomes at or below 50 percent of AMI.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	47 / 47	47 / 47	0 / 0	0 / 0	0 / 0
Grant	1 / 1	1 / 1	48 / 48	48 / 48	48 / 48
Expenditures:					
Personnel Services	\$3,496,568	\$3,610,431	\$3,775,340	\$3,806,198	\$3,848,241
Operating Expenses	6,243,040	6,048,253	6,381,352	5,951,531	5,951,531
Total Expenditures	\$9,739,608	\$9,658,684	\$10,156,692	\$9,757,729	\$9,799,772

Fund 967

Public Housing Projects Under Management

Position Summary		
<p><u>ADMINISTRATION</u></p> <p>1 Administrative Assistant II</p> <p><u>FINANCIAL MANAGEMENT</u></p> <p>1 Financial Specialist IV</p> <p>1 Accountant III</p> <p>2 Administrative Assistants III</p>	<p><u>HOUSING MANAGEMENT</u></p> <p>1 HCD Division Director</p> <p>1 Housing Community Developer V</p> <p>1 Human Services Coordinator II</p> <p>1 Management Analyst I</p> <p>2 Housing Services Specialists V</p> <p>2 Housing Services Specialists III</p> <p>12 Housing Services Specialists II</p> <p>3 Housing Services Specialists I</p> <p>1 Administrative Assistant IV</p>	<p>1 Administrative Assistant III</p> <p>1 Trades Supervisor</p> <p>4 HVACs I</p> <p>6 General Building Maintenance Workers II</p> <p>4 General Building Maintenance Workers I</p> <p>1 Locksmith II</p> <p>2 Plumbers II</p>
<p><u>TOTAL POSITIONS</u></p> <p>48 Grant Positions / 48.0 Grant Staff Years</p>		

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$99,620**

An increase of \$99,620 in Personnel Services reflects \$57,577 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$42,043 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$54,661**

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$54,661 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.
- ◆ **Other Post-Employment Benefits** **\$5,330**

An increase of \$5,330 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.
- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **(\$18,523)**

A net decrease of \$18,523 is associated with a decrease of \$96,722 in Operating Expenses due to the centralization of costs for the oversight and management of the Capital Fund Program for Public Housing, and repairs and maintenance and utility expenses based on prior year actual expenditures, offset by an increase of \$78,199 in Personnel Services to support project-based budgeting efforts primarily for fringe benefit adjustments.

Fund 967

Public Housing Projects Under Management

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Position Adjustments** **\$0**
Subsequent to the *FY 2011 Carryover Review*, 47/47.0 SYE Merit Regular positions were converted to 47/47.0 SYE Merit Grant positions as a result of the change in definition of Public Housing funding in the new financial reporting system. There is no fiscal impact as a result of the conversion.

- ◆ **Carryover Adjustments** **\$498,008**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved funding of \$41,714 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011. In addition, the Board approved encumbered funding of \$456,294 in Operating Expenses.

Fund 967

Public Housing Projects Under Management

FUND STATEMENT

Fund Type H96, Annual Contribution Contract

Fund 967, Projects Under Management

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$4,564,513	\$4,201,515	\$4,219,132	\$3,761,437	\$3,487,866
Revenue:					
Dwelling Rental Income ^{1,2}	\$5,069,752	\$5,208,187	\$5,208,187	\$5,422,010	\$5,464,053
Excess Utilities	192,585	178,895	178,895	192,585	192,585
Interest on Investments	28,821	44,122	44,122	30,169	30,169
Other Operating Receipts ¹	127,943	147,472	147,472	148,387	148,387
Management Fee - Capital Fund ³	1,283,738	1,155,362	1,155,362	1,283,738	1,283,738
HUD Operating Subsidy ⁴	2,691,388	2,998,807	2,691,388	2,691,388	2,691,388
Total Revenue	\$9,394,227	\$9,732,845	\$9,425,426	\$9,768,277	\$9,810,320
Total Available	\$13,958,740	\$13,934,360	\$13,644,558	\$13,529,714	\$13,298,186
Expenditures: ⁵					
Administration ^{1,6}	\$1,389,997	\$1,398,180	\$1,582,837	\$1,503,686	\$1,506,016
Central Office ^{1,6,7}	735,405	941,456	434,384	1,294,195	1,301,986
Central Housing Management ^{1,7}	180,134	161,698	310,762	0	0
Central Maintenance ⁷	344,199	444,088	299,387	0	0
Tenant Services ^{1,8}	44,739	51,935	37,287	86,526	86,526
Utilities ¹	2,204,168	2,027,228	2,594,923	2,252,390	2,252,390
Ordinary Maintenance and Operation ¹	4,760,393	4,558,053	4,836,066	4,541,306	4,573,228
General Expenses	48,442	36,658	31,658	40,238	40,238
Non-Routine Expenditures	32,131	39,388	29,388	39,388	39,388
Total Expenditures	\$9,739,608	\$9,658,684	\$10,156,692	\$9,757,729	\$9,799,772
Total Disbursements	\$9,739,608	\$9,658,684	\$10,156,692	\$9,757,729	\$9,799,772
Ending Balance⁹	\$4,219,132	\$4,275,676	\$3,487,866	\$3,771,985	\$3,498,414

Fund 967

Public Housing Projects Under Management

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net adjustment of \$273,570.84 have been reflected as a decrease of \$20,433.78 in FY 2011 revenues to record accrual adjustments, and an increase of \$253,137.06 in FY 2011 expenditures to reclassify expenses and to record accrued expenses in the appropriate fiscal year. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

² FY 2013 revenues are based on the [FY 2012 Adopted Budget Plan](#) plus a 4 percent projected annual increase.

³ Revenue is associated with fees received for the oversight and management of the Central Office. Management Fee revenues that are based on U.S. Department of Housing and Urban Development (HUD) prescribed fees consist of property management, bookkeeping and asset management fees. Fees from Fund 969, Public Housing Projects Under Modernization are also included.

⁴ HUD Operating Subsidy is based on revenue and expenditures criteria developed by HUD under the Final Rule that was effective January 1, 2007.

⁵ Expenditure categories reflect HUD required cost groupings. Increase in expenditures is primarily associated with costs for the oversight and management of the fund, tenant services, and increased utility expenses based on prior year actual expenditures.

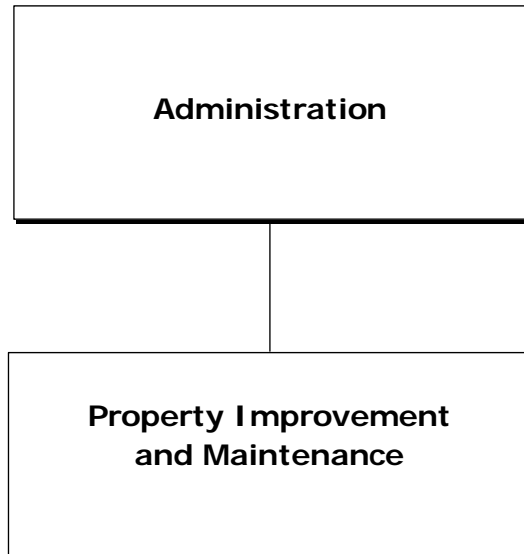
⁶ FY 2013 increase is primarily due to the budget for vehicle maintenance that was transferred from the Central Office Cost Center reflecting appropriate alignment of expenses.

⁷ Budgets for Central Office, Central Housing Management and Central Maintenance are consolidated into the Central Office Cost Center consistent with HUD annual reporting requirements.

⁸ FY 2013 budget includes relocation services and relocation expenses originally budgeted in the Administration Cost Center.

⁹ The Ending Balance fluctuates due primarily to revenue adjustments for HUD Operating Subsidy and Management Fee Income, as well as expenditure adjustments related to the oversight and management of the fund.

Fund 969 Public Housing Projects Under Modernization



Focus

Fund 969, Public Housing Projects Under Modernization, receives an annual federal grant, determined by formula, to be used for major physical and management improvements to public housing properties owned by the Fairfax County Redevelopment and Housing Authority (FCRHA). This grant program fund which was called the Comprehensive Grant Program (CGP) or the Modernization Program is now referred to as the Capital Fund Program (CFP). It is one of the two components of the Public Housing Program. The other fund supporting this program is Fund 967, Public Housing Under Management, which supports the daily maintenance and management of public housing properties.

Local public housing authorities submit a five-year comprehensive capital and management improvement plan to the U.S. Department of Housing and Urban Development (HUD) as part of the FCRHA's Five-Year Plan. The plan is updated each year as part of the Annual Plan. HUD reviews the plan and releases the annual capital grant amount that supports administrative and planning expenses as well as improvements to one or more projects. Housing authorities may revise the annual plan/budget to substitute projects as long as they are part of the Five-Year Plan.

Three grant positions are supported in this fund for the administration of the program to include monitoring of all construction in process for projects that have been approved by HUD.

The FCRHA submitted an improvement plan in June 2011 for Program Year 40 (FY 2012) funding and received HUD approval for \$1,505,901. Program Year 40 provides for staff administration and capital improvements for three properties: VA1938, Kingsley Park; VA1940, Reston Towne Center; and VA1945, Ragan Oaks.

No FY 2013 funding is included for Fund 969 at this time. During deliberations on the FY 2012 federal budget, initial funding projections were made for Fund 969 and there likely will be reductions from the FY 2012 funding award amount for FY 2013. Funding will be allocated at the time of the official award from HUD and will provide Program Year 41 funding for new and ongoing projects.

Fund 969

Public Housing Projects Under Modernization

Position Summary	
HOUSING MANAGEMENT	
1	Housing/Community Developer V
2	Housing/Community Developers III
TOTAL POSITIONS	
3 Grant Positions / 3.0 Grant Staff Years	

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation**
\$0
- It should be noted that no additional funding is included for the 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012; the 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013; or the full year impact of the FY 2012 market rate adjustment that was effective September 24, 2011, as the fund will absorb the impact within the existing HUD award authorization.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Program Adjustments**
\$1,505,901
- Subsequent to the *FY 2011 Carryover Review*, an allocation of \$1,505,901 was provided by HUD for Program Year 40 staff administration and capital improvements for three properties: VA1938, Kingsley Park; VA1940, Reston Towne Center; and VA1945, Ragan Oaks.
- ◆ **Carryover Adjustments**
\$1,139,632
- As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$1,139,632 due to the carryover of previously unexpended project balances. In addition, the Board of Supervisors approved a 2.0 percent market rate adjustment, effective September 24, 2011, which the fund will absorb within the existing HUD award authorization.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 969

Public Housing Projects Under Modernization

FUND STATEMENT

Fund Type H96, Annual Contribution Contract

Fund 969, Projects Under
Modernization

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$2,173,574	\$0	\$2,173,574	\$1,033,942	\$1,033,941
Revenue:					
HUD Authorizations ¹	\$0	\$0	\$1,505,901	\$0	\$0
HUD Reimbursements ^{1,3}	2,106,807	0	(25,540)	0	0
Total Revenue	\$2,106,807	\$0	\$1,480,361	\$0	\$0
Total Available	\$4,280,381	\$0	\$3,653,935	\$1,033,942	\$1,033,941
Expenditures:					
Administration ^{1,2}	\$402,055	\$0	\$6,143	\$0	\$0
Capital/Related Improvements ²	1,704,752	0	2,613,851	0	0
Total Expenditures	\$2,106,807	\$0	\$2,619,994	\$0	\$0
Total Disbursements	\$2,106,807	\$0	\$2,619,994	\$0	\$0
Ending Balance⁴	\$2,173,574	\$0	\$1,033,941	\$1,033,942	\$1,033,941

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net adjustment of \$0 have been reflected as an increase of \$25,539.67 in FY 2011 revenues to record accrual adjustments, and an increase of \$25,539.67 in FY 2011 expenditures for Project VA 1900, 4500 University Drive to reclassify expenses in the appropriate fiscal year. This impacts the amount carried forward resulting in a decrease of \$25,539.67 to the *FY 2012 Revised Budget Plan* for both revenues and expenditures. These audit adjustments have been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

²Subsequent to the *FY 2011 Carryover Review*, an allocation of \$1,505,901 was provided by HUD for Program Year 40 staff administration and capital improvements for VA 1938, Kingsley Park; VA 1940, Reston Towne Center; and VA 1945, Ragan Oaks.

³This represents the HUD reimbursements for capital improvements, major repairs/maintenance and modernization of public housing properties.

⁴Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds. The reduction from the FY 2011 Actuals to the *FY 2012 Revised Budget Plan* is attributable to anticipated expenditures exceeding prior years' authorizations due to the timing of projects. The balance of \$1,033,941 represents authorizations that will be decreased as actual expenditures are incurred.

Fund 969

Public Housing Projects Under Modernization

FY 2013 Summary of Capital Projects

Fund: 969, Projects Under Modernization

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
VA0501	Capital Improvement - Year 28	\$432,080	\$0.00	\$10,569.83	\$0	\$0
VA0505	Capital Improvement - Year 32	100,726	0.00	20,212.35	0	0
VA0508	Capital Improvement - Year 35	556,348	0.00	46,523.07	0	0
VA1900	4500 University Drive	289,453	74,341.04	195,172.44	0	0
VA1901	Audubon Apartments	1,989,893	58,403.05	0.00	0	0
VA1903	Rosedale Manor	2,177,762	136,885.13	216,627.92	0	0
VA1904	Newington Station	1,736,329	0.00	648,992.41	0	0
VA1905	Green Apartments	2,186,251	0.00	0.00	0	0
VA1906	The Park	1,225,571	0.00	82,530.27	0	0
VA1911	Shadowood Condominiums	329,579	2,856.71	0.00	0	0
VA1913	Atrium	2,072,462	401,067.46	3,623.98	0	0
VA1925	Villages at Falls Church	266,242	2,024.25	2,232.89	0	0
VA1927	Robinson Square	2,640,532	0.00	15,748.00	0	0
VA1929	Sheffield Village Square	74,915	0.00	0.00	0	0
VA1930	Greenwood Apartments	3,917,362	650,108.00	15,170.95	0	0
VA1931	Briarcliff Phase II	501,468	0.00	35,725.80	0	0
VA1932	Westford Phase II	1,482,362	0.00	55,000.00	0	0
VA1933	Westford Phase I	1,274,322	33,646.53	37,153.92	0	0
VA1934	Westford Phase III	2,464,152	138,380.69	83,691.22	0	0
VA1935	Barros Circle	1,634,264	211,595.88	507,373.13	0	0
VA1936	Belle View Condominiums	359,712	0.00	0.00	0	0
VA1938	Kingsley Park	2,611,429	75,035.14	126,893.76	0	0
VA1939	Scattered Acquisitions	0	2,084.12	0.00	0	0
VA1940	Reston Towne Center	1,015,879	0.00	242,696.00	0	0
VA1942	Old Mill Site	323,694	36,739.16	95,611.14	0	0
VA1945	Ragan Oaks	266,544	11,056.90	161,526.81	0	0
VA1951	Tavener Lane Apartments	94,843	73,617.18	751.93	0	0
VA1952	Water's Edge	119,621	0.00	0.00	0	0
VA1955	West Glade	427,777	198,965.32	16,165.76	0	0
VA1956	Scattered ADU'S	849	0.00	0.00	0	0
Total		\$32,572,422	\$2,106,806.56	\$2,619,993.58	\$0	\$0

FY 2013 ADOPTED REVENUE & RECEIPTS BY FUND SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HUMAN SERVICES							
G10 Special Revenue Funds							
117 Alcohol Safety Action Program	\$1,747,215	\$1,687,300	\$1,787,620	\$1,662,300	\$1,679,350	(\$108,270)	(6.06%)
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)							
G70 Agency Funds							
703 Northern Virginia Regional Identification System	\$18,799	\$29,310	\$29,310	\$18,799	\$18,799	(\$10,511)	(35.86%)
HOUSING AND COMMUNITY DEVELOPMENT							
H94 Other Housing Funds							
940 FCRHA General Operating	\$3,339,089	\$2,602,535	\$3,301,402	\$3,114,795	\$3,114,795	(\$186,607)	(5.65%)
941 Fairfax County Rental Program	4,859,110	4,826,898	5,110,846	4,916,977	4,916,977	(193,869)	(3.79%)
945 Non-County Appropriated Rehabilitation Loan	1,047	27,869	3,000	2,200	2,200	(800)	(26.67%)
946 FCRHA Revolving Development	524,004	31,016	31,016	25,393	25,393	(5,623)	(18.13%)
948 FCRHA Private Financing	353,761	720,962	720,962	26,443	26,443	(694,519)	(96.33%)
949 FCRHA Internal Service Fund	3,394,261	3,864,914	3,192,667	3,153,965	3,153,965	(38,702)	(1.21%)
950 Housing Partnerships	1,928,451	1,737,751	1,866,362	1,745,322	1,753,865	(112,497)	(6.03%)
965 Housing Grants Fund	316,235	0	472,683	0	0	(472,683)	(100.00%)
Total Other Housing Funds	\$14,715,958	\$13,811,945	\$14,698,938	\$12,985,095	\$12,993,638	(\$1,705,300)	(11.60%)
H96 Annual Contribution Contract							
966 Section 8 Annual Contribution	\$49,382,479	\$51,382,654	\$48,352,543	\$50,848,983	\$50,848,983	\$2,496,440	5.16%
967 Public Housing Projects Under Management	9,394,227	9,732,845	9,425,426	9,768,277	9,810,320	384,894	4.08%
969 Public Housing Projects Under Modernization	2,106,807	0	1,480,361	0	0	(1,480,361)	(100.00%)
Total Annual Contribution Contract	\$60,883,513	\$61,115,499	\$59,258,330	\$60,617,260	\$60,659,303	\$1,400,973	2.36%
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$75,599,471	\$74,927,444	\$73,957,268	\$73,602,355	\$73,652,941	(\$304,327)	(0.41%)

**FY 2013 ADOPTED REVENUE & RECEIPTS BY FUND
SUMMARY OF NON-APPROPRIATED FUNDS**

Fund Type/Fund	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
FAIRFAX COUNTY PARK AUTHORITY							
P17 Special Revenue - Park Authority							
170 Park Revenue Fund	\$39,950,190	\$42,097,806	\$42,097,806	\$42,510,006	\$42,510,006	\$412,200	0.98%
P37 Capital Projects - Park Authority							
371 Park Capital Improvement Fund	\$2,936,204	\$0	\$0	\$0	\$0	\$0	-
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$42,886,394	\$42,097,806	\$42,097,806	\$42,510,006	\$42,510,006	\$412,200	0.98%
TOTAL NON-APPROPRIATED FUNDS	\$120,251,879	\$118,741,860	\$117,872,004	\$117,793,460	\$117,861,096	(\$10,908)	(0.01%)
Appropriated from (Added to) Surplus	\$5,979,783	(\$816,172)	\$27,590,365	\$19,271	\$241,962	(\$27,348,403)	(99.12%)
TOTAL AVAILABLE	\$126,231,662	\$117,925,688	\$145,462,369	\$117,812,731	\$118,103,058	(\$27,359,311)	(18.81%)

FY 2013 ADOPTED EXPENDITURES BY FUND SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HUMAN SERVICES							
G10 Special Revenue Funds							
117 Alcohol Safety Action Program	\$1,732,656	\$1,687,300	\$1,806,793	\$1,825,354	\$1,842,404	\$35,611	1.97%
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)							
G70 Agency Funds							
703 Northern Virginia Regional Identification System	\$0	\$34,599	\$52,687	\$34,599	\$34,599	(\$18,088)	(34.33%)
HOUSING AND COMMUNITY DEVELOPMENT							
H94 Other Housing Funds							
940 FCRHA General Operating	\$2,367,942	\$2,516,625	\$2,832,448	\$3,114,795	\$3,136,947	\$304,499	10.75%
941 Fairfax County Rental Program	4,622,781	4,667,664	5,110,846	4,892,007	4,918,325	(192,521)	(3.77%)
945 Non-County Appropriated Rehabilitation Loan	0	25,000	1,000	1,000	1,000	0	0.00%
946 FCRHA Revolving Development	9,300	0	955,532	0	0	(955,532)	(100.00%)
948 FCRHA Private Financing	768,100	720,962	3,017,114	404,733	404,733	(2,612,381)	(86.59%)
949 FCRHA Internal Service Fund	3,394,261	3,864,914	3,192,667	3,153,965	3,153,965	(38,702)	(1.21%)
950 Housing Partnerships	1,635,512	1,740,147	1,876,392	1,745,322	1,753,865	(122,527)	(6.53%)
965 Housing Grants Fund	316,235	0	472,683	0	0	(472,683)	(100.00%)
Total Other Housing Funds	\$13,114,131	\$13,535,312	\$17,458,682	\$13,311,822	\$13,368,835	(\$4,089,847)	(23.43%)
H96 Annual Contribution Contract							
966 Section 8 Annual Contribution	\$49,169,751	\$50,911,987	\$50,151,624	\$50,888,699	\$50,924,700	\$773,076	1.54%
967 Public Housing Projects Under Management	9,739,608	9,658,684	10,156,692	9,757,729	9,799,772	(356,920)	(3.51%)
969 Public Housing Projects Under Modernization	2,106,807	0	2,619,994	0	0	(2,619,994)	(100.00%)
Total Annual Contribution Contract	\$61,016,166	\$60,570,671	\$62,928,310	\$60,646,428	\$60,724,472	(\$2,203,838)	(3.50%)
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$74,130,297	\$74,105,983	\$80,386,992	\$73,958,250	\$74,093,307	(\$6,293,685)	(7.83%)

FY 2013 ADOPTED EXPENDITURES BY FUND SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/Fund	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
FAIRFAX COUNTY PARK AUTHORITY							
P17 Special Revenue - Park Authority							
170 Park Revenue Fund	\$38,996,703	\$41,244,493	\$41,244,493	\$41,290,373	\$41,428,593	\$184,100	0.45%
P37 Capital Projects - Park Authority							
371 Park Capital Improvement Fund	\$10,544,193	\$0	\$21,118,091	\$0	\$0	(\$21,118,091)	(100.00%)
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$49,540,896	\$41,244,493	\$62,362,584	\$41,290,373	\$41,428,593	(\$20,933,991)	(33.57%)
TOTAL NON-APPROPRIATED FUNDS	\$125,403,849	\$117,072,375	\$144,609,056	\$117,108,576	\$117,398,903	(\$27,210,153)	(18.82%)

FY 2013 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/ Fund	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	Balance 6/30/13	From/(Added to) Surplus
HUMAN SERVICES					
G10 Special Revenue Funds					
117 Alcohol Safety Action Program	\$52,488	\$67,047	\$47,874	\$56,778	(\$8,904)
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)					
G70 Agency Funds					
703 Northern Virginia Regional Identification System	\$37,710	\$56,509	\$33,132	\$17,332	\$15,800
HOUSING AND COMMUNITY DEVELOPMENT					
H94 Other Housing Funds					
940 FCRHA General Operating	\$11,751,863	\$12,723,010	\$13,191,964	\$13,169,812	\$22,152
941 Fairfax County Rental Program	6,337,784	6,574,113	6,574,113	6,572,765	1,348
945 Non-County Appropriated Rehabilitation Loan	232,515	233,562	235,562	236,762	(1,200)
946 FCRHA Revolving Development	4,549,229	5,063,933	4,139,417	4,164,810	(25,393)
948 FCRHA Private Financing	7,524,097	7,109,758	4,813,606	4,435,316	378,290
949 FCRHA Internal Service Fund	0	0	0	0	0
950 Housing Partnerships	32,016	324,955	314,925	314,925	0
965 Housing Grants Fund	0	0	0	0	0
Total Other Housing Funds	\$30,427,504	\$32,029,331	\$29,269,587	\$28,894,390	\$375,197
H96 Annual Contribution Contract					
966 Section 8 Annual Contribution	\$6,430,593	\$6,643,321	\$4,844,240	\$4,768,523	\$75,717
967 Public Housing Projects Under Management	4,564,513	4,219,132	3,487,866	3,498,414	(10,548)
969 Public Housing Projects Under Modernization	2,173,574	2,173,574	1,033,941	1,033,941	0
Total Annual Contribution Contract	\$13,168,680	\$13,036,027	\$9,366,047	\$9,300,878	\$65,169
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$43,596,184	\$45,065,358	\$38,635,634	\$38,195,268	\$440,366

FY 2013 ADOPTED CHANGES IN FUND BALANCE SUMMARY OF NON-APPROPRIATED FUNDS

Fund Type/ Fund	Balance 6/30/10	Balance 6/30/11	Balance 6/30/12	Balance 6/30/13	From/(Added to) Surplus
FAIRFAX COUNTY PARK AUTHORITY					
P17 Special Revenue - Park Authority					
170 Park Revenue Fund	\$5,848,507	\$5,174,181	\$5,174,181	\$5,379,481	(\$205,300)
P37 Capital Projects - Park Authority					
371 Park Capital Improvement Fund	\$32,287,582	\$25,479,593	\$4,361,502	\$4,361,502	\$0
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$38,136,089	\$30,653,774	\$9,535,683	\$9,740,983	(\$205,300)
TOTAL NON-APPROPRIATED FUNDS	\$81,822,471	\$75,842,688	\$48,252,323	\$48,010,361	\$241,962

FY 2013 ADOPTED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

Fund Type/ Fund	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HOUSING AND COMMUNITY DEVELOPMENT							
APPROPRIATED FUNDS							
G00 General Fund							
Department of Housing and Community Development	\$5,824,425	\$5,928,757	\$6,024,542	\$5,635,141	\$5,687,809	(\$336,733)	(5.59%)
G30 Capital Project Funds							
319 The Penny for Affordable Housing Fund	\$10,824,709	\$14,668,400	\$29,092,284	\$15,443,400	\$16,502,150	(\$12,590,134)	(43.28%)
H14 Special Revenue - Housing							
141 Elderly Housing Programs	\$4,553,654	\$4,159,501	\$4,948,132	\$4,206,682	\$4,219,074	(\$729,058)	(14.73%)
142 Community Development Block Grant	8,454,534	6,463,133	14,608,812	5,418,429	5,418,429	(9,190,383)	(62.91%)
143 Homeowner and Business Loan Programs	3,077,573	4,514,316	10,066,452	3,910,249	3,910,249	(6,156,203)	(61.16%)
144 Housing Trust Fund	77,529	348,814	4,841,856	451,361	451,361	(4,390,495)	(90.68%)
145 HOME Investment Partnerships Grant	1,989,720	2,692,612	10,188,569	2,383,767	2,383,767	(7,804,802)	(76.60%)
Total Special Revenue Funds	\$18,153,010	\$18,178,376	\$44,653,821	\$16,370,488	\$16,382,880	(\$28,270,941)	(63.31%)
H34 Capital Projects - Housing							
340 Housing Assistance Program	\$782,786	\$515,000	\$7,727,170	\$515,000	\$0	(\$7,727,170)	(100.00%)
Total Capital Project Funds	\$782,786	\$515,000	\$7,727,170	\$515,000	\$0	(\$7,727,170)	(100.00%)
TOTAL APPROPRIATED HOUSING AUTHORITY	\$35,584,930	\$39,290,533	\$87,497,817	\$37,964,029	\$38,572,839	(\$48,924,978)	(55.92%)
NON-APPROPRIATED FUNDS							
H94 Other Housing Funds							
940 FCRHA General Operating	\$2,367,942	\$2,516,625	\$2,832,448	\$3,114,795	\$3,136,947	\$304,499	10.75%
941 Fairfax County Rental Program	4,622,781	4,667,664	5,110,846	4,892,007	4,918,325	(192,521)	(3.77%)
945 Non-County Appropriated Rehabilitation Loan	0	25,000	1,000	1,000	1,000	0	0.00%
946 FCRHA Revolving Development	9,300	0	955,532	0	0	(955,532)	(100.00%)
948 FCRHA Private Financing	768,100	720,962	3,017,114	404,733	404,733	(2,612,381)	(86.59%)
949 FCRHA Internal Service Fund	3,394,261	3,864,914	3,192,667	3,153,965	3,153,965	(38,702)	(1.21%)
950 Housing Partnerships	1,635,512	1,740,147	1,876,392	1,745,322	1,753,865	(122,527)	(6.53%)
965 Housing Grants Fund	316,235	0	472,683	0	0	(472,683)	(100.00%)
Total Other Housing Funds	\$13,114,131	\$13,535,312	\$17,458,682	\$13,311,822	\$13,368,835	(\$4,089,847)	(23.43%)

FY 2013 ADOPTED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

Fund Type/ Fund	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
H96 Annual Contribution Contract							
966 Section 8 Annual Contribution	\$49,169,751	\$50,911,987	\$50,151,624	\$50,888,699	\$50,924,700	\$773,076	1.54%
967 Public Housing, Projects Under Management	9,739,608	9,658,684	10,156,692	9,757,729	9,799,772	(356,920)	(3.51%)
969 Public Housing, Projects Under Modernization	2,106,807	0	2,619,994	0	0	(2,619,994)	(100.00%)
Total Annual Contribution Contract	\$61,016,166	\$60,570,671	\$62,928,310	\$60,646,428	\$60,724,472	(\$2,203,838)	(3.50%)
TOTAL NON-APPROPRIATED HOUSING AUTHORITY	\$74,130,297	\$74,105,983	\$80,386,992	\$73,958,250	\$74,093,307	(\$6,293,685)	(7.83%)
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$109,715,227	\$113,396,516	\$167,884,809	\$111,922,279	\$112,666,146	(\$55,218,663)	(32.89%)
<u>FAIRFAX COUNTY PARK AUTHORITY</u>							
APPROPRIATED FUNDS							
G00 General Fund							
Fairfax County Park Authority	\$21,760,342	\$21,699,789	\$22,293,822	\$22,425,917	\$22,666,464	\$372,642	1.67%
P37 Capital Projects - Park Authority							
370 Park Authority Bond Construction	\$16,208,118	\$0	\$47,317,723	\$0	\$0	(\$47,317,723)	(100.00%)
TOTAL APPROPRIATED PARK AUTHORITY	\$37,968,460	\$21,699,789	\$69,611,545	\$22,425,917	\$22,666,464	(\$46,945,081)	(67.44%)
NON-APPROPRIATED FUNDS							
P17 Special Revenue - Park Authority							
170 Park Revenue Fund	\$38,996,703	\$41,244,493	\$41,244,493	\$41,290,373	\$41,428,593	\$184,100	0.45%
P37 Capital Projects - Park Authority							
371 Park Capital Improvement Fund	\$10,544,193	\$0	\$21,118,091	\$0	\$0	(\$21,118,091)	(100.00%)
TOTAL NON-APPROPRIATED PARK AUTHORITY	\$49,540,896	\$41,244,493	\$62,362,584	\$41,290,373	\$41,428,593	(\$20,933,991)	(33.57%)
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$87,509,356	\$62,944,282	\$131,974,129	\$63,716,290	\$64,095,057	(\$67,879,072)	(51.43%)
TOTAL EXPENDITURES	\$197,224,583	\$176,340,798	\$299,858,938	\$175,638,569	\$176,761,203	(\$123,097,735)	(41.05%)

Fairfax County Park Authority Trust Funds

Overview

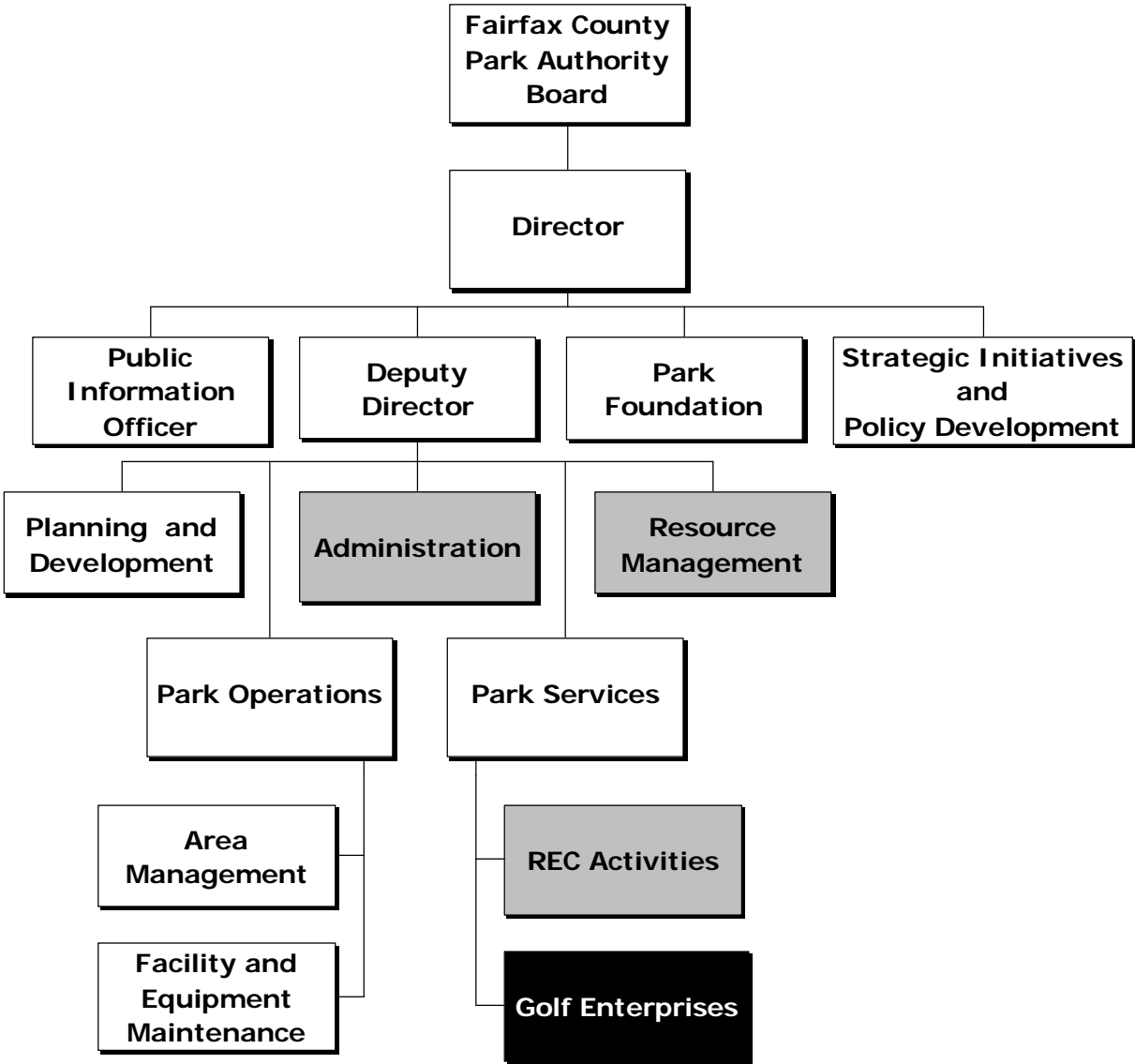
The Fairfax County Park Authority operates two separate and distinct Trust Funds. All funds received by the Park Authority Board under these Trust Funds are held and applied solely to expenditures determined by the Park Authority Board as authorized under the Commonwealth's Park Authorities Act. These funds provide support for the Park Authority which currently maintains and operates 418 parks, and approximately 23,000 acres of park land, including recreation centers, historic sites, nature centers, and golf courses.

The Park Authority, in its memorandum of agreement with the Fairfax County Board of Supervisors, adopted budgeting and reporting procedures for its Trust Funds which are used by the General County Government. These two Trust Funds are being published in accordance with this agreement.

- ◆ **Fund 170 - Park Revenue Fund**

- ◆ **Fund 371 - Park Capital Improvement Fund**

Fund 170 Park Revenue Fund



- Denotes Cost Centers that are included in both the General Fund and Fund 170, Park Revenue Fund.
- Denotes Cost Center that is only in Fund 170, Park Revenue Fund.

Fund 170

Park Revenue Fund

Mission

To set aside public spaces for and assist citizens in the protection and enhancement of environmental values, diversity of natural habitats and cultural heritage; to guarantee that these resources will be available to both present and future generations; to create and sustain quality facilities and services that offer citizens opportunities for recreation, improvement of their physical and mental well-being and enhancement of their quality of life.

Focus

The Fairfax County Park Authority (the Authority), created by legislative action in 1950, serves the most populous jurisdiction in both the Virginia and Washington D.C. metropolitan area with over 1 million people. Under the direction of a Board of Supervisor's appointed 12-member Park Authority Board, the Authority works collaboratively with constituents, partners, stakeholders, and government leaders and appointees to implement Board policies, champion the preservation and protection of natural and cultural resources, and facilitate the development of park and recreation programs and facilities. The Authority oversees operation and management of a County park system with approximately 23,000 acres, 418 parks, nine recreation centers, eight golf courses, an ice skating rink, 220 playgrounds, 668 public gardens, five nature centers, an equestrian center, 505 Fairfax County Public School owned athletic fields, 274 Park Authority owned athletic fields, 10 historic sites, two waterparks, a horticultural center, and more than 300 miles of trails. The Authority has balanced the dual roles of providing recreational and fitness opportunities to citizens and serving as stewards and interpreters of Fairfax County's natural and cultural resources.

The Authority, a National Gold Medal Award winner and an accredited agency is one of the largest, most diverse park systems in the nation. The agency offers leisure and recreational opportunities through an array of programmed and un-programmed resources which enrich the quality of life for County residents. This is accomplished through the protection and preservation of open space and natural areas, nature centers, RECenters, historic sites, golf courses, athletic fields, public gardens, horticulture sites, trails, and neighborhood, community, district and countywide parks, as well as stewardship education, park programs, classes, camps and tours. Delivering high-quality service in parks is an important focus for the Park Authority as demand and usage continue to grow. The Authority seeks to provide quality recreational opportunities through construction, development, operation, and maintenance of a wide variety of facilities to meet the varied needs and interests of the County's residents. The Authority strives to improve the quality of life for the residents of the County by keeping pace with residents' interests, by continually enhancing the park system, and by demonstrating stewardship for parkland. Notable enhancements include increased open space through land acquisition, protection of critical natural and cultural resources, expanded trails, new inclusive features, and upgraded playability of outdoor facilities.

In FY 2011, the Authority acquired 370 acres of land. These acquisitions included additional acreage for Patriot Park located in the Springfield District, and Sully Woodlands located in the Sully District, as well as the establishment of the new Mount Eagle Park, Arrowbrook Park and what will become Mulberry Hill Park. In FY 2011, two existing natural turf rectangular fields were converted to synthetic turf which included one at Linway Terrace Park and one at Pine Ridge Park. In addition, some significant park infrastructure improvements were completed. These include a major mechanical system replacement at Spring Hill and Providence RECenters, the completion of an ADA accessible Treehouse and Our Special Harbor Sprayground at Lee District Park. In addition, the renovation of Ossian Hall Park was completed including installation of a full-size synthetic turf field, a 127-space parking lot, new pedestrian trails, two lighted multi-use courts, and two new playgrounds. Trail and pedestrian bridge improvements to several sections of the northern portion of the trail were also completed.

Fund 170 Park Revenue Fund



The Park Revenue Fund is supported from user fees and charges generated at the Authority's revenue supported facilities and is supplemented by donations and grants. Revenue generating facilities include recreation centers, golf courses, lake parks, nature centers, historic sites and various other major parks. The Authority's enabling legislation states that revenues must be spent exclusively for park purposes. Revenue received from recreation centers and golf courses are designed to fully recover the

annual operating and maintenance costs of programs and services at these facilities, while the revenue received from the lake parks, nature centers, historic sites and various other major parks only cover a portion of the annual costs. The Authority strives to achieve an overall positive net cost recovery in order to contribute to capital repairs for revenue funded facilities necessary to maintain and adapt facilities to meet County residents' service expectations.

Some park operations are funded from both the General Fund and the Park Revenue Fund. For example, the General Fund supports some camps, trips and tours, lakefront park operations and resource management sites and programs. The General Fund also pays for the policy, communication and leadership activities of the Director's Office, the requirements of the Public Information Office, and funds administrative costs for purchasing, accounting, budgeting, and payroll and risk management procedural compliance.

Park Board

The Authority operates under the policy oversight of a Board of Supervisors' appointed 12-member Park Authority Board, in accordance with a Memorandum of Understanding with the County's Board of Supervisors. The Authority manages acquisition, preservation, development, maintenance and operation of its assets and activities through five funds: the Parks General Fund Operating Budget, Park Revenue Fund, County Construction Fund, Park Authority Bond Construction Fund and Park Capital Improvement Fund. The Park Authority Board has direct fiduciary responsibility for the Park Revenue Fund and the Park Capital Improvement Fund, while the County has fiduciary responsibility for the three other funds. The Authority aggressively seeks management initiatives and alternate funding sources to sustain the delivery of quality services and facilities.

In FY 2010, the Park Authority Board approved its focus areas and identified maintaining fiscal sustainability as its number one priority. Maintaining economic vitality is a longstanding component of the Board of Supervisors' vision for Fairfax County. A healthy, functional park system is a critical component of economic vitality and attracts businesses to the County. In order to address the on-going challenges of the financial situation, the Authority is in the midst of creating a Business Plan. The Business Plan will focus on the evaluation of core services and options and opportunities for improving the overall cost recovery of the whole organization. It will contain clearly defined recommendations that when collectively implemented will position the Authority to reach a higher cost recovery target.

Fund 170

Park Revenue Fund

Current Trends

In FY 2004, to address a growing population and evolving recreation desires of County residents, the Authority implemented a comprehensive Needs Assessment study that resulted in a 10-Year Action Plan, including a phased-in 10-year Capital Improvement Program. Indexed for inflation and adjusted land values, completion of this Plan requires \$435 million. This amount includes an estimated requirement of \$120 million over the next 10 years to address the decline of facilities and infrastructure due to age, high usage, and limited resources to perform required life-cycle maintenance. The Needs Assessment was a significant part of the justification for the 2004, 2006, and 2008 voter approved park bond referendums totaling \$155 million. "Great Parks, Great Communities," a comprehensive park planning effort to develop district-level long range plans, was initiated in 2007 and will continue to serve as a guide for future park development and resource protection to better address changing needs and growth forecasts through 2020.

The Authority continues to be challenged by the current economic situation and resulting budget reductions. Resident demand for services continues to grow due to an increasing population and changing needs and diversity of the community. Parks and park programs also have been a popular recreational outlet during the economic downturn. In order to preserve as many services as possible during the FY 2010 and FY 2011 budget reductions, several program costs were redirected from the General Fund to the Park Revenue Fund; however, this is limiting available funding in the Set Aside Reserve which would be used for renovations and enhancements of revenue producing facilities and programs.

In FY 2013, the Park Revenue Fund continues to face financial challenges due to the general economic downturn that has reduced participation in some of the key revenue-generating activities and created stagnation for participation in some activities. The economic conditions families are facing is also exerting downward or stay-the-course pressure on the pricing of services, limiting the ability to generate additional revenue through fee increases. The Authority must quickly respond to changing expectations in order to maintain customer loyalty and stability in the revenue base. Recent or near-term initiatives include enabling customer-oriented services such as online pass sales/renewals, e-mail classes and camp surveys, electronic distribution of camp registration packets, updated concert series web pages that include new search capability for citizens to find programs, and development of an enhanced *Parktakes* online web portal.

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	245 / 245	245 / 245	245 / 245	245 / 245	245 / 245
Expenditures:					
Personnel Services	\$26,030,996	\$26,413,216	\$26,739,287	\$27,571,890	\$27,710,110
Operating Expenses	12,646,061	14,454,215	14,349,722	13,408,591	13,408,591
Recovered Costs	(868,991)	(1,302,599)	(1,302,599)	(1,053,315)	(1,053,315)
Capital Equipment	124,347	617,000	395,422	294,000	294,000
Bond Costs	1,064,290	1,062,661	1,062,661	1,069,207	1,069,207
Total Expenditures	\$38,996,703	\$41,244,493	\$41,244,493	\$41,290,373	\$41,428,593

Fund 170

Park Revenue Fund

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation** **\$618,354**
An increase of \$618,354 in Personnel Services reflects \$480,134 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$138,220 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment** **\$427,054**
As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$427,054 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

- ◆ **Personnel Services** **\$200,377**
An increase of \$200,377 in Personnel Services is primarily associated with an increase in limited term salaries to reflect FY 2011 actual experience and the anticipated array of classes and programming scheduled for FY 2013.

- ◆ **Other Post-Employment Benefits** **\$51,109**
An increase of \$51,109 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2013 Adopted Budget Plan.

- ◆ **Operating Expenses** **(\$1,045,624)**
A decrease of \$1,045,624 in Operating Expenses is primarily associated with a decrease in electric utility costs based on FY 2011 actual experience.

- ◆ **Recovered Costs** **\$249,284**
A decrease of \$249,284 in Recovered Costs reflects the anticipated level of recovered costs for salary and operating requirements.

- ◆ **Capital Equipment** **\$294,000**
Funding in the amount of \$294,000 is included for Capital Equipment, including \$279,000 for the replacement of exercise equipment and golf course maintenance equipment, such as greens mowers, a sand trap rake, and greens roller that have outlived their useful life. In addition, \$15,000 is for the replacement of a utility vehicle used for golf maintenance tasks that has surpassed the recommended age and mileage usage and require extensive repairs.

- ◆ **Bond Costs** **\$6,546**
An increase of \$6,546 in Bond costs is consistent with principal and interest requirements for FY 2013.

Fund 170

Park Revenue Fund

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ There have been no revisions to this fund since approval of the FY 2012 Adopted Budget Plan.

Cost Centers

The four Cost Centers of the Park Revenue Fund are Administration, Golf Enterprises, REC Activities and Resource Management. The Cost Centers work together to fulfill the mission of the Fund and carry out the key initiatives for the Fiscal Year.

Administration

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	15 / 15	15 / 15	15 / 15	15 / 15	15 / 15
Total Expenditures	\$2,120,010	\$2,771,693	\$2,701,887	\$2,201,948	\$2,213,299

Position Summary	
1 Training Specialist III	5 Engineers III
1 Network Telecom Analyst I	1 Planner IV
1 Network Telecom Analyst II	2 Construction Project Managers II
1 Internet/Intranet Architect I	1 Senior Right-of-Way Agent
1 Engineer IV	1 Materials Requirement Specialist
TOTAL POSITIONS	
15 Positions / 15.0 Staff Years	

Key Performance Measures

Goal

To implement Park Authority Board policies and provide high quality administrative and business support to all levels of the Park Authority in order to assist division management in achieving Park Authority mission-related objectives.

Objectives

- ◆ To manage expenditures, revenues, and personnel and to provide safety and information technology services for the Park Authority, with at least 80 percent customer satisfaction, while achieving at least 75 percent of the approved administration division's work plan objectives.

Fund 170 Park Revenue Fund

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Annual budget expenditures administered	\$26,214,391	\$25,072,817	\$33,098,807 / \$26,710,134	\$36,156,370	\$25,941,945
Employees (regular merit and limited term)	3,284	3,103	3,200 / 2,955	2,896	2,896
PC's, servers, and printers	716	716	724 / 776	776	776
Efficiency:					
Expenditure per Purchasing/Finance SYE	\$1,165,084	\$1,166,178	\$1,539,479 / \$1,242,332	\$1,681,692	\$1,206,602
Agency employees served per HR SYE	383	365	451 / 365	414	414
IT Components per IT SYE	119.00	119.00	145.00 / 155.00	155.00	155.00
Service Quality:					
Customer satisfaction	92%	90%	85% / 80%	80%	80%
Outcome:					
Percent of annual work plan objectives achieved	86%	78%	75% / 76%	75%	75%

Performance Measurement Results

Workload has continued to increase as a result of the opening of several facilities over the last several years as well as increased audit requirements. Customer satisfaction for FY 2011 was at 80 percent. This figure is anticipated to remain the same in FY 2012 and FY 2013. The division accomplished 76 percent of its work plan objectives for FY 2011. The division will work to achieve an objective target of at least 75 percent for FY 2012 and FY 2013.

Golf Enterprises

Funding Summary					
Category	FY 2011	FY 2012	FY 2012	FY 2013	FY 2013
	Actual	Adopted Budget Plan	Revised Budget Plan	Advertised Budget Plan	Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	78 / 78	78 / 78	78 / 78	78 / 78	78 / 78
Total Expenditures	\$9,177,767	\$9,844,596	\$9,861,039	\$9,374,886	\$9,418,111

Position Summary					
3	Park/Rec Specialists IV	1	Administrative Assistant III	4	Motor Equip. Operators
3	Park/Rec Specialists III	5	Facility Attendants II	2	Automotive Mechanics II
3	Park/Rec Specialists II	1	Park Management Specialist II	3	Golf Course Superintendents III
7	Park/Rec Specialists I	10	Senior Maintenance Workers	1	Golf Course Superintendent II
9	Park/Rec Assistants	22	Maintenance Workers	4	Golf Course Superintendents I
TOTAL POSITIONS					
78 Positions / 78.0 Staff Years					

Fund 170

Park Revenue Fund

Key Performance Measures

Goal

To operate and maintain quality golf facilities, programs and services for the use and enjoyment of Fairfax County citizens and visitors; plan for future golf needs countywide; and provide opportunities and programs that enhance the growth of the sport as a life-long leisure activity.

Objectives

- ◆ To maintain the number of golf rounds played at 285,000.
- ◆ To achieve cost recovery of 109.80 percent.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Rounds played	298,631	289,384	325,000 / 281,930	285,000	285,000
Gross revenue	\$10,520,811	\$10,360,691	\$11,940,162 / \$9,904,214	\$9,904,214	\$10,256,105
Efficiency:					
Expense/rounds played	\$29.12	\$29.77	\$30.00 / \$32.50	\$32.15	\$32.77
Revenue per round	\$35.23	\$35.80	\$36.74 / \$35.13	\$34.75	\$35.99
Service Quality:					
Percent "Very" Satisfied	NA	NA	NA / NA	NA	NA
Outcome:					
Percent change in rounds played	(7.3%)	(3.1%)	12.3% / (2.6%)	1.0%	0.0%
Cost recovery percentage	120.90%	120.40%	122.00% / 108.00%	108.10%	109.80%

Performance Measurement Results

In FY 2011, rounds played decreased 2.6 percent from FY 2010, as a combination of poor golf weather and the effects of the economic recession impacted overall play. It is anticipated that the amount of rounds played will slightly increase in FY 2012 and FY 2013 to 285,000 rounds. The actual cost recovery of 108 percent for FY 2011 was achieved with aggressive cost containment efforts by site managers and the deferral of replacement course maintenance equipment. It is anticipated that 109.80 percent of cost recovery will be achieved in FY 2013. In addition, no survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality measures are not available.

Fund 170 Park Revenue Fund

REC Activities 

Funding Summary					
Category	FY 2011	FY 2012	FY 2012	FY 2013	FY 2013
	Actual	Adopted Budget Plan	Revised Budget Plan	Advertised Budget Plan	Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	136 / 136	136 / 136	136 / 136	136 / 136	136 / 136
Total Expenditures	\$25,872,906	\$26,661,597	\$26,713,110	\$27,877,391	\$27,956,121

Position Summary					
1 Recreation Division Supervisor I	1	Communications Specialist II	8	Prevent. Maintenance Specs.	
2 Park Management Specialists II	2	Communications Specialists I	1	Maintenance Crew Chief	
2 Park Management Specialists I	1	Management Analyst III	7	Custodians II	
10 Park/Rec Specialists IV	2	Management Analysts II	4	Custodians I	
3 Park/Rec Specialists III	1	Management Analyst I	1	Electronic Equipment Tech. II	
32 Park/Rec Specialists II	1	Contract Analyst II	1	Painter II	
12 Park/Rec Specialists I	1	Facility Attendant I	1	Producer/Director	
27 Park/Rec Assistants	12	Administrative Assistants III	1	Business Analyst II	
1 Publications Assistant	1	Naturalist/Historian Senior Interpreter			
TOTAL POSITIONS					
136 Positions / 136.0 Staff Years					

Key Performance Measures

Goal

To provide financially self-sufficient recreational facilities and services that meet the expectations of the citizens of Fairfax County in order to enhance their quality of life by providing opportunities to develop lifetime leisure pursuits.

Objectives

- ◆ To achieve and maintain a rate of 6.00 service contacts per household in order to provide opportunities for Fairfax County citizens to enhance their recreational, fitness, health, and leisure activities while learning about linkages between these resources and a healthy community and personal life.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Service contacts	2,297,479	2,353,041	2,354,046 / 2,495,862	2,402,962	2,424,600
Efficiency:					
Service contacts per household	5.96	6.10	6.00 / 6.26	6.00	6.00
Service Quality:					
Percent "Very" Satisfied	NA	NA	NA / NA	NA	NA
Outcome:					
Percent of households indicating parks/recreation services are "very" important or "extremely" important to their quality of life	NA	NA	NA / NA	NA	NA

Fund 170 Park Revenue Fund

Performance Measurement Results

In FY 2011 the Park Authority achieved a rate of 6.26 service contacts per household, higher than the 6.10 contacts per household in FY 2010, demonstrating the continuing popularity of Park Authority programs. Service contacts measure the number of individuals who enter a Park Authority facility such as a RECenter and receive a service. Based on recent history and the addition of programs and facilities, a conservative goal for FY 2012 and FY 2013 of 6.0 service contacts per household has been set. In addition, no survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality and Outcome measures are not available.

Resource Management

Funding Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	16 / 16	16 / 16	16 / 16	16 / 16	16 / 16
Total Expenditures	\$1,826,020	\$1,966,607	\$1,968,457	\$1,836,148	\$1,841,062

Position Summary					
1	Historian II	2	Park/Rec Specialists I	1	Administrative Assistant III
2	Historians I	2	Naturalists I	2	Facility Attendants II
1	Park/Rec Specialist IV	2	Park/Rec Assistants	1	Custodian II
1	Park/Rec Specialist II	1	Administrative Assistant V		
TOTAL POSITIONS					
16 Positions / 16.0 Staff Years					

Key Performance Measures

Goal

To maintain and expand the availability of division services, programs, publications and facilities for citizens of Fairfax County and visitors of our parks in order to provide opportunities for education and appreciation of their natural and cultural heritage.

Objectives

- ◆ To increase visitor contacts by 3.5 percent.

Fund 170 Park Revenue Fund

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Visitor contacts	199,799	212,882	220,439 / 215,038	222,564	230,354
Efficiency:					
Visitors contacts per household	0.52	0.55	0.56 / 0.54	0.56	0.57
Service Quality:					
Percent of visitors "very" satisfied with programs and services	NA	NA	NA / NA	NA	NA
Outcome:					
Percent of households indicating that natural, cultural and horticultural resources, facilities and services are "extremely" or "very" important to quality of life	NA	NA	NA / NA	NA	NA
Percent change in number of visitor contacts	(12.0%)	6.5%	3.5% / 1.0%	3.5%	3.5%

Performance Measurement Results

In FY 2011, there was a 1 percent increase in the amount of visitor contacts. The Park Authority will strive to maintain the goal of increasing visitor contacts by 3.5 percent in FY 2012 and FY 2013. No survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality and Outcome measures are not available.

Fund 170 Park Revenue Fund

FUND STATEMENT

Fund Type P17, Non-Appropriated Funds

Fund 170, Park Revenue Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$5,848,507	\$9,054,367	\$5,174,181	\$5,174,181	\$5,174,181
Revenue:					
Interest on Bond Proceeds	\$231	\$18,363	\$18,363	\$18,363	\$18,363
Park Fees	39,416,748	41,564,289	41,564,289	41,976,489	41,976,489
Interest	33,171	133,734	133,734	133,734	133,734
Donations	500,040	381,420	381,420	381,420	381,420
Total Revenue	\$39,950,190	\$42,097,806	\$42,097,806	\$42,510,006	\$42,510,006
Total Available	\$45,798,697	\$51,152,173	\$47,271,987	\$47,684,187	\$47,684,187
Expenditures:					
Personnel Services	\$26,030,996	\$26,413,216	\$26,739,287	\$27,571,890	\$27,710,110
Operating Expenses	12,646,061	14,454,215	14,349,722	13,408,591	13,408,591
Recovered Costs	(868,991)	(1,302,599)	(1,302,599)	(1,053,315)	(1,053,315)
Capital Equipment	124,347	617,000	395,422	294,000	294,000
Subtotal	\$37,932,413	\$40,181,832	\$40,181,832	\$40,221,166	\$40,359,386
Debt Service:¹					
Fiscal Agent Fee	\$3,233	\$3,233	\$3,233	\$3,233	\$3,233
Accrued Bond Interest Payable	1,061,057	1,059,428	1,059,428	1,065,974	1,065,974
Subtotal	\$1,064,290	\$1,062,661	\$1,062,661	\$1,069,207	\$1,069,207
Total Expenditures	\$38,996,703	\$41,244,493	\$41,244,493	\$41,290,373	\$41,428,593
Transfers Out:					
County Debt Service (200) ²	\$827,813	\$853,313	\$853,313	\$876,113	\$876,113
Park Capital Improvement Fund (371)	800,000	0	0	0	0
Total Transfers Out	\$1,627,813	\$853,313	\$853,313	\$876,113	\$876,113
Total Disbursements	\$40,624,516	\$42,097,806	\$42,097,806	\$42,166,486	\$42,304,706
Ending Balance³	\$5,174,181	\$9,054,367	\$5,174,181	\$5,517,701	\$5,379,481
Debt Service Reserve	\$1,937,368	\$1,915,974	\$1,915,974	\$1,945,319	\$1,945,319
Managed Reserve ⁴	3,236,813	7,138,393	1,957,666	1,797,500	1,659,280
Donation/Deferred Revenue ⁵	0	0	1,246,804	1,246,804	1,246,804
Set Aside Reserve ⁶	0	0	53,737	528,078	528,078
Unreserved Ending Balance	\$0	\$0	\$0	\$0	\$0

Fund 170

Park Revenue Fund

¹ Debt service represents principle and interest on Park Revenue Bonds which supported the construction of the Twin Lakes and Oak Marr Golf Courses.

² Debt service payments for the Note Payable which supported the development of the Laurel Hill Golf Club will be made from Fund 200, County Debt Service.

³ The Park Revenue Fund maintains fund balances at adequate levels relative to projected operation and maintenance expenses, as well as debt service requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

⁴ The Managed Reserve includes set aside cash flow and emergency reserves for operations as a contingency for unanticipated operating expenses or a disruption in the revenue stream.

⁵ The Donation/Deferred Revenue Reserve includes donations that the Park Authority is obligated to return to donors in the event the donation cannot be used for its intended purpose. It also includes a set aside to cover any unexpected delay in revenue from the sold but unused Park passes.

⁶ The Set Aside Reserve is used to fund renovations and repairs at various park facilities as approved by the Park Authority Board.

Fund 371

Park Capital Improvement Fund

Focus

This fund was established under the provisions of the Park Authority Act to provide for capital improvements to the agency's revenue-generating facilities and parks, as well as to various park sites. Through a combination of grants, proffers, and donations, this fund provides for specific park improvements. Funding is also derived through lease payments and revenue bonds for golf course development. In recent years, transfers from Fund 170, Park Revenue Fund have supported improvements to park facilities; however, the amount of funding received from Fund 170 fluctuates from year to year.

No funding is included for Fund 371, Park Capital Improvement Fund, in FY 2013. Work will continue on existing and previously funded projects.

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase \$21,120,369 due to the carryover of unexpended project balances in the amount of \$18,893,898 and adjustments totaling \$2,226,471. These adjustments included the appropriation of revenues received in FY 2011 including \$127,873 in interest earnings and \$2,105,881 in contributions, partially offset by an increase in the facilities and maintenance reserve of \$7,283.

- ◆ As part of the *FY 2012 Third Quarter Review*, the Board of Supervisors made no adjustments to this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 371

Park Capital Improvement Fund

FUND STATEMENT

Fund Type P37, Non-Appropriated Funds

Fund 371, Park Capital Improvement Fund

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$32,287,582	\$4,354,218	\$25,479,593	\$4,361,502	\$4,361,502
Revenue:					
Interest	\$127,873	\$0	\$0	\$0	\$0
Other Revenue ¹	2,808,331	0	0	0	0
Total Revenue	\$2,936,204	\$0	\$0	\$0	\$0
Transfers In:					
Park Revenue Fund (170) ²	\$800,000	\$0	\$0	\$0	\$0
Total Transfers In:	\$800,000	\$0	\$0	\$0	\$0
Total Available	\$36,023,786	\$4,354,218	\$25,479,593	\$4,361,502	\$4,361,502
Total Expenditures³	\$10,544,193	\$0	\$21,118,091	\$0	\$0
Total Disbursements	\$10,544,193	\$0	\$21,118,091	\$0	\$0
Ending Balance⁴	\$25,479,593	\$4,354,218	\$4,361,502	\$4,361,502	\$4,361,502
Lawrence Trust Reserve ⁵	\$1,507,926	\$1,507,926	\$1,507,926	\$1,507,926	\$1,507,926
Repair and Replacement Reserve ⁶	700,000	700,000	700,000	700,000	700,000
Facilities and Services Reserve ⁷	2,146,292	2,146,292	2,153,576	2,153,576	2,153,576
Unreserved Ending Balance	\$21,125,375	\$0	\$0	\$0	\$0

¹ Other revenue reflects easements, donations, monopole revenue, and proffer revenue.

² This fund periodically receives transfers from Fund 170, Park Revenue Fund in support of park and facility improvements approved by the Park Authority Board.

³ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$2,277.19 has been reflected as an increase to FY 2011 expenditures due to expenditure accruals. This impacts the amount carried forward and results in a decrease of \$2,277.19 to the *FY 2012 Revised Budget Plan*. The projects affected by these adjustments are Projects 004113, Lee District Telecommunications, 004493, Robert E. Lee Recreation Center, and 004782, CLEMYJONTRI. The audit adjustment has been included in the FY 2011 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments were included in the FY 2012 Third Quarter package.

⁴ Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year and ending balances fluctuate, reflecting the carryover of these funds.

⁵ This Reserve separately accounts for the Ellanor C. Lawrence monies received for maintenance and renovation to this site. In accordance with the Fairfax County Park Authority Board, the principal amount of \$1,507,926 received from the donation will remain intact, and any interest earned will be used according to the terms of the Trust.

⁶ The Golf Revenue Bond Indenture requires that a repair and replacement security reserve be maintained in the Capital Improvement Plan for repairs to park facilities.

⁷ The Facilities and Services Reserve supports the maintenance and renovation of revenue-generating facilities.

Fund 371

Park Capital Improvement Fund

FY 2013 Summary of Capital Projects

Fund: 371, Park Capital Improvement Fund

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
004102	Low Impact Development	\$150,000	\$1,287.86	\$1,358.72	\$0	\$0
004103	Stewardship Education	135,000	5,507.02	43,463.67	0	0
004105	GIS/Data/Green Infrastructure	180,000	8,351.88	362.43	0	0
004108	Lee District Land Acquisition and Development	542,862	214,096.44	42,606.53	0	0
004109	Countywide Trails	55,276	2,692.73	38,249.45	0	0
004110	Merrilee Park	17,139	0.00	17,139.00	0	0
004113	Lee District Telecommunications	195,404	96,071.69	61,665.31	0	0
004115	Pimmit Run SV-Area 1	188,442	0.00	172,478.94	0	0
004116	Confederate Fortifications Historic Site	87,171	0.00	84,509.18	0	0
004117	Turner Farm Observatory	13,025	10,706.00	2,319.00	0	0
004119	Vulcan	2,407,277	270,897.08	2,136,379.92	0	0
004122	Spring Hill Park - McLean Youth Soccer	2,433,807	70,213.03	690,984.97	0	0
004126	Restitution for VDOT Takings	311,541	22,336.19	197,730.85	0	0
004127	Fort Willard - Fort Restoration	85,000	0.00	10,709.23	0	0
004128	Ft. Willard - Park (Non-Fort) Development	39,380	0.00	2,500.36	0	0
004129	Lee District Tree House	259,515	54,650.31	7,338.40	0	0
004131	Mt. Vernon Parks - Districtwide	188,940	40,784.82	148,155.18	0	0
004132	Oakton Community Park	100,000	0.00	100,000.00	0	0
004135	Lake Fairfax Skate Park	2,172	0.00	2,172.00	0	0
004136	Stout Condemnation	10,773,305	7,164,888.25	2,483,416.75	0	0
004141	Advertising Study	50,000	46,950.00	3,050.00	0	0
004143	Mark Bleiweis Memorial Sportsmanship Field	8,500	0.00	1,281.80	0	0
004145	Lee Districtwide Parks	191,035	0.00	191,035.00	0	0
004146	Fox Mill Park	116,045	0.00	5,180.90	0	0
004148	Hunter Mill Districtwide Parks	40,585	0.00	40,585.00	0	0
004149	CLEMYJONTRI - Liberty Swing	95,489	50,847.40	44,641.60	0	0
004157	Linway Terrace Park Synthetic Turf	687,766	658,165.06	22,831.04	0	0

Fund 371

Park Capital Improvement Fund

FY 2013 Summary of Capital Projects

Fund: 371, Park Capital Improvement Fund

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
004158	Sully Districtwide Parks	19,114	0.00	19,114.00	0	0
004159	Telecommunications -	26,000	0.00	26,000.00	0	0
004160	Hunter Mill Districtwide Parks	37,500	0.00	37,500.00	0	0
004161	Laurel Hill	25,000	0.00	25,000.00	0	0
004165	Green Springs Classroom	20,000	550.00	19,450.00	0	0
004168	Mt. Eagle Park	30,000	0.00	30,000.00	0	0
004349	South Run Park	295,181	10,600.27	74,260.68	0	0
004380	Beulah Road Park	7,670	0.00	7,670.00	0	0
004493	Robert E. Lee Recreation Center	536,274	17,548.82	17,328.28	0	0
004503	Cub Run S. V. Park	341,128	0.00	232,079.27	0	0
004522	Frying Pan Park	287,101	26,256.30	67,887.59	0	0
004528	Riverbend Park	90,985	1,442.00	50,904.11	0	0
004534	Park Contingency		0.00	3,186,947.78	0	0
004538	Park Easement Admin.	3,043,622	316,933.50	688,421.96	0	0
004558	Park Collections	51,263	0.00	3,170.53	0	0
004564	History Special Events	8,000	85.00	2,909.65	0	0
004567	Stratton Woods	1,829,467	410,892.96	457,863.48	0	0
004592	Sully Plantation	810,474	18,230.92	494,319.50	0	0
004593	Green Spring Farm Park	110,000	0.00	60,250.00	0	0
004595	Mason District Park	630,177	0.00	299,375.70	0	0
004596	Wakefield	1,982,424	4,150.00	50,460.44	0	0
004626	Stuart Ridge/ Sugarland Run Park	24,886	0.00	14,896.00	0	0
004748	Gen. Park Improvements		140,181.38	562,182.33	0	0
004749	Site Information Management	2,842,000	1,207.50	693,885.58	0	0
004750	Park Proffers	11,386,760	618,668.31	5,556,842.72	0	0
004751	Park Rental Bldg. Maint.	1,593,558	50,343.00	274,284.56	0	0
004758	Archaeology Proffers	169,732	619.54	67,284.02	0	0
004759	Stewardship Publications	71,801	2,747.50	41,470.33	0	0
004760	Stewardship Exhibits	13,325	930.98	3,496.35	0	0
004761	Lawrence Trust	490,281	6,206.97	337,343.19	0	0
004762	Golf Improvements	2,662,740	0.00	5,307.98	0	0
004763	Grants		141,091.61	217,415.66	0	0
004764	Mt. Air	46,701	0.00	3,059.92	0	0
004769	Mastenbrook Volunteer Grant Program	467,662	47,735.60	56,715.06	0	0

Fund 371

Park Capital Improvement Fund

FY 2013 Summary of Capital Projects

Fund: 371, Park Capital Improvement Fund

Project #	Description	Total Project Estimate	FY 2011 Actual Expenditures	FY 2012 Revised Budget	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
004771	Historic Huntley	454,527	0.00	438,635.83	0	0
004774	Gabrielson Gardens	2,000	0.00	2,000.00	0	0
004775	Open Space Preservation Contributions	620,813	0.00	220,813.00	0	0
004778	Land Acquisition Support	156,420	0.00	54,362.43	0	0
004782	CLEMYJONTRI	769,527	1,419.06	(0.31)	0	0
004783	Linway Terrace	5,000	5,000.00	0.00	0	0
004785	Providence Area Park	83,050	0.00	60,000.00	0	0
004788	West County Recenter	435,000	0.00	11,059.16	0	0
004796	South Run S.V. - Mt. Vernon	88,963	0	88,963	0	0
004797	Arrowhead Park	158,000	437.00	5,016.38	0	0
004799	Wolf Trap	24,000	2,469.19	0.00	0	0
Total		\$52,102,802	\$10,544,193.17	\$21,118,091.39	\$0	\$0



1742

Fund 117

Alcohol Safety Action Program

Alcohol Safety Action Program

Mission

To reduce the incidence of driving under the influence of alcohol (DUI) in Fairfax County through completion of a rehabilitative alcohol/drug education program, case management, public education, and referral to alcohol/drug treatment programs when necessary.

Focus

The Fairfax County Alcohol Safety Action Program (ASAP) serves a probationary function for the Circuit and General District Courts under the supervision of the ASAP Policy Board. The Fairfax ASAP is one of 24 ASAPs in Virginia and clients are court ordered, DMV referred, or voluntary participants. The core programs are state mandated and address essential needs of clients, including: intake, assessment, rehabilitative alcohol/drug education, referral to treatment, and case management to individuals charged with, or convicted of, driving under the influence of alcohol (DUI). In addition, ASAP provides alcohol/drug education programs for habitual offenders, a drug education program for first-time drug possession offenders, and programs for adolescent substance abusers. ASAP also participates in outreach activities to educate the community about its mission. Programs are available in English and Spanish. ASAP's continual focus will be the supervision of DUI offenders as well as the enforcement of the Code of Virginia. The agency also continues to rely on partnerships with the courts, Commonwealth Attorney's office, and treatment providers.

The County is the fiscal agent for the Fairfax ASAP which is administered through the Department of Administration for Human Services. ASAP is expected to be a self-supporting agency, funded entirely by client fees with the County providing indirect support through office space and utilities. The State imposes a \$400 fee ceiling on per client costs for the state-mandated core program. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP, decline. Should surplus client fees above and beyond the balance required for a sufficient reserve fund become available in any fiscal year, the ASAP Policy Board will reimburse the County for the indirect costs noted above, or may request permission from the Board of Supervisors to expend such funds on the program.

It should be noted that the overall number of clients served in FY 2011 saw an increase of 55 referrals to the program over FY 2010. In order to maintain a balanced budget, the agency worked diligently to maximize fee revenue collection and reduce expenditures in targeted areas resulting in the agency ending the fiscal year with a positive fund balance.

Fund 117

Alcohol Safety Action Program

Budget and Staff Resources

Agency Summary					
Category	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Authorized Positions/Staff Years					
Regular	21 / 21	21 / 21	21 / 21	21 / 21	21 / 21
Expenditures:					
Personnel Services	\$1,543,475	\$1,525,149	\$1,617,612	\$1,613,203	\$1,630,253
Operating Expenses	189,181	162,151	189,181	212,151	212,151
Capital Equipment	0	0	0	0	0
Total Expenditures	\$1,732,656	\$1,687,300	\$1,806,793	\$1,825,354	\$1,842,404

Position Summary					
1 Probation Supervisor II	1 Probation Counselor III	1 Administrative Associate			
1 Probation Supervisor I	9 Probation Counselors II	2 Administrative Assistants IV			
	1 Financial Specialist I	5 Administrative Assistants II			
TOTAL POSITIONS					
21 Positions / 21.0 Staff Years					

FY 2013 Funding Adjustments

The following funding adjustments from the FY 2012 Adopted Budget Plan are necessary to support the FY 2013 program. Included are all adjustments recommended by the County Executive that were approved by the Board of Supervisors, as well as any additional Board of Supervisors' actions, as approved in the adoption of the budget on May 1, 2012.

- ◆ **Employee Compensation**

An increase of \$44,982 in Personnel Services reflects \$27,932 for a 2.18 percent market rate adjustment (MRA) in FY 2013, effective July 2012, and \$17,050 for a 2.50 percent performance-based scale and salary increase for non-uniformed merit employees, effective January 2013.

\$44,982
- ◆ **Full Year Impact of FY 2012 Market Rate Adjustment**

As part of the *FY 2011 Carryover Review*, the Board of Supervisors approved an increase of \$25,122 in Personnel Services for a 2.0 percent market rate adjustment (MRA), effective September 24, 2011.

\$25,122
- ◆ **Program Adjustments**

An increase of \$85,000 is due to \$35,000 in Personnel Services for increased fringe benefits associated with actual fringe costs, as well as \$50,000 in Operating Expenses to better align the budget with prior year actuals and anticipated FY 2013 program requirements.

\$85,000

Fund 117

Alcohol Safety Action Program

Changes to FY 2012 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2012 Revised Budget Plan since passage of the FY 2012 Adopted Budget Plan. Included are all adjustments made as part of the FY 2011 Carryover Review, FY 2012 Third Quarter Review, and all other approved changes through April 24, 2012.

- ◆ **Carryover Adjustments** **\$19,173**
As part of the FY 2011 Carryover Review, the Board of Supervisors approved funding of \$19,173 in Personnel Services for a 2.0 percent market rate adjustment, effective September 24, 2011.

- ◆ **Third Quarter Adjustments** **\$100,320**
As part of the FY 2012 Third Quarter Review, the Board of Supervisors approved an increase of \$100,320, comprised of \$73,290 in Personnel Services associated with increased salary expenses as a result of a higher than anticipated number of clients served, as well as \$27,030 in Operating Expenses primarily for unanticipated additional interpreter services and credit card charges.

Key Performance Measures

Objectives

- ◆ To deter individuals arrested and convicted of driving under the influence of alcohol (DUI) from becoming repeat offenders by providing a comprehensive alcohol/drug education program that results in 85 percent or more of the individuals successfully completing the program.

Indicator	Prior Year Actuals			Current Estimate	Future Estimate
	FY 2009 Actual	FY 2010 Actual	FY 2011 Estimate/Actual	FY 2012	FY 2013
Output:					
Individuals served in ASAP education program	4,470	3,881	4,043 / 3,936	4,096	4,096
Efficiency:					
Cost per individual served	\$328	\$355	\$342 / \$361	\$342	\$348
Service Quality:					
Percent of individuals satisfied	97%	97%	97% / 97%	97%	97%
Outcome:					
Percent of individuals completing the program two years prior who have not recidivated based on Department of Motor Vehicles (DMV) records	92%	92%	92% / NA	NA	NA
Percent of individuals successfully completing education program	NA	NA	NA / 84%	85%	85%

Fund 117

Alcohol Safety Action Program

Performance Measurement Results

In FY 2011, the number of individuals referred to the ASAP education program increased by 55, from 3,881 in FY 2010 to 3,936 in FY 2011. In both FY 2012 and FY 2013, ASAP anticipates that 4,096 clients, 160 more than in FY 2011, will be referred to the education program.

The average per client cost for adults referred to the education program in FY 2011 was \$361, but not all clients successfully completed it. Those individuals who did not complete the program cost less to serve, and skewed the average cost per client downward. The cost to serve the estimated 3,383 clients who successfully completed intake and completed an education program was \$420 per client, \$59 more than the average and \$20 more than the \$400 maximum per client fee that is allowed by the State.

The education program is only one of several services that ASAP provides to Fairfax County residents. In FY 2011, the total number of clients referred across all ASAP services was 7,161, an increase of 55 clients over FY 2010. In both FY 2012 and FY 2013, the agency projects that the total number of clients referred will be 7,025.

Client satisfaction with ASAP education classes has remained at the 97 percent level since FY 2001 and is projected to remain at this high level. A new Outcome indicator was utilized for FY 2011 showing the percentage of adults that successfully complete the education program, which will better reflect the goals and mission of the program. For all education clients in FY 2011, 84 percent successfully completed the program requirements.

Fund 117

Alcohol Safety Action Program

FUND STATEMENT

Fund Type G10, Special Revenue Funds

Fund 117, Alcohol Safety Action Program

	FY 2011 Actual	FY 2012 Adopted Budget Plan	FY 2012 Revised Budget Plan	FY 2013 Advertised Budget Plan	FY 2013 Adopted Budget Plan
Beginning Balance	\$52,488	\$52,488	\$67,047	\$47,874	\$47,874
Revenue:					
Client Fees	\$1,668,338	\$1,597,986	\$1,698,306	\$1,597,986	\$1,615,036
ASAP Client Intake	17,384	12,000	12,000	12,000	12,000
ASAP Client Out	(13,155)	(24,000)	(24,000)	(24,000)	(24,000)
ASAP Restaff	3,050	4,000	4,000	4,000	4,000
Interest Income	0	400	400	400	400
Other Fees	71,598	96,914	96,914	71,914	71,914
Total Revenue	\$1,747,215	\$1,687,300	\$1,787,620	\$1,662,300	\$1,679,350
Transfers In:					
General Fund (001)	\$0	\$0	\$0	\$171,958	\$171,958
Total Transfers In	\$0	\$0	\$0	\$171,958	\$171,958
Total Available	\$1,799,703	\$1,739,788	\$1,854,667	\$1,882,132	\$1,899,182
Expenditures:					
Personnel Services	\$1,543,475	\$1,525,149	\$1,617,612	\$1,613,203	\$1,630,253
Operating Expenses	189,181	162,151	189,181	212,151	212,151
Capital Equipment	0	0	0	0	0
Total Expenditures	\$1,732,656	\$1,687,300	\$1,806,793	\$1,825,354	\$1,842,404
Total Disbursements	\$1,732,656	\$1,687,300	\$1,806,793	\$1,825,354	\$1,842,404
Ending Balance¹	\$67,047	\$52,488	\$47,874	\$56,778	\$56,778

¹ Ending Balance fluctuations are the result of the uncertain nature of client referrals to ASAP-sponsored programs. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP decline.



1742