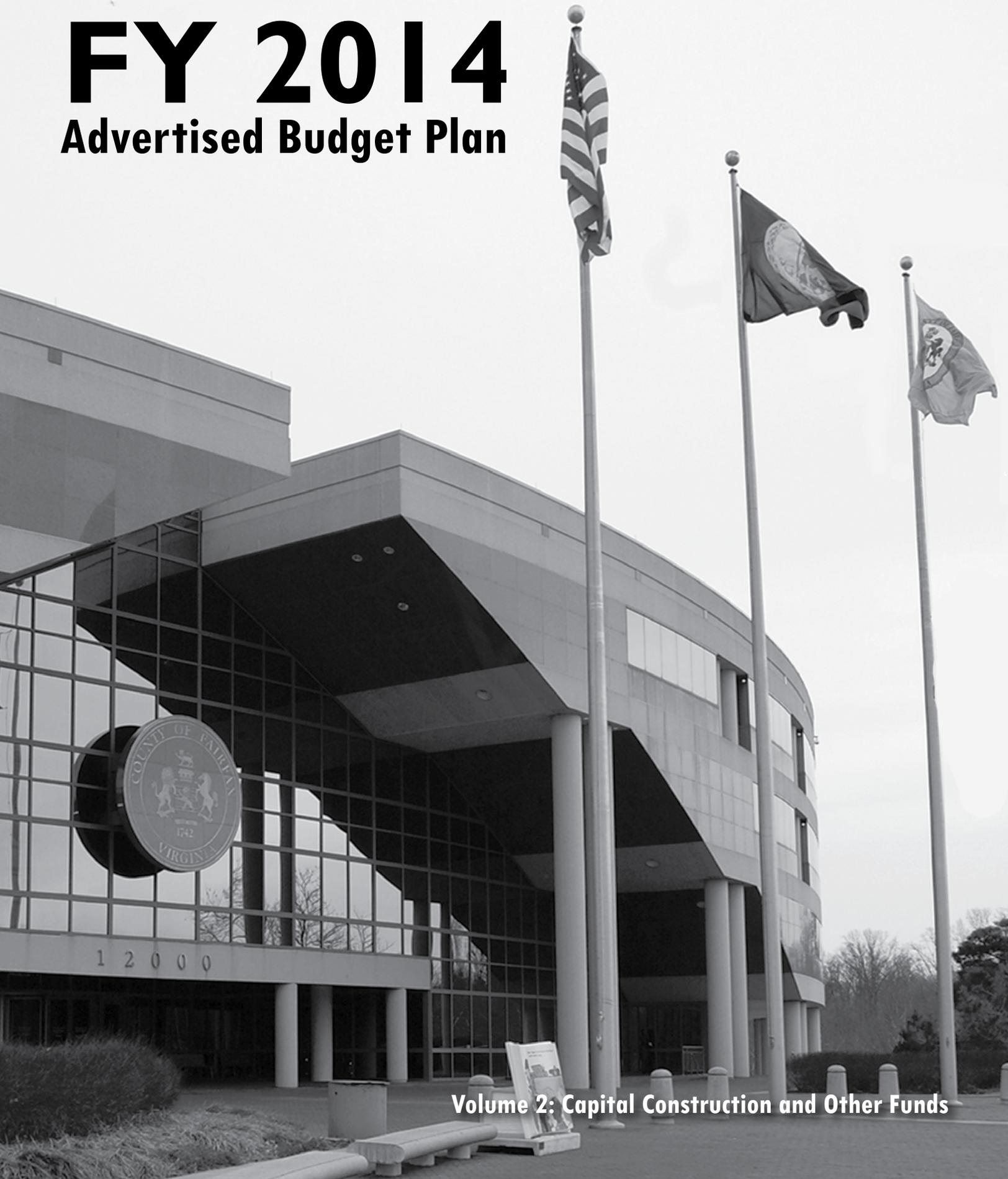


Fairfax County, Virginia

FY 2014
Advertised Budget Plan



Volume 2: Capital Construction and Other Funds

Fairfax County, Virginia

Fiscal Year 2014 Advertised Budget

Volume 2: Capital Construction and Other Operating Funds



1742

Prepared by the
Fairfax County Department of Management and Budget
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<http://www.fairfaxcounty.gov/dmb/>

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1742



GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished
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Virginia**

For the Fiscal Year Beginning

July 1, 2012

Christopher P. Morinell

President

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Executive Director

The Government Finance Officers Association of the United States and Canada (GFOA) presented an award of Distinguished Budget Presentation to Fairfax County, Virginia for its annual budget for the fiscal year beginning July 1, 2012.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device.

This award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

BUDGET CALENDAR

For preparation of the FY 2014 Budget

July 1, 2012

Distribution of the FY 2014 budget development guide. Fiscal Year 2013 begins.



September - October 2012

Agencies forward completed budget submissions to the Department of Management and Budget (DMB) for review.



February 7, 2013

School Board adopts its advertised FY 2014 Budget.



February 26, 2013

County Executive's presentation of the FY 2014 Advertised Budget Plan.



March 5, 2013

Board authorization for publishing FY 2014 tax and budget advertisement.



July 1, 2013

Fiscal Year 2014 begins.



June 30, 2013

Distribution of the FY 2014 Adopted Budget Plan. Fiscal Year 2013 ends.



April 30, 2013

Adoption of the FY 2014 budget plan, Tax Levy and Appropriation Ordinance by the Board of Supervisors.



April 23, 2013

Board action on *FY 2013 Third Quarter Review*. Board mark-up of the FY 2014 proposed budget.



April 9, 10, and 11, 2013

Public hearings on proposed FY 2014 budget, *FY 2013 Third Quarter Review* and FY 2014-2018 Capital Improvement Program (with Future Years to 2023) (CIP).



Fairfax County is committed to complying with the Americans with Disabilities Act (ADA). Special accommodations will be made upon request. Please call 703-324-2391 (Virginia Relay: 711).

Board Goals & Priorities

Adopted by the Board in December 2009. Reaffirmed by the Board in February 2012.

By **engaging** our residents and businesses in the process of addressing these challenging times, **protecting investment** in our most critical priorities, and by **maintaining strong responsible fiscal stewardship**, **we must ensure**:

✓ **A quality educational system**

Education is Fairfax County's highest priority. We will continue the investment needed to protect and enhance this primary community asset. Our children are our greatest resource. Because of our excellent schools, businesses are eager to locate here and our children are able to find good jobs. A well-educated constituency is best able to put back into their community.

✓ **Safe streets and neighborhoods**

Fairfax County is the safest community of our size in the U.S. We will continue to invest in public safety to respond to emergency situations, as well as efforts to prevent and intervene in destructive behaviors, such as gang activity and substance abuse.

✓ **A clean, sustainable environment**

Fairfax County will continue to protect our drinking water, air quality, stream valleys and tree canopy through responsible environmental regulations and practices. We will continue to take a lead in initiatives to address energy efficiency and sustainability and to preserve and protect open space for our residents to enjoy.

✓ **Livable, caring and affordable communities**

As Fairfax County continues to grow we will do so in ways that address **environmental** and **mobility** challenges. We will encourage housing that is affordable to our children, seniors and members of our workforce. We will provide compassionate and efficient services to members of our community who are in need. We will continue to protect and support our stable lower density neighborhoods. We will encourage and support participation in community organizations and other activities that address community needs and opportunities.

✓ **A vibrant economy**

Fairfax County has a well-earned reputation as a business-friendly community. We will vigorously pursue **economic development** and **revitalization** opportunities. We will support the business community and encourage this healthy partnership. We will continue to be sensitive and responsive to the needs of our corporate neighbors in the areas of **workforce development** and **availability, affordable housing, regulation and taxation**.

✓ **Efficient transportation network**

Fairfax County makes it a priority to connect People and Places. We will continue to plan for and invest in transportation improvements to include comprehensive bicycle and pedestrian initiatives, bus and paratransit, road and intersection improvements and expansion of Metrorail and VRE.

✓ **Recreational and cultural opportunities**

A desirable community is one where there is a lot going on that residents can enjoy. Fairfax County will continue to provide for athletic, artistic, intellectual and recreational activities, in our communities, parks, libraries and schools.

✓ **Taxes that are affordable**

The property tax is Fairfax County's primary source of revenue to provide services. We will ensure that taxes are affordable for our residents and businesses, and we will seek ways to diversify County revenues in order to make our tax base more equitable. We will ensure that County programs and services are efficient, effective and well run.

Fairfax County Vision Elements

To protect and enrich the quality of life for the people, neighborhoods, and diverse communities of Fairfax County by:

Maintaining Safe and Caring Communities -

The needs of a diverse and growing community are met through innovative public and private services, community partnerships and volunteer opportunities. As a result, residents feel safe and secure, capable of accessing the range of services and opportunities they need, and are willing and able to give back to their community.

Building Livable Spaces -

Together, we encourage distinctive “built environments” that create a sense of place, reflect the character, history, and natural environment of the community, and take a variety of forms – from identifiable neighborhoods, to main streets, to town centers. As a result, people throughout the community feel they have unique and desirable places to live, work, shop, play, and connect with others.

Connecting People and Places -

Transportation, technology, and information effectively and efficiently connect people and ideas. As a result, people feel a part of their community and have the ability to access places and resources in a timely, safe, and convenient manner.

Maintaining Healthy Economies -

Investments in the work force, jobs, and community infrastructure and institutions support a diverse and thriving economy. As a result, individuals are able to meet their needs and have the opportunity to grow and develop their talent and income according to their potential.

Practicing Environmental Stewardship -

Local government, industry, and residents seek ways to use all resources wisely and to protect and enhance the County’s natural environment and open space. As a result, residents feel good about their quality of life and embrace environmental stewardship as a personal and shared responsibility.

Creating a Culture of Engagement -

Individuals enhance community life by participating in and supporting civic groups, discussion groups, public-private partnerships, and other activities that seek to understand and address community needs and opportunities. As a result, residents feel that they can make a difference and work in partnership with others to understand and address pressing public issues.

Exercising Corporate Stewardship -

Fairfax County government is accessible, responsible, and accountable. As a result, actions are responsive, providing superior customer service and reflecting sound management of County resources and assets.

Note: The Board of Supervisors adopted its own goals and priorities in December 2009 (see previous page). In addition, in 2004 County staff developed long-term vision elements for strategic planning purpose.

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Volume 2 Overview

Volume 2 contains information on non-General Fund budgets or “Other Funds.” A fund accounts for a specific set of activities that a government performs. For example, refuse disposal is an activity and therefore, a fund that is classified as a Special Revenue Fund. These other funds, such as Special Revenue Funds, are used to account for the proceeds of specific revenue sources (other than expendable trusts or major capital projects) that are legally restricted to expenditures for specified purposes. Capital Project Funds are used to account for financial resources to be used for the acquisition or construction of major capital facilities. Volume 2 also features the County’s *proprietary* funds, i.e. Enterprise Funds and Internal Service Funds. These funds account for County activities, which operate similarly to private sector businesses inasmuch as they measure net income, financial position and changes in financial position. Enterprise Funds are used to account for operations in which costs (expenses, including depreciation) of providing goods or services to the general public on a continuing basis are financed or recovered primarily through user charges. Internal Service Funds are used to account for the financing of goods or services provided by one County department or agency to another on an allocated cost recovery basis for items such as telecommunications charges, printing, data processing, etc. The County also has several *fiduciary* funds, better known as Trust and Agency Funds, in which funds are used to account for assets held by the County in a trustee capacity or as an agent for other individuals, entities and/or other funds.

Impact of FOCUS

For the past several years, the County has worked with Fairfax County Public Schools to replace the County’s aging legacy systems, including the financial, purchasing, personnel and budget systems, with a new enterprise resource planning system, FOCUS. As part of the implementation of FOCUS, several changes were made which impact the way that budgets are displayed in the FY 2014 Advertised Budget Plan. These changes are outlined below.



Fund Numbers and Groups

As part of FOCUS, the County moved from three-digit fund numbers (i.e. Fund 001, General Fund), to five-digit fund numbers (i.e. Fund 10001, General Fund). As part of this exercise, the order of funds displayed may have changed. For example, Special Revenue Funds, which previously preceded Capital Project Funds in budget documents, now follow Capital Project Funds. Schools fund numbers are also five digits and are preceded by an “S” (i.e. Fund S10000, Public School Operating). The table of contents for Volume 2 indicates the new and former fund numbers for all funds.

Additionally, in order to be consistent with Generally Accepted Accounting Principles (GAAP) used in the County’s Comprehensive Annual Financial Report, three funds have been moved from the Special Revenue Funds group to the General Fund group for budgetary display purposes as part of this budget. These funds include: Fund 10020, Consolidated Community Funding Pool (formerly Fund 118), Fund 10030, Contributory Fund (formerly Fund 119), and Fund 10040, Information Technology Fund (formerly Fund 104). It should be noted that narratives for these funds are included in Volume 2, even though they are included in the General Fund group. Volume 1 contains information on the General Fund only.

Chart of Accounts

As part of the implementation of the financial module of FOCUS, the County developed a new chart of accounts – a series of general ledgers which define the classes of items for which funding is spent or received. The chart of accounts is used to organize the County’s finances and to separate revenues, expenditures, assets, and liabilities. As a result, some general ledgers have been realigned among categories. These categories, called “characters”, include Personnel Services, Operating Expenses, Recovered Costs, Capital

How to Read the Budget

Equipment, and Capital Projects. None of these changes impact bottom-line revenues or expenditures for any fund. However, because the new structure is shown across all fiscal years for purposes of consistency, the breakout by character of the FY 2013 Adopted Budget Plan shown in the FY 2014 Advertised Budget Plan may be different from what was previously displayed.

The table below shows an example of how the breakout by character may be changed from what was published previously. Both columns show the FY 2013 Adopted Budget for the General Fund, with the column on the left displaying the Adopted budget as it was originally published, and the column on the right showing the Adopted budget as it is displayed in the FY 2014 Advertised Budget Plan.

General Fund Direct Expenditures		
<u>FY 2013 Adopted Budget Plan</u>		
	<u>As Displayed in FY 2013 Adopted Budget Plan</u>	<u>As Displayed in FY 2014 Advertised Budget Plan</u>
Personnel Services	\$714,690,142	\$714,121,262
Operating Expenses	\$350,157,414	\$349,054,235
Recovered Costs	(\$46,637,404)	(\$45,656,331)
Capital Equipment	\$28,590	\$28,590
Fringe Benefits	\$285,503,060	\$286,194,046
Total Direct Expenditures	\$1,303,741,802	\$1,303,741,802

As demonstrated in the table, bottom-line expenditures remain unchanged, although there are slight variations in the categorization of expenses.

Projects/Grants

Similar to changes in Fund numbers, the transition to FOCUS also brought about a new numbering schema for projects and grants. This new numbering is visible in the Summary of Capital Projects table displayed for funds with projects, as well as in the Summary of Grant Funding table, which has replaced the Summary of Capital Projects for grant funds. In many cases, although the project numbers have changed, there is a one-to-one correlation with a project shown in prior budgets. However, in some cases, departments may have decided to consolidate multiple projects or break projects into more discrete segments.

Fund Narratives

Each County fund is represented with its own narrative that contains programming and budgetary information. The narratives have several elements including:

- Organization Chart
- Agency Mission and Focus
- Agency Dashboard (included only for a select number of General Fund Supported agencies)
- Budget and Staff Resources
- FY 2014 Funding Adjustments / Changes to the FY 2013 Adopted Budget Plan
- Cost Centers (funding and position detail)
- Cost Center Specific Goals, Objectives and Key Performance Measures
- Performance Measurement Results
- Fund Statement
- Summary of Capital Projects

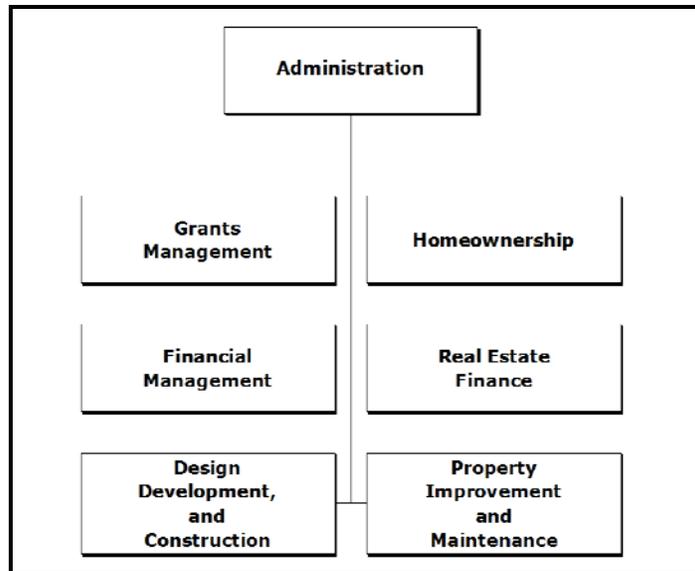
Not all narratives will contain each of these components. For example, funds that are classified as Capital Funds will not have organization charts because staff positions are not budgeted in these funds; that is, they

How to Read the Budget

only provide funding for the purchase and construction of capital construction projects. However, Capital Funds do have a summary of capital projects that lists the cost of each project in a Fund. A brief example of each section follows.

Organization Chart

The organization chart displays the organizational structure of each fund. An example depicting the organizational structure of Fund 50800, Community Development Block Grant (formerly Fund 142), is shown below.



Agency Mission and Focus

The agency mission is a broad statement reflecting intended accomplishments for achievement of the agency's public purpose. It describes the unique contribution of the organization to the County government and residents receiving services and provides a framework within which an agency operates. The agency focus section includes a description of the agency's programs and services. The agency's relationship with County boards, authorities or commissions may be discussed here, as well as key drivers or trends that may be influencing how the agency is conducting business. The focus section is also designed to inform the reader about the strategic direction of the agency and the challenges that it is currently facing.

Agency Dashboard

A new feature in this budget is the inclusion of an "Agency Dashboard." The dashboard, which only appears in this volume for General Fund Supported agencies such as Fund 40040, Fairfax-Falls Church Community Services Board (CSB), includes various key metrics, including in some cases a combination of key outputs, budget drivers, statistics, and other meaningful indicators illustrating key agency initiatives and work. The purpose of these drivers is to keep decision-makers aware of this key data and how they are changing over time. The dashboard includes data from the three prior years (FY 2010 through FY 2012). This dashboard does not replace the agency's performance measures, but rather provides an additional snapshot of relevant statistics.

How to Read the Budget

Budget and Staff Resources

The Budget and Staff Resources table provides an overview of expenditures and positions in each fund. Expenditures are generally summarized in five primary categories:

- *Personnel Services* consist of expenditure categories including regular pay, shift differential, limited-term support, overtime pay, and fringe benefits.
- *Operating Expenses* are the day-to-day expenses involved in the administration of the agency, such as office supplies, printing costs, repair and maintenance for equipment, and utilities.
- *Capital Equipment* includes items that have a value that exceeds \$5,000 and an expected life of more than one year, such as an automobile or other heavy equipment.
- *Recovered Costs* are reimbursements from other County agencies for specific services or work performed or reimbursements of work associated with capital construction projects. These reimbursements are reflected as a negative figure in the agency's budget, thus offsetting expenditures.
- *Capital Projects* are expenditures related to the acquisition, renovation, or construction of major capital items, including facilities (schools, libraries, parks facilities, police and fire stations), transportation improvements, trails/sidewalks, and stormwater management facilities. These activities typically stretch over multiple fiscal years. For funds which contain capital projects, a *Summary of Capital Projects* is provided in the fund narrative listing the funding related to each specific project.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$2,656,433	\$2,891,179	\$2,891,179	\$2,988,123
Operating Expenses	2,211,455	2,575,179	2,779,559	2,525,126
Capital Equipment	0	0	0	51,500
Capital Projects	609,824	627,000	999,954	250,000
Total Expenditures	\$5,477,712	\$6,093,358	\$6,670,692	\$5,814,749
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	31 / 28.18	31 / 28.18	31 / 28.18	31 / 28.18

The Authorized Positions section of the Budget and Staff Resources table provides the position count of merit positions across fiscal years, including FY 2012 Actuals, the current adopted (FY 2013) budget, the current revised budget reflecting changes since the budget was adopted, and the upcoming budget (FY 2014 Advertised). The table also reflects the authorized hours of each position with the designation of a full-time equivalent (FTE). This terminology marks a change from the previous term of staff-year equivalent (SYE). For example, an FTE of 1.0 means that the position is authorized to be filled with a full-time employee (2,080 hours annually), while an FTE of 0.5 signals that the position is authorized to be filled only half-time (up to 1,040 hours annually).

FY 2014 Funding Adjustments / Changes to the FY 2013 Adopted Budget Plan

This section summarizes changes to the budget. The first part of this section includes adjustments from the FY 2013 Adopted Budget Plan necessary to support the FY 2014 program. These adjustments may include compensation increases, funding associated with new positions, internal service charge adjustments, funding adjustments associated with position movements, and/or reductions used to balance the FY 2014 budget. The sum of all of the funding adjustments listed explains the entire change from the

♦ Reductions		(\$162,024)		
A decrease of \$162,024 reflects the following reductions utilized to balance the FY 2014 budget:				
Title	Impact	Posn	FTE	Reduction
Reduce Operating Expenses	The reduction in Administration of County Policy will reduce Operating Expenses by \$29,671, a 6.4 percent reduction from the FY 2013 funding level of \$463,550. This reduction will have a minimal impact as efficiencies have been implemented which have increased flexibility within the agency's operating budget.	0	0.00	\$29,671

How to Read the Budget

FY 2013 Adopted Budget Plan to the FY 2014 Advertised Budget Plan. When reductions have been included for an agency, each reduction is listed individually, providing specific details including funding and position impacts.

The second part of this section includes revisions to the current year budget that have been made since its adoption. All adjustments to the FY 2013 budget as a result of the *FY 2012 Carryover Review* and any other changes through December 31, 2012 are reflected here. Funding adjustments are generally presented programmatically and include Personnel Services, Operating Expenses, and other costs.

Cost Centers

As an introduction to the more detailed information included for each functional area or cost center, a brief description of the cost centers is included. A listing of the staff resources for each cost center is also included, including the number of positions by job classification and annotations for additions, transfers of positions from one agency/fund to another, or proposed elimination of positions as part of the proposed budget reductions for the FY 2014 Advertised Budget Plan. In addition, the full-time equivalent status is provided to easily denote a full- or part-time position as well as total position counts for the cost center in this table.

Administration, Facilities and Public Information				
The Administration, Facilities and Public Information Cost Center administers the facilities and programs of the McLean Community Center, assists residents and local planning groups' planning activities and provides information to citizens in order to facilitate their integration into the life of the community.				
Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$2,503,199	\$2,694,519	\$3,179,767	\$2,304,206
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	16 / 13.88	16 / 13.88	16 / 13.88	16 / 13.88
Administration		Facilities		Public Information
1 Executive Director		1 Chief Building Maintenance Section		1 Communications Specialist II
1 Deputy Community Ctr. Director		1 Facility Attendant II		
1 Accountant II		5 Facility Attendants I, 5 PT		
2 Administrative Assistants V				
2 Administrative Assistants III				
1 Administrative Assistant II				
TOTAL POSITIONS				
16 Positions / 13.88 FTE			PT Denotes Part-Time Positions	

Key Performance Measures

Fairfax County has an established Performance Measurement program, and measures have been included in the County's budget volumes for many years. Each agency has specific goals, objectives, and performance indicators. Goals are broad statements of purpose, generally indicating what service or product is provided, for whom, and why. Objectives are outcome-based statements of specifically what will be accomplished during the budget year. Ideally, these objectives should support the goal statement, reflect the planned benefit(s) to customers, be written to allow measurement of progress and describe a quantifiable target. Indicators are the first-level data for reporting performance on those objectives.

Indicator	Prior Year Actuals			Current Estimate FY 2013
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	
Administration, Facilities and Public Information				
Percent change in patrons using the Center	(52.0%)	(4.4%)	7.5% / (6.3%)	(2.8%)
General Programs				
Percent change in participation in classes and Senior Adult activities	NA	0.1%	(33.3%) / (13.3%)	(22.4%)
Percent change in participation at Special Events	2.8%	0.0%	3.1% / (2.3%)	(13.6%)
Percent change in participation at Performing Arts activities	(2.6%)	(24.1%)	33.2% / 3.4%	3.1%
Percent change in participation at Youth Activities	114.3%	(73.0%)	(8.4%) / (49.7%)	14.8%
Teen Center				
Percent change in weekend patrons	(56.1%)	62.2%	(4.1%) / 27.5%	(24.8%)
Percent change in weekday patrons	14.9%	4.6%	0.8% / (24.7%)	36.5%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40060.pdf

How to Read the Budget

A Family of Measures presents an overall view of the performance measurement program so that factors such as cost can be balanced with customer satisfaction and the outcome ultimately achieved. The concept of a Family of Measures encompasses the following types of indicators and serves as the structure for a performance measurement model that presents a comprehensive picture of program performance as opposed to a single-focus orientation.

- **Input:** Value of resources used to produce an output (this data – funding and positions – are listed in the agency summary tables).
- **Output:** Quantity or number of units produced.
- **Efficiency:** Inputs used per unit of output.
- **Service Quality:** Degree to which customers are satisfied with a program, or the accuracy or timeliness with which the product/service is provided.
- **Outcome:** Qualitative consequences associated with a program.

In prior years, all goals, objectives, and indicators, including the entire family of measures, for an agency were presented by cost center and published in the agency's budget narrative. In the FY 2014 Advertised Budget Plan, a focus has been placed on performance-related outcomes. As a result, only key performance indicators are displayed in the narratives, typically outcome measures. However, there is a link to a complete list of all performance measures for each agency in the budget narrative. This link provides the ability to view all data for an agency, including the discrete goals, objectives, and complete family of performance measures for each cost center within an agency.

Performance Measurement Results

This section includes a discussion and analysis of how the agency's performance measures relate to the provision of activities, programs, and services stated in the agency mission. The results of current performance measures are discussed, as well as conditions that contributed to the level of performance achieved and action plans for future-year improvement of performance targets. The primary focus of this review is on outcomes or results.

How to Read the Budget

Fund Statement

A fund statement provides a breakdown of all collected **revenues** and total expenditures and **total disbursements** for a given fiscal year. It also provides the **total funds available at the beginning of a fiscal year** and an **ending balance**. An example follows:

FUND STATEMENT				
Fund 50800, Community Development Block Grant				
	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$721,772	\$0	\$521,944	\$14,594
Revenue:				
Community Development Block Grant (CDBG) American Recovery and Reinvestment Act of 2009	\$6,743,799	\$5,418,429	\$10,462,263	\$4,414,224
CDBG Program Income	556,681	0	254,331	0
	811,413	0	0	0
Total Revenue	\$8,111,893	\$5,418,429	\$10,716,594	\$4,414,224
Transfers In:				
General Fund (10001)	\$284,190	\$0	\$0	\$0
Total Transfers In	\$284,190	\$0	\$0	\$0
Total Available	\$9,117,855	\$5,418,429	\$11,238,538	\$4,428,818
Expenditures:				
CDBG Projects	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
Total Expenditures	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
Total Disbursements	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
Ending Balance^{1,2}	\$521,944	\$0	\$14,594	\$14,594

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

² The \$14,594 ending balance is due to additional program income received in FY 2012 and will be appropriated as part of the *FY 2013 Third Quarter Review*.

How to Read the Budget

Summary of Capital Projects

A summary of capital projects is included in all Capital Project Funds, and selected Enterprise Funds, Housing Funds and Special Revenue Funds that support capital expenditures. The summary of capital projects provides detailed financial information about each capital project within each fund, including: total project estimates, prior year expenditures, revised budget plans, and proposed funding levels. The summary of capital projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects, or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project). The example below is a Summary of Capital Projects report for Fund 30070, Public Safety Construction (formerly Fund 312).

FY 2014 Summary of Capital Projects					
Fund 30070, Public Safety Construction					
Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G08-010-000	Courthouse Data Center Study	\$350,000	\$0.00	\$350,000.00	\$0
2G25-060-000	Traffic Light Signalization	967,762	0.00	210,313.58	0
2G25-061-000	Fund Contingency		0.00	956,656.43	0
2G25-062-000	Stoncroft Widening Sully Police Station	862,383	15,309.20	691,664.32	0
2G25-074-000	Courthouse IT Equip. & Support Capital Fac.	11,265,137	704,910.04	1,312,273.76	0
2G25-080-000	Merrifield Fire Station Space Study	75,000	0.00	75,000.00	0
2G25-082-000	Tyson's Redevelopment Facilities Study	125,000	0.00	125,000.00	0
2G80-001-000	Courthouse IT Equip. & Support-Circuit Court	99,750	19,560.54	0.00	0
2G81-001-000	Courthouse IT Equipment and Support-JDRC	34,600	0.00	3,089.14	0
2G85-001-000	Courthouse IT Equip. & Support-Gen. Dist.	333,550	5,561.27	65,121.52	0
		000	0.00	300,000.00	0
		163	53,207.57	0.00	0
		874	2,237.50	112,625.48	0
		126	17,313.07	11,812.76	0
		000	0.00	2,500,000.00	0
		483	170,074.17	297,732.53	0
		000	136,951.56	784,473.40	0
FS-000001	Tyson's Fire Station	100,000	9,039.04	66,914.89	0
FS-000002	Bailey's Crossroads Fire Station	3,057,327	561,707.82	2,294,348.64	0
FS-000003	Fairfax Center Fire Station	8,899,923	3,320.55	16,297.51	0
FS-000004	Wolftrap Fire Station	11,325,000	4,607,324.57	2,379,327.98	0
FS-000005	Burke Volunteer Fire Station	4,482,327	0.00	20,437.11	0
FS-000006	Herndon Fire Station	1,350,000	364,111.76	765,730.00	0
FS-000007	Great Falls Fire Station	10,450,000	2,667,828.67	1,552,603.50	0
FS-000008	Fire Training Academy	17,100,000	525,975.96	13,481,075.58	0
FS-000009	Bailey's Fire Station Temporary	117,010	0.00	117,010.16	0
IT-000002	McConnell PSTOC - DIT	33,262,393	237,526.12	814,539.06	0
OP-000001	West Ox Road Animal Shelter Renovation	16,100,000	5,240,795.49	7,969,379.53	0
PS-000002	McConnell PSTOC - OCF	59,419,737	(372,062.04)	1,854,213.87	0
PS-000003	Fair Oaks Police Station Renovation	17,400,000	5,835,308.60	8,872,466.76	0
PS-000004	Reston Police Station Renovation	18,800,000	917,539.64	17,585,709.05	0
PS-000005	McLean Police Station Renovation	20,100,000	675,567.60	18,674,616.03	0
PS-000006	Public Safety Headquarters	8,521,739	1,526,143.71	6,448,274.00	0
Total		\$378,388,284	\$23,925,252.41	\$90,708,706.59	\$0

Total Project Estimate: A capital project Total Project Estimate (TPE) is composed of funds already expended, currently appropriated, proposed or adopted in the budget year, and proposed for future years. In short, it is the total amount proposed to be expended over the life of the project.

How to Read the Budget

Additional Budget Resources

In addition to the availability online of all of the County's published budget volumes, additional budgetary information including quarterly reviews, budget calendars, economic data, and historical files is available on the Department of Management and Budget's website at www.fairfaxcounty.gov/dmb/. The department has focused resources on expanding public access to essential information at all stages of the budget formulation process in order to afford residents a better understanding of their County government, the services it offers, and the role they can play. On the site, residents can access a County Budget Primer, whereby they can look up budget terms and find answers to common budget questions. Each fall, visitors to the site can provide their feedback on the budget or submit their own cost-cutting ideas. These ideas are compiled and presented to the County Executive and the Board of Supervisors for their use during budget deliberations. On each page, residents can also provide feedback on the website itself and offer suggestions of what additional information might be helpful to them in understanding the County's budget.

Transparency Initiative

Throughout FY 2013, County staff, including representatives from the Department of Management and Budget, Department of Information Technology, and Department of Finance, has worked closely with staff from Fairfax County Public Schools (FCPS) on a countywide transparency initiative. Once completed in spring 2013, residents will be able to visit www.fairfaxcounty.gov/transparency/ to view amounts paid to County vendors. Visitors will also be able to view budgetary data and actual expenditures by Fund or General Fund agency each month. The Fairfax County Public Schools will have their own website whereby residents will be able to view data specific to FCPS funds, departments, and schools. Used in collaboration with information already available to residents, such as the County's budget and the Comprehensive Annual Financial Report, the transparency initiative will provide residents with an additional tool to learn more about the County's overall finances or focus on specific areas of interest.

FOR ADDITIONAL INFORMATION

Information regarding the contents of this or other budget volumes can be provided by calling the Fairfax County Department of Management and Budget at 703-324-2391 from 8:00 a.m. to 4:30 p.m.

Internet Access: The Fairfax County budget is also available for viewing on the Internet at:



<http://www.fairfaxcounty.gov/budget>

Reference copies of all budget volumes are available on compact disc at all branches of the Fairfax County Public Library:

City of Fairfax Regional
10360 North Street
Fairfax, VA 22030-2514
703-293-6227

George Mason Regional
7001 Little River Turnpike
Annandale, VA 22003-5975
703-256-3800

Pohick Regional
6450 Sydenstricker Road
Burke, VA 22015-4274
703-644-7333

Reston Regional
11925 Bowman Towne Drive
Reston, VA 20190-3311
703-689-2700

Sherwood Regional
2501 Sherwood Hall Lane
Alexandria, VA 22306-2799
703-765-3645

Chantilly Regional
4000 Stringfellow Road
Chantilly, VA 20151-2628
703-502-3883

Centreville Regional
14200 St. Germain Drive
Centreville, VA 20121-2299
703-830-2223

Tysons-Pimmit Regional
7584 Leesburg Pike
Falls Church, VA 22043-2099
703-790-8088

Martha Washington
6614 Fort Hunt Road
Alexandria, VA 22307-1799
703-768-6700

Great Falls
9830 Georgetown Pike
Great Falls, VA 22066-2634
703-757-8560

Herndon Fortnightly
768 Center Street
Herndon, VA 20170-4640
703-437-8855

Kings Park
9000 Burke Lake Road
Burke, VA 22015-1683
703-978-5600

John Marshall
6209 Rose Hill Drive
Alexandria, VA 22310-6299
703-971-0010

Lorton
9520 Richmond Highway
Lorton, VA 22079-2124
703-339-7385

Patrick Henry
101 Maple Avenue East
Vienna, VA 22180-5794
703-938-0405

Dolley Madison
1244 Oak Ridge Avenue
McLean, VA 22101-2818
703-356-0770

Richard Byrd
7250 Commerce Street
Springfield, VA 22150-3499
703-451-8055

Woodrow Wilson
6101 Knollwood Drive
Falls Church, VA 22041-1798
703-820-8774

Thomas Jefferson
7415 Arlington Boulevard
Falls Church, VA 22042-7409
703-573-1060

Kingstowne
6500 Landsdowne Centre
Alexandria, VA 22315-5011
703-339-4610

Access Services
12000 Government Center
Parkway, Suite 123
Fairfax, VA 22035-0012
703-324-8380
TTY 703-324-8365

Burke Centre
5935 Freds Oak Road
Burke, VA 22015-2599
703-249-1520

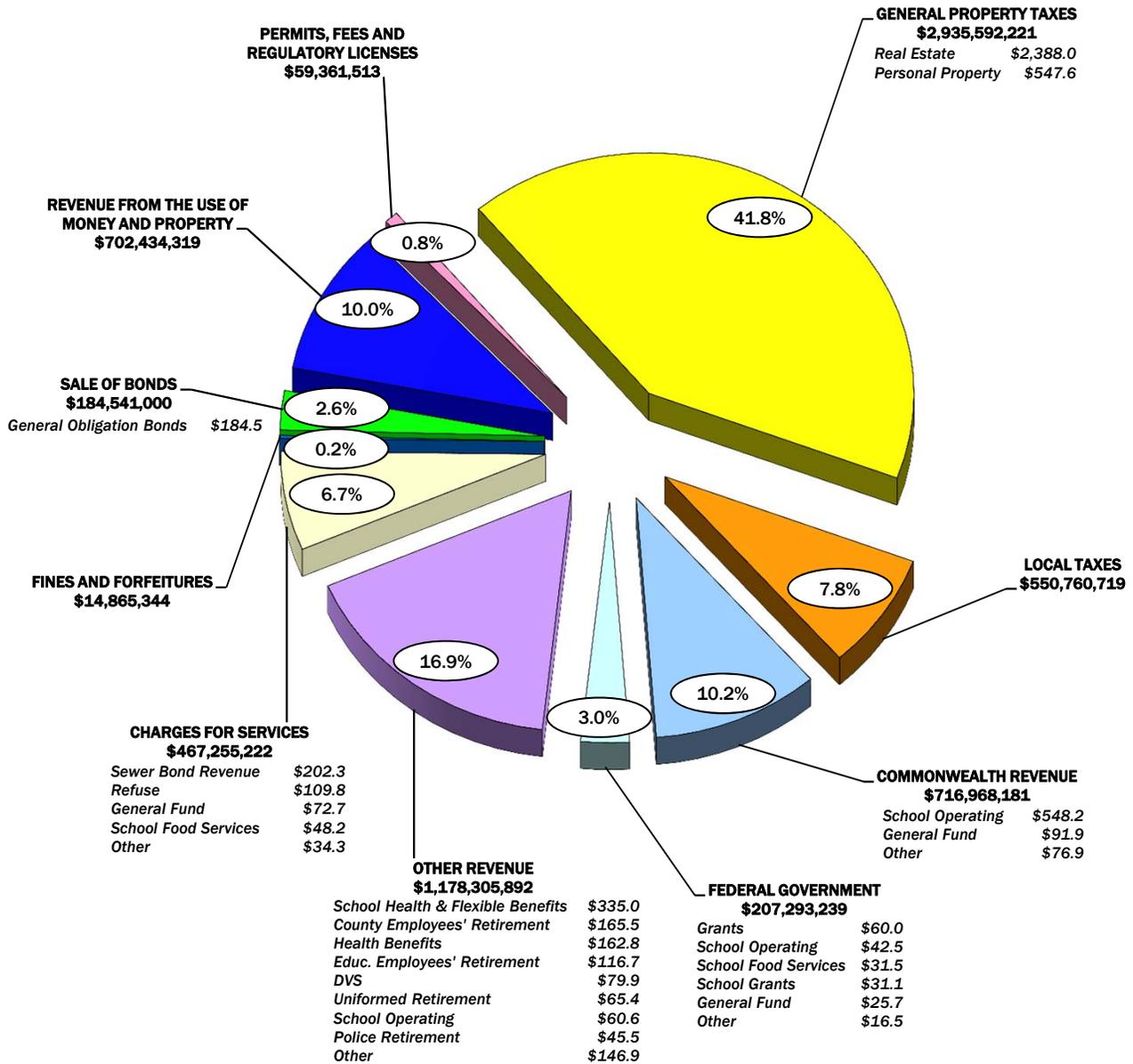
Oakton
10304 Lynnhaven Place
Oakton, VA 22124-1785
703-242-4020

Additional copies of budget documents are also available on compact disc (CD) from the Department of Management and Budget (DMB) at no extra cost. Please call DMB in advance to confirm availability of all budget publications.

Department of Management and Budget
12000 Government Center Parkway, Suite 561
Fairfax, VA 22035-0074
(703) 324-2391

FY 2014 ADVERTISED BUDGET PLAN REVENUE ALL FUNDS

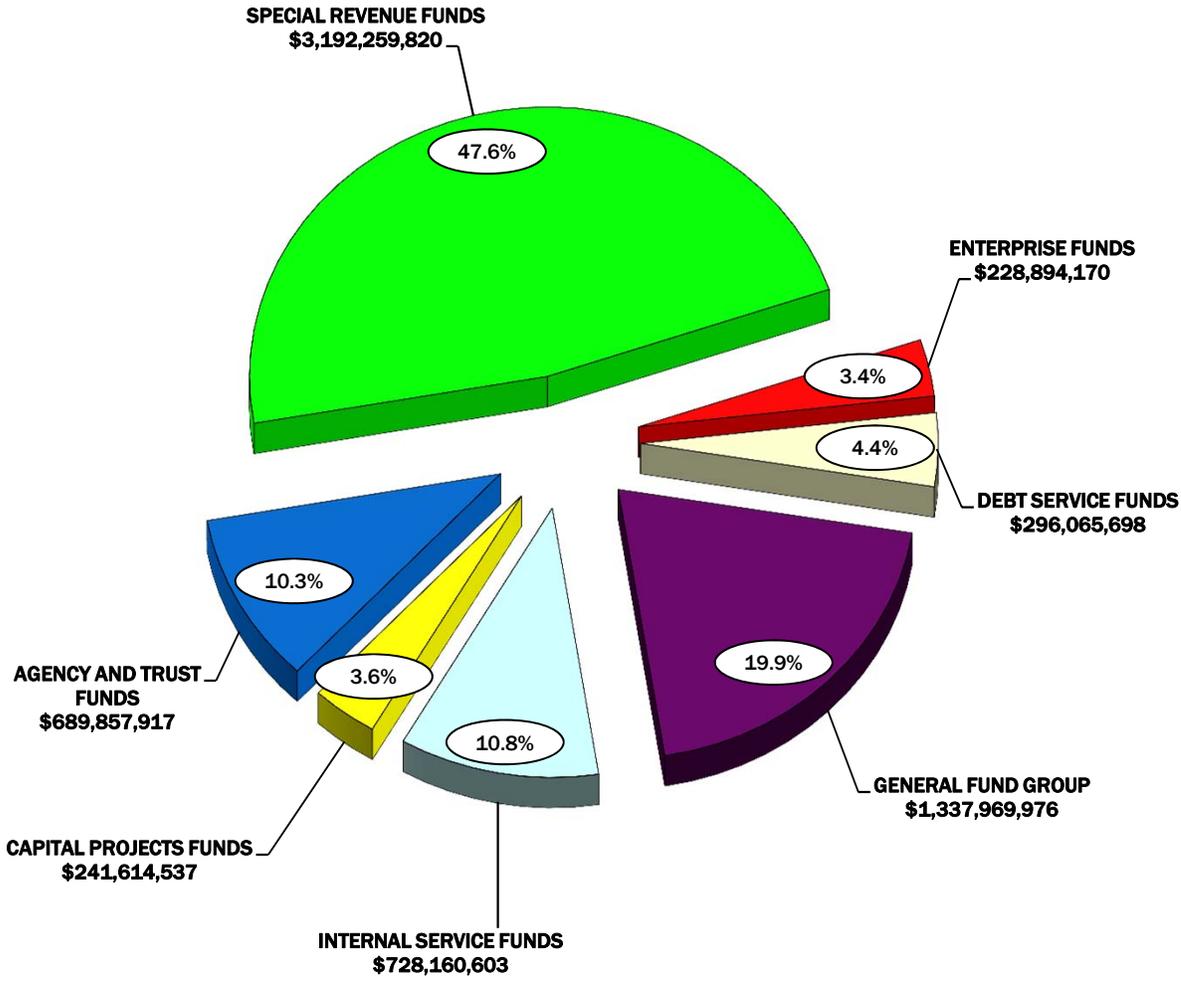
(subcategories in millions)



TOTAL REVENUE = \$7,017,377,650

For presentation purposes, Personal Property Taxes of \$211,313,944 that are reimbursed by the Commonwealth as a result of the Personal Property Tax Relief Act of 1998 are included in the Personal Property Taxes category.

FY 2014 ADVERTISED BUDGET PLAN EXPENDITURES ALL FUNDS



TOTAL EXPENDITURES = \$6,714,822,721

FY 2014 ADVERTISED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund	FY 2012 Actual ¹	FY 2013 Adopted Budget Plan ²	FY 2013 Revised Budget Plan ³	FY 2014 Advertised Budget Plan ⁴	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
GOVERNMENTAL FUNDS						
General Fund Group						
10001 General Fund	\$3,379,680,804	\$3,473,825,765	\$3,474,058,906	\$3,570,191,999	\$96,133,093	2.77%
10010 Revenue Stabilization	652,407	997,603	997,603	0	(997,603)	(100.00%)
10040 Information Technology	1,498,696	300,000	300,000	108,240	(191,760)	(63.92%)
Total General Fund Group	\$3,381,831,907	\$3,475,123,368	\$3,475,356,509	\$3,570,300,239	\$94,943,730	2.73%
Debt Service Funds						
20000 Consolidated Debt Service	\$3,891,108	\$3,562,291	\$3,562,291	\$380,000	(\$3,182,291)	(89.33%)
Capital Project Funds						
30000 Metro Operations and Construction	\$20,000,000	\$21,839,000	\$13,068,586	\$26,541,000	\$13,472,414	103.09%
30010 General Construction and Contributions	30,940,888	5,400,000	44,611,634	4,900,000	(39,711,634)	(89.02%)
30020 Capital Renewal Construction	3,446,063	15,000,000	41,000,000	0	(41,000,000)	(100.00%)
30030 Library Construction	2,000,000	0	9,380,000	0	(9,380,000)	(100.00%)
30040 Contributed Roadway Improvement	267,485	110,000	400,375	110,000	(290,375)	(72.53%)
30050 Transportation Improvements	18,638,787	0	78,762,000	0	(78,762,000)	(100.00%)
30060 Pedestrian Walkway Improvements	605,612	0	3,395,593	0	(3,395,593)	(100.00%)
30070 Public Safety Construction	6,000,000	0	80,719,134	0	(80,719,134)	(100.00%)
30080 Commercial Revitalization Program	1,471,995	0	3,565,750	0	(3,565,750)	(100.00%)
30090 Pro Rata Share Drainage Construction	1,200,027	0	8,557,290	0	(8,557,290)	(100.00%)
30300 The Penny for Affordable Housing	20,196,460	15,443,400	15,443,400	18,298,400	2,855,000	18.49%
30310 Housing Assistance Program	0	0	4,683,228	0	(4,683,228)	(100.00%)
30400 Park Authority Bond Construction	13,249,500	0	35,148,000	0	(35,148,000)	(100.00%)
S31000 Public School Construction	162,671,236	155,306,000	432,308,767	155,306,000	(277,002,767)	(64.08%)
Total Capital Project Funds	\$280,688,053	\$213,098,400	\$771,043,757	\$205,155,400	(\$565,888,357)	(73.39%)
Special Revenue Funds						
40000 County Transit Systems	\$43,362,805	\$29,392,195	\$29,392,195	\$35,493,207	\$6,101,012	20.76%
40010 County and Regional Transportation Projects	42,447,965	44,436,000	204,837,225	51,789,925	(153,047,300)	(74.72%)
40030 Cable Communications	23,066,212	24,827,920	24,827,920	24,092,577	(735,343)	(2.96%)
40040 Fairfax-Falls Church Community Services Board	40,210,385	42,198,401	39,539,771	39,735,541	195,770	0.50%
40050 Reston Community Center	6,951,256	7,011,132	7,074,242	7,359,257	285,015	4.03%
40060 McLean Community Center	4,988,530	5,039,038	5,039,038	5,021,281	(17,757)	(0.35%)
40070 Burgundy Village Community Center	53,209	49,120	53,775	56,900	3,125	5.81%
40080 Integrated Pest Management Program	1,987,569	1,793,417	1,793,417	1,851,659	58,242	3.25%
40090 E-911	21,561,560	21,751,524	21,751,524	21,458,430	(293,094)	(1.35%)
40100 Stormwater Services	29,672,230	39,775,000	39,855,585	41,200,000	1,344,415	3.37%
40110 Dulles Rail Phase I Transportation Improvement District	23,064,160	24,416,727	24,416,727	24,006,837	(409,890)	(1.68%)
40120 Dulles Rail Phase II Transportation Improvement District	6,747,073	11,062,172	11,062,172	14,484,978	3,422,806	30.94%
40130 Leaf Collection	2,014,511	2,124,762	2,124,762	2,114,254	(10,508)	(0.49%)
40140 Refuse Collection and Recycling Operations	20,139,690	21,071,810	21,071,810	20,400,836	(670,974)	(3.18%)
40150 Refuse Disposal	48,656,177	50,253,752	50,253,752	50,342,750	88,998	0.18%
40160 Energy Resource Recovery (ERR) Facility	30,809,771	31,893,600	31,893,600	31,418,600	(475,000)	(1.49%)
40170 I-95 Refuse Disposal	6,155,553	8,003,548	8,003,548	9,213,437	1,209,889	15.12%
40180 Tysons Service District	0	0	0	5,067,472	5,067,472	-
40300 Housing Trust	1,956,599	451,361	451,361	493,420	42,059	9.32%
40330 Elderly Housing Programs	2,347,153	2,299,568	2,342,276	1,575,860	(766,416)	(32.72%)
40360 Homeowner and Business Loan Programs	2,390,678	3,910,249	11,513,252	2,431,943	(9,081,309)	(78.88%)
50000 Federal/State Grants	76,859,501	82,184,239	173,708,886	85,922,239	(87,786,647)	(50.54%)
50800 Community Development Block Grant	8,111,893	5,418,429	10,716,594	4,414,224	(6,302,370)	(58.81%)

FY 2014 ADVERTISED REVENUE AND RECEIPTS BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund	FY 2012 Actual ¹	FY 2013 Adopted Budget Plan ²	FY 2013 Revised Budget Plan ³	FY 2014 Advertised Budget Plan ⁴	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
Special Revenue Funds (Cont.)						
50810 HOME Investment Partnerships Grant	2,356,010	2,383,767	8,927,311	1,405,283	(7,522,028)	(84.26%)
S10000 Public School Operating	601,402,589	598,014,266	660,210,023	651,283,141	(8,926,882)	(1.35%)
S40000 Public School Food and Nutrition Services	76,245,973	75,590,775	78,682,200	80,698,087	2,015,887	2.56%
S43000 Public School Adult and Community Education	8,409,503	10,354,438	8,389,774	8,609,499	219,725	2.62%
S50000 Public School Grants and Self Supporting Programs	44,441,891	44,928,175	59,595,722	43,313,357	(16,282,365)	(27.32%)
Total Special Revenue Funds	\$1,176,410,446	\$1,190,635,385	\$1,537,528,462	\$1,265,254,994	(\$272,273,468)	(17.71%)
TOTAL GOVERNMENTAL FUNDS	\$4,842,821,514	\$4,882,419,444	\$5,787,491,019	\$5,041,090,633	(\$746,400,386)	(12.90%)
PROPRIETARY FUNDS						
Internal Service Funds						
60000 County Insurance	\$771,313	\$895,859	\$895,859	\$895,859	\$0	0.00%
60010 Department of Vehicle Services	80,325,432	74,324,976	75,424,976	79,880,820	4,455,844	5.91%
60020 Document Services Division	2,966,531	3,389,107	3,389,107	3,389,107	0	0.00%
60030 Technology Infrastructure Services	27,762,610	27,725,734	28,655,734	28,241,819	(413,915)	(1.44%)
60040 Health Benefits	136,651,682	149,790,703	150,488,171	163,011,977	12,523,806	8.32%
S60000 Public School Insurance	14,645,195	14,081,339	14,081,339	14,081,339	0	0.00%
S62000 Public School Health and Flexible Benefits	298,145,538	318,066,554	318,066,555	342,490,735	24,424,180	7.68%
S63000 Public School Central Procurement	7,187,412	6,500,000	6,500,000	6,500,000	0	0.00%
Total Internal Service Funds	\$568,455,713	\$594,774,272	\$597,501,741	\$638,491,656	\$40,989,915	6.86%
Enterprise Funds						
69000 Sewer Revenue	\$189,390,555	\$184,591,364	\$184,591,364	\$202,977,487	\$18,386,123	9.96%
69030 Sewer Bond Debt Reserve	0	0	9,706,000	0	(9,706,000)	(100.00%)
69310 Sewer Bond Construction	10,401,050	400,000	113,415,457	0	(113,415,457)	(100.00%)
Total Enterprise Funds	\$199,791,605	\$184,991,364	\$307,712,821	\$202,977,487	(\$104,735,334)	(34.04%)
TOTAL PROPRIETARY FUNDS	\$768,247,318	\$779,765,636	\$905,214,562	\$841,469,143	(\$63,745,419)	(7.04%)
FIDUCIARY FUNDS						
Agency Funds						
70000 Route 28 Taxing District	\$9,047,064	\$10,578,633	\$10,578,633	\$10,707,629	\$128,996	1.22%
70040 Mosaic District Community Development Authority	0	1,492,499	1,492,499	2,234,996	742,497	49.75%
Total Agency Funds	\$9,047,064	\$12,071,132	\$12,071,132	\$12,942,625	\$871,493	7.22%
Trust Funds						
73000 Employees' Retirement Trust	\$409,826,396	\$378,863,125	\$378,863,125	\$409,152,740	\$30,289,615	7.99%
73010 Uniformed Employees Retirement Trust	62,158,371	158,254,115	158,254,115	160,121,992	1,867,877	1.18%
73020 Police Retirement Trust	38,040,113	124,634,407	124,634,407	125,355,091	720,684	0.58%
73030 OPEB Trust	16,099,637	5,543,233	5,543,233	5,681,540	138,307	2.50%
S71000 Educational Employees' Retirement	113,632,645	343,065,199	344,373,883	369,308,886	24,935,003	7.24%
S71100 Public School OPEB Trust	42,090,875	52,255,000	52,255,000	52,255,000	0	0
Total Trust Funds	\$681,848,037	\$1,062,615,079	\$1,063,923,763	\$1,121,875,249	\$57,951,486	5.45%
TOTAL FIDUCIARY FUNDS	\$690,895,101	\$1,074,686,211	\$1,075,994,895	\$1,134,817,874	\$58,822,979	5.47%
TOTAL APPROPRIATED FUNDS	\$6,301,963,933	\$6,736,871,291	\$7,768,700,476	\$7,017,377,650	(\$751,322,826)	(9.67%)
Appropriated From (Added to) Surplus	(\$187,995,493)	(\$216,615,051)	\$526,500,290	(\$392,940,732)	(\$919,441,022)	(174.63%)
TOTAL AVAILABLE	\$6,113,968,440	\$6,520,256,240	\$8,295,200,766	\$6,624,436,918	(\$1,670,763,848)	(20.14%)
Less: Internal Service Funds	(\$568,455,713)	(\$594,774,272)	(\$597,501,741)	(\$638,491,656)	(\$40,989,915)	6.86%
NET AVAILABLE	\$5,545,512,727	\$5,925,481,968	\$7,697,699,025	\$5,985,945,262	(\$1,711,753,763)	(22.24%)

FY 2014 ADVERTISED REVENUE AND RECEIPTS BY FUND

SUMMARY OF APPROPRIATED FUNDS

Fund	FY 2012 Actual ¹	FY 2013 Adopted Budget Plan ²	FY 2013 Revised Budget Plan ³	FY 2014 Advertised Budget Plan ⁴	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
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EXPLANATORY NOTE:

The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Appropriated Funds," net of any transfers between funds.

¹ Not reflected are the following adjustments to balance in FY 2012:

- Fund 69020, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000).
- Fund S10000, Public School Operating Fund, reflects \$600,000 as a transfer from Fund 40030, Cable Communications. Fund 40030 reflects this funding as a transfer to Fund S50000, Public School Grants and Self-Supporting.
- Fund S40000, Public School Food and Nutrition Services, change in inventory of \$154,811.
- Fund S50000, Public School Grants and Self-Supporting Programs, does not reflect (\$600,000) as a transfer from Fund 40030, Cable Communications (this amount is shown in Fund S10000, Public School Operating Fund).
- Fund S60000, Public School Insurance, net change in accrued liability of \$1,855,339.

² Not reflected are the following adjustments to balance in FY 2013:

- Fund 10001, General Fund, assumes available balance of \$1,500,000 set aside in reserve in Agency 87, Unclassified Administrative Expenses, for the Child Care Assistance and Referral program.
- Fund 69020, Sewer Bond Parity Debt Service, does not reflect non-appropriated amortization expense of (\$25,000).
- Fund S10000, Public School Operating Fund, reflects \$600,000 as a transfer from Fund 40030, Cable Communications. Fund 40030 reflects this funding as a transfer to Fund S50000, Public School Grants and Self-Supporting Programs.
- Fund S40000, Public School Food and Nutrition Services, assumes carryover of General Reserve of \$16,983,484.
- Fund S43000, Public School Adult and Community Education, assumes available FY 2012 balance of \$86,271.
- Fund S50000, Public School Grants and Self-Supporting Programs, assumes available FY 2012 balance of \$686,953, does not reflect (\$600,000) as a transfer from Fund 40030, Cable Communications (this amount was shown in Fund S10000, Public School Operating), and does not reflect a reduction in balance of (\$1,250,501) from an anticipated increase in FY 2013 expenditures as a result of the reconciliation of the transfer in from Fund 40030, Cable Communications, and the transfer assumed in the School Board's FY 2013 Advertised Budget.

³ Not reflected are the following adjustments to balance in FY 2013:

- Fund 69020, Sewer Bond Parity Debt Service, non-appropriated amortization expense of (\$25,000).
- Fund S10000, Public School Operating Fund, reflects \$600,000 as a transfer from Fund 40030, Cable Communications. Fund 40030 reflects this funding as a transfer to Fund S50000, Public School Grants and Self-Supporting.
- Fund S50000, Public School Grants and Self-Supporting Programs, does not reflect (\$600,000) as a transfer from Fund 40030, Cable Communications (this amount is shown in Fund S10000, Public School Operating Fund).

⁴ Not reflected are the following adjustments to balance in FY 2014:

- Fund 20000, Consolidated Debt Service, assumes carryover of \$8,000,000.
- Fund 40000, County Transit Systems, assumes carryover of \$8,813,828.
- Fund 69020, Sewer Bond Parity Debt Service, does not reflect non-appropriated amortization expense of (\$25,000).
- Fund S10000, Public School Operating Fund, reflects \$600,000 as a transfer from Fund 40030, Cable Communications. Fund 40030 reflects this funding as a transfer to Fund S50000, Public School Grants and Self-Supporting.
- Fund S40000, Public School Food and Nutrition Services, assumes carryover of General Reserve of \$15,690,511.
- Fund S50000, Public School Grants and Self-Supporting Programs, does not reflect (\$600,000) as a transfer from Fund 40030, Cable Communications (this amount is shown in Fund S10000, Public School Operating Fund).
- Fund S60000, Public School Insurance Fund, assumes carryover of allocated reserve of \$9,375,748.
- Fund S62000, Public School Health and Flexible Benefits, assumes carryover of premium stabilization reserve of \$46,099,540.

FY 2014 ADVERTISED EXPENDITURES BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund	FY 2012 Estimate	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
GOVERNMENTAL FUNDS							
General Fund Group							
10001 General Fund	\$1,295,930,028	\$1,242,275,611	\$1,303,741,802	\$1,341,964,310	\$1,308,599,185	(\$33,365,125)	(2.49%)
10020 Community Funding Pool	9,253,396	9,199,232	9,867,755	9,921,919	9,867,755	(54,164)	(0.55%)
10030 Contributory Fund	14,819,042	14,745,735	15,733,588	15,783,588	13,389,756	(2,393,832)	(15.17%)
10040 Information Technology	52,714,141	19,926,269	8,841,579	51,084,562	6,113,280	(44,971,282)	(88.03%)
Total General Fund Group	\$1,372,716,607	\$1,286,146,847	\$1,338,184,724	\$1,418,754,379	\$1,337,969,976	(\$80,784,403)	(5.69%)
Debt Service Funds							
20000 Consolidated Debt Service	\$299,450,266	\$285,181,338	\$289,824,864	\$304,163,352	\$296,065,698	(\$8,097,654)	(2.66%)
Capital Project Funds							
30000 Metro Operations and Construction	\$36,404,834	\$30,094,697	\$30,943,110	\$31,678,110	\$35,552,943	\$3,874,833	12.23%
30010 General Construction and Contributions	135,103,564	38,938,487	20,537,806	121,858,811	19,818,202	(102,040,609)	(83.74%)
30020 Capital Renewal Construction	47,461,662	13,149,633	15,285,000	50,043,092	0	(50,043,092)	(100.00%)
30030 Library Construction	13,872,511	623,371	0	13,249,140	0	(13,249,140)	(100.00%)
30040 Contributed Roadway Improvement	40,365,503	1,260,907	0	39,262,081	0	(39,262,081)	(100.00%)
30050 Transportation Improvements	105,495,396	11,710,268	0	97,010,584	0	(97,010,584)	(100.00%)
30060 Pedestrian Walkway Improvements	4,276,750	426,919	300,000	4,582,682	100,000	(4,482,682)	(97.82%)
30070 Public Safety Construction	114,633,959	23,925,252	0	90,708,707	0	(90,708,707)	(100.00%)
30080 Commercial Revitalization Program	3,809,160	162,246	0	5,954,914	0	(5,954,914)	(100.00%)
30090 Pro Rata Share Drainage Construction	6,977,884	1,200,027	0	8,564,857	0	(8,564,857)	(100.00%)
30300 The Penny for Affordable Housing	29,092,284	9,845,605	16,502,150	36,276,889	18,298,400	(17,978,489)	(49.56%)
30310 Housing Assistance Program	7,727,170	569,549	0	7,170,788	0	(7,170,788)	(100.00%)
30400 Park Authority Bond Construction	47,317,723	11,657,879	0	39,742,344	0	(39,742,344)	(100.00%)
S31000 Public School Construction	454,014,900	159,057,686	163,072,120	526,283,201	167,844,992	(358,438,209)	(68.11%)
Total Capital Project Funds	\$1,046,553,300	\$302,622,526	\$246,640,186	\$1,072,386,200	\$241,614,537	(\$830,771,663)	(77.47%)
Special Revenue Funds							
40000 County Transit Systems	\$123,325,134	\$88,321,655	\$99,781,260	\$122,398,671	\$94,129,374	(28,269,297)	(23.10%)
40010 County and Regional Transportation Projects	240,584,235	13,821,877	17,734,014	244,615,562	40,347,491	(204,268,071)	(83.51%)
40030 Cable Communications	18,060,107	9,202,107	10,515,599	18,897,794	10,118,668	(8,779,126)	(46.46%)
40040 Fairfax-Falls Church Community Services Board	141,887,642	143,834,934	142,620,028	145,548,947	148,968,799	3,419,852	2.35%
40050 Reston Community Center	9,925,354	8,209,843	8,317,770	9,088,715	8,827,660	(261,055)	(2.87%)
40060 McLean Community Center	6,105,990	5,477,712	6,093,358	6,670,692	5,814,749	(855,943)	(12.83%)
40070 Burgundy Village Community Center	44,326	23,833	44,791	47,159	44,791	(2,368)	(5.02%)
40080 Integrated Pest Management Program	3,107,495	2,243,282	3,084,119	3,119,736	3,099,610	(20,126)	(0.65%)
40090 E-911	49,118,474	37,859,644	39,131,428	49,186,216	38,657,317	(10,528,899)	(21.41%)
40100 Stormwater Services	49,737,209	26,048,771	39,775,000	63,099,063	40,200,000	(22,899,063)	(36.29%)
40110 Dulles Rail Phase I Transportation Improvement District	45,000,000	14,368,331	52,066,583	69,382,082	17,446,663	(51,935,419)	(74.85%)
40120 Dulles Rail Phase II Transportation Improvement District	500,000	5,865	500,000	500,000	500,000	0	0.00%
40130 Leaf Collection	2,404,038	1,780,588	2,546,035	2,546,035	2,308,182	(237,853)	(9.34%)
40140 Refuse Collection and Recycling Operations	22,068,596	19,773,374	22,059,800	23,729,216	22,711,907	(1,017,309)	(4.29%)
40150 Refuse Disposal	54,488,466	48,648,753	53,560,609	55,729,426	51,427,385	(4,302,041)	(7.72%)
40160 Energy Resource Recovery (ERR) Facility	18,988,846	16,809,505	19,667,593	19,667,593	21,451,821	1,784,228	9.07%
40170 I-95 Refuse Disposal	22,541,694	12,156,891	9,900,325	19,690,057	11,637,653	(8,052,404)	(40.90%)
40300 Housing Trust	4,841,856	80,499	451,361	6,820,503	493,420	(6,327,083)	(92.77%)
40330 Elderly Housing Programs	4,948,132	3,998,581	4,219,074	4,744,434	3,321,887	(1,422,547)	(29.98%)
40360 Homeowner and Business Loan Programs	10,066,452	2,163,674	3,910,249	11,813,027	2,431,943	(9,381,084)	(79.41%)
50000 Federal/State Grants	209,788,127	77,172,721	86,811,968	217,030,668	90,980,204	(126,050,464)	(58.08%)
50800 Community Development Block Grant	14,608,812	8,595,911	5,418,429	11,223,944	4,414,224	(6,809,720)	(60.67%)
50810 HOME Investment Partnerships Grant	10,188,569	2,914,671	2,383,767	8,747,006	1,405,283	(7,341,723)	(83.93%)
S10000 Public School Operating ¹	2,331,543,378	2,188,382,816	2,353,308,729	2,500,907,794	2,396,455,453	(104,452,341)	(4.18%)
S40000 Public School Food and Nutrition Services	92,915,497	76,251,961	92,574,259	97,491,934	96,388,598	(1,103,336)	(1.13%)

FY 2014 ADVERTISED EXPENDITURES BY FUND SUMMARY OF APPROPRIATED FUNDS

Fund	FY 2012 Estimate	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
Special Revenue Funds (Cont.)							
S43000 Public School Adult and Community Education	11,233,172	9,981,929	10,840,709	8,815,096	9,009,499	194,403	2.21%
S50000 Public School Grants & Self Supporting Programs ²	93,612,729	63,765,696	68,289,788	97,701,618	69,667,239	(28,034,379)	(28.69%)
Total Special Revenue Funds	\$3,591,634,330	\$2,881,895,424	\$3,155,606,645	\$3,819,212,988	\$3,192,259,820	(\$626,953,168)	(16.42%)
TOTAL GOVERNMENTAL FUNDS	\$6,310,354,503	\$4,755,846,135	\$5,030,256,419	\$6,614,516,919	\$5,067,910,031	(\$1,546,606,888)	(23.38%)
PROPRIETARY FUNDS							
Internal Service Funds							
60000 County Insurance	\$21,792,725	\$20,034,593	\$22,539,885	\$22,539,885	\$23,406,222	\$866,337	3.84%
60010 Department of Vehicle Services	86,430,836	81,605,008	80,774,160	85,494,690	85,862,883	368,193	0.43%
60020 Document Services Division	6,478,178	5,488,677	6,092,037	6,450,444	6,092,037	(358,407)	(5.56%)
60030 Technology Infrastructure Services	30,946,458	29,965,061	34,114,566	36,003,988	31,258,312	(4,745,676)	(13.18%)
60040 Health Benefits	148,472,671	144,358,549	148,713,403	156,387,972	162,993,787	6,605,815	4.22%
S60000 Public School Insurance	23,016,743	13,642,344	16,577,166	26,090,402	23,457,087	(2,633,315)	(10.09%)
S62000 Public School Health and Flexible Benefits	291,721,814	301,421,945	366,325,831	366,803,033	388,590,275	21,787,242	5.94%
S63000 Public School Central Procurement	14,000,000	7,077,785	6,500,000	6,500,000	6,500,000	0	0.00%
Total Internal Service Funds	\$622,859,425	\$603,593,962	\$681,637,048	\$706,270,414	\$728,160,603	\$21,890,189	3.10%
Enterprise Funds							
69010 Sewer Operation and Maintenance	\$91,553,047	\$86,387,099	\$93,920,987	\$97,033,987	\$94,028,095	(\$3,005,892)	(3.10%)
69020 Sewer Bond Parity Debt Service	26,104,805	16,271,905	23,549,186	23,549,186	21,957,307	(1,591,879)	(6.76%)
69040 Sewer Bond Subordinate Debt Service	26,724,284	25,402,327	26,756,645	26,756,645	28,419,768	1,663,123	6.22%
69300 Sewer Construction Improvements	62,017,450	30,259,083	30,000,000	61,758,367	84,489,000	22,730,633	36.81%
69310 Sewer Bond Construction	171,364,116	54,056,177	0	117,307,939	0	(117,307,939)	(100.00%)
Total Enterprise Funds	\$377,763,702	\$212,376,591	\$174,226,818	\$326,406,124	\$228,894,170	(\$97,511,954)	(29.87%)
TOTAL PROPRIETARY FUNDS	\$1,000,623,127	\$815,970,553	\$855,863,866	\$1,032,676,538	\$957,054,773	(\$75,621,765)	(7.32%)
FIDUCIARY FUNDS							
Agency Funds							
70000 Route 28 Taxing District	\$9,800,864	\$9,056,896	\$10,578,633	\$10,604,259	\$10,707,629	\$103,370	0.97%
70040 Mosaic District Community Development Authority	0	0	1,492,499	1,492,499	2,234,996	742,497	49.75%
Total Agency Funds	\$9,800,864	\$9,056,896	\$12,071,132	\$12,096,758	\$12,942,625	\$845,867	6.99%
Trust Funds							
73000 Employees' Retirement Trust	\$228,845,147	\$222,043,918	\$248,800,074	\$248,800,074	\$272,554,840	\$23,754,766	9.55%
73010 Uniformed Employees Retirement Trust	80,654,662	73,711,485	90,433,096	90,433,096	93,244,483	2,811,387	3.11%
73020 Police Retirement Trust	62,721,109	55,740,587	65,909,160	65,909,160	69,717,613	3,808,453	5.78%
73030 OPEB Trust	16,544,085	17,192,995	7,627,316	7,627,316	8,418,275	790,959	10.37%
S71000 Educational Employees' Retirement	180,274,611	172,870,029	190,645,039	186,061,616	195,644,581	9,582,965	5.15%
S71100 Public School OPEB Trust	33,804,500	32,493,002	37,335,500	37,335,500	37,335,500	0	0.00%
Total Trust Funds	\$602,844,114	\$574,052,016	\$640,750,185	\$636,166,762	\$676,915,292	\$40,748,530	6.41%
TOTAL FIDUCIARY FUNDS	\$612,644,978	\$583,108,912	\$652,821,317	\$648,263,520	\$689,857,917	\$41,594,397	6.42%
TOTAL APPROPRIATED FUNDS	\$7,923,622,608	\$6,154,925,600	\$6,538,941,602	\$8,295,456,977	\$6,714,822,721	(\$1,580,634,256)	(19.05%)
Less: Internal Service Funds ³	(\$622,859,425)	(\$603,593,962)	(\$681,637,048)	(\$706,270,414)	(\$728,160,603)	(\$21,890,189)	3.10%
NET EXPENDITURES	\$7,300,763,183	\$5,551,331,638	\$5,857,304,554	\$7,589,186,563	\$5,986,662,118	(\$1,602,524,445)	(21.12%)

¹ Pending School Board approval, FY 2014 expenditures for Fund S10000, Public School Operating, are reduced by \$58,712,642 from the amount shown in the Superintendent's Proposed Budget to offset the discrepancy between the County's proposed Transfer Out from the General Fund to Fund S10000 and the Transfer In from the General Fund reflected in the Superintendent's Proposed Budget. Final adjustments will be reflected at the *FY 2013 Carryover Review*.

² Pending School Board approval, FY 2014 expenditures for Fund S50000, Public School Grants and Self-Supporting Programs, are reduced by \$415,994 from the amount shown in the Superintendent's Proposed Budget to offset the discrepancy between the proposed Transfer Out from Fund 40030, Cable Communications, to Fund S50000 as included in the *FY 2014 Advertised Budget Plan*, and the Transfer In from Fund 40030 reflected in the Superintendent's Proposed Budget. Final adjustments will be reflected at the *FY 2013 Carryover Review*.

³ Total Appropriated Funds Expenditures are reduced by Internal Service Fund Expenditures, as the amounts are already included.

FY 2014 ADVERTISED CHANGES IN FUND BALANCE SUMMARY OF APPROPRIATED FUNDS

Fund	Balance 6/30/11	Balance 6/30/12	Balance 6/30/13	Balance 6/30/14	Appropriated From/(Added to) Surplus
GOVERNMENTAL FUNDS					
General Fund Group					
10001 General Fund	\$236,235,961	\$209,439,502	\$87,940,348	\$87,822,364	\$117,984
10010 Revenue Stabilization	104,642,854	105,295,261	107,973,309	107,973,309	0
10020 Community Funding Pool	282,709	54,164	0	0	0
10030 Contributory Fund	328,254	195,461	95,461	71,680	23,781
10040 Information Technology	30,010,737	33,434,743	191,760	0	191,760
Total General Fund Group	\$371,500,515	\$348,419,131	\$196,200,878	\$195,867,353	\$333,525
Debt Service Funds					
20000 Consolidated Debt Service	\$14,905,001	\$14,761,432	\$0	\$0	\$0
Capital Project Funds					
30000 Metro Operations and Construction	\$10,407,378	\$9,505,414	\$0	\$0	\$0
30010 General Construction and Contributions	21,278,123	60,809,371	0	0	0
30020 Capital Renewal Construction	18,461,662	8,758,092	0	0	0
30030 Library Construction	2,492,511	3,869,140	0	0	0
30040 Contributed Roadway Improvement	40,075,128	38,971,706	0	0	0
30050 Transportation Improvements	10,870,065	18,048,584	0	0	0
30060 Pedestrian Walkway Improvements	608,396	887,089	0	0	0
30070 Public Safety Construction	27,472,230	9,989,573	0	0	0
30080 Commercial Revitalization Program	129,415	1,439,164	0	0	0
30090 Pro Rata Share Drainage Construction	7,567	7,567	0	0	0
30300 The Penny for Affordable Housing	9,423,884	19,774,739	0	0	0
30310 Housing Assistance Program	2,564,708	2,510,159	22,599	22,599	0
30400 Park Authority Bond Construction	3,002,723	4,594,344	0	0	0
S31000 Public School Construction	75,306,105	86,358,314	0	0	0
Total Capital Project Funds	\$222,099,895	\$265,523,256	\$22,599	\$22,599	\$0
Special Revenue Funds					
40000 County Transit Systems	\$29,752,106	\$40,923,773	\$13,471,208	\$12,035,395	\$1,435,813
40010 County and Regional Transportation Projects	57,313,707	66,480,323	0	0	0
40030 Cable Communications	24,288,130	17,936,386	6,282,583	4,003,399	2,279,184
40040 Fairfax-Falls Church Community Services Board	526,828	(2,601,339)	1,000,000	1,000,000	0
40050 Reston Community Center	7,467,169	6,208,582	4,194,109	2,725,706	1,468,403
40060 McLean Community Center	12,584,950	12,095,768	10,464,114	9,670,646	793,468
40070 Burgundy Village Community Center	270,644	300,020	306,636	318,745	(12,109)
40080 Integrated Pest Management Program	3,118,555	2,862,842	1,536,523	150,572	1,385,951
40090 E-911	14,655,780	12,734,688	556,774	409,578	147,196
40100 Stormwater Services	11,986,928	23,243,478	0	0	0
40110 Dulles Rail Phase I Transportation Improvement District	65,391,666	74,087,495	29,122,140	35,682,314	(6,560,174)
40120 Dulles Rail Phase II Transportation Improvement District	3,014,931	9,756,139	20,318,311	34,303,289	(13,984,978)
40130 Leaf Collection	3,214,530	3,448,453	3,027,180	2,833,252	193,928
40140 Refuse Collection and Recycling Operations	11,266,686	11,633,002	8,975,596	6,129,525	2,846,071
40150 Refuse Disposal	15,314,608	15,322,032	7,346,358	5,726,723	1,619,635
40160 Energy Resource Recovery (ERR) Facility	22,395,866	36,396,132	48,622,139	58,546,918	(9,924,779)
40170 I-95 Refuse Disposal	50,912,477	44,911,139	33,224,630	30,625,414	2,599,216
40180 Tysons Service District	0	0	0	5,067,472	(5,067,472)
40300 Housing Trust	4,722,102	6,598,202	229,060	229,060	0
40330 Elderly Housing Programs	1,593,103	1,945,858	1,586,997	1,693,346	(106,349)
40360 Homeowner and Business Loan Programs	3,336,124	3,563,128	3,263,353	3,263,353	0
50000 Federal/State Grants	32,032,208	39,350,468	656,415	656,415	0

FY 2014 ADVERTISED CHANGES IN FUND BALANCE SUMMARY OF APPROPRIATED FUNDS

Fund	Balance 6/30/11	Balance 6/30/12	Balance 6/30/13	Balance 6/30/14	Appropriated From/(Added to) Surplus
Special Revenue Funds (Cont.)					
50800 Community Development Block Grant	721,772	521,944	14,594	14,594	0
50810 HOME Investment Partnerships Grant	378,356	(180,305)	0	0	0
S10000 Public School Operating	260,709,318	259,183,922	68,239,001	2,562,513	65,676,488
S40000 Public School Food and Nutrition Services	18,660,911	18,809,734	0	0	0
S43000 Public School Adult and Community Education	197,748	(974,678)	0	0	0
S50000 Public School Grants and Self Supporting Programs	14,855,343	13,025,450	844,715	0	844,715
Total Special Revenue Funds	\$670,682,546	\$717,582,636	\$263,282,436	\$217,648,229	\$45,634,207
TOTAL GOVERNMENTAL FUNDS	\$1,279,187,957	\$1,346,286,455	\$459,505,913	\$413,538,181	\$45,967,732
PROPRIETARY FUNDS					
Internal Service Funds					
60000 County Insurance	\$42,234,526	\$50,025,612	\$49,398,903	\$49,398,903	\$0
60010 Department of Vehicle Services	40,594,945	39,315,369	29,245,655	23,263,592	5,982,063
60020 Document Services Division	2,188,180	2,064,267	1,401,163	1,096,466	304,697
60030 Technology Infrastructure Services	5,583,372	5,195,024	2,467,073	2,425,833	41,240
60040 Health Benefits	27,388,846	19,681,979	17,782,178	17,800,368	(18,190)
S60000 Public School Insurance	40,900,952	43,759,142	31,750,079	31,750,079	0
S62000 Public School Health and Flexible Benefits	52,012,885	48,736,478	0	0	0
S63000 Public School Central Procurement	223,023	332,650	332,650	332,650	0
Total Internal Service Funds	\$211,126,729	\$209,110,521	\$132,377,701	\$126,067,891	\$6,309,810
Enterprise Funds					
69000 Sewer Revenue	\$96,393,648	\$119,595,619	\$132,436,983	\$115,425,470	\$17,011,513
69010 Sewer Operation and Maintenance	5,640,993	5,253,894	1,969,907	2,141,812	(171,905)
69020 Sewer Bond Parity Debt Service	3,597,208	12,855,263	10,281,077	298,770	9,982,307
69030 Sewer Bond Debt Reserve	16,555,123	16,555,123	26,261,123	26,261,123	0
69040 Sewer Bond Subordinate Debt Service	1,164,990	1,396,287	1,639,642	719,874	919,768
69300 Sewer Construction Improvements	33,017,450	31,758,367	0	0	0
69310 Sewer Bond Construction	47,578,200	3,923,073	30,591	30,591	0
Total Enterprise Funds	\$203,947,612	\$191,337,626	\$172,619,323	\$144,877,640	\$27,741,683
TOTAL PROPRIETARY FUNDS	\$415,074,341	\$400,448,147	\$304,997,024	\$270,945,531	\$34,051,493
FIDUCIARY FUNDS					
Agency Funds					
70000 Route 28 Taxing District	\$35,458	\$25,626	\$0	\$0	\$0
70040 Mosaic District Community Development Authority	0	0	0	0	0
Total Agency Funds	\$35,458	\$25,626	\$0	\$0	\$0
Trust Funds					
73000 Employees' Retirement Trust	\$2,984,863,644	\$3,172,646,122	\$3,302,709,173	\$3,439,307,073	(\$136,597,900)
73010 Uniformed Employees Retirement Trust	1,220,411,972	1,208,858,858	1,276,679,877	1,343,557,386	(66,877,509)
73020 Police Retirement Trust	1,035,145,961	1,017,445,487	1,076,170,734	1,131,808,212	(55,637,478)
73030 OPEB Trust	87,050,168	113,693,810	139,609,727	164,872,992	(25,263,265)
S71000 Educational Employees' Retirement	1,886,988,080	1,827,750,696	1,986,062,963	2,159,727,268	(173,664,305)
S71100 Public School OPEB Trust	40,051,085	49,648,958	64,568,458	79,487,958	(14,919,500)
Total Trust Funds	\$7,254,510,910	\$7,390,043,931	\$7,845,800,932	\$8,318,760,889	(\$472,959,957)
TOTAL FIDUCIARY FUNDS	\$7,254,546,368	\$7,390,069,557	\$7,845,800,932	\$8,318,760,889	(\$472,959,957)
TOTAL APPROPRIATED FUNDS	\$8,948,808,666	\$9,136,804,159	\$8,610,303,869	\$9,003,244,601	(\$392,940,732)



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General Fund Group

Overview

The General Fund Group contains funds which are primarily supported through transfers from the General Fund. Fund 10010, Revenue Stabilization Fund (formerly Fund 002) was established by the Board of Supervisors during deliberations on the *FY 1999 Carryover Review*. Three funds, Fund 10020, Consolidated Community Funding Pool (formerly Fund 118), Fund 10030, Contributory Fund (formerly Fund 119), and Fund 10040, Information Technology Fund (formerly Fund 104) have been moved from the Special Revenue Funds group to the General Fund Group for budgetary display purposes as part of the FY 2014 Advertised Budget Plan.

REVENUE STABILIZATION

This fund provides a mechanism for maintaining a balanced budget without resorting to tax increases and expenditure reductions that aggravate the stresses imposed by the cyclical nature of the economy.

- **Fund 10010 – Revenue Stabilization**

CONSOLIDATED COMMUNITY FUNDING POOL

These grants enable community-based organizations to leverage their existing program funding to provide services that are most appropriately delivered by non-governmental organizations. The Consolidated Community Funding Pool awards grants on a two-year funding cycle to provide increased stability for the community-based organizations.

- **Fund 10020 – Consolidated Community Funding Pool**

CONTRIBUTORY AGENCIES

This fund was established to reflect the General Fund support of contributory agencies. Funding for the County's contribution to various organizations and/or projects is reflected in this fund.

- **Fund 10030 – Contributory Fund**

INFORMATION TECHNOLOGY (IT)

This fund supports the critical role of information technology in improving the County's business processes and customer service, and in recognition of the ongoing investment necessary to achieve such improvements.

- **Fund 10040 – Information Technology**

Fund 10010

Revenue Stabilization

Focus

The Board of Supervisors, during deliberations on the *FY 1999 Carryover Review*, approved the establishment of Fund 10010, Revenue Stabilization Fund (formerly Fund 002). The purpose of this fund is to provide a mechanism for maintaining a balanced budget without resorting to tax increases and expenditure reductions that aggravate the stresses imposed by the cyclical nature of the economy.

The Board of Supervisors established the Reserve under the directive that the Revenue Stabilization Fund (RSF) will not be used as a method of addressing the demand for new or expanded services; it is solely to be used as a financial tool in the event of a significant economic downturn. Therefore, the Board of Supervisors established a policy for utilizing the Revenue Stabilization Fund that identified three specific criteria that must be met in order to make a withdrawal from the Fund:

- Projected revenues reflect a decrease greater than 1.5 percent from the current year estimate;
- Withdrawals from the Fund shall not exceed one-half of the fund balance in any fiscal year; and
- Withdrawals from the Reserve shall be used in combination with spending cuts or other measures.

The Revenue Stabilization Fund has a target balance of 3.0 percent of General Fund disbursements. The Fund shall be separate and distinct from the County's 2.0 percent Managed Reserve, which was initially established in FY 1983. However, the aggregate balance of both reserves shall not exceed 5.0 percent of General Fund disbursements.

The target balance of 3.0 percent of General Fund disbursements was to be accomplished by transferring funds from the General Fund over a multi-year period. The Board of Supervisors determined that a minimum of 40 percent of non-recurring balances identified at quarterly reviews would be transferred to the Revenue Stabilization Fund and the Fund would retain the interest earnings on the balance, and the retention of interest would continue until the Reserve was fully funded. As a result of Board of Supervisors' approved General Fund transfers, along with projected interest earnings, the fund achieved fully funded status in FY 2006 by reaching its target level of 3.0 percent of General Fund disbursements. Based on the projected earnings on the balance in the fund and depending on the average yield for the portfolio, it is anticipated that the fund will remain fully funded by retaining its interest earnings. However, if adjustments to disbursements result in a target level which exceeds the amount of interest projected to be earned by the fund, a General Fund Transfer to this fund would be required to maintain the 3.0 percent of disbursements fully funded target level. Conversely, if the amount of interest projected to be earned by the fund exceeds the amount required to maintain fully funded status, the General Fund will retain the additional interest earnings.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ Carryover Adjustments

The Board of Supervisors approved a General Fund transfer to this fund in the amount of \$1,680,445 in order to maintain the reserve at the required level of 3.0 percent of General Fund disbursements.

Fund 10010 Revenue Stabilization

FUND STATEMENT

Fund 10010, Revenue Stabilization

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$104,642,854	\$104,642,854	\$105,295,261	\$107,973,309
Revenue:				
Interest Earnings ¹	\$652,407	\$997,603	\$997,603	\$0
Total Revenue	\$652,407	\$997,603	\$997,603	\$0
Transfer In:				
General Fund (10001)	\$0	\$0	\$1,680,445	\$0
Total Transfer In	\$0	\$0	\$1,680,445	\$0
Total Available	\$105,295,261	\$105,640,457	\$107,973,309	\$107,973,309
Transfer Out:				
General Fund (10001)	\$0	\$0	\$0	\$0
Total Transfer In	\$0	\$0	\$0	\$0
Total Disbursements	\$0	\$0	\$0	\$0
Ending Balance	\$105,295,261	\$105,640,457	\$107,973,309	\$107,973,309

¹ Based on the anticipated balance in the fund and budgeted County Disbursements in FY 2014, it is anticipated that this fund will need to retain interest earnings in FY 2013 to remain fully funded.

Fund 10020

Consolidated Community Funding Pool

Mission

To provide a pool of funds to be awarded on a competitive basis for human service programs offered by community-based agencies. The Department of Neighborhood and Community Services (DNCS) and Department of Administration for Human Services (DAHS) have oversight responsibility for this funding pool.

Focus

The formation of the Consolidated Community Funding Pool (CCFP) began in FY 1997, when the Board of Supervisors approved the development and implementation of a competitive funding process to fund services best provided by community-based agencies and organizations. These organizations were formerly funded through either a contribution or through a contract with an individual County agency. In accordance with the Board's direction, this process was operational in FY 1998 and was guided by the following goals:

- ◆ Provide support for services that are an integral part of the County's vision and strategic plan for human services;
- ◆ Serve as a catalyst to community-based agencies, both large and small, to provide services and leverage resources;
- ◆ Strengthen the community's capacity to provide human services to individuals and families in need through effective and efficient use of resources; and
- ◆ Help build public/private partnerships and improve coordination, especially within the human services regions of the County.

Fund 10020 (formerly Fund 118) was established in FY 1998 to provide a budget mechanism for this funding process. In FY 2000, Community Development Block Grant (CDBG) funding for community-based organizations was incorporated to form the CCFP.

Prior to FY 2000, the CCFP grant process and the CDBG process were similar activities that operated under different time frames, with separate application requirements and different evaluation criteria. With the December 1997 approval of the Board of Supervisors, these two processes were merged under the title of Consolidated Community Funding Pool. The CCFP is funded from federal CDBG funds for Targeted Public Services and Affordable Housing; federal Community Services Block Grant (CSBG) funds; and local Fairfax County General Funds. Although the process for setting priorities and awarding funds has been consolidated, Fund 10020 contains only the local Fairfax County General Fund and CSBG portion of the funds. The federal CDBG funds remain in Fund 50800, Community Development Block Grant, for grant accounting purposes. It should also be noted that the CSBG funding is not detailed separately from the General Fund Transfer.

The CCFP process reflects significant strides to improve services to County residents and to usher in a new era of strengthened relations between the County and community nonprofit and faith-based organizations. First, all programs funded through this process are required to develop and track program outcome measures. To aid agencies in meeting this requirement, the County has provided several performance measurement training opportunities for staff and volunteers from all interested community-based agencies. Second, the criteria used to evaluate the proposals explicitly encourage agencies to

Fund 10020

Consolidated Community Funding Pool

leverage County funding through strategies such as cash match from other non-County sources, in-kind services from volunteers or contributions from the business community and others. Third, the criteria encourage agencies to develop approaches which build community capacity as well as those that involve residents and the individuals and families in the neighborhoods being served. Fourth, the County facilitates interactions between community-based organizations, the business community, the local community and County staff with the goal of strengthening the community's capacity to provide ongoing services to meet the needs of County residents and to support the development of potential CCFP applicant organizations.

FY 2014 initiatives

- ◆ Continue provision and coordination of relevant training and technical assistance to build community and organizational capacity and expand service delivery to meet the County's human services needs.
- ◆ Continue provision of contract oversight, which includes program activities, service delivery, contractual compliance and financial management to nonprofit recipients of CCFP funds.
- ◆ Promote approaches which build community capacity, leadership and the involvement of residents by, where feasible, serving the population in targeted communities.
- ◆ Review documented service needs and demographic trends while continuing to gather relevant information from public meetings, reports and studies, as well as data from County and nonprofit human service agencies to assist in the identification of service needs and development of future funding priorities.
- ◆ Promote results-based accountability measures to gain insight on the impact our services have on customers and the human services system, and to gauge whether we are achieving our goals.

FY 2014 is the second year of a two-year funding cycle. The Consolidated Community Funding Advisory Committee (CCFAC) has organized the FY 2013/FY 2014 funding priorities according to four areas, and adopted corresponding outcome statements. The CCFAC also recommended, and the Board approved, target percentage ranges for each priority area, which are intended to be used as guidelines for applicants and for the Selection Advisory Committee.

Priority Area	Outcome Statement	Target
Prevention	Families and individuals get help to remain independent and have the tools and resources to prevent future dependence. Communities increase their ability to support their members in preventing dependence.	10 – 20%
Crisis Intervention	Individuals, families, or communities in crisis overcome short-term problems (generally not more than three months) and quickly move back to independence.	15 – 25%
Self-Sufficiency	Families, individuals, neighborhoods, and communities attain self-sufficiency over a period of three months to three years.	45 – 55%

Fund 10020 Consolidated Community Funding Pool

Priority Area	Outcome Statement	Target
Long Term Supportive Services	Individuals who have continuing long-term needs and who therefore may not become self-sufficient, achieve and/ or maintain healthy, safe and independent lives to the maximum extent possible.	10 – 20%

The Department of Neighborhood and Community Services and Department of Administration for Human Services have administrative oversight responsibility for the CCFP. Together with the Fairfax County Department of Housing and Community Development, Department of Family Services, and the Office to Prevent and End Homelessness, they are responsible for planning, implementing and overseeing all facets of the CCFP process. The Department of Administration for Human Services and the Department of Housing and Community Development are responsible for monitoring contract compliance among the funded nonprofit providers.

Recognizing the continuing need for the critical services provided by CCFP contractors to the community, families, and individuals, particularly in the current economic climate, the County Executive proposed to maintain the FY 2014 General Fund transfer at the same level as FY 2013. FY 2014 CDBG funding is projected to be \$1,575,159, a decrease of \$200,420 or 11.3 percent from the FY 2013 Adopted Budget Plan amount of \$1,775,579. Thus the total CCFP FY 2014 funding level is anticipated to be \$11,442,914. A breakdown of this funding is shown in the following table:

Funding Source	FY 2014 Advertised Budget
General Fund Transfer (includes estimated CSBG revenue to General Fund)	\$9,867,755
CDBG (shown in Fund 50800, CDBG)	\$1,575,159
Total CCFP	\$11,442,914

In addition, as part of the Board of Supervisors' FY 2013 Budget Guidance, given the significant changes in Human Services since the CCFP's inception nearly 15 years ago, staff are working with the CCFAC and representatives from the nonprofit community to review the current CCFP funding framework, practices and procedures to ensure they support the strategic human services outcomes and to ensure the County is leveraging community resources for the maximum collective impact on communities and those individuals and families most at risk. Recommended changes will be presented to the Board prior to the start of the next funding cycle in FY 2015.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Operating Expenses	\$9,199,232	\$9,867,755	\$9,921,919	\$9,867,755
Total Expenditures	\$9,199,232	\$9,867,755	\$9,921,919	\$9,867,755

Fund 10020

Consolidated Community Funding Pool

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ FY 2014 funding remains at the same level as the FY 2013 Adopted Budget Plan.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$54,164**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered funding of \$54,164 in Operating Expenses to complete and settle all FY 2012 contracts.

Fund 10020

Consolidated Community Funding Pool

FUND STATEMENT

Fund 10020, Consolidated Community Funding Pool

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$282,709	\$0	\$54,164	\$0
Transfer In:				
General Fund (10001)	\$8,970,687	\$9,867,755	\$9,867,755	\$9,867,755
Total Transfer In	\$8,970,687	\$9,867,755	\$9,867,755	\$9,867,755
Total Available	\$9,253,396	\$9,867,755	\$9,921,919	\$9,867,755
Expenditures:				
Operating Expenses	\$9,199,232	\$9,867,755	\$9,921,919	\$9,867,755
Total Expenditures	\$9,199,232	\$9,867,755	\$9,921,919	\$9,867,755
Total Disbursements	\$9,199,232	\$9,867,755	\$9,921,919	\$9,867,755
Ending Balance¹	\$54,164	\$0	\$0	\$0

¹The FY 2013 Ending Balance decreases by more than 10 percent due to the projected expenditure of carryover funds to complete and settle all Consolidated Community Funding Pool (CCFP) contracts.

Fund 10030 Contributory Fund

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Operating Expenses	\$14,745,735	\$15,733,588	\$15,783,588	\$13,389,756
Total Expenditures	\$14,745,735	\$15,733,588	\$15,783,588	\$13,389,756

Contributory Overview

Fund 10030 (formerly Fund 119), Contributory Fund, was established in FY 2001 to reflect General Fund support for agencies or organizations that receive County contributions. FY 2014 funding totals \$13,389,756 and reflects a decrease of \$2,343,832 or 14.9 percent from the FY 2013 Adopted Budget Plan funding level of \$15,733,588. The required Transfer In from the General Fund is \$13,365,975. Individual contributions are described in detail on the following pages.

Contributory funding is in compliance with the Board of Supervisors' policy to make General Fund appropriations of specified amounts to various nonsectarian, nonprofit or quasi-governmental entities for the purpose of promoting the general health and welfare of the community. Contributory agency positions are not part of the County merit system and funding for all contributory agencies is reviewed annually. Each request is reviewed on the basis of the benefit to Fairfax County citizens, contractual or regional commitments, the responsibilities of state agencies, and a prior County commitment of funding. When appropriate, a nonprofit agency that provides specific contractual partnership services may be referred to Fund 10020, Community Based Agency Funding Pool, for funding consideration by the Consolidated Community Funding Advisory Committee.

Since public funds are being appropriated, disbursements provided to designated agencies are currently made contingent upon submission and review of quarterly, semiannual and/or annual reports. This oversight activity includes reporting requirements prescribed by the County Executive, requiring designated agencies to accurately describe the level and quality of services provided to County residents, as well as the overall financial strength and stability of the County's contributory agencies. Various County agencies may be tasked with oversight of program reporting requirements. Contributory agencies that do not file reports as requested, may, at the discretion of the County Executive, have payments withheld until appropriate reports are filed and reviewed.

It should be noted that population is used by several of the organizations as the basis for their requests for FY 2014 funding from Fairfax County. The population figures cited by the individual organizations for Fairfax County may differ somewhat from one another due to the particular projection service utilized.

The chart on the following pages summarizes the FY 2014 funding for the various contributory organizations.

Fund 10030 Contributory Fund

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Legislative-Executive Functions/Central Service Agencies:				
Alliance for Innovation	\$6,000	\$6,000	\$6,000	\$0
Dulles Area Transportation Association	9,000	9,000	9,000	15,000
Metropolitan Washington Council of Governments	889,890	899,965	899,965	939,972
National Association of Counties	19,049	19,049	19,049	21,635
Northern Virginia Regional Commission	593,534	623,862	623,862	631,073
Northern Virginia Transportation Commission	174,499	169,504	169,504	173,465
Virginia Association of Counties	237,980	242,740	242,740	245,950
Virginia Institute of Government	20,000	20,000	20,000	0
Washington Airports Task Force	50,000	50,000	50,000	50,000
Subtotal Legislative-Executive	\$1,999,952	\$2,040,120	\$2,040,120	\$2,077,095
Public Safety:				
Fairfax Partnership For Youth	\$40,375	\$40,350	\$40,350	\$40,350
NOVARIS	9,668	9,577	9,577	9,577
Subtotal Public Safety	\$50,043	\$49,927	\$49,927	\$49,927
Health and Welfare:				
Health Systems Agency of Northern Virginia	86,750	108,200	108,200	108,200
Medical Care for Children	237,000	237,000	237,000	213,300
Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence	2,165,918	2,447,789	2,497,789	2,467,960
Volunteer Fairfax	305,247	305,247	305,247	305,247
Subtotal Health and Welfare	\$2,794,915	\$3,098,236	\$3,148,236	\$3,094,707
Parks, Recreation and Cultural:				
Arts Council of Fairfax County	\$231,694	\$281,694	\$281,694	\$281,694
Arts Council of Fairfax County - Arts Groups Grants	96,900	96,900	96,900	96,900
Challenge Grant Funding Pool for the Arts	444,125	444,125	444,125	444,125
Dulles Air and Space Museum	100,000	100,000	100,000	100,000
Fairfax Symphony Orchestra	236,032	261,032	261,032	261,032
Fort Belvoir Army Museum	100,000	100,000	100,000	100,000
Lorton Arts Foundation	2,950,000	3,350,000	3,350,000	750,000
Northern Virginia Regional Park Authority	1,979,537	1,979,537	1,979,537	2,080,308
Reston Historic Trust	16,150	16,150	16,150	16,150
Town of Herndon	40,000	40,000	40,000	40,000
Town of Vienna Teen Center	32,300	32,300	32,300	32,300
Wolf Trap Foundation for the Performing Arts	100,938	125,938	125,938	125,938
Subtotal Parks, Recreation & Cultural	\$6,327,676	\$6,827,676	\$6,827,676	\$4,328,447

Fund 10030 Contributory Fund

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Community Development:				
Architectural Review Board	\$2,826	\$2,826	\$2,826	\$2,826
Commission for Women	6,916	6,916	6,916	6,916
Convention and Visitors Corporation	2,507,644	2,608,344	2,608,344	2,730,901
Earth Sangha	16,150	16,150	16,150	16,150
Fairfax 2015 World Police and Fire Games	250,000	250,000	250,000	250,000
Fairfax County History Commission	21,013	21,013	21,013	21,013
Fairfax ReLeaf	41,990	41,990	41,990	41,990
Greater Reston Incubator	24,225	24,225	24,225	24,225
Northern Virginia 4-H Education Center	0	10,000	10,000	10,000
Northern Virginia Community College	89,856	90,636	90,636	90,030
Northern Virginia Conservation Trust	227,753	227,753	227,753	227,753
OpenDoor Housing Fund	31,776	31,776	31,776	31,776
Southeast Fairfax Development Corporation	183,320	183,320	183,320	183,320
VPI/UVA Education Center	50,000	50,000	50,000	50,000
Women's Center of Northern Virginia	27,023	27,023	27,023	27,023
Subtotal Community Development	\$3,480,492	\$3,591,972	\$3,591,972	\$3,713,923
Nondepartmental:				
Employee Advisory Council	\$0	\$33,000	\$33,000	\$33,000
Fairfax Public Law Library	92,657	92,657	92,657	92,657
Subtotal Nondepartmental	\$92,657	\$125,657	\$125,657	\$125,657
Total County Contributions	\$14,745,735	\$15,733,588	\$15,783,588	\$13,389,756

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

◆ **FY 2014 Baseline Adjustments** (\$2,317,832)

A net decrease of \$2,317,832 reflects adjustments associated with contributions based on legal requirements, per capita calculations, contractual or regional commitments or based on membership dues. The following summaries describe these adjustments in more detail by program area.

The **Legislative-Executive Functions/Central Service Agencies** program area increases \$62,975 or 3.1 percent for several organizations based on per capita requirements and adjusted County population figures for which population is cited and used in the calculation. This increase includes \$40,007 or 4.4 percent for the Metropolitan Washington Council of Governments (COG) due to an increase in the FY 2014 per capita rate and increased Water Resource Planning and Environmental Fund contributions, \$7,211 or 1.2 percent for the Northern Virginia Regional Commission (NVRC) due to an increase in County population, \$6,000 or 66.7 percent for the Dulles Area Transportation Association (DATA) due to increased transportation related requirements, \$3,961 or 2.3 percent for Northern Virginia Transportation Commission (NVTC) based on the share of revenue to be received by NVTC on behalf of the County (calculation based on state statute), \$3,210 or 1.3 percent for the Virginia Association of Counties (VACo) due to an increase in Fairfax County's population, and \$2,586 or 13.6 percent for the National Association of Counties based on the new 2010 Census population figures for Fairfax County and a per capita rate of \$0.02, down from \$0.02136. It should be noted that population, as determined by the County's Department of Neighborhood and Community

Fund 10030 Contributory Fund

Services, may differ from other particular projection services, e.g., Weldon Cooper Center for Public Service, used by various contributory agencies as the basis for their contributions. It should be noted that offsetting the increase in this area is a decrease of \$26,000, which is reflected in the reduction section below.

The **Public Safety** program area remains at the FY 2013 level.

The **Health and Welfare** program area decreases \$3,529 or 0.1 percent due to an increase of \$20,171 or 0.8 percent for the Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence, known collectively as Birmingham Green. The increase for Birmingham Green is based on actual costs and utilization rates at the facility. This increase is offset by a decrease of \$23,700 or 10.0 percent for the Medical Care for Children Partnership (MCCP) based on a revised agreement with the organization which is designed to reduce MCCP's reliance on County financial support over the next several years.

The **Parks, Recreation and Cultural** program area decreases \$2,499,229 mainly due to a decrease of \$2,600,000 for the Lorton Arts Foundation (LAF). This decrease is partially offset by an increase of \$100,771 or 5.1 percent for the Northern Virginia Regional Park Authority (NVRPA) based on a per capita rate of \$1.89, down from \$2.0626 in FY 2013 and updated population estimates.

The **Community Development** program area increases \$121,951 or 3.4 percent due to an increase of \$122,557 or 3.4 percent for the Convention and Visitors Corporation based on projected Transient Occupancy Tax revenue in FY 2014 and funding for the 150th Civil War Anniversary. This increase is partially offset by a decrease of \$606 for the Northern Virginia Community College (NVCC) based on population changes within participating jurisdictions.

The **Nondepartmental** program area remains at the FY 2013 level.

◆ **Reductions** (\$26,000)

A decrease of \$26,000 reflects the following reductions utilized to balance the FY 2014 budget:

Title	Impact	Posn	FTE	Reduction
Suspend the Virginia Institute of Government and Alliance for Innovation Memberships	The reduction will result in the suspension of the Virginia Institute of Government and Alliance for Innovation memberships in FY 2014 and FY 2015.	0	0.00	\$26,000

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments** \$50,000

As part of the *FY 2012 Carryover Review*, the Board of Supervisors appropriated unexpended funding of \$50,000 for the Birmingham Green nursing home and assisted living facility. This funding is for a feasibility study to support the long-term care services provided at Birmingham Green.

Fund 10030 Contributory Fund

The following pages provide background information and summary budget data for organizations receiving FY 2014 contributory funding.

FY 2014 Contributions

Legislative-Executive Functions/Central Service Agencies:

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Alliance for Innovation	\$6,000	\$6,000	\$6,000	\$0

The Alliance for Innovation – formerly known as the Virginia Innovation Group – is part of the Innovation Groups (IG), a network of local government professionals seeking innovation and governmental excellence. The International City/County Management Association, IG and Arizona State University founded the Alliance for Innovation to assist local governments across the country by identifying the major forces that will drive local government in the future; responding to those forces by identifying and accelerating innovations; identifying and documenting best practices; and reducing the time from when an innovation is identified to when it becomes practice.

No funding is included in FY 2014 as Fairfax County will suspend its membership with the Alliance for Innovation due to budget reductions required to balance the FY 2014 budget.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Dulles Area Transportation Association	\$9,000	\$9,000	\$9,000	\$15,000

The Dulles Area Transportation Association (DATA) is a public-private, nonprofit, 501(c) (3) tax exempt transportation management association dedicated to improving transportation in a 150-square mile area around Dulles Airport including the Route 28, Route 50, Route 7 and Dulles Corridor (the Greater Dulles Area). Its membership is comprised of elected officials of the Commonwealth of Virginia, Fairfax County, Loudoun County, and the towns of Herndon and Leesburg; senior executives of the Metropolitan Washington Area Airports (MWAA) and the Washington Metropolitan Area Transit Authority (WMATA); and other employer firms, property owners and business professionals, with membership open to all. DATA currently has over 100 members; 50 are dues-paying individual corporations and businesses, 9 are dues-paying governmental or quasi-governmental organizations, and the remainder are non-paying local representatives to the General Assembly, representatives of citizen associations, and affiliate members (e.g., Fairfax County Chamber of Commerce), none of whom are obligated to pay dues but allow similar memberships in their organizations.

DATA provides a neutral public forum for identifying transportation needs within the Greater Dulles Area, as well as generating solutions to meet them. DATA plans and conducts transportation seminars in support of efforts to improve transportation in the greater Dulles area in conjunction with regional members of the Commonwealth Transportation Board and other local governing bodies. Other programs emphasize congestion management and mobility approaches including heavy and light rail, bus rapid transit, and highway improvements and the effects of greenhouse gases and climate change will be explored further. DATA staff also works with the County's Department of Transportation to execute

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targeted projects aimed at raising employer and citizen awareness of the challenges and possible solutions to traffic congestion in the region.

The FY 2014 Fairfax County funding amount for the Dulles Areas Transportation Association is \$15,000, an increase of \$6,000 or 66.7 percent over the FY 2013 Adopted Budget Plan of \$9,000. This additional funding will help meet match requirements in order to maximize grant funding for new transportation programs.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Metropolitan Washington Council of Governments	\$889,890	\$899,965	\$899,965	\$939,972

The Metropolitan Washington Council of Governments (COG) is the regional planning organization of the Washington, D.C. area's local governments. COG works toward solutions to regional problems such as transportation, affordable housing, emergency preparedness and environmental issues. Currently, 22 area jurisdictions are members, including Fairfax County. Funding for COG is provided through federal and state grants, special contributions (fees for services) and local government contributions.

Annual COG contributions are based on the per capita rate multiplied by the population estimates provided by member jurisdictions. The FY 2014 per capita rate is \$0.70, up from the FY 2013 rate of \$0.67.

The FY 2014 Administrative Contribution totals \$763,363, an increase of \$36,350 or 5.0 percent over the FY 2013 Adopted Budget Plan of \$727,013. COG calculates each jurisdiction's share based on the region's estimated population. In addition to the Administrative Contribution of \$763,363 and Special Contributions of \$176,609 (\$139,703 for the Regional Environmental Fund and \$36,906 for Water Resources), for a total Fund 10030 contribution of \$939,972, an amount of \$13,997 is budgeted in Fund 40171, I-95 Solid Waste Disposal, and \$258,124 (\$226,705 for Water Resource Planning and \$31,419 for Blue Plains Users) is budgeted in Fund 69010, Sewer Bond Operations and Maintenance. The total FY 2014 County contribution to COG is \$1,212,093.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
National Association of Counties	\$19,049	\$19,049	\$19,049	\$21,635

The National Association of Counties (NACo) is an organization that represents and informs participating governments of current developments and policies that affect services and operations. NACo acts as a liaison with other levels of government, works to improve public understanding of counties, serves as a national advocate for counties and provides them with resources to find innovative methods to meet the challenges they face. NACo is involved in a number of special projects that deal with issues such as homeland security, energy, environment, housing and land use, among others.

An amount of \$21,635 is included for FY 2014 dues, which is an increase of \$2,586 or 13.6 percent over the FY 2013 Adopted Budget Plan contribution of \$19,049.

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Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Northern Virginia Regional Commission	\$593,534	\$623,862	\$623,862	\$631,073

The Northern Virginia Regional Commission (NVRC) is a regional council of local governments in Northern Virginia created in 1969 pursuant to the Virginia Area Development Act and a regionally-executed charter. In 1995, the Virginia Area Development Act was amended and renamed the Regional Cooperation Act. It sets forth the purpose of planning district commissions as follows: "...to encourage and facilitate local government cooperation in addressing, on a regional basis, problems of greater than local significance. The cooperation resulting from this Act is intended to assist local governments in meeting their own problems by enhancing their abilities to recognize and analyze regional opportunities and take account of regional influences in planning and implementing their public policies and services."

NVRC's policies and programs are established by a 25-member Board of Commissioners composed entirely of elected council and board members of NVRC's 14 member localities. The work of the Commission is supported in part by contributions from the member local governments and by appropriations from the Virginia General Assembly.

NVRC serves as a neutral forum for decision-making; provides member governments with the information and analyses necessary to make sound local and regionally beneficial decisions; provides professional and technical services to enable member governments to plan for their future individually and as a region; and carries out programs and functions at the request of member governments to supplement their own capacities or to achieve economies of scale through regional approaches. NVRC's services are divided into regional policy programs such as the legislative program; demographics and information services; environmental and land use; and human services programs.

The total FY 2014 Fairfax County contribution is \$631,073, an increase of \$7,211 or 1.2 percent over the FY 2013 contribution of \$623,862. This amount provides for the annual contribution of \$559,867, as well as special contributions of \$42,072 to support the Occoquan Watershed Management Program, \$9,518 for the Northern Virginia Waste Management Program and \$19,616 for the Four-Mile Run Watershed Management Program. The FY 2014 per capita rate of \$0.53 is unchanged from FY 2013. As a result, the increase in the County's contribution is attributable to an increase in County population based on population estimates generated by the Weldon Cooper Center for Public Service.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Northern Virginia Transportation Commission	\$174,499	\$169,504	\$169,504	\$173,465

The Northern Virginia Transportation Commission (NVTC) is the executive agency of the Northern Virginia Transportation District. It was established by state statute as a political subdivision of the Commonwealth of Virginia. The principal business activity of the Commission is to manage and control the functions, affairs and property of the Northern Virginia Transportation District, as defined in the Transportation Act of 1964. It represents its constituent jurisdictions (Alexandria, Falls Church, Fairfax City, Arlington County, Fairfax County and Loudoun County) on the Metro Board.

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Each NVTC jurisdiction is assigned a percentage of the local portion of NVTC's administrative budget based on the jurisdiction's share of state aid received by NVTC in the previous year. This is determined by the application of a subsidy allocation model that projects the total amount of state aid received by the region and local jurisdictions. This model contains seven formulas including such variables as Metro construction costs, Metrorail service costs, ridership volume and population. These calculated percentages for each jurisdiction are applied to NVTC's remaining administrative budget after other revenue sources such as state aid, interest earned and project chargebacks have been applied.

The NVTC projected expenditure base for FY 2014 is \$1,213,300, an increase of 1.6 percent over the FY 2013 budget. Based on its share of revenue received by NVTC on behalf of Fairfax County, the total FY 2014 County contribution is \$173,465, an increase of \$3,961 or 2.3 percent over the FY 2013 Adopted Budget Plan contribution of \$169,504.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Virginia Association of Counties	\$237,980	\$242,740	\$242,740	\$245,950

The Virginia Association of Counties (VACo) is an organization dedicated to improving County government in the Commonwealth of Virginia. To accomplish this goal, the association represents Virginia counties regarding state legislation that would have an impact on them. The association also provides conferences, publications and programs designed to improve county government and to keep county officials informed about recent developments in the state, as well as across the nation.

The FY 2014 Fairfax County contribution to VACo is \$245,950, an increase of \$3,210 or 1.3 percent over the FY 2013 Adopted Budget Plan of \$242,740. The FY 2014 per capita rate is projected to remain at \$0.22 for member contributions and is subject to final FY 2014 budget approval by VACo's governing board.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Virginia Institute of Government	\$20,000	\$20,000	\$20,000	\$0

The Virginia Institute of Government is an ongoing informal gathering of organizational development staff from Virginia localities established to exchange ideas and strategies for developing high-performance governments and to help the Institute identify areas of needed assistance. Work products of the Virginia Institute of Government encompass four main areas: training, technical assistance, electronic information services, and select research projects. The Institute also provides staff support to certain state legislative and study committees.

No funding is included in FY 2014 as Fairfax County will suspend its membership with the Virginia Institute of Government due to reductions required to balance the FY 2014 budget.

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Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Washington Airports Task Force	\$50,000	\$50,000	\$50,000	\$50,000

The Commonwealth of Virginia, Fairfax County, the private sector and other local governments support the Washington Airports Task Force. Its purpose is to develop markets, as well as promote domestic and foreign usage of the Metropolitan Washington Airports. It has yielded hundreds of millions of dollars in economic return for the Washington region and the Commonwealth of Virginia, including investment, tourism income, trade opportunities and jobs. Both Dulles and National Airports continue their significant impact on Fairfax County's economy.

The FY 2014 Fairfax County funding is \$50,000, which is consistent with the FY 2013 Adopted Budget Plan. The contribution will be used to maintain a comprehensive, proactive marketing and sales program to promote the region's air service opportunities to the world's airlines and other air service providers; encourage improvement of airport access; ensure adequate Air Traffic Control, Homeland Security and Customs support services from the federal government; and support the Metropolitan Washington Airports Authority's Capital Development.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Subtotal Legislative-Executive	\$1,999,952	\$2,040,120	\$2,040,120	\$2,077,095

Public Safety:

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Fairfax Partnership For Youth	\$40,375	\$40,350	\$40,350	\$40,350

The Fairfax Partnership for Youth was created in 1997 as an outgrowth of the Community Initiative to Reduce Youth Violence (CIRYV). Its mission is to bring the community together to reduce youth violence and promote positive youth development. This agency seeks to reduce youth violence by facilitating a forum for public and private providers to collaborate, evaluate and create programs, activities and services to better integrate activities, fill gaps and avoid duplication of efforts in the provision of services to youth in the community.

Among the types of initiatives undertaken by the Fairfax Partnership for Youth are coordination of the Fairfax Mentoring Partnership; provision of the Support on Suspension (S.O.S.) effort, a voluntary community-based program designed to provide students in grades 6-12 with an opportunity to stay abreast of academic work while out of school due to suspension; the Fairfax County After-School Network for middle school-aged youth to minimize involvement in violence or other risky behaviors; assistance to the County on youth survey analysis; youth services information to provide the community with needed resources; advocacy on youth issues; and the Youth Suicide and Depression Prevention Task Force to study and reduce risk factors for young people.

The Fairfax County contribution for FY 2014 is \$40,350, which is consistent with the FY 2013 Adopted Budget Plan.

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Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
NOVARIS	\$9,668	\$9,577	\$9,577	\$9,577

The Northern Virginia Regional Identification System (NOVARIS) utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. It enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington Metropolitan area by comparing the print or partial print with all prints in the database.

Participating Washington metropolitan area jurisdictions share costs associated with NOVARIS based on the sworn police population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997. As of FY 2008, Montgomery and Prince George's counties no longer participate in NOVARIS as those jurisdictions have joined a Maryland regional fingerprint system. However, Loudoun County and the Virginia State Police joined NOVARIS in FY 2008. The system is housed in Fairfax County and is staffed by personnel contributed by the participating jurisdictions. Fairfax County exercises a fiduciary responsibility for the financial management and operation of NOVARIS, with the County contribution made through the Contributory Fund.

The total Fairfax County FY 2014 funding is \$9,577, which is consistent with the FY 2013 Adopted Budget Plan. The contribution consists of the County's annual share of costs associated with operations and upgrades of NOVARIS.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Subtotal Public Safety	\$50,043	\$49,927	\$49,927	\$49,927

Health and Welfare:

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Health Systems Agency of Northern Virginia	\$86,750	\$108,200	\$108,200	\$108,200

The Health Systems Agency (HSA) of Northern Virginia is a regional body charged with coordinating and improving the health care system for Northern Virginia. To accomplish this, the agency establishes short-term objectives and long-range goals, as well as prepares annual implementation plans. In addition, HSA promotes and assists in community-oriented planning among and within local health care systems, documents and evaluates the need for new services in the region, and reviews health service and facility capital expenditure proposals subject to certificate of public need regulation filed by health service provider organizations in the region. Member jurisdictions include the counties of Fairfax, Arlington, Loudoun and Prince William, as well as the cities of Fairfax, Alexandria, Manassas and Falls Church. Funding contributions to HSA from local jurisdictions are encouraged but are not required.

The FY 2014 funding amount for the Health Systems Agency is \$108,200, which is consistent with the FY 2013 Adopted Budget Plan. The contribution is based on a per capita rate of \$0.10 and Fairfax County's 2010 Census population figures. In FY 2014, revenue of \$333,731 is projected to be received from

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four sources: grants, contributions and contracts, \$80,600 or 24.3 percent; local government contributions, \$210,398 or 63.1 percent; fees, \$41,000 or 12.4 percent; and interest earnings and miscellaneous income of \$1,733 or 0.2 percent. Fairfax County is the largest local government contributor in FY 2014, providing \$108,200 or 51.4 percent of the support received from the local government units.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Medical Care for Children	\$237,000	\$237,000	\$237,000	\$213,300

The Medical Care for Children Partnership (MCCP) program provides medical and dental care to children of the working poor in Fairfax County. In January 2009, members of the Medical Care for Children Advisory Council and private citizens concerned about health care for children in Fairfax County formed the Medical Care for Children Partnership which is dedicated to conducting fundraising support on behalf of the County for the care of uninsured children in Fairfax County.

MCCP receives funding from Fairfax County as its sole local government source. The Fairfax County FY 2014 funding amount is \$213,300, which is a decrease of \$23,700 or 10.0 percent from the FY 2013 Adopted Budget Plan contribution of \$237,000. This decrease is based on a revised agreement between the County and the organization which is designed to reduce MCCP's reliance on County financial support over the next several years.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence	\$2,165,918	\$2,447,789	\$2,497,789	\$2,467,960

Birmingham Green, a collective name, was founded in 1927 as a District Home under legislation passed in 1918 by the General Assembly. The District Home legislation encouraged jurisdictions to join together to establish facilities for indigent persons who need a permanent home and also require assistance with daily living activities. Fairfax was one of five jurisdictions that agreed to participate in the District Home in Manassas.

The property, which is located on 54 acres, includes an original building from 1927, a 180-bed nursing facility, and two joint apartment-type buildings for 92 assisted living residents. The counties of Fairfax, Fauquier, Loudoun and Prince William, as well as the City of Alexandria established the Northern Virginia Healthcare Center Commission in 1987. Each jurisdiction is represented by a member on the Commission.

The present nursing home, Birmingham Green Healthcare Facility, opened in May 1991. The nursing facility accepts residents who are eligible for long-term care Medicaid and who are referred by the five participating jurisdictions. In Fairfax, social workers from the Department of Family Services screen and refer eligible individuals. A few persons are admitted for only rehabilitation and their care is paid for by Medicare or private insurance. For diversification of funding, but in keeping with the mission of serving indigent persons, a limited number of persons who pay privately are admitted.

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The old District Home, a licensed assisted living facility, adjacent to the nursing facility, now accepts private pay residents with moderate incomes. The District Home continues to operate under the auspices of the Commission. This facility provides room and board, along with assistance in activities of daily living for older adults and adults with disabilities.

Willow Oaks, a 92-unit licensed assisted living facility replaced the original 64-bed District Home in 2008. Funding for the new facility was primarily provided through the U.S. Department of Housing and Urban Development. As with the nursing facility, individuals are referred by the five participating jurisdictions. To be admitted, individuals must be eligible for auxiliary grants, which supplement the individuals' incomes. Medicaid provides for needed medical care.

Operating costs for Birmingham Green are partially covered through the Medicaid and General Relief programs at the maximum rates established by the state. To the degree that these funds, along with some additional funds from Medicare, other insurance, and private pay, are inadequate to cover the full costs of the operation of the facility, the sponsoring jurisdictions then subsidize Birmingham Green on a user formula basis. Each jurisdiction pays for Personnel Services and Operating Expenses at a level proportionate to the number of the jurisdiction's residents.

As part of the *FY 2012 Carryover Review*, funding of \$50,000 was carried over and appropriated from fund balance to support a feasibility study to support the long-term care services provided by the facility. The feasibility study will assess opportunities to both improve and expand services and maximize operating reimbursements. Fairfax County provides a large portion of the costs of supporting this facility and opportunities to maximize efficiencies may reduce future County requirements.

The total FY 2014 Fairfax County funding for these facilities is \$2,467,960, an increase of \$20,171 or 0.8 percent over the FY 2013 Adopted Budget Plan contribution of \$2,447,789. This increase is based on actual costs and utilization rates at the facility.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Volunteer Fairfax	\$305,247	\$305,247	\$305,247	\$305,247

Volunteer Fairfax is a private, nonprofit corporation created in 1975 and incorporated in the Commonwealth of Virginia. The center promotes volunteerism through a network of over 1,024 nonprofit and public agencies by mobilizing people and other resources to improve the community. Its primary goals are: to assist private nonprofit and public agencies in developing strong, efficiently managed organizations and volunteer programs; to increase corporate and citizens' direct involvement in the community; to provide programs and services through partnerships that contribute to the resolution of community issues; and to increase the public's awareness of both the need for and the benefits of volunteer service to the community. The scope of the center's work also includes active participation in emergency preparedness activities and coordination through its support of the Citizen Corps, the County's Emergency Management Coordinating Council and Emergency Operations Center, the Northern Virginia Voluntary Organizations Active in Disaster, and the Metro Coalition of Volunteer Centers.

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The center receives funding from Fairfax County as its sole local government source. In addition to the annual contribution, Fairfax County provides in-kind office space to the center. The Fairfax County FY 2014 funding amount is \$305,247, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Subtotal Health and Welfare	\$2,794,915	\$3,098,236	\$3,148,236	\$3,094,707

Parks, Recreation and Cultural:

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Arts Council of Fairfax County	\$231,694	\$281,694	\$281,694	\$281,694

Established in 1964, the Arts Council of Fairfax County is a private, nonprofit organization whose goals are to encourage, coordinate, develop and meet the needs of County residents and organizations for cultural programs. It develops and maintains a broad range of visual and performing arts programs designed to contribute to the growth of an integrated area-wide cultural community. It also supports and encourages the development of local artists and organizations by providing opportunities to reach new audiences through participation in Arts Council-sponsored activities. In FY 2014, the Arts Council will continue the planning and implementation of the County's Master Arts Plan. The FY 2014 Fairfax County funding is \$281,694 which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Arts Council of Fairfax County - Arts Groups Grants	\$96,900	\$96,900	\$96,900	\$96,900

In 1980, the Arts Council Advisory Panel was established to institute a grant system for County arts organizations. The Advisory Panel is the official entity established by the Arts Council for evaluating and ranking all art requests for funds, support services and facilities support from the Fairfax County government. This panel reviews all applications from local arts organizations, and based on eligibility and evaluating criteria, makes recommendations to the County Board of Supervisors for approving grants. It also encourages County arts organizations to seek contributions from a wide range of sources.

The total FY 2014 funding included for the Arts Council of Fairfax County - Arts Groups Grants is \$96,900, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Challenge Grant Funding Pool for the Arts	\$444,125	\$444,125	\$444,125	\$444,125

The Challenge Grant Funding Pool for the Arts was established in FY 2007 by the Board of Supervisors and is administered by the Council on the Arts. Funds are to be used on a competitive basis by community arts organizations, with no more than \$50,000 to support administrative costs of the Arts Council of Fairfax County.

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The Challenge Grant Funding Pool is intended as a means to further leverage private funding and enable the arts to continue to flourish in the County. The grants are intended to leverage private funds by requiring a 2:1 dollar match. Funding is intended to support both arts in public spaces and the performing arts.

The total FY 2014 funding included for the Challenge Grant Funding Pool for the Arts is \$444,125, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Dulles Air and Space Museum	\$100,000	\$100,000	\$100,000	\$100,000

The Udvar-Hazy Center of the Smithsonian Institute's Dulles Air and Space Museum serves more than 1,000,000 people annually and since the museum opened in December 2003, over 9.4 million people have visited.

Education is a vital part of the mission of the Center. There are classrooms and expanded programs for educators and students, particularly those in Fairfax County. The goal is to teach young people about America's aviation and space heritage, and emphasize the importance of technology.

The FY 2014 funding included for the Dulles Air and Space Museum is \$100,000, which is consistent with the FY 2013 Adopted Budget Plan. Previous County contributions supported the construction of Phase II of the Center, which includes the Restoration Hangar, the Archives and Collections Processing Center, and the Collections Storage area. The FY 2014 contribution will help to ensure the sustainability and success of the work performed by the Center.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Fairfax Symphony Orchestra	\$236,032	\$261,032	\$261,032	\$261,032

The Fairfax Symphony Orchestra (FSO) is a nonprofit organization chartered by the Virginia State Commission in 1966. A mixture of public and private contributions supports the orchestra. The FSO provides County residents with the opportunity to hear and learn about symphonic and ensemble music. The orchestra sponsors a variety of programs, including its own concert series, programs in the public schools, master classes for young music students, chamber orchestra for young adults, and the special music collection in the Fairfax County Public Library.

The County's contribution to the FSO supports all facets of the orchestra – Masterworks concerts, educational outreach and special concerts. County support in FY 2014 will allow the orchestra to continue its valuable partnership with the Fairfax County Public Schools and the Fairfax County Park Authority to provide music literacy and outreach programs. FSO will continue to expand its Symphony Creating Outreach Resources for Educators (SCORE) program, an interactive and flexible program serving elementary, middle and high school band and orchestra students in Fairfax County Public Schools. In addition, FSO will continue to perform free events at County parks and historic sites.

The FY 2014 funding included for the Fairfax Symphony Orchestra is \$261,032, which is consistent with the FY 2013 Adopted Budget Plan.

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Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Fort Belvoir Army Museum	\$100,000	\$100,000	\$100,000	\$100,000

Since FY 2005, the Board of Supervisors has provided funding to support construction of the U.S. Army Museum at Fort Belvoir in the southeastern part of Fairfax County. The capital campaign to raise \$200 million in private funds has been underway, managed by the Army Historical Foundation, a nonprofit organization dedicated to preserving the Army's heritage. The museum is expected to draw approximately 740,000 visitors annually when it opens. The museum will feature unique educational programs and resources in the areas of technology, history, geography, political science, engineering and civics for students of all ages. The opening date is tentatively set for 2017.

All of the branches of the military either already have a centralized museum, or are in the process of building one. The Air Force Museum is at Wright-Patterson Air Force Base, Ohio; the Navy Museum is at the Washington Navy Yard; and the U.S. Marine Corps opened its National Heritage Center at Quantico Marine Base, less than 20 miles south of Fort Belvoir in Prince William County in November 2006. A County contribution of \$100,000 has been included for the U.S. Army Museum for FY 2014, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Lorton Arts Foundation	\$2,950,000	\$3,350,000	\$3,350,000	\$750,000

As part of the *FY 2005 Carryover Review*, the Board of Supervisors approved funding to support the Lorton Arts Foundation (LAF) financing and capital renewal plan for operation of a center for the arts at the former Lorton Prison site. This site, transferred to the County from the federal government, is a large, historically important facility in the County. The Board had previously approved the negotiation of a lease of the former prison site with the Foundation, which proposed to use funds generated by leasing the various facilities to individual artists and performing arts groups. The Board agreed to voluntarily provide a dollar for dollar match of donations and contributions received through private fundraising, up to \$1,000,000 per year through FY 2011, for maintenance support. The lease provides for reducing or eliminating the County's cash support commensurate with the Foundation's ability to become self-sustaining. In March 2010, an amendment to the agreements between the County and LAF was negotiated. The County agreed to provide, subject to annual appropriation, contingent annual operating deficit support to LAF of up to \$750,000 in any given year through 2025. The lease amendment does not provide for any further County matching funds that were to be provided to LAF only through FY 2011.

In early FY 2012, an external review of LAF identified that the Foundation was experiencing a significant fiscal stress. During the economic recession, fundraising was a challenge for LAF, as well as to other similar organizations nationally. The facility has not generated an adequate level of patronage, and the large campus has been a drain on the resources of the Foundation to an extent that the organization was on the verge of failure unless additional funds were found. As a result, the Board of Supervisors approved a temporary measure intended to assist the Foundation with financial recovery, achieve its mission, and protect the investment to date in the adaptive reuse of this historic County property. To this end, in FY 2013 the County provided \$750,000 for annual operating deficit support and an additional \$2,600,000 to address LAF's debt service requirements in an attempt to stabilize the organization on a short term basis, and LAF agreed to a County oversight committee as it relates to the budget, capital

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construction and facility management. The County's oversight committee's latest estimate shows that the current LAF business model is expected to generate annual net revenues that are at least \$1,000,000 short of the annual operating expenses. In addition to this \$1,000,000 operations shortfall, LAF has an annual debt service obligation, over \$2,600,000 for FY 2014, extending to 2046. In the FY 2013 Budget Guidelines, the Board noted that it is not possible for the County to support LAF indefinitely at the level appropriated in FY 2012 and FY 2013.

Consistent with the County obligation, subject to appropriation, total funding in FY 2014 is proposed as up to \$750,000 for annual operating deficit support (the maximum amount), the same as in the FY 2013 Adopted Budget Plan which is an overall decrease of \$2,600,000 from the total temporary support provided to LAF by the County in the FY 2013 Adopted Budget Plan. The County Executive will not be recommending for FY 2014 any further financial support for LAF in excess of the proposed \$750,000.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Northern Virginia Regional Park Authority	\$1,979,537	\$1,979,537	\$1,979,537	\$2,080,308

The Northern Virginia Regional Park Authority (NVRPA) is a multi-jurisdictional, special-purpose agency established to provide a system of regional parks for the Northern Virginia area. The NVRPA currently operates 24 regional parks and owns over 11,000 acres of land, of which more than 7,900 acres are in Fairfax County. Parklands within the system include: Bull Run, Bull Run Marina, Fountainhead, Sandy Run, Pohick Bay, Carlyle House Historic Park, Potomac Overlook, Upton Hill, Algonkian, Red Rock, the W&OD Trail, Occoquan, Hemlock Overlook, Cameron Run, Gateway, Meadowlark Gardens, Ball's Bluff, Temple Hall, Brambleton, Aldie Mill and Blue Ridge Park. In addition, the NVRPA administers extensive regional historic and conservation properties throughout Northern Virginia. These community resources are supported primarily from the annual contributions of its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. Each member jurisdiction's contribution is in direct proportion to its share of the region's population. In the past decade, the entire population served by the NVRPA grew to over 1.8 million residents and is expected to approach 2.0 million by 2020.

In FY 2014, the NVRPA is asking member jurisdictions for \$3,443,017, which is a slight increase of \$2,750 over FY 2013, based on a reduced per capita rate from \$2.0626 to \$1.89 and updated population figures. For FY 2014, NVRPA projects that 83.4 percent of operating costs will be funded with park revenues, with the remaining 16.6 percent coming from member jurisdictions. Fairfax County's contribution to the Northern Virginia Regional Park Authority in FY 2014 is \$2,080,308, which is an increase of \$100,771 or 5.1 percent over the FY 2013 Adopted Budget Plan contribution of \$1,979,537.

It should be noted that in addition to the operating contribution, an amount of \$3,000,000 has been included in Fund 30010, General Construction and Contributions, as the FY 2014 annual capital contribution.

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Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Reston Historic Trust	\$16,150	\$16,150	\$16,150	\$16,150

The Reston Historic Trust is a community-based 501(c) (3) organization located in the heart of the Lake Anne Revitalization District. It was founded in 1996 as an educational institution to promote the social and economic vitality of Reston through a program of history-based educational activities. Since FY 2000, Fairfax County has provided annual funding to the Reston Historic Trust to assist in the operational costs of the Reston Museum, located at Lake Anne Plaza. The museum has evolved as a focal point in the community, hosting special events, weekend programs and lectures, and providing exhibits that depict Reston's past and future.

In FY 2014, the organization will continue its efforts on education, community outreach, and cultural development, through collaborative programming and training with other area organizations. The County's FY 2014 contribution to the Reston Historic Trust is \$16,150, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Town of Herndon	\$40,000	\$40,000	\$40,000	\$40,000

In FY 2014, an amount of \$40,000 will be provided to the Town of Herndon for tourism related uses. This level of funding is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Town of Vienna Teen Center	\$32,300	\$32,300	\$32,300	\$32,300

The Vienna Teen Center is operated by the Town of Vienna Parks and Recreation Department. The Center, known as Club Phoenix, provides local teenagers with positive, supervised recreational and educational programs and activities. The County's contribution assists the Town of Vienna in the operation and improvement of the Center, and helps provide funding for programs, staffing and the purchase of materials and other supplies.

The FY 2014 contribution for the Town of Vienna Teen Center is \$32,300, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Wolf Trap Foundation for the Performing Arts	\$100,938	\$125,938	\$125,938	\$125,938

A private/public partnership was established in 1968 between the Wolf Trap Foundation and the National Park Service for the operation of the Wolf Trap Farm Park for the Performing Arts in Vienna, Virginia. The partnership was founded through a gift of land to the United States Government. The National Park Service maintains the property and conducts parking and audience management.

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The Foundation, with a budget in excess of \$28.0 million budget, is responsible for all other aspects of running the facility, including the presentation of a wide variety of performances and educational programs. Foundation programs reach nearly 430,000 people in Fairfax County each year at two sites: the Filene Center, a 7,000-seat outdoor amphitheater in a park-like setting; and the Barns of Wolf Trap, two 18th Century barns reconstructed at Wolf Trap using original building materials and techniques.

In FY 1999, Fairfax County began to contribute funding to Wolf Trap to support the Foundation's efforts to provide Fairfax County citizens with access to the best possible performing arts, and to position Fairfax County nationally as a leader in the arts and arts-in-education. Educational programs focusing on Fairfax County's young children and their teachers, parents and caregivers include development workshops for teachers, family involvement workshops, and field trip performances. For example, Wolf Trap is partnering with Fairfax County Public Schools to develop and evaluate new techniques of using the arts to advance science, technology, engineering and math (STEM) learning among kindergarten students.

The FY 2014 funding is \$125,938, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Subtotal Parks, Recreation & Cultural	\$6,327,676	\$6,827,676	\$6,827,676	\$4,328,447

Community Development:

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Architectural Review Board	\$2,826	\$2,826	\$2,826	\$2,826

The Architectural Review Board (ARB) administers the Historic Overlay District provisions in the County's Zoning Ordinance and advises the Board of Supervisors (BOS) on other properties that warrant historic preservation through historic district zoning, proffers or easements. There are currently 13 Historic Overlay Districts, with the potential for at least one more. The BOS frequently requests advice on the preservation of historic structures as part of the County's development review process and the Open Space and Historic Preservation Easement program.

The ARB is comprised of 11 members who have demonstrated knowledge and interest in the preservation of historical and architectural landmarks. The amount funded for FY 2014 is \$2,826, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Commission for Women	\$6,916	\$6,916	\$6,916	\$6,916

The Commission for Women was created by the Board of Supervisors in 1971 to promote the equality of women and girls in Fairfax County, to advise the Board on the concerns of Fairfax County's women and girls; to present possible solutions; and to effect long-term change through public education, policy reform and building community partnerships. The Commission is comprised of 11 members, nine of whom are appointed by members of the Board of Supervisors and two at-large members appointed by

Fund 10030 Contributory Fund

the Board's Chairman. There is also a student representative from a local college or university who is a non-voting member.

In FY 2014, the Commission will focus on several initiatives, including participating in the County's Domestic Violence Prevention Policy Coordinating Council and working with George Mason University on the development of a "Profile of Fairfax County Women and Girls," which will be an ongoing research compilation of statistics related to the status of women and girls in the County. The Commission is also researching safe and affordable housing options for victims of domestic violence in the County.

The total FY 2014 Fairfax County contribution to the Commission for Women is \$6,916, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Convention and Visitors Corporation	\$2,507,644	\$2,608,344	\$2,608,344	\$2,730,901

As a result of enabling legislation approved by the 2004 General Assembly, the County was granted the authority to impose an additional 2 percent Transient Occupancy tax beginning July 1, 2004. As required by the legislation, no less than 25 percent of the additional revenue is to be designated for and appropriated to a nonprofit Convention and Visitors Corporation located in Fairfax County.

The mission of the Convention and Visitors Corporation, known as Visit Fairfax, is "to create and effectively market exciting products, programs and activities that will distinguish Fairfax County as a premier tourism destination." Visit Fairfax is a 503(c) (3) organization with 25 board members appointed by the Board of Supervisors and the tourism industry.

Based on a projected increase in Transient Occupancy Tax revenue in FY 2014, as well as ongoing support for the 150th Civil War Anniversary in the amount of \$30,400, the total Fairfax County FY 2014 contribution to the Convention and Visitors Corporation is \$2,730,901, which is an increase of \$122,557 or 4.7 percent over the FY 2013 Adopted Budget Plan contribution of \$2,608,344.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Earth Sangha	\$16,150	\$16,150	\$16,150	\$16,150

Earth Sangha is an environmental nonprofit organization committed to helping people become better stewards of the planet by providing numerous volunteer opportunities involving environmental work. The organization supports a native forest gardener network which produces, conserves and restores native plants of the Washington, DC metropolitan area. This program aims to produce batches of seedlings that are genetically diverse, locally adapted and representative of the native forest flora. Volunteer opportunities involve planting the native seedlings, shrubs, wild flowers, grasses and trees in local parks, fields, and forests. Earth Sangha partners with the Fairfax County Department of Public Works and Environmental Services and the Fairfax County Park Authority on several planting events throughout the County, including at Wilburdale Park in Annandale, Waverly Park in Vienna, Frying Pan Park in Herndon, and Sully Historic Park in Chantilly, among others.

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The FY 2014 Fairfax County funding is \$16,150, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Fairfax 2015 World Police and Fire Games	\$250,000	\$250,000	\$250,000	\$250,000

As part of the *FY 2011 Carryover Review*, funding of \$250,000 was approved to provide ongoing support for the Fairfax 2015 World Police and Fire Games. The games are an Olympic-style event held biennially throughout the world to promote friendly competition, camaraderie, and international relationships among the participants. This event is anticipated to generate considerable revenue through the thousands of visitors that will come to Fairfax County for the Games and will stay in local hotels, eat, and shop at County establishments. The 10-day event is projected to bring as many as 10,000 participants and 15,000 visitors to Fairfax County. Additional corporate and private support is also being generated for this effort.

The total FY 2014 contribution for the Fairfax 2015 World Police and Fire Games is \$250,000, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Fairfax County History Commission	\$21,013	\$21,013	\$21,013	\$21,013

The History Commission was created by the Board of Supervisors in 1969 to advise County government and generally promote the public interest in matters concerning the history of Fairfax County. There are 20 members who are appointed by the Board of Supervisors for three-year terms and who may be reappointed. The Commission advises the Board and County on matters involving the County's history; maintains an inventory of historic sites in the County; proposes and monitors historic districts and provides to local groups on matters of historic preservation. Major programs include: educational activities, cooperative ventures with local universities in local history activities, liaison functions with state/national historic preservation organizations, historic record indexing projects, archaeology programs and expansion of photographic archives.

The FY 2014 Fairfax County funding is \$21,013, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Fairfax ReLeaf	\$41,990	\$41,990	\$41,990	\$41,990

Fairfax ReLeaf is a nonprofit organization of volunteers that plants and preserves trees and restores forest cover on public and common lands in Northern Virginia. The organization's activities are aimed at preserving trees and offsetting tree loss by planting thousands of trees each year in order to improve air and water quality, reduce noise, preserve wildlife habitats, and reduce surface runoff. In 2014, Fairfax ReLeaf intends to plant 7,000 trees.

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The FY 2014 Fairfax County funding is \$41,990, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Greater Reston Incubator	\$24,225	\$24,225	\$24,225	\$24,225

The FY 2014 Fairfax County funding for the Greater Reston Chamber of Commerce's (GRCC) Incubator Program is \$24,225, which is consistent with the FY 2013 Adopted Budget Plan. The GRCC's Incubator Program assists entrepreneurs in developing high-growth businesses in various sectors of the regional economy including technology, government services and supporting industries. The program provides business services, technical support, and physical space to help emerging businesses grow. Job creation and increased regional prosperity are the program's primary goals. This volunteer-driven program has helped 50 companies over the past 12 years, created over 500 jobs in the region, attracted over \$45 million in investment, and occupied in excess of 80,000 square feet of commercial space in Fairfax County.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Northern Virginia 4-H Education Center	\$0	\$10,000	\$10,000	\$10,000

The Northern Virginia 4-H Educational Center was developed in cooperation with the Virginia Cooperative Extension Service. The Center currently serves 19 localities in Northern Virginia and many of the program participants are Fairfax County residents. This educational and recreational complex for youth and adults residing in Northern Virginia is located in Front Royal, Virginia.

The total FY 2014 contribution for the Northern Virginia 4-H Education Center is \$10,000, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Northern Virginia Community College	\$89,856	\$90,636	\$90,636	\$90,030

Northern Virginia Community College (NVCC) is a comprehensive institution of higher education offering programs of instruction generally extending not more than two years beyond the high school level. The College currently has six campuses (Alexandria, Annandale, Loudoun, Manassas and Springfield for medical education and Woodbridge) with permanent facilities constructed on each site. In addition to the six campuses, the College has centers in Arlington and Reston. Each year, the College serves more than 60,000 students in credit-earning courses and more than 25,000 students in continuing education and training activities.

NVCC projects FY 2014 expenditures of \$187,429 for base operating requirements. The base, which is funded by the governing bodies of the local jurisdictions served by the College, as well as any fund balances support additional services that cannot be provided under the College's annual state fiscal appropriations. For example, local funding provides for increased matching loan funds and support of community service activities. This local funding is for Operating Expenses only and is not applied toward Personnel Services. The local jurisdictions served by the College are requested to contribute their share of

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the College's base expenditure, which is calculated on a per capita basis as reported by the College using population figures from the Weldon Cooper Center for Public Service.

The FY 2014 Fairfax County contribution to this agency for operations and maintenance is \$90,030, a decrease of \$606 or 0.7 percent over the FY 2013 Adopted Budget Plan of \$90,636. This decrease is due to shifts in population among the contributing jurisdictions. This amount reflects the County's share of the services provided to Fairfax County residents as reported by the College and is 48.0 percent of the local jurisdictions' contributions totaling \$187,429 for FY 2014.

In addition, County funding of \$2,192,046 is included in Fund 30010, General County Construction and Contributions, for an annual capital contribution to the College based on a \$2.00 per capita rate using population figures provided by the Weldon Cooper Center. Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Northern Virginia Conservation Trust	\$227,753	\$227,753	\$227,753	\$227,753

The primary purpose of the public/private partnership between the Northern Virginia Conservation Trust (NVCT) and Fairfax County is for NVCT to assist the County in the preservation of natural areas and historic properties through the use of conservation/open space easements, land gifts and acquisition of open space. The Trust is also tasked with educating the public on the importance of conservation and the County's abundant natural resources through outreach programs. Through this partnership, NVCT has been able to permanently conserve over 685 acres in Fairfax County. Some of the conserved land serves as a habitat for a variety of rare species and different vegetation communities.

In FY 2014, Fairfax County will begin a review of its relationship with NVCT to evaluate the benefits associated with the current partnership. FY 2014 funding of \$227,753 is included, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
OpenDoor Housing Fund	\$31,776	\$31,776	\$31,776	\$31,776

The OpenDoor Housing Fund's mission is to provide flexible capital from a variety of sources including government, philanthropic and corporate entities to increase the supply of affordable and workforce housing for low and moderate income households and housing for various special needs populations in the Washington metropolitan area; to positively impact the delivery of affordable housing finance in this region; and provide technical assistance to help potential borrowers obtain financing and successfully complete affordable housing development.

In FY 2014, Fairfax County's share for the OpenDoor Housing Fund is \$31,776, which is consistent with the FY 2013 Adopted Budget Plan.

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Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Southeast Fairfax Development Corporation	\$183,320	\$183,320	\$183,320	\$183,320

The Southeast Fairfax Development Corporation (SFDC) is a private, nonprofit organization that operates under a Memorandum of Understanding between the Fairfax County Board of Supervisors and the SFDC. Over the years, the Corporation has promoted, encouraged, facilitated and guided economic development and revitalization on the 7.5 mile length of Richmond Highway from the Capital Beltway to Fort Belvoir, the largest of the County's seven designated revitalization areas. It provides marketing and promotion aimed at business attraction and retention; direct assistance to developers and businesses; and to a lesser degree, land use planning and coordination with the Richmond Highway community. It is this community consensus that makes revitalization/redevelopment possible. SFDC is committed to improving the quality of life, creation and retention of jobs, community appearance and increased tax base. Its 18-member volunteer Board of Directors is representative of the community.

The total FY 2014 Fairfax County contribution for SFDC is \$183,320, which is consistent with the [FY 2013 Adopted Budget Plan](#).

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
VPI/UVA Education Center	\$50,000	\$50,000	\$50,000	\$50,000

In FY 1995, Fairfax County entered into an agreement with the City of Falls Church, the Virginia Polytechnic Institute and State University (VPI), and the University of Virginia (UVA) to provide support for a new Education Center to be constructed in Falls Church, offering graduate and continuing professional education services. As part of this agreement, the Board of Supervisors agreed to waive all development/regulatory fees and costs, and provide review and inspection services necessary for the development of this center. In addition to one-time FY 1996 sewer availability and connection charges of \$70,881, the County agreed to contribute an annual amount of \$50,000 toward the facility, to be paid each year for 20 years, commencing in FY 1995. The total value of this 20-year contribution will be \$1,000,000.

The total FY 2014 Fairfax County contribution for the VPI/UVA Education Center is \$50,000, which is consistent with the [FY 2013 Adopted Budget Plan](#).

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Women's Center of Northern Virginia	\$27,023	\$27,023	\$27,023	\$27,023

The Women's Center is a private, nonprofit organization that provides personal and professional development services to women in Northern Virginia. Since FY 1978, the Board of Supervisors has contributed to this center in order to provide free or sliding-fee scale services to Fairfax County female residents who are unemployed, separated, abandoned or divorced, and the head of a household. Services include individual and group workshop sessions for women covering such areas as divorce, separation, financial planning and legal rights.

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In FY 2014, the Center anticipates receiving requests from County residents for approximately 20,400 hours of direct service to meet their interrelated psychological, practical, legal and financial needs. Many of these residents are financially disadvantaged and require low-cost services. Access to these services enables community members to become self-sufficient and ultimately more productive community members.

The total FY 2014 Fairfax County funding is \$27,023, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Subtotal Community Development	\$3,480,492	\$3,591,972	\$3,591,972	\$3,713,923

Nondepartmental:

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Employee Advisory Council	\$0	\$33,000	\$33,000	\$33,000

The Employee Advisory Council (EAC) was established by the Fairfax County Merit System Ordinance to provide a continuing medium through which all employees in the competitive service, both school and County, may contribute their advice and suggestions for the improvement of the career merit system and other aspects of the government of Fairfax County. There are 11 representatives for County Government groups and 10 for School Support groups.

The total FY 2014 Fairfax County contribution for the EAC is \$33,000, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Fairfax Public Law Library	\$92,657	\$92,657	\$92,657	\$92,657

The mission of the Fairfax Public Law Library is to promote justice by providing all citizens with access to legal information. The legal resources available in the Law Library are not available to the public at any other single location within the County. In 2001, Fairfax County, the Clerk of the Court, and the Fairfax Bar Association (FBA) entered into an agreement with regard to the Law Library. The agreement provides that Fairfax County, through the Fairfax County Public Library (FCPL), shall have primary responsibility for the administration of the Law Library, while the FBA has primary responsibility for its management.

Currently located in the Fairfax County Judicial Center, the Fairfax Public Law Library assists the public, as well as members of the legal community, with locating sources for legal information and provides bibliographic instruction. In addition to the collection, the Law Library has eight work stations dedicated to providing general information on divorce, immigration, estate planning and employment for patrons, as well as eight computer work stations where the public may locate sample legal forms and do a variety

Fund 10030 Contributory Fund

of research online. In recent years, the Law Library has decreased its printed materials and increased subscriptions to online databases. The Fairfax Public Law Library anticipates serving approximately 80,000 patrons in FY 2014. Many are in need of legal information because they are unable to afford legal representation but do not qualify for free legal services.

The total FY 2014 Fairfax County funding is \$92,657, which is consistent with the FY 2013 Adopted Budget Plan.

Fairfax County	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Subtotal Nondepartmental	\$92,657	\$125,657	\$125,657	\$125,657
Total County Contributions	\$14,745,735	\$15,733,588	\$15,783,588	\$13,389,756

Fund 10030 Contributory Fund

FUND STATEMENT

Fund 10030, Contributory Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$328,254	\$122,154	\$195,461	\$95,461
Transfer In:				
General Fund (10001)	\$14,612,942	\$15,683,588	\$15,683,588	\$13,365,975
Total Transfer In	\$14,612,942	\$15,683,588	\$15,683,588	\$13,365,975
Total Available	\$14,941,196	\$15,805,742	\$15,879,049	\$13,461,436
Expenditures:				
Legislative-Executive Functions/Central Services				
Agencies	\$1,999,952	\$2,040,120	\$2,040,120	\$2,077,095
Public Safety	50,043	49,927	49,927	49,927
Health and Welfare	2,794,915	3,098,236	3,148,236	3,094,707
Parks, Recreational and Cultural	6,327,676	6,827,676	6,827,676	4,328,447
Community Development	3,480,492	3,591,972	3,591,972	3,713,923
Nondepartmental	92,657	125,657	125,657	125,657
Total Expenditures	\$14,745,735	\$15,733,588	\$15,783,588	\$13,389,756
Total Disbursements	\$14,745,735	\$15,733,588	\$15,783,588	\$13,389,756
Ending Balance¹	\$195,461	\$72,154	\$95,461	\$71,680

¹ For several contributory agencies where Fairfax County funding is based upon actual usage that can fluctuate, unused appropriation falls to fund balance, which is then reappropriated after leaving a nominal balance for flexibility.

Fund 10040

Information Technology

Mission

Fund 10040 (formerly Fund 104), Information Technology (IT), supports the County's strategic IT investments in major technology projects that improve access to County services, promote government operational efficiencies and effectiveness, customer service and increase performance and security capabilities. They include automation for County agencies, requirements aligned with countywide strategic importance, enterprise technology infrastructure, and enterprise-level or inter-agency corporate systems.

Focus

Fund 10040, Information Technology, was established in FY 1995 to strengthen centralized management of available resources by consolidating major IT projects in one fund. A General Fund transfer, revenue from the State Technology Trust Fund and other internal revenue funds, and interest earnings are sources for investment in IT projects.

The County's technological improvement strategy has two key elements. The first element is to provide an adequate infrastructure of basic technology for agencies in making quality operational improvements and efficiencies. The second is to redesign business processes and apply technology to achieve large-scale improvements in service quality and achieve administrative efficiencies. The County's long-term commitment to providing quality customer service through the effective use of technology is manifested in service enhancements, expedited response to citizen inquiries, improved operational efficiencies, better information for management decisions and increased performance capabilities.

The Senior Information Technology Steering Committee, which is comprised of the County Executive, Deputy County Executives, the Chief Financial Officer, the Chief Technology Officer and other senior County managers, adopted five IT priorities which guide the direction of Fund 10040. They include:

- ◆ **Mandated Requirements:** Provide support for requirements enacted by the federal government, Commonwealth of Virginia, Board of Supervisors, or those that are Court ordered or resulting from changes to County regulations.
- ◆ **Completion of Prior Investments:** Provide support for multi-year lease purchases and to implement a project phase or to complete a planned project.
- ◆ **Enhanced County Security:** Provide support for homeland security, physical security, information security and privacy requirements.
- ◆ **Improved Service and Efficiency:** Promote consolidated business practices; support more efficient government; optimize management and use of County assets and data; enhance systems to meet the expectations and needs of citizens; and promote service that can be provided through the Internet/e-government. This includes corporate and strategic initiatives that add demonstrable value to a broad sector of government or to the County as a whole, which also provide productivity benefits and/or effectively manages the County's information and knowledge assets.

Fund 10040

Information Technology

- ◆ **Maintaining a Current and Supportable Technology Infrastructure:** Focus on technology infrastructure modernizations which upgrade, extend or enhance the overall architecture or major County infrastructure components, including hardware and software and its environment. Ensure that citizens, businesses and County employees have appropriate access to information and services.

In accordance with the FY 2014 Budget Guidelines funding requests for Fund 10040, IT projects were limited to IT projects requiring a funding increment to meet project milestones, contractual obligations, and security and infrastructure requirements for enterprise-wide IT systems. The projects recommended for funding meet one or more of the IT priorities established by the Senior IT Steering Committee and align with the County’s strategic and business requirements.

FY 2014 Initiatives

In FY 2014, funding of \$6.11 million, which includes a General Fund transfer of \$2.91 million, a transfer from Fund 40030, Cable Communications of \$2.90 million, and interest income of \$0.30 million, is provided for initiatives that meet one or multiple priorities established by the Senior Information Technology Steering Committee. These initiatives include a mix of projects that provide benefits for both citizens and employees and that adequately balance new and continuing initiatives with the need for securing and strengthening the County’s technology infrastructure. Funded projects will support initiatives in general County services, public safety, human services and enterprise technology security and infrastructure. Although many initiatives meet more than one of the technology priorities, for narrative purposes below, projects have been grouped into only one priority area.

Priority	FY 2014 Advertised Funding
Completion of Prior Investments	\$0.59 million
Enhanced County Security	\$1.25 million
Improved Services and Efficiency	\$1.20 million
Maintaining a Current and Supportable Technology Infrastructure	<u>\$3.07 million</u>
TOTAL	\$6.11 million

Completion of Prior Investments - \$0.59 million

The County’s IT program focuses on using technology as an essential tool to enable cost-effective delivery of services, and continues to stress the need to build reliable, supportable projects for these services in a timely manner. Many projects funded can be completed within that fiscal year, while others are multi-phase projects that require more than one year of funding.

FY 2014 funding of \$238,280 is included for continued support for the County’s planned on-going maintenance of essential Geographic Information System (GIS) data. Through a series of complex geospatial transformations the raw imagery, taken from aerial imagery flown by the state, is converted to GIS data available to many County agencies including: Police, Fire and Rescue, Office of Emergency Management, Department of Public Safety Communications, the Departments of Transportation, Housing and Community Development, Public Works and Environmental Services, Planning and Zoning, Health, and Tax Administration, and others.

Fund 10040

Information Technology

FY 2014 funding of \$175,000 is included to complete the final phase of the E-summons project, a partnership between Fairfax County General District Court and the Fairfax County Police Department (FCPD). This funding supports implementation of the e-summons solution for the remaining FCPD patrol cars. The project goal is for officers to capture and transmit traffic summons information to the Court electronically via hand held or in-vehicle electronic devices. The project will substantially reduce manual data entry, ensure data integrity, provide accurate code section violations to offices in the field, facilitate faster and safer ticketing process for officers, and enhance public access to traffic ticket and case information.

FY 2014 funding of \$175,000 is provided for continued support and enhancement of an enterprise wide Volunteer Management System designed to improve volunteer recruitment, placement, scheduling and improved tracking and measurement of the impact of volunteer contributions to county government. Common data elements provide shared points of entry for citizens interested in volunteering for Fairfax County. The project objective is to streamline the process of matching volunteer abilities, interests and availability with County agencies' needs.

Enhanced County Security - \$1.25 million

Providing funding for critical security requirements enterprise-wide IT systems is a long standing cornerstone of the County's IT policy.

FY 2014 funding of \$500,000 is included for the Data Loss Prevention project which will implement an IT security technology solution designed to discover, monitor, protect and prevent leakage of confidential data wherever it is stored or used on networks, storage, and endpoint systems. In data leakage incidents, sensitive data is disclosed to unauthorized personnel either by malicious intent or inadvertent mistake. Examples of sensitive data include social security numbers, HIPPA protected patient information, credit-card data, and other sensitive County information. Since the project plans are to deploy the software at the client-level, the solution will be capable of discovering sensitive information locally on a system prior to any potential use of encryption for transmission.

FY 2014 funding of \$750,000 is included for the Governance, Risk and Compliance (GRC) Auditing Project which provides for implementation of the audit tool for security user access monitoring and policy compliance. GRC will automate security monitoring; provide real time visibility of system access controls for the County's new ERP system via a dashboard. The tool supports monitoring and review activities of the Office of Internal Audit, Department of Finance, and IT Security Office, as well as is necessary for the County's annual financial audit in order to identify and address audit findings regarding management controls for security and legal compliance.

Improved Service and Efficiency - \$1.20 million

Projects funded in FY 2014 provide for improved service and efficiency in provision of services to the residents and the business community of Fairfax County. Included are projects supporting the County's e-government programs, emergency management solution, and initiatives that improve County processes resulting in enhanced efficiencies and improved service delivery.

FY 2014 funding of \$200,000 is included to support of the County's continuing commitment to e-Government for initiatives that improve public accessibility to County information and services. The project supports of the County's web and e-government services, mobile applications, county's intranet,

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web content, social media integration, transparency, Web 3.0, and compliance with e-health records. The e-government programs also enhance citizen participation with County government through online public input processes.

FY 2014 funding of \$800,000 is included to support the Tax Systems Modernization Project. Project goals are to eliminate the technology risks and functionality gaps of existing legacy mainframe systems for the Personal Property and Business Professional and Occupational Licensing (BPOL). The current systems designed and developed during the 1980s and 1990s use dated technology and programming languages, which have reached the end of their viability. The outdated technology platform limits integration with other County and state systems, as well as limits citizen interaction and self-service opportunities via web based technologies. Integration with Virginia State Department of Motor Vehicles and Department of Tax Administration applications are critical for assessment, taxation, and enforcement purposes, cannot be automated due to limitations within Personal Property and Business Professional and Occupational Licensing. All of these issues have a direct impact on the County's revenue.

FY 2014 funding of \$200,000 is included for the Emergency Management Portal which provides support for the development of a system to capture damage assessment data in real time during an emergency event. The system will allow first responders in the field to update facility conditions, road closures, and other pertinent information to personnel at the Emergency Operations Center (EOC) via smart devices. The data collected will be structured in a way to allow GIS to graphically represent developing emergency conditions on a map. This system supports the needs of multiple agencies during emergency events.

Maintain a Current and Supportable Technology Infrastructure - \$3.07 million

In an ever evolving technology and communications environment, maintaining current and supportable technology architecture is a challenge that must be continually addressed to ensure performance, operability, security and integrity of business operations and information. The County's technological improvement strategy strives to balance business needs that require technology investments with the desire to adopt contemporary but relevant and supportable technology industry trends, as well as the ability to leverage existing infrastructure. Projects funded in FY 2014 will support the goal of updating and strengthening the technology foundation where practical, and ensuring that residents, the business community and County staff have appropriate and reliable access to information and services.

FY 2014 funding of \$2,500,000 is included for strategic infrastructure and expert services supporting complex multi-phase enterprise-wide business transformation IT systems for County general services, enterprise technology, security and infrastructure, and corporate systems including the County's ERP (Enterprise Resource Planning) and related business systems. This funding supports necessary integration of business application and infrastructure systems components to meet the County's IT architecture and interoperability goals in alignment with County enterprise technology plans to enhance opportunities for County/schools shared cost and operational efficiency goals.

FY 2014 funding of \$400,000 is included for continued conversion and migration of the County's remaining legacy financial, public works, public safety, personal property, and human services mainframe based systems. Significant historical data needs to migrate off the mainframe onto more contemporary IT platforms. Upon completion, the County's legacy mainframe platform will be substantially retired.

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FY 2014 funding of \$100,000 is included to support growing need for internal County users to access county systems remotely. This project supports telework capabilities, disaster recovery, and increasing reliance of agency mobile workers on wireless solutions. Enterprise wide standardized access control methodology enables secure identity authentication for authorized access to County networks, data, and systems. This project supports secure access from remote locations and provides improved security, reporting, and data analysis.

FY 2014 funding of \$75,000 is included to provide for on-going information technology training and certification in recognition of the challenges associated with maintaining skills at the pace of technological changes and to ensure that the rate of change in information technology does not out-pace the County's ability to maintain proficiency. As the County's workforce becomes increasingly dependent on information technology, training support has become more essential.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Audit Adjustment** **\$222,293**
In order to account for expenditures in the proper fiscal year, an audit adjustment in the amount of \$414,053 has been reflected as a decrease to FY 2012 expenditures with a partially offsetting increase of \$222,293 in the *FY 2013 Revised Budget Plan* expenditure level. This adjustment has been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

- ◆ **Carryover Adjustments** **\$42,020,690**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$42,020,690 due to carryover of unexpended project balances of \$32,373,819 and a net increase due to higher than budgeted FY 2012 revenue of \$646,871. In addition, funding of \$6,500,000, supported by an increase in the General Fund transfer, was included to support final milestone payments related to the Fairfax County Unified System (FOCUS) implementation contract award, will provide for the development and implementation of key project functionality, and will support training and ancillary obligations. An additional \$2,500,000, also supported by an increase in the General Fund transfer, was included to support the replacement of the Child Care Management System in the Department of Family Services. This increase to the General Fund Transfer was fully offset by additional General Fund revenue received from the state in FY 2012 for the Child Care Assistance and Referral (CCAR) program resulting in no net impact to the County.

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FY 2014 Funded Project Summary Table

The following Project Summary table lists the projects contained in Fund 10040, Information Technology. Descriptions for FY 2014 funded projects are included on the following pages. Information regarding technology initiatives can also be found in the [FY 2014 Information Technology Plan](#) prepared by the Department of Information Technology.

Project	FY 2014 Advertised Budget Plan
2G70-003-000, GIS-Oblique Imagery	\$146,280
2G70-004-000, GIS-Plainimetric Data	92,000
2G70-006-000, Information Technology Training	75,000
2G70-018-000, Enterprise Architecture and Support	2,500,000
2G70-020-000, Public Access To Information	200,000
2G70-036-000, Remote Access	100,000
2G70-053-000, Retirement Of Legacy Systems	400,000
2G70-055-000, Volunteer Management System	175,000
2G70-067-000, Electronic Summons and Court Scheduling	175,000
2G70-069-000, Tax System Modernization Project	800,000
IT-000003, Data Loss Prevention Project	500,000
IT-000004, Emergency Management Portal	200,000
IT-000005, GRC Auditing	750,000
Total Funds	\$6,113,280

Fund 10040 Information Technology

2G70-003-000 and 2G70-004-000 - Geographic Information System (GIS)	IT Priorities: <ul style="list-style-type: none"> • Completion of Prior Investments; • Improved Service and Efficiency; • Maintaining a Current and Supportable Technology Infrastructure
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	FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
003	\$125,291	\$199,066	\$146,280
004	\$219,936	\$612,621	\$92,000
Total	\$345,227	\$811,687	\$238,280

Description and Justification: This project provides for continued support for the County's planned multiyear implementation and maintenance of essential Geographic Information System (GIS) data including oblique imagery and planimetric data. GIS provides County staff and citizens the means to electronically access, analyze and display land related data. The imagery used in the My Neighborhood viewer, the Digital map viewer, the 3-D Virtual Fairfax application, and all of the County's web and desktop mapping applications.

In FY 2014, funding of \$238,280 is included for the County's planned on-going maintenance of essential Geographic Information System (GIS) data. Through a series of complex geospatial transformations the raw imagery, taken from aerial imagery flown by the state, will be converted to GIS data available to many County agencies including: Police, Fire and Rescue, the Departments of Transportation, Housing and Community Development, Public Works and Environmental Services, Planning and Zoning, and Tax Administration. The project includes planimetric impervious surface features including: driveways, building footprints, streams, sidewalks, pools, edges of roads and centerlines which are critically needed by key agencies such as DPWES (Stormwater) and by Public Safety.

Return on Investment (ROI): Updated GIS data enhances the County's security oriented applications such as emergency preparedness, preplanning fire and rescue, hazardous material spills, and crime mapping. Planimetric data is also a key data set used by the Computer Aided Dispatch system's mobile units in Police and Fire and Rescue vehicles. The new contouring feature in the GIS database facilitates review of land use applications following Fairfax County's Environmental Quality Advisory Council (EQAC) recommendations and contributes to overall improved services and efficiency. The updated GIS data provides County agencies readily accessible data necessary for engineering and project design in any location as well as the ability to view field conditions from a desktop without traveling to the site, providing significant savings to County staff in various agencies.

GIS imagery is also the source of the 3-D building imagery displayed in Virtual Fairfax (VF) a web based 3-D visualization tool available on the County's website. VF enables users to fluidly navigate the County and surrounding areas, view 3-D images of buildings throughout the County, determine the terrain, shadow impacts and building elevations. VF contains direct links to key County land information systems containing assessment data (DTA), zoning and building activity (LDSNet), school and magisterial districts, and parks.

Fund 10040 Information Technology

2G70-006-000 - Information Technology Training	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
\$105,973	\$277,644	\$75,000

Description and Justification: This project provides funding for information technology training in recognition of the challenges associated with maintaining skills at the same pace as technology changes. The rate of change in information technology is an ongoing challenge for the County in maintaining relevant proficiencies for its technology workforce, and enabling quick adoption of technology that is beneficial in meeting the County’s mission, goals and objectives. As the County’s business has become increasingly dependent on information technology, training support has become more essential.

Funding of \$75,000 is included in FY 2014 to provide for information technology training and certification. The Department of Information Technology anticipates additional required training for County staff in SAP software implementation and integration tools and related applications.

Return on Investment (ROI): Continued funding will enable skills development in new technologies, network management, computer operations, and software applications development and maintenance to enhance the County’s ability to adopt, support, and rationalize systems and agile delivery.

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2G70-018-000 - Enterprise Architecture and Support	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Improved Service and Efficiency • Enhanced County Security
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
\$2,231,069	\$6,759,181	\$2,500,000

Description and Justification: This project supports strategic infrastructure and services required for implementation of complex multi-phase enterprise-wide business transformation IT systems for County general services, enterprise technology, security and infrastructure, and corporate systems including implementation of the County’s ERP and related business systems.

Funding of \$2,500,000 is included for strategic infrastructure and services necessary for integration of business application and infrastructure systems components to meet the County’s IT architecture and interoperability goals in alignment with County enterprise technology plans and cost efficiency goals. The funding supports projected system integration and configuration services and includes various product platforms, security, document management, and web services enabling seamless system integration and flexible IT architecture.

Return on Investment (ROI): This initiative continues to support the County’s on-going technology modernization program in line with the IT investment priorities that provide for a stable and secure IT architecture while leveraging IT investments. Automation and modernization of County systems empowers both employees and managers to execute processes more efficiently, and make the best strategic decisions based on the most timely and accurate information and provide effective service to the citizens and the community. This project will enable the County to incorporate fully integrated best business practices, improve back office functional areas, improve the quality and accessibility of information, and reduce redundant data entry, storage and paper processing.

Fund 10040 Information Technology

2G70-020-000, E-Government - Public Access to Information	IT Priorities: <ul style="list-style-type: none"> • Improved Service and Efficiency • Maintaining a Current and Supportable Technology Infrastructure
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
\$294,639	\$462,394	\$200,000

Description and Justification: E-government is a foundational program supporting the County’s goal of “government without wall, doors, or clocks”. Funding supports multiple e-government initiatives including the County’s web site and mobile applications that provide information, on-line services and innovative tools for interaction and participation with county government 24x7. These e-government programs provide a cohesive and comprehensive access to information and services for over fifty County agencies.

In FY 2014, funding of \$200,000 is included to provide the necessary support required to meet the increasing demand for County’s web, e-government and e-transactions services as well as improved navigation, web content synchronization, mobile applications, social media integration, transparency, Web 3.0, support of the County’s intranet (FairfaxNet) and continued compliance with e-health records system.

Return on Investment (ROI): E-government is a foundational program with a comprehensive strategy that includes multiple channels using enabling technology, policy and processes that integrate the County’s website, social media, interactive voice response (IVR) platforms, and mobile devices for cohesive public access to County information and services. In addition to the benefits to constituents by providing more opportunities for access to services and information on-line and improved customer service, public access technologies continue to provide County government greater internal efficiencies that enable effective response to growing demand for services associated with County growth and diversity.

This project continues to enhance the information architecture and supporting infrastructure for needed to provide information and services to the public. The project also develops and promotes the sharing of data across agency and jurisdictional lines, thereby increasing the scope and value of information and services provided to citizens. It expands the capabilities of content management to improve automated workflow, indexing, and search and retrieval for systems county-wide to improve operational efficiencies and collaboration. Internet and Intranet initiatives provide significant wide-ranging opportunities enhancing information and services accessibility to the public. Use of public access technologies minimize staff resources needed to provide basic information and to conduct transactions, thereby allowing resources to be deployed to more complex tasks, as well as respond to requests requiring more detailed or specialized information.

Fund 10040 Information Technology

2G70-036-000 - Remote Access	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Improved Service and Efficiency
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
\$0	\$227,140	\$100,000

Description and Justification: This project supports enhanced and expanded capability of authorized users to securely access the County’s systems from remote locations for field service activities, Telework, continuity of operations, and emergency events such as pandemic outbreaks or natural and weather scenarios.

FY 2014 funding of \$100,000 is included to support remote access capabilities, including an enterprise-wide standardized remote access control methodology and architecture to authenticate user identity in order to gain access to systems and relevant data to conduct work securely. All user authentication management is policy-based and centrally managed allowing for comprehensive audit and reporting services. This project supports increased security, simplified management, rapid reporting and data analysis, secure access from remote locations, and mobility.

Return on Investment (ROI): This project provides a cost effective approach to enhance the County’s productivity in order to provide flexibility for a variety of remote access devices that may be used by County staff in conducting work. The capability encourages more employees to take advantage of telecommuting in line with regional goals supported by the Board of Supervisors and also provides County staff necessary remote access capacity in case of emergency events such as hurricanes, snow storms, or pandemic outbreaks.

Fund 10040 Information Technology

2G70-053-000 - Retirement of Legacy Systems	IT Priorities: <ul style="list-style-type: none"> • Maintaining a Current and Supportable Technology Infrastructure • Improved Service and Efficiency • Completion of Prior Investments
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
\$371,442	\$757,773	\$400,000

Description and Justification: The FOCUS/ERP project replaced the County’s legacy mainframe systems for budget, human resources, finance, and procurement. The Retirement of Legacy Systems project supports assessment, conversion, and migration of legacy data, databases, and other systems off the mainframe onto more contemporary platforms.

FY 2014 funding of \$400,000 is included to support the on-going assessment, conversion and migration of the County’s remaining legacy mainframe systems and data. Substantial historical flat file and other related data continues to migrate onto more contemporary server based and virtual platforms. The project includes development of new relational databases, indexing schemes, and repositories, and development of improved reporting and analytics capabilities. This project validates existing data prior to migration and development of inquiry and reporting capabilities. Upon completion of the data migration and conversion, the County’s mainframe platform will be substantially retired.

Return on Investment (ROI): Many efficiencies and cost savings will be achieved with migration off and eventual retirement of the mainframe system. These include support and licensing costs for mainframe database platforms, tools, utilities and monitoring modules. With retirement of the mainframe system the county will achieve savings by ending lease payments for hardware, software utilities, mainframe data storage devices, as well as the cost of separate mainframe security software. Furthermore the converted legacy systems can utilize more efficient virtualized server environment thus providing opportunities for additional savings in the County’s data center.

Fund 10040 Information Technology

2G70-055-000 – Volunteer Management System	IT Priorities: <ul style="list-style-type: none"> • Completion of Prior Investments • Improved Service and Efficiency
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
(\$15,000)	\$215,000	\$175,000

Description and Justification: The Volunteer Management System (VMS) project provides a cost-effective, value-added IT solution that will support County-wide efforts to improve volunteer recruitment and reporting of the impact of volunteer contribution. Increasing volunteer engagement has been highlighted in agency and Board of Supervisors budget and planning discussion in recent years.

FY 2014 funding of \$175,000 is included to continue implementation of an integrated enterprise solution to better manage the over 100 volunteer programs spread across multiple County agencies to improve volunteer recruitment, management, placement, and scheduling. The system will produce aggregate reports across all County departments and will enable the County to more accurately track and report volunteer contributions to the citizens of Fairfax County.

Return on Investment (ROI): There are both immediate and long-term benefits to an enterprise approach to volunteer management software. DIT is currently supporting multiple volunteer technology tools and has been asked to identify or develop three new custom applications. Having multiple data collection and entry processes for citizens interested in volunteering in Fairfax County is confusing and may prevent potential volunteers and staff from making the best connections of interests, skills, and availability. It is currently difficult to count and accurately report the impact of volunteers County-wide and the value of services they provide to our community. Developing common data elements and providing a shared point of entry for those interested in volunteering with Fairfax County would address this. Capturing data about volunteer employers allows agencies to apply for corporate grants that are increasingly influenced by employee volunteer experiences.

Fund 10040 Information Technology

2G70-067-000 – E-Summons Project	IT Priorities: <ul style="list-style-type: none"> • Enhanced County Security • Improved Service and Efficiency
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
\$213,052	\$164,789	\$175,000

Description and Justification: The E-summons project is collaboration between the Police Department and the General District Court for the electronic capture and transfer of traffic summons information. The project goal is for officers to capture and transmit traffic summons information to the Court electronically via hand held or in-vehicle electronic devices and near real time transfer the data to a database which will dramatically decrease the time it take for data to be available to the Courts and the public. Also, by providing strong data edits and referential integrity to hundreds of state and local traffic offense codes, it is anticipated that this will enhance County revenue from traffic tickets.

FY 2014 funding of \$175,000 is included to complete the final phase of the E-summons project by supporting final implementation of the e-summons solution for the remaining PD patrol cars. The project will substantially reduce manual data entry, ensure data integrity, provide accurate code section violations to offices in the field, facilitate faster and safer ticketing process for officers, and enhance public access to traffic ticket and case information.

Return on Investment (ROI): E-summons is an automated solution that enables police officers to issue traffic tickets more efficiently with greater accuracy thus eliminating data entry errors that can have potentially serious repercussions for the public. Additional benefits include faster ticketing processes that get officers back on the road and near real time electronic access to traffic case information for payment of traffic fines. Eliminating data entry, reducing redundancies between agencies, and streamlining court scheduling and docketing processes creates multiple opportunities to improve existing operations, enhance officer safety, and provide better customer service to the citizens of Fairfax County.

Fund 10040 Information Technology

2G70-069-000 - Tax System Modernization Project	IT Priorities: <ul style="list-style-type: none"> • Improved Service and Efficiency • Enhanced County Security • Maintaining a Current and Supportable Technology Infrastructure
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
\$0	\$1,000,000	\$800,000

Description and Justification: This project will eliminate the technology risks and functionality gaps of existing mainframe systems for Personal Property and Business Professional and Occupational Licensing (BPOL) taxes. The current systems which were designed and developed during the 1980s and 1990s use outdated technology and programming languages, which have reached the end of their viability.

FY 2014 funding of \$800,000 is included to support the Tax Systems Modernization Project. The legacy mainframe platform for the Personal Property system and BPOL limits integration with other County and State systems, as well as, limits citizen interaction and self-service opportunities via web based technologies. In addition to the technology constraints, in-house and contract programmer expertise to support the applications is increasingly difficult to obtain and rapidly becoming more expensive to secure. As a result, both tax applications can no longer support efficient assessment, valuation and collection activities. System enhancements and modifications, many of which are required by changes in State and County code, cannot be made economically, and require lengthy development periods. Integration with Virginia State Department of Motor Vehicles and Department of Tax Administration applications which are critical for assessment, taxation, and enforcement purposes, cannot be automated due to limitations within Personal Property and Business Professional and Occupational Licensing.

Return on Investment (ROI): This project eliminates risks to County revenue generated from the assessment and collection of Personal Property and BPOL taxes. Modern technology platforms will enable the Department of Tax Administration to enhance customer access and improved services to citizens and the business community and enhance the security and use of web technologies for self service functions increasingly used by the community to interact with County systems. This project will also provide for automated integration with other county and state systems directly impacting the County's revenue collection activities, and contribute to retirement of the legacy mainframe environment in the data center.

Fund 10040 Information Technology

IT-000003 – Data Loss Prevention Project	IT Priorities: <ul style="list-style-type: none"> • Enhanced County Security • Maintaining a Current and Supportable Technology Infrastructure
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
N/A	N/A	\$500,000

Description and Justification: Data Loss Prevention (DLP) is an IT security technology solution that discovers, monitors, and protects confidential data wherever it is stored or used on the network, storage and endpoint systems.

FY 2014 funding of \$500,000 is included to support the implementation of the Data Loss Prevention Solution. In data leakage incidents, sensitive data is disclosed to unauthorized personnel either by malicious intent or inadvertent mistake, which can occur through the wider availability of commonly used internal communications channels and internet based capabilities. Such sensitive data can come in the form of social security numbers, HIPPA protected patient information, credit-card data, and other sensitive County information. Since the project plans are to deploy the software at the client-level, the solution will be capable of discovering sensitive information locally on a system prior to any potential use of encryption for transmission.

Return on Investment (ROI): The loss of personally identifiable information, personal health information, or payment card information carries great potential for financial loss and public confidence in government, which could affect financial ratings, contracts, compliance and regulatory requirements. Such incidents have occurred in several federal, state and local governments resulting in significant negative impacts including litigation which can impact operations and/or prohibit certain services, or opportunities, revenues and expenses for many years, such as fines, additional security and audit requirements, and other liabilities directly related to the loss. A privacy and information management research firm states the average organizational cost of a data breach was \$5.5 million in 2011 and cost organizations an average of \$194 per compromised record. Adding a DLP implementation as an enhancement to enterprise security offers discovery, monitoring, detection, and protection capabilities that can assist with the proper management and protection of sensitive information, help mitigate the risk of potential data loss, and improve operational integrity.

Fund 10040 Information Technology

IT-000004 – Emergency Management Portal	IT Priorities: <ul style="list-style-type: none"> • Improved Service and Efficiency • Enhanced County Security • Maintaining a Current and Supportable Technology Infrastructure
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
N/A	N/A	\$200,000

Description and Justification: The Emergency Management Portal will provide a system to collect real time damage assessment data during an event or incident which necessitates EOC activation. The project goal is to have the system available 24 hours-per-day, 7-days-per-week during an emergency with the capability to capture and record details of field status updates and upload pictures and maps relevant to emerging situations.

FY 2014 funding of \$200,000 is included to support this new project which will allow first responders in the field to report data about facility conditions, road closures and other pertinent information to personnel at the EOC via a smart device, workstation or MCT. The data will be collected in a highly configurable database allowing the flexibility to adjust the data collected based on changing conditions and requirements. Data will be structured in a way to allow GIS to consume the data and graphically represent conditions on a map.

Return on Investment (ROI): The Emergency Management Portal will provide a real time, continuous feed from field personnel providing conditional status on County structures, capturing facility damage, accessibility, power, network, telephone statuses. Data will be entered via mobile device and aggregated to provide a comprehensive picture of event damage. Conditions will be presented graphically using GIS technology for simultaneous communication to both emergency planners at the EOC and responders in the field. Rapid capture and assimilation of accurate information from the field will improve the County’s effectiveness and timeliness in situational awareness, coordination, response and recovery efforts throughout an emergency event.

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IT-000005 – Governance Risk and Compliance (GRC) Auditing Project	IT Priorities: <ul style="list-style-type: none"> • Enhanced County Security • Maintaining a Current and Supportable Technology Infrastructure
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FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget
N/A	N/A	\$750,000

Description and Justification: The Governance, Risk and Compliance (GRC) Auditing Project provides for implementation of the SAP GRC system security user access monitoring and policy compliance solution. GRC will automate security monitoring and provide real time visibility of system access controls for the County’s new FOCUS system via a dashboard.

FY 2014 funding of \$750,000 is included to support the GRC Auditing solution required for the County’s annual financial audit in order to identify and address audit findings regarding management controls for security and legal compliance. The GRC auditing system is an enterprise solution supporting required policy activities of Internal Audit, the Department of Finance, the Information Security Office and senior management. The County’s financial auditors have recommended this tool in connection with the preparation of the county’s annual Comprehensive Annual Financial Report (CAFR).

Return on Investment (ROI): The GRC auditing solution will help the County reduce the cost and effort needed to proactively prevent risk events and compliance violations. GRC software provides the County real-time insight into its risk position, and embeds risk and compliance programs into the County’s strategy, planning, and operational execution. The potential benefits include reduced unauthorized access risk with centralized monitoring and management, improved visibility across risk initiatives, thresholds, and appetites, minimized impact and duration of risk events and decreased cost and effort of compliance, risk, and audit programs covering its SAP financial, procurement, treasury, human resources and payroll systems.

Fund 10040 Information Technology

FUND STATEMENT

Fund 10040, Information Technology

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$30,010,737	\$0	\$33,434,743	\$191,760
Revenue:				
Interest	\$119,916	\$300,000	\$300,000	\$108,240
Other Revenue ¹	1,378,780	0	0	0
Total Revenue	\$1,498,696	\$300,000	\$300,000	\$108,240
Transfers In:				
General Fund (10001)	\$16,181,579	\$5,281,579	\$14,281,579	\$2,913,280
Cable Communications (40030)	5,670,000	3,260,000	3,260,000	2,900,000
Total Transfers In	\$21,851,579	\$8,541,579	\$17,541,579	\$5,813,280
Total Available	\$53,361,012	\$8,841,579	\$51,276,322	\$6,113,280
Expenditures:				
IT Projects ²	\$19,926,269	\$8,841,579	\$51,084,562	\$6,113,280
Total Expenditures	\$19,926,269	\$8,841,579	\$51,084,562	\$6,113,280
Total Disbursements	\$19,926,269	\$8,841,579	\$51,084,562	\$6,113,280
Ending Balance³	\$33,434,743	\$0	\$191,760	\$0

¹ In FY 2012, Other Revenue reflects \$606,307 in Technology Trust Fund revenue for continued support of Circuit Court technology modernization projects and \$99,550 in Court Public Access Network (CPAN) revenue which supports the state-mandated redaction project. All revenues received in this category are fully appropriated in the appropriate IT projects.

² In order to account for expenditures in the proper fiscal year, an audit adjustment in the amount of \$414,052.79 has been reflected as a decrease to FY 2012 expenditures, with a partially offsetting increase of \$222,292.79 in the *FY 2013 Revised Budget Plan* expenditure level. This adjustment has been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

³ Information Technology projects are budgeted based on total project costs. Most projects span multiple years. Therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.



1742

Fund 20000

Consolidated County and Schools Debt Service Fund

Focus

Fund 20000, Consolidated County and Schools Debt Service Fund (formerly Fund 200 and Fund 201), accounts for the general obligation bond debt service of the County as well as general obligation bond debt for the Fairfax County Public Schools (FCPS). In addition, debt service expenditures are included for the Economic Development Authority Lease Revenue bonds and School facilities and payments for Fairfax County Redevelopment and Housing Authority (FCRHA) Lease Revenue bonds. Revenues for the debt service funds are derived principally from a transfer from the General Fund. It should be noted that debt service on sewer revenue bonds is reflected in the Enterprise Funds.

The following is a chart illustrating the debt service payments and projected fiscal agent fees required in FY 2014 as well as the sources of funding supporting these costs:

		FY 2014 Advertised Budget
Expenses		
County Debt Service		\$105,072,394
Lease Revenue Bonds		13,068,040
Park Authority (Laurel Hill Golf Course)		743,134
Fiscal Agent Fees/Cost of Issuance		1,200,000
	Subtotal	\$120,083,568
School Debt Service		\$166,233,085
Lease Revenue Bonds (South County High School)		5,172,122
School Administration Building		3,776,923
Fiscal Agent Fees/Cost of Issuance		800,000
	Subtotal	\$175,982,130
Total Expenses		\$296,065,698
Funding		
General Fund Transfer		\$282,121,701
School Operating Fund Transfer		3,776,923
FCRHA Lease Revenue		1,043,940
Park Authority (Laurel Hill Golf Course)		743,134
Bond Proceeds to Offset Cost of Issuance		300,000
Fairfax City Revenue		80,000
FY 2013 Estimate Ending Balance		8,000,000
	Total Funding	\$296,065,698

General Obligation Bonds

Preliminary expenses for debt service payments associated with FY 2013 bond sales have been incorporated into the FY 2014 projections.

Fund 20000

Consolidated County and Schools Debt Service Fund

Capital Leases

Funding is included for the following Capital Leases which were issued by other entities, but are actually supported by the County and paid through County Debt Service subject to annual appropriation by the Board of Supervisors:

Economic Development Authority:

Herrity and Pennino Buildings	\$ 8,083,750
Mott, Gum Springs, Baileys, & James Lee Community Centers, Herndon Harbor Adult Day Care Center, South County Government Center	3,940,350
South County High School	5,172,122
Laurel Hill Golf Course	743,134*
School Administration Building	<u>3,776,923**</u>
Subtotal	\$22,716,279

Fairfax County Redevelopment and Housing Authority:

Gum Springs Head Start Facility	\$ 176,430
Herndon Senior Center	<u>867,510</u>
Subtotal	\$1,043,940

Total	\$22,760,219
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* Reimbursed by a transfer in from the Park Authority.

**Reimbursed by a transfer in from the School Operating Fund.

Debt Service Ratios

The Board of Supervisors has adopted specific debt indicators within the *Ten Principles of Sound Financial Management* to effectively manage the County's bonded indebtedness. The *Ten Principles* state that the County's debt ratios shall be maintained at the following levels:

- ◆ Net debt as a percentage of estimated market value should always remain less than 3.0 percent; and
- ◆ The ratio of debt service expenditures as a percentage of Combined General Fund disbursements should remain under 10.0 percent.

The Board of Supervisors annually reviews the cash requirements for capital project financing to determine the capacity to incur additional debt for construction of currently funded projects as well as capital projects in the early planning stages. In FY 1992 and FY 1994, bond projects were deferred in order to reduce planned sales and remain within capacity guidelines.

Fund 20000

Consolidated County and Schools Debt Service Fund

During the adoption of the FY 2008 Adopted Budget Plan, the Ten Principles of Sound Financial Management were revised to allow for the use of variable rate debt. Variable rate obligations are debt obligations that are quite frequently used for short-term or interim debt financing and have an interest rate that is reset periodically, usually for periods of less than one year. Variable rate debt is typically used to take advantage of low short-term rates in anticipation of converting to longer-term fixed rate financing for complex projects or to mitigate the impact of volatile markets. Also, variable rate debt reduces interest costs and typically provides the ability to redeem bonds without a prepayment penalty. It is anticipated that the use of variable rate debt will provide opportunities for interest rate savings, reduce arbitrage payments and promote more accurate sizing for long-term bond issues.

On November 19, 2007 the Board of Supervisors approved the Master Trust Agreement, Bank Note and related documents associated with acquisition of a \$200,000,000 revolving line of credit (LOC) from Bank of America. On October 19, 2010 the Board of Supervisors approved a renewal of the LOC in the amount of \$100,000,000. Any line of credit borrowings will be in conformance with the FY 2011 Revised Budget Plan and the FY 2011-FY 2015 Capital Improvement Program, or specific Board of Supervisors action approving such use. Variable rate debt will be used when it is most advantageous to the County in comparison to other financing options. A Variable Rate Debt Committee will carefully review each County department's request for use of the LOC and monitor the usage. The County has developed policies and procedures related to the use of variable rate debt and will monitor LOC usage closely.

As a result of County financial policies, prudent fiscal management and a strong economy, the County has been awarded the strongest credit rating possible from the three major national rating services. The County holds a Aaa from Moody's Investors Service (awarded 1975), a AAA from Standard and Poor's Ratings Service (awarded 1978), and a AAA from Fitch Ratings (awarded 1997). As of January 2013, Fairfax County is one of only 9 states, 39 counties, and 33 cities to hold a triple-A rating from all three services.

The FY 2014 debt service budget has been prepared on the basis of the construction and bond sale limitations set in place by the Board of Supervisors. The FY 2014 capital program supported by general obligation bonds was reviewed in conjunction with the FY 2014 - FY 2018 Adopted Capital Improvement Program (With Future Years to 2023).



Fund 20000

Consolidated County and Schools Debt Service Fund

The following are ratios and annual sales reflecting debt indicators for FY 2010 - FY 2014:

Net Debt as a Percentage of Market Value of Taxable Property

<u>Fiscal Year Ending</u>	<u>Net Bonded Indebtedness¹</u>	<u>Estimated Market Value²</u>	<u>Percentage</u>
2010	\$2,318,699,000	\$218,549,357,276	1.06%
2011	2,554,051,000	200,523,239,485	1.27%
2012	2,734,135,000	207,327,568,596	1.32%
2013 (est.)	2,746,819,200	213,440,615,864	1.29%
2014 (est.)	2,807,447,400	220,720,061,793	1.27%

¹ The amount includes outstanding General Obligation Bonds and other tax supported debt obligations as of June 30 in the year shown and is from the Fairfax County Department of Management and Budget.

² Source: Fairfax County Department of Tax Administration and the Department of Management and Budget.

Debt Service Requirements as a Percentage of Combined General Fund Disbursements

<u>Fiscal Year Ending</u>	<u>Debt Service Requirements¹</u>	<u>General Fund Disbursements²</u>	<u>Percentage</u>
2010	277,370,000	3,309,904,535	8.38%
2011	285,551,000	3,343,688,525	8.54%
2012	288,302,000	3,419,952,550	8.43%
2013 (est.)	299,233,552	3,599,110,292	8.31%
2014 (est.)	304,131,821	3,588,955,648	8.47%

¹ The amount includes total principal and interest payments on the County's outstanding tax supported debt obligations, including general obligation bonds and other tax supported debt obligations budgeted in other funds. Source: Fairfax County Department of Management and Budget.

² Source: Fairfax County Department of Management and Budget.

Annual Bond Sales

<u>Fiscal Year Ending</u>	<u>Sales (millions)</u>	<u>Total for the Five-Year Period Ending FY 2014</u>
2010	\$269.10	-
2011	171.39	-
2012	217.66	-
2013 (est.) ¹	206.34	-
2014 (est.) ¹	263.98	\$1,128.47

¹ Actual County and School bond sale amounts are based on the cash requirements for each project and municipal bond market conditions. Based on Board policy, annual sales will be \$275.0 million per year or \$1.375 billion over a five-year period with a technical limit of \$300.0 million in any given year. These amounts exclude refunding bond sales.

Fund 20000

Consolidated County and Schools Debt Service Fund

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Disbursement Adjustment** **\$14,240,834**
An increase in disbursements of \$14,240,834 or 4.9 percent is primarily attributable to scheduled requirements for existing debt service. Included in this amount is an \$8 million Transfer Out to the General Fund that is being used to balance the FY 2014 Advertised Budget Plan.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$14,338,488**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$14,338,488 in Operating Expenses to provide funding for bond sales scheduled during FY 2013.

Fund 20000

Consolidated County and Schools Debt Service Fund

FUND STATEMENT

Fund 20000, Consolidated Debt Service

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance ¹	\$14,905,001	\$162,457	\$14,761,432	\$8,000,000
Revenue:				
Build America Bonds Subsidy	\$3,182,291	\$3,182,291	\$3,182,291	\$0
Miscellaneous Revenue	2,278	0	0	0
Bond Proceeds	627,405	300,000	300,000	300,000
Revenue from Fairfax City	79,134	80,000	80,000	80,000
Total Revenue	\$3,891,108	\$3,562,291	\$3,562,291	\$380,000
Transfers In:				
County Debt Service:				
General Fund (10001) for County	\$114,387,844	\$114,536,534	\$114,536,534	\$117,754,052
FCRHA Lease Revenue Bonds (10001)	2,392,289	2,316,539	2,316,539	1,043,940
Park Authority Lease Revenue Bonds (80000)	853,313	876,113	453,169	743,134
Subtotal County Debt Service	\$117,633,446	\$117,729,186	\$117,306,242	\$119,541,126
Schools Debt Service:				
General Fund (10001) for Schools	\$159,739,692	\$164,757,064	\$164,757,064	\$172,367,649
School Admin Building (S10000)	3,773,523	3,776,323	3,776,323	3,776,923
Subtotal Schools Debt Service	\$163,513,215	\$168,533,387	\$168,533,387	\$176,144,572
Total Transfers In	\$281,146,661	\$286,262,573	\$285,839,629	\$295,685,698
Total Available	\$299,942,770	\$289,987,321	\$304,163,352	\$304,065,698

Fund 20000

Consolidated County and Schools Debt Service Fund

FUND STATEMENT

Fund 20000, Consolidated Debt Service

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Expenditures:				
General Obligation Bonds:				
County Principal	\$70,684,300	\$67,026,900	\$70,881,900	\$66,909,700
County Interest	32,764,345	29,900,098	32,768,332	29,464,494
Debt Service on Projected County Sales	0	6,176,538	5,339,000	8,698,200
Subtotal County Debt Service	<u>\$103,448,645</u>	<u>\$103,103,536</u>	<u>\$108,989,232</u>	<u>\$105,072,394</u>
Schools Principal	\$99,465,700	\$96,463,100	\$103,488,100	\$99,060,300
Schools Interest	56,756,356	52,452,444	57,765,297	52,880,685
Debt Service on Projected School Sales	0	11,329,909	7,682,955	14,292,100
Subtotal Schools Debt Service	<u>\$156,222,056</u>	<u>\$160,245,453</u>	<u>\$168,936,352</u>	<u>\$166,233,085</u>
Subtotal General Obligation Bonds	<u>\$259,670,701</u>	<u>\$263,348,989</u>	<u>\$277,925,584</u>	<u>\$271,305,479</u>
Other Tax Supported Debt Service (County):				
EDA Lease Revenue Bonds	\$12,078,350	\$12,058,400	\$12,058,400	\$12,024,100
FCRHA Lease Revenue Bonds	2,392,290	2,316,539	2,316,539	1,043,940
Park Authority Lease Revenue Bonds	586,548	876,113	719,934	743,134
Other Tax Supported Debt Service (Schools):				
EDA Schools Lease Revenue Bonds	8,693,770	9,224,823	9,142,895	8,949,045
Subtotal Other Tax Supported Debt Service	<u>\$23,750,958</u>	<u>\$24,475,875</u>	<u>\$24,237,768</u>	<u>\$22,760,219</u>
Other Expenses	<u>\$1,759,679</u>	<u>\$2,000,000</u>	<u>\$2,000,000</u>	<u>\$2,000,000</u>
Total Expenditures	<u>\$285,181,338</u>	<u>\$289,824,864</u>	<u>\$304,163,352</u>	<u>\$296,065,698</u>
Transfers Out:				
General Fund (10001)	\$0	\$0	\$0	\$8,000,000
Total Transfers Out	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$8,000,000</u>
Total Disbursements	<u>\$285,181,338</u>	<u>\$289,824,864</u>	<u>\$304,163,352</u>	<u>\$304,065,698</u>
Ending Balance²	<u>\$14,761,432</u>	<u>\$162,457</u>	<u>\$0</u>	<u>\$0</u>
Unreserved Ending Balance	<u>\$14,761,432</u>	<u>\$162,457</u>	<u>\$0</u>	<u>\$0</u>

¹ The FY 2013 estimate assumes a projected \$8 million in ending balance that will be available to balance the FY 2014 General Fund Budget. This is reflected as part of the Beginning Balance for FY 2014 and then noted as part of the Transfer Out to the General Fund.

² The change in ending fund balance is the result of use of fund balance to offset projected debt service requirements.

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2014
COUNTY DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2013	Interest Outstanding as of 6/30/2013	Total Outstanding as of 6/30/2013	Principal Due FY 2014	Interest Due FY 2014	Total Payment Due FY 2014	Principal Outstanding as of 6/30/2014	Interest Outstanding as of 6/30/2014
Series 2004A	63,530,000	4/14/2004	Adult Detention	\$149,500	\$29,912	\$179,412	\$40,000	\$7,047	\$47,047	\$109,500	\$22,865
			Commercial and Redevelopment	789,100	158,942	948,042	210,000	37,110	247,110	579,100	121,832
			Juvenile Detention	171,200	34,442	205,642	45,000	8,049	53,049	126,200	26,393
			Neighborhood Improvement	346,900	70,768	417,668	90,000	16,291	106,291	256,900	54,477
			Parks	5,866,400	1,178,034	7,044,434	1,545,000	275,855	1,820,855	4,321,400	902,179
			Storm Drainage	750,600	149,590	900,190	200,000	35,338	235,338	550,600	114,253
			Transportation	3,993,200	803,481	4,796,681	1,050,000	187,751	1,237,751	2,943,200	615,731
2004A Total				\$12,066,900	\$2,425,168	\$14,492,068	\$3,180,000	\$567,441	\$3,747,441	\$8,886,900	\$1,857,727
Series 2004A Refunding	67,200,000	4/14/2004	Adult Detention	\$6,644,700	\$665,839	\$7,310,539	\$2,404,200	\$334,394	\$2,738,594	\$4,240,500	\$331,445
			Commercial and Redevelopment	195,800	19,100	214,900	73,200	9,889	83,089	122,600	9,211
			Human Services	243,400	23,831	267,231	90,600	12,287	102,887	152,800	11,545
			Jail & Work Release Facilities	34,200	3,672	37,872	11,300	1,704	13,004	22,900	1,968
			Juvenile Detention	917,700	95,762	1,013,462	314,800	45,930	360,730	602,900	49,832
			Library	255,200	26,876	282,076	86,400	12,756	99,156	168,800	14,120
			Neighborhood Improvement	535,700	51,180	586,880	205,200	27,126	232,326	330,500	24,054
			Parks	1,599,900	161,454	1,761,354	573,700	80,439	654,139	1,026,200	81,015
			Public Safety	1,727,400	178,122	1,905,522	602,200	86,596	688,796	1,125,200	91,526
			Storm Drainage	374,300	39,789	414,089	125,100	18,684	143,784	249,200	21,104
			Transit	88,000	9,472	97,472	28,900	4,385	33,285	59,100	5,087
			Transportation	2,916,100	290,160	3,206,260	1,064,400	146,890	1,211,290	1,851,700	143,270
2004A Refunding Total				\$13,532,400	\$1,565,259	\$17,097,659	\$5,580,000	\$781,079	\$6,361,079	\$9,952,400	\$784,180
Series 2004B	69,120,000	10/19/2004	Commercial and Redevelopment	\$929,700	\$167,820	\$1,097,520	\$225,000	\$39,073	\$264,073	\$704,700	\$128,748
			Parks	2,876,400	520,765	3,397,165	695,000	120,886	815,886	2,181,400	399,879
			Public Safety	10,473,700	1,890,648	12,364,348	2,535,000	440,169	2,975,169	7,938,700	1,450,479
2004B Total				\$14,279,800	\$2,579,233	\$16,859,033	\$3,455,000	\$600,128	\$4,055,128	\$10,824,800	\$1,979,105
Series 2004B X Refunding	30,375,000	10/19/2004	Adult Detention	\$2,755,000	\$390,025	\$3,145,025	\$490,000	\$123,900	\$613,900	\$2,265,000	\$266,125
			Commercial and Redevelopment	195,000	27,275	222,275	35,000	8,775	43,775	160,000	18,500
			Human Services	310,000	43,713	353,713	55,000	13,950	68,950	255,000	29,763
			Juvenile Detention	740,000	104,550	844,550	135,000	33,175	168,175	605,000	71,375
			Library	960,000	135,950	1,095,950	170,000	43,200	213,200	790,000	92,750
			Neighborhood Improvement	570,000	81,400	651,400	100,000	25,650	125,650	470,000	55,750
			Parks	1,210,000	170,800	1,380,800	215,000	54,425	269,425	995,000	116,375
			Public Safety	1,405,000	198,325	1,603,325	250,000	63,200	313,200	1,155,000	135,125
			Transit	2,330,000	329,650	2,659,650	415,000	104,775	519,775	1,915,000	224,875
			Transportation	3,860,000	545,774	4,405,774	690,000	173,500	863,500	3,170,000	372,274
2004B Refunding Total				\$14,335,000	\$2,027,462	\$16,362,462	\$2,555,000	\$644,550	\$3,199,550	\$11,780,000	\$1,382,912
Series 2005A	85,655,000	8/16/2005	Adult Detention	\$3,140,000	\$905,163	\$4,045,163	\$290,000	\$134,200	\$424,200	\$2,850,000	\$70,963
			Human Services	2,565,000	743,356	3,308,356	235,000	109,613	344,613	2,330,000	633,744
			Library	5,405,000	1,559,994	6,964,994	500,000	230,988	730,988	4,905,000	1,329,007
			Parks	10,190,000	2,940,844	13,130,844	940,000	435,513	1,375,513	9,250,000	2,505,332
			Transportation	25,530,000	7,378,174	32,908,174	2,350,000	1,091,100	3,441,100	23,180,000	6,287,074
2005A Total				\$46,830,000	\$13,527,531	\$60,357,531	\$4,315,000	\$2,001,413	\$6,316,413	\$42,515,000	\$11,526,119
Series 2005A Refunding	117,505,000	8/16/2005	Adult Detention	\$2,427,700	\$378,041	\$2,805,741	\$390,000	\$110,173	\$500,173	\$2,037,700	\$267,869
			Commercial and Redevelopment	933,700	145,391	1,079,091	150,000	42,373	192,373	783,700	103,019
			Human Services	1,289,400	192,394	1,481,794	220,000	58,833	278,833	1,069,400	133,561
			Jail & Work Release Facilities	138,200	20,425	158,625	20,000	6,285	26,285	118,200	14,140
			Library	3,505,000	639,978	4,144,978	465,000	157,475	622,475	3,040,000	482,503
			Neighborhood Improvement	2,242,800	375,031	2,617,831	335,000	101,328	436,328	1,907,800	273,704
			Parks	14,757,700	2,588,385	17,346,085	2,085,000	664,760	2,749,760	12,672,700	1,923,625
			Public Safety	17,806,900	3,435,979	21,242,879	2,215,000	796,758	3,011,758	15,591,900	2,639,221
			Transportation	30,816,700	5,532,900	36,349,600	4,240,000	1,386,160	5,626,160	26,576,700	4,146,740
2005A Refunding Total				\$73,918,100	\$13,308,524	\$87,226,624	\$10,120,000	\$3,324,143	\$13,444,143	\$63,798,100	\$9,984,381
Series 2007A	107,780,000	1/18/2007	Commercial and Redevelopment	\$700,000	\$211,750	\$911,750	\$100,000	\$31,000	\$131,000	\$600,000	\$180,750
			Library	4,746,000	1,435,665	6,181,665	678,000	210,180	888,180	4,068,000	1,225,485
			Human Services	875,000	264,688	1,139,688	125,000	38,750	163,750	750,000	225,938
			Parks	3,391,500	1,025,929	4,417,429	484,500	150,195	634,695	2,907,000	875,734
			Parks - NVRPA	1,750,000	529,375	2,279,375	250,000	77,500	327,500	1,500,000	451,875
			Prim/2nd Road	2,100,000	635,250	2,735,250	300,000	93,000	393,000	1,800,000	542,250
			Public Safety	16,880,500	5,106,351	21,986,851	2,411,500	747,565	3,159,065	14,469,000	4,358,786
			Public Safety -capital renewal	700,000	211,750	911,750	100,000	31,000	131,000	600,000	180,750
			Transit	3,850,000	1,164,625	5,014,625	550,000	170,500	720,500	3,300,000	994,125
			Transportation	2,730,000	825,825	3,555,825	390,000	120,900	510,900	2,340,000	704,925
2007A Total				\$37,723,000	\$11,411,208	\$49,134,208	\$5,389,000	\$1,670,590	\$7,059,590	\$32,334,000	\$9,740,618

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2014
COUNTY DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2013	Interest Outstanding as of 6/30/2013	Total Outstanding as of 6/30/2013	Principal Due FY 2014	Interest Due FY 2014	Total Payment Due FY 2014	Principal Outstanding as of 6/30/2014	Interest Outstanding as of 6/30/2014
2008A	99,155,000	1/15/2008	Parks Transit Library Public Safety Transportation Public Safety -capital renewal	\$37,515,000 28,165,000 2,250,000 3,255,000 920,000 2,250,000	\$13,583,350 10,198,903 814,875 1,178,852 332,432 814,875	\$51,098,350 38,363,903 3,064,875 4,433,852 1,252,432 3,064,875	\$2,502,000 1,879,000 150,000 217,000 62,000 150,000	\$1,763,250 1,323,785 105,750 152,985 43,255 105,750	\$4,265,250 3,202,785 255,750 369,985 105,255 255,750	\$35,013,000 26,286,000 2,100,000 3,038,000 858,000 2,100,000	\$11,820,100 8,875,118 709,125 1,025,867 289,177 709,125
2008A Total				\$74,355,000	\$26,923,287	\$101,278,287	\$4,960,000	\$3,494,775	\$8,454,775	\$69,395,000	\$23,428,512
2009A	49,000,000	1/23/2009	Library Human Services Parks Parks - NVRPA Prim/2nd Road Public Safety	\$3,600,000 8,080,000 12,240,000 2,880,000 11,600,000 800,000	\$1,284,469 2,882,919 4,367,194 1,027,575 4,138,843 285,438	\$4,884,469 10,962,919 16,607,194 3,907,575 15,738,843 1,085,438	\$225,000 505,000 765,000 180,000 725,000 50,000	\$154,406 346,556 524,981 123,525 497,531 34,313	\$379,406 851,556 1,289,981 303,525 1,222,531 84,313	\$3,375,000 7,575,000 11,475,000 2,700,000 10,875,000 750,000	\$1,130,063 2,536,363 3,842,212 904,050 3,641,312 251,125
2009A Total				\$39,200,000	\$13,986,438	\$53,186,438	\$2,450,000	\$1,681,313	\$4,131,313	\$36,750,000	\$12,305,125
Series 2009B Refunding	31,883,500	1/23/2009	Adult Detention Commercial and Redevelopment Correctional Camp Neighborhood Improvement Human Services Jail & Work Release Facilities Juvenile Detention Library Parks Prim/2nd Road Public Safety Storm Drainage Transit Transportation	\$177,500 2,300 7,200 272,200 204,900 14,100 4,700 232,800 404,500 253,600 343,700 109,100 43,600 1,769,800	\$5,325 69 216 8,166 6,147 423 141 6,984 12,135 7,608 10,311 3,273 1,308 53,094	\$182,825 2,369 7,416 280,366 211,047 14,523 4,841 239,784 416,635 261,208 354,011 112,373 44,908 1,822,894	\$177,500 2,300 7,200 272,200 204,900 14,100 4,700 232,800 404,500 253,600 343,700 109,100 43,600 1,769,800	\$5,325 69 216 8,166 6,147 423 141 6,984 12,135 7,608 10,311 3,273 1,308 53,094	\$182,825 2,369 7,416 280,366 211,047 14,523 4,841 239,784 416,635 261,208 354,011 112,373 44,908 1,822,894	\$0 - - - - - - - - - - - - -	\$0 - - - - - - - - - - - - -
2009B Refunding Total				\$3,840,000	\$115,200	\$3,955,200	\$3,840,000	\$115,200	\$3,955,200	\$0	\$0
Series 2009C Refunding	131,800,000	10/28/2009	Adult Detention Commercial and Redevelopment Neighborhood Improvement Human Services Juvenile Detention Library Parks Prim/2nd Road Public Safety Storm Drainage Transportation	\$1,192,600 2,325,900 520,900 507,300 195,700 1,068,500 15,083,500 5,077,600 18,000,000 819,100 3,735,900	\$242,510 448,491 83,142 127,498 32,250 268,543 2,822,484 1,276,005 3,662,574 135,443 632,865	\$1,435,110 2,774,391 604,042 634,798 227,950 1,337,043 17,905,984 6,353,605 21,662,574 954,543 4,368,765	\$0 - - - - - - - - - -	\$57,800 113,277 25,131 25,365 9,603 53,425 733,049 253,880 867,536 40,315 186,063	\$57,800 113,277 25,131 25,365 9,603 53,425 733,049 253,880 867,536 40,315 186,063	\$1,192,600 2,325,900 520,900 507,300 195,700 1,068,500 15,083,500 5,077,600 18,000,000 819,100 3,735,900	\$184,710 335,214 58,011 102,133 22,647 215,118 2,089,435 1,022,125 2,795,038 95,128 446,802
2009C Refunding Total				\$48,527,000	\$9,731,801	\$58,258,801	\$0	\$2,365,444	\$2,365,444	\$48,527,000	\$7,366,357
Series 2009D Refunding	66,895,000	10/28/2009	Transportation	\$21,481,600	\$1,073,980	\$22,555,580	\$10,742,800	\$805,510	\$11,548,310	\$10,738,800	\$268,470
2009D Refunding Total				\$21,481,600	\$1,073,980	\$22,555,580	\$10,742,800	\$805,510	\$11,548,310	\$10,738,800	\$268,470
Series 2009E Refunding	202,200,000	10/28/2009	Human Services Library Road Bond Construction Parks-NVRPA Parks Public Safety	\$11,599,000 10,200,000 14,100,000 2,700,000 11,500,500 13,600,500	\$5,238,585 4,606,490 6,367,795 1,219,365 5,193,817 6,142,212	\$16,837,585 14,806,490 20,467,795 3,919,365 16,694,317 19,742,712	\$0 - - - - -	\$521,572 458,660 634,030 121,410 611,569 517,139	\$521,572 458,660 634,030 121,410 611,569 517,139	\$11,599,000 10,200,000 14,100,000 2,700,000 11,500,500 13,600,500	\$4,717,013 4,147,830 5,733,765 1,097,955 4,582,248 5,625,073
2009E Refunding Total				\$63,700,000	\$28,768,265	\$92,468,265	\$0	\$2,864,380	\$2,864,380	\$63,700,000	\$25,903,884
Series 2011A	47,880,000	2/10/2011	Transportation Facilities Road Bond Construction Parks-NVRPA Parks	\$17,041,000 14,242,900 2,436,300 9,494,800	\$7,411,880 6,194,844 1,059,628 4,129,648	\$24,452,880 20,437,744 3,495,928 13,624,448	\$950,300 794,300 135,900 529,500	\$789,351 659,741 112,851 439,806	\$1,739,651 1,454,041 248,751 969,306	\$16,090,700 13,448,600 2,300,400 8,965,300	\$6,622,529 5,535,102 946,777 3,689,842
2011A Total				\$43,215,000	\$18,796,000	\$62,011,000	\$2,410,000	\$2,001,750	\$4,411,750	\$40,805,000	\$16,794,250

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2014
COUNTY DEBT SERVICE

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2013	Interest Outstanding as of 6/30/2013	Total Outstanding as of 6/30/2013	Principal Due FY 2014	Interest Due FY 2014	Total Payment Due FY 2014	Principal Outstanding as of 6/30/2014	Interest Outstanding as of 6/30/2014
Series 2011A Refunding	6,912,400	2/10/2011	Adult Detention	\$60,100	\$4,500	\$64,600	\$30,200	\$3,005	\$33,205	\$29,900	\$1,495
			Community Redevelopment	66,700	4,995	71,695	33,500	3,335	36,835	33,200	1,660
			Human Services	64,800	4,850	69,650	32,600	3,240	35,840	32,200	1,610
			Juvenile Detention	111,100	8,320	119,420	55,800	5,555	61,355	55,300	2,765
			Library	151,900	11,375	163,275	76,300	7,595	83,895	75,600	3,780
			Hoods	316,700	23,715	340,415	159,100	15,835	174,935	157,600	7,880
			Storm Drainage	323,100	24,195	347,295	162,300	16,155	178,455	160,800	8,040
			Public Safety	348,100	26,065	374,165	174,900	17,405	192,305	173,200	8,660
			Parks	336,100	25,165	361,265	168,900	16,805	185,705	167,200	8,360
			Transportation	1,643,400	123,055	1,766,455	825,700	82,170	907,870	817,700	40,885
2011A Refunding Total				\$3,422,000	\$256,235	\$3,678,235	\$1,719,300	\$171,100	\$1,890,400	\$1,702,700	\$85,135
Series 2012A	77,185,000	2/2/2012	Human Services	\$19,096,200	\$6,773,605	\$25,869,805	\$1,005,200	\$743,770	\$1,748,970	\$18,091,000	\$6,029,835
			Library	1,900,000	674,000	2,574,000	100,000	74,000	174,000	1,800,000	600,000
			Parks	8,709,200	3,089,114	11,798,314	458,500	339,218	797,718	8,250,700	2,749,896
			Parks-NVRPA	2,850,000	1,011,000	3,861,000	150,000	111,000	261,000	2,700,000	900,000
			Public Safety	5,700,500	2,021,897	7,722,397	300,100	222,032	522,132	5,400,400	1,799,865
			Public Safety -capital renewal	2,850,000	1,011,000	3,861,000	150,000	111,000	261,000	2,700,000	900,000
			Road Bond Construction	13,222,900	4,690,329	17,913,229	696,000	515,010	1,211,010	12,526,900	4,175,319
			Transportation	19,001,200	6,739,905	25,741,105	1,000,200	740,070	1,740,270	18,001,000	5,999,835
2012A Total				\$73,330,000	\$26,010,850	\$99,340,850	\$3,860,000	\$2,856,100	\$6,716,100	\$69,470,000	\$23,154,750
Series 2012B Refunding	74,759,100	2/2/2012	Adult Detention	\$682,100	\$243,792	\$925,892	\$70,200	\$31,200	\$101,400	\$611,900	\$212,593
			Commercial and Redevelopment	3,184,400	1,280,881	4,465,281	115,800	150,941	266,741	3,068,600	1,129,939
			Human Services	717,900	275,993	993,893	-	33,584	33,584	717,900	242,409
			Juvenile Detention	249,800	100,913	350,713	3,500	11,864	15,364	246,300	89,049
			Library	3,893,400	1,496,832	5,390,232	-	182,139	182,139	3,893,400	1,314,693
			Neighborhood Improvement	712,200	275,181	987,381	35,100	33,352	68,452	677,100	241,829
			Parks	18,499,800	7,245,997	25,745,797	810,600	869,805	1,680,405	17,689,200	6,376,192
			Parks-NVRPA	1,435,600	551,932	1,987,532	67,160	67,160	1,435,600	1,435,600	484,772
			Public Safety	31,072,300	12,274,127	43,346,427	1,245,700	1,464,453	2,710,153	29,826,600	10,809,674
			Public Safety -capital renewal	574,100	220,703	794,803	-	26,857	26,857	574,100	193,847
			Roads	1,722,700	662,281	2,384,981	-	80,589	80,589	1,722,700	581,692
			Storm Drainage	1,147,500	458,975	1,606,475	24,600	54,326	79,926	1,122,900	404,649
			Transit	3,158,500	1,214,308	4,372,808	-	147,759	147,759	3,158,500	1,066,549
			Transportation	7,708,800	3,097,943	10,806,743	28,100	365,552	393,652	7,680,700	2,732,391
2012B Refunding Total				\$74,759,100	\$29,399,858	\$104,158,958	\$2,333,600	\$3,519,581	\$5,853,181	\$72,425,500	\$25,880,277
2013A									\$8,698,200		
Total County GO Debt				\$660,514,900	\$201,906,296	\$862,421,196	\$71,909,700	\$33,162,694	\$105,072,394	\$593,605,200	\$172,441,802
Lease Revenue Bonds											
2003EDA-Ref	85,650,000	10/1/2003	EDA Gov't Ctr Properties Refdng	\$41,175,000	\$7,323,875	\$48,498,875	\$6,025,000	\$2,058,750	\$8,083,750	\$35,150,000	\$5,265,125
2003H	2,530,000	6/1/2003	Gum Springs Glen Head Start	1,514,067	294,334	1,808,401	125,067	51,363	176,429	1,389,000	242,971
2005	8,105,000	6/22/2005	Herdon Senior Center	1,620,000	86,670	1,706,670	810,000	57,510	867,510	810,000	29,160
2010-EDA Ref	43,390,000	3/10/2010	Six Public Facilities	35,720,000	12,530,087	48,250,087	2,635,000	1,305,350	3,940,350	33,085,000	11,224,737
2012A-LRL Ref	12,832,200	4/17/2012	Laurel Hill Golf Course Refdng ¹	12,832,200	5,810,325	18,642,525	243,700	499,434	743,134	12,588,500	5,310,890
Total Lease Revenue Bonds				\$92,861,267	\$26,045,291	\$118,906,558	\$9,838,767	\$3,972,407	\$13,811,174	\$83,022,500	\$22,072,884
Total County Debt Service Fund 200-C20000				\$753,376,167	\$227,951,587	\$981,327,754	\$81,748,467	\$37,135,101	\$118,883,568	\$676,627,700	\$194,514,686

¹ Principal and interest payments will be funded by a transfer in from the Park Authority Revenue Fund 80000.

COUNTY OF FAIRFAX, VIRGINIA
SCHEDULE OF GENERAL OBLIGATION AND LEASE VERNUE BONDS FOR FY 2014
SCHOOLS DEBT SERVICES

Bond	Original Issue Amount	Issue Date	Category	Principal Outstanding as of 6/30/2013	Interest Outstanding as of 6/30/2013	Total Outstanding as of 6/30/2013	Principal Due FY 2014	Interest Due FY 2014	Total Payment Due FY 2014	Principal Outstanding as of 6/30/2014	Interest Outstanding as of 6/30/2014
G.O. Bonds											
2004A	120,215,000	4/14/2004	Schools	\$22,823,100	\$4,586,444	\$27,409,544	\$6,005,000	\$1,073,172	\$7,078,172	\$16,818,100	\$3,513,273
2004A Refunding	78,165,000	4/14/2004	Schools	17,877,600	1,787,404	19,665,004	6,485,000	899,959	7,384,959	11,392,600	887,445
2004B	116,280,000	10/19/2004	Schools	24,020,200	4,334,417	28,354,617	5,815,000	1,009,473	6,824,473	18,205,200	3,324,945
2004B Refunding	96,035,000	10/19/2004	Schools	45,305,000	6,408,475	51,713,475	8,070,000	2,037,200	10,107,200	37,235,000	4,371,275
2005A	104,685,000	8/16/2005	Schools	57,245,000	16,540,706	73,785,706	5,270,000	2,446,588	7,716,588	51,975,000	14,094,119
2005A Refunding	235,740,000	8/16/2005	Schools	149,771,900	27,185,533	176,957,433	20,255,000	6,730,970	26,985,970	129,516,900	20,454,563
2007A	126,820,000	2/7/2007	Schools	44,387,000	13,427,068	57,814,068	6,341,000	1,965,710	8,306,710	38,046,000	11,461,358
2008A	135,320,000	1/15/2008	Schools	101,475,000	36,750,863	138,225,863	6,765,000	4,769,325	11,534,325	94,710,000	31,981,538
2009A	150,510,000	1/23/2009	Schools	120,400,000	42,958,344	163,358,344	7,525,000	5,164,031	12,689,031	112,875,000	37,794,313
2009B	26,486,500	1/23/2009	Schools	3,190,000	95,700	3,285,700	3,190,000	95,700	3,285,700	-	-
2009C	83,273,000	10/28/2009	Schools	83,273,000	16,212,549	99,485,549	-	4,045,956	4,045,956	83,273,000	12,166,593
2009D	13,185,000	10/28/2009	Schools	5,273,400	263,645	5,537,045	2,637,200	197,740	2,834,940	2,636,200	65,905
2009E	138,499,500	10/28/2009	Schools	138,500,000	62,548,625	201,048,625	-	6,227,880	6,227,880	138,500,000	56,320,745
2011A	123,515,000	2/10/2011	Schools	112,140,000	48,788,688	160,928,688	6,230,000	5,194,263	11,424,263	105,910,000	43,594,425
2011A Refunding	11,782,600	2/10/2011	Schools	5,833,000	436,765	6,269,765	2,930,700	291,650	3,222,350	2,902,300	145,115
2012A	140,470,000	2/2/2012	Schools	133,445,000	47,331,300	180,776,300	7,025,000	5,197,450	12,222,450	126,420,000	42,133,850
2012B Refunding	117,590,900	2/2/2012	Schools	117,590,900	46,188,742	163,779,642	4,516,400	5,533,619	10,050,019	113,074,500	40,655,123
2013A							8,215,000	6,077,100	14,292,100		
G.O Bond Total				1,182,550,100	\$375,845,267	\$1,558,395,367	\$107,275,300	\$58,957,785	\$166,233,085	\$1,083,489,800	\$322,964,582
Revenue Bonds											
EDA 2005	60,690,000	1/27/2005	School Admin. Building ²	\$51,480,000	\$31,583,686	\$83,063,686	\$1,415,000	\$2,361,923	\$3,776,923	\$50,065,000	\$29,221,764
EDA 2012A L. Hill	34,912,800	4/17/2012	South County High School ¹	34,912,800	8,900,525	43,813,325	3,496,300	1,675,822	5,172,122	31,416,500	7,224,703
Revenue Bond Total				\$86,392,800	\$40,484,211	\$126,877,011	\$4,911,300	\$4,037,745	\$8,949,045	\$81,481,500	\$36,446,467
Total Schools Debt Service				\$1,268,942,900	\$416,329,478	\$1,685,272,378	\$112,186,600	\$62,995,529	\$175,182,129	\$1,164,971,300	\$359,411,049
Total County Debt Service				\$753,376,167	\$227,951,587	\$981,327,754	\$81,748,467	\$37,135,101	\$118,883,568	\$671,627,700	\$190,816,486
Grand Total Debt Current Service Fund 20000				\$2,022,319,067	\$644,281,065	\$2,666,600,132	\$193,935,067	\$100,130,630	\$294,065,697	\$1,836,599,000	\$550,227,535
Other County Debt Service											
Salona 2005	12,900,000	12/27/2005	Parks ³	\$8,062,500	\$2,172,666	\$10,235,166	\$645,000	\$321,162	\$966,162	\$7,417,500	\$1,851,504
FCRHA Series 2009	94,950,000	8/20/2009	Housing - Wedgewood ⁴	89,445,000	65,854,443	155,299,443	1,940,000	3,810,963	5,750,963	87,505,000	62,043,480
EDA 2011 Dulles Rail	205,705,000	5/19/2011	Dulles Rail Phase ¹	194,675,000	135,786,425	330,461,425	4,935,000	4,432,513	14,367,513	189,740,000	126,353,913
EDA 2011 Wiehle	99,430,000	7/28/2011	Wiehle Ave	99,430,000	56,578,018	156,008,018	-	4,145,463	4,145,463	99,430,000	52,432,556
EDA 2012A Woodburn	65,965,000	5/30/2012	Woodburn ⁷	64,770,000	51,601,375	116,371,375	1,190,000	2,996,450	4,186,450	63,580,000	48,604,925
EDA 2012 Dulles Rail	42,390,000	10/10/2012	Dulles Rail Phase ¹	41,200,000	30,245,200	71,445,200	980,000	1,999,150	2,979,150	40,220,000	28,246,050
Grand Total Debt Service All Funds				\$2,519,901,567	\$986,519,191	\$3,506,420,758	\$203,625,067	\$122,836,330	\$326,461,396	\$2,324,491,500	\$869,759,962

¹ Principal and interest will be paid by County Debt Service

² Principal and interest will be paid from a transfer in from the FCPS Operating Fund in connection with a capital lease.

³ Payments for Salona debt are budgeted in Fund 30010, General Construction and Contributions.

⁴ Payments for Crescent and Wedgewood debts are budgeted in Fund 30300, The Penny for Affordable Housing.

⁵ Payments for Dulles Rail Phase 1 Project (Series 2011 & 2012) are budgeted in Fund 40110, Phase 1 Dulles Rail Transportation Improvement.

⁶ Payments for Wiehle Ave Proj for the first three years are paid from the Capitalized Interest Account with the Trustee, BNY Mellon

⁷ Principal and interests are budgeted in Fund 30010, General Construction and Contributions.



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Capital Project Funds

Overview

The Fairfax County Capital Construction Program (other than sanitary sewer construction and resource recovery projects) is primarily financed through transfers from the General Fund and the sale of General Obligation bonds. Supplementing the General Fund and General Obligation bond monies are additional funding sources including Federal and State grants, contributions, and other miscellaneous revenues.

The following pages provide a narrative description of all capital funds, including Capital Construction Contribution Funds. These narratives include a description of each fund, a Fund Statement, and a Summary of Capital Projects.

Capital Project Funds

- **Fund 30010 – General Construction and Contributions**
- **Fund 30020 – Capital Renewal Construction**
- **Fund 30030 – Library Construction**
- **Fund 30040 – Contributed Roadway Improvement Fund**
- **Fund 30050 – Transportation Improvements**
- **Fund 30060 – Pedestrian Walkway Improvements**
- **Fund 30070 – Public Safety Construction**
- **Fund 30080 – Commercial Revitalization Program**
- **Fund 30090 – Pro Rata Share Drainage Construction**
- **Fund 30400 – Park Authority Bond Construction**
- **Fund S31000 – Public School Construction**

Capital Contribution Funds

Fairfax County contributes to the Washington Metropolitan Area Transit Authority (WMATA) to support the 106-mile Metrorail System, as well as to maintain and/or acquire facilities, equipment, railcars and buses.

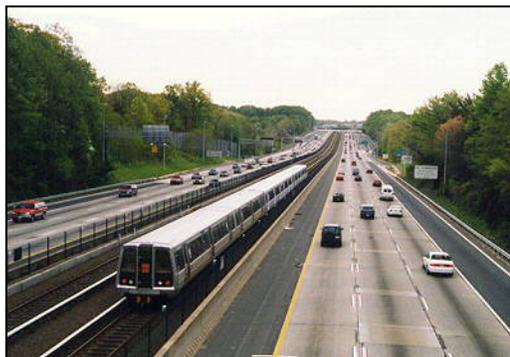
- **Fund 30000 – Metro Operations and Construction**

Fund 30000

Metro Operations and Construction

Focus

Fund 30000 (formerly Fund 309), Metro Operations and Construction, contains the funds provided by Fairfax County to pay the County's allocated portion of the Washington Metropolitan Area Transit Authority's (WMATA) FY 2014 operating and capital budget. The County subsidizes Metrorail, Metrobus, and MetroAccess (paratransit) service, contributes to construction costs associated with the 106-mile Metrorail system, and contributes to the repair, maintenance, rehabilitation, and replacement of capital equipment and facilities for the Metrobus, Metrorail, and MetroAccess systems.



The FY 2014 WMATA budget presented here includes preliminary County staff estimates in Fall 2012. The WMATA Board Budget Committee reviews the WMATA proposed budget between January and May 2013. The Metro Board will make its final decisions and approve a budget in June 2013.

The projected operating and capital requirements for the County's FY 2014 Metro subsidy are \$121,644,007. The County's portion of the total WMATA budget is determined using several formulas that include factors such as jurisdiction of residence of passengers, number of stations located in a jurisdiction, the amount of service in a jurisdiction, the jurisdiction's population, and the jurisdiction's population density. The County meets its Metro subsidy through a General Fund transfer, General Obligation bonds, applied State Aid, Gas Tax receipts, and interest earnings on State Aid balances. State Aid and Gas Tax balances are held and directly disbursed to Metro by the Northern Virginia Transportation Commission (NVTC).



Based on current Metro system needs, an increase is anticipated in the FY 2014 operating subsidy requirement from local jurisdictions. The County's FY 2014 proposed operating contribution of \$91.8 million includes an estimated 5 percent increase over the *FY 2013 Revised Budget Plan* operating contribution. In addition, Fund 30000 supports a transfer out of \$2.4 million to Fund 40000 (formerly Fund 100), County Transit Systems. The total operational requirements of \$91.8 million and the \$2.4 million for County Transit requirements is funded through the following sources: a proposed FY 2014 General Fund transfer of \$11.3 million, \$56.6 million in applied State Aid, \$25.9 million in applied Gas Tax Receipts, \$0.3 million in anticipated interest on balances held by NVTC, and \$0.1 million in proffer revenue from Fund 30040, Contributed Roadway Improvement Fund for the operating support of bus service in the Franconia/Springfield area.

In FY 2014, General Obligation bond revenue of \$26.54 million supports the majority of the \$29.81 million County subsidy for Metro Capital Construction Expenditures. Sources of support for the balance of the construction subsidy also include \$3.18 million in State Aid and \$0.093 million in Gas Tax receipts.

Further adjustments to the Metro FY 2014 budget, to be approved by the Metro Board in June 2013, will be reflected as revisions to the County's FY 2014 budget as part of the County's *FY 2013 Carryover Review* process.

Fund 30000

Metro Operations and Construction

FY 2014 Funding Adjustments

The following funding is necessary to support the FY 2014 program.

- ◆ **Metro Annual Operating Requirements** **\$91,835,406**
The projected FY 2014 subsidy requirement for WMATA Operating Expenses totals \$91,835,406, an increase of \$6,908,703, or 8.1 percent over the FY 2013 Adopted Budget Plan based on estimated funding requirements as of Fall 2012. This funding level supports existing Metrorail and Metrobus service levels, including \$51,269,939 for Metrobus; \$27,519,871 for Metrorail; and \$13,045,596 for MetroAccess service.
- ◆ **Metro Capital Requirements** **\$29,808,601**
Projected FY 2014 Capital Construction expenditures total \$29,808,601 of which \$27,941,000 will support the acquisition of facilities, equipment, rail cars, and buses, as well as provide general infrastructure support to the 106-mile Metrorail system. An amount of \$1,867,601 funds the Adopted Regional System (ARS) debt service requirements.
- ◆ **Transfer Out to Fund 40000, County Transit Systems** **\$0**
The FY 2014 Transfer Out of \$2,396,353 to Fund 40000, County Transit Systems, provides continued support for FAIRFAX CONNECTOR system. This level of support for County transit operations is consistent with an FY 2000 change in the NVTC State Aid and Gas Tax funding formula that resulted in a higher annual allocation to Fairfax County. When the formula was updated, the NVTC required that additional funds that resulted from the formula change be used only for transit service enhancements.
- ◆ **General Fund Support for Metro** **\$11,298,296**
A proposed General Fund transfer of \$11,298,296 is consistent with the prior year level of support.
- ◆ **Support from Fund 30040, Contributed Roadway Improvement Fund** **\$110,000**
A transfer of \$110,000 from Fund 30040, Contributed Roadway Improvement Fund, provides annual operating support of shuttle service in the Franconia/Springfield area. This level is consistent with the prior year level of support.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$735,000**
FY 2013 expenditures are recommended to increase \$735,000 based on Metro's approved Capital Improvement Program budget. The Sale of Bonds in support of Metro's capital program decreases in the amount of \$8,770,414, as a result of bond funds available in fund balance from FY 2012 due to lower capital expenditure requirements in the prior year, partially offset by the increase required for the FY 2013 capital program.

Fund 30000 Metro Operations and Construction

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Metrobus				
Percent change in Fairfax County trips	(8.0%)	3.8%	(0.2%) / 5.4%	(2.6%)
Metrorail				
Percent change in Fairfax County ridership	4.0%	(1.9%)	0.8% / 1.0%	(4.6%)

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/30000.pdf

Performance Measurement Results

Fairfax County Metrobus ridership has fluctuated slightly from year to year for the period from FY 2010 through FY 2012. Metrobus trips originating in Fairfax County increased by 5.4 percent from FY 2011 to FY 2012, with a FY 2012 total of 9.5 million trips. Fairfax County Metrorail ridership is projected to decrease 4.6 percent in FY 2013, a slight decrease from the FY 2012 actual level. It should be noted that jurisdictional data provided by WMATA has been used to populate this chart, and in some cases prior-year actual data has been revised to reflect updated data capturing methodologies.

Fund 30000

Metro Operations and Construction

FUND STATEMENT

Fund 30000, Metro Operations and Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$10,407,378	\$0	\$9,505,414	\$0
Revenue:				
Revenue Applied to Operating Expenses:				
State Aid	\$46,252,220	\$54,222,593	\$54,222,593	\$56,616,843
Gas Tax Revenue	26,163,256	21,300,000	23,835,588	25,906,620
Interest on NVTC Balances	0	300,000	300,000	300,000
Subtotal - State/Gas Revenue, Operating	\$72,415,476	\$75,822,593	\$78,358,181	\$82,823,463
Revenue Applied to Capital Expenses:				
State Aid Applied to ARS Debt Service	3,009,628	3,009,628	1,774,221	1,774,221
Gas Tax Rev. Applied to ARS Debt Service	158,402	158,401	93,380	93,380
State Aid Applied to Metro Matters Capital	1,400,000	1,400,000	1,400,000	1,400,000
Subtotal - State/Gas Revenue, Capital	\$4,568,030	\$4,568,029	\$3,267,601	\$3,267,601
County Revenue:				
County Bond Sales ¹	\$20,000,000	\$21,839,000	\$13,068,586	\$26,541,000
Subtotal - County Revenue	\$20,000,000	\$21,839,000	\$13,068,586	\$26,541,000
Total Revenue	\$96,983,506	\$102,229,622	\$94,694,368	\$112,632,064
Transfers In:				
General Fund (10001)	\$11,298,296	\$11,298,296	\$11,298,296	\$11,298,296
Contributed Roadway Improvement Fund (30040)	110,000	110,000	110,000	110,000
Total Transfers In	\$11,408,296	\$11,408,296	\$11,408,296	\$11,408,296
Total Available	\$118,799,180	\$113,637,918	\$115,608,078	\$124,040,360
Expenditures:				
Operating Expenditures				
Bus Operating Subsidy ²	\$47,458,292	\$50,793,928	\$48,828,513	\$51,269,939
Rail Operating Subsidy	19,481,065	20,854,316	26,209,401	27,519,871
ADA Paratransit - Metro	12,409,775	13,278,459	12,424,377	13,045,596
Prior Year Audit Adjustments	2,259,077	0	0	0
Subtotal - Operating Expenditures	\$81,608,209	\$84,926,703	\$87,462,291	\$91,835,406

Fund 30000

Metro Operations and Construction

FUND STATEMENT

Fund 30000, Metro Operations and Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Capital Construction Expenditures				
Metro Matters Capital	\$22,301,965	\$23,239,000	\$23,974,000	\$27,941,000
ARS Debt Service	3,168,029	3,168,029	1,867,601	1,867,601
Total County Capital Construction Subsidy	\$25,469,994	\$26,407,029	\$25,841,601	\$29,808,601
Total Operating and Capital Subsidy	\$107,078,203	\$111,333,732	\$113,303,892	\$121,644,007
Applied Support				
Applied NVTC State Aid and Gas Tax to Operating	(\$72,415,476)	(\$75,522,593)	(\$78,058,181)	(\$82,523,463)
Applied Interest at NVTC to Operating	0	(300,000)	(300,000)	(300,000)
Applied NVTD Bonds to Capital Construction	0	0	0	0
Applied NVTC State Aid and Gas Tax to Capital	(4,568,030)	(4,568,029)	(3,267,601)	(3,267,601)
Applied CMAQ Funds to Capital	0	0	0	0
Total Expenditures, County	\$30,094,697	\$30,943,110	\$31,678,110	\$35,552,943
Transfers Out:				
County Transit Systems (40000)	\$2,215,563	\$2,304,186	\$2,304,186	\$2,396,353
Total Transfers Out	\$2,215,563	\$2,304,186	\$2,304,186	\$2,396,353
Total Disbursements, NVTC and County	\$109,293,766	\$113,637,918	\$115,608,078	\$124,040,360
Ending Balance³	\$9,505,414	\$0	\$0	\$0
General Fund and Contributions	\$0	\$0	\$0	\$0
Bond Funds	9,505,414	0	0	0
Unreserved Balance	\$0	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 2, 2004, the voters approved a \$110 million Transportation Bond. In October 2009, an amount of \$56.3 million was sold, including \$2.59 million in bond premium, leaving a balance of \$9.57 million in authorized but unissued bonds for this fund. The October 2009 bond sale amount included \$37.6 million to provide County one-time support to the Metro Capital Program, allowing the County to opt-out of debt service payments associated with capital projects for the next 25 years.

² Expenditures for the Bus Operating Subsidy include continuing annual support of the Springfield Circulator service.

³ The ending balance in Fund 30000, Metro Operations and Construction, varies from year to year and is primarily related to differences between the preliminary budget presented by WMATA's General Manager and WMATA's Adopted budget.

Fund 30010

General Construction and Contributions

Focus

Fund 30010 (formerly Fund 303) provides for critical park maintenance and repairs, as well as athletic field maintenance on both Park Authority and Fairfax County Public School (FCPS) fields. Funding is also provided for on-going initiatives such as development and management of the County's Laurel Hill property, environmental initiatives to support the Board of Supervisors 20-year Vision Plan and revitalization initiatives. In addition, this fund supports payments and obligations such as lease-purchase agreements, the acquisition of properties, construction and renovation projects associated with County facilities, and the County's annual contributions to the School-Age Child Care (SACC) Center Program, the Northern Virginia Regional Park Authority (NVRPA) and the Northern Virginia Community College.

Funding in the amount of \$19,818,202 is included in Fund 30010, General Construction and Contributions, in FY 2014. Funding includes an amount of \$13,833,202 supported by a General Fund Transfer; \$1,085,000 supported by a transfer from Fund 80300, Park Capital Improvement Fund, for Park Authority ADA projects, \$300,000 supported by developer default revenue bonds, \$500,000 in anticipated developer streetlight revenues, \$1,100,000 in anticipated Athletic Services Fee revenues and \$3,000,000 in General Obligation bonds to support the NVRPA. It should be noted that funding has been limited to the most critical priority projects. A summary of those projects funded in FY 2014 follows:

Park Maintenance Projects

FY 2014 funding in the amount of \$1,270,076 has been included for Park maintenance of both facilities and grounds. The Park facilities maintained with General Fund monies include but are not limited to: rental properties, historic properties, nature centers, maintenance facilities, sheds, shelters, and office buildings. Park priorities are based on the assessment of current repair needs including safety and health issues, facility protection, facility renewal and improved services. In addition, Park maintenance requirements are generated through scheduled preventative maintenance or from user requests for facility alterations. Without significant reinvestment in building and grounds, older facilities can fall into a state of ever decreasing condition and functionality, resulting in increased maintenance and repair costs in the future. Preventative and repair work is required for roof replacement and repair, HVAC, electrical and lighting systems, fire alarm systems and security systems. Funding is essential to the maintenance and repair of building stabilization, including capital renewal of over 538,000 square feet of buildings. Maintenance is also required on over 580 pieces of grounds equipment.

Specific funding levels in FY 2014 include:

- ◆ An amount of \$213,000 is included for general park maintenance at non-revenue supported Park facilities. These maintenance requirements include major non-recurring repairs and stabilization of properties, as well as repairs/replacements and improvements to roofs, electrical and lighting systems, sprinklers, HVAC systems, and the replacement of security and fire alarm systems. In FY 2014, funding is included to: repair and replace roofs at prioritized picnic shelters, nature centers and maintenance shops (\$138,000); and replace aged security systems at various sites throughout the County (\$75,000).

- ◆ An amount of \$587,076 is provided to fund annual requirements for Parks grounds maintenance at non-revenue supported parks. The Park Authority is responsible for the care of a total park acreage of over 24,000 acres of land, with 423 park site locations, maintenance and repair of tennis courts, basketball courts, trails, picnic areas and picnic shelters, playgrounds, bridges, parking lots and

Fund 30010

General Construction and Contributions

roadways, and stormwater ponds. This funding is also used for contract mowing of approximately 530 acres of land and arboreal services in response to citizens' requests, as well as addressing multi-year deferred maintenance on the aging park infrastructure. FY 2014 funding represents a decrease of \$200,000 from the FY 2013 Adopted Budget Plan level of \$787,076. This reduction will eliminate annual tennis and basketball court renovations. An average of nine athletic courts (approximately 6 tennis courts and 3 basketball courts) are renovated each fiscal year at a cost of \$20,000 to \$25,000 each. The total budget for court renovations was \$400,000; however, this budget was reduced by 50 percent in FY 2013. This reduction will eliminate the remaining funding for court renovations. As tennis courts and basketball courts become unsafe for citizen use, they will be taken out of service. The life expectancy of a tennis and basketball court is 10 years. The Park Authority maintains 260 tennis courts and 128 basketball courts. The public can expect to see court closings to start to occur within one to two years.

- ◆ An amount of \$470,000 is included to provide corrective and preventive maintenance for over 538,086 square feet at non-revenue supported Park Authority structures and buildings. These repairs include equipment repairs and the scheduled inspection and maintenance of HVAC, plumbing, electrical, security and fire alarm systems. This funding is critical in order to prevent the costly deterioration of facilities due to lack of maintenance.

Athletic Field Maintenance and Sports Projects

FY 2014 funding in the amount of \$5,747,535 has been included for the athletic field maintenance and sports program. This level of funding is supported by a General Fund transfer of \$4,647,535 and revenue generated from the Athletic Services Fee in the amount of \$1,100,000. Of the Athletic Services Fee total, \$250,000 will be dedicated to maintenance of school athletic fields, \$350,000 will be dedicated to synthetic turf field development, \$150,000 will be dedicated to the turf field replacement program, \$275,000 will be dedicated to custodial support for indoor sports organizations and \$75,000 will partially fund the Youth Sports Scholarship Program.

Specific funding levels in FY 2014 include:

- ◆ Two projects support maintenance efforts at Fairfax County Public School (FCPS) fields, totaling \$1,722,535. An amount of \$722,535 supports general maintenance including mowing at over 450 athletic fields (approximately 176 school sites). This effort is supported entirely by the General Fund and is managed by the Park Authority. An additional amount of \$1,000,000 is also dedicated to maintenance of school athletic fields to supplement general maintenance and directly applies revenue generated by the Athletic Services Fee to the athletic field maintenance program. This program provides twice weekly infield preparation on elementary, middle and high school game fields (110 fields); pre- or post-season infield renovations (200 fields); mowing and turf management on high school fields after June 1st (55 fields); and annual maintenance of irrigation systems (37 sites/67 fields). All field maintenance is coordinated between the Park Authority and the Department of Neighborhood and Community Services. Of the total funding, an amount of \$250,000 is included for



Fund 30010

General Construction and Contributions

this program based on the FY 2014 projection of revenue generated from the Athletic Services Fee and \$1,472,535 is supported by the General Fund.

- ◆ An amount of \$200,000 is included to continue the replacement and upgrading of Fairfax County Public Schools (FCPS) athletic field lighting systems at middle and high schools used by many County organizations. Funding supports a replacement and repair schedule, as well as improvements to bring existing lighting systems up to new standards. The school system's Office of Design and Construction Services ensures lighting standards are maintained and FCPS annually prioritizes funding for field lighting. FY 2014 funding supports replacement and repair projects for existing lighting systems only. This project is supported entirely by the General Fund and coordinated by Department of Neighborhood and Community Services.
- ◆ An amount of \$50,000 is included for routine maintenance of girls' softball field amenities on select Fairfax County Public School sites. These amenities, such as dugouts, fencing and irrigation systems, were added or constructed by the County based on recommendations from the citizen-led Action Plan Review Team (APRT) in order to reduce disparities in the quality of fields assigned to boys' baseball and girls' softball organizations. Routine maintenance is necessary both to maintain equity and to ensure safety. For five years, funding of \$200,000 was provided to support Girls' Fast Pitch Field Maintenance improvements to various girls' softball fields throughout the County as requested by the Fairfax Athletic Inequities Reform (FAIR). FY 2014 funding will provide maintenance to the improvements and amenities previously made to girls' softball fields. This project is supported entirely by the General Fund and coordinated by Department of Neighborhood and Community Services.
- ◆ An amount of \$350,000 is included to support the development of synthetic turf fields. Fields are chosen through a review process based on the need in the community, projected community use and the field location and amenities. Synthetic turf fields improve the capacity, safety, playability, and availability of existing athletic fields. Artificial fields offer a cost effective way of increasing capacity on fields at existing parks and schools. This effort is coordinated between the Park Authority and the Department of Neighborhood and Community Services and funding is provided from revenue generated from the Athletic Services Fee. Funding of \$500,000 had been dedicated to this program annually; however, since FY 2012 athletic services fee revenue funding of \$150,000 has been redirected in order to establish a turf field replacement program.
- ◆ An amount of \$500,000 is included for the turf field replacement program. Funding of \$150,000 is supported by athletic services fee revenue and \$350,000 is supported by the General Fund. There are currently 35 operational turf fields managed by the Park Authority. The oldest field was built in September 2003 and is almost 10 years old. Generally the useful life of a turf fields is 8 to 10 years. Turf fields are superior to grass surfaces in terms of playability and safety. There are over 100,000 youth and adults who participate annually on rectangular fields that benefit from turf fields. If turf fields are not replaced when needed, they would need to be closed due to safety reasons. In FY 2012, the replacement program was initiated at the \$500,000 level; however, based on the age and number of turf fields, a contribution of approximately \$1.5 million annually would be required to fully fund the replacement program. The FY 2014 level will allow the County to continue to plan for the gradual replacement of turf fields as they reach the end of their useful life, without a significant disruption in service.

Fund 30010

General Construction and Contributions

- ◆ An amount of \$2,500,000 is included for athletic field maintenance efforts, athletic field lighting and irrigation on 274 Park Authority athletic fields of which 113 are lighted and 119 are irrigated. The fields are used by 174,000 users and 200 user groups. This effort is supported entirely by the General Fund and is managed by the Park Authority.
- ◆ An amount of \$275,000 is included for custodial support for indoor gyms used by sports organizations. The use of FCPS indoor facilities on the weekend requires FCPS to schedule a school system employee to open and close the facility. Revenue generated from the Athletic Services Fee is used to provide payment for FCPS staff, eliminating the need for indoor sports organizations to pay the hourly rate previously charged. This project is entirely supported by revenue generated from the Athletic Services Fee and is managed by the Department of Neighborhood and Community Services.
- ◆ An amount of \$150,000 is included for the Youth Sports Scholarship Program. The Youth Sports Scholarship Program provides support to youth from low-income families who want to participate in community-based sports programs. Of the total funding, an amount of \$75,000 is included for this program based on the FY 2014 projection of revenue generated from the Athletic Services Fee, and \$75,000 is supported by the General Fund.

Americans with Disabilities Act (ADA) Compliance

FY 2014 funding in the amount of \$2,985,000 is included for the continuation of Americans with Disabilities Act (ADA) improvements required as part of the Department of Justice (DOJ) audit and identified in the settlement agreement signed by the Board of Supervisors on January 28, 2011. In May and June 2007, the United States Department of Justice conducted an audit of County government facilities and programs to determine compliance with the ADA. The audit of Fairfax County was part of a national audit program, and was not a result of any specific complaints in the County. The DOJ presented the County with the audit results in August 2009. The audit covered 78 buildings in the County and listed approximately 2,100 violations as well as approximately ten program areas which needed improvement in order to comply with the ADA. These violations ranged from updating emergency management procedures, web-based services, and general communication procedures, to improving access to buildings, parking garages, restrooms and elevators. Staff has categorized DOJ identified improvements by color: easy, inexpensive (green); more timely and costly (yellow); and difficult, time consuming, and/or expensive (red). In addition, the County and Parks are required as part of the agreement with the DOJ to perform assessments at all remaining facilities. These assessments are currently being conducted and will result in increased retrofitting requirements. Specific funding levels in FY 2014 include:

- ◆ Funding in the amount of \$1,085,000 is included for the continuation of Park Authority ADA improvements required as part of the Department of Justice audit. By FY 2013, the Park Authority will have completed improvements in the “green” and “yellow” categories identified to date. FY 2014 funding will provide for the mitigation of violations categorized as “red” within Park facilities. Additional funding for Park violations categorized as “red” will be required in future years. Funding is transferred from Fund 80300, Park Capital Improvement Fund, to support Park facilities projects.

Fund 30010

General Construction and Contributions

- ◆ Funding in the amount of \$1,900,000 is included for the continuation of ADA improvements at County owned facilities required as part of the Department of Justice audit. By FY 2013, FMD will have completed improvements in the “green” and “yellow” categories identified to date. FY 2014 funding will provide for the mitigation of violations categorized as “red” within County facilities and conduct a survey on 190 facilities. Based on these findings, additional funding for County violations categorized as “red” will be required in future years.

On-going Development Efforts

FY 2014 funding in the amount of \$2,407,383 has been included for costs related to on-going development efforts throughout the County, specifically:

- ◆ Funding of \$552,383 is included to address only the most critical aspects of property management at the Laurel Hill property. Laurel Hill was transferred to the County by the federal government and includes approximately 2,340 acres of land and 1.48 million square feet of building space. Of the amount funded in FY 2014, \$255,263 will fund the Facilities Management Department’s security, maintenance services, grounds maintenance and support staff. Since FY 2012, savings associated with additional mowing services being performed by the Community Labor Force (CLF) have been realized. The Community Labor Force is a safe, low-risk offender labor force, under the supervision of the deputy sheriffs who complete routine maintenance such as grass mowing, landscaping, graffiti removal and litter control. Funding of \$500,000 in the project balance as a result of CLF work has been applied in order to reduce FY 2014 requirements. Requirements in FY 2015 and beyond may return to previous funding levels. The remaining \$297,120 will fund Park Authority critical maintenance activities and support staff.
- ◆ An amount of \$405,000 is included to continue non-routine maintenance in five major commercial revitalization areas (Annandale, Route 1, Springfield, McLean and Baileys Crossroads). This funding provides for: fixing benches, bicycle racks, furniture and signs that are broken; fixing broken brick pavers; pruning trees and replacing dead trees; and maintaining appropriate site distances (trimming) on a priority basis. This funding partially supports the maintenance effort and does not fully fund the program. Funding for routine maintenance such as: mulching, fertilizing, broadleaf and weed control, edging, crack weed control, pest control, annual or perennial plantings, leaf removal in the fall, litter collection and removal of trash cans will be prioritized.
- ◆ An amount of \$50,000 is included to support the maintenance and establishment of geodetic survey control points for the geographic information system (GIS). This project also supports the development and maintenance of an interactive, GIS-based website which will provide convenient and cost effective monumentation information to the County’s land development customers.
- ◆ Funding in the amount of \$500,000 is included to support the Developer Streetlight program. The County coordinates with Virginia Power for the installation of streetlights throughout the County. Developers then make direct payments to the County. Upon completion of the installation, the streetlights are incorporated into the Fairfax County Streetlight Program inventory. This program is offset entirely by payments from developers.

Fund 30010

General Construction and Contributions

- ◆ Funding of \$700,000 is included to support the Developer Default program. This project is necessitated by economic conditions surrounding the construction industry that result in some developers not completing required public facilities, including acceptance of roads by the state, walkways and storm drainage improvements. Land Development Services (LDS) will identify projects for resolution in FY 2014, as well as respond to requests to prepare composite cost estimates to complete existing developer default projects. The total FY 2014 funding is supported by \$300,000 in anticipated developer default revenue, and \$400,000 in General Fund monies.

- ◆ Funding of \$100,000 is included to support the Road Viewers program. This maintenance effort includes upgrading roads for acceptance into the State Secondary Road System. Upgrades include survey, engineering, and construction projects within the Board of Reviewers Program. FY 2014 funding in combination with the *FY 2013 Revised Budget Plan* balance will allow DPWES staff to complete project work for Dumas Street, located in the Mount Vernon District.

- ◆ An amount of \$100,000 is included for Emergency Road Repairs Program and the Road Maintenance Program, which were combined in FY 2010. Staff will prioritize funding for projects including emergency safety and road repairs to County-owned service drives and County-owned stub streets which are currently not accepted by the Virginia Department of Transportation (VDOT) into the state highway system for maintenance and other on-going road maintenance work. On-going road maintenance includes, but is not limited to, pothole repair, drive surface overlays, sidewalk and curb repairs, traffic and pedestrian signage, hazardous tree removal, grading, snow and ice control, replacement of substandard materials, patching of existing travelways, minor ditching and stabilization of shoulders, slopes and drainage facilities.

Environmental Initiatives

FY 2014 funding of \$500,000 has been included for environmental initiatives. In response to a recommendation included in the FY 2012 Environmental Quality Advisory Council (EQAC) Annual Report on the Environment, beginning in FY 2014, a new project selection process has been implemented. The Environmental Improvement Program Committee developed a more rigorous project selection process to support of the Board-adopted Environmental Agenda. The Environmental Excellence 20-year Vision Plan (Environmental Agenda) includes six topic areas: Growth and Land Use; Air Quality and Transportation; Water Quality; Solid Waste; Parks, Trails and Open Space; and Environmental Stewardship. With these topic areas in mind, the Committee developed specific project criteria, solicited requests from County agencies for project proposals, interviewed project teams and ranked each proposal. This new process was approved by EQAC and has resulted in funding for several high quality environmental projects in FY 2014.

Specific funding levels include:

- ◆ An amount of \$110,000 is included for lighting retrofits and upgrades at Fairfax County Park Authority facilities for energy efficiency and conservation. Lighting will be upgraded to LED fixtures and controls will be installed to manage operating hours more efficiently. These energy saving retrofit replacements will reduce approximately 80 percent of energy usage, improve lighting, reduce the Greenhouse gas inventory and contribute to the dark skies initiative.

Fund 30010

General Construction and Contributions

- ◆ An amount of \$100,000 is included to continue the Invasive Plant Removal Program. The Park Authority manages this volunteer program, as well as other invasive removal initiatives. These programs restore hundreds of acres of important natural areas, protect tree canopy, and reach thousands of volunteers. Currently 6,000 trained volunteer leaders have contributed 20,000 hours of service since the Program's inception in 2005, improving over 1,000 acres of parkland.
- ◆ An amount of \$250,000 is included for Energy Education and Outreach initiatives. This program is intended to increase the awareness of Fairfax County residents, businesses and employees regarding their personal energy consumption and to encourage them to reduce consumption. Program objectives include educating citizens, businesses and employees about energy consumption, explaining the energy assessment (audit) process, and encouraging them to undertake energy-savings measures.
- ◆ An amount of \$10,000 is included for the Green Purchasing Program. This program is designed to support two interns to assist in clearly specifying environmental attributes during the County's procurement process. Fairfax County has a current inventory of over 2,400 contracts and emphasizing environmental attributes such as recycling, energy efficiency, durability and reduced toxicity during the procurement process can contribute to the purchase of green products, creating fiscal and environmental saving.
- ◆ An amount of \$6,500 is included to install a Weather Station for efficient water usage at Twin Lakes Golf Course. This system will help to measure air temperature, relative humidity, barometric pressure, rainfall, and other weather indicators in order to modify watering requirements. It is estimated that installing this weather station could save 20 million gallons of water per year.
- ◆ Finally, an amount of \$23,500 is provided for other strategic environmental initiatives. This funding may also be used to offset any unanticipated project shortfalls in existing or proposed projects.

In addition, an amount of \$58,140 has been provided in Fund 10030, Contributory Fund, to continue partnering with two non-profit agencies to support tree planting efforts throughout the County.

Payments and Obligations

FY 2014 funding in the amount of \$6,908,208 has been included for costs related to annual contributions and contractual obligations.

- ◆ Funding of \$966,162 is included for the annual payment associated with the Salona property based on the Board of Supervisors' approval of the purchase of this conservation easement on September 26, 2005. The total cost of the property is \$18.2 million with payments scheduled through FY 2026.
- ◆ Funding of \$750,000 is included for the County's annual contribution to offset school operating and overhead costs associated with School-Age Child Care (SACC) Centers.

Fund 30010

General Construction and Contributions

- ◆ Funding of \$2,192,046 is included for Fairfax County's contribution to the Northern Virginia Community College (NVCC). Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system. The County contribution has been gradually increased to the FY 2014 level of \$2.00 per capita due to the unprecedented growth in the NVCC student enrollment and the corresponding capital



- program requirements. The NVCC currently serves approximately 78,000 students surpassing all previous expectations of growth and capital planning. It is estimated that the NVCC serves an average of 20 percent of each high school graduating class in addition to increased support for local workers seeking new skills in a tough job market. The NVCC capital plan has recently been adjusted to keep pace with this accelerated enrollment and it is anticipated that capital contributions from the partners will continue to be adjusted gradually to avoid a major commitment from supporting jurisdictions in any given year. It is projected that the per capita support from the NVCC partners could reach \$2.50 per capita in the next two years. The NVCC has indicated that every dollar contributed to the capital program leverages \$29 in state funds back to Northern Virginia. The \$2.00 rate is applied to the population figure provided by the Weldon Cooper Center.
- ◆ Funding of \$3,000,000 is included for the County's annual contribution to the Northern Virginia Regional Park Authority (NVRPA) Capital program. The NVRPA Park system includes 25 parks and over 11,000 acres of land, over 100 miles of trails, numerous historic sites, five waterparks, two family campgrounds, three golf courses, a nature center, botanical gardens, rental cabins and cottages, five marinas, and nearly 30 miles of protected shoreline along major rivers and reservoirs. In Fairfax County, NVRPA owns over 8,000 acres – most of which protect environmentally sensitive watersheds along the Potomac, Bull Run and Occoquan Rivers. The NVRPA's capital improvement and land acquisition costs are shared by its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. The primary focus of NVRPA's capital program is to continue the restoration, renovation and modernization of existing park facilities, many of which were developed or constructed more than 20 years ago. Other elements of the capital program include land acquisition, the development of interpretive and educational displays and the addition of park features to meet the needs of the public. On November 6, 2012, the voters approved \$12.0 million to sustain the County's capital contribution to the NVRPA for an additional four years.

Fund 30010 General Construction and Contributions

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the FY 2012 Carryover Review, the Board of Supervisors approved an increase of \$101,321,005 due to the carryover of unexpended balances in the amount of \$96,165,077 and adjustments of \$5,155,928. This adjustment included: an increase to the General Fund transfer of \$800,000 to continue to address ADA improvements required as part of the Department of Justice audit and outlined in the settlement agreement signed by the Board of Supervisors on January 28, 2011, and \$500,000 to replenish the Prevention Fund for the development of programs to prevent youth violence and gang involvement. This adjustment also included the appropriation of \$3,499,567 in revenues received in FY 2012 associated with the second installment based on a two phase Contract of Sale with Inova Health Systems for a new Mid-County Center building, as approved by the Board of Supervisors on September 28, 2010. In addition, the adjustment included the appropriation of \$64,520 in miscellaneous revenues received in FY 2012, including \$25,176 associated with Athletic Field Maintenance Program, \$21,960 associated with the Strike Force Blight Abatement Program, \$17,064 associated with the Emergency Directives Program and \$320 in miscellaneous revenue associated with the sale of plans. In addition, higher than anticipated Athletic Service fee revenue of \$196,593, Developer Default revenue of \$85,901 and Minor Streetlight revenue of \$81,381 appropriated based on actual receipts in FY 2012. Lastly, both revenues and expenditures are decreased by \$72,034 based on a reconciliation of authorized but unissued bonds for this fund.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30010

General Construction and Contributions

FUND STATEMENT

Fund 30010, General Construction and Contributions

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$21,278,123	\$0	\$60,809,371	\$0
Revenue:				
Miscellaneous ¹	\$64,520	\$0	\$0	\$0
Bonds (NVRPA) ²	3,000,000	3,000,000	3,000,000	3,000,000
Bonds (County Construction) ³	20,100,000	0	33,290,000	0
Developer Payments-Streetlights ⁴	225,037	1,000,000	1,834,681	500,000
Developer Contributions Streetlights ⁵	110,608	0	0	0
Developer Defaults	385,901	300,000	300,000	300,000
Energy Efficiency and Conservation Block Grant (EECBG) ⁶	2,258,662	0	1,247,989	0
Athletic Field Maintenance Fees ⁷	1,296,593	1,100,000	1,100,000	1,100,000
VDOT Reimbursement Snow Removal ⁸	0	0	100,000	0
Sale of Land ⁹	3,499,567	0	0	0
Virginia Department of Behavioral Health and Developmental Services (DBHDS) ¹⁰	0	0	3,738,964	0
Total Revenue	\$30,940,888	\$5,400,000	\$44,611,634	\$4,900,000
Transfer In:				
General Fund (10001)	\$18,519,369	\$15,137,806	\$16,437,806	\$13,833,202
County Bond Construction (311) ³	27,104,978	0	0	0
Cable Communications (40030) ¹¹	1,904,500	0	0	0
Park Capital Improvement Fund (80300) ¹²	0	0	0	1,085,000
Total Transfers In	\$47,528,847	\$15,137,806	\$16,437,806	\$14,918,202
Total Available	\$99,747,858	\$20,537,806	\$121,858,811	\$19,818,202
Total Expenditures	\$38,938,487	\$20,537,806	\$121,858,811	\$19,818,202
Total Disbursements	\$38,938,487	\$20,537,806	\$121,858,811	\$19,818,202
Ending Balance¹³	\$60,809,371	\$0	\$0	\$0

Fund 30010

General Construction and Contributions

¹ Miscellaneous receipts in FY 2012 represent an amount of \$25,176 for Project 2G51-002-000, Athletic Field Maintenance, \$21,960 for Project 2G97-001-000, Strike Force Blight Abatement, \$17,064 for Project 2G25-018-000, Emergency Directives Program and \$320 in miscellaneous revenue associated with the sale of plans.

² Represents the County's annual contribution to the Northern Virginia Regional Park Authority (NVRPA) Capital program. On November 6, 2012 the voters approved \$12.0 million to sustain the County's capital contribution to the Northern Virginia Regional Park Authority for four years. FY 2014 represents the second year of the four year program.

³ Due to a small number of active projects in Fund 311, County Bond Construction, all project balances and anticipated bond revenues were transferred to Fund 30010, General Construction and Contributions, in FY 2012.

⁴ Reflects developer payments for Project 2G25-024-000, Developer Streetlight Program.

⁵ Reflects revenue received from developer contributions for streetlight improvements.

⁶ On December 7, 2009, the Board of Supervisors approved funding in the amount of \$9,642,800 associated with the award of a U.S. Department of Energy (DOE), Energy Efficiency and Conservation Block Grant (EECBG) for energy efficiency projects. This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009. Through FY 2012, an amount of \$8,394,811 has been received and \$1,247,989 is anticipated in FY 2013.

⁷ Represents revenue generated by the Athletic Services Fee to support the athletic field maintenance and sports program.

⁸ Reflects revenue anticipated from the Virginia Department of Transportation associated with a new snow removal pilot program.

⁹ FY 2012 funding represents the second installment associated with a two phase Contract of Sale with Inova Health Systems. The Contract of Sale includes the transfer of approximately 15 acres of land including the Woodburn Mental Health Center and Woodburn Place from the County to Inova. In exchange for this land, Inova will provide the County with an approximate 5 acre parcel/pad at Willow Oaks II, a cash payment, and a 10 year lease of 40,000 square feet within the new Mid-County Center building, as approved by the Board of Supervisors on September 28, 2010.

¹⁰ On October 18, 2011, the Board of Supervisors approved funding in the amount of \$3,738,964 for two Medicaid Waiver certified group homes. This grant funding was awarded to Fairfax County from the Virginia Department of Behavioral Health and Departmental Services (DBHDS) to acquire and rehabilitate or newly construct two, fully accessible, energy efficient, six-bedroom group homes.

¹¹ In FY 2012, an amount of \$1,904,500 was transferred from Fund 40030, Cable Communications, including \$1,500,000 for synthetic turf field development at County high school sites and \$404,500 to support wiring, cabling, fiber and communication interconnection equipment associated with phone and data systems at new or expanded facilities.

¹² In FY 2014, an amount of \$1,085,000 is transferred from Fund 80300, Park Capital Improvement Fund, to support ADA requirements at Park facilities.

¹³ Capital projects are budgeted based on total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30010

General Construction and Contributions

FY 2014 Summary of Capital Projects

Fund 30010, General Construction and Contributions

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G02-001-000	Environmental Agenda Initiative (EAI)		\$88,743.03	\$666,461.48	\$400,000
2G02-002-000	Revitalization Initiatives (OCCR)	439,329	51,985.00	190,000.00	0
2G02-004-000	ARRA-Gum Springs HVAC and EMCS	421,210	416,564.82	0.00	0
2G02-005-000	ARRA-Lillian Carey/Bailey's HVAC/EMCS	276,734	276,733.86	0.00	0
2G02-006-000	ARRA-Retrofits at FCPS	2,046,287	913,066.75	231,557.41	0
2G02-009-000	ARRA-Greenhouse Gas Emission Inventory	71,433	30,876.30	21,083.57	0
2G02-010-000	ARRA-Electric and Hybrid Vehicles	297,574	0.00	59,034.12	0
2G02-011-000	ARRA-Residential Emergency Audit Rebates	428,853	284,060.99	144,791.72	0
2G02-016-000	OCCR- Annandale Façade Improvements	16,110	0.00	16,110.00	0
2G02-017-000	OCCR- Annandale Marketing	40,000	0.00	40,000.00	0
2G02-018-000	OCCR- Kings Crossing Redevelopmentz	547,021	0.00	547,021.13	0
2G02-019-000	OCCR- Revitalization Projects	1,011,255	0.00	1,011,255.05	0
2G02-020-000	OCCR- Richmond Hwy Façade Improvements	55,654	0.00	55,654.02	0
2G06-001-000	Salona Property Payment	8,942,677	1,013,488.50	990,091.04	966,162
2G06-002-000	Payments Of Interest On Bond Deposits		61,195.53	267,892.86	0
2G06-003-000	NVRPA		3,000,000.00	3,000,000.00	3,000,000
2G08-001-000	Laurel Hill Development-FMD		1,034,790.92	2,199,358.28	255,263
2G25-012-000	School Aged Child Care Contribution		750,000.00	750,000.00	750,000
2G25-013-000	NOVA Community College Contribution		1,554,710.00	1,897,721.00	2,192,046
2G25-014-000	Maintenance-Commercial Revitalization Program		364,151.09	472,476.43	405,000
2G25-015-000	Road Improvements-Wolf Trap Fire Station	400,000	0.00	252,017.00	0
2G25-017-000	Strike Force Blight Abatement		36,166.13	0.00	0
2G25-018-000	Emergency Directive Programs (EDP)		66,276.68	384,076.01	0
2G25-019-000	Survey Control Network Monumentation		28,168.55	121,831.45	50,000
2G25-020-000	Developer Defaults (DD)		702,572.16	3,570,617.06	700,000
2G25-021-000	Emergency Road Repairs		102,637.65	197,929.66	100,000
2G25-022-000	Road Viewers Program (RVP)		3,964.22	282,756.38	100,000
2G25-023-000	Road Maintenance Program (RMP)		23,640.50	105,939.94	0
2G25-024-000	Developer Street Light Program (DSLPP)	2,630,518	207,487.22	1,838,761.99	500,000
2G25-026-000	Minor Street Light Upgrades (MSLU)		48,099.19	151,970.64	0
2G25-077-000	Water Authority Rate Review	50,000	0.00	50,000.00	0
2G25-078-000	Boys' Probation House Expansion Study	75,000	0.00	75,000.00	0
2G25-079-000	North County Study	250,000	0.00	250,000.00	0
2G25-081-000	Hypothermia Prevention Program	250,000	0.00	250,000.00	0
2G35-001-000	Transportation Studies	1,100,000	21,100.00	37,443.73	0

Fund 30010

General Construction and Contributions

FY 2014 Summary of Capital Projects

Fund 30010, General Construction and Contributions

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G35-002-000	Community/Project Planning and Design	1,880,000	14,999.70	628,293.96	0
2G35-003-000	Laurel Hill Development-DPZ		52,620.41	524,452.00	0
2G40-041-000	Tysons Transportation Studies-DOT	1,250,000	1,009.02	762,389.98	0
2G40-045-000	Revitalization Initiatives - DOT	170,421	0.00	170,421.08	0
2G40-047-000	VDOT Snow Removal Program	100,000	0.00	100,000.00	0
2G51-001-000	Park Maintenance at FCPS Fields		913,966.75	1,028,279.31	722,535
2G51-002-000	Athletic Field Maintenance		2,673,675.90	2,691,938.21	2,500,000
2G51-003-000	Athletic Services Fee-Field Maintenance		854,241.54	1,438,095.18	1,000,000
2G51-004-000	Athletic Services Fee-Turf Field Replacement		0.00	1,000,000.00	500,000
2G51-005-000	Parks-General Maintenance		493,461.30	860,345.08	213,000
2G51-006-000	Parks-Ground Maintenance		973,982.98	1,184,558.59	587,076
2G51-007-000	Parks-Facility/Equipment Maintenance		597,704.10	863,607.19	470,000
2G51-008-000	Laurel Hill Development-Parks		395,447.75	697,892.03	297,120
2G51-032-000	EAI-Invasive Plant Removal		170,149.66	311,567.34	100,000
2G79-219-000	Athletic Services Fee-Custodial Support		294,723.00	314,318.00	275,000
2G79-220-000	APRT-Amenity Maintenance		33,196.54	77,549.99	50,000
2G79-221-000	Athletic Services Fee-Sports Scholarships		150,000.00	150,066.61	150,000
2G79-222-000	Prevention Incentive Fund		227,779.91	734,365.28	0
2G93-001-000	Emergency Management Initiatives	757,958	0.00	235,151.88	0
2G97-001-000	Strike Force Blight Abatement		0.00	307,926.87	0
GF-000001	ADA Compliance-FMD		828,178.05	3,951,464.01	1,900,000
GF-000002	ADA Compliance-Equity Programs		76,334.36	5,199.41	0
GF-000003	Security Improvements	650,000	0.00	54,171.88	0
GF-000004	Telecommunication/Network Connections	4,254,541	125,821.32	1,703,917.80	0
GF-000005	Fund Contingency		(32,752.60)	150,038.88	0
GF-000006	Bond Contingency		10,081.75	374,842.77	0
GF-000007	ARRA - County Facility Lighting Controls	500,682	496,930.60	3,751.62	0
GF-000016	ADA Compliance - DPWES		242,903.67	557,096.33	0
HS-000001	Katherine K. Hanley Family Shelter	3,856,681	2,609.65	0.00	0
HS-000002	Mott Community Center Expansion	600,000	0.00	334,765.07	0
HS-000003	Health Department Lab	5,600,000	16,612.64	282,989.40	0
HS-000004	East County Human Services Center	3,675,000	61.70	3,617,259.29	0
HS-000005	Woodburn Mental Health Center	18,579,262	2,121,759.31	13,150,970.90	0
HS-000006	Human Services Juvenile Bond Projects	2,026,168	51,708.98	1,749,881.37	0
HS-000007	County Cemetery	600,000	330,825.81	267,174.19	0
HS-000008	Central Virginia Training Center Group Homes	3,738,964	3,642.49	3,735,321.51	0
PR-000072	ARRA - Athletic Field Lighting Controls	527,878	123,214.81	263,548.10	0

Fund 30010

General Construction and Contributions

FY 2014 Summary of Capital Projects

Fund 30010, General Construction and Contributions

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
PR-000080	Athletic Services Fee-Turf Field Development		1,426,071.36	1,697,132.90	350,000
PR-000082	FCPS Athletic Field Lighting		377,382.83	335,583.29	200,000
PR-000083	ADA Compliance - Parks		619,977.54	3,133,812.49	1,085,000
PR-000085	ARRA - Park Facility Lighting Controls	324,731	95,435.23	146,001.45	0
PR-000089	Storm Damage Mitigation-FCPA	1,100,000	296,472.26	803,527.74	0
PS-000001	Countywide Security Enhancements	1,023,209	160,282.85	0.00	0
ST-000004	Braddock District Capital Projects		9,091.89	88,506.42	0
ST-000005	Dranesville District Capital Projects		3,723.92	344,444.14	0
ST-000006	Hunter Mill District Capital Projects		74,175.84	149,104.67	0
ST-000007	Lee District Capital Projects		1,052.55	66,326.96	0
ST-000008	Mason District Capital Projects		2,809.13	109,339.49	0
ST-000009	Mt. Vernon District Capital Projects		6,430.99	158,747.76	0
ST-000010	Providence District Capital Projects		10,088.34	96,045.03	0
ST-000011	Springfield District Capital Projects		0.00	22,853.02	0
ST-000012	Sully District Capital Projects		0.00	54,157.88	0
ST-000013	At Large Capital Projects		0.00	35,772.48	0
ST-000014	Burke Station VRE Trails	1,338,869	0.00	366,186.92	0
ST-000015	Florence Lane Improvements/Walkway	350,000	29,642.50	0.00	0
TF-000004	Newington DVS Renovation	57,395,318	12,066,615.15	42,638,747.26	0
TF-000005	West Ox Bus Operations Center	54,453,951	414,114.84	5,022,960.80	0
TF-000007	Herndon Monroe Parking Garage Repairs	1,991,896	0.00	1,991,895.80	0
TS-000002	Safety Enhancements - Bus Shelters/Stops	1,850,000	19,749.53	185,200.98	0
Total		\$187,945,184	\$38,938,486.94	\$121,858,810.56	\$19,818,202

Fund 30020

Capital Renewal Construction

Focus

Fund 30020 (formerly Fund 317) supports the long-term needs of the County’s capital assets to maximize the life of County facilities, avoid their obsolescence, and provide for planned repairs, improvements and restorations to make them suitable for organizational needs. Capital renewal is the planned replacement of building subsystems such as roofs, electrical systems, HVAC, plumbing systems, window replacement, carpet replacement, parking lot resurfacing, fire alarm replacement and emergency generator replacement that have reached the end of their useful life. Without significant reinvestment in building subsystems, older facilities can fall into a state of ever-decreasing condition and functionality, and the maintenance and repair costs necessary to operate the facilities increase.

Fairfax County will have a projected FY 2014 facility inventory of over 8.7 million square feet of space throughout the County (excluding schools, parks, housing and human services residential facilities). This inventory continues to expand with the addition of newly constructed facilities, the renovation and expansion of existing facilities and the acquisition of additional property. With such a large inventory, it is critical that a planned program of repairs and restorations be maintained. In addition, the age of a major portion of this inventory of facilities is reaching a point where major reinvestments are required in the building subsystems.

Many County facilities have outdated HVAC and electrical systems that are susceptible to failure or are highly inefficient energy users. Sites are identified and each individual project involves a two-step process to complete both design and construction. Roof repairs and waterproofing are conducted in priority order after all roofs at County facilities are evaluated. Based upon the results of that evaluation, critical requirements are prioritized and a five-year plan is established. Repairs and replacement of facility roofs are considered critical to avoid the serious structural deterioration that occurs from roof leaks. By addressing this problem in a comprehensive manner, a major backlog of roof problems can be avoided. Carpet replacement and parking lot resurfacing are evaluated annually and prioritized based on the most critical requirements for high traffic areas. In addition, emergency generators and fire alarm systems are replaced based on equipment age, coupled with maintenance and performance history. Critical emergency repairs and renovations are accomplished under the category of emergency building repairs. These small projects abate building obsolescence and improve the efficiency and effectiveness of facilities and facility systems. The following table outlines, in general, the expected service life of building subsystems used to project capital renewal requirements, coupled with the actual condition of the subsystem component:

General Guidelines for Expected Service Life Of Building Subsystems

<u>Electrical</u>		<u>Plumbing</u>	
Lighting	20 years	Pumps	15 years
Generators	25 years	Pipes and fittings	30 years
Service/Power	25 years	Fixtures	30 years
Fire Alarms	15 years		
<u>HVAC</u>		<u>Finishes</u>	
Equipment	20 years	Broadloom Carpet	7 years
Boilers	15 to 30 years	Carpet Tiles	15 years
Building Control Systems	10 years	Systems Furniture	20 to 25 years

Fund 30020 Capital Renewal Construction

Conveying Systems

Elevator	25 years
Escalator	25 years

Site

Paving	15 years
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Roofs

Replacement	20 years
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Each year, the Facilities Management Department (FMD) prioritizes and classifies capital renewal projects into five categories. Projects are classified as Category F: urgent/safety related, or endangering life and/or property; Category D: critical systems beyond their useful life or in danger of possible failure; Category C: life-cycle repairs/replacements where repairs are no longer cost effective; Category B: repairs needed for improvements if funding is available, and Category A: good condition.

In September 2009, a staff analysis indicated that a backlog of category D and F critical capital renewal projects existed. In order to address this backlog, as part of the FY 2011 Adopted Budget Plan, the Board of Supervisors approved a 3-year plan of short-term borrowing totaling \$35 million. The 3-year plan was designed to eliminate the backlog and enable staff to determine the required level of annual funding for the program in the future. The proposed short-term borrowing program for capital renewal was included in the debt capacity estimates in the Capital Improvement Program (CIP) and accommodated within established debt limits for General Fund supported debt.

The Facilities Management Department (FMD) continues to work on the \$35 million backlog identified in 2009. Many of these backlogged capital renewal projects required multiple years to complete both design and construction and many are still underway. In addition, current staffing levels, the complexity of some of the projects, and staff requirements in other areas has delayed the completion of renewal projects.

Project Management staff has been involved in several time intensive programs which have reduced their capacity to work on capital renewal projects. FMD staff has been heavily involved in the retrofitting of County facilities to meet Americans with Disabilities Act (ADA) requirements. These improvements, required as part of the Department of Justice (DOJ) audit and identified in the settlement agreement signed by the Board of Supervisors on January 28, 2011, are in various stages of completion. In May and June 2007, the United States Department of Justice conducted an audit of County government facilities and programs to determine compliance with the ADA. The audit covered 78 buildings in the County and listed approximately 2,100 violations as well as approximately ten program areas which needed improvements. The agreement also requires that the County perform assessments at all remaining facilities. These assessments are currently being conducted and will result in increased retrofitting requirements.

In addition, FMD staff has also been working on Energy Efficiency and Conservation Block Grant (EECBG) projects. On December 7, 2009, the Board of Supervisors approved the award of a U.S. Department of Energy (DOE), Energy Efficiency and Conservation Block Grant in the amount of \$9,642,800. This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009 (ARRA). Some of the projects included: heating, ventilation and air conditioning systems, energy management control systems and lighting and lighting control systems. These projects are now complete.

Fund 30020

Capital Renewal Construction

Based on this additional workload, capital renewal project completion has fallen behind. In FY 2014, staff will focus on completing the backlogged capital renewal projects and monitor expenditures to determine when short-term borrowing will be required. No new funding is included in Fund 30020, Capital Renewal, in FY 2014.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved an increase of \$34,758,092 due to the carryover of unexpended project balances in the amount of \$34,312,029 and an adjustment of \$446,063. This adjustment was due to the appropriation of revenues received in FY 2012 associated with reimbursements from the Virginia Department of Transportation (VDOT) and the Virginia State Police for their share of the operational costs at the McConnell Public Safety and Transportation Operations Center (MPSTOC) as well as the state share of future projected capital renewal requirements at this facility. The County pays for all operational requirements such as security, custodial, landscaping, maintenance, parking lot repairs and snow removal costs and the State reimburses the County for their share of these costs. In addition, the state has begun providing annual funding for future repair and renewal costs to avoid large budget increases for required capital renewal costs in the future. Funding received from the state is appropriated annually at the Carryover Review.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30020 Capital Renewal Construction

FUND STATEMENT

Fund 30020, Capital Renewal Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$18,461,662	\$0	\$8,758,092	\$0
Revenue:				
Sale of Bonds ¹	\$3,000,000	\$0	\$6,000,000	\$0
Short Term Borrowing ²	0	15,000,000	35,000,000	0
MPSTOC Reimbursement ³	446,063	0	0	0
Total Revenue	\$3,446,063	\$15,000,000	\$41,000,000	\$0
Transfer In:				
Cable Communications (40030) ⁴	\$0	\$285,000	\$285,000	\$0
Total Transfers In	\$0	\$285,000	\$285,000	\$0
Total Available	\$21,907,725	\$15,285,000	\$50,043,092	\$0
Total Expenditures	\$13,149,633	\$15,285,000	\$50,043,092	\$0
Total Disbursements	\$13,149,633	\$15,285,000	\$50,043,092	\$0
Ending Balance⁵	\$8,758,092	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a \$125 million Public Safety Bond Referendum, of which \$14 million was designated for capital renewal purposes. An amount of \$3.0 million was sold in January 2012 and results in a balance of \$6.0 million in authorized but unissued bonds.

² In FY 2013, up to \$35,000,000 is anticipated to be provided using the County's short-term borrowing tools in order to reduce existing capital renewal backlogs. Borrowing will be based on actual project completion schedules and cash flow requirements as identified by staff.

³ A total of \$446,043 represents revenue received from the Virginia Department of Transportation (VDOT) and Virginia State Police associated with the state share of operating costs at the McConnell Public Safety and Transportation Operations Center (MPSTOC). These funding reimbursements will be held in capital renewal projects for future replacement requirements.

⁴ The FY 2013 Cable Communications transfer of \$285,000 supports the replacement of auditorium seating and carpeting at the Government Center.

⁵ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30020

Capital Renewal Construction

FY 2014 Summary of Capital Projects

Fund 30020, Capital Renewal Construction

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G08-003-000	Carpet Replacement		\$0.00	\$500,000.00	\$0
2G08-004-000	Parking Lot and Garage Repairs		2,753,045.53	1,624,552.09	0
2G08-005-000	Emergency Systems Failures		366,975.15	5,810,753.06	0
2G08-006-000	Window Replacement		127,072.45	203,927.55	0
2G08-007-000	State Support For MPSTOC Renewal		0.00	408,745.00	0
2G08-008-000	County Support For MPSTOC Renewal		0.00	964,683.00	0
GF-000008	Emergency Building Repairs		338,869.94	4,895,541.69	0
GF-000009	Fire Alarm Systems		308,907.51	1,538,557.97	0
GF-000010	Roof Repairs and Waterproofing		876,963.25	914,461.42	0
GF-000011	HVAC/Electrical Systems		1,645,735.16	13,367,760.88	0
GF-000012	Emergency Generator Replacement		470,228.05	2,586,702.10	0
GF-000013	Elevator Replacement		2,443,485.27	12,946,275.45	0
GF-000014	Public Safety Renewal - FMD		1,843,385.64	344,538.00	0
GF-000015	Public Safety Renewal - DPWES		1,974,964.76	3,936,593.82	0
Total		\$0	\$13,149,632.71	\$50,043,092.03	\$0

Fund 30030 Library Construction

Focus

This fund supports the construction and renovation of a network of facilities operated by the Fairfax County Public Library that offer library services according to the needs of the community. Approved library construction projects have been primarily financed with General Obligation Bonds and are based on factors such as age and condition of buildings, long-range space needs, projected population growth, usage and demand for services in underserved areas of the County. New library facilities are designed to utilize new information resources delivery, and existing facilities from the early 1960s are being redesigned and renovated to maximize space, as well as accommodate modern technology.

In the fall of 2004, the voters approved a Public Library Bond Referendum totaling \$52.5 million for library projects. Funding provided for the new Burke Centre and Oakton libraries and the renovation of four of the oldest libraries, including Richard Byrd, Martha Washington, Thomas Jefferson and Dolley Madison libraries. These new libraries and the library renovations are now complete. Based on the favorable



construction market and savings in the renovation projects, design work for the renovation and expansion of the Woodrow Wilson Library began in February 2011. Renovations are expected to be complete in the fall of 2014. In addition, on November 6, 2012, the voters approved a bond referendum in the amount of \$25 million to renovate the next four priority library facilities. These libraries include Pohick, Tysons Pimmit, Reston and John Marshall. The renovations will provide a more efficient use of the available space, meet customers' technological demands and better serve students and young children. The quiet study areas and group study rooms will be improved, the space to accommodate a higher number of public computers will be increased, and wireless access will be enhanced. Lastly, upgrades will be made to the building systems for operations and energy efficiency.

No funding is included in Fund 30030 (formerly Fund 302), Library Construction, for FY 2014. Bond funding for the library renovation projects will be appropriated as part of the *FY 2013 Third Quarter Review*. Work will continue on existing and previously funded projects.

Fund 30030 Library Construction

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments**

As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$13,249,140 due to the carryover of unexpended project balance.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30030 Library Construction

FUND STATEMENT

Fund 30030, Library Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$2,492,511	\$0	\$3,869,140	\$0
Revenue:				
Sale of Bonds ¹	\$2,000,000	\$0	\$9,380,000	\$0
Miscellaneous	0	0	0	0
Total Revenue	\$2,000,000	\$0	\$9,380,000	\$0
Total Available	\$4,492,511	\$0	\$13,249,140	\$0
Total Expenditures	\$623,371	\$0	\$13,249,140	\$0
Total Disbursements	\$623,371	\$0	\$13,249,140	\$0
Ending Balance²	\$3,869,140	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. The fall 2004 Public Library Facilities bond referendum approved by voters on November 2, 2004 was \$52.5 million, including \$50 million to provide new library facilities and renovate existing libraries. The remaining \$2.5 million was included for capital renewal work at libraries throughout the County and was fully expended in FY 2009 in Fund 317, Capital Renewal Construction. An amount of \$2.0 million was sold as part of the January 2012 bonds sale, leaving a balance of \$9.38 million in authorized but unissued bonds for this fund.

² Capital projects are budgeted based on total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30030 Library Construction

FY 2014 Summary of Capital Projects

Fund 30030, Library Construction

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
5G25-009-000	Fund Contingency		\$0.00	\$940,704.87	\$0
5G25-010-000	Oakton Community Library-FCPL	10,000	9,441.84	558.16	0
5G25-011-000	Library Feasibility Studies	399,925	31,328.16	368,596.98	0
LB-000001	Burke Centre Community Library	10,270,996	22,296.54	0.00	0
LB-000002	Oakton Community Library-OCF	6,465,000	55,025.61	305,953.96	0
LB-000003	Thomas Jefferson Community Library	6,856,000	21,717.77	114,121.60	0
LB-000004	Richard Byrd Community Library	7,193,419	6,144.30	0.00	0
LB-000005	Dolley Madison Community Library	10,970,453	352,990.90	4,567,970.02	0
LB-000006	Martha Washington Community Library	5,754,404	2,900.53	0.00	0
LB-000007	Woodrow Wilson Community Library	7,142,665	121,525.32	6,951,234.29	0
Total		\$55,062,862	\$623,370.97	\$13,249,139.88	\$0

Fund 30040

Contributed Roadway Improvement Fund

Focus

Fund 30040 (formerly Fund 301) was created specifically to account for proffered developer contributions received for roadway and transportation improvements throughout the County. Developer contributions are based on the developer rate schedule for road improvements in the Fairfax Center, Centreville, and Tysons Corner areas. In addition, the establishment of transportation contributions in Tysons Corner for Tysons-Wide Developer Contributions and Tysons Grid of Streets Contributions, to address the traffic impact of new development associated with growth along the Silver Line. This schedule is revised periodically by the Board of Supervisors based on the Consumer Price Index.

This fund is also used to provide matching funds to the state for projects identified by the Board of Supervisors in its consideration of the Virginia Department of Transportation (VDOT) Secondary Improvement Budget. Section 33.1-23.05B of the Code of Virginia enables the use of County funds for improvements to the secondary road system, and the Commonwealth Transportation Board has adopted a policy of providing a match of up to \$1 million, through its Revenue Sharing Program, for roadway projects designated by a locality for improvement, construction or reconstruction.

In FY 2014, \$110,000 in anticipated proffer revenue will be transferred to Fund 30000, Metro Operations and Construction, to provide the same level of annual support for shuttle bus service in the area of the Franconia/Springfield Metrorail Station.

No project funding is included in Fund 30040, Contributed Roadway Improvement Fund, for FY 2014. Project funding will be appropriated at the fiscal year-end, consistent with the level of developer proffer revenue received during the fiscal year. This approach to Fund 30040 project budgeting recognizes that significant fluctuations can occur from year to year in the pace of development with a resulting impact on proffer contributions. In FY 2014, work will continue on existing and previously funded projects using project balances. It is noted that proffer contributions are typically accumulated over a number of years until a sufficient level of revenue support is achieved for a major improvement. In addition, project expenditures cannot begin until the terms of the proffer contribution are met.

A separate project exists for each area for which contributions are received. These projects are described below. As specific roadway improvement projects are identified that conform to the appropriate funding parameters within each of these areas, funding is dedicated to complete the improvements.

Fairfax Center (Route 50/I-66) Developer Contributions – Commitments from developers in the Fairfax Center area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. Effective January 1, 2013 the developer rate for road improvements in the Fairfax Center area was adjusted from \$5.53 to \$5.69 per square foot of non-residential building structure and from \$1,225 to \$1,260 per residential dwelling unit. Ten percent of the developer's contribution is paid to the County at the time of the site plan approval. The balance of the amount due is paid as building permits are issued. As negotiated in individual proffer agreements, in-kind contributions of an equivalent value for road improvements can also be made in lieu of cash payments.

Centreville Developer Contributions - Commitments from developers in the Centreville area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. Effective January 1, 2013 the developer rate for road improvements in the Centreville area was adjusted from \$5.93 to \$6.10 per square foot of non-residential building structure and from \$2,346 to \$2,414 per residential dwelling unit.

Fund 30040

Contributed Roadway Improvement Fund

Countywide Developer Contributions – This project was created to serve as a source of funding for contributions received for countywide roadway improvements. Funds are dedicated for specific improvements when required. Many different projects throughout the County are supported by this project within the following major categories: primary and secondary road improvements, bridge design and construction, intersection/interchange improvements, signal improvements and transit improvements.

Tyson's Corner Developer Contributions - This project accounts for private sector contributions received for the Tyson's Corner area. Improvements supported by this project include corridor/pedestrian improvements throughout the Tyson's Corner area. Effective January 1, 2013, the developer rate for road improvements in the Tyson's area was adjusted from \$4.07 to \$4.19 per square foot of non-residential building structure and from \$903 to \$929 per residential dwelling unit.

Tyson's-Wide Developer Contributions- This project accounts for private sector contributions received for Tyson's-Wide transportation improvements adopted by the Board of Supervisors on January 8, 2013. Effective February 1, 2013, the initial rate is \$5.63 per square foot of non-residential building structure and \$1,000 per residential dwelling unit. The contributions are to be paid in full prior to issuance of Non-Residential Use and/ or Residential Use Permits, as applicable to each case. Developers may elect to construct the transportation improvements outlined in the guidelines in lieu of cash contributions, as negotiated in individual proffer agreements.

Tyson's Grid of Streets Contributions- This project accounts for private sector contributions received for Grid of Street improvements within the Tyson's Corner Urban Area adopted by the Board of Supervisors on January 8, 2013. Effective February 1, 2013, the initial rate is \$6.44 per square foot of non-residential building structure and \$1,000 per residential dwelling unit. The contributions are to be paid with 25 percent prior to site plan approval and the remaining 75 percent before building permits are issued. Developers may elect to construct the transportation improvements outlined in the guidelines in lieu of cash contributions, as negotiated in individual proffer agreements.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the FY 2012 Carryover Review, the Board of Supervisors approved an increase of \$39,262,081 due to the carryover of unexpended balances in the amount of \$39,104,596 and other adjustments of \$157,485. This increase was based on actual revenue received in FY 2012 in the amount of \$116,357 and interest earnings of \$41,128. Developer contribution revenue fluctuates each year depending on the pace of development; therefore, contributions are only reflected and applied to projects at the end of the fiscal year.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30040

Contributed Roadway Improvement Fund

FUND STATEMENT

Fund 30040, Contributed Roadway Improvement Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$40,075,128	\$0	\$38,971,706	\$0
Revenue:				
Federal Transportation Administration ¹	\$7,770	\$0	\$290,375	\$0
Fairfax Center Developer Contributions	50,000	0	0	0
Centreville Developer Contributions	0	0	0	0
Countywide Developer Contributions	110,000	110,000	110,000	110,000
Tysons Corner Developer Contributions	0	0	0	0
Route 29 Widening ²	58,587	0	0	0
Pooled Interest ³	41,128	0	0	0
Total Revenue	\$267,485	\$110,000	\$400,375	\$110,000
Total Available	\$40,342,613	\$110,000	\$39,372,081	\$110,000
Total Expenditures	\$1,260,907	\$0	\$39,262,081	\$0
Transfers Out:				
Metro Operations and Construction (30000) ⁴	\$110,000	\$110,000	\$110,000	\$110,000
Total Transfers Out	\$110,000	\$110,000	\$110,000	\$110,000
Total Disbursements	\$1,370,907	\$110,000	\$39,372,081	\$110,000
Ending Balance^{5,6}	\$38,971,706	\$0	\$0	\$0

¹ Represents Federal Transportation Administration revenue associated with Project 2G40-037-000, Job Access/Reverse Commute Pedestrian Projects in the Tysons Corner Area.

² FY 2012 reflects VDOT revenues associated with Project 2G40-033-000, Route 29 Widening.

³ Pooled interest is earned on the contributions as well as the accumulated fund balance in this fund.

⁴ Represents funds to be transferred to Fund 30000, Metro Operations and Construction, to support Metro shuttle bus service in the Franconia/Springfield area.

⁵ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

⁶ The \$38.9 million FY 2012 ending balance will meet capital project requirements in FY 2013 and future years. It is noted that proffered contributions cannot be expended until the terms of the proffer are met and until multiple contributions can be aggregated to meet total estimated costs of a project. As a result, a proffered contribution may be held in balance for several years, earning interest.

Fund 30040

Contributed Roadway Improvement Fund

FY 2014 Summary of Capital Projects

Fund 30040, Contributed Roadway Improvement

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G40-031-000	Fairfax Center Developer Contributions		\$17,076.46	\$5,015,943.97	\$0
2G40-032-000	Centreville Developer Contributions		0.00	909,001.78	0
2G40-033-000	Route 29 Widening	1,514,358	80,201.30	513,390.01	0
2G40-034-000	Countywide Developer Contributions		36,545.51	16,967,937.99	0
2G40-035-000	Tysons Corner Developer Contributions		0.00	13,130,148.63	0
2G40-037-000	Job Access/Reverse Commute	1,005,570	46,730.21	531,657.28	0
2G40-038-000	Tysons Corner Grid Concept	2,500,000	783,995.95	1,716,004.05	0
2G40-039-000	Tysons Circulator Feasibility Study	500,000	296,357.86	162,219.29	0
2G40-040-000	Tysons Metrorail Access Management	350,000	0.00	315,777.50	0
2G40-057-000	Tysons Grid of St Developer Contributions		0.00	0.00	0
2G40-058-000	Tysons-wide Developer Contributions		0.00	0.00	0
Total		\$5,869,928	\$1,260,907.29	\$39,262,080.50	\$0

Fund 30050

Transportation Improvements

Focus

Fund 30050 (formerly Fund 304) supports the land acquisition, design and construction of County transportation improvements. During the 1981 Session of the Virginia General Assembly legislation was approved enabling counties with a population over 125,000 to undertake secondary roadway improvements through the use of General Obligation bond revenues or General Fund revenues. Prior to this action, the construction and maintenance of all roadways in Fairfax County had been the exclusive responsibility of the Virginia Department of Transportation (VDOT). The existing road bond program is supported by General Obligation bonds approved by the voters in November 2007.

Fund 30050, Transportation Improvements, provides funding for various roadway projects and is used in conjunction with revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), authorizing a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 40010, County and Regional Transportation Projects, where a rate of 12.5 cents per \$100 assessed value, is included in the FY 2014 Advertised Budget Plan, an increase of 1.5 cents over the FY 2013 rate. In addition to roadway, pedestrian and transit projects, Fund 40010 also supports spot improvements consisting of quick-hit projects such as turn lanes and sidewalk and trail connections to improve mobility, enhance safety, and provide relief for transportation bottlenecks.

No funding is included in Fund 30050, Transportation Improvements, for FY 2014. Work will continue on existing and previously funded projects.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved an increase of \$97,010,584 due to the carryover of unexpended project balances in the amount of \$93,785,128 and an adjustment of \$3,225,456. This adjustment was due to the appropriation of bond premium in the amount of \$4,082,500 associated with the January 2012 bond sale, an increase to the General Fund transfer of \$200,000 to support the Traffic Calming program and \$3,076 in miscellaneous revenue received in FY 2012. In addition, a decrease of \$1,060,120 in revenues and expenditures reflects VDOT State Secondary Road Fund revenue that is no longer anticipated, based on the completion of the Spring Hill Road and Pedestrian Improvements-VDOT Funded projects.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered “continuing” projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30050 Transportation Improvements

FUND STATEMENT

Fund 30050, Transportation Improvements

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$10,870,065	\$0	\$18,048,584	\$0
Revenue:				
Bond Sale ¹	\$13,918,000	\$0	\$78,762,000	\$0
Bond Premium ¹	4,082,500	0	0	0
VDOT Reimbursement ²	635,211	0	0	0
Miscellaneous	3,076	0	0	0
Total Revenue	\$18,638,787	\$0	\$78,762,000	\$0
Transfer In:				
General Fund (10001)	\$250,000	\$0	\$200,000	\$0
Total Transfer In	\$250,000	\$0	\$200,000	\$0
Total Available	\$29,758,852	\$0	\$97,010,584	\$0
Total Expenditures	\$11,710,268	\$0	\$97,010,584	\$0
Total Disbursements	\$11,710,268	\$0	\$97,010,584	\$0
Ending Balance³	\$18,048,584	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bonds sales are based on cash needs in accordance with Board policy. In November 2007, the voters also approved a Transportation Bond Referendum in the amount of \$110 million. An amount of \$13.918 million from the 2007 referendum was sold in January 2012. In addition, an amount of \$4.082 million was applied to this fund in bond premium associated with the January 2012 sale. A balance of \$78.762 million remains in authorized but unissued bonds for this fund.

² Revenue was anticipated for Project 5G25-034-000, Spring Hill Road. This project is complete and no future revenues are anticipated.

³ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30050

Transportation Improvements

FY 2014 Summary of Capital Projects

Fund 30050, Transportation Improvements

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G25-076-000	Traffic Calming Program (TCP)	\$450,000	\$121,736.76	\$328,263.24	\$0
5G25-027-000	Fund Contingency		13,017.98	4,971,286.37	0
5G25-028-000	Wiehle Avenue	15,528,638	13,078.61	179,813.21	0
5G25-029-000	S. Van Dorn /I-95 Interchange	11,300,211	0.00	348,824.82	0
5G25-030-000	Advanced Preliminary Engineering	2,202,099	60,731.03	605,412.91	0
5G25-031-000	S. Van Dorn St. Phase III	8,382,086	0.00	304,339.67	0
5G25-032-000	Revenue Sharing Match	500,000	0.00	498,750.06	0
5G25-034-000	Spring Hill Road	10,174,743	48,652.62	607,293.65	0
5G25-035-000	Planning For 4-Year Transportation Plan	409,182	2,899.90	405,788.40	0
5G25-036-000	Annadale Road/Kerns Road	400,000	266,992.17	11,038.21	0
5G25-037-000	West Ox Rd/Fairfax County Parkway	960,702	20,489.36	0.00	0
5G25-038-000	Fairfax County Parkway/Sunrise Valley Dr.	708,063	1,994.02	0.00	0
5G25-039-000	S. Kings Highway/Harrison Lane	2,653,407	8,523.40	0.00	0
5G25-041-000	Stringfellow Road Widening	18,141,324	9,293.00	10,227,342.53	0
5G25-043-000	Planning For 2nd 4 Yr. Transportation	217,217	1,295.70	215,921.53	0
5G25-044-000	Spot Improvements-Braddock Rd.	500,000	308.50	260,725.21	0
5G25-046-000	Zion Drive	2,085,000	1,355,695.63	188,505.79	0
5G25-047-000	Spot Improvements - Route 7	750,000	24,113.76	569,018.49	0
5G25-048-000	Spot Improvements - Gallows Rd. Bike Line	3,000,000	30.85	2,999,969.15	0
5G25-049-000	Fairfax County Parkway Rt. 29	1,000,000	77,481.99	844,130.57	0
5G25-050-000	Roadway Improvements - Poplar Tree	5,000,000	2,619,104.56	601,822.64	0
5G25-051-000	Roadway Improvements - Stringfellow Rd.	21,000,000	61.70	20,999,938.30	0
5G25-052-000	Roadway Improvements - Route 29 Widening	4,707,520	224,203.31	3,525,046.02	0
5G25-053-000	Lorton Rd/Route 123	20,158,244	801,430.22	15,757,245.11	0
5G25-054-000	Cinder Bed Road Improvements	5,000,000	86,758.25	4,280,255.09	0
5G25-055-000	Base Realignment and Closure	8,500,000	246.80	6,499,629.80	0
5G25-056-000	2007 Bond Ref Transit Projecy-Vienna St. Underground	9,800,000	500,000.00	7,800,000.00	0
ST-000016	Centreville Rd. Trail at Dulles Toll Rd.	229,856	3,600.00	0.00	0
ST-000017	Colts Neck Road Walkway	366,000	130,181.47	62,978.83	0
ST-000018	Silverbrook/Hooes Road Intersection	775,000	455,854.93	73,208.02	0
ST-000019	Route 29 Walkway	250,000	3,178.70	125,664.21	0
ST-000020	Hunter Mill Road Walkway	809,748	25,810.94	12,300.00	0
ST-000021	Pedestrian Improvements-Bond Funded	15,000,000	2,977,160.43	3,348,936.70	0
ST-000032	Wiehle Avenue Walkway	250,000	128,460.34	121,539.66	0

Fund 30050 Transportation Improvements

FY 2014 Summary of Capital Projects

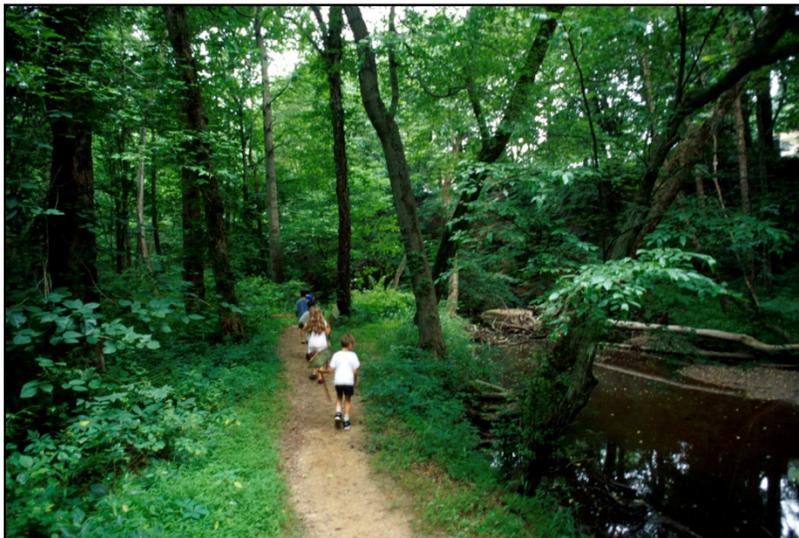
Fund 30050, Transportation Improvements

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
TF-000008	Reston Transit Center Improvements	160,000	114,640.20	56.28	0
TF-000009	Stringfellow Road Park & Ride Expansion	5,500,000	118,988.27	5,290,546.23	0
TS-000005	FTA-Richmond Highway Public Transportation	500,000	310,346.68	189,653.32	0
TS-000006	Bus Stop Improvements	7,750,000	1,183,905.97	4,055,339.93	0
TS-000007	Richmond Highway Match-Sidewalks	700,000	0.00	700,000.00	0
Total		\$185,819,040	\$11,710,268.05	\$97,010,583.95	\$0

Fund 30060 Pedestrian Walkway Improvements

Focus

Fund 30060 (formerly Fund 307) supports pedestrian and walkway improvements throughout the County, including the Fairfax County Sidewalk Program and the Fairfax County Trail Program. The Fairfax County Sidewalk Program was originally established in coordination with the Fairfax County Public Schools (FCPS) to ensure safe walking conditions for public school students in the County. The program was later expanded to include critical walkway and trail segments in coordination with the Trails and Sidewalk Committee to serve the recreation and transportation needs of pedestrians, bicyclists and equestrians in the County. This program includes projects that link residential areas and public schools, as well as missing walkway and trail segments to provide connections to completed portions of the countywide trail network. The County is currently responsible for the maintenance



and upgrade of 645 miles of walkways, including 50 miles of sidewalks connecting directly to school grounds, as well as subdivision sidewalks, trails and pedestrian bridges.

It is noted that, in addition to funding provided through Fund 30060, Pedestrian Walkway Improvements, additional pedestrian improvements funding is supported by revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), which authorized a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 40010, County and Regional Transportation Projects, where a rate of 12.5 cents per \$100 assessed value is included in the FY 2014 Advertised Budget Plan, an increase of 1.5 cents over the FY 2013 approved level.

In FY 2014, an amount of \$100,000 is included in Fund 30060, Pedestrian Walkway Improvements. Funding is provided to meet emergency and critical maintenance requirements for County trails, sidewalks and pedestrian bridges. On-going critical maintenance includes the correction of safety and hazardous conditions such as the deterioration of trail surfaces, the replacement and/or repair of guardrails and handrails, and the rehabilitation of pedestrian bridges. The Department of Public Works and Environmental Services (DPWES) and the Fairfax County Department of Transportation are responsible for maintaining approximately 224 miles of asphalt trails, 421 miles of concrete sidewalk, 65 pedestrian bridges, 17 miles of roadway service drives, and 4 miles of unimproved roads. Maintenance service levels have significantly fluctuated within these various maintenance programs based on funding constraints. Repairs are performed on a complaint basis only, and limited to addressing only emergency and safety related requirements. The Department of Public Works and Environmental Services is currently working on a condition assessment survey to identify the inventory of maintenance, construction and renovation required on County infrastructure, including trails, and to determine future financial needs.

Fund 30060 Pedestrian Walkway Improvements

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the FY 2012 Carryover Review, the Board of Supervisors approved an increase of \$4,282,682 due to the carryover of unexpended project balances in the amount of \$3,849,831 and an adjustment of \$432,851. This adjustment included the appropriation of revenues received in FY 2012 in the amount of \$445,437 for the Georgetown Pike Trail Project (Phase II). Funding in the amount of \$417,000 in Enhancement Grant Funds was approved by the Board of Supervisors on April 10, 2012 and \$28,437 in National Scenic Byway (NSB) Grant Program Funds from the Virginia Department of Transportation was approved by the Board of Supervisors on June 19, 2012. This funding will support the continued implementation of the Georgetown Pike Trail Project (Phase II). In addition, a decrease of \$12,586 in revenues and expenditures reflects Congestion Mitigation and Air Quality (CMAQ) grant reimbursement revenue that is no longer anticipated, based on the completion the Route 29/I-66 Underpass project in the Sully District.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30060

Pedestrian Walkway Improvements

FUND STATEMENT

Fund 30060, Pedestrian Walkway Improvements

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$608,396	\$0	\$887,089	\$0
Revenue:				
State Aid ¹	\$133,202	\$0	\$431,798	\$0
VDOT Revenue Sharing, NVTC ²	0	0	36,971	0
TEA-21 Grant ³	383,410	0	1,266,561	0
FHWA Grant ⁴	0	0	319,285	0
National Scenic Byway Grant ⁵	0	0	28,437	0
VDOT Enhancement Grant ⁶	0	0	417,000	0
Developer Contributions ⁷	89,000	0	895,541	0
Total Revenue	\$605,612	\$0	\$3,395,593	\$0
Transfers In:				
General Fund (10001)	\$100,000	\$300,000	\$300,000	\$100,000
Total Transfers In	\$100,000	\$300,000	\$300,000	\$100,000
Total Available	\$1,314,008	\$300,000	\$4,582,682	\$100,000
Total Expenditures	\$426,919	\$300,000	\$4,582,682	\$100,000
Total Disbursements	\$426,919	\$300,000	\$4,582,682	\$100,000
Ending Balance⁸	\$887,089	\$0	\$0	\$0

¹ An amount of \$431,798 in State Revenue Sharing funds is associated with Project 2G25-058-000, Richmond Highway Public Transportation Initiatives, and is anticipated to be received in FY 2013.

² Represents VDOT supplemental revenue sharing funds in the amount of \$648,921, based on a Revenue Sharing Program Amendment approved by the Board of Supervisors on February 27, 2006 for Project 2G25-058-000, Richmond Highway Public Transportation Initiatives. An amount of \$611,950 has been received through FY 2012, and \$36,971 is anticipated in FY 2013 and beyond.

³ A total amount of \$3,477,824 is anticipated from Transportation Enhancement Act (TEA-21) grant awards and supplemental agreements associated with Project ST-000024-006, Dranesville-Georgetown Pike; Project ST-000024-004, Dranesville-Walker Road; Project ST-000025, Hunter Mill District Walkways; Project ST-000025-003, Hunter Mill-Beulah Trail Feasibility Study; Project ST-000027-003, Mason District Walkways-Columbia Pike; Project ST-000028, Mount Vernon District Walkways; Project ST-000028-002, Mount Vernon District Walkways-Mason Neck Trail Segment II; and Project ST-000030, Springfield District Walkways. Through FY 2012, an amount of \$2,211,263 has been received. The remaining amount of \$1,266,561 is anticipated in FY 2013 and beyond.

⁴ A total amount of \$366,680 is anticipated from a Federal Highway Administration (FHWA) National Scenic Byway Grant associated with Project ST-000024-006, Dranesville District Walkways-Georgetown Pike. An amount of \$47,395 was received through FY 2012, and \$319,285 is anticipated in FY 2013 and beyond.

⁵ Represents National Scenic Byway Grant Program funds in the amount of \$28,437, based on a Standard Project Administration Agreement with the Virginia Department of Transportation approved by the Board of Supervisors on June 19, 2012 for Project ST-000024, Dranesville District Walkways-Georgetown Pike Trail.

⁶ Represents Virginia Department of Transportation Enhancement Grant funds in the amount of \$417,000, based on a Standard Project Administrative Agreement with the Virginia Department of Transportation approved by the Board of Supervisors on April 10, 2012 for Project ST-000024, Dranesville District Walkways-Georgetown Pike Trail.

⁷ Represents developer contributions associated with site plan approvals or proffer development conditions, where the developer has agreed to provide funds for the implementation of walkways or trails within a magisterial district.

⁸ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30060

Pedestrian Walkway Improvements

FY 2014 Summary of Capital Projects

Fund 30060, Pedestrian Walkway Improvements

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G25-009-000	Transportation Inventory Assessment (TIA)	\$200,000	\$0.00	\$200,000.00	\$0
2G25-057-000	Emergency Maintenance Of Existing Trails	597,745	57,840.55	194,577.25	100,000
2G25-058-000	Richmond Highway Transp. Initiatives (RHTI)	2,482,842	3,498.40	947,321.83	0
2G25-059-000	Fund Contingency		0.00	6,219.00	0
ST-000022	Plaza America Pedestrian Improvements	1,050,000	6,015.75	247,180.88	0
ST-000023	Braddock District Walkways	694,882	0.00	45,978.84	0
ST-000024	Dranesville District Walkways	2,632,700	25,687.39	1,236,632.55	0
ST-000025	Hunter Mill District Walkways	984,860	243,694.47	6,375.53	0
ST-000026	Lee District Walkways	680,904	0.00	77,924.58	0
ST-000027	Mason District Walkways	1,499,191	740.40	67,162.08	0
ST-000028	Mount Vernon District Walkways	3,131,336	89,441.68	1,206,764.32	0
ST-000029	Providence District Walkways	949,579	0.00	173,747.00	0
ST-000030	Springfield District Walkways	907,158	0.00	30,023.84	0
ST-000031	Sully District Walkways	1,368,250	0.00	142,774.55	0
Total		\$17,179,447	\$426,918.64	\$4,582,682.25	\$100,000

Fund 30070

Public Safety Construction

Focus

Fund 30070 (formerly Fund 312) supports the construction of fire and police stations, governmental centers with police substations, and other public safety facilities. Projects are funded by several public safety bond referenda approved by the voters, and the General Fund. On November 6, 2012, the voters approved a \$55 million Public Safety bond to support the expansion and renovation of three fire stations and 22 courtroom renovations. The Jefferson, Herndon and Bailey's Fire Stations have far exceeded their useful life and will be renovated to meet current Fire and Rescue operational requirements. The current stations lack sufficient space for apparatus and equipment, as well as adequate accommodations for female personnel. Continuous fire and rescue service will be provided to the communities during construction. All of these fire stations also require replacement of major building subsystems, such as HVAC and electrical systems which have also reached the end of their useful life. In addition, 22 courtrooms including 9 General District Court and 13 Circuit Court courtrooms in the Jennings Judicial Center will be renovated to provide for safe, comfortable and Americans with Disabilities Act (ADA) compliant rooms. Renovations include security upgrades, wall and ceiling replacement, improved lighting, ductwork realignment and ADA upgrades for juror deliberation rooms and restrooms. Modern technology will also be updated to support increased public and judiciary demands, which include digital evidence presentation capabilities and video conferencing to allow for video arraignments and testimony from remote witnesses.

No funding is included in Fund 30070, Public Safety Construction, in FY 2014. Bond funding for public safety projects will be appropriated as part of the *FY 2013 Third Quarter Review*. Work will continue on existing and previously funded projects.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved an increase of \$90,708,707 due to the carryover of unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30070 Public Safety Construction

FUND STATEMENT

Fund 30070, Public Safety Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$27,472,230	\$0	\$9,989,573	\$0
Revenue:				
Sale of Bonds ¹	\$6,000,000	\$0	\$80,719,134	\$0
Total Revenue	\$6,000,000	\$0	\$80,719,134	\$0
Transfer In:				
General Fund (10001) ²	\$242,595	\$0	\$0	\$0
Cable Communications (40030) ²	200,000	0	0	0
Total Transfers In	\$442,595	\$0	\$0	\$0
Total Available	\$33,914,825	\$0	\$90,708,707	\$0
Total Expenditures	\$23,925,252	\$0	\$90,708,707	\$0
Total Disbursements	\$23,925,252	\$0	\$90,708,707	\$0
Ending Balance³	\$9,989,573	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a \$125 million Public Safety Bond Referendum to support renovations and priority expansions at public safety facilities. In addition, on November 6, 2012, the voters approved a \$55 million Public Safety Bond. Including prior sales, an amount of \$87.58 million in authorized but unissued bonds remains from the 2006 referendum and \$55 million remains from the 2012 referendum.

² The FY 2012 funding was associated with Project CF-00002, Jennings Courtroom Renovations to support construction associated with the renovation of a fourth courtroom in the original portion of the Jennings Judicial Center. Of this amount, \$242,595 was funded by the General Fund and \$200,000 was transferred from Fund 40030, Cable Communications to support wiring, cabling and other technology costs associated with courtroom technology.

³ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30070

Public Safety Construction

FY 2014 Summary of Capital Projects

Fund 30070, Public Safety Construction

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G08-010-000	Courthouse Data Center Study	\$350,000	\$0.00	\$350,000.00	\$0
2G25-060-000	Traffic Light Signalization	967,762	0.00	210,313.58	0
2G25-061-000	Fund Contingency		0.00	956,656.43	0
2G25-062-000	Stonecroft Widening Sully Police Station	862,383	15,309.20	691,664.32	0
2G25-074-000	Courthouse IT Equip. & Support Capital Fac.	11,265,137	704,910.04	1,312,273.76	0
2G25-080-000	Merrifield Fire Station Space Study	75,000	0.00	75,000.00	0
2G25-082-000	Tyson's Redevelopment Facilities Study	125,000	0.00	125,000.00	0
2G80-001-000	Courthouse IT Equip.& Support-Circuit Court	99,750	19,560.54	0.00	0
2G81-001-000	Courthouse IT Equipment and Support-JDRC	34,600	0.00	3,089.14	0
2G85-001-000	Courthouse IT Equip. & Support-Gen. Dist.	333,550	5,561.27	65,121.52	0
2G91-001-000	Sheriff ADC Jail Security Design Study	300,000	0.00	300,000.00	0
2G92-001-000	PSTOC Equipment Support-Fire	107,163	53,207.57	0.00	0
2G93-002-000	PSTOC Equipment Support-OEM	302,874	2,237.50	112,625.48	0
2G95-001-000	PSTOC Equipment Support-DPSC	29,126	17,313.07	11,812.76	0
AD-000001	ADC Sewer Grinder	2,500,000	0.00	2,500,000.00	0
CF-000001	Judicial Center Expansion	127,020,483	170,074.17	297,732.53	0
CF-000002	Jennings Courtroom Renovations	3,530,000	136,951.56	784,473.40	0
FS-000001	Tyson's Fire Station	100,000	9,039.04	66,914.89	0
FS-000002	Bailey's Crossroads Fire Station	3,057,327	561,707.82	2,294,348.64	0
FS-000003	Fairfax Center Fire Station	8,899,923	3,320.55	16,297.51	0
FS-000004	Wolftrap Fire Station	11,325,000	4,607,324.57	2,379,327.98	0
FS-000005	Burke Volunteer Fire Station	4,482,327	0.00	20,437.11	0
FS-000006	Herndon Fire Station	1,350,000	364,111.76	765,730.00	0
FS-000007	Great Falls Fire Station	10,450,000	2,667,828.67	1,552,603.50	0
FS-000008	Fire Training Academy	17,100,000	525,975.96	13,481,075.58	0
FS-000009	Bailey's Fire Station Temporary	117,010	0.00	117,010.16	0
IT-000002	McConnell PSTOC - DIT	33,262,393	237,526.12	814,539.06	0
OP-000001	West Ox Road Animal Shelter Renovation	16,100,000	5,240,795.49	7,969,379.53	0
PS-000002	McConnell PSTOC - OCF	59,419,737	(372,062.04)	1,854,213.87	0
PS-000003	Fair Oaks Police Station Renovation	17,400,000	5,835,308.60	8,872,466.76	0
PS-000004	Reston Police Station Renovation	18,800,000	917,539.64	17,585,709.05	0
PS-000005	McLean Police Station Renovation	20,100,000	675,567.60	18,674,616.03	0
PS-000006	Public Safety Headquarters	8,521,739	1,526,143.71	6,448,274.00	0
Total		\$378,388,284	\$23,925,252.41	\$90,708,706.59	\$0

Fund 30080

Commercial Revitalization Program

Focus

The Commercial Revitalization Program funds the development and promotion of competitive, attractive and stable commercial centers leading to improved facilities for communities. Improvements include undergrounding utilities, sidewalk construction, street lighting, tree planting and other pedestrian amenities. In the November 1988 bond referendum, Fairfax County voters approved \$22.3 million for public improvements in commercial and redevelopment areas of the County. Of this amount, \$17.1 million was dedicated to fund utility and street landscaping projects in three designated revitalization districts: Central Annandale, Central Springfield and Bailey's Crossroads. The remaining amount of \$5.2 million was divided among the revitalization projects in the Town of Vienna, the McLean Central Business District and along a portion of the Route 1 corridor. In addition to bond proceeds, revenue from the Virginia Department of Transportation (VDOT), and developer contributions support improvement efforts within this fund.

Revitalization is one part of an overall County strategy to accomplish the economic rejuvenation of older retail and business centers. Through targeted efforts it is anticipated that these areas will become more competitive commercially, offer better services and improved shopping opportunities and will become viable candidates for private reinvestment.

No funding is included in Fund 30080 (formerly Fund 315), Commercial Revitalization Program, in FY 2014. Work will continue on existing and previously funded projects.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved an increase of \$5,954,914 due to the carryover of unexpended project balances in the amount of \$3,646,914 and an adjustment of \$2,308,000. This adjustment included an adjustment of \$2,385,757 for the McLean Utilities project associated with the undergrounding of utilities in the McLean Central Business District. Of this amount, \$1,300,000 represented revenue received in FY 2012 from the McLean Revitalization Corporation (MRC), the appropriation of remaining bond funds in the amount of \$135,757, and a General Fund transfer of \$950,000 to complete this undergrounding project. The construction contract for digging the trenches and placing the conduits associated with the infrastructure for the undergrounding of the utilities and the utility company estimate to bury the electrical wires were both higher than anticipated. In addition, a decrease of \$77,757 in revenues and expenditures reflects developer contribution reimbursement revenue that is no longer anticipated, based on the substantial completion of Bailey's Crossroads Streetscape.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30080 Commercial Revitalization Program

FUND STATEMENT

Fund 30080, Commercial Revitalization Program

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$129,415	\$0	\$1,439,164	\$0
Revenue:				
Sale of Bonds ¹	\$0	\$0	\$2,260,000	\$0
VDOT Revenues ²	171,995	0	1,305,750	0
Developer Contributions ³	1,300,000	0	0	0
Total Revenue	\$1,471,995	\$0	\$3,565,750	\$0
Transfer In:				
General Fund (10001)	\$0	\$0	\$950,000	\$0
Total Transfers In	\$0	\$0	\$950,000	\$0
Total Available	\$1,601,410	\$0	\$5,954,914	\$0
Total Expenditures	\$162,246	\$0	\$5,954,914	\$0
Total Disbursements	\$162,246	\$0	\$5,954,914	\$0
Ending Balance⁴	\$1,439,164	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes. Actual bond sales are based on cash needs in accordance with Board policy. In the fall of 1988, the voters approved a \$22.3 million Commercial Revitalization bond referendum. Including prior sales, a balance of \$2.26 million remains in authorized but unissued bonds associated with the fall 1988 referendum.

² An amount of \$1,305,750 is anticipated in VDOT revenue for Project CR-000004, McLean Streetscape (\$726,750), Project CR-000002, Annandale Streetscape (\$369,000) and Project CR-000003, Baileys Crossroads Streetscape (\$210,000) in FY 2013 and beyond.

³ Represents contributions in the amount of \$1,300,000 received from the McLean Revitalization Corporation (MRC) for Project 2G25-075-000, McLean Utility Undergrounding.

⁴ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30080

Commercial Revitalization Program

FY 2014 Summary of Capital Projects

Fund 30080, Commercial Revitalization Program

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G25-075-000	McLean Utilities	\$3,583,934	\$95,461.11	\$3,488,473.07	\$0
CR-000001	Springfield Streetscape Phase I	3,169,236	0.00	230,926.54	0
CR-000002	Annandale Streetscape	7,304,596	11,341.89	732,274.83	0
CR-000003	Baileys Crossroads Streetscape	6,498,147	4,110.71	233,596.65	0
CR-000004	McLean Streetscape	2,696,452	15,887.70	1,031,897.64	0
CR-000005	Route 1 Streetscape	1,642,160	35,444.40	237,745.06	0
Total		\$24,894,525	\$162,245.81	\$5,954,913.79	\$0

Fund 30090

Pro Rata Share Drainage Construction

Focus

Fund 30090 (formerly Fund 316) supports storm drainage capital projects through contributions in accordance with the Pro Rata Share Program approved by the Board of Supervisors on December 16, 1991. The Pro Rata Share Program provides a funding source to correct drainage deficiencies by collecting a proportionate share of the total estimated cost of drainage improvements from the developers of the land. Pro Rata funds are used to finance projects within specific watershed areas. As projects are identified and prioritized during scheduled budgetary reviews, Pro Rata funds on deposit are appropriated to this fund.

No funding is included for Fund 30090, Pro Rata Share Drainage Construction, in FY 2014. All funding for this program is from private sources. Existing projects will utilize Pro Rata funds received to support watershed planning, regional pond development and other drainage improvement projects.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$8,564,857 due to the carryover of unexpended project balances in the amount of \$5,777,857 and an adjustment of \$2,787,000 to appropriate pro rata share revenues received during FY 2012.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30090

Pro Rata Share Drainage Construction

FUND STATEMENT

Fund 30090, Pro Rata Share Drainage Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$7,567	\$0	\$7,567	\$0
Revenue:				
Pro Rata Shares	\$1,200,027	\$0	\$8,557,290	\$0
Total Revenue	\$1,200,027	\$0	\$8,557,290	\$0
Total Available	\$1,207,594	\$0	\$8,564,857	\$0
Total Expenditures	\$1,200,027	\$0	\$8,564,857	\$0
Total Disbursements	\$1,200,027	\$0	\$8,564,857	\$0
Ending Balance¹	\$7,567	\$0	\$0	\$0

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30090

Pro Rata Share Drainage Construction

FY 2014 Summary of Capital Projects

Fund 30090, Pro Rata Share Drainage Construction

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
SD-000001	Accotink Creek Watershed	\$2,495,715	\$21,831.57	\$439,107.51	\$0
SD-000002	Belle Haven Watershed	286,481	1,906.82	153,632.81	0
SD-000003	Bull Run Watershed	197,071	0.00	11,000.00	0
SD-000004	Bullneck Run Watershed	152,018	0.00	60,967.09	0
SD-000005	Cameron Run Watershed	1,575,338	57,698.13	765,828.39	0
SD-000006	Cub Run Watershed	7,023,429	121,328.30	2,566,852.93	0
SD-000007	Dead Run Watershed	126,000	0.00	112,229.98	0
SD-000008	Difficult Run Watershed	1,828,087	310,318.19	834,749.18	0
SD-000009	Dogue Creek Watershed	1,365,158	8,154.95	747,383.40	0
SD-000012	Horse Pen Creek Watershed	2,227,011	2,024.22	551,081.38	0
SD-000015	Little Hunting Creek Watershed	430,891	0.00	430,890.90	0
SD-000016	Little Rocky Run Watershed	2,192,430	19,282.05	270,965.62	0
SD-000017	Mill Branch Watershed	709,371	9,233.75	431,131.14	0
SD-000018	Nichol Run Watershed	272,500	0.00	35,000.00	0
SD-000021	Pimmit Run Watershed	272,114	70,278.48	178,803.04	0
SD-000022	Pohick Creek Watershed	1,774,967	490,417.38	135,530.43	0
SD-000023	Pond Branch Watershed	247,974	0.00	45,000.00	0
SD-000024	Popes Head Creek Watershed	492,229	0.00	492,229.43	0
SD-000026	Sandy Run Watershed	103,273	28,435.62	8,660.91	0
SD-000027	Scotts Run Watershed	676,728	(873.28)	35,905.42	0
SD-000028	Sugarland Run Watershed	1,433,151	59,991.01	234,972.69	0
SD-000029	Turkey Run Watershed	45,000	0.00	22,934.96	0
Total		\$25,926,936	\$1,200,027.19	\$8,564,857.21	\$0

Fund 30400

Park Authority Bond Construction

Focus

This fund provides for the continued design, construction and renovation of Fairfax County parks, and is primarily supported by General Obligation bonds. Projects within this fund provide for improvements to a wide range of recreational facilities such as playgrounds, picnic areas, trails and recreation center/swimming pool complexes. The existing program is most recently supported by \$63 million in General Obligation bonds approved by the voters on November 6, 2012 to acquire new parks and develop and improve park facilities.

The Park Authority Board has adopted certain criteria for evaluating proposed acquisitions, including contiguity to existing parkland or stream valley areas, existing zoning and development conditions, reasonable development costs and support within the Fairfax County Comprehensive Plan. The Park Authority also works with the private sector to acquire easements and donations of land and funding in an effort to use land acquisition monies more effectively.



No funding is included for Fund 30400 (formerly Fund 370), Park Authority Bond Construction, in FY 2014. Bond funding for park projects will be appropriated as part of the *FY 2013 Third Quarter Review*. Work will continue on existing and previously funded projects.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$39,742,344 due to the carryover of unexpended project balances in the amount of \$35,659,844 and an adjustment of \$4,082,500 associated with the appropriation of bond premium funds received as part of the January 2012 bond sale.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30400

Park Authority Bond Construction

FUND STATEMENT

Fund 30400, Park Authority Bond Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$3,002,723	\$0	\$4,594,344	\$0
Revenue:				
Sale of Bonds ¹	\$9,167,000	\$0	\$35,148,000	\$0
Bond Premium ¹	4,082,500	0	0	0
Total Revenue	\$13,249,500	\$0	\$35,148,000	\$0
Total Available	\$16,252,223	\$0	\$39,742,344	\$0
Total Expenditures	\$11,657,879	\$0	\$39,742,344	\$0
Total Disbursements	\$11,657,879	\$0	\$39,742,344	\$0
Ending Balance²	\$4,594,344	\$0	\$0	\$0

¹ The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 4, 2008, the voters approved a \$65 million Park Authority Bond Referendum to continue land acquisition, park development, parks and building renovation and stewardship. The FY 2012 Actuals reflect an amount of \$9.167 million sold in January 2012. In addition, \$4,082,500 in bond premium has been applied to this fund. Moreover, on November 6, 2012 the voters approved a \$63 million Park Bond. Including prior sales, an amount of \$35.148 million remains in authorized but unissued bonds from the 2008 referendum and an amount of \$63 million remains from the 2012 referendum.

² Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30400

Park Authority Bond Construction

FY 2014 Summary of Capital Projects

Fund 30400, Park Authority Bond Construction

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
PR-000001	Athletic Fields-2004	\$8,633,562	\$60,647.04	\$626,674.30	\$0
PR-000002	Athletic Fields - Synthetic Turf-2006	10,000,000	9,945.31	243,258.76	0
PR-000004	Infrastructure Renovations-2004	3,200,730	1,980.96	0.00	0
PR-000005	Park and Building Renovation-2008	25,008,351	4,113,012.52	10,972,376.64	0
PR-000006	Infrastructure Renovations-1998	4,900,000	0.00	111,031.53	0
PR-000007	Trails and Stream Crossings-2004	4,895,000	27,873.00	101,458.15	0
PR-000008	Trails and Stream Crossings-2006	5,000,000	388,692.39	2,527,644.30	0
PR-000010	Grants and Contributions	2,704,927	0.00	2,610.00	0
PR-000011	Natural and Cultural Resources-2004	3,830,000	8,700.66	921,761.34	0
PR-000012	Stewardship-2008	11,740,000	2,590,133.09	7,379,565.28	0
PR-000013	Natural and Cultural Resource Fac-1998	10,000,000	64,550.11	780,019.33	0
PR-000014	Community Park Development-2002	5,000,000	185.10	41,348.71	0
PR-000015	Community Parks/Courts-2004	9,580,646	208,290.77	1,117,922.10	0
PR-000016	Park Development-2008	18,846,545	2,982,621.20	10,089,185.72	0
PR-000017	Community Park Development-1998	10,050,223	45,313.88	12,659.11	0
PR-000018	Building Renovation and Expansion-2004	23,029,864	412,324.78	1,404,003.63	0
PR-000020	Land Acquisition-2006	9,998,412	11,157.13	0.00	0
PR-000021	Land Acquisition-2008	14,386,988	702,891.80	2,353,603.63	0
PR-000022	Building New Construction-2004	4,439,968	29,559.51	1,057,221.25	0
Total		\$185,245,216	\$11,657,879.25	\$39,742,343.78	\$0

Fund S31000

Public School Construction

Focus

Fund S31000 (formerly Fund 390), Public School Construction, provides funding for new construction, facility renovation, expansion and improvements authorized by voter referendum, as well as funds for capital expenditures. Bond funding remaining from the 2001, 2003, 2005, 2007, 2009, and 2011 bond referenda support capital construction projects in this fund.

In FY 2014, progress will continue on the school bond referendum projects and projects funded by Fund S10000, School Operating. Major projects for FY 2014 include facility modifications, building maintenance, renovations and infrastructure management.

Fund S31000 Public School Construction

FUND STATEMENT

Fund S31000, Public School Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$75,306,105	\$0	\$86,358,314	\$0
Revenue:				
Sale of Bonds ²	\$155,000,000	\$155,000,000	\$155,000,000	\$155,000,000
PTA/PTO Donations	807,717	150,000	150,000	150,000
Other Donations	3,439,196	100,000	100,000	100,000
Fairfax City	1,309,674	20,000	20,000	20,000
Miscellaneous Revenue	2,114,649	36,000	36,000	36,000
Subtotal Revenue	\$162,671,236	\$155,306,000	\$155,306,000	\$155,306,000
Initiated Projects But Unissued Bonds	\$0	\$0	\$277,002,767	\$0
Total Revenue	\$162,671,236	\$155,306,000	\$432,308,767	\$155,306,000
Transfers In:				
School Operating Fund (S10000)				
Building Maintenance	\$6,449,030	\$6,449,030	\$6,449,030	\$10,000,000
Classroom Equipment	649,681	717,090	717,090	1,938,992
Facility Modifications	339,948	600,000	450,000	600,000
Total Transfers In	\$7,438,659	\$7,766,120	\$7,616,120	\$12,538,992
Total Available	\$245,416,000	\$163,072,120	\$526,283,201	\$167,844,992
Expenditures:				
Subtotal Expenditures	\$159,057,686	\$163,072,120	\$249,280,434	\$167,844,992
Contractual Commitments	0	0	277,002,767	0
Total Expenditures³	\$159,057,686	\$163,072,120	\$526,283,201	\$167,844,992
Total Disbursements	\$159,057,686	\$163,072,120	\$526,283,201	\$167,844,992
Ending Balance	\$86,358,314	\$0	\$0	\$0

¹ The *FY 2013 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their *FY 2013 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2013 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 23, 2013.

² The actual sale of bonds is based upon a review of cash needs rather than cash and encumbrances as presented here for planning purposes. This is consistent with Board policy to sell bonds on a cash basis. Including prior sales, there is a balance of \$423.325 million in authorized but unissued school bonds.

³ FY 2012 expenditures include year-end audit adjustments totaling (\$217,353).



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Special Revenue Funds

Overview

Special Revenue Funds account for the proceeds of specific revenue sources that are restricted to expenditures for specified purposes. These proceeds include state and federal aid, income derived through activities performed by the Solid Waste Management Program, special levies, program activity revenue and operation of the public school system. The funds that are classified within the Special Revenue Funds group are listed below.

STATE AND FEDERAL AID

These funds administer programs that benefit Fairfax County residents in accordance with County policy. Included are funds for programs that attempt to identify and alleviate the causes of poverty; manage grant resources for a variety of County programs ranging from public safety to human services issues; aid aging citizens within Fairfax County; and conserve and upgrade low- and moderate-income neighborhoods.

- **Fund 50000 – Federal-State Grant Fund**
- **Fund 50800 – Community Development Block Grant**
- **Fund 50810 – HOME Investment Partnership Grant**

FAIRFAX-FALLS CHURCH COMMUNITY SERVICES BOARD (CSB)

Funding to support CSB programs in the areas of mental health, intellectual disability, alcohol and drug, and early intervention services is derived from a variety of sources including the cities of Fairfax and Falls Church, the state and federal governments, client/program fees and transfers from the General Fund.

- **Fund 40040 – Fairfax-Falls Church Community Services Board**

SOLID WASTE MANAGEMENT

These funds provide for the collection and disposal of refuse within Fairfax County, as well as the disposal of refuse delivered by local jurisdictions. Revenue is derived from collection and disposal charges of the various program components.

- **Fund 40130 – Leaf Collection**
- **Fund 40140 – Refuse Collection and Recycling Operations**
- **Fund 40150 – Refuse Disposal**
- **Fund 40160 – Energy/Resource Recovery Facility (E/RRF)**
- **Fund 40170 – I-95 Refuse Disposal**

COMMUNITY CENTERS

These funds provide for the construction, operation, and maintenance of community centers for use by the residents within the special tax districts who pay a special levy based on assessed value of real property.

- **Fund 40050 – Reston Community Center**
- **Fund 40060 – McLean Community Center**
- **Fund 40070 – Burgundy Village Community Center**

Special Revenue Funds

SERVICE DISTRICTS

These funds are service districts that provide a specific service to County residents. The Integrated Pest Management Program gains revenue through a special countywide tax levy on residential, commercial, and industrial properties to allow for the treatment of the gypsy moth, cankerworm and emerald ash borer population as well as the prevention of the West Nile Virus. The FY 2014 Stormwater Services Program levy is \$0.020 per \$100 of assessed real estate value. This amount will support both staff operating requirements and stormwater capital projects. Capital Projects include: repairs to stormwater infrastructure, measures to improve water quality, stream stabilization, rehabilitation and safety upgrades of dams, repair and replacement of underground pipe systems and surface channels, structural flood proofing and Best Management Practices (BMP) site retrofits. This funding also supports implementation of watershed master plans, increased public outreach efforts and stormwater monitoring activities. The Board of Supervisors established the Tysons Service District on January 8, 2013 providing a funding plan that is a multi-faceted approach to funding transportation infrastructure in Tysons. Funding sources are proposed for each of the four major components of the infrastructure: the grid of streets is proposed to be funded primarily by in-kind and per square foot/per unit road fund contributions from developers/landowners; neighborhood and access improvements and transit are proposed to be funded primarily from public sources; and, the Tysons-wide Road improvements are proposed to be funded primarily by public sources for the projects outside of the boundaries of Tysons and by developer/landowner sources for the improvements inside of Tysons. This fourth category is projected to cost approximately \$506 million in 2012 dollars. Funding for that component is proposed to come from two sources in equal amounts: \$253 million (50%) from per square foot/per unit road fund contributions from developers/landowners and \$253 million (50%) from a Tysons Service District.

- **Fund 40080 – Integrated Pest Management Program**
- **Fund 40100 – Stormwater Services**
- **Fund 40180 – Tysons Service District**

E-911 FUND

This fund was created to satisfy a state legislative requirement that E-911 revenues and expenditures be accounted for separately. All expenditures associated with the Public Safety Communications Center (PSCC) are budgeted in this fund.

- **Fund 40090 – E-911**

Special Revenue Funds

DULLES RAIL PHASE I TRANSPORTATION IMPROVEMENT DISTRICT

The District was formed by the Board of Supervisors on February 23, 2004 based on petition of the owners of commercial and industrial property in order to fund the extension of the Metrorail Orange line in the vicinity of West Falls Church to Wiehle Avenue in Reston. The District will contribute up to \$400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority, there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. On November 4, 2010, the Virginia Supreme Court affirmed the lower court ruling. On May 26, 2011, the EDA issued the first series of Phase I EDA bonds in the amount of \$205.7 million which provided \$220.1 million (includes bond premium) for the construction of the Phase I project. On October 10, 2012, the second and final Phase I EDA bond issue was issued in the amount of \$42.4 million which provided \$48.4 million (includes bond premium) for the construction of the Phase I project. These two issues together with an estimated \$131.5 million in total equity contribution from taxes collected will fully fund the County's obligation of providing \$400 million for Phase I of the project.

- **Fund 40110 – Dulles Rail Phase I Transportation District Improvements**

DULLES RAIL PHASE II TRANSPORTATION IMPROVEMENT DISTRICT

Phase II of the Dulles Metrorail project will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the project on either side of the right-of-way of the Dulles Airport Access Road (DAAR) and Dulles Toll Road (DTR) within Fairfax County, will be taxed to help Fairfax County fund \$330 million of the County's share of Phase II. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax rate of \$0.05 per hundred dollars of assessed value was adopted in FY 2011 for commercial and industrial properties within the Phase II District. The tax rate increased to \$0.10 per hundred dollars of assessed value in FY 2012 and \$0.15 per hundred dollars of assessed value in FY 2013. Per the petition, the tax rate in FY 2014 will increase to \$0.20 per hundred dollars of assessed value and remain at this rate until full revenue operations commence on Phase II, which is expected in late 2017/early 2018.

- **Fund 40120 – Dulles Rail Phase II Transportation District Improvements**

COUNTY AND REGIONAL TRANSPORTATION

These funds provide for planning, coordinating and implementing a multi-modal transportation system for Fairfax County that moves people and goods, consistent with the values of the community and is supported by commercial and industrial taxes for transportation.

- **Fund 40010 – County and Regional Transportation Projects**

Special Revenue Funds

PROGRAM ACTIVITY REVENUE

These funds support the County's bus and commuter rail service, and the County's cable operations. The primary sources of revenue for program activity funds are derived from receipts generated through program operations.

- **Fund 40000 – County Transit Systems**
- **Fund 40030 – Cable Communications**

OPERATION OF THE PUBLIC SCHOOL SYSTEM

These funds provide for recording expenditures required to operate, maintain, and support the Fairfax County Public School system programs, as well as the procurement, preparation, and serving of student breakfasts, snacks, and lunches. Primary sources of revenue include federal and state aid, transfers from the General Fund and receipts derived through food sales.

- **Fund S10000 – Public School Operating**
- **Fund S40000 – Public School Food and Nutrition Services**
- **Fund S50000 – Public School Grants and Self-Supporting Programs**
- **Fund S43000 – Public School Adult and Community Education**

DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT

Narratives for Fund 40330, Elderly Housing Programs; Fund 50800, Community Development Block Grant; Fund 40360, Homeowner and Business Loan Programs; Fund 40300, Housing Trust Fund; and Fund 50810, HOME Investment Partnership Grant can be found in the Housing and Community Development Programs section of this Volume.

Fund 40000 County Transit Systems

Mission

To provide safe, reliable, clean and effective public transportation service that complements the other elements of the multi-modal transportation system in Fairfax County and provides a cost-saving alternative to Washington Metropolitan Area Transit Authority (WMATA) Metrobus service. To fund the County's share of operating costs for the Virginia Railway Express (VRE).

Focus

FAIRFAX CONNECTOR Bus System

Fund 40000 (formerly Fund 100), County Transit Systems, provides funding for operating and capital expenses for the FAIRFAX CONNECTOR bus system. The Fairfax County Department of Transportation (FCDOT) manages, oversees and coordinates the activities of the FAIRFAX CONNECTOR bus system, which in FY 2014 is projected to operate 79 routes providing primarily intra-county service and access to Metrorail stations serving County residents. The system includes an authorized fleet of 288 buses, of which 260 are in service. The FY 2014 budget also includes funding to replace 18 buses that have exceeded their useful life.



Buses operate from three bus operations centers, Huntington, Reston-Herndon and West Ox, owned by the County. FAIRFAX CONNECTOR service is operated by a private contractor from these County sites. The Huntington Division provides local service to the Huntington, Van Dorn and Franconia-Springfield Metrorail Stations, express service to the Pentagon Metrorail Station and cross-county service between Springfield and Tysons Corner. The Reston-Herndon Division includes express service from Reston and Herndon to the West Falls Church – VT/UVA Metrorail Station, express service from Reston to the Pentagon and Crystal City, local service between Herndon, Reston, and Tysons Corner, local service within Reston, and cross-county service between Fair Oaks and Reston.

In June 2009, the West Ox Division became operational, providing service to the Centreville and Chantilly areas in the western part of the County and to the Vienna Metro Station along I-66. The new West Ox Bus Operations Center, at the intersection of West Ox Road and Lee Highway, is a facility jointly funded and occupied by the County and WMATA. Construction was completed on the West Ox



The new West Ox Bus Operations Center commenced operations on June 29, 2009.

Fund 40000

County Transit Systems

Bus Operations Center in fall 2008. The site will eventually support 300 buses. Phase I has a total maximum space for 100 WMATA buses and 75 County buses. Under the Joint Use Agreement with WMATA, WMATA pays its share of on-going operating and maintenance costs to the County. The new center provides more optimal and effective service to the western portion of the County, including FAIRFAX CONNECTOR services that replaced WMATA's 12S, 20S and 2W non-regional Metrobus routes, as approved by the Board of Supervisors in February 2006.



FAIRFAX CONNECTOR is modifying and expanding service over the 2012-2014 fiscal years in conjunction with the opening of two major transportation infrastructure projects in Fairfax County: the I-495 High Occupancy Toll Lanes (HOT Lanes) and the first phase of Dulles Rail. The majority of new FAIRFAX CONNECTOR bus service in support of these projects will occur in Tysons Corner. In 2012, the FAIRFAX CONNECTOR started express service to Tysons Corner, providing a faster connection via the HOT Lanes. At the end of 2013, a new FAIRFAX CONNECTOR circulator bus system will begin operating in Tysons Corner, feeding the four new Metrorail Stations on the Silver Line. All service will operate out of the West Ox bus operations center.

In addition to the opening of the new West Ox Operations Center, there have been a number of other CONNECTOR initiatives in recent years. In FY 2008, FAIRFAX CONNECTOR equipped all buses with front-mounted bike racks able to carry two bikes. Bike racks have been well received across the County by FAIRFAX CONNECTOR riders, as they offer a healthier, more environmentally friendly commuting choice. In future years, new buses that are purchased will have the capacity to carry up to three bikes.

FCDOT continues its commitment to the Emission Reduction Program as an agency focus. The program includes an idling reduction program, auto shutdown program and reduced horsepower in the 30 ft fleet, and 139 buses with engines that include diesel particulate filters (DPF). In addition, the agency installed DPF filters on many of the older buses and have equipped 45 buses with Engineered Machine Products (EMP) technology. EMP technology electrifies many of the systems, making the bus a Mini-Hybrid and reducing fuel consumption in the buses with this technology.

All buses purchased in FY 2013 and beyond will have a greater reduction in emissions. The Environmental Protection Agency (EPA) has slowly been restricting the Particulate Matter (PM) and the Nitrogen oxide (NOx) material and gases produced in diesel engines since 1978. In 2010, an EPA mandate restricted all diesel engines to 0.2 g/HP-hr for NOx and 0.01g/HP-hr for PM. The manufacturers of diesel engines have achieved this goal by adding several components to the engine: Turbos, Air-Air

County Transit Systems supports the following County Vision Elements:

-  **Connecting People and Places**
-  **Practicing Environmental Stewardship**
-  **Exercising Corporate Stewardship**

Fund 40000 County Transit Systems

charge coolers, Exhaust Gas Recirculation (EGR) equipment, Diesel Particulate Filters (DPF), and Selective Catalytic Reduction equipment, which work in conjunction with a dosing fluid, Diesel Exhaust Fluid (DEF). All these changes have produced a cleaner public transportation vehicle.

An additional initiative was the completion of a bus rebuilding program, enabling FAIRFAX CONNECTOR to take retired buses and create a reserve fleet. This program resulted in the rebuilding of buses with new engines, transmissions, bulkheads, wheelchair lifts and other major components. These rebuilt buses enable FAIRFAX CONNECTOR to have a more adequate spare ratio to address maintenance requirements, provide more protection to the active fleet, deploy standby buses to provide system reliability and dependability, enable training without impacting service delivery, and provide a contingency fleet in the event of unforeseen regional emergencies.

FAIRFAX CONNECTOR service is supported from a combination of sources, including fare and advertising revenue, state aid held at Northern Virginia Transportation Commission (NVTC), a transfer of commercial and industrial real estate tax for transportation revenue from Fund 40010, County and Regional Transportation Projects, a transfer from Fund 30000, Metro Operations and Construction, and a General Fund transfer.

FY 2014 Bus Services Funding

Total FY 2014 funding of \$88.8 million is provided for bus services, a decrease of \$5.8 million or 6.1 percent from the FY 2013 Adopted Budget Plan total of \$94.6 million. This decrease is due primarily to funds being included in FY 2013 for the purchase of 15 new expansion buses in support of Dulles Rail Phase I Bus Service, and does not reflect a decrease in actual bus service. Of this total \$85.9 million is included for FAIRFAX CONNECTOR existing and new services (including bus replacement), and \$2.9 million is for WMATA reimbursable facility and fuel costs at the West Ox Bus Operations Center. A breakdown of the \$85.9 million is included in the table below.

Bus Services	\$76.9
Bus Replacement (18)	\$9.0
Total (\$ in millions)	\$85.9

Funding is included in the FY 2014 budget to continue the timely replacement of aging FAIRFAX CONNECTOR buses, in accordance with the Board of Supervisors' approved FAIRFAX CONNECTOR Transit Bus Fleet Replacement Policy. In FY 2014, \$9.0 million will support the replacement of 18 FAIRFAX CONNECTOR buses that reach established replacement criteria, thus minimizing maintenance issues and ensuring future bus service reliability.

Commercial and Industrial Tax Funding

Commercial and Industrial (C&I) real estate tax revenue is posted to Fund 40010, County and Regional Transportation Projects, and then a portion is transferred to the County Transit Systems budget. In FY 2014, this amount totals \$11.44 million. This amount, combined with previous-year C&I balances already in Fund 40000, will be used to provide continued support for West Ox Division rush hour and midday service, enables the continuation of increased frequencies on overcrowded priority bus routes (Routes 171, 401/402 and 950), and continues support for previous years' service expansions at all three operating divisions. It also supports a route from Tysons to Dulles Airport, as endorsed by the Board on July 27, 2010; improves the frequency of Richmond Highway corridor routes; and improves the frequency of Route 310 servicing Franconia Road to Rolling Valley, where headways will decrease from every 30 minutes to every 20 minutes.

Fund 40000

County Transit Systems

General Fund Support / Use of Balances

General Fund support is provided to Fund 40000, County Transit Systems, for CONNECTOR requirements and for the County share of the subsidy for commuter rail services operated by the Virginia Railway Express (VRE). The FY 2014 General Fund transfer to Fund 40000 is \$34.5 million, a reduction of \$2.0 million from the FY 2013 Adopted Budget Plan. The reduced transfer is primarily due to the use of available balances in Fund 40000 that have resulted from lower than projected service-related costs in the FY 2012-FY 2013 timeframe as well as a small increase in State Aid applied to operating.

In the current year (FY 2013) budget, updated FCDOT projections estimate that approximately \$22.3 million will be unspent at year end. This is an increase of \$8.8 million over the amount shown as part of the *FY 2012 Carryover Review*. As part of FY 2014 budget development, FCDOT revisited Connector revenue hours and operational expenditures that were projected for FY 2013 and determined that operational expenditures in several areas were likely to be lower than expected and fewer revenue hours are needed to implement FY 2013 service changes. It should be noted that of the \$22.3 million noted above, a total of \$10.1 million is C&I funds and must be used for to support C&I eligible services (new capacity revenue service hours added since 2009) and the remaining \$12.2 million is from other sources (primarily General Fund and State Aid). This \$12.2 million balance will be used over a two-year period (FY 2014 - FY 2015) along with a small increase in State Aid supporting CONNECTOR operations to allow for the reduction in the General Fund transfer from \$36.5 to \$34.5 million, while still covering all necessary operating, capital and other Fund 40000 requirements over the two year time horizon.

Establishment of a CONNECTOR Bus Replacement Reserve

A significant long term issue in transportation concerns the bus replacement needs for the CONNECTOR fleet. Starting in FY 2020, approximately 170 buses are scheduled for replacement over the ensuing five years. As of now, no funds have been set aside for bus replacement and if the County opted to go on a pay-as-you-go basis, there would be years where it would require as much as \$43 million in one year to replace buses (68 buses in FY 2020). To mitigate this issue, the FY 2014 budget will begin the process of establishing and funding a CONNECTOR bus replacement reserve. In FY 2014 and FY 2015, amounts of \$5.7 million, to be fully covered by State Aid, are recommended to be set aside to start this process. Under the current plan, annual payments to the reserve would need to increase from \$5.7 to \$7.4 million starting in FY 2016, and then be increased 4.0 percent per year thereafter. It is anticipated that at least initially State Aid will be the main funding source to fund the reserve; however, this will need to be examined especially in later years if State Aid balances drop. The recommended plan also spreads out the replacement over a slightly longer time horizon, which is operationally and programmatically feasible for the department.

Virginia Railway Express (VRE)

Fund 40000, County Transit Systems, includes the County's share of the subsidy for commuter rail services operated by the Virginia Railway Express (VRE). The Board of Supervisors approved the County's participation in the regional rail service on August 1, 1988. The service is a joint effort among NVTC, the Potomac and Rappahannock Transportation Commission, the Virginia Department of Rail and Public Transportation, and the participating jurisdictions of Fairfax County, Manassas, Manassas Park, Fredericksburg, Prince William County, and Stafford County. The City of Alexandria and Arlington County are also contributing jurisdictions.

The operation and maintenance costs associated with the commuter rail system are funded from a combination of ridership revenues (which accrue directly to VRE), state contributions and contributions from the participating and contributing local jurisdictions. According to the VRE Master Agreement, at

Fund 40000 County Transit Systems

least 50 percent of the operating costs must be paid by passenger fares, with the remainder funded by the participating jurisdictions according to a funding formula. In spring 2007, the VRE Operations Board and member jurisdictions approved a change in the funding formula to transition from the previous calculation based on 90 percent ridership and 10 percent population, to a purely ridership-based formula more favorable to Fairfax County. The FY 2014 Fairfax County subsidy, as approved by the VRE Operations Board and NVTC is \$5.31 million, an increase of \$0.15 million or 3.0 percent over the FY 2013 Adopted Budget Plan total of \$5.16 million.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
<u>Bus Services</u>				
Huntington	\$42,719,558	\$40,953,599	\$49,863,115	\$32,980,997
Reston/Herndon	26,722,682	23,798,139	30,572,827	31,722,694
West Ox	13,704,988	29,872,371	34,703,037	24,113,816
Systemwide Projects	297,466	0	2,102,541	0
Subtotal - Bus Services, CONNECTOR & WMATA	\$83,444,694	\$94,624,109	\$117,241,520	\$88,817,507
Commuter Rail (VRE)	\$4,876,961	\$5,157,151	\$5,157,151	\$5,311,867
Total Expenditures	\$88,321,655	\$99,781,260	\$122,398,671	\$94,129,374
Income:				
Miscellaneous Revenue	\$1,370,398	\$162,778	\$162,778	\$162,778
Fare Revenue	7,217,599	7,492,078	7,492,078	7,358,142
Bus Shelter Program	0	0	0	0
Tysons Lunch Shuttle Reimbursement	0	0	0	0
Advertising Revenue	0	200,000	200,000	200,000
WMATA Reimbursements, West Ox	2,572,930	3,335,461	3,335,461	2,942,517
State Aid (NVTC) Operations	18,201,878	18,201,878	18,201,878	19,129,770
State Aid (NVTC) Projects	14,000,000	0	0	5,700,000
Total Income	\$43,362,805	\$29,392,195	\$29,392,195	\$35,493,207
NET COST TO THE COUNTY	\$44,958,850	\$70,389,065	\$93,006,476	\$58,636,167

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Bus Operations Contract** **\$2,855,519**
An increase of \$2,855,519 is necessary to support the contractually obligated increase of 5.1 percent in the bus operations contract.

Fund 40000

County Transit Systems

- ◆ **Virginia Railway Express (VRE) Local Jurisdiction Subsidy Increase** **\$154,716**
An increase of \$154,716 is included to fund Fairfax County's estimated share of VRE expenses. The FY 2014 VRE subsidy total of \$5,311,867 reflects an increase of 3.0 percent over the *FY 2013 Revised Budget Plan* total of \$5,157,151.

- ◆ **Bus Purchases** **(\$7,275,000)**
A decrease of \$7,275,000 is due to one-time funds being included in the FY 2013 budget for the purchase of 15 new buses supporting expanded bus service in conjunction with Dulles Rail Phase I, and does not reflect a decrease in actual bus service.

- ◆ **Reduced Expenditure Requirements** **(\$994,177)**
A reduction of \$994,177 in expenditures is primarily associated with fewer revenue hours needed to implement FY 2013 service changes in FY 2014. The FY 2013 budget was based on an estimated amount of service hours; however, actual experience in FY 2012 showed that the base amount for building the FY 2014 budget should be slightly reduced.

- ◆ **WMATA Facility and Service Costs at West Ox** **(\$392,944)**
A decrease of \$392,944 in expenditures and associated WMATA reimbursements is based on actual WMATA operational requirements at the West Ox Bus Operations Center, as demonstrated by experience in WMATA's operations at the site. Under the Joint Use Agreement, WMATA pays its share of on-going operating and maintenance costs to the County.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$22,617,411**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved \$22,617,411, including \$5,703,705 in encumbered carryover and \$16,913,706 unencumbered carryover. Of the unencumbered total, an amount of \$5,360,546 is included for Advanced Public Transit System (APTS) design, purchase, and implementation. These projects require significant review and have been delayed in the procurement process. An additional \$5,772,231 is included for facility-related maintenance and safety upgrades, support services, and design and engineering costs for several renovation projects including the Herndon Monroe parking garage, Burke Center VRE parking garage, and the West Ox and Huntington facilities. An additional \$4,880,929 is for other operating and capital requirements such as marketing and public outreach associated with Dulles Phase I bus services and the Silver Line extension, upgrades to the Mobile Access Travel Training bus which is a significant training tool for the special needs population, and vehicle-related requirements including the purchase of a permanent dispenser for a required fuel additive. The remaining \$900,000 includes \$400,000 for the Phase II-Tysons Neighborhood Traffic Impact Study which was discussed at the June 12, 2012 Board Transportation Subcommittee meeting, and \$500,000 for the Reston Master Plan Study, a multi-phase planning study to identify appropriate changes to the Fairfax County Comprehensive Plan to help guide future development in the planned community of Reston and adjoining areas.

Fund 40000

County Transit Systems

Cost Centers

There are two cost centers in Fund 100, County Transit Systems. The first represents the FAIRFAX CONNECTOR bus service, including three divisions, Huntington, Reston-Herndon, and the new West Ox division that began in summer 2009. The second cost center is focused on Commuter Rail, the Virginia Railway Express (VRE).

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
FAIRFAX CONNECTOR				
Percent change in FAIRFAX CONNECTOR passengers	0.70%	6.63%	1.23% / 5.96%	1.75%
Percent change in service provided for platform hours	8.44%	3.58%	14.14% / 4.53%	4.50%
Percent change in service provided for platform miles	15.45%	5.56%	13.30% / 5.81%	4.50%
Commuter Rail				
Percent change in VRE passengers boarding at stations in Fairfax County	8.8%	20.5%	3.0% / 8.7%	3.0%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40000.pdf

Performance Measurement Results

CONNECTOR ridership in both FY 2010 and FY 2011 was impacted by the economic downturn, resulting in fewer working commuters. However, FY 2012 ridership levels continued to show a marginal increase over the prior year actuals, despite FY 2010 route eliminations and service reductions to meet targeted General Fund reductions. Some of this ridership growth was attributable to new ridership associated with the opening of a third division at the West Ox Bus Operations Center. New routes and service hours implemented in FY 2010 and following years in part served the transit needs in the western part of the County, where there are fewer passengers per revenue mile because there are more miles to travel between the western County passenger boarding points and transit center/Metro station destinations. The FAIRFAX CONNECTOR will continue to evaluate all FY 2013 routes to maximize ridership while at the same time achieving the best alignment of service to balance commuter needs during rush hours and the needs of riders who depend on bus service at other hours as their only means of transportation.

VRE annual ridership continues to increase. FY 2013 ridership is projected to rise another 3 percent over the current year, following ridership growth of nearly 21 percent in FY 2011 and 9 percent in FY 2012. Ridership growth is fueled by a number of factors, including an increased FY 2010 federal government subsidy for commuter fares, VRE operational efficiencies such as new rail cars and extended platforms, and more conveniently located maintenance yards where trains can be parked midday (thus reducing the operating costs of running trains far away to a distant maintenance yard for parking). VRE continues to implement a number of operational and capital efforts to address on-time performance issues. These efforts are anticipated to have a positive impact on present and future ridership in the system. However, it should be noted that effective FY 2011 the methodology for determining boarding's per station has been adjusted. While the growth factors discussed above are the primary growth drivers, this methodology change is also partially responsible for the large increase in VRE passengers between FY 2011 and FY 2012.

Fund 40000

County Transit Systems

FUND STATEMENT

Fund 40000, County Transit Systems

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance¹	\$29,752,106	\$4,946,111	\$40,923,773	\$22,285,036
Revenue:				
Miscellaneous Revenue ^{2,3}	\$1,370,398	\$162,778	\$162,778	\$162,778
SmarTrip Revenue ⁴	7,217,599	7,492,078	7,492,078	7,358,142
Bus Advertising	0	200,000	200,000	200,000
Bus Shelter Program ⁵	0	0	0	0
WMATA Reimbursements, West Ox Bus Operations Center ⁶	2,572,930	3,335,461	3,335,461	2,942,517
State Aid (NVTC) Operations ⁷	18,201,878	18,201,878	18,201,878	19,129,770
State Aid (NVTC) Projects ⁸	14,000,000	0	0	5,700,000
Total Revenue	\$43,362,805	\$29,392,195	\$29,392,195	\$35,493,207
Transfers In:				
General Fund (10001)	\$34,455,482	\$36,547,739	\$36,547,739	\$34,547,739
Metro Operations & Construction (30000)	\$2,215,563	\$2,304,186	\$2,304,186	\$2,396,353
County and Regional Transportation Projects (40010) ⁹	19,459,472	26,701,986	26,701,986	11,442,434
Total Transfers In	\$56,130,517	\$65,553,911	\$65,553,911	\$48,386,526
Total Available	\$129,245,428	\$99,892,217	\$135,869,879	\$106,164,769
Expenditures:				
FAIRFAX CONNECTOR				
Huntington Division				
Operating Expenses ²	\$29,589,026	\$31,253,599	\$39,383,232	\$31,064,997
Capital Projects	0	0	0	1,082,000
Capital Equipment	13,130,532	9,700,000	10,479,883	834,000
Subtotal - Huntington Division	\$42,719,558	\$40,953,599	\$49,863,115	\$32,980,997
Reston-Herndon Division				
Operating Expenses ²	\$21,352,769	\$23,798,139	\$30,571,916	\$22,416,694
Capital Projects	0	0	0	337,000
Capital Equipment	5,369,913	0	910	8,969,000
Subtotal - Reston-Herndon	\$26,722,682	\$23,798,139	\$30,572,826	\$31,722,694
West Ox Division, County CONNECTOR				
Operating Expenses ²	\$11,287,496	\$19,261,910	\$23,954,077	\$20,913,299
Capital Projects	0	0	0	181,000
Capital Equipment	30,010	7,275,000	7,413,499	77,000
Subtotal - West Ox Division, County	\$11,317,506	\$26,536,910	\$31,367,576	\$21,171,299
West Ox Division, WMATA ⁶	\$2,387,482	\$3,335,461	\$3,335,461	\$2,942,517
Subtotal - West Ox Division, County and WMATA	\$13,704,988	\$29,872,371	\$34,703,037	\$24,113,816
Total CONNECTOR Service	\$80,759,746	\$91,288,648	\$111,803,517	\$85,874,990
Total WMATA Service	\$2,387,482	\$3,335,461	\$3,335,461	\$2,942,517
Total Bus Services, CONNECTOR & WMATA	\$83,147,228	\$94,624,109	\$115,138,978	\$88,817,507

Fund 40000

County Transit Systems

FUND STATEMENT

Fund 40000, County Transit Systems

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Systemwide Projects	\$297,466	\$0	\$2,102,542	\$0
Commuter Rail ¹⁰	4,876,961	5,157,151	5,157,151	5,311,867
Total Expenditures	\$88,321,655	\$99,781,260	\$122,398,671	\$94,129,374
Total Disbursements	\$88,321,655	\$99,781,260	\$122,398,671	\$94,129,374
Ending Balance^{1, 11}	\$40,923,773	\$110,957	\$13,471,208	\$12,035,395
Transportation-Related Requirements	4,821,111	0	7,371,281	6,210,395
Reserve: Bus Replacement ⁸	0	0	0	5,700,000
Reserve for C&I Services	0	0	5,974,927	0
Reserve for Bus Shelter Program ⁵	125,000	110,957	125,000	125,000
Unreserved Balance	\$35,977,662	\$0	\$0	\$0

¹ It should be noted that the *FY 2014 Advertised Budget Plan* beginning balance has been adjusted to reflect anticipated changes to the *FY 2013 Revised Budget Plan* that will be included as part of the FY 2013 Third Quarter Review. These balances have resulted from lower than projected service-related costs in the FY 2012-FY 2013 timeframe

² In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$183,854.54 have been reflected as a decrease to FY 2012 expenditures and \$72,400.62 have been reflected as a decrease to FY 2012 revenues. These adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

³ Miscellaneous revenue includes such items as reimbursement from the Washington Metropolitan Area Transit Authority (WMATA) for the value of WMATA tokens collected on FAIRFAX CONNECTOR routes, insurance recoveries, and miscellaneous developer contributions.

⁴ Fare revenue is received either directly by the County as SmarTrip fare payments, or indirectly through the contractor, who credits cash receipts to the monthly bus operations contract bill.

⁵ The Bus Shelter Advertising Program was established in FY 2011 as a public-private partnership to provide for bus shelter construction and maintenance. This revenue is held in reserve for unanticipated County maintenance expenditures related to this program in the event the developer defaults on the Bus Advertising Contract.

⁶ WMATA reimburses the County for its share of space at the West Ox Bus Operations Center, a joint use facility for WMATA and the County CONNECTOR. WMATA initiated operations from this site in Spring 2009. Both WMATA expenditures and the offsetting WMATA reimbursement are being adjusted in FY 2014 to more accurately reflect the actual experience to date.

⁷ State Aid for mass transit is disbursed to the Northern Virginia Transportation Commission (NVTC), where it is made available to the County.

⁸ State Aid for Projects may support one time infrastructure or equipment requirements. As part of the *FY 2014 Advertised Budget Plan*, \$5.7 million has been earmarked to start the process of establishing a Bus Replacement reserve.

⁹ A transfer from Fund 40010, County and Regional Transportation Projects, was implemented in FY 2010 to support the expansion of additional bus transit services and the opening of the new West Ox Bus Operations Center. The source of these funds is annual revenue available from the commercial and industrial tax for transportation, approved by the Board of Supervisors. The state Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this tax, which was first implemented in FY 2009.

¹⁰ Fairfax County participates in the VRE Master Agreement, and provides an annual subsidy to Virginia Railway Express (VRE) operations and construction.

¹¹ The fund balance in Fund 40000, County Transit Systems, fluctuates based on projected operating and capital equipment requirements. These costs change annually and a substantial percentage of unspent funding is carried forward each year, thus resulting in changes to the ending balance.

Fund 40010

County and Regional Transportation Projects

Focus

Fund 40010 (formerly Fund 124), County and Regional Transportation Projects, supports the County's implementation of new transportation projects and is funded by the commercial and industrial real estate tax for transportation. This taxing authority was authorized under the Transportation Funding and Reform Act of 2007 (HB 3202), approved by the Virginia General Assembly on April 4, 2007, and implemented by the Board of Supervisors as part of the FY 2009 Adopted Budget Plan. This revenue helps accelerate the County's implementation of roadway, transit and pedestrian projects.

HB 3202 allows localities to assess a tax on the value of commercial and industrial real estate and to use the proceeds on new transportation improvements. In 2009, the Virginia General Assembly temporarily set the maximum rate localities could levy at 12.5 cents per \$100 assessed value. In FY 2018, the maximum rate will return to 25 cents per \$100 assessed value. The County's FY 2014 rate is recommended to be set at 12.5 cents, an increase of 1.5 cents over the FY 2013 rate. This recommendation was first presented to the Board of Supervisors as part of a Transportation Funding Discussion at the Board retreat in February 2012. On July 10, 2012, the Board of Supervisors then approved the Four-Year Transportation Program which assumed a proposed increase in the Commercial and Industrial real estate tax rate from 11 cents to 12.5 cents per \$100 of assessed value beginning in FY 2014. The 1.5 cent rate increase is projected to generate an additional \$19.7 million in revenue for Fiscal Years 2014 to 2016 and is a significant funding component to the planned transportation infrastructure improvements.

Revenue service for Dulles Rail Phase I is scheduled for late calendar year 2013. Operations of the Wiehle-Reston East Metrorail Station parking garage will commence with the opening of Dulles Rail Phase I. The Wiehle-Reston East Metrorail Station parking garage is estimated to collect approximately \$1.2 million in parking revenues for FY 2014. These revenues are used primarily to off-set debt service for the construction of the garage, and operations and maintenance costs.

Fund 400-C40010 funded projects are periodically updated for consistency with a transportation funding list approved by the Board of Supervisors. The types of projects include:

- roadway improvements;
- transit improvements;
- pedestrian, bike, and small intersection improvements;
- planning and design work for future projects; and
- advance right-of-way purchases for future projects.

FY 2014 disbursements include \$32.5 million for capital projects, \$4 million for Dulles Rail debt service, \$3.9 million for operating and staff support for project implementation and an \$11.4 million transfer to Fairfax Connector bus service (Fund 40000, County Transit Systems). The transfer to Fund 40000 supports the following: West Ox Division rush hour and midday service; support for increased frequencies on overcrowded priority bus routes (Routes 171, 401/402, 950), which were expanded in FY 2010; support of Transit Development Plan expansions of bus service hours at all three operating divisions; support the implementation of I-495 Express lanes service; implementation of Dulles Rail bus service beginning in mid-FY 2014; and, operations and maintenance of the Wiehle-Reston East Metrorail Station parking garage. Funding transit services are within the legislative constraints for commercial and industrial tax funds which must be used to support transportation capacity improvements. Continuing in FY 2014, Fund 40010 supports 19/19.0 FTE staff positions to manage and advance critical transportation projects in the County.

Fund 40010

County and Regional Transportation Projects

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$1,285,662	\$1,909,006	\$1,909,006	\$1,927,674
Operating Expenses	1,594,344	1,809,577	1,809,577	1,946,648
Capital Equipment	3,573,682	0	1,086,534	0
Capital Projects	7,368,189	14,015,431	239,810,445	36,473,169
Total Expenditures	\$13,821,877	\$17,734,014	\$244,615,562	\$40,347,491
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	19 / 19	19 / 19	19 / 19	19 / 19
1 Engineer V		2 Planning Technicians II		
1 Engineer III		1 Project Coordinator		
1 Transportation Planner IV		2 Management Analysts III		
3 Transportation Planners III		1 Network Analyst I		
4 Transportation Planners II		2 Administrative Assistants III		
1 Assistant Supervisor Facilities Support				
TOTAL POSITIONS				
19 Positions / 19.0 FTE				

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$17,947**
 An increase of \$17,947 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.
- ◆ **Other Post-Employment Benefits** **\$721**
 An increase of \$721 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.
- ◆ **Operating Expenses** **\$137,071**
 An increase of \$137,071 in Operating Expenses reflects a 3.0 percent increase in annual lease costs for department office space and the administration fee towards the Washington Metropolitan Area Transit Authority (WMATA) for collecting parking fees collected at the Wiehle-Reston East Metrorail Station Parking Garage.

Fund 40010

County and Regional Transportation Projects

- ◆ **Capital Projects** **\$36,473,169**
Funding in the amount of \$36,473,169 has been included for FY 2014 priority projects supported by the commercial and industrial tax revenue, consistent with a transportation funding list periodically updated and approved by the Board of Supervisors.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$226,881,548**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$226,881,548 due to the carryover of unexpended project balances of \$224,924,373, encumbered carryover of \$1,109,372 and a net increase of \$847,803 to appropriate remaining available funds to the Construction Reserve Project for future use. This adjustment is the result of an increase of \$267,090 in miscellaneous revenue and an increase of \$728,613 associated with FY 2012 staffing and operational savings, partially offset by a decrease of \$147,900 in Commercial and Industrial (C&I) Tax Revenue based on actual FY 2012 tax receipts.

Fund 40010

County and Regional Transportation Projects

FUND STATEMENT

Fund 40010, County and Regional Transportation Projects

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$57,313,707	\$0	\$66,480,323	\$0
Revenue:				
Commercial Real Estate Tax for Transportation ¹	\$41,852,100	\$44,436,000	\$44,436,000	\$50,495,455
EDA Bonds ²	0	0	50,000,000	0
EDA Bonds (Reston-Wiehle Avenue Metrorail Station PPEA) ²	0	0	104,000,000	0
Miscellaneous Revenue ³	267,090	0	0	0
Wiehle-Reston East Metrorail Parking Garage ⁴	0	0	0	1,294,470
Metropolitan Washington Airports Authority (MWA)	328,775	0	6,401,225	0
Total Revenue	\$42,447,965	\$44,436,000	\$204,837,225	\$51,789,925
Total Available	\$99,761,672	\$44,436,000	\$271,317,548	\$51,789,925
Expenditures:				
Personnel Services	\$1,285,662	\$1,909,006	\$1,909,006	\$1,927,674
Operating Expenses	1,594,344	1,809,577	1,809,577	1,946,648
Capital Equipment	3,573,682	0	1,086,534	0
Capital Projects ^{5,6}	7,368,189	14,015,431	239,810,445	36,473,169
Total Expenditures	\$13,821,877	\$17,734,014	\$244,615,562	\$40,347,491
Transfers Out:				
County Transit (40000) ⁷	\$19,459,472	\$26,701,986	\$26,701,986	\$11,442,434
Total Transfers Out	\$19,459,472	\$26,701,986	\$26,701,986	\$11,442,434
Total Disbursements	\$33,281,349	\$44,436,000	\$271,317,548	\$51,789,925
Ending Balance	\$66,480,323	\$0	\$0	\$0
Rate per \$100 of Assessed Value	\$0.11	\$0.11	\$0.11	\$0.125

Fund 40010

County and Regional Transportation Projects

¹ The Board of Supervisors implemented this tax in FY 2009 at a rate of 11 cents per \$100 of assessed value. In FY 2014, the rate is recommended to increase from 11 cents to 12.5 cents per \$100 of assessed value as part of the Board's Four Year Transportation Program. There is no assumed growth in the base revenue estimate from FY 2013 to FY 2014. The Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this new tax.

² Economic Development Authority (EDA) transportation contract revenue bonds of \$154,000,000 are expected to provide additional support for transportation projects, as endorsed by the Board of Supervisors.

³ This revenue category reflects VDOT reimbursement towards the construction of Project R61901, BRAC - Mulligan Road.

⁴ Revenues collected beginning in FY 2014 for parking and ground rents at the Wiehle-Reston East Metrorail Station Parking Garage. Revenue estimates are for a half-year of operations.

⁵ Capital Projects include roadway, pedestrian and transit funding. A portion of funding is held in a reserve project and adjustments are made to reflect project funding for specific projects approved by the Board of Supervisors as projects approach implementation.

⁶ In order to account for expenditures in the proper fiscal year, an audit adjustment in the amount of \$106,205.49 has been reflected as an increase to FY 2012 expenditures. The adjustment is included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

⁷ The FY 2014 transfer of \$11,442,434 to Fund 40000, County Transit Systems is consistent with a transportation funding list periodically updated and approved by the Board of Supervisors. This amount will fund: the continuation of support for West Ox Division rush hour and midday service, continued support for increased frequencies on overcrowded priority bus routes (Routes 171,401/402 and 950) which were expanded in FY 2010, and support of HOT lanes bus service recommended in the County's Transit Development Plan and Dulles Rail Phase I bus service. The transfer also includes funding for a half-year of operations and maintenance of the Wiehle-Reston Metrorail Station Parking Garage.

Fund 40010

County and Regional Transportation Projects

FY 2014 Summary of Capital Projects

Fund 40010, County and Regional Transportation Projects

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G40-001-000	Construction Reserve		\$5,245.40	\$92,078,303.18	\$36,473,169
2G40-002-000	Braddock Transportation Projects	100,000	0.00	100,000.00	0
2G40-003-000	At Large Transportation Projects	100,000	0.00	55,000.00	0
2G40-004-000	Dranesville Transportation Projects	100,000	0.00	79,092.34	0
2G40-005-000	Hunter Mill Transportation Projects	100,000	0.00	55,000.00	0
2G40-006-000	Lee Transportation Projects	100,000	0.00	100,000.00	0
2G40-007-000	Mason Transportation Projects	100,000	0.00	100,000.00	0
2G40-008-000	Mount Vernon Transportation Projects	100,000	0.00	100,000.00	0
2G40-009-000	Providence Transportationprojects	100,000	0.00	100,000.00	0
2G40-010-000	Springfield Transportation Projects	100,000	0.00	100,000.00	0
2G40-011-000	Sully Transportation Projects	100,000	0.00	100,000.00	0
2G40-012-000	BRAC-Route 1 Widening	3,000,000	913,585.44	1,010,128.74	0
2G40-014-000	Davis Drive Extension	85,000	42,385.50	25,049.59	0
2G40-015-000	Rt123/Braddock Rd Improvements	1,808,000	141,345.43	1,444,294.46	0
2G40-017-000	Georgetown Pike/Walker Rd	283,624	308.50	26,331.50	0
2G40-018-000	Tysons Dulles Toll Road Study	1,004,024	302,422.04	570,418.96	0
2G40-019-000	Rte 29 Widening-Centreville To FFX City	2,000,000	0.00	2,000,000.00	0
2G40-020-000	Jones Branch Connector (Jbc)	961,396	293,303.58	487,696.05	0
2G40-021-000	BRAC-Telegraph Rd Widening S Van Dorn	2,000,000	(126,491.40)	1,822,879.16	0
2G40-022-000	Lorton Road-Rt 123 Silverbrook Rd	2,284,000	0.00	2,271,086.05	0
2G40-023-000	BRAC-Mulligan Road	18,781,688	0.00	10,122,704.00	0
2G40-027-000	Road Viewers Program (Rvp)	100,000	4,689.19	67,486.53	0
2G40-028-000	Spot Improvements (Si)	6,990,000	451,261.81	5,598,955.81	0
2G40-029-000	Eskridge Rd Extension	4,416,777	240,732.08	2,842,928.25	0
2G40-030-000	Columbia Pike Streetcar Planning	912,000	680,800.00	0.00	0
2G40-049-000	Richmond Highway Match - Sidewalks	934,894	0.00	934,894.00	0
2G40-050-000	Braddock/Roanoke Road Improvements	1,192,000	0.00	1,192,000.00	0
2G40-051-000	RSTP Advanced Project Implementation-TMSAMS	280,100	0.00	280,100.00	0
2G40-053-000	Bonds Advanced Project Implementation	1,250,000	0.00	1,250,000.00	0
ST-000001	Sidewalk Replacement VDOT Participation	600,000	83,410.15	83,851.48	0

Fund 40010

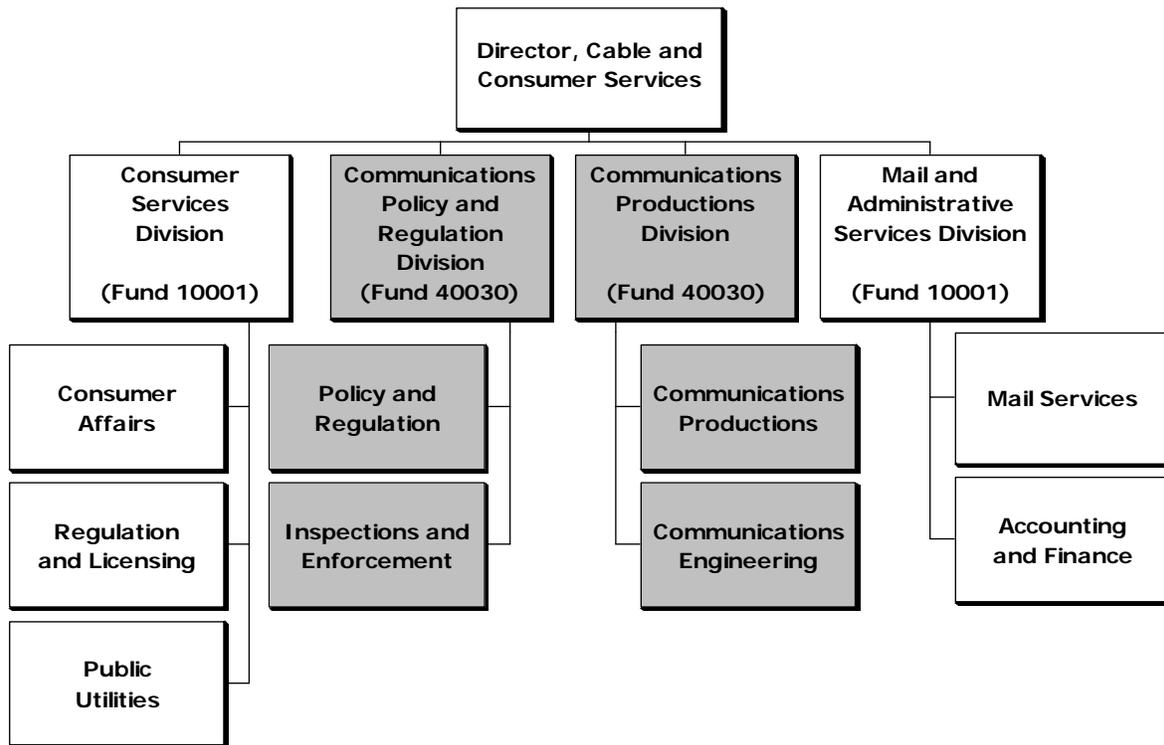
County and Regional Transportation Projects

FY 2014 Summary of Capital Projects

Fund 40010, County and Regional Transportation Projects

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
ST-000002	Emergency Maintenance Of Existing Trails	100,000	19,200.00	6,417.51	0
ST-000003	Pedestrian Task Force Recommendations	10,779,700	2,429,040.68	5,580,817.35	0
ST-000033	Springfield Multi-Use Transit Hub	500,000	0.00	500,000.00	0
TF-000001	Wiehle Avenue Metrorail Facility	119,330,000	612,810.70	102,463,965.03	0
TF-000002	BRAC -Springfield Park and Ride	5,276,300	43,937.57	52,815.20	0
TF-000003	West Ox Bus Facility-Parking Expansion	5,500,000	892,075.95	4,370,733.35	0
TF-000010	Seven Corners Transit Center	230,000	158,780.06	71,219.94	0
TF-000011	Soapstone Dr Connector Overpass Study	209,157	43,985.82	165,171.18	0
TF-000012	Sunset Hills Park and Ride	1,462,000	0.00	747,798.55	0
TS-000001	Bicycle Facilities Program	1,000,000	135,360.38	823,306.70	0
TS-000008	Tysons Bus Stop Shelters	30,000	0.00	30,000.00	0
Total		\$194,300,660	\$7,368,188.88	\$239,810,444.91	\$36,473,169

Fund 40030 Cable Communications



The Department of Cable and Consumer Services (DCCS) is the umbrella agency for four distinct functions: Communications Policy and Regulation; Communications Productions; Consumer Services; and Mail and Administrative Services. The total agency staff is dispersed over two funding sources. The Cable Communications function, which includes the Communications Policy and Regulation Division and the Communications Productions Division, is responsible for communications regulation and for television programming, and is presented in Fund 40030 (formerly Fund 105) (Volume 2). Fund 40030 is supported principally by revenue received from local cable operators through franchise agreements. Consumer Services, which mediates complaints, educates consumers, regulates taxicabs, issues licenses, and provides utility rate case intervention, is presented within the Public Safety Program Area (Volume 1) and is fully supported by the General Fund. Mail and Administrative Services manages mail services as well as accounting and finance services. Mail Services along with Accounting and Finance are programs presented in the Legislative-Executive Functions/Central Services Program Area (Volume 1) and are fully supported by the General Fund. While the functions of the Department of Cable and Consumer Services provide diverse services, they all provide quality customer service to the community and work collaboratively with County agencies, neighboring jurisdictions, and professional organizations.



Fairfax County Government Channel 16 is one of the best government access cable television stations in the nation.

Fund 40030

Cable Communications

Mission

To promote the County's cable communications policy; to enforce public safety, customer service, and regulatory requirements among the County's franchised cable operators; and to produce television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN).

To accomplish the mission, Cable Communications encourages competition, innovation, and inclusion of local community interests in the countywide deployment of cable communications services; negotiates, drafts, and provides regulatory oversight and enforcement of cable communications contracts, ordinances, statutes, and customer service policies; protects the health, safety, and welfare of the public by enforcing safety codes and construction standards; ensures community access to public, educational, and governmental programming; maintains a reliable means of mass communication of official information during emergencies; provides digital media production services to create informational programming for County residents accessible through a variety of distribution channels; and supports internal communications, including remote origination and viewing of training programs for County employees and emergency first-responders.

Focus

The Cable Communications Fund (CCF) was established by the Board of Supervisors in 1982 to provide accurate and auditable accounting of revenues and expenses associated with the administration of the County's cable communications ordinance and franchise agreements, communications productions, and cable-related consumer and policy services. CCF revenue supporting this fund comes from Institutional Network (I-Net) and Public, Educational, and Governmental (PEG) access capital grants and state communications sales and use taxes received from local cable operators based on the operators' gross revenues.

The Communications Policy and Regulation Division (CPRD) negotiates cable franchise agreements and is responsible for regulatory oversight of the County's three franchised cable television providers. At the end of FY 2012, there were over 280,000 cable subscribers within the County. More than two-thirds of County households now have a choice of cable service providers. Comcast and Cox provide service in separate, non-overlapping franchise areas. Verizon's franchise agreement specifies service throughout the County by 2012, subject to residential density and other conditions. Franchised cable service providers continue to offer video, broadband Internet access, and voice services. CPRD ensures that cable operators provide high quality customer service, safe cable system construction and operation, and access to PEG programming and emergency information.

Cable Communications supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Creating a Culture of Engagement



Connecting People and Places



Practicing Environmental Stewardship



Maintaining Healthy Economies



Exercising Corporate Stewardship

Fund 40030 Cable Communications

CPRD enforces construction codes and standards on a competitively neutral basis. In FY 2012, more than 96 percent of inspected work sites were in compliance with applicable codes. Verizon expects to complete build-out of the fiber-optic cable communications system in FY 2013, and Cox and Comcast will continue to replace and upgrade portions of the hybrid fiber-coaxial systems resulting in a continued need for construction-related inspections and complaint investigations.

In FY 2012, CPRD worked to analyze proposed state and federal cable, broadband, and telecommunications legislation and regulation. CPRD continues to work with other County agencies to monitor the fiscal impact on the CCF of the Virginia General Assembly's 2007 Communications Sales and Use Tax legislation. CPRD will also continue to work with the County Executive's legislative liaison and TeleCommUnity, a local government alliance, to monitor new developments in cable and broadband legislation, regulation and technology, and to work with other Department of Cable and Consumer Services (DCCS) staff to develop related consumer education materials. CPRD also continues to be active with public safety and new technology initiatives, and in particular, it provides consultation to the Department of Information Technology on various carrier and broadband regulatory matters before the Federal Communications Commission.



The I-Net, managed by the Department of Information Technology, is the backbone of the County Enterprise-wide Network. It is comprised of more than 4,000 kilometers of fiber linking over 400 County and Fairfax County Public Schools (FCPS) locations. CPRD will continue to administer financial support for the I-Net and support the construction of new I-Net sites and efforts to migrate video, high-speed data, and voice services to the I-Net in designated County and FCPS facilities. In FY 2014, for organizational and programmatic purposes and to properly align the budget with FOCUS, a new cost center has been created to highlight the I-Net function within Fund 40030. This new cost center will be referred to as the Institutional Network cost center and will be managed by the Department of Information Technology.

The Communications Productions Division (CPD) is responsible for the production of television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN). Channel 16 programming includes both Board-directed programming and program proposals submitted by County agencies. In FY 2014, Channel 16 will televise an estimated 330 live meetings of the Board of Supervisors, Planning Commission, Board of Zoning Appeals, County Executive projects, Board-directed special programming, town meetings, and monthly video newsletters for members of the Board of Supervisors. In addition, Channel 16 will televise programs and teleconferences highlighting the services of County agencies. The final number of informational programs produced in FY 2014 will be determined based on the needs of County agencies. Channel 16 reaches an estimated 645,000 residents with information about County programs and services available in the community. In addition Channel 16 programming is available via streaming and video-on-demand, reaching an even larger audience. CPD is also reaching out to an increasingly diverse community by offering translated programming including Spanish, Korean, and Vietnamese, as requested by County agencies.

In addition to programming for the public, CPD is responsible for programming on closed-circuit FCTN via the Fairfax County I-Net. In FY 2014, CPD will televise training and internal communications productions, as well as national satellite conferences, telecommunication courses, video training, and

Fund 40030 Cable Communications

lectures on areas such as leadership, ethics, public health and safety, and management techniques. FCTN programming reaches approximately 25,000 combined County and Fairfax County Public Schools' employees, providing the latest training and professional development programming to improve services to the public.

During FY 2014, CPD will continue to operate an emergency message system for the public, serve as a centralized resource for loan pool equipment for County agencies, manage a satellite downlink, and support video teleconferencing. CPD also provides engineering support services to County agencies and new County facilities that require complex audio and video installations.

CPD will continue to evaluate and redesign Channel 16 and FCTN programming, enhance current operations and customer service through technology changes, and support live remote testimony for public hearings. CPD will continue to maintain a national presence, be a leader in the quality of programming produced, and research new services to enhance operations.

In FY 2012 the Meeting Space Management and Event Support (MSMES) group was consolidated into CPD. MSMES is responsible for staffing and operation of the Government Center Conference Center, including reservations and scheduling, room set-up, and audio-visual support. MSMES supports the Fairfax County Board of Supervisors; Fairfax County agencies, Boards, Authorities, and Commissions (BACs); non-profit organizations; and others who need meeting and event space at the Government Center.

During FY 2013 and FY 2014, approximately \$12.0 million of the Fund 40030 balance has been used to support critical IT projects, including the multi-year commitment to refresh core elements of the I-Net, the Police In-Car Video Project, and several other IT-related projects.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$4,888,064	\$5,283,890	\$5,283,890	\$5,335,332
Operating Expenses	4,230,821	4,931,709	12,904,445	4,433,336
Capital Equipment	83,222	300,000	709,459	350,000
Capital Projects	0	0	0	0
Total Expenditures	\$9,202,107	\$10,515,599	\$18,897,794	\$10,118,668
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	51 / 51	52 / 52	52 / 52	52 / 52

Fund 40030

Cable Communications

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$46,439**

An increase of \$46,439 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.

- ◆ **Other Post-Employment Benefits** **\$5,003**

An increase of \$5,003 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs annual are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, the OPEB Trust Fund in Volume 2 of the FY 2014 Advertised Budget Plan.

- ◆ **Operating Expenses Adjustment** **(\$498,373)**

A net decrease of \$498,373 in Operating Expenses includes a decrease of \$612,514 in the Communication Infrastructure Technologies area, reflecting projected FY 2014 I-Net revenue. This decrease in revenue is based on lower than projected actual recurring receipts and will reduce funding available for I-Net equipment. This decrease in Operating Expenses is partially offset by an increase of \$114,141 in the Communications Productions Division primarily for the Meeting Space Management and Event Support Branch supporting requirements in the Government Center Conference Center, captioning services for Planning Commission and Board of Zoning Appeals meetings, and showmobile-related costs.

- ◆ **Capital Equipment** **\$350,000**

Capital Equipment funding of \$350,000 includes \$250,000 for video replacement equipment in the Communications Productions Division. In addition, \$50,000 is included for I-Net data and video network equipment, \$25,000 for the first year of a multi-year equipment refresh of the audio-video presentations systems located in the Government Center Conference Center and \$25,000 for the replacement of an Assistive Listening System unit.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$8,382,195**

As part of the FY 2012 Carryover Review, the Board of Supervisors encumbered funding of \$285,154 for various expenses associated with the I-Net installation and relocation and for various other expenses associated with the Cox Communications Franchise Renewal. In addition, unencumbered funding of \$8,097,041 was approved to fully appropriate the I-Net balance and various Channel 16 capital equipment acquisitions.

Fund 40030

Cable Communications

Cost Centers

The three cost centers within Fund 40030, Cable Communications that work together to achieve the mission of the Fund are the Communications Policy and Regulation Division, Communications Productions Division, and the Institutional Network. A large portion of the Communications Policy and Regulation Division is dedicated to I-Net initiatives and beginning in FY 2014, the I-Net function within the Communications Policy and Regulations Division was separated out into the newly created Institutional Network cost center.

Communications Policy and Regulation Division

A large portion of the Communications Policy and Regulation Division (CPRD) is dedicated for I-Net initiatives. In addition, the CPRD negotiates cable franchise agreements and is responsible for regulatory oversight of the County's three franchised cable television providers.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$2,376,711	\$2,668,176	\$2,715,840	\$2,708,619
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	22 / 22	23 / 23	23 / 23	23 / 23
<hr/>				
1 <u>Office of the Director</u> Director, DCCS	1 <u>Communications Policy and Regulation Division</u> Director, Policy and Regulation		1 <u>Inspections and Enforcement</u> Engineer III	
1 Administrative Assistant V	1 Administrative Assistant IV		1 Engineering Technician III	
<hr/>				
1 <u>Regulation and Licensing</u> Administrative Assistant III	2 <u>Policy and Regulation</u> Management Analysts III		6 Senior Electrical Inspectors	
<hr/>				
1 <u>Administrative Services</u> Financial Specialist III	2 <u>Public Utilities</u> Utilities Analysts		1 <u>Consumer Affairs</u> Consumer Specialist II	
1 Administrative Assistant IV			1 Consumer Specialist I	
<hr/>				
TOTAL POSITIONS				
23 Positions / 23.0 FTE				

Communications Productions Division

The Communications Productions Division (CPD) is responsible for the production of television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN).

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$3,361,413	\$3,954,108	\$4,368,810	\$4,125,123
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	29 / 29	29 / 29	29 / 29	29 / 29

Fund 40030

Cable Communications

<u>Communications Productions Division</u>		<u>Communications Engineering</u>		<u>Conference Center</u>	
1	Director, Comm. Productions	1	Network Telecom Analyst III	1	Video Engineer
1	Administrative Assistant IV	2	Network Telecom Analysts II	1	Administrative Assistant III
1	Administrative Assistant II	1	Network Telecom Analyst I	1	Administrative Assistant II
<u>Communications Productions</u>		<u>Consumer Affairs</u>		<u>Regulation and Licensing</u>	
1	Instructional Cable TV Specialist	1	Administrative Assistant II	1	Administrative Assistant III
5	Producers/Directors				
6	Assistant Producers				
4	Media Technicians				
TOTAL POSITIONS					
29 Positions / 29.0 FTE					

Institutional Network

The Institutional Network cost center is responsible for the County Enterprise-Wide Network Services and is managed by the Department of Information Technology.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$3,463,983	\$3,893,315	\$11,813,144	\$3,284,926
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	0 / 0	0 / 0	0 / 0	0 / 0

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Communications Policy and Regulation Division				
Percent of cable communications construction work sites inspected	27%	27%	28% / 23%	25%
Percent of homeowner cable construction complaints completed	100%	100%	100% / 100%	100%
Percent of favorably resolved cable service complaints	100%	97%	95% / 100%	97%
Percent of inquiries completed	103%	100%	99% / 100%	99%
Communications Productions Division				
Percent of requested live programs completed	100%	100%	NA / NA	NA
Percent of requested studio programs completed	99%	101%	NA / NA	NA
Percent of requested field programs completed	101%	100%	NA / NA	NA
Percent of requested programs completed	101%	100%	98% / 100%	98%
Percent of program transmission uptime	NA	99.0%	99.5% / 98.2%	99.5%
Percent of duplication requests completed within required deadline	NA	91%	100% / 99%	100%

Fund 40030 Cable Communications

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Institutional Network				
Percent of I-Net locations constructed	100%	73%	100% / 100%	100%
Percent of I-Net locations activated for video	140%	75%	100% / 100%	100%
Percent of I-Net overall uptime	99.9%	99.9%	99.9% / 99.9%	99.9%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40030.pdf

Performance Measurement Results

In FY 2012, the percent of favorably resolved cable service complaints was 100 percent, exceeding the performance target for FY 2012 by 5 percent. Partially as a result, the FY 2013 estimate has been increased to 97 percent.

Verizon's fiber optic cable communications system construction will continue in FY 2013; construction may increase slightly from FY 2012 levels as Verizon completes build-out.

In FY 2012, CPD combined live, studio, and field program hours into a single measure and introduced two new families of measures to report on other lines of service. As a result, N/A appears in three of the outcome measures. Please refer to the "percent of requested programs completed" for the combined measure. In FY 2012, the percent of requested programs completed was 100 percent, exceeding the performance target. The numbers of requests from the Board of Supervisors, County Executive, and County agencies vary—it is the goal to complete at least 98 percent of requested programs.

In FY 2012, the percent of I-Net locations constructed (100 percent), activated for video (100 percent) and overall uptime (99.9 percent) each met their performance targets. In FY 2013, it is anticipated that this trend will continue.

Fund 40030 Cable Communications

FUND STATEMENT

Fund 40030, Cable Communications

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$24,288,130	\$7,746,933	\$17,936,386	\$6,282,583
Revenue:				
Miscellaneous Revenue	\$606	\$1,200	\$1,200	\$1,200
Fines and Penalties	64,350	0	0	0
I-Net and Equipment Grant	7,022,323	7,188,349	7,188,349	6,474,603
Franchise Operating Fees	15,978,933	17,638,371	17,638,371	17,616,774
Total Revenue	\$23,066,212	\$24,827,920	\$24,827,920	\$24,092,577
Total Available	\$47,354,342	\$32,574,853	\$42,764,306	\$30,375,160
Expenditures:				
Personnel Services	\$4,888,064	\$5,283,890	\$5,283,890	\$5,335,332
Operating Expenses ¹	4,230,821	4,931,709	12,904,445	4,433,336
Capital Equipment	83,222	300,000	709,459	350,000
Subtotal Expenditures	\$9,202,107	\$10,515,599	\$18,897,794	\$10,118,668
Transfers Out:				
General Fund (10001) ²	\$6,901,043	\$4,270,457	\$4,270,457	\$4,145,665
Schools Grants & Self Supporting (S50000) ³	3,476,203	4,898,169	4,898,169	4,482,175
Schools Grants & Self Supporting (S50000) ⁴	250,000	250,000	250,000	250,000
Information Technology (10040) ⁵	5,670,000	3,260,000	3,260,000	2,900,000
General Construction and Contributions (30010)	1,904,500	0	0	0
Public Safety Construction (30070)	200,000	0	0	0
Capital Renewal Construction (30020)	0	285,000	285,000	0
Technology Infrastructure Services (60030) ⁶	1,814,103	4,620,303	4,620,303	4,475,253
Total Transfers Out	\$20,215,849	\$17,583,929	\$17,583,929	\$16,253,093
Total Disbursements	\$29,417,956	\$28,099,528	\$36,481,723	\$26,371,761
Ending Balance⁷	\$17,936,386	\$4,475,325	\$6,282,583	\$4,003,399
Reserve for PC Replacement	\$31,500	\$31,500	\$31,500	\$31,500
Unreserved Ending Balance	\$17,904,886	\$4,443,825	\$6,251,083	\$3,971,899

Fund 40030

Cable Communications

¹ In order to account for expenditures in the proper fiscal year, an audit adjustment in the amount of \$15.00 has been reflected as a decrease to FY 2012 expenditures. This adjustment has been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

²The base Transfer Out to the General Fund represents compensation for staff and services provided by the County primarily for cable-related activities and is calculated as 20 percent of the franchise operating fees. In addition, annual reconciliation of the revenue and subsequent transfer is conducted and necessary adjustments have been incorporated in the FY 2014 budget. It should be noted that the FY 2012 transfer to the General Fund includes an additional \$2.0 million redirected by delaying an IT project and \$1.3 million identified by the Auditor to the Board.

³The base Transfer Out to the Schools funding reflects compensation for staff and services provided by the Fairfax County Public Schools (FCPS) and is calculated as 20 percent of the franchise operating fees. In addition, annual reconciliation of the revenue and subsequent transfer is conducted and necessary adjustments have been incorporated in the FY 2014 budget .

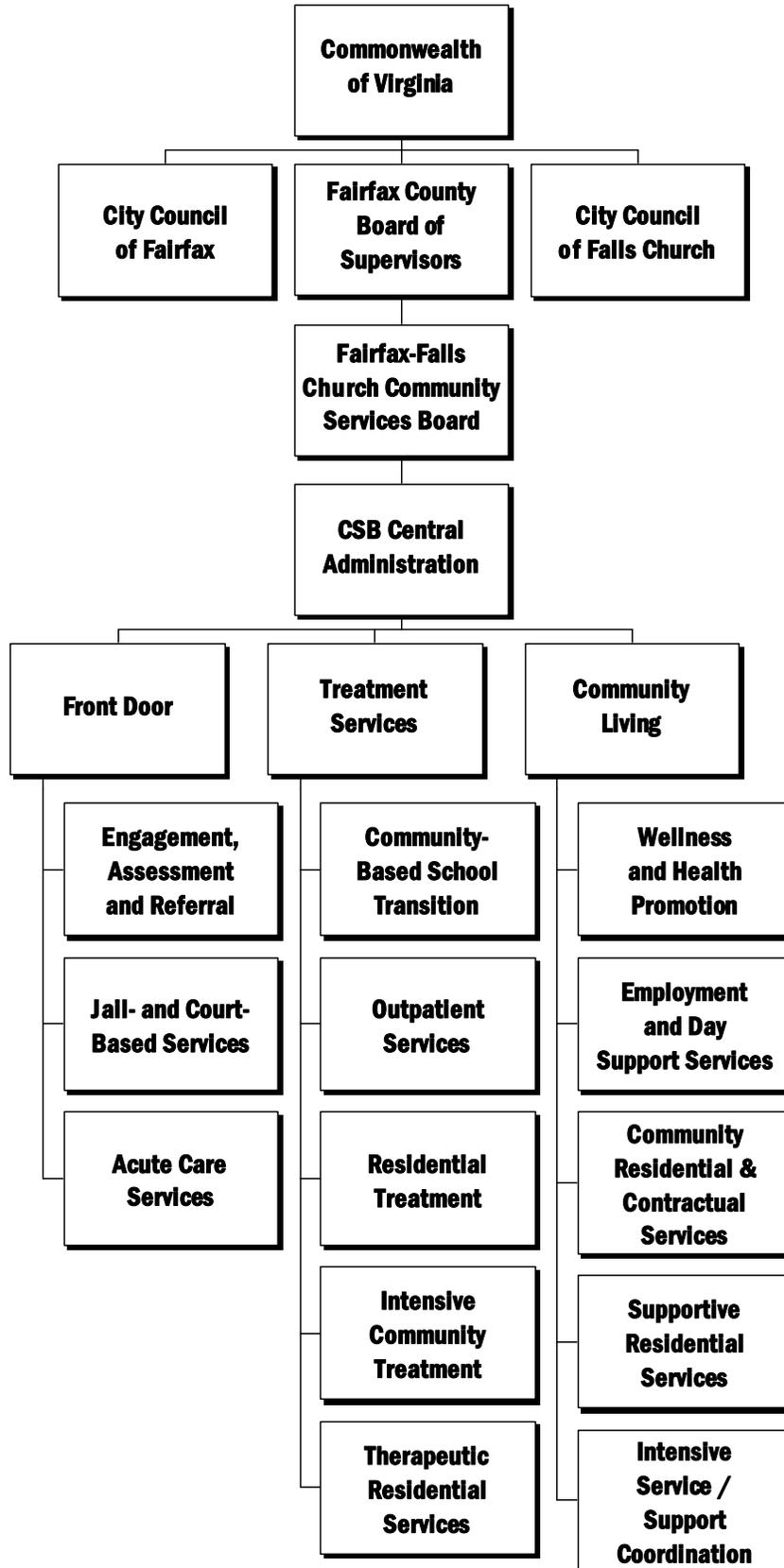
⁴ This funding reflects a direct transfer to FCPS to support a replacement equipment grant of \$250,000.

⁵ In FY 2014, this funding reflects a direct transfer of \$2.9 million to Fund 10040, Information Technology to support multiple IT project requirements.

⁶ Funding of \$1,814,103 reflects a direct transfer to Fund 60030, Technology Infrastructure Services, to support staff and equipment costs related to construction of the I-Net. In addition, in FY 2014 an amount of \$2,661,150 is included reflecting the second year of a multi-year commitment to replace and refresh core elements of the I-Net.

⁷ Actual ending balances fluctuate year to year, as ending balances are reappropriated within Fund 40030. Equipment and services expenditure requirements fluctuate year to year based on I-Net construction and maintenance schedule.

Fund 40040 Community Services Board (CSB)



Fund 40040

Community Services Board (CSB)

Mission

The Fairfax-Falls Church Community Services Board (CSB), Fund 40040 (formerly Fund 106) partners with individuals, families and the community to empower and support Fairfax-Falls Church residents with, or at risk of developing, developmental delays, intellectual disabilities, mental illness and substance use disorders or dependency. The department provides leadership to ensure the integration of the principles of resilience, recovery and self-determination in the development and provision of services. The department maintains accountability by ensuring that continuous system improvement is anchored in best practice, outcome and effectiveness measurement, and the efficient use of resources. As the public support network, the CSB provides services which assist, improve and maximize the potential of individuals affected by these conditions and strengthens their capacity for living self-determined, productive and valued lives within the community.

The Fairfax-Falls Church Community Center Board supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Creating a Culture of Engagement



Connecting People and Places



Maintaining Healthy Economies



Building Livable Spaces



Exercising Corporate Stewardship

Fund 40040

Community Services Board (CSB)

AGENCY DASHBOARD			
Key Data	FY 2010	FY 2011	FY 2012
1. Persons Served by the CSB	19,572	20,058	20,446
2. Infant Toddler Connection – children served	2,697	2,801	3,090
3. Department of Justice and Virginia Settlement Agreement relating to individuals with intellectual disability living in state training centers who are returning to their communities			
• Discharges from training centers	4	4	2
• Eligible individuals on a waiting list for Medicaid Waiver funding who meet the Urgent Need criteria	367	404	494
4. Employment and Day Support Services			
▪ Annual Special Education Graduates	104	110	120
▪ Community waiting list	25	NA	26
5. Health Care Reform			
▪ Percent of individuals who reported that they have a Primary Care Provider*	54%	53%	50%
▪ Percent of individuals receiving Mental Health/Substance Abuse services who have Medicaid coverage	28%	37%	38%
6. Total new housing opportunities/projected new housing opportunities for CSB Clients	NA	NA	104/116
7. Actual new housing opportunities/projected new housing opportunities for individuals receiving 24/7, high intensity services (e.g., group homes/Intermediate Care Facilities)	NA	NA	0/4
8. Actual new housing opportunities/projected new housing opportunities for individuals living in supervised and supportive housing	NA	NA	95/93
9. Actual new housing opportunities/projected new housing opportunities for individuals receiving time-limited services	NA	NA	9/7
10. Clients completing their initial lease-up with a Bridging Affordability program rental subsidy/Subset number of clients who were homeless	NA	NA	31/27

*Does not include the Infant Toddler Connection program

Fund 40040

Community Services Board (CSB)

Focus

System Transformation

In FY 2012, the CSB began the process of reorganizing and realigning resources to better serve those who need services the most. The transformation process has been guided by four goals: 1) establishment of a service structure based on the priority needs of those served; 2) establishment of an organizational structure that is primarily driven by the data that measures effectiveness in achieving the priority needs; 3) establishment of an administrative structure that supports the service structure, with all of the tools needed so that the primary concentration is on service delivery and providers' ongoing interactions with those served; and 4) accountability for a restructuring process based on building upon strengths within the department, the County and the larger community.

CSB Administration includes the leadership and business supports for the entire CSB. Cross-cutting services are integrated across all service areas and include housing, peer supports, medical services, consumer affairs and access to entitlements. Services are now organized according to how they are provided, in an integrated system of care with a focus on recovery and self-determination, rather than by disability areas (mental illness, substance abuse, intellectual and developmental disabilities). There are 13 lines of service, grouped into three service areas:

- Front Door services include entry and screening for all CSB services, as well as more intensive entry services such as crisis stabilization and detoxification. The lines of service included are: Engagement, Assessment and Referral; Jail- and Court-Based Services; and Acute Care Services.
- Treatment services include outpatient and day treatment, as well as more intensive residential treatment. The lines of service included are: Community-Based School Transition; Outpatient Services; Residential Treatment; Intensive Community Treatment; and Therapeutic Residential Services.
- Community Living services include daytime supported employment, as well as group homes with 24/7 staff support. The lines of service included are: Wellness and Health Promotion; Employment and Day Support; Community Residential and Contractual Services; Supportive Residential Services; and Intensive Service/Support Coordination.

Each service area is accountable for consistently assessing its capacity to respond to the needs of the people it serves, within available resources. As the CSB completes the department's transition from the previous organizational structure by disability area, there may be some refinements to the service areas and lines of service groupings. In addition, performance measures will continue to be refined to ensure the goals, objectives, baseline targets, and the complete "family of measures" associated with program performance will enable staff to measure the success of CSB's final lines of service in achieving intended program outcomes. These organizational and performance measurement adjustments will be reflected in the [FY 2014 Adopted Budget Plan](#) presentation.

Budget Management Plan

The CSB had a significant budget shortfall in FY 2012 caused by both revenue and expenditure issues. A comprehensive budget management plan was put in place by the County Executive for FY 2013 to ensure that another budget shortfall would not occur. The County Executive's Work Plan for Restoring Fiscal Stability for the Fairfax-Falls Church CSB is a key tool in managing the budget of the CSB, and focuses on four key areas: financial leadership, controls, and accountability; service utilization and cost containment; communication; and long-term service trend analysis and strategic planning. While short

Fund 40040

Community Services Board (CSB)

term strategies are already in place (e.g., establish a County/CSB fiscal oversight committee, investigate alternative service models for Employment and Day Support Services and Infant and Toddler Connection, analyze co-pay and fee-for-service policies and practices to identify potential enhancements), various long-term strategies are still being developed and will be implemented towards the end of FY 2013 and in FY 2014. The CSB Board structure has also been reorganized with a focus on cohesive advocacy, community outreach and fiscal oversight.

Due to increases and changes in service demands, growing costs to operate services, and the County's fiscal climate, those services provided by the CSB that are currently being reviewed may be restructured to contain costs. This could include decreasing the intensity of services, finding business efficiencies, continuing to maximize revenue collections, enlisting assistance from private providers, and/or eliminating programs. The CSB will continue to work closely with the Office of the County Executive, Department of Management and Budget, Department of Administration for Human Services, as well as consultants, advocates, the community and County agencies to address how to establish a new service model for all services.

Current Issues and Trends

Given the fiscal climate the last several years, the CSB has refocused its target population and is now serving those who are most disabled by their mental illness, substance use disorder and/or intellectual disability and who have no access to an alternative service provider. As a result, the CSB may have less service availability for others and more alternative community and private resources must be developed to meet the community's overall needs for services. Specifically in the area of primary health care, the CSB has already been successful in creating community partnerships with Federally Qualified Health Centers (FQHC) and other community health centers to maximize care coordination for CSB individuals, meet complex primary and behavioral healthcare needs, improve health outcomes and prevent more expensive health interventions. Such partnerships will continue to be critical as many people who receive services from the CSB for mental illness, substance use disorder, and/or intellectual disability have poor or no access to primary healthcare services. Nationally, the average lifespan of people with serious mental illness is 25 years shorter than that of the general population, and improving healthcare access and health outcomes for the people served by the CSB is a key priority area.

A partnership with the George Mason University School of Nursing has also provided training to all CSB nursing staff, specifically with screening individuals at the Fairfax Detoxification Center for key health concerns (e.g., diabetes, hypertension) while receiving medical detoxification services, as well as training in other programs. This effort, along with others, are helping move the CSB toward an integrated approach to primary and behavioral healthcare that will improve the overall health of CSB individuals. As future technologies for integrated service delivery develop, the CSB anticipates realigning staff to further support these efforts.

The CSB has also seen both new and enhanced processes put in place to improve services, specifically in technological advances such as initiating E-Prescribing by physicians/nurse practitioners, beginning E-document sharing for lab work, and launching signature pads for consumer use to support migration toward a solely electronic health record. Further, there has been an increased capacity for telecommunication, teleconferencing, and telepsychiatry to improve access across the system, as well as a reorganized and enhanced Infoweb and public website for improved usability by both the CSB and the public. Perhaps most importantly, the CSB launched the new CSB Electronic Health Record (Credible) on March 1, 2012, and it is providing the department with information about return on investment and other

Fund 40040

Community Services Board (CSB)

business intelligence to better inform decisions. Further implementation of Credible's functionality and integration/interface with the County's FOCUS system will be key activities in the coming years.

Recent federal and state decisions are also impacting CSB services. For example, all Medicaid-eligible services, including behavioral health, primary health care and Intellectual Disability (ID)/Developmental Disability (DD) Medicaid waivers (for people with intellectual/developmental disabilities) will likely need to be restructured as a result of federal healthcare reform and a new Department of Medical Assistance Services (DMAS) contract for behavioral managed care. Medicare also appears to be an increasing source of funding for some of the CSB's health care services and may rival Medicaid as a leading funding source for some services. The impact of potential additional federal cuts due to sequestration on CSB services is also still unknown. However, any reductions will lead to decreased access to funding sources for some existing programs and activities and as a result, people receiving CSB services will be expected to take on increasing financial responsibility for their needs unless they are unable to do so due to significant disability.

Finally, the United States Department of Justice (DOJ) settlement agreement with the Commonwealth reached on January 26, 2012 following DOJ's investigation into Virginia's training centers (residential institutions) and community services for persons with developmental disabilities, with respect to both the Americans with Disabilities Act (ADA) and the U.S. Supreme Court *Olmstead* ruling, will significantly impact Employment and Day Support services starting in FY 2013, and more so as individuals begin transitioning out of the training centers. The *Olmstead* decision requires that individuals be served in the most integrated settings appropriate to meet their needs consistent with their choice. Among the target populations under this agreement are individuals with an intellectual disability who currently reside at any of the state operated training centers or nursing homes and individuals who are on the waiting list for the ID Medicaid waiver. As of December 2012, there were 116 Fairfax County citizens residing in state training centers and over 850 individuals on a waiting list for the ID Medicaid waiver. The Commonwealth has established timelines by which all but one training center will be closed and individuals moved into community based services. The CSB is required to facilitate discharge planning, oversee community transition and provide ongoing monitoring and support coordination for all individuals impacted. In addition, the DOJ settlement also requires the CSB to provide enhanced case management services primarily to individuals discharged from the training centers, current ID waiver recipients, and individuals on the waiting list for ID waivers. With the additional increase in the number of individuals to be served as well as enhanced documentation and oversight requirements per the settlement agreement, this will create a significant demand on CSB staff resources. In addition, Medicaid and local funding will see increased demands to increase community capacity to meet the needs of these individuals.

Continuing Challenges

In FY 2014, CSB faces a number of continuing challenges. The unprecedented growth in demand for Infant and Toddler Connection (ITC) services continues, without state funding to fully support the service requirements. In the last two years, service demand has increased 46 percent, and despite the tough economic situation, there are no wait lists for ITC services. The State, not the locality, is legally responsible for providing these services to eligible families. The County will continue to work with the State to try to obtain adequate state funding that addresses the growing need for critical early intervention services.

Fund 40040

Community Services Board (CSB)

The number of special education graduates will also continue to place demands on the CSB. Approximately 100 new graduates leave the school system every year, and the services provided to these individuals are largely funded through local dollars and provided without wait lists. Development and implementation of a new service model is a top priority in FY 2014.

In addition, various types of employment opportunities are needed to support recovery and build independence, and independent and supported employment opportunities need to be encouraged and developed. The availability of such opportunities will continue to be challenging given the economic climate.

Transportation will also continue to be an issue as many CSB individuals lack a private means of transportation in a community that is difficult to navigate without it. Also, many individuals cannot drive due to disability or use of certain medications.

Affordable, safe housing coupled with individualized case management and supportive services will also need to be made available in order to increase the likelihood that CSB individuals will successfully maintain their housing, work toward recovery and independence and have fewer episodes of crisis. The Department of Housing and Community Development's (HCD) Housing Blueprint is helping to increase the affordable rental stock in the County, including helping those on CSB's waiting lists for affordable housing. More work is needed to continue expanding public and private options, particularly to address major barriers CSB individuals face, including accessibility, poor credit and criminal records.

Relationship with Boards, Authorities, and Commissions

In addition to being one of the BOS's recognized Boards, Authorities, and Commissions (BACs), the CSB is involved in many of the official BACs as well as numerous other community groups and organizations.

Examples include:

- [COMMUNITY ACTION ADVISORY BOARD \(CAAB\)](#)
- [COMMUNITY CRIMINAL JUSTICE BOARD \(CCJB\)](#)
- [COMMUNITY POLICY AND MANAGEMENT TEAM, FAIRFAX-FALLS CHURCH](#)
- [COMMUNITY REVITALIZATION AND REINVESTMENT ADVISORY GROUP](#)
- [CRIMINAL JUSTICE ADVISORY BOARD \(CJAB\)](#)
- [FAIRFAX AREA DISABILITY SERVICES BOARD](#)
- [FAIRFAX COMMUNITY LONG TERM CARE COORDINATING COUNCIL](#)
- [HEALTH CARE ADVISORY BOARD](#)
- [REDEVELOPMENT AND HOUSING AUTHORITY](#)
- [PLANNING COMMISSION](#)
- [NORTHERN VIRGINIA REGIONAL COMMISSION](#)

The CSB also partners actively with a multitude of community organizations and nonprofit provider boards, safety net organizations, advocacy groups, consumer organizations and faith communities. It is through these relationships that broader community concerns and needs are identified, CSB information can be shared, priorities are set, and partnerships, as well as, the CSB mission are strengthened.

Fund 40040 Community Services Board (CSB)

General Fund Transfer

The FY 2014 budget for Fund 40040, Fairfax-Falls Church Community Services Board requires a General Fund Transfer of \$109.23 million, an increase of \$8.81 million or 8.8 percent over the FY 2013 Adopted Budget Plan. The increase is primarily due to necessary FY 2014 baseline adjustments based on Board of Supervisor decisions made as part of the *FY2012 Carryover Review*, including funding to address increased demand and rising costs in the Infant and Toddler Connection program; increased fringe benefit requirements; the full year impact of FY 2013 compensation adjustments; and additional support for the June 2013 special education graduates of Fairfax County Public Schools turning 22 years of age who are eligible for day support and employment services who currently do not have a funding source for such services; offset by reductions utilized to balance the FY 2014 budget .

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$91,456,633	\$92,596,653	\$92,607,619	\$94,667,471
Operating Expenses	54,476,552	51,147,349	54,065,302	55,475,302
Capital Equipment	0	0	0	0
Subtotal	\$145,933,185	\$143,744,002	\$146,672,921	\$150,142,773
Less:				
Recovered Costs	(\$2,098,251)	(\$1,123,974)	(\$1,123,974)	(\$1,173,974)
Total Expenditures	\$143,834,934	\$142,620,028	\$145,548,947	\$148,968,799
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	1018 / 1014.75	1020 / 1016.75	997 / 992.75	982 / 977.25

This department has 48/47.5 FTE Grant Positions in Fund 50000, Federal/State Grants.

Fund 40040 Community Services Board (CSB)

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
CSB Service Area Expenditures				
CSB Administration	\$6,534,912	\$5,458,893	\$5,176,176	\$5,002,307
Cross-Cutting	16,573,954	13,602,576	19,715,846	19,204,764
Front Door	15,307,003	13,974,301	13,458,588	13,863,598
Treatment	42,395,550	41,729,833	42,604,701	45,019,127
Community Living	63,023,515	67,854,425	64,593,636	65,879,003
Total Expenditures*	\$143,834,934	\$142,620,028	\$145,548,947	\$148,968,799
Non-County Revenue by Source				
Fairfax City	\$1,309,903	\$1,336,100	\$1,336,100	\$1,336,100
Falls Church City	593,720	605,595	605,595	605,595
State DBHDS	13,314,430	12,871,445	12,905,035	12,713,033
Federal Block Grant	4,806,651	4,245,895	4,203,857	4,203,857
Federal Other	145,280	0	154,982	154,982
Federal ARRA	17,777	0	0	0
Medicaid Waiver	2,418,806	2,671,003	2,756,068	2,756,068
Medicaid Option	9,620,258	12,711,947	9,976,866	10,026,774
Program/Client Fees	5,911,297	6,084,272	5,941,259	6,279,123
CSA Pooled Funds	2,069,338	1,616,020	1,660,009	1,660,009
Miscellaneous	2,925	56,124	0	0
Total Revenue	\$40,210,385	\$42,198,401	\$39,539,771	\$39,735,541
County Transfer to CSB	\$100,496,382	\$100,421,627	\$109,610,515	\$109,233,258
County Transfer as a Percentage of Total CSB Expenditures	69.9%	70.4%	75.3%	73.3%

* Please note that the CSB reorganized and realigned resources during FY 2013 to better align budget with actual expenditures.

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$1,260,409**
 An increase of \$1,260,409 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.
- ◆ **Fringe Benefit Support** **\$1,674,575**
 An increase of \$1,674,575 in Personnel Services is required to support the increased fringe benefit requirements in FY 2014.

Fund 40040 Community Services Board (CSB)

- ◆ **Infant and Toddler Connection** **\$1,200,000**
 An increase of \$1,200,000 is due to increased demand and rising costs in the Infant and Toddler Connection program. This funding will be held in an appropriate reserve to support increased contractor expenses and additional services to provide clinical and therapeutic services to more eligible children. This is partially offset by \$200,000 in increased revenue. Staff is working diligently to secure additional state funding for these services which should be considered a state responsibility.
- ◆ **Special Education Graduates** **\$1,100,000**
 An increase of \$1,100,000 in Operating Expenses supports 58 of the 101 June 2013 special education graduates of Fairfax County Public Schools turning 22 years of age who are eligible for day support and employment services who currently do not have a funding source for such services.
- ◆ **Carryover Adjustments** **\$2,928,919**
 As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$2,928,919, including an increase of \$3,500,000 to support increased fringe benefit requirements for the existing array of CSB positions; an increase of \$69,324 in Personnel Services that was reallocated from Agency 89, Employee Benefits, to better align costs related to the Language Skills Proficiency Pay program with the appropriate agencies; offset by a decrease of \$623,687 associated with reductions taken to generate savings and a decrease of \$16,718 associated with miscellaneous adjustments. This baseline adjustment is also necessary in FY 2014.
- ◆ **Reductions** **(\$1,815,132)**
 A decrease of \$1,815,132 and 15/16.0 FTE positions reflects the following reductions utilized to balance the FY 2014 budget:

Title	Impact	Posn	FTE	Reduction
Eliminate One Filled Central Administration Position	This reduction is based on a redesign of the CSB's administrative and operational oversight functions and eliminates a Management Analyst III position supporting the public-private partnership with Fairfax Resilience, Education, Advocacy, Community, Hope (REACH), Inc. through revenue oversight and processing. The administrative work will have to be absorbed by remaining staff.	1	1.00	\$103,992
Eliminate the Supported Apartment Program and Three Filled Intellectual Disability Specialist Positions	This reduction eliminates three Intellectual Disability Specialist positions and will result in the closure of the CSB's supported apartment program serving 13 individuals with intellectual disability who live in their own homes or in shared living arrangements (e.g., apartment, townhomes). Individuals in this program receive training in personal skills, support in community living, and in some instances, rental assistance. Support provided ranges from daily to periodic drop-in visits and is based on individual needs and preferences. As a result of the reduction, the CSB will transfer the service provision to a combination of private community partners on existing contracts and other similar CSB residential program staff that have capacity. There will be minimal impact to people who receive CSB services and modest workload increases for CSB staff.	3	3.00	\$375,221

Fund 40040 Community Services Board (CSB)

Title	Impact	Posn	FTE	Reduction
Eliminate Two Filled Senior Management Positions (Will Be Vacant in Early FY 2014)	This reduction eliminates two Senior Management positions. The first position is no longer needed due to the CSB's transformation and restructuring of the organization based on service intensity and overall consumer population needs. The second position provides support for key drivers such as Healthcare Reform, the Department of Justice settlement implementation, and projects associated with the County Executive's Work Plan, and the responsibilities can be absorbed by other staff.	2	2.00	\$321,766
Reduce Hours of a Vacant Senior Clinician Position at Stevenson Place	This reduction reduces a full-time Senior Clinician position to part-time status at Stevenson Place, a specialized assisted living facility. This will impact the flexibility and availability of staff to provide clinical mental health services for up to 36 individuals with serious mental illnesses and medical needs living in the assisted living facility. These services include onsite therapy, supportive counseling, crisis intervention, clinical case management and coordination of clinical services. As the position is currently vacant, the work has already been absorbed by the Mental Health Therapist/Case Manager so there is minimal impact anticipated.	0	0.50	\$50,300
Eliminate the Filled On-Site Manager Position at Annandale Outpatient	This reduction will eliminate the on-site manager at the Annandale Outpatient site, who is responsible for oversight of Alcohol and Drug Services and leadership for site operations, and is also charged with participating in a future collaborative public safety initiative with the Mason District Police Station. The Annandale Outpatient site offers clinical counseling services for individuals with substance abuse, mental health, and co-occurring disorders. There are currently three CSB Outpatient sites across the County (i.e., Annandale Outpatient, Fairfax and Gartlan Center), all with on-site managers. This reduction will result in an off-site manager having responsibility for both Annandale Outpatient and Fairfax Outpatient, which are only a few miles apart, and may result in the on-site clinical supervisors absorbing some of the work while the manager is between sites. Annandale Outpatient served 475 individuals in FY 2012.	1	1.00	\$109,004
Eliminate Three Vacant Administrative Support Positions	This reduction would eliminate three Administrative Assistant II positions responsible for providing various administrative support functions for clinical operations throughout the CSB, and reduce an additional position to part-time status. Currently, there are 78 administrative assistant positions located on-site in facilities offering direct services to people who receive CSB services. The elimination of these three positions and the reduction in hours of one additional position will result in their work needing to be absorbed by remaining administrative and/or clinical staff.	3	3.50	\$191,465

Fund 40040 Community Services Board (CSB)

Title	Impact	Posn	FTE	Reduction
Eliminate Two Vacant Management Positions	This reduction eliminates two vacant management positions and is part of the CSB's organizational restructuring that will allow for greater efficiencies. The two positions include a Mental Health Division Director, responsible for management oversight, and a Substance Abuse Counselor IV, responsible for supervising and providing quality assurance. Both positions provide oversight of internal quality control, but can be eliminated as a business efficiency associated with the CSB's transformation initiative.	2	2.00	\$256,369
Eliminate Two Vacant Wellness and Health Promotion Services Positions	This reduction eliminates one Substance Abuse Counselor II and one Substance Abuse Counselor III position in the CSB's Wellness and Health Promotion Services, leaving 16 positions remaining in the program, and is part of a larger Human Services system redesign of Prevention Services that will allow for greater countywide system effectiveness and efficiencies.	2	2.00	\$190,000
Reclassify a Position at Beacon Hill Group Home for Program Efficiencies	This reduction reclassifies a Mental Health Therapist position to a Mental Health Counselor position at the Beacon Hill Group Home. The Beacon Hill Group Home is a residential treatment program that provides a wide range of services to adults who have a serious mental illness, some of whom are being discharged from hospitals to the community. It has a capacity to serve 8 residents in the house and 6 residents in the aftercare apartments, and the program provides a structured, supportive environment for those in need of assistance to make a transition to a more independent living situation. As a result of the reclassification of the position, there will be an increased amount of individual case management, as the staff will be better aligned to meet consumer needs, resulting in more targeted mental health support services that will lead to increased consumer independent living skills.	0	0.00	\$11,253
Eliminate the Vacant Therapeutic Residential Services Director Position	This reduction eliminates the Therapeutic Residential Services Director position and is based on a CSB reorganization of several service areas for greater business effectiveness and efficiency. Therapeutic Residential Services will be consolidated with Residential Treatment and Supportive Residential Services, allowing for a more consolidated and streamlined Residential Services administration.	1	1.00	\$128,141

Fund 40040 Community Services Board (CSB)

Title	Impact	Posn	FTE	Reduction
Restructure Staff at the New Horizons Treatment Center	This reduction is the result of savings that are based on a redesign of the clinical staff located at the New Horizons Therapeutic Residential Treatment Center. The CSB is replacing a Mental Health Counselor position with a Specialist Aide position; replacing a Behavioral Health Nurse Clinician with a Licensed Practical Nurse; and replacing a Mental Health Therapist with an Assistant Residential Counselor. As a result, there will be resulting efficiencies and an increase in customer satisfaction, as the 12 full time staff will continue to serve the Center's residents (capacity of 16) in a much more direct manner. The redesign will allow more staff to provide services including case management, development of treatment plans, and facilitation of Medicaid pre-authorizations. There would be an increased focus by the restructured staff to facilitate the program goal of individual recovery and community reintegration.	0	0.00	\$62,035
Reclassify a Position at the Patrick Street Group Home for Program Efficiencies	This reduction will reclassify a Behavioral Health Clinician position to a Licensed Practical Nurse position at the Patrick Street Group Home. The Patrick Street Group home is a residential treatment program for adults with serious mental illness, some of whom are being discharged from hospitals to the community. It has a capacity to house eight individuals, and the program provides a structured, supportive environment for those in need of assistance to make a transition to a more independent living situation. This reduction aligns staff to better provide case management, formulate treatment plans, and direct the implementation of service plans.	0	0.00	\$15,586

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$2,928,919**
 As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$2,928,919, including an increase of \$3,500,000 in support for increased fringe benefit requirements for the existing array of CSB positions, an increase of \$69,324 in Personnel Services that was reallocated from Agency 89, Employee Benefits, to better align costs related to the Language Skills Proficiency Pay program with the appropriate agencies, offset by a decrease of \$623,687 and 21/21.0 FTE positions associated with reductions taken to generate savings and a decrease of \$16,718 associated with miscellaneous adjustments.
- ◆ **Out-of-Cycle Position Adjustments** **\$0**
 In order to better align positions with the department in which services are provided, the CSB transferred 1/1.0 FTE position to Agency 67, Department of Family Services and 1/1.0 FTE position to Agency 68, Department of Administration for Human Services.

Fund 40040

Community Services Board (CSB)

Cost Centers

CSB Administration

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$3,424,955	\$3,321,306	\$3,038,589	\$2,864,720
Operating Expenses	3,113,957	2,187,587	2,187,587	2,187,587
Capital Equipment	0	0	0	0
Subtotal	\$6,538,912	\$5,508,893	\$5,226,176	\$5,052,307
Less:				
Recovered Costs	(\$4,000)	(\$50,000)	(\$50,000)	(\$50,000)
Total Expenditures	\$6,534,912	\$5,458,893	\$5,176,176	\$5,002,307
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	35 / 35	35 / 35	33 / 33	31 / 31

<u>CSB Administration</u>			
1	Executive Director	2	Management Analysts IV
1	Deputy Director	0	Management Analysts III (-1)
1	CSB Service Area Director	1	Management Analyst II
1	Planning/Development Director	1	Management Analyst I
1	Resid. and Facilities Dev. Mgr.	1	Human Service Worker IV
1	Mental Health Manager	6	Human Service Workers II
1	Program Manager	1	Communications Specialist I
1	Medical Records Administrator	1	Information Officer III
		1	Training Specialist II
		0	NCS Div. Dirs. (-1)
		1	Business Analyst IV
		2	Business Analysts III
		3	Business Analysts II
		1	Administrative Assistant V
		1	Administrative Assistant IV
		1	Administrative Assistant III

TOTAL POSITIONS

31 Positions (-2) /31.0 FTE (-2.0)

(-) Denotes Abolished Position due to Budget Reductions

CSB Administration includes the leadership and business supports for the entire CSB. The Executive Director's Office is responsible for the overall functioning of the department with specific emphasis on developing the CSB Administration into an enterprise driven unit that will support the service mission through maximizing resources and partnerships. The goal is to ensure a qualitative, effective and seamless system comprised of directly operated and private sector services. In addition to restructuring the complaint and human rights system to maximize the department's ability to problem solve consumer issues in a timely, customer-friendly manner, the Consumer and Family Affairs Office is developing the ability of the consumer community to increasingly assume a role in the provision of services and support in the community. This includes developing independent, viable service organizations that can provide services such as peer support, crisis support, and recovery coaching for some individuals as an alternative, or complement, to the CSB funded professional services.

The Corporate Compliance and Risk Management Office oversees the overall functioning of regulatory compliance, risk management, and service management activities. This office works closely with the County's Risk Management Office on issues of liability, contributing to the health and safety of individuals and employees while also protecting the CSB's assets. The office is organized to specifically handle issues including Medicaid, credentialing of staff, clinical standards and other health care related issues. It updates and manages policies, regulations, and incident trends while identifying high risk areas

Fund 40040

Community Services Board (CSB)

for the department and mitigating exposure. The office is the liaison with licensing and accreditation organizations, including managed care companies. It ensures compliance with payer requirements, credentialing, provider relations and managed care contracts. It is the chief liaison with Office of Emergency Management to ensure preparedness of CSB programs and readiness to respond to requests from County staff.

Incorporating information from the electronic health record, other service data and with strategies developed in the service system, the Enterprise Services Office ensures all strategies implemented have the integrated approach. Additionally, this office provides strategic planning and implementation, and oversight of the Financial Assessment and Screening Team (FAST) service of the CSB.

In coordination with the Department of Information and Technology, the Healthcare Informatics Office oversees all of the CSB's technology resources, devices, software and hardware functions that optimize obtaining, securely storing, and strategically organizing, analyzing and presenting service information. This office has guided the purchase and rapid implementation of a new, more effective, more efficient Electronic Health Record system (Credible). In the first full year after its Spring 2012 implementation, the office continues to further develop data interfaces with key business partners and connect business processes with key hardware that ensures maximum mobility and access for the service system.

Organizational Development and Training provides strategic organizational development and training activities to enhance services provided to individuals and families served. Among these activities are: onboarding and orientation of new employees, designed to provide immersion activities through the first year of employment to increase relevance and readiness in service provision; online courses in behavioral healthcare, compliance and human resource content areas; and ongoing strategic evidence and performance based instruction in targeted areas (e.g., suicide assessment and prevention, motivational interviewing, trauma-informed care, diagnostic skill-based training, co-occurring mental health and substance use programming enhancement, human rights, system of care, and managerial supports).

The Quality Improvement/Performance Office coordinates efforts to develop performance tools that will assist with measuring all aspects of department performance, with a special emphasis on service outcomes. This office assures effective use of existing sources of data as well as selectively develops new sources when necessary. The office also ensures that information generated by these tools leads to performance improvement as indicated.

The Resource and Partnership Development Office focuses on enhancing existing partnerships and developing new relationships to maximize the potential opportunities for new resources and service development. This office provides five fundamental functions necessary to accomplish the overall goals of the system, including housing and residential development opportunities to enhance existing resources and develop new sites to address the needs of hundreds of people who cannot access adequate housing; developing and implementing a system-wide communication and market positioning plan that ensures a coherent and cohesive strategy for the CSB; developing and implementing community capacity building strategies to ensure that partnerships are well focused on mutual goals; developing and implementing strategies to work with the private sector to ensure that those functions and services that can be provided in that sector are well coordinated with the CSB's overall strategies; and new fund development which analyzes and determines the viability of new funding opportunities in conjunction with the Enterprise Office, including grants, new contract opportunities and expanding services.

Fund 40040

Community Services Board (CSB)

Facility and Administrative Operations

Facility and Administrative Operations provides oversight, direction and coordination to the many administrative functions that support the CSB service system. These functions are currently managed by various County agencies (DAHS, FMD, and Fleet Management) and CSB service areas without effective overall coordination. This office will emphasize economies-of-scale, assigning duties based on necessary qualifications, and consistency of responsiveness throughout the system. While this office manages areas including food services, administrative support, maintenance, lease contracts and security, the primary emphasis is coordination among various decision-making systems and creating a system of CSB site management. The administrative support services have initiated geographic-area oversight in order to address accountability, consistency of best practices, and staffing coverage at times of multiple vacancies or high demand at particular service locations.

Cross-Cutting Services

Cross-cutting services are integrated across all service areas and include housing, employment and vocational services, peer supports, medical services, consumer affairs, and access to entitlements.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$11,526,510	\$9,768,231	\$16,353,364	\$16,277,420
Operating Expenses	5,092,343	3,834,345	3,362,482	2,927,344
Capital Equipment	0	0	0	0
Subtotal	\$16,618,853	\$13,602,576	\$19,715,846	\$19,204,764
Less:				
Recovered Costs	(\$44,899)	\$0	\$0	\$0
Total Expenditures	\$16,573,954	\$13,602,576	\$19,715,846	\$19,204,764
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	135 / 135	135 / 135	135 / 135	128 / 127.5

<u>Program Management & Support</u>		<u>Medical Services</u>	
1	Medical Director of CSB	1	ID Specialist IV
1	Director of ADS	1	ID Specialist III
1	Director of Clinical Operations	2	ID Specialists II
0	Directors of ID Services (-1)	1	BHN Clinician/Case Manager
10	CSB Service Area Directors (-2)	2	Administrative Associates
2	Mental Health Managers	1	Administrative Assistant V
0	Substance Abuse Counselors IV (-1)	13	Administrative Assistants IV
1	Substance Abuse Counselor III	20	Administrative Assistants III
2	Mental Health Therapists	30	Administrative Assistants II, 1 PT(-3)
1	Management Analyst III	1	Volunteer Services Coordinator II
1	Management Analyst II	3	MH/ID/ADS Aides
2	Business Analysts II		

TOTAL POSITIONS

128 Positions(-7) /127.5 FTE (-7.5)

(-) Denotes Abolished Position due to Budget Reductions

Fund 40040

Community Services Board (CSB)

Service Areas

CSB Services are now organized according to how they are provided and whether they are of lower or higher intensity, rather than by disability areas (mental illness, substance abuse, intellectual and developmental disabilities). There are now 13 CSB Lines of Service, grouped into three categories:

- Front Door services include entry and screening for all CSB services, as well as more intensive front door services such as crisis stabilization and detoxification.
- Treatment services include outpatient and day treatment, as well as more intensive residential treatment.
- Community Living services include daytime supported employment, as well as group homes with 24/7 staff support.

The new service structure builds on strengths of the CSB staff and community partners, and supports evidence-based clinical practices and new technologies to improve outcomes for the people served. Staff from previously distinct program areas work more closely together and learning from each other, enhancing the department's capacity to ensure that services and supports are connected and cohesive.

Front Door

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$15,371,667	\$13,679,094	\$13,341,221	\$13,510,178
Operating Expenses	1,138,562	1,144,181	966,341	1,223,654
Capital Equipment	0	0	0	0
Subtotal	\$16,510,229	\$14,823,275	\$14,307,562	\$14,733,832
Less:				
Recovered Costs	(\$1,203,226)	(\$848,974)	(\$848,974)	(\$870,234)
Total Expenditures	\$15,307,003	\$13,974,301	\$13,458,588	\$13,863,598
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	154 / 152.5	157 / 155.5	155 / 153.5	155 / 153.5

Fund 40040

Community Services Board (CSB)

<u>Engagement, Assessment and Referral</u>	<u>Jail- and Court-Based Services Adult</u>	<u>Acute Care Services</u>
1 Mental Health Manager	1 CSB Service Area Director	1 Public Health Doctor, PT
4 Mental Health Supv./Specialists	2 Substance Abuse Counselors III	1 Substance Abuse Counselor IV
7 Mental Health Therapists	5 Substance Abuse Counselors II	3 Substance Abuse Counselors III
1 ID Specialist III	1 Mental Health Supv./Specialist	8 Substance Abuse Counselors II
2 ID Specialists II	2 Mental Health Managers	8 Substance Abuse Counselors I
7 MH/ID/ADS Senior Clinicians	1 Mental Health Therapist	3 Mental Health Managers
1 Substance Abuse Counselor IV	7 Clinical Psychologists	4 Emer./Mobile Crisis Supervisors
3 Substance Abuse Counselors III	5 MH/ID/ADS Sr. Clinicians	2 BHN Supervisors
12 Substance Abuse Counsls. II, 1 PT	1 Public Health Nurse III	21 MH Supervisor/Specialists, 1 PT
		1 Nurse Practitioner/Physician Asst.
		9 BHN Clinicians/Case Managers
		21 Mental Health Therapists
		4 Mental Health Counselors
		2 Licensed Practical Nurses
		1 MH/ID/ADS Aide
		3 Cooks
TOTAL POSITIONS		
155 Positions /153.5 FTE		
PT Denotes Part-Time Positions		

Engagement, Assessment and Referral

The Engagement and Assessment service area serves as one of the initial points of contact with the CSB. It includes the CSB call center, assessment unit, engagement center and services to people who are homeless and/or unsheltered. The goal of the Engagement, Assessment and Referral service area is to engage people who need services and/or support, triage people for safety, and help people get appropriate treatment and support to meet their needs. People may be referred to CSB services, if appropriate, or to community resources outside the CSB.

The CSB call center is the central point for accessing intellectual disability, mental health or substance use disorder services and supports. Staff can take calls in English and Spanish, and can obtain language translation services for other languages when needed. The CSB assessment unit provides comprehensive screening, assessment, referral and stabilization services for adults, and has been enhanced in order to be more person-centered as well as fiscally efficient.

Jail- and Court-Based Services (JCBS)

Jail- and Court-Based Services (JCBS) provides an array of services to adults and juveniles who are involved with the criminal justice system. Services for adults who are incarcerated at the Adult Detention Center include assessment, stabilization and referral for individuals with mental illness and/or co-occurring disorders; facilitation of emergency psychiatric hospitalization for individuals who are a danger to themselves or others; and court assessments, substance abuse education and limited treatment for adults with substance use disorders. Services for juveniles include mental health, substance abuse, and developmental disability assessment; intervention and referral for juveniles involved with the Juvenile and Domestic Relations District Court (JDRC); and evaluation, treatment and referral for juveniles who are in the Juvenile Detention Center, Boys & Girls Probation Houses, Post Dispositional Program (BETA) and other JDRC programs. In addition, services include participation in JDRC Diversion for offenders and linkages for adults and juveniles to appropriate and effective services in the community.

Fund 40040

Community Services Board (CSB)

Jail- and Court-Based Services address the need of adults and juveniles who are among the most high risk and most vulnerable. All of the individuals served by JCBS have been involved with the criminal justice system. This involvement usually is a direct result of mental illness, substance abuse or co-occurring disorders. Incarceration or other involvement with the criminal justice system can present a unique opportunity for CSB staff to intervene and forge a therapeutic alliance with individuals who are struggling with psychiatric and substance use disorders.

Acute Care Services

Acute Care Services includes walk-in Psychiatric Emergency Services, a Mobile Crisis Unit (MCU) which responds to crises in the community, and short-term (7 to 10 days) residential detoxification and crisis stabilization services providing 24 hours per day, seven days per week care.

Emergency Services serves high-risk adults, adolescents and children from all disability areas that are in a psychiatric crisis. Through emergency walk-in sites and the MCU, Emergency Services takes crisis intervention into the community, working closely with individuals, families and public safety agencies. Services provided by both Emergency and MCU include recovery-oriented crisis intervention, crisis stabilization, risk assessments, evaluations for Emergency Custody Orders, as well as voluntary and involuntary admission to both psychiatric hospitals (public and private) and the three Regional Crisis Stabilization Units. In addition, the 24/7 Central County Emergency site also provides psychiatric and medication valuations and medication prescriptions/dispensations. Additional services provided by the MCU include three 24-hour-per-day rapid deployment teams that respond to hostage/barricade incidents with the Special Weapons and Tactics (SWAT) team and police negotiators, a Critical Incident Stress Management Team responding to traumatic events, and a Disaster Response Team.

Woodburn Place Crisis Care is an alternative to hospitalization for individuals experiencing acute psychiatric crisis. It is an intensive and brief, community-based residential program for adults with severe and persistent mental illness, including those with co-occurring substance abuse issues. Services include comprehensive risk assessment, crisis intervention and crisis stabilization, physical evaluation, psychiatric and medication evaluation, substance abuse counseling, psychosocial education and assistance with daily living skills.

In response to an increase in demand for acute care, detoxification and stabilization services, the CSB seeks to develop a system that ensures access to treatment for use of alcohol or other drugs, as well as access to mental health and primary care services, and to that end is implementing effective and responsive crisis stabilization with the capacity for medically monitored detoxification at the Fairfax Detoxification Center. The goal is to provide a safe, temporary, protective environment for individuals in crisis, where they can receive the assessment, care, supervision and medical monitoring necessary to stabilize both physically and emotionally.

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Community-Based School Transition

Early Intervention Services supports Infant and Toddler Connection (ITC), a statewide program that provides federally-mandated early intervention services to infants and toddlers as outlined in Part C of the Individuals with Disabilities Education Act (IDEA). ITC provides family-centered intervention to children ages birth to 3 years who need strategies to assist them in acquiring basic developmental skills such as sitting, crawling, walking and/or talking. Families may receive a screening to determine eligibility, service coordination, and development of an Individual Family Service Plan (IFSP) at no cost to them. Through public/private partnerships, ITC provides services including, but not limited to: physical, occupational and speech therapy; developmental services; medical, health and nursing services; hearing and vision services; assistive technology (e.g., hearing aids, adapted toys and mobility aids); family training and counseling; service coordination; and transportation. A local coordinating council, known as the Fairfax Interagency Coordinating Council, serves to advise and assist the local lead agency, while the Fairfax-Falls Church Community Services Board (CSB) serves as the fiscal agent and local lead agency.

There has been significant growth in the demand for early intervention services over the last several years, and this growth is expected to continue and even accelerate in the near future. During FY 2011, ITC observed and sustained an acceleration of previously unprecedented growth beginning in the summer of 2009. The monthly average number of children served in FY 2011 was 1,002, representing a 27.0 percent increase over FY 2010's average of 789 children per month. In FY 2012, the monthly average was 1,155 infants and toddlers per month, a 15.3 percent increase over FY 2011's average.

ITC staff also continues to strengthen outreach and support efforts by expanding collaborations with the Fairfax County Health Department, INOVA Fairfax Hospital, and Fairfax County Public Schools (FCPS) to ensure that infants and toddlers get appropriate services as soon as delays are detected. Given the rising prevalence of autism in Fairfax County, ITC maintains ongoing relationships with the Virginia Autism Research Center and FCPS to address the early identification of children who might need specialized preschool services for this particular disability. ITC continues to be a leader in the Autism Communities of Practice. In addition, the growing cultural diversity needs of families requiring ITC services across the County is addressed through contract providers for translation services. These interpreters are fluent in 10 languages, including Spanish, Urdu, Mandarin Chinese, Korean and Amharic.

Infancy, Early Childhood and Pre-Adolescent (IECP) mental health services serves at-risk infants, toddlers, preschoolers and pre-adolescents (children from ages birth to 12 years) and their parents. Services support and guide parents and treat children who are developmentally compromised, seriously emotionally disturbed or at risk of serious emotional disturbance and are involved with multiple youth-serving agencies.

Outpatient Services

Outpatient Services provides an array of integrated services designed to serve persons with mental illness, substance use, and/or co-occurring disorders whose clinical and case management needs fall within two major domains: Partial Hospitalization, and Community Readiness and Support programs. Two intensive outpatient services, Outpatient and Day Treatment, are designed to help individuals whose primary needs involve substance use but who may also suffer from mild to moderate mental illness. Specialized services, including trauma work, cognitive behavior therapy and Dialectical Behavior

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Therapy are provided for individuals within the Outpatient Services area, as well as individuals receiving care in other service areas.

Partial Hospitalization provides intensive, recovery-oriented services to individuals with serious mental illness and/or co-occurring disorders and complex needs. Services provided include service coordination, medication management, psycho-educational groups, group and family therapy, supportive counseling, relapse prevention and community integration. The Community Readiness and Support program is a recovery-oriented psychosocial day program designed for individuals with serious mental illness and co-occurring disorders who have limited social skills, difficulty establishing and maintaining relationships, and need help with activities of daily living. Services include psycho-educational groups, social skills training, co-occurring services, relapse prevention, problem solving and independent living skills training, medical education, pre-vocational services, and community integration.

Intensive Outpatient Treatment services provide structured programming through psychosocial education, individual, group, and family counseling. Services assist individuals in behavioral changes that promote recovery, encourage problem solving skills and coping strategies, and assist in development of a positive support network. In addition, Continuing Care services are available for individuals who have successfully completed more intensive services within the outpatient spectrum but would benefit from periodic participation in group therapy, monitoring and service coordination to effectively connect to community supports.

Residential Treatment

The Residential Treatment line of service provides comprehensive services for youth and adults. Services are provided in residential treatment settings that are matched to the level and duration of care needed, and include intermediate and long-term treatment with 24-hour staffing and supervised treatment services with staffing 12-18 hours per day. Services include individual, group and family therapy; psychiatric services; medication management; and case management. In addition, continuing care services are provided to assist with the transition back to the community. Specialized treatment services are provided for clients with co-occurring disorders (substance use and mental illness), for pregnant and post-partum women, and for people whose primary language is Spanish. Continuing care services offer ongoing structure and support to assist individuals in their continuing recovery from substance use and co-occurring disorders.

Intensive Community Treatment

The Intensive Community Treatment line of service includes the Intensive Community Treatment Teams (ICTT), the Jail Diversion Program, the Program of Assertive Community Treatment (PACT) and the Intensive Care Coordination (ICC) teams for youth and families. Intensive Community Treatment Teams provide intensive, community-based case management and outreach services to persons with serious mental illness and or/serious substance use disorders. Teams provide individuals with the most acute and complex needs with appropriate levels of support services in the individuals' natural environment, including case management, mental health supports, crisis intervention and medication management.

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The Jail Diversion Program has moved to providing an intensive level of care to enhance existing resources available to persons with serious mental illness and/or severe substance use disorder and co-occurring disorders who are involved with, or being diverted from, the criminal justice system. The Program of Assertive Community Treatment (PACT) is a multi-disciplinary team and provides enhanced support services for individuals with mental illness, substance use and co-occurring disorders. Discharge Planning Services are provided to individuals in state psychiatric hospitals to support linkages to community-based services, enhancing successful community-based recovery.

The Intensive Care Coordination (ICC) program was established in collaboration with private service providers and all County agencies serving youth. ICC services are provided using a wraparound model of intervention to provide family-driven, team-based planning for the purpose of safely maintaining youth in the community with their families. In addition, ICC services aide in facilitating a smooth and timely transition for youth returning to the community from a residential treatment program. Care Coordinator staff provide 24/7 crisis response to families receiving ICC services.

Therapeutic Residential Services

Therapeutic Residential Services provide care to adults with severe and persistent mental illness and/or co-occurring substance use disorders. Intensive to highly intensive treatment services are provided at multiple sites throughout the community with staff onsite 18 to 24 hours per day. Services include onsite treatment, support services and case management geared to support and help individuals reside in the least restrictive community housing.

This service area consists of four components. The Highly Intensive Program serves adults with severe co-occurring mental health and substance use disorders. Onsite staff provides 24 hours per day intensive services, including assessment, case management, individual and group therapy; 12 step recovery group therapy; life skills development; medication evaluation and monitoring; urinalysis and breath testing; crisis intervention; and recreational and social activities. The Intensive Service Program serves individuals in transitional group homes with 24 hours per day programs that provide individuals treatment, support and training in basic daily living functions. Supervised Services are provided through extension apartments beds (aftercare beds) and are designed to assist individuals in transitioning from 24 hour supervised programs to a more independent living environment, allowing individuals the opportunity to live in the community while maintaining a supportive connection to the 24 hour program. Residential Intensive Care-Comprehensive (RIC-Comp) Services is a community-based, highly intensive residential program that provides daily onsite monitoring for medication and psychiatric stability. Counseling, supportive and treatment services are provided daily in a therapeutic setting.

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Community Services Board (CSB)

Community Living

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$29,095,168	\$32,809,113	\$27,332,846	\$27,419,622
Operating Expenses	34,005,514	35,045,312	37,260,790	38,488,121
Capital Equipment	0	0	0	0
Subtotal	\$63,100,682	\$67,854,425	\$64,593,636	\$65,907,743
Less:				
Recovered Costs	(\$77,167)	\$0	\$0	(\$28,740)
Total Expenditures	\$63,023,515	\$67,854,425	\$64,593,636	\$65,879,003

AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)

Regular	314 / 314	315 / 315	308 / 307	303 / 302
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<u>Wellness & Health Promotion</u>	<u>Supportive Residential Services</u>	<u>Intensive Service/Support Coordination</u>
1 Substance Abuse Counselor IV	1 BHN Supervisor	1 CSB Service Area Director
2 Substance Abuse Counselors III (-1)	2 Mental Health Managers	4 Mental Health Managers
13 Substance Abuse Counselors II (-1)	7 MH Supervisor/Specialists	9 MH Supervisor/Specialists
	2 MH/ID/ADS Senior Clinicians	24 Mental Health Therapists
	18 Mental Health Therapists	34 MH/ID/ADS Senior Clinicians
	14 Mental Health Counselors, 1 PT	3 ID Specialists IV
	1 Licensed Practical Nurse	7 ID Specialists III
		41 ID Specialists II
		1 ID Specialist I
		1 Substance Abuse Counselor II
		5 BHN Supervisors
		4 BHN Clinical Nurse Specialists
		3 BHN Clinician/Case Managers
		2 Licensed Practical Nurses
		3 Psychology Interns

TOTAL POSITIONS

303 Positions (-5) /302.0 FTE (-5.0)

(-) Denotes Abolished Positions due to Budget Reductions
PT Denotes Part-Time Position

The Community Living service area is a matrix of numerous partnerships between the CSB and other departments that provide housing. What these programs have in common are the provision of support services, case management, and tailored services geared to support and help individuals reside in the least restrictive community housing.

Wellness and Health Promotion

Wellness and Health Promotion focuses on the health of the entire Fairfax-Falls Church community through engagement, involvement, awareness, and skill-building. The overall goal is to strengthen and build capacity to handle complications related to substance abuse or mental illness. Strategies and services include coordinated, community-focused workshops, brief screening and intervention, health and awareness-raising campaigns, evidence-based programming and specific population or neighborhood-focused interventions. Current activities focus on CSB and community priorities such as suicide prevention, underage drinking, peer-supported approaches, tobacco cessation/prevention, Mental Health First Aid and health care integration.

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Community Services Board (CSB)

Employment and Day Support

In addition to the 12 CSB Service Areas, Employment and Day Support provides assistance and training to improve individual independence, self-sufficiency and adjustment, as well as in obtaining vocational training and support to enter and remain in the workforce. Employment and day support services for individuals with intellectual disabilities are provided primarily through contracts and partnerships with private, non-profit and/or public agencies.

Developmental Services provide self-maintenance training and nursing care for individuals who are the most severely disabled in areas such as intensive medical care, behavioral interventions, socialization, communication, fine and gross motor skills, daily and community living skills, and possibly limited remunerative employment. Psycho-social Rehabilitation provides an adjustment period and skills for persons transitioning to employment and socialization in a work setting. Specific services may include training in areas of self-esteem, self-confidence, and self-awareness. Sheltered Employment provides individuals remunerative employment in a supervised setting with support services for habilitative development. Group Supported Employment provides individuals intensive job placement assistance for off-site, supervised contract work and competitive employment in the community. Job retention services are also provided. Individualized Supported Employment provides remunerative employment with necessary support services. This service primarily serves persons with less severe disabilities and stresses social integration with non-disabled workers. Cooperative Employment Program (CEP) provides supported competitive employment services to eligible individuals with developmental disabilities. The CEP is jointly funded and operated by the Virginia Department of Aging and Rehabilitative Services (DARS) and the CSB. Using an individualized approach, program staff assesses skills, analyzes job requirements, and provides on-the-job training for disabled individuals and disability awareness training for employers.

Community Residential and Contractual Services

Community Residential and Contractual Services provides an array of long-term residential supports designed around individual needs and desires, and emphasizes full inclusion in community life. The majority of residential services are provided through CSB partnerships with approved private providers and contract management oversight provided by the CSB.

Assisted Living Facilities (ALFs) provide assisted living to individuals with serious mental illness and medical needs in partnership with a private provider. Group Homes are small, group living arrangements of generally four to six individuals with intellectual disabilities and are located in homes in various residential neighborhoods. Intermediate Care Facilities (ICF-MR's) are a community-based group living arrangement with homes that are integrated in residential neighborhoods, as well as the Northern and Central Virginia Training Center. The community-based programs are operated by private providers under contract with the CSB and are funded with facility-based Medicaid funding. Residential Supported Living includes programs in which individuals live in their own homes or in shared living arrangements (e.g., apartments and town homes) and receive support services ranging from daily to drop-in, based on individual needs and preferences.

Respite Services are available for individuals with intellectual disabilities at a licensed 24-hour home for longer-term respite and emergency shelter services via a private provider. Respite services can also be provided in-home, scheduled for hourly or overnight assistance to families needing time away from caring for family members. Individualized Purchase of Service (IPOS) is provided for a small number of individuals who receive other specialized long-term community residential services via contracts.

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Supportive Residential Services

Supportive Residential Services are comprised of six primary components and are provided through a variety of housing partnerships that have been formed over the past 15 years. Residential Intensive Care (RIC) is a community-based, intensive residential program that provides daily onsite monitoring or monitoring five days a week of medication and psychiatric stability. Counseling, supportive and treatment services are provided daily in a therapeutic setting. The Transitional Therapeutic Apartment Program (TTAP) provides residential treatment in a stable, supportive, therapeutic setting in which individuals with a serious mental illness learn and practice the life skills needed for successful community living. The ultimate goal is for these individuals to transition into the most manageable independent living environment. The Supportive Shared Housing Program (SSHP) provides residential support and case management in a community setting. Fairfax County's Department of Housing and Community Development (HCD) and the CSB operate these designated long-term permanent subsidized beds. Some of the units in this program are leased by individuals and some are CSB leased.

The CSB's moderate income rental program and HCD's Fairfax County Rental Program (FCRP) provide long-term permanent residential support and case management in a community setting. Individuals must sign a program agreement with the CSB for this program. Pathway Homes and Supportive Residential Services jointly operate the Supported Housing Option Program (SHOP), which is designed to provide long term or permanent housing with support services to individuals with serious mental illness and co-occurring disorders, including those who are homeless or residing in their own housing. The program focus is geared toward accepting individuals with the greatest needs who are willing to accept needed services. In addition, Pathway Homes and Supportive Residential Services also jointly operate the Shelter Plus Care program. The program is designed to provide long term or permanent housing with support services to individuals with serious mental illness and co-occurring disorders, as well as to those who are homeless and need housing with supports.

Intensive Service/Support Coordination

Intensive Service/Support Coordination services (targeted support coordination for people with intellectual disability) assist individuals with serious mental illness, serious emotional disturbance, intellectual disability, and/or substance use disorders and their families to access preferred services and supports that are essential to meeting their basic needs. These necessary services and resources are identified through an initial and ongoing assessment and planning process with individuals and families, and are coordinated by the service/support coordinator with other involved service providers. In addition to accessing various mental health, intellectual disability and substance abuse services that may be identified, service/support coordinators help access needed medical, educational, employment, housing, financial, transportation, recreational, legal, life skill, and advocacy services so that the individual is supported to live well in a community setting. Service/support coordinators assess progress on an on-going basis to ensure services are delivered and in accordance with regulatory standards for best practice and quality. They provide education and counseling about the array of service options and recommendations within the context of a supportive professional relationship that promotes the individual's health and community living.

Fund 40040 Community Services Board (CSB)

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Facility and Administrative Operations				
Percent of CSB service quality and outcome goals achieved	80%	78%	80% / 66%	85%
Engagement, Assessment and Referral				
Percent of clients who access the appropriate level of care based on ASAM criteria	85%	85%	85% / 87%	NA
Percent of adults with mental health, substance use or co-occurring disorders who attend their first scheduled service appointment	NA	NA	NA / NA	85%
Jail- and Court-Based Services (JCBS)				
Percent of individuals in adult mental health forensic services who have a follow-up appointment after their assessment	NA	NA	NA / NA	90%
Acute Care Services				
Percent of individuals who receive stabilization services without admission to a psychiatric hospital	87%	96%	85% / 82%	NA
Percent of individuals who receive services provided that result in a less restrictive alternative to psychiatric hospitalization	NA	NA	NA / NA	85%
Community-Based School Transition				
Percent of families receiving ITC services who received completed Individual Family Support Plans within 45 days of intake call	100%	100%	100% / 98%	100%
Average number of days from referral to ITC to completion of IFSP	40	39	32 / 42	45
Percent of families who agree that ITC services have helped the family/child's development	NA	NA	NA / NA	90%
Percent of individuals receiving IECF services showing improvement in their school status	NA	NA	NA / NA	85%

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Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Outpatient Services				
Percent of individuals showing improvement in their employment and/or school status who participate in at least 30 days of adult outpatient treatment	80%	80%	80% / 78%	80%
Percent of individuals showing improvement in their employment and/or school status after participating in at least 90 days of adult day treatment services	80%	80%	80% / 55%	85%
Percent of individuals who demonstrate improvement in psychiatric symptoms from admission to discharge from a partial hospitalization program	NA	NA	NA / NA	90%
Percent of individuals showing improvement in their employment and/or school status who participate in at least 30 days of youth outpatient treatment	85%	94%	90% / 90%	80%
Percent of individuals showing improvement in their employment and/or school status after participating in at least 90 days of youth day treatment services	80%	94%	80% / 90%	85%
Percent of youth who are progressing in school and who demonstrate improvements in family and community behaviors	90%	95%	85% / 90%	NA
Residential Treatment				
Percent of adult individuals showing reduction in drug use when leaving the program	NA	96%	90% / 94%	90%
Percent of individuals showing reduction in criminal behavior when leaving the program	NA	96%	80% / 94%	80%
Intensive Community Treatment				
Percent of individuals who remain out of jail or the hospital for at least 330 days in a year	97%	92%	90% / 88%	90%
Percent of individuals referred to the CSB who remain in services for at least 90 days following discharge planning	NA	NA	NA / NA	75%
Therapeutic Residential Services				
Percent of individuals discharged who are able to live as independently as possible based on level of need	NA	NA	NA / NA	75%
Wellness and Health Promotion				
Percent of participants with higher post test scores after completion of prevention education programs	88%	92%	90% / 91%	NA
Percent of individuals who are certified in Mental Health First Aid	NA	NA	NA / NA	85%

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Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Employment and Day Support				
Average annual wages reported by individuals receiving group supported employment services	\$6,190	\$5,504	\$4,900 / \$5,510	\$5,504
Average annual wages reported by individuals receiving individual supported employment services (including CEP)	\$16,772	\$16,683	\$16,000 / \$17,479	\$16,883
Percent of individuals who maintain or improve their level of day support or employment	NA	NA	NA / NA	80%
Community Residential and Contractual Services				
Percent of individuals living in CSB directly operated and contracted group homes who maintain their current level of residential independence and integration	100%	100%	92% / 99%	95%
Supportive Residential Services				
Percent of individuals ready to move to a more independent residential setting within one year	9%	4%	6%/3%	6%
Intensive Service/Support Coordination				
Percent of individuals with intellectual disability receiving targeted support coordination services who meet their Person Centered Plan objectives	98%	94%	95% / 95%	95%
Percent of individuals with mental illness scheduled for an assessment within 7 days of discharge	90%	94%	90% / 91%	NA

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40040.pdf

Performance Measurement Results

Facility and Administrative Operations

In FY 2012, the CSB met or exceeded 66 percent (23 out of 35) of its Service Quality and Outcome goals. Several factors impacted the CSB's failure to reach its 80 percent target including staff vacancies and hiring freezes, reduced resources, department transformation (reorganization) and the implementation of a new electronic health record. However, the CSB came within 3 percent of achieving seven additional Service Quality and Outcome goals, which, if achieved, would have met the target.

Engagement, Assessment and Referral

In FY 2012, 2,056 individuals were served, meeting 95.6 percent of the performance target of 2,150. The slight reduction in number served can be attributed to both staff vacancies and deployments to other CSB programs to provide critical coverage. In addition to staff vacancies, the staff acclimated to a new electronic health record which required new business practices and processes. Ninety-three percent of individuals served indicated that they were satisfied with services, falling slightly below the target of 95 percent. Satisfaction surveys are administered and analyzed on an ongoing basis and process improvements are frequently established based on consumer feedback and input. The FY 2012 outcome measure indicating percentage of individuals accessing the appropriate level of care based on the American Society of Addiction Medicine (ASAM) criteria exceeded the target by 2 percent. In FY 2013, the CSB introduced a new outcome indicator, measuring the percentage of individuals served who attend their first scheduled service appointment.

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Community Services Board (CSB)

Jail- and Court-Based Services (JCBS)

As this is a new CSB service area, there were no performance measures and targets in FY 2012. Performance measurement has been initiated and results will be reported starting in FY 2013.

Acute Care Services

In FY 2012, General Emergency Services provided 18,673 hours of service to 5,037 individuals. The projected targets for service hours and individuals served both exceeded estimates, though it should be noted that data collection methods were modified in March 2012 with the implementation of a new electronic health record. In regards to the outcome measure, 82 percent of individuals received stabilization services without admission to a psychiatric hospital, slightly below the estimated target of 85 percent. The CSB instituted a new outcome measure in FY 2013, setting a goal that 85 percent of individuals served will be able to be in a less-restrictive, alternative to psychiatric hospitalization.

Community-Based School Transition

Infant and Toddler Connection (ITC)

In FY 2012, ITC exceeded the target of 2,913 individuals served by serving 3,090 infants and toddlers, a 10 percent increase over the FY 2011 level of 2,801. The average number of children served in a month has increased from 1,002 in FY 2011 to 1,156 in FY 2012, representing a 15 percent increase in the number of children served each month. This continued increase in the number of children served both monthly and annually is reflective of the very large and rapid growth in demand for early intervention services consistently seen over the past several years, and this upward trend is expected to continue for the foreseeable future. Consequently, only 98 percent of families receiving ITC services received completed IFSPs within 45 days of the intake call, compared to the federally mandated goal of 100 percent. ITC has not been able fill vacancies required to provide the numbers of service coordinators and therapists to meet the 100 percent target. In addition, ITC did not meet the goal for days to complete IFSPs, as the goal was an average of 32 days from referral compared to actuals of 42 days. However, the FY 2012 actuals were still within the federally-mandated 45 day period. In FY 2013, a new outcome measure will be used to capture the percent of families who agree that ITC services have helped the family/child's development.

Infancy, Early Childhood and Pre-Adolescent (IECP)

As this is a new CSB service area, there were no performance measures and targets in FY 2012. Performance measurement has been initiated and results will be reported starting in FY 2013.

Outpatient Services

Adult Outpatient (Substance Abuse)

In FY 2012, 1,706 individuals received outpatient services for substance use disorders, slightly exceeding the goal of 1,700. Despite several staff vacancies, the service area was able to maximize efficiencies while maintaining service quality. Ninety-four percent of individuals served were satisfied with services compared to the target of 90 percent, an improvement of 10 percent from FY 2011. During FY 2011, satisfaction among individuals served was impacted by several factors, including programmatic changes due to federal requirements. The increase in satisfaction can be attributed to stability in programming in FY 2012. The outcome measure indicating percentage of individuals maintaining or improving employment and/or school status is slightly below the target of 80 percent.

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Community Services Board (CSB)

Adult Day Treatment (Substance Abuse)

In FY 2012, 67 individuals received day treatment services for substance use disorders, falling short of the target of 145. It should be noted that the estimate for serving 145 individuals was made prior to the closure of the day treatment program in South County, and the projection was not amended to accurately reflect current capacity for day treatment services. The number of individuals who were served in FY 2012 is more consistent with the projection for FY 2013, with a goal of 70 individuals. Similarly, the cost to serve each individual was significantly higher than projected, as projections were made based on 145 individuals. Ninety percent of service recipients indicated satisfaction with programming, exceeding the service quality goal of 80 percent. The outcome measure for percentage of individuals showing improvement in their employment and/or school status is significantly lower than the projected target of 80 percent; current data indicates that 55 percent of individuals served met this goal. The ability to attain employment has been significantly impacted by the local economy.

Adult Partial Hospitalization

In FY 2012, 199 people were served in Adult Partial Hospitalization programs, exceeding the target of 174. The increase in individuals served from previous years in the result of a program redesign and service efficiencies; the program length has been decreased, allowing a greater number of people to receive services each year. The projection for number of individuals served in FY 2013 has been amended to reflect changes in programming. The number of service hours provided and cost to serve each individual also exceeded projections for FY 2012. The percentage of individuals who are not hospitalized within six months of receiving 30 days of treatment was 83 percent, 7 percentage points below the projection of 90 percent. This is still a notable outcome since this program is designed to provide services for adults with serious mental illness and/or co-occurring disorders who are in danger of requiring immediate hospitalization or who have been discharged from psychiatric hospitals and need of intensive community stabilization. However, the program will continue to monitor this indicator to assess the possibility that outcomes were impacted by program design changes. In addition, new indicators were implemented in FY 2013 to capture individual satisfaction with services as well as individual improvement in psychiatric symptoms.

Youth Outpatient (Substance Abuse)

In FY 2012, Youth Outpatient Service met or exceeded its goals output, quality and outcome goals. Five hundred seventy-two individuals were served, exceeding the target by 73 individuals. It should be noted that youth services routinely provides services to youth and their family members, though numbers for those served reflect programming for youth. This service area exceeded its satisfaction goal by 1 percent, with 91 percent indicating satisfaction with services. The outcome measure indicating percentage of youth who improved employment and/or school status also met the target, with 90 percent of those served improving their status.

Youth Day Treatment (Substance Abuse)

During the past fiscal year, 106 individuals with substance abuse or co-occurring disorders were served in Youth Day Treatment programs. This represents 82 percent of the goal for individuals served. This was partially the result of the level of need for this population frequently necessitating an extended clinical intervention, as well as the holding open of vacant positions. Ninety percent of those served indicated satisfaction with services and showed improvement in their employment and/or school status, exceeding the targets of 80 percent. In addition, new indicators were implemented in FY 2013 to capture individual improvement in employment and/or school status.

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Community Services Board (CSB)

Youth Day Treatment (Mental Health)

In FY 2012, 24 adolescents were served in day treatment for youth with mental health and/or co-occurring disorders, representing 71 percent of the goal. The estimate for service hours provided also fell short of the goal, with 11,175 hours of service provided, compared to 13,619 service hours projected. The inability to meet these goals can be attributed to staff vacancies. Ninety-two percent of service recipients indicated satisfaction with programming, exceeding the goal by 2 percent. The program also met its target for the outcome goal of youth who are progressing in school and who demonstrate improvements in family and school behaviors. In FY 2013, all youth Day Treatment services will be combined in one family of measures with a target of 85 percent showing improvement in their employment and/or school status.

Residential Treatment

In FY 2012, Crossroads Adult Services, for which these performance measures are focused, served 153 people, or 2 percent below the projection of 156. Varying lengths of stay in the program's main facility, reentry services, and continuing care impacts the number of individuals served. Eighty-eight percent of individuals were satisfied with Crossroads Adult Services which was slightly lower than projected. At the time the last surveys were administered, there were more individuals in the beginning stages of treatment. Satisfaction tends to increase as individuals progress through treatment, and motivation for change increases. Both Outcome measures, percent of individuals showing a reduction in drug use and criminal behavior when leaving the program, exceeded projections by 4 percentage points and 14 percentage points, respectively. As this is only the second year this data has been collected, the CSB will continue to refine future targets.

Intensive Community Treatment

In FY 2012, the Program of Assertive Community Treatment (PACT) served 107 individuals, compared to the projection of 130 individuals, primarily due to staff vacancies. The PACT program provided 8,913 service hours, falling short of the targeted 12,063 hours provided. While this is due in part to staff vacancies, it can also be attributed to a change in data recording and individuals served by PACT for nearly a decade requiring less service intervention.

The percentage of individuals who remained out of jail or the hospital was 88 percent, falling slightly short of the 90 percent target. While the target was not met, this is still a noteworthy outcome given that the individuals served through the PACT program have a significant history of psychiatric hospitalization. For FY 2013, additional performance measures for discharge planning have been added to this line of service.

Therapeutic Residential Services

As this is a new CSB service area, there were no performance measures and targets in FY 2012. Performance measurement has been initiated and results will be reported starting in FY 2013.

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Community Services Board (CSB)

Wellness and Health Promotion

In FY 2012, Wellness and Health Promotion Services, formerly Prevention Services, provided 3,720 units of prevention education services, 80 units less than the projected 3,800. This reduction was the result of one full-time position vacancy for the majority of the fiscal year. Satisfaction with services provided exceeded the target of 90 percent, and program participants with higher post-test scores after completion of prevention education exceeded the target by 1 percentage point. In FY 2013, the CSB is switching to a new Outcome Indicator, measuring the percent of individuals who are certified in Mental Health First Aid. It should be noted that during the past year, Wellness and Health Promotion services have been thoroughly reviewed to determine the areas of greatest need and most effective use of limited resources.

Employment and Day Support

In FY 2012, 1,240 unduplicated individuals received day support and employment services, 110 less than projected. In addition to service vacancies not being filled as individuals left service, a waiting list for these services was established.

Total wages earned by the 379 individuals surveyed who received group supported employment services in FY 2012 was \$2,088,171, for average annual earnings of \$5,510. The FY 2012 average annual earnings total exceeded the estimate by \$610 and was \$6 more than the FY 2011 average. Total wages earned by the 195 individuals surveyed who received individual employment services (including the Cooperative Employment Program) in FY 2012 was \$3,408,490, for average annual wage earnings of \$17,479. The FY 2012 average annual earnings total exceeded the estimate by \$1,479 and was \$796 more than the FY 2011 average. The FY 2012 increases in average wages is due primarily to an overall increase in the number of hours worked. In FY 2013, the CSB introduced a new Outcome Indicator measuring the percent of individuals who maintain or improve their level of day support or employment, with a goal of 80 percent.

Community Residential and Contractual Services

In FY 2012, 376 adults with intellectual disabilities were served in CSB directly operated group homes and group homes operated by CSB contractors, surpassing the target of 305. Future projections will reflect additional capacity created by an expansion of contracted group homes in FY 2011. The annual cost per individual residing in CSB directly operated group homes was \$36,055, 8 percent below the projection of \$39,223. This results from day-to-day efficiencies in group home operation and staff vacancies.

Ninety-nine percent of individuals living in CSB directly operated or contracted group homes maintained their current level of residential independence and community integration, surpassing the estimate of 92 percent. As individuals with intellectual disabilities become more medically fragile and their health deteriorates, services provided in specialized settings will likely become more costly and time consuming, affecting this outcome.

Fund 40040

Community Services Board (CSB)

Supportive Residential Services

In FY 2012, Supportive Residential supervised apartments served 399 individuals, exceeding the goal of serving 321 individuals. The 21,713 service hours provided however, fell far short of the 78,000 hours goal can be attributed to a decrease in the level of intensity in services provided, resulting in fewer hours provided to a greater number of people despite the increase in the number of individuals served.

The target to achieve a 6 percent rate of individuals who are able to move to a more independent setting within one year was not met, as only 3 percent were able to move. While promoting independence continues to be an overall goal of Supportive Residential Services, the lack of affordable housing stock available in the community remains a barrier. The program will however, continue to provide services and supports to increase readiness to move to a more independent residential setting.

Intensive Service/Support Coordination

In FY 2012, 1,536 individuals with intellectual disability received at least one support coordination service, exceeding the estimate of 1,430 by 7 percent.

The annual cost per individual receiving targeted case management services in FY 2012 was \$3,189, slightly less than the \$3,332 projected and \$112 less than FY 2011. Ninety-three percent of individuals surveyed indicated satisfaction with support coordination services, 3 percentage points above the FY 2012 estimate of 90 percent and 2 percentage points above the actual FY 2011 satisfaction percentage of 91 percent. Ninety-five percent of individual service plan objectives were achieved meeting the outcome target.

In FY 2012, 5,825 individuals with serious mental illness received outpatient services, exceeding the output target of 5,281, primarily due to individuals that completed services and were discharged.

Ninety-one percent of the individuals discharged from state hospital beds were assessed within seven days in FY 2012, exceeding the target of 90 percent.

Fund 40040

Community Services Board (CSB)

FUND STATEMENT

Fund 40040, Fairfax-Falls Church Community Services Board

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$526,828	\$0	(\$2,601,339)	\$1,000,000
Revenue:				
Local Jurisdictions:				
Farifax City	\$1,309,903	\$1,336,100	\$1,336,100	\$1,336,100
Falls Church City	593,720	605,595	605,595	605,595
Subtotal - Local	\$1,903,623	\$1,941,695	\$1,941,695	\$1,941,695
State:				
State DBHDS	\$13,314,430	\$12,871,445	\$12,905,035	\$12,713,033
Subtotal - State	\$13,314,430	\$12,871,445	\$12,905,035	\$12,713,033
Federal:				
Block Grant	\$4,806,651	\$4,245,895	\$4,203,857	\$4,203,857
Direct/Other Federal	145,280	0	154,982	154,982
Federal ARRA	17,777	0	0	0
Subtotal - Federal	\$4,969,708	\$4,245,895	\$4,358,839	\$4,358,839
Fees:				
Medicaid Waiver	\$2,418,806	\$2,671,003	\$2,756,068	\$2,756,068
Medicaid Option	9,620,258	12,711,947	9,976,866	10,026,774
Program/Client Fees	5,911,297	6,084,272	5,941,259	6,279,123
CSA Pooled Funds	2,069,338	1,616,020	1,660,009	1,660,009
Subtotal - Fees	\$20,019,699	\$23,083,242	\$20,334,202	\$20,721,974
Other:				
Miscellaneous	\$2,925	\$56,124	\$0	\$0
Subtotal - Other	\$2,925	\$56,124	\$0	\$0
Total Revenue¹	\$40,210,385	\$42,198,401	\$39,539,771	\$39,735,541
Transfers In:				
General Fund (10001) ¹	\$100,496,382	\$100,421,627	\$109,610,515	\$109,233,258
Total Transfers In	\$100,496,382	\$100,421,627	\$109,610,515	\$109,233,258
Total Available	\$141,233,595	\$142,620,028	\$146,548,947	\$149,968,799

Fund 40040

Community Services Board (CSB)

FUND STATEMENT

Fund 40040, Fairfax-Falls Church Community Services Board

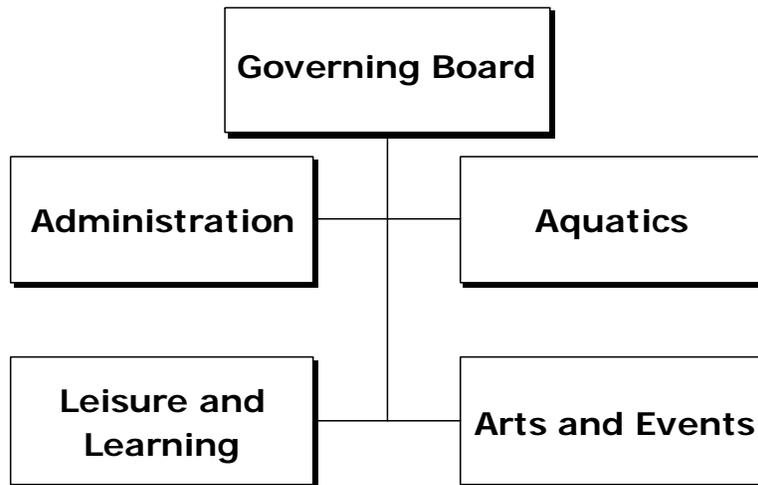
	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
CSB Administration	\$6,534,912	\$5,458,893	\$5,176,176	\$5,002,307
Cross-Cutting	16,573,954	13,602,576	19,715,846	19,204,764
Front Door	15,307,003	13,974,301	13,458,588	13,863,598
Treatment Services	42,395,550	41,729,833	42,604,701	45,019,127
Community Living	63,023,515	67,854,425	64,593,636	65,879,003
Total Expenditures ¹	\$143,834,934	\$142,620,028	\$145,548,947	\$148,968,799
Total Disbursements	\$143,834,934	\$142,620,028	\$145,548,947	\$148,968,799
Ending Balance²	(\$2,601,339)	\$0	\$1,000,000	\$1,000,000
Infant and Toddler Connection Reserve ¹	\$0	\$0	\$1,000,000	\$1,000,000
Unreserved Balance	(\$2,601,339)	\$0	\$0	\$0

¹ The *FY 2013 Revised Budget Plan* reflects adjustments as approved by the Board of Supervisors on September 11, 2012.

² The FY 2012 Actual Unreserved Balance of (\$2,601,339) is primarily the result of an unanticipated significant increase in service demand and lower than anticipated revenue. The *FY 2013 Revised Budget Plan* Unreserved Balance of \$0 reflects utilization to offset FY 2013 program requirements.

Fund 40050

Reston Community Center



Mission

To create positive leisure, cultural and educational experiences which enhance the quality of life for all people living and working in Reston by providing a broad range of programs in arts, aquatics, enrichment, recreation and life-long learning; creating and sustaining community traditions through special events, outreach activities, and facility rentals; and building community through collaboration and celebration.

Focus

Reston Community Center (RCC) is a community leader, bringing the community together through enriching leisure time experiences that reach out to all and contribute to Reston's sense of place. In May of 2011, the RCC Board of Governors adopted a new Five Year Strategic Plan for 2011 through 2016. Consequently, beginning in FY 2013, new data is being collected and measured that is consistent with the new Strategic Plan. This new performance measure framework will appear in the agency's FY 2015 submission.

The operations for Fund 40050 (formerly Fund 111), Reston Community Center, are supported by revenues from a special property tax collected on all residential and commercial properties within Small District 5. The Small District 5 tax rate is \$0.047 per \$100 of assessed property value within boundaries revised in March 2006. In FY 2014, total property assessments in Small District 5 remain at the same level as FY 2013 pending final assessment evaluations from the Department of Tax Administration.

RCC also collects internal revenues generated by program registration fees, theatre box office receipts, gate admissions and facility rental fees. These activity fees are set at a level substantially below the actual costs of programming and operations since Small District 5 property owners have already contributed tax revenues to fund RCC. Consequently, Small District 5 residents and employees enjoy RCC programs at reduced rates. The agency is revising performance measures data to reflect the RCC Five Year Strategic Plan (2011-2016) and the policy objective of the RCC Board to broaden Reston access to RCC programs and services to the greatest possible degree. Revenue performance across program levels is affected by patrons using the Fee Waiver Program which fully subsidizes their individual participation in activities of their choosing.

Fund 40050

Reston Community Center

Since 2002, the RCC fund balance has been divided into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, future capital projects, and economic and program contingencies. In anticipation of both increased demand and resources, the RCC Board of Governors has embarked on in-depth exploration of community needs and best approaches in anticipation of the long-term growth projected to come to Small District 5 with the advent of the Silver Line and related development in Reston.

RCC provides four lines of programming to the Reston community: Arts and Events, Aquatics, Leisure and Learning, and Facility Rentals. In FY 2011 RCC undertook expansion of its Lake Anne facility by approximately 4,500 square feet to accommodate demand for more fitness/wellness and fine arts offerings. The expansion was completely operational in FY 2012. Consistent with the new Strategic Plan, efforts to expand programming are tied to opportunities to partner and collaborate with other Reston organizations and/or to take advantage of innovations related to content or delivery modes. Community engagement through celebration of Reston-wide events and milestones provides also supports RCC's mission.

The Reston Community Center supports the following County Vision Elements:

-  **Maintaining Safe and Caring Communities**
-  **Creating a Culture of Engagement**
-  **Connecting People and Places**
-  **Exercising Corporate Stewardship**

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$4,413,189	\$5,054,834	\$5,117,806	\$5,264,246
Operating Expenses	2,699,018	3,262,936	3,436,927	3,485,414
Capital Equipment	8,329	0	0	28,000
Capital Projects	1,089,307	0	533,982	50,000
Total Expenditures	\$8,209,843	\$8,317,770	\$9,088,715	\$8,827,660
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	49 / 49	49 / 49	49 / 49	49 / 49
Exempt	1 / 1	1 / 1	1 / 1	1 / 1

Fund 40050

Reston Community Center

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$40,044**

An increase of \$40,044 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.

- ◆ **Personnel Services** **\$167,470**

An increase of \$167,470 is required to reflect increased costs based on actual experience for salary and fringe benefits, as well as program labor costs primarily due to the Lake Anne expansion.

- ◆ **Other Post-Employment Benefits** **\$1,898**

An increase of \$1,898 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

- ◆ **Operating Expenses** **\$222,478**

Funding of \$222,478 is required for additional fitness/wellness and fine arts offerings associated with the Lake Anne expansion and strategic opportunities to partner and collaborate with other Reston organizations.

- ◆ **Capital Equipment** **\$28,000**

Funding of \$28,000 is required for the replacement of the Front of House Mixing Console and D-Racks for the CenterStage theatre sound system.

- ◆ **Capital Projects** **\$50,000**

Funding of \$50,000 is required for the removal of a dormant in-ground fuel tank, soil remediation and replacement of the existing emergency generator to ensure that environmental integrity is maintained for the area surrounding the RCC facility.

Fund 40050

Reston Community Center

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$770,945**
 As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$770,945 including encumbered carryover of \$108,591 and unexpended project balances of \$533,982 for Hunters Woods and Lake Anne facilities upgrades. Other increases include Personnel Services of \$62,972 associated with new programs; unencumbered carryover of \$50,000 for the RCC website redesign; and other adjustments totaling \$15,400.

Cost Centers

The four cost centers in Fund 40050, Reston Community Center, are Administration (which includes facility rentals), Arts and Events, Aquatics, and Leisure and Learning. These distinct program areas work to fulfill the mission and carry out the key initiatives of the Reston Community Center.

Administration

The Administration Cost Center provides effective leadership, supervision and administrative support for Center programs and maintains and prepares the facilities of the Reston Community center for the Small District 5 patrons.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$5,155,120	\$4,912,693	\$5,619,747	\$5,059,970
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	28 / 28	28 / 28	28 / 28	28 / 28
Exempt	1 / 1	1 / 1	1 / 1	1 / 1
<hr/>				
1 Executive Director, E	1	1 Management Analyst I	1	1 Administrative Assistant V
1 Deputy Director	1	1 Public Information Officer I	3	3 Administrative Assistants IV
1 Financial Specialist II	1	1 Chief, Bldg. Maintenance Section	1	1 Administrative Assistant III
1 Financial Specialist I	1	2 Senior Maintenance Workers	6	6 Administrative Assistants II
1 Network Telecom Analyst I	1	5 Maintenance Workers	1	1 Graphic Artist III
1 Communications Specialist II	1	1 Facility Attendant II		
<hr/>				
TOTAL POSITIONS				
29 Positions / 29.0 FTE	E Denotes Exempt Position			

Fund 40050

Reston Community Center

Arts and Events

The Arts and Events Cost Center provides Performing Arts, Arts Education and Community Event presentations to Small District 5 in order to increase the cultural awareness of the community in disciplines of dance, theatre, music and related arts as well as to create and sustain community traditions through community events.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$1,376,962	\$1,494,539	\$1,483,139	\$1,502,442
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	8 / 8	8 / 8	8 / 8	8 / 8
1 Theatrical Arts Director	1	Theatre Technical Director	1	Administrative Assistant IV
2 Park/Recreation Specialists II	2	Asst. Theatre Technical Directors	1	Administrative Assistant III
TOTAL POSITIONS				
8 Positions / 8.0 FTE				

Aquatics

The Aquatics Cost Center provides a safe and healthy pool environment and balanced Aquatic program year round for all age groups in Small District 5.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$705,877	\$741,683	\$786,525	\$765,114
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	5 / 5	5 / 5	5 / 5	5 / 5
1 Park/Recreation Specialist II		2	Administrative Assistants II	
1 Park/Recreation Specialist I				
1 Park/Recreation Assistant				
TOTAL POSITIONS				
5 Positions / 5.0 FTE				

Fund 40050

Reston Community Center

Leisure and Learning

The Leisure and Learning Cost Center provides recreational, educational and social activities to all age groups encouraging communitywide, positive and meaningful leisure-time experience in Small District 5.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$971,884	\$1,168,855	\$1,199,304	\$1,500,134
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	8 / 8	8 / 8	8 / 8	8 / 8
1 Park/Recreation Specialist III		2 Park/Recreation Assistants		
5 Park/Recreation Specialists II				
TOTAL POSITIONS				
8 Positions / 8.0 FTE				

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Administration				
Online transactions	NA	NA	NA	1,950
Arts and Events				
Professional Artist events attendance as percent of capacity	57.65%	66.00%	60.00% / 63.19%	60.00%
Arts' offerings enrollment as percent of capacity	83.2%	80.0%	85.0% / 74.2%	85.0%
Attendance as percent of capacity	68.0%	65.0%	65.0% / 59.3%	65.0%
Percent of elementary schools participating	63%	100%	100% / 100%	100%
Percent of middle/high schools participating	100%	100%	100% / 100%	100%
Attendance at significant events as a percent of capacity.	95%	95%	92% / 92%	92%
Aquatics				
Participation enrollment as percent of capacity	88.46%	92.00%	90.00% / 93.34%	90.00%
Leisure and Learning				
Participation enrollment as percent of capacity	86.38%	77.00%	83.00% / 63.05%	83.00%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40050.pdf

Fund 40050

Reston Community Center

Performance Measurement Results

Administration

In FY 2012, RCC planned for a redesign and re-launch of the RCC web site; expansion of communications efforts in electronic and other formats, continuation of the collaborative efforts with community partners on a variety of initiatives and efforts, and improvement of internal capabilities with training and staff support to FOCUS and appropriate agency innovations to help support the new FOCUS systems. These efforts are continuing in FY 2013.

Arts and Events

Performing Arts

Per the Five Year Strategic Plan (2011-2016), new community partnerships and programs were established and implemented during FY 2012. These included a new film series with contributions from the Initiative for Public Art – Reston (IPAR) and a “Meet the Artist Series” in partnership with the Osher Lifelong Learning Institute (OLLI) at George Mason University. Admission to these new events was free to establish and support them as well as to provide new outlets for enjoyment of the CenterStage. Total attendance for these drop-in free events was 1,501. Attendance at Professional Touring Artist Series events dipped in FY 2012 to 63.19 percent, compared to 66.00 percent in FY 2011, primarily due to staff turnover impacting marketing and audience development activities.

Arts Education Enrollment

Renovations in the Lake Anne facility had a minor effect on programming and participation in FY 2012 (fall season) Arts Education class offerings. Overall FY 2012 enrollment increased to 1,786 patrons served, or 9.7 percent over the FY 2011 enrollment figure of 1,628, and is primarily associated with increased program offerings. However, attendance as a percent of capacity for these programs decreased 5.8 percent from 80.0 percent of capacity in FY 2011 to 74.2 percent in FY 2012. For FY 2013, programming in Arts Education is occurring at FY 2012 planned levels with some program additions for seniors in visual arts at the Lake Anne facility. Overall Arts Education programming in FY 2013 will be planned with innovations coming from partner or collaborative opportunities and revised program offerings per the Programs objective in the Five Year Strategic Plan (2011-2016).

Performing Arts/Arts Education Outreach

Outreach to area elementary, middle and high schools was maintained in FY 2012 and 100 percent of schools participating in artistic activity and performance or other artistic/cultural residency efforts. Outreach will continue to be delivered at a high level by contracting through the Professional Touring Artist Series.

Community Events

Community Events revised and/or expanded partnered events in FY 2012 with new activities and formats consistent with objectives in the Five Year Strategic Plan’s Collaboration and Outreach goals. The outcome goal of 92 percent attendance at significant events as a percent of capacity was reached.

Fund 40050

Reston Community Center

Aquatics

Patrons' frustration with the lack of space in the most popular Aquatics program offerings continues to be expressed and pool scheduling and utilization for programming has been maximized to the greatest degree possible. In FY 2012, there was a 93.34 percent participation enrollment as a percent of capacity. The agency continues to explore additional options for Aquatics facility expansion and land-based programming to try to address the demand issue per the Five Year Strategic Plan (2011-2016). Total waitlist numbers for Aquatics classes continue to be roughly equivalent to enrolled participation.

Leisure and Learning

Planned class sizes were enlarged and patron enrollment increased in FY 2012. RCC filled 110 more seats than estimated, serving 8,784 registered Leisure and Learning patrons, and revenue earnings met projected totals with Fee Waiver users factored into the equation. Additionally, the department plans to attract patrons by offering more one-time seminar and shorter-duration programs (e.g. mini sessions) of popular offerings such as fitness and enrichment classes. The Leisure and Learning department continues to expand its reach into the community by participating in community-based task forces and initiatives. Program partnerships continue to be pursued to support and enhance offerings. Notable program initiatives in FY 2012 included Sustainable Reston offerings, new OLLI classes, and Fairfax County Public Schools (FCPS) partnerships. These efforts are continuing in FY 2013.

Fund 40050 Reston Community Center

FUND STATEMENT

Fund 40050, Reston Community Center

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$7,467,169	\$4,472,753	\$6,208,582	\$4,194,109
Revenue:				
Taxes	\$5,928,221	\$5,958,186	\$5,958,186	\$6,183,347
Interest ¹	26,044	47,077	47,077	47,077
Aquatics ¹	273,179	286,842	324,342	313,160
Leisure and Learning ¹	331,133	427,893	450,353	504,541
Rental ¹	180,043	100,500	100,500	100,500
Arts and Events ¹	212,636	190,634	193,784	210,632
Total Revenue	\$6,951,256	\$7,011,132	\$7,074,242	\$7,359,257
Total Available	\$14,418,425	\$11,483,885	\$13,282,824	\$11,553,366
Expenditures:				
Personnel Services	\$4,413,189	\$5,054,834	\$5,117,806	\$5,264,246
Operating Expenses ¹	2,699,018	3,262,936	3,436,927	3,485,414
Subtotal	\$7,112,207	\$8,317,770	\$8,554,733	\$8,749,660
Capital Equipment	\$8,329	\$0	\$0	\$28,000
Capital Projects	1,089,307	0	533,982	50,000
Total Expenditures	\$8,209,843	\$8,317,770	\$9,088,715	\$8,827,660
Total Disbursements	\$8,209,843	\$8,317,770	\$9,088,715	\$8,827,660
Ending Balance²	\$6,208,582	\$3,166,115	\$4,194,109	\$2,725,706
Maintenance Reserve	\$836,453	\$841,336	\$848,891	\$883,891
Feasibility Study Reserve	139,409	140,223	141,482	147,185
Capital Project Reserve ³	3,000,000	875,840	2,906,044	1,000,000
Economic and Program Reserve	2,232,720	1,308,716	297,692	694,630
Unreserved Balance	\$0	\$0	\$0	\$0
Tax Rate per \$100 of Assessed Value	\$0.047	\$0.047	\$0.047	\$0.047

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net decrease of \$19,248.64 have been reflected as a decrease of \$19,090.64 in FY 2012 revenues to record accrual adjustments and reclassify revenues in the proper fiscal year and an increase of \$158.00 in FY 2012 expenditures to reclassify expenses. These audit adjustments have been included in the FY 2012 Comprehensive Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

² The fund balance in Fund 40050, Reston Community Center, is maintained at adequate levels relative to projected personnel and operating requirements. Available fund balance is divided into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, funds for future capital projects, and funds for economic and program contingencies.

³ Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

Fund 40050

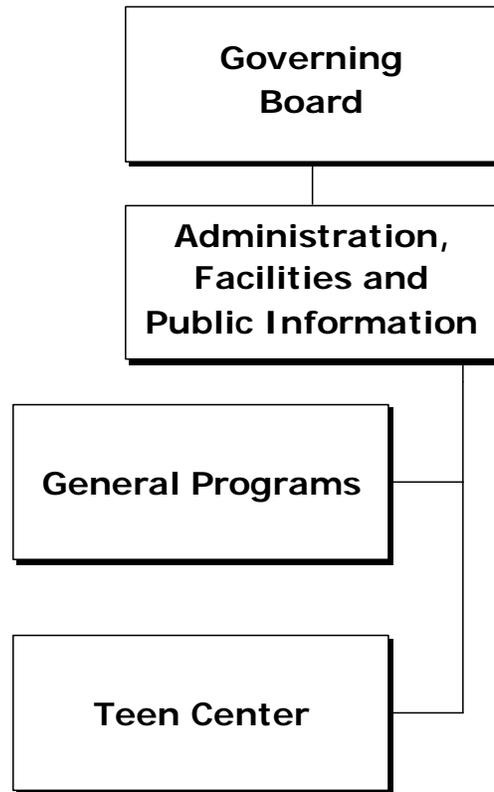
Reston Community Center

FY 2014 Summary of Capital Projects

Fund 40050, Reston Community Center

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
CC-000001	Reston Community Center Improvements	\$1,751,636	\$195,677.23	\$298,386.67	\$0
CC-000002	Reston Community-Facility Enhancement	1,563,253	396,324.94	89.99	0
CC-000003	Reston Community-Hunter Woods Enhancement	650,000	497,304.68	137,505.02	0
CC-000004	Reston Community-Hunter Woods Roof Trtmt	98,000	0.00	98,000.00	0
CC-000007	RCC - Fuel Tank Removal/Soil Remediation	50,000	0.00	0.00	50,000
Total		\$4,112,889	\$1,089,306.85	\$533,981.68	\$50,000

Fund 40060 McLean Community Center



Mission

The mission of the McLean Community Center (MCC or the Center) is to provide a sense of community by undertaking programs; assisting community organizations; and furnishing facilities for civic, cultural, educational, recreational, and social activities apportioned fairly to all residents of Small District 1A, Dranesville.

Focus

Fund 40060 (formerly Fund 113), McLean Community Center, fulfills its mission by offering a wide variety of civic, social and cultural activities to its residents including families, local civic organizations, and businesses.

MCC offers classes and activities such as aerobics, computers, acting and tours, for all ages at nominal fees. Special events and seasonal activities such as McLean Day, Fourth of July, Summer Camp, and a Craft Show are held at MCC, local schools and parks. The Alden Theatre presents professional shows, films, entertainment for children, educational speaker sessions, and community theatre productions. The Old Firehouse is a popular teenage social and recreation center in downtown McLean, operated by the Center. Teens can enjoy their time at the Teen Center after school, during school breaks and at Friday Night Activities and events. Drop-in activities sponsored by MCC are available such as open table tennis.



Fund 40060 McLean Community Center

Facilities and operations of the MCC are supported primarily by revenues from a special property tax collected from all residential and commercial properties within Small District 1A, Dranesville. The Small District 1A real estate tax rate for FY 2014 is \$0.022 per \$100 of assessed property value which is unchanged from the FY 2013 tax rate. Other revenue sources include program fees and interest on investments. In FY 2014, total property tax receipts in Small District 1A are maintained at the same level as FY 2013 pending final assessment evaluations from the Department of Tax Administration.

Financial and operational oversight of the Center is provided by the MCC Governing Board, elected annually. MCC receives its expenditure authority from the Fairfax County Board of Supervisors each fiscal year.

The MCC Governing Board and staff have developed and refined a strategic business plan which directs the expansion of the agency's functions for the next three years. MCC will train staff to provide information to enhance the Center's capability as a "one-stop shop" for printed and online information on community activities. MCC also

seeks to develop programs that increase community involvement of all age groups. Residents and businesses will be included in identifying McLean's community needs and MCC staff will analyze those needs to determine potential areas of expanded programming facilities.

Over the last several years, MCC's Governing Board and staff members have been considering a possible relocation or renovation of the Teen Center, a satellite program of MCC that provides after school programs, activities, events and a summer camp program for middle-school-age students. A feasibility study of the current MCC facility is underway to determine options for facility renovations and enhancements to improve delivery of the services and availability of facilities for use by the citizens of the community.

Creating greater awareness of and participation in community activities was also a part of MCC's strategic business plan. MCC will continue to support outreach and marketing and community activities.

MCC will maintain fiscal integrity and expand community support by increasing business and neighborhood partnerships for MCC programs and activities.

The McLean Community Center supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Creating a Culture of Engagement



Connecting People and Places



Building Livable Spaces



Exercising Corporate Stewardship

Fund 40060

McLean Community Center

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$2,656,433	\$2,891,179	\$2,891,179	\$2,988,123
Operating Expenses	2,211,455	2,575,179	2,779,559	2,525,126
Capital Equipment	0	0	0	51,500
Capital Projects	609,824	627,000	999,954	250,000
Total Expenditures	\$5,477,712	\$6,093,358	\$6,670,692	\$5,814,749
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	31 / 28.18	31 / 28.18	31 / 28.18	31 / 28.18

Note: As part of the transition to the FOCUS system, FY 2013 Capital Equipment expenses of \$66,500 for the replacement of a light console in the Theatre and enhancements to the stage projector screen and video projector were posted to Operating Expenses. An adjustment will be made at a subsequent budget review to reallocate the funding.

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$22,548**
 An increase of \$22,548 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.
- ◆ **Other Post-Employment Benefits** **\$1,177**
 An increase of \$1,177 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.
- ◆ **Program Adjustments** **\$73,219**
 An increase of \$73,219 in Personnel Services is primarily associated with non-merit salaries for a media information position and youth programs, as well as anticipated increases in fringe benefits.
- ◆ **Operating Expenses** **\$16,447**
 An increase of \$16,447 is primarily associated with increases in professional and consulting services, recreational and park area services, and printing/binding costs, offset by decreases in maintenance and repair expenses.

Fund 40060 McLean Community Center

- ◆ **Capital Equipment**
\$51,500
- Funding of \$51,500 is required for the replacement of the MCC stove/top burner unit, ice machine, theatre stage speakers and the wireless stage intercom system.

- ◆ **Capital Projects**
\$250,000
- Funding of \$250,000 is required including \$120,000 for the replacement of the Old Firehouse Teen Center roof, \$100,000 for the replacement of the MCC carpeting, and \$30,000 for restroom improvements to be compliant with Americans with Disabilities Act requirements.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments**
\$577,334
- As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$577,334 due to encumbered carryover of \$204,380 and unexpended Capital Project balances of \$372,954.

Cost Centers

The three cost centers in Fund 40060, McLean Community Center, are: Administration, Facilities and Public Information; General Programs; and Teen Center. These distinct program areas work to fulfill the mission and carry out the key initiatives of the McLean Community Center.

Administration, Facilities and Public Information

The Administration, Facilities and Public Information Cost Center administers the facilities and programs of the McLean Community Center, assists residents and local planning groups' planning activities and provides information to citizens in order to facilitate their integration into the life of the community.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$2,503,199	\$2,694,519	\$3,179,767	\$2,304,206
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	16 / 13.88	16 / 13.88	16 / 13.88	16 / 13.88
<u>Administration</u> 1 Executive Director 1 Deputy Community Ctr. Director 1 Accountant II 2 Administrative Assistants V 2 Administrative Assistants III 1 Administrative Assistant II	<u>Facilities</u> 1 Chief Building Maintenance Section 1 Facility Attendant II 5 Facility Attendants I, 5 PT	<u>Public Information</u> 1 Communications Specialist II		
TOTAL POSITIONS				
16 Positions / 13.88 FTE	PT Denotes Part-Time Positions			

Fund 40060 McLean Community Center

General Programs

The General Programs Cost Center provides programs and classes to McLean Community Center district residents of all ages in order to promote personal growth and sense of community involvement.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$2,538,684	\$2,931,184	\$2,994,473	\$3,007,565
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	14 / 13.3	14 / 13.3	14 / 13.3	14 / 13.3
<hr/>				
<u>Instruction & Senior Adult Activities</u>		<u>Performing Arts</u>		<u>Youth Activities</u>
1 Park/Recreation Specialist III	1	1 Theatrical Arts Director	1	1 Park/Recreation Specialist II
1 Park/Recreation Specialist I	1	1 Theatre Technical Director	1	1 Park/Recreation Specialist I
1 Administrative Assistant II, PT	1	1 Asst. Theatre Technical Director		
		1 Park/Recreation Specialist I		
<u>Special Events</u>		1 Administrative Assistant IV		
1 Park/Recreation Specialist II	1	1 Facility Attendant II		
1 Park/Recreation Specialist I	1	1 Facility Attendant I, PT		
<hr/>				
<u>TOTAL POSITIONS</u>				
14 Positions / 13.3 FTE				PT Denotes Part-Time Positions

Teen Center

The Teen Center Cost Center provides a facility for local youth in grades 7 through 12 to promote personal growth and provide a safe recreational and productive environment.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$435,829	\$467,655	\$496,452	\$502,978
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	1 / 1	1 / 1	1 / 1	1 / 1
<hr/>				
1 Park/Recreation Specialist I				
<hr/>				
<u>TOTAL POSITIONS</u>				
1 Position / 1.0 FTE				

Fund 40060 McLean Community Center

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Administration, Facilities and Public Information				
Percent change in patrons using the Center	(52.0%)	(4.4%)	7.5% / (6.3%)	(2.8%)
General Programs				
Percent change in participation in classes and Senior Adult activities	NA	0.1%	(33.3%) / (13.3%)	(22.4%)
Percent change in participation at Special Events	2.8%	0.0%	3.1% / (2.3%)	(13.6%)
Percent change in participation at Performing Arts activities	(2.6%)	(24.1%)	33.2% / 3.4%	3.1%
Percent change in participation at Youth Activities	114.3%	(73.0%)	(8.4%) / (49.7%)	14.8%
Teen Center				
Percent change in weekend patrons	(56.1%)	62.2%	(4.1%) / 27.5%	(24.8%)
Percent change in weekday patrons	14.9%	4.6%	0.8% / (24.7%)	36.5%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40060.pdf

Performance Measurement Results

MCC's facilities play an important part in the greater McLean area by providing places for MCC to hold its programs, classes, and meetings; serving as the home for the McLean Project for the Arts and community arts groups; and offering meeting and event space for residents and community organizations. MCC also has ongoing capital projects aimed at keeping the Center in good order to support all uses.

The total number of patrons attending events at MCC shows a 6.3 percent decrease in FY 2012, primarily associated with a reduction in offered classes based on demand, cancelled events and regulatory restrictions on overcrowding. More specifically, in FY 2012, there was a 13.3 percent decrease in participation in classes and Senior Adult activities, primarily the result of the Senior Soirees being reduced from six to four events; a 2.3 percent decrease in participation at Special Events, primarily as a result of the October Fest cancellation; a 3.4 percent increase in participation at Performing Arts activities, and a 49.7 percent decrease in participation at Youth Activities. The primary reason for the drop in youth program attendance was due to a restriction in maximum allowable participants per indoor space being used. As events were being programmed for indoor space, the enrollment numbers had to be reduced as compared to outdoor events that would not necessarily have as tight restrictions on the number of participants, but would be subject to weather conditions and possible cancellations.

In FY 2012, the number of patrons participating in Teen Center weekend activities increased by approximately 28 percent, which is attributable to heightened interest by teens in weekend rentals and activities due to program redesigns and facility improvements to the Teen Center. The weekday number of participants decreased nearly 25 percent primarily because the weekly Friday night dance was changed to be a biweekly Friday night dance.

Fund 40060 McLean Community Center

FUND STATEMENT

Fund 40060, McLean Community Center

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$12,584,950	\$11,769,392	\$12,095,768	\$10,464,114
Revenue:				
Taxes	\$3,754,424	\$3,718,108	\$3,718,108	\$3,718,108
Interest ¹	38,794	60,218	60,218	40,217
Rental Income ¹	64,784	45,000	45,000	45,000
Instructional Fees ¹	473,122	524,009	524,009	518,000
Performing Arts ¹	151,798	171,400	171,400	150,320
Vending	269	300	300	300
Special Events	119,606	124,679	124,679	115,350
Theatre Rentals	2,698	1,000	1,000	0
Intergenerational Programs	134,028	132,489	132,489	152,151
Miscellaneous Income	23,025	0	0	20,000
Teen Center Income ¹	86,796	105,870	105,870	105,870
Visual Arts ¹	139,186	155,965	155,965	155,965
Total Revenue	\$4,988,530	\$5,039,038	\$5,039,038	\$5,021,281
Total Available	\$17,573,480	\$16,808,430	\$17,134,806	\$15,485,395
Expenditures:				
Personnel Services	\$2,656,433	\$2,891,179	\$2,891,179	\$2,988,123
Operating Expenses ^{1,2}	2,211,455	2,575,179	2,779,559	2,525,126
Capital Equipment ²	0	0	0	51,500
Capital Projects	609,824	627,000	999,954	250,000
Total Expenditures	\$5,477,712	\$6,093,358	\$6,670,692	\$5,814,749
Total Disbursements	\$5,477,712	\$6,093,358	\$6,670,692	\$5,814,749
Ending Balance³	\$12,095,768	\$10,715,072	\$10,464,114	\$9,670,646
Equipment Replacement Reserve ⁴	\$1,007,426	\$1,007,426	\$1,007,268	\$582,649
Capital Project Reserve ⁵	8,574,193	8,574,193	8,574,193	7,828,850
Operating Contingency Reserve ⁶	0	0	0	1,250,000
Technology Improvement Fund ⁷	200,000	200,000	200,000	0
Unreserved Balance	\$2,314,149	\$933,453	\$682,653	\$9,147
Tax Rate per \$100 of Assessed Value⁸	\$0.023	\$0.022	\$0.022	\$0.022

Fund 40060

McLean Community Center

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments totaling a net adjustment of \$9,047.50 have been reflected as an increase to FY 2012 revenues to record accrual adjustments and to reclassify revenues in the appropriate fiscal year. These audit adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

² As part of the transition to the FOCUS mainframe system, FY 2013 Capital Equipment expenses of \$66,500 for the replacement of a light console in the Theatre and enhancements to the stage projector screen and video projector were posted to Operating Expenses. An adjustment will be made at a subsequent budget review to reallocate the funding.

³ The Ending Balance fluctuates due to adjustments in revenues and expenditures, as well as the carryover of balances each fiscal year.

⁴ The Equipment Replacement Reserve has been established by the McLean Community Governing Board to set aside funding for future equipment purchases.

⁵ The Capital Project Reserve is being set aside to fund a future expansion of the main facility and potentially a relocation or renovation of the Old Firehouse Teen Center, a satellite program of McLean Community Center, providing after school programs, activities, events and a summer camp program for middle-school-age students. It is anticipated that the funding in the Capital Project Reserve will be directed to the expansion and relocation plans. By building up this reserve, the amount of bond funding required will be reduced accordingly.

⁶ The Operating Contingency Reserve has been established by the McLean Community Center Governing Board to set aside cash reserves for operations.

⁷ The McLean Community Center Governing Board eliminated the Technology Improvement Fund.

⁸ In FY 2014, the tax rate is maintained at \$0.022 per \$100 of Assessed Value.

Fund 40060
McLean Community Center

FY 2014 Summary of Capital Projects

Fund 40060, McLean Community Center

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
CC-000006	McLean Community Center Improvements	\$4,177,174	\$609,823.80	\$999,953.67	\$250,000
Total		\$4,177,174	\$609,823.80	\$999,953.67	\$250,000

Fund 40070

Burgundy Village Community Center

Mission

To provide and maintain a facility for the citizens of the Burgundy Village district so they may have an opportunity to plan, organize, and implement recreational, social and civic activities.

Focus

Fund 40070 (formerly Fund 115), Burgundy Village Community Center, was established in 1970, along with a special tax district, to finance the operations and maintenance of the Burgundy Village Community Center for use by residents of the Burgundy Community. Residents of this district currently pay an additional \$0.02 per \$100 of assessed value on their real estate taxes to fund the Center. The subdivisions of Burgundy Village, Somerville Hill and Burgundy Manor are included in the special tax district.

The Burgundy Village Community Center supports the following County Vision Element:



Creating a Culture of Engagement

Funding for Center operations and maintenance is derived from the tax district receipts, interest on Center funds invested by the County and rentals.

The Burgundy Village Community Center is used for meetings, public service affairs and private parties. Residents of the Burgundy Community rent the facility for \$50 per event while non-residents are charged \$250 per event. There is no charge for community activities or meetings such as the Burgundy Civic Association, Neighborhood Watch and community events sponsored by the Operations Board.

The Center is currently governed by a five-member Operations Board elected by the Burgundy Village Community residents.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$16,647	\$19,145	\$19,145	\$19,145
Operating Expenses	7,186	25,646	28,014	25,646
Capital Equipment	0	0	0	0
Capital Projects	0	0	0	0
Total Expenditures	\$23,833	\$44,791	\$47,159	\$44,791
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	0 / 0	0 / 0	0 / 0	0 / 0

Fund 40070

Burgundy Village Community Center

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** \$0
It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** \$2,368
As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered funding of \$2,368 in Operating Expenses.

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Burgundy Village Community Center				
Percent change in facility use to create a community focal point	(24.6%)	55.1%	0.0% / 4.3%	(6.9%)

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40070.pdf

Performance Measurement Results

In FY 2012, the volume of rentals increased from 276 in FY 2011 to 288 in FY 2012, representing a 4.3 percent change in facility use as the center approaches its capacity for community engagement. Burgundy Village saw an increase in rentals and rental revenue as a result of a growth in non-residential use. Rental revenues for the community center increased 37.6 percent, almost entirely the result of an increase in non-residential rentals, as non-residents pay \$250 and residents pay \$50 per rental engagement. The customer satisfaction survey continues to see increased satisfaction, which is expressive of the center's assurance to remain a focal point in the community. During FY 2013, the center is enhancing the building acoustics to better serve its customers and rentals are projected to decrease somewhat in order to accommodate the renovation. It is anticipated that revenues during FY 2014 will again increase after the center's auditory enhancement and continuity in scheduled weekly rentals. The governing Board will continue to obtain local advertisement and evaluate the feasibility of an online application system.

Fund 40070

Burgundy Village Community Center

FUND STATEMENT

Fund 40070, Burgundy Village Community Center

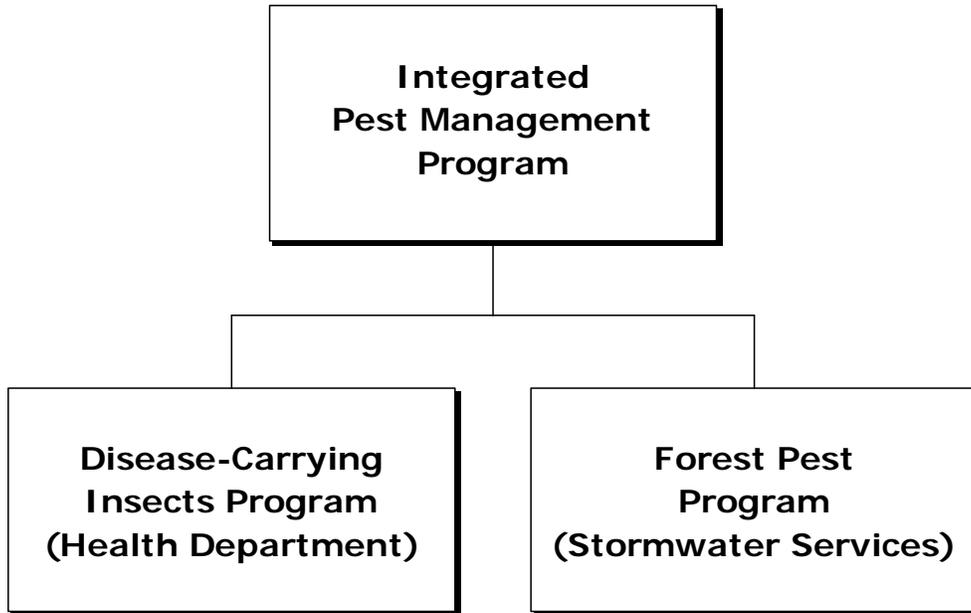
	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$270,644	\$272,093	\$300,020	\$306,636
Revenue:				
Taxes	\$20,251	\$23,775	\$23,775	\$23,775
Interest ¹	833	2,000	2,000	1,000
Rent	32,125	23,345	28,000	32,125
Total Revenue	\$53,209	\$49,120	\$53,775	\$56,900
Total Available	\$323,853	\$321,213	\$353,795	\$363,536
Expenditures:				
Personnel Services	\$16,647	\$19,145	\$19,145	\$19,145
Operating Expenses	7,186	25,646	28,014	25,646
Total Expenditures	\$23,833	\$44,791	\$47,159	\$44,791
Total Disbursements	\$23,833	\$44,791	\$47,159	\$44,791
Ending Balance²	\$300,020	\$276,422	\$306,636	\$318,745
Tax Rate per \$100 of Assessed Value	\$0.02	\$0.02	\$0.02	\$0.02

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$144.02 has been reflected as a decrease to FY 2012 revenues to properly record revenue in the appropriate fiscal year. This audit adjustment has been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

² The Burgundy Village Community Center maintains fund balances at adequate levels relative to projected operation and maintenance requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 40080

Integrated Pest Management Program



Mission

To suppress forest pest infestation and insect transmitted human disease throughout the County through surveillance, pest and insect control, as well as public information and education, so that zero percent of the County tree cover is defoliated and human morbidity and mortality are minimized while protecting the environment.

Focus

Fund 40080 (formerly Fund 116), Integrated Pest Management Program, includes two separate programs – the Forest Pest Program managed by Stormwater Services and the Disease-Carrying Insects Program managed by the Health Department. The Forest Pest Program focuses on preventing the spread of State approved forest insects and diseases in the County. The Disease-Carrying Insects Program focuses on maintaining a low incidence of the West Nile virus, Lyme disease, and other tick-borne diseases—as the prevention of epidemics and spread of disease is one of the core functions of the Health Department.

A countywide tax levy financially supports Fund 40080 activities and this levy is subject to change annually due to funding requirements based on the level of infestation. Since FY 2001, the Board of Supervisors-approved tax rate has been \$0.001 per \$100 assessed value and has provided support for both the Forest Pest and the Disease-Carrying Insects Programs. In FY 2014, the same tax rate, along with the existing fund balance, will continue to support both programs.

Forest Pest Program (FPP)

The Forest Pest Program is a cooperative program with the United States Department of Agriculture (USDA) Forest Service and the Virginia Department of Agriculture and Consumer Services (VDACS). VDACS maintains a list of insects that are eligible for control by this program. Currently, five insects and two diseases are listed: the gypsy moth, cankerworm, emerald ash borer, hemlock woolly adelgid, Asian longhorned beetle, sudden oak death and thousand canker disease.

Fund 40080

Integrated Pest Management Program

The gypsy moth program and the cankerworm program investigate tree damage due to both pests by conducting annual monitoring surveys. The surveys check egg masses and larval densities, are conducted every 2,000 feet throughout the County and are USDA Forest Service approved. Forested areas with high gypsy moth and cankerworm populations are identified for possible treatment the following spring. The proposed treatment plan and resource requirements for these pests are submitted annually to the Board of Supervisors for approval in February. The County may also be eligible for partial reimbursement for aerial treatment costs from the federal government (assuming funding is available). Treatment is conducted in late April through early May before the gypsy moth and cankerworm can damage trees. Throughout the year, staff conducts public hearings, displays information at fairs and exhibits, and distributes brochures, educating Fairfax County communities about pest suppression methods and measures that they may take to alleviate potential forest pest population infestations.

It is noted that the size of pest populations for gypsy moths and cankerworms is cyclical. Populations will be high for a period of years, and then drop for a period, only to rise again. For example, in the early to mid-1990s, annual treatment requirements for the gypsy moth fluctuated from 3,000 to 45,000 acres. In recent years, gypsy moth populations have moderated. Since FY 2001, treatment acreage has fluctuated between zero acres and 5,500 acres annually, with the average being 2,100 acres. Based on field surveys conducted in the fall of 2012, staff estimates that no acres will require treatment in FY 2014. Cankerworm populations have increased in recent years. Staff anticipates a moderate aerial treatment program in FY 2014. The FY 2014 budget provides capacity to treat 500 acres for gypsy moths and 500 acres for cankerworms, should egg mass surveys conducted between August and January of that fiscal year indicate the need.

The emergence of the emerald ash borer in Fairfax County was identified by VDACS in late 2003. In an effort to ensure that the insects did not spread any further, guidance was given by the USDA Animal Plant Health Inspection Service (APHIS) regarding eradication. Eradication efforts took place in spring 2004 before the adult borers emerged. Those efforts were coordinated among Fairfax County Forest Pest Program staff, APHIS, and VDACS and federal funding for eradication efforts was provided to the State. Forest Pest Program staffs continue to assist in eradication efforts through monitoring and surveying the treated area; however, County financial support for these efforts is not expected to be significant due to the relatively low emerald ash borer populations found in recent years. In July 2008, staff identified three infestations in the Newington, Herndon and Bailey's Crossroads areas and one in the Fair Oaks area in July 2009. As a result of these infestations, USDA and VDACS have established a quarantine in northern Virginia which prohibits ash wood material from leaving the area. Fairfax County staff has also implemented an outreach program to inform public and private entities of the relevant state and federal regulations.



On average, County staff annually treats 2,100 acres to combat the gypsy moth infestations.

In March 2011, the Forest Pest Program added monitoring and outreach activities for two additional tree diseases (Thousand Cankers Disease of Black Walnut and

Fund 40080

Integrated Pest Management Program

Sudden Oak Death) and one additional insect (Asian Long horned Beetle) to its suppression plan. While staff must petition VDACS to add additional pests to the list of insects and diseases that can be controlled by service districts in Virginia, past experience with new insects and diseases has proven that diligent monitoring, detection, and prevention are much more cost effective and accepted by the public than control.

Black Walnut (*Juglans nigra*) is a tree native to Fairfax County. In the summers of 2010 and 2011, black walnut trees were observed to be declining near Knoxville, Tennessee and Richmond, Virginia. Foresters confirmed that a disease called thousand cankers disease and the walnut twig beetle (*Pityophthorus juglandis*) that spreads it had been artificially introduced to the eastern United States from the west. During the summer of 2012, staff captured the walnut twig beetle at various sites in Fairfax County. VDACS isolated the thousand cankers disease fungus from these trees and has placed Fairfax County under quarantine status, similar to the conditions in place for the emerald ash borer. Staff recommends that resources in the form of an outreach program be developed in order to continue monitoring for this disease. Key targets of the outreach effort will include homeowners and private tree care companies.

Sudden Oak Death is caused by a fungus (*Phytophthora ramorum*) that has caused wide-scale tree mortality in the western United States since 1995. Fortunately, this disease has been found only in isolated locations in the eastern United States and officials feel that these infestations have been contained. Diligent monitoring is critical in slowing the spread of this disease and recent testing methods that are simple and cost-effective have been developed. Consequently, staff will implement these monitoring methods and develop a management plan that will address appropriate actions should Sudden Oak Death be found in Fairfax County. Part of this management plan will include an outreach component that will educate private and public groups on this disease and its control.

The Integrated Pest Management Program supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Creating a Culture of Engagement



Connecting People and Places



Practicing Environmental Stewardship



Building Livable Spaces



Exercising Corporate Stewardship

The Asian Long-horned Beetle (*Anoplophora glabripennis*) is an invasive, wood-boring beetle that, like the emerald ash borer, has the potential to have drastic economic and social impacts should it be introduced in Fairfax County. The larvae will infest and kill trees by boring into the heartwood of a tree and disrupting its nutrient flow. Imported into the United States via wood packing material used in shipping, infestations of this insect in or near Chicago, New York, and Boston have been discovered since the mid 1990's. These pests will infest many hardwood tree species but seems to prefer maple species, which are one of the predominant trees in Fairfax County's urban forest ecosystem. According to the United States Forest Service, most of the infestation found in the United States have been identified by tree care professionals and informed homeowners. Consequently, staff recommends development of a management plan to address such monitoring and outreach for this invasive species.

Fund 40080

Integrated Pest Management Program

Quarantine Status

Agricultural quarantines are implemented for invasive pests in order to eradicate or slow their spread. The quarantines currently in effect in Fairfax County are intended to slow the spread of the target insects and not intended to eradicate them. In the United States, eradication is only attempted when an invasive is discovered early and its populations are small enough to be contained. There are no set end dates to the quarantines in Fairfax County.

Typically, a quarantine is established by a State and by the United States Department of Agriculture on a county by county basis. Once a sufficiently large enough area is infested, the State will determine that all of the State is generally infested and the issue is taken over by USDA. Forest pest quarantines are not an unusual or a historically recent method of controlling the spread of pests. The gypsy moth was first quarantined by state and federal governments in 1912 and continues to be quarantined today. Research has proven that by slowing the spread of an invasive insect, uninfested localities can avoid the extraordinary costs of attempting to control it.

Emerald Ash Borer (EAB) was first quarantined in northern Virginia in 2008. Since that time numerous sites around the State have been confirmed as infested with EAB. In the summer of 2012, the Virginia Department of Agriculture and Consumer Services determined that the entire state is generally infested and is now part of the USDA quarantine. Presumably, this quarantine will stay in effect indefinitely.

Thousand cankers disease (TCD) is relatively new in regard to forest health issues. This disease is spread by a tiny bark beetle and is very difficult to detect. Staff found the bark beetle in Fairfax County in the summer of 2012, and VDACS reacted by implementing a quarantine of all walnut products. Currently, several counties in Virginia are under quarantine for TCD. If and when the disease/bark beetle is found in sufficient numbers it is expected that VDACS will quarantine the entire State. There is no existing Federal regulation in regard to TCD. It is unclear at this time, what will happen if the entire State or other States detect this disease.

Outreach

The Forest Pest Program has used outreach as a management tool since the early 1980's. With the addition of TCD, EAB, and the potential for the appearance of sudden oak death (SOD) and Asian Longhorned Beetle (ALB) staff has modified the program's outreach activities. During the last fiscal year, staff have:

- Modified the County internet site to include the new pests. This information is intended primarily for homeowners and provides pest identification tools and contact information for staff.
- Held an invasive species seminar for tree care professionals in May 2012. Registration for 80 individuals was offered and quickly reserved. Many invasive pests are detected by tree care professionals. The intent of this program was not only educational, but was intended to create a partnership with the industry in order to assist the County in detection.
- Offered educational programs to school children. During the 2011-2012 school year, staff conducted school outreach activities that reached 2,941 pupils. Different curriculum were developed to address age groups as appropriate and these programs satisfied Science Standards of Learning (SOL) requirements for 3.5c, 3.5 (d), 3.8, 3.10, 4.4, 4.5, 4.8 (a,b,d), LS.4 (a), LS 6, LS.9(b), LS.11 (b), BIO 3 (d), BIO 9 (d,e).

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Integrated Pest Management Program

- Attended County functions such as Celebrate Fairfax, Fall for Fairfax, Arbor Day, and the 4H Fair. Emphasis at these events was directed towards the new emerging forest pest issues of the County.
- Created the Capital Region Invasive Pest Symposia (CRIPS). This group is composed of public and private professionals in the forest health field. The mission of this group is to exchange ideas and ensure that all are aware of emerging pest issues. This group meets periodically and discusses issues in a roundtable manner and attendance is usually between 35 and 75 individuals. Speakers are usually invited to address a particular topic.

Management Plans

It should be noted that staff recommended developing and implementing management plans for ALB and SOD in prior fiscal years. The nature of invasive insects and diseases is such that it is difficult to make long term predictions on monitoring techniques and response plans. USDA has drafted a management plan for ALB; it outlines a role for localities consistent with what staff had envisioned. For example, County staff can play a critical role in public meetings, notification and mapping. VDACS and the Forest Pest Program are also planning to draft management plans for ALB and SOD. The management plans will act in concert with plans in place by USDA. It is anticipated that these plans will be drafted during the winter of 2012-2013.

Monitoring techniques for ALB and SOD are developing and rapidly changing. Staff is staying informed and will implement changes as appropriate.

Disease-Carrying Insects Program

West Nile Virus and other mosquito diseases

The West Nile virus (WNV) is transmitted from birds to humans through the bite of infected mosquitoes and continues to be a public health concern. The first sign of the virus in Fairfax County was in Calendar Year (CY) 2000 when a dead bird was found infected. The first human cases were detected in CY 2002 and, to date, 34 human cases have been detected. More recently, eight human cases were detected in CY 2012. During this timeframe, three fatalities have occurred—one each in CY 2002, 2004, and 2012.

Inter-jurisdictional cooperation is a key component of the program, allowing for coordination of surveillance and management activities on public lands and with surrounding jurisdictions.

Mosquito and WNV surveillance activities are performed weekly by County staff from May through October. Mosquito surveillance activities also allow the Disease-Carrying Insects Program (DCIP) to monitor for invasive mosquito species. In FY 2012, the Health Department lab began testing mosquitoes for WNV using molecular diagnostics (Reverse-Transcriptase Polymerase Chain Reaction or RT-PCR). Contracted services have been retained for the more labor-intensive preemptive mosquito control activities that require a significant fleet of vehicles and specialized equipment and pesticide storage and supply. The County continues to proactively treat the storm drains in an effort to reduce the population of *Culex* mosquitoes that transmit WNV. Storm drains are treated in three six-week cycles from May through October with one of three larvicides that will be rotated from year to year to avoid or lessen mosquito resistance. Weather conditions are the principal factors that determine the number of storm drains that will be treated any given year. Inspection and larviciding activities are carried out in targeted areas of the County identified as significant mosquito breeding areas. These programs and services will continue in FY 2014.

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Integrated Pest Management Program

Lyme diseases and other tick-borne diseases

The bacterium that causes Lyme disease is transmitted from small mammals to humans through the bite of an infected deer tick, also known as the black legged tick (*Ixodes scapularis*), and continues to be a growing public health concern. In Fairfax County, there were 256 human cases of Lyme disease reported in CY 2010, 149 cases in CY 2011, and 101 cases in CY 2012. Other tick-borne diseases reported in Fairfax County are: Spotted Fever Group Rickettsias (11 cases in CY 2010, 20 cases in CY 2011, and 26 in CY 2012); Ehrlichiosis/Anaplasmosis (seven cases in CY 2010, 17 cases in CY 2011, and six cases in CY 2012); and Q fever (one case in CY 2010, no cases in CY 2011, and no cases in CY 2012).

The County tick surveillance program was initiated to determine the distribution and infection rate of the bacterium (*Borrelia burgdorferi*) that causes Lyme disease. Contract services have been obtained for the molecular identification (Polymerase Chain Reaction or PCR) of the various pathogens that may be transmitted by the four human-biting tick species in the County. The DCIP offers a tick identification service for County residents to inform them of the type of tick that has bitten them. In FY 2011, DCIP was able to detect the establishment of a non-native species of tick in the County (i.e., the Gulf Coast tick, *Amblyomma maculatum*) that is the vector of a bacterium (*Rickettsia parkeri*) causing a spotted fever disease. Following detection, focused efforts are being used to monitor and try to eliminate this population. These programs and services will continue in FY 2014.

In FY 2010, the groundwork was laid for a collaborative three year tick control pilot project between the Police Department and the Health Department's Disease Carrying Insect Program. Supplemental feeding stations, known as four-poster deer treatment stations, were deployed to two areas of the County. These devices apply an insecticide to the deer while they are feeding, thus killing the ticks that are on the deer. Two test and two control areas are being utilized for a total of twenty stations in the two test areas of the County. Tick surveillance in the two test and two control areas began in FY 2010 and will continue until the end of the three year project. The four-poster stations were deployed in the two test areas in the spring of CY 2012.

Outreach and Education

The outreach and education component of the DCIP is aimed at increasing residents' awareness of personal protection actions that can be taken against mosquitoes and ticks, and the reduction of mosquitoes, ticks, and mosquito breeding areas on private property. The program continues to produce and distribute outreach material in English, Chinese, Farsi, Korean, Spanish, Urdu and Vietnamese. In FY 2012, the program produced and printed the eighth edition 18-month "Fight the Bite" calendar with complementary captions, facts, figures, important dates, and helpful reminders of things for readers to do around the home to manage mosquitoes and ticks. In addition, the calendar provides helpful hints to protect residents from mosquito- and tick-borne diseases. General facts, local figures and brief descriptions of the County's efforts were included to educate the public about basic mosquito and tick biology and inform them specifically about mosquitoes, ticks, West Nile virus and Lyme disease in Fairfax County. A fourth children's book about mosquitoes and ticks entitled, [Bite Buster in Fight the Bite](#), was printed in late FY 2012. All the educational material is available on the County's Web site. Outreach and education materials will be created, updated, and printed as needed in FY 2014.

Fund 40080

Integrated Pest Management Program

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$1,062,040	\$1,256,272	\$1,256,272	\$1,271,763
Operating Expenses	1,047,674	1,827,847	1,825,005	1,827,847
Capital Equipment	133,568	0	38,459	0
Total Expenditures	\$2,243,282	\$3,084,119	\$3,119,736	\$3,099,610
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	12 / 12	12 / 12	12 / 12	12 / 12

Summary by Program

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
Forest Pest Program				
EXPENDITURES				
Total Expenditures	\$723,233	\$1,091,533	\$1,091,877	\$1,098,568
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	7 / 7	7 / 7	7 / 7	7 / 7
Disease-Carrying Insects Program				
EXPENDITURES				
Total Expenditures	\$1,520,049	\$1,992,586	\$2,027,859	\$2,001,042
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	5 / 5	5 / 5	5 / 5	5 / 5
FOREST PEST PROGRAM				
1	Urban Forester III			
4	Urban Foresters II			
1	Administrative Assistant II			
1	Information Technology Technician III			
DISEASE-CARRYING INSECTS PROGRAM				
1	Environmental Health Supervisor			
1	Environmental Health Specialist III			
2	Environmental Health Specialists II			
1	Administrative Assistant III			
TOTAL POSITIONS				
12 Positions / 12.0 FTE				

Fund 40080

Integrated Pest Management Program

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$15,036**
 An increase of \$15,036 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.
- ◆ **Other Post-Employment Benefits** **\$455**
 An increase of \$455 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.
- ◆ **Transfer to the General Fund for Indirect Costs**
 It should be noted that \$138,000 is included as a transfer to the General Fund beginning in the FY 2014 Advertised Budget Plan to partially offset central support services supported by the General Fund which benefit Fund 40080, Integrated Pest Management. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$35,617**
 As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered funding of \$35,617 for contractual obligations for the treatment of storm drains and the tick testing program within the Disease Carrying Insect Program.

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate FY 2013
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	
Integrated Pest Management Program				
Percent of County tree defoliation resulting from gypsy moth and cankerworm infestation	0%	0%	0% / 0%	1%
Confirmed human cases of West Nile virus in Fairfax County, Fairfax City and Falls Church City as reported by the Virginia Department of Health	2	1	1 / 1	1

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40080.pdf

Fund 40080

Integrated Pest Management Program

Performance Measurement Results

Forest Pest Program

There was no aerial treatment for the gypsy moth in the spring of FY 2012. Based on field surveys of the gypsy moth population in the fall of 2012, staff estimates no acres will require treatment in the spring of FY 2013. Based on surveys for the cankerworm, 115 acres of treatment were necessary in the spring of FY 2012, and it is estimated that 300 acres will be required in the spring of FY 2013. Defoliation surveys for both insects conducted in the summer of 2012 indicated that there was very little defoliation in Fairfax County during FY 2012, totaling less than one percent.

Disease-Carrying Insects Program (DCIP)

The goal of DCIP in FY 2013 is to continue to hold the number of human cases of West Nile virus (WNV) as reported by the Virginia Department of Health to no more than one case, the same goal as in the last fiscal year. In FY 2012, there was one human case of WNV in the County.

DCIP costs are based on the number of storm drain treatments and other larvicide treatments carried out by a contractor in a given year, as well as education, outreach and surveillance activities carried out in-house by DCIP. Treatment, although dependent on weather conditions, remain relatively constant throughout the years, maintaining a relatively stable program cost. The total DCIP cost per capita was \$1.33 in FY 2012. This is lower than the budgeted estimate of \$1.75 per capita due to fewer treatments being done as a result of unfavorable weather conditions. The estimated cost for FY 2013 is based on a higher cost per capita; however, actual spending will depend on environmental factors, insecticide treatments resulting from larval inspections and surveillance activities, as well as follow-up studies for the evaluation of the outreach program.

The tick surveillance program continues in FY 2013. This program will increase the understanding of the magnitude and breadth of tick-borne diseases in the County. The DCIP has a contract in place to test the ticks for pathogens they may transmit. The increased testing of ticks for pathogens and the four-poster deer treatment pilot project will also impact DCIP cost per capita in future years.

Fund 40080 Integrated Pest Management Program

FUND STATEMENT

Fund 40080, Integrated Pest Management Program

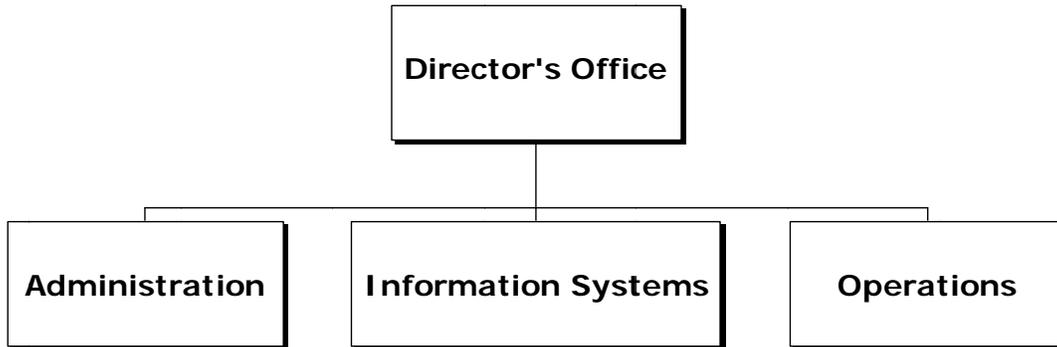
	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$3,118,555	\$1,763,376	\$2,862,842	\$1,536,523
Revenue:				
General Property Taxes	\$1,917,078	\$1,782,817	\$1,782,817	\$1,843,968
Interest on Investments ¹	10,491	10,600	10,600	7,691
State Reimbursement	60,000	0	0	0
Total Revenue	\$1,987,569	\$1,793,417	\$1,793,417	\$1,851,659
Total Available	\$5,106,124	\$3,556,793	\$4,656,259	\$3,388,182
Expenditures:				
Forest Pest Program	\$723,233	\$1,091,533	\$1,091,877	\$1,098,568
Disease-Carrying Insects Program	1,520,049	1,992,586	2,027,859	2,001,042
Subtotal Expenditures	\$2,243,282	\$3,084,119	\$3,119,736	\$3,099,610
Transfers Out: ²				
General Fund (10001) - Forest Pest Program	\$0	\$0	\$0	\$65,039
General Fund (10001) - Disease-Carrying Insects Program	0	0	0	72,961
Subtotal Transfers Out	\$0	\$0	\$0	\$138,000
Total Disbursements	\$2,243,282	\$3,084,119	\$3,119,736	\$3,237,610
Ending Balance³	\$2,862,842	\$472,674	\$1,536,523	\$150,572
Tax Rate Per \$100 of Assessed Value	\$0.001	\$0.001	\$0.001	\$0.001

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$1,637.85 has been reflected as a decrease to FY 2012 revenues and is associated with restated interest earned on investments. This impacts the amount carried forward resulting in a commensurate decrease to the *FY 2013 Revised Budget Plan*. The audit adjustment has been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustment will be included in the FY 2013 Third Quarter package.

² Beginning with the *FY 2014 Advertised Budget Plan*, a transfer to the General Fund is included to partially offset central support services supported by the General Fund which benefit Fund 40080, Integrated Pest Management. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

³ Due to the cyclical nature of pest populations, the treatment requirements supported by this fund may fluctuate from year to year. Therefore, Ending Balances may also fluctuate depending on the level of treatment necessary to suppress gypsy moth, cankerworm, emerald ash borer or West Nile Virus - carrying mosquito populations in a given year.

Fund 40090 E-911



Mission

To provide and maintain highly professional and responsive 9-1-1 emergency and non-emergency communication services to the citizens of Fairfax County, City of Fairfax, Town of Herndon, Town of Vienna, Fort Belvoir, citizens that work in and visit Fairfax County on a daily basis and to the Fairfax County Police, Fire & Rescue and Sheriff departments in a collaborative and supportive work environment that utilizes highly trained and qualified staff. To deliver emergency and non-emergency communications utilizing state-of-the-art technology through a variety of systems integrated to provide 9-1-1 telephone, computer aided dispatch, multi-channel trunked radio and wireless data networks in a cost effective, sustainable, reliable and technologically innovative manner. And, to utilize, industry accepted best policies, practices and standards in an efficient and cost effective manner.

AGENCY DASHBOARD			
Key Data	FY 2010	FY 2011	FY 2012
1. Emergency 9-1-1 Calls	589,178	570,506	562,194
2. Total Calls (combined 9-1-1, non-emergency and administrative)	1,084,705	1,046,637	1,035,167
3. Calls Requiring Language Line Interpretation	16,325	13,785	12,019
4. Police and Fire-Rescue Events Entered by DPSC Call takers/Dispatchers into CAD	567,550	471,878	481,185
5. Total Radio Transmissions Made to Police and Fire-Rescue Units	2,155,671	1,805,616	1,765,720
6. Number of CPR Calls That Required Lifesaving Instructions by Call Takers	1,253	1,295	1,376

Focus

The activities and programs in Fund 40090 (formerly Fund 120), E-911, provide support to the operations of the Department of Public Safety Communications (DPSC) and various other public safety information technology projects. The DPSC is designated as the primary 9-1-1 Public Safety Answering Point (PSAP) for all 9-1-1 calls originating within Fairfax County as well as the city and towns therein. The agency also provides Emergency Medical Dispatch (EMD)/Pre-Arrival Instruction (PAI), which is an emergency medical service (EMS) intervention program where DPSC call takers provide emergency medical

Fund 40090 E-911

instructions over the telephone until fire-rescue-EMS units arrive on the scene of an emergency incident. Due to the vital, mission-critical, and time sensitive service provided by DPSC personnel, they are, for many reasons, recognized as the “*First of the First Responders.*” Additionally, DPSC receives all commercial and residential security, fire and medical alarm requests for service calls from private alarm service providers. Non-emergency services provided include responding to police non-emergency calls received; reporting of towed vehicles and private vehicle impounds; calls for Animal Control Unit services, a subsidiary of the Fairfax County Police Department (FCPD) resolution and non-emergency calls for service for fire and rescue assistance and information. DPSC also provides National Crime Information Center (NCIC) and Virginia Criminal Information Network (VCIN) teletype operations related to property (e.g., stolen guns and vehicles), people (e.g., protective orders and missing persons), events (e.g., fatal accidents and security matters), and queries (e.g., wanted persons/warrant confirmation). These operations ensure that criminal and investigative information is shared with the appropriate authorities within the County and on a regional, state and federal level. Additionally, DPSC serves as the official custodian of approximately 8,700 hours of audio recordings of all telephone calls and radio traffic pertaining to public safety as required by law and approximately 88,000 hours of Computer Aided Dispatch records. DPSC receives and responds to court subpoenas and Freedom of Information Act (FOIA) requests for audio transmissions and data records. Audio and data recordings are also maintained per national standards for investigative, quality assurance and training purposes.

The E-911 agency supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Creating a Culture of Engagement



Connecting People and Places



Maintaining Healthy Economies



Building Livable Spaces



Exercising Corporate Stewardship

Department of Public Safety Communications-History

In FY 2005, the County identified several operational issues within the existing Public Safety Communication Center (PSCC). Issues reviewed and addressed included: organizational placement of the then PSCC as an independent agency within County government to ensure an effective representation of its broad public safety service role and broad client base; organizational leadership and management to reframe the role of the Director position from a sworn officer in the Police Department chain of command structure to that of a 9-1-1 public safety communications professional civilian position who will work with new additional management level staff positions to support and encourage innovation and improve efficiency and performance; establish/reconstruct operational and performance measurements to standardize the process for quality control and quality assurance, to monitor a complex budget from multiple funding streams and the allocation of funds to the attainment of performance objectives; and finally recruitment and retention issues. As a result of this internal review of existing operations, a change-plan was developed to provide a framework for facilitating successful implementation of both current and future action steps to overhaul and improve the public safety

Fund 40090 E-911

communications center and its operations. The reorganization of the existing PSCC was a first step in the change-plan.

In FY 2006, the PSCC was moved from a division within the Police Department to independent agency status, supported in Fund 40090 as Agency 95, the Department of Public Safety Communications. This agency now reports directly to the Deputy County Executive for Public Safety, responsible for the other public safety agencies within the County. Early efforts of the new agency centered on reengineering the recruitment program; redesigning the new hire program; promoting programs to encourage retention; improved internal and external communications, enhancing the management structure to provide leadership in the areas of client services and 9-1-1 center operations; recognizing and rewarding employees; and developing business analyses to measure and monitor performance. The agency will continue to focus on these types of organizational issues into FY 2013 and beyond.

The changes underway within DPSC continue to have a positive impact on operations. Agency leadership is focused on maintaining the momentum of positive change, with emphasis on improving performance standards and performance call statistics, recruitment and retention, training, and continued operational adjustments associated with DPSC's move to the McConnell Public Safety and Transportation Operations Center (MPSTOC) that occurred on October 4, 2009. As a critical operation in Fairfax County that affects the lives and safety of residents, the changes underway are intended to ensure that the DPSC is able to provide world-class public safety communication services.

Public Safety Information Technology Projects

With the migration to the new MPSTOC facility, the DPSC has had a complete technology refresh of the telephone system, CAD system and radio system in use for public safety operations. Expanded services have also been introduced into the public safety environment that include; combined center with the Virginia State Police and Virginia Department of Transportation; co-location with the Office of Emergency Management; a new expanded computer aided dispatch system; audio visual technology and traffic monitoring via VDOT traffic cameras; expanded County enterprise access for all employees; increased number of call taking and dispatch positions; and a host of other technology refresh items to make the center one of the country's premiere emergency operations centers. The CAD system is used to dispatch appropriate public safety equipment and personnel to events and emergencies and to communicate and track up-to-date information in a rapidly changing environment for public safety units disbursed throughout the entire County and adjacent counties when mutual aid assistance is required. The mobile data communications component of CAD allows the dispatch of resources without the need for voice radio communications, thus avoiding saturation of the voice radio frequencies which can then be used to handle priority transmissions and traffic. It also provides field units direct access to local, state, and national databases, access to remote records management databases, access to email and departmental processes and procedures and continuous contact with the DPSC independent of the voice radio system.

Installation of the sonnet radio network was completed and brought online in October 2000. Subsequent to the September 11, 2001 terrorist attacks, a reevaluation of the network determined that three additional tower sites were needed to be added to ensure proper coverage to areas of the County that had grown more populous since the original radio signal coverage propagation studies were completed. This expansion was funded through a Homeland Security grant and is now complete.

Fund 40090 E-911

In FY 2014, IT Projects funding remains at the same level as the FY 2013 Adopted Budget Plan. Funding of \$147,924 will complete the County's investment in upgrading the Public Safety Radio System to a newer technology platform. This is the final installment necessary to cover project costs and the associated lease-purchase agreement for the network infrastructure. An additional \$3,281,076 supports year one of a six-year-plan to replace the existing fleet of mobile and portable subscriber radios in public safety agencies. The remaining \$1.2 million supports the Mobile Data Communications System (MDCS) Mobile Computer Terminal (MCT) Replacement project. A new five-year replacement cycle was started in FY 2012. For the last 6 years, the County has mandated cuts and reductions to the yearly allotment for MCT replacements. While additional funding is required over the previous cycle to fully purchase and maintain the replacement program, using unspent IT Project balances will allow DPSC to purchase those parts and pieces absolutely required to keep the fleet operational – not a full one-fifth replacement of all parts. Docking station and other installation component parts will be purchased on an as needed basis. Necessary upgrades will take place to the primary and backup telephone switching systems to keep the systems up-to-date and in a sustainable operational condition.

Revenues

There are four main revenue categories in the E-911 Fund: Communications Sales and Use Tax, State Wireless E-911 Revenue, Interest Income and Other Revenue (which reflects annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz re-banding initiative). Combined with the General Fund Transfer from the County, these revenues support the expenditure requirements of the E-911 Fund.

The Communication Sales and Use Tax is a landline E-911 tax of \$0.75 per landline appears as a line item on customers' telephone bills. Revenues from this source are collected and remitted monthly by communications services providers into a statewide fund, known as the Communications Sales and Use Tax Trust Fund. Revenue received into the fund is distributed to localities based on their share of the total local revenues received in FY 2006.

The Wireless E-911 Revenue category is derived from a monthly \$0.75 surcharge on all wireless/cellular telephones and is distributed to localities as part of the Wireless E-911 State Reimbursement. It should be noted that the Commonwealth has transferred approximately \$8 million from the Wireless E-911 fund to support non 9-1-1 matters in other state agencies.

Overall, the FY 2014 revenue estimate for Fund 40090, E-911 is \$21.458 million, reflecting a decrease of \$0.293 million from the FY 2013 Adopted Budget Plan total. The decrease is due primarily to lower projections for Communications Sales and Use Tax Fees based on actual receipts.

General Fund Transfer

The FY 2014 budget for Fund 40090, E-911 requires a General Fund Transfer of \$17.052 million, an increase of \$1.795 million or 11.76 percent over the FY 2013 Adopted Budget Plan. The increase is due primarily to lower than anticipated Communication Sales and Use Tax revenues, lower available balances, and to cover the full year impact of FY 2013 compensation adjustments and FY 2014 longevity increases for uniformed employees.

Fund 40090 E-911

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$20,197,463	\$22,359,151	\$22,359,151	\$21,654,064
Operating Expenses	12,031,674	12,143,277	13,516,762	12,374,253
Capital Equipment	0	0	7,997	0
IT Projects	5,630,507	4,629,000	13,302,306	4,629,000
Total Expenditures	\$37,859,644	\$39,131,428	\$49,186,216	\$38,657,317
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	205 / 205	205 / 205	205 / 205	205 / 205

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$294,913**
 An increase of \$2,188 in Personnel Services in FY 2014 reflects longevity increases for uniformed employees. In addition, there is an increase of \$292,725 in Personnel Services to reflect the full year impact of the FY 2013 compensation adjustments, including \$253,805 for merit and longevity increases for uniformed employees and \$38,920 for the 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees.
- ◆ **Personnel Services Adjustment** **(\$1,000,000)**
 A decrease of \$1,000,000 in Personnel Services is included based on previous year spending patterns that indicated that this level of reduction can be managed. This reduction was used in conjunction with an increase in the General Fund Transfer to cover an anticipated shortfall in the E-911 fund, resulting primarily from lower than anticipated Communication Use and Sales Tax revenues and lower available balances.
- ◆ **Operating Adjustments** **\$230,976**
 A net increase \$230,976 in Operating Expenses includes \$126,000 to cover anticipated increases in the cost of Computer Aided Dispatch (CAD) contract support as it is up for renewal, an amount of \$54,976 to cover anticipated increases in CAD server annual maintenance warranty coverage and an increase of \$50,000 to annualize the additional funding for MPSTOC operations approved as part of the FY 2012 Carryover Review.
- ◆ **IT Projects** **\$4,629,000**
 Funding of \$4,629,000 has been included IT Projects. Of this total, \$1,200,000 is included for the third year of a five-year replacement cycle for the Mobile Computer Terminals. Additionally, funding of \$147,924 is included to complete the County's investment in upgrading the Public Safety Radio System to a newer technology platform. The remaining \$3,281,076 supports year one of a six-year plan to replace the existing fleet of mobile and portable subscriber radios in public safety agencies.

Fund 40090 E-911

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments** **\$10,054,788**

As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered funding of \$994,045 to fund Operating Expenses for the Department of Public Safety Communications (DPSC). In addition, an amount of \$8,775,743 was included for the carryover of Information Technology (IT) project and associated IT Operating balances. The Board of Supervisors also approved unencumbered funding of \$235,000 for expenses associated with updated operating software for 600 mobile computer terminals and the first step of a phased replacement of the agency PCs. In addition, funding of \$50,000 was included to support additional budget requirements for MPSTOC operations.

Cost Centers

Department of Public Safety Communications¹

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$32,229,137	\$34,502,428	\$35,883,910	\$34,028,317
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	205 / 205	205 / 205	205 / 205	205 / 205

¹ It should be noted that the Cost Center table does not include IT Projects-related funding. In FY 2014, this totals an amount of \$4,629,000.

1 Director	2 Business Analysts IV	1 Human Resources Generalist III
2 Assistant Directors	1 Info. Tech. Program Manager I	1 Human Resources Generalist I
5 PSC Squad Supervisors	1 Management Analyst III	1 Geog. Info. Spatial Analyst III
20 PSC Asst. Squad Supervisors	2 Management Analysts II	1 Geog. Info. Spatial Analyst I
157 PSCs III	1 Financial Specialist III	1 Administrative Assistant V
1 Programmer Analyst III	1 Financial Specialist II	1 Administrative Assistant IV
1 PSTOC General Manager	1 Financial Specialist I	3 Administrative Assistants III
TOTAL POSITIONS		
205 Positions / 205.0 FTE		

Fund 40090

E-911

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
E-911				
Percent 9-1-1 calls arriving at DPSC answered within 20 seconds	89%	91%	95% / 93%	95%
Percent 9-1-1 calls arriving at DPSC answered within 10 seconds	85%	87%	90% / 91%	90%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40090.pdf

Performance Measurement Results

In FY 2012, with a 91 percent rate DPSC met the National Emergency Number Association (NENA) standard of 90 percent of 9-1-1 calls answered within 10 seconds. However, a 93 percent rate did not meet the NENA standard of 95 percent of 9-1-1 calls answered within 20 seconds. While the agency did not meet both of its objective targets, it improved its performance in both objectives from FY 2011. The agency did not meet one of its objectives due to the persistent level of vacant staff positions experienced in FY 2012. The Department of Public Safety Communications (DPSC) anticipates making progress in meeting the NENA standard in FY 2013 and FY 2014 due to its continued progress in filling staff vacancies and training new public safety communicators. It should be noted that in FY 2010, DPSC revised its performance measurement objectives to reflect NENA standards, and measures and estimates have been updated accordingly.

Fund 40090 E-911

FUND STATEMENT

Fund 40090, E-911

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$14,655,780	\$2,661,747	\$12,734,688	\$556,774
Revenue:				
Communications Sales and Use Tax	\$16,433,290	\$17,293,094	\$17,293,094	\$16,800,000
State Reimbursement (Wireless E-911)	4,704,725	4,000,000	4,000,000	4,200,000
State NOVA Centerline	289,194	0	0	0
Other Revenue ¹	73,022	358,430	358,430	358,430
Interest Income	61,329	100,000	100,000	100,000
Total Revenue	\$21,561,560	\$21,751,524	\$21,751,524	\$21,458,430
Transfer In:				
General Fund (10001)	\$14,376,992	\$15,256,778	\$15,256,778	\$17,051,691
Total Transfer In	\$14,376,992	\$15,256,778	\$15,256,778	\$17,051,691
Total Available	\$50,594,332	\$39,670,049	\$49,742,990	\$39,066,895
Expenditures:				
Personnel Services	\$20,197,463	\$22,359,151	\$22,359,151	\$21,654,064
Operating Expenses	12,031,674	12,143,277	13,516,762	12,374,253
Capital Equipment	0	0	7,997	0
IT Projects	5,630,507	4,629,000	13,302,306	4,629,000
Total Expenditures	\$37,859,644	\$39,131,428	\$49,186,216	\$38,657,317
Total Disbursements	\$37,859,644	\$39,131,428	\$49,186,216	\$38,657,317
Ending Balance²	\$12,734,688	\$538,621	\$556,774	\$409,578

¹ This revenue category includes annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz rebanding initiative.

² IT projects are budgeted based on the total project costs and most projects span multiple years. Therefore, funding for IT projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 40090 E-911

2G70-056-000 & 2G70-059-000		Public Safety Communications Wireless-Radio & Mobile Computer Terminal Replacement			
Total Project Estimate	Prior Expenditures	FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan	Future Years
TBD	\$71,336,668	\$5,222,390	\$11,315,950	\$4,629,000	TBD

Projects 2G70-056-000 and 2G70-059-000 (formerly IT0001) were established in FY 1995 to replace and upgrade the County's critical Public Safety Communications Network (PSCN) and its various component systems. The network's component systems are vital for ensuring immediate and systematic response to emergencies, and replacement and enhancement is necessary to maintain performance, availability, reliability, and capacity for growth due to increases in County population and demand for public safety services. The PSCN supports emergency communications for the DPSC, Police, Fire and Rescue, and Sheriff's departments. This includes public safety call taking (E-911, Cellular E-911, non-emergency calls for service), dispatching, and all affiliated communications support for public safety agencies. Two of the major technologies utilized are a Computer Aided Dispatch (CAD) system with an integrated mobile data communications component and a wireless digital radio network for voice communications.

The CAD system is used to dispatch appropriate equipment and personnel to emergency and non-emergency events and to communicate and track up-to-date investigative, personnel and unit information in a rapidly changing public safety environment. The mobile data communications component of CAD allows the dispatch of resources with minimal voice communications, provides field units direct access to local, state, and national databases, records management systems and other business processes, and allows continuous contact with the Department of Public Safety Communications (DPSC). Project 2G70-059-000 provides funding for enhancements and upgrades to the CAD system and its mobile data communications component including hardware replacement cycles. The CAD enhancement funds and Mobile Computer Terminal (MCT) replacement cycle was established in the late 1980s to replace old systems that were technologically obsolete, severely undersized, and at the end of their effective, supportable life cycle. Upgrades ensure continued reliable operation of these critical systems, incorporates software, hardware, and user functionality advances made since the last upgrade, and allow for future migration in capability as new technologies emerge.

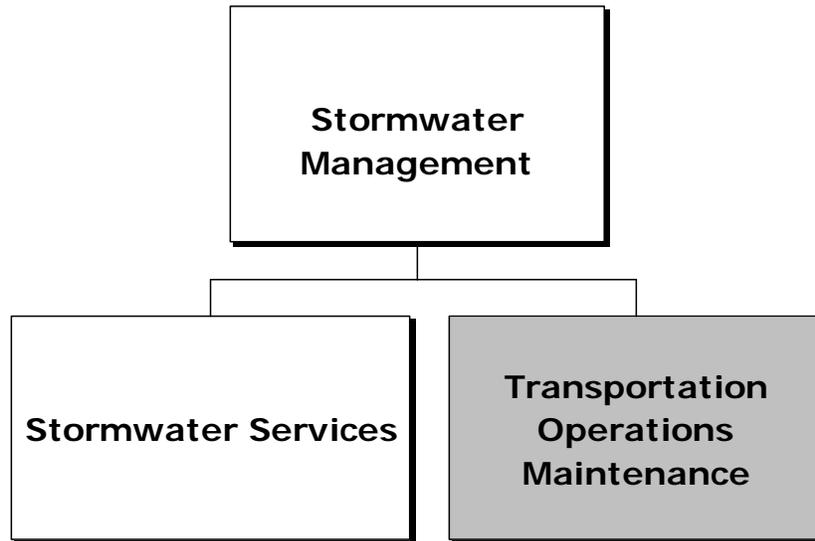
Fairfax County migrated to the new digital radio network in FY 2006 to accommodate growing public safety voice communications requirements and to remedy performance, coverage, fragmentation, and reliability problems associated with the previous system that was at the very end of its sustainable life cycle. Deficiencies in the old system severely impeded critical communications and safety in emergency situations. The new trunked wireless digital voice communications system consolidates all County public safety voice communication and is designed to address coverage, reliability, and operational limitations of the old system used by public safety agencies in the County. It provides capacity for growth and enhancement for the next 20 years. Project 2G70-056-000 provides funding in support of the wireless radio network, both in support of a new technology platform and to begin funding the replacement of the existing fleet of mobile and portable subscriber radios in public safety agencies.

FY 2014 funding of \$1,200,000 is included for the third year, third round of a five-year replacement cycle for the MCTs. Additionally, FY 2014 funding of \$147,924 will complete the County's investment in upgrading the Public Safety Radio System to a newer technology platform. This is the final installment necessary to cover project costs and the associated lease-purchase agreement for the network infrastructure. The remaining \$3,281,076 supports year one of a six-year-plan to replace the existing fleet of mobile and portable subscriber radios in public safety agencies. The FCC has mandated that public safety radios must meet the 700MHz narrowband requirement by the end of December 2016 (FY 2017). Fairfax County must comply in order to preserve regional interoperability currently in place as a result of Department of Homeland Security standards since 9-11. Given experience with FCC's past history and other current information, it is anticipated that the deadline for full compliance is likely to shift, thus a plan for a phased implementation has been developed into FY 2019 based on public safety agencies' prioritization and technical feasibility.

**Fund 40090
E-911**

2G70-056-000 & 2G70-059-000		Public Safety Communications Wireless-Radio & Mobile Computer Terminal Replacement			
Total Project Estimate	Prior Expenditures	FY 2012 Expenditures	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan	Future Years
TBD	\$71,336,668	\$5,222,390	\$11,315,950	\$4,629,000	TBD
<p>Return on Investment (ROI): The return on investment for these projects is realized by the performance, productivity, and effectiveness of public safety services in Fairfax County. Replaced and upgraded technology for these systems is critical to the safety of the public and the public safety personnel they support. Upgraded technology preserves the investments in technology that have been made and allow increased functionality, performance, and reliability to be achieved to facilitate responses to, and management of, emergencies. It mitigates the need for extraordinarily large additions of personnel that would be necessary to provide the same level of service and results without this technology. The increased access to important information, improvements to maintenance and reliability, increased capacity for growth, and enhanced functionality for users now and in the future builds upon past investments, responds to critical existing requirements, and sets the stage for the next generation of public safety communications technology.</p>					

Fund 40100 Stormwater Services



 Denotes functions that are included in both the General Fund, Agency 87, Unclassified Administrative Expenses, and Fund 40010, Stormwater Services.

Mission

To develop and maintain a comprehensive watershed and infrastructure management program to protect property, health and safety; to enhance the quality of life; to preserve and improve the environment for the benefit of the public. To plan, design, construct, operate, maintain and inspect the infrastructure, and perform environmental assessments through coordinated stormwater and maintenance programs in compliance with all government regulations utilizing innovative techniques, customer feedback and program review; and to be responsive and sensitive to the needs of the residents, customers and public partners.

Focus

Fund 40100 (formerly Fund 125), Stormwater Services, is essential to protect public safety, preserve property values and support environmental mandates such as those aimed at protecting the Chesapeake Bay and the water quality of other local jurisdictional waterways. Projects include repairs to stormwater infrastructure, measures to improve water quality such as stream stabilization, rehabilitation, safety upgrades of state regulated dams, repair and replacement of underground pipe systems, surface channels, structural flood proofing and best management practices (BMP), site retrofits and improvements. This funding also supports the implementation of watershed master plans, increased public outreach efforts, and stormwater monitoring activities as well as operational maintenance programs related to the existing storm drainage infrastructure as it pertains to stormwater conveyance and stormwater quality improvements.

As part of the FY 2010 Adopted Budget Plan, a special service district was created to support the Stormwater Management Program and provide a dedicated funding source for both operating and capital project requirements, as authorized by Va. Code Ann. Sections 15.2-2400. A stormwater service rate of \$0.15 per \$100 of assessed real estate value had been in place since FY 2011. In FY 2013, the stormwater service rate increased \$0.005 for a total of \$0.020 per \$100 of assessed real estate value. In

Fund 40100 Stormwater Services

FY 2014, the stormwater service rate will remain at \$0.020 per \$100 of assessed real estate value. The FY 2014 levy of \$0.020 will generate \$41.2 million, supporting \$17.6 million for staff and operational costs, and \$23.6 million for capital project implementation including, infrastructure reinvestment, regulatory requirements, dam safety, and contributory funding requirements.

Stormwater staff is currently evaluating future funding required to meet the increasing federal and state regulatory requirements pertaining to the Municipal Separate Storm Sewer System (MS4) Permit requirements, and State and Federal mandates associated with the Chesapeake Bay. In the next year, staff will develop a five-year rate plan and a phased approach for funding and staffing to support the anticipated regulatory increases.

Stormwater Services supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Connecting People and Places



Practicing Environmental Stewardship

Stormwater Services Operational Support

Fund 40100 funds staff salaries, fringe benefits, and operating expenses for all stormwater operations. In addition, Fund 40100 also provides funding for 23/23.0 FTE positions related to transportation operations maintenance provided by the Maintenance and Stormwater Management Division. All funding for the transportation related salary expenses and equipment is recovered from General Fund Agency 87, Department of Public Works and Environmental Services (DPWES) Unclassified Administrative Expenses, as they do not qualify for expenses related to the stormwater service district.

Fund 40100 also supports the Urban Forestry Management Division (UFMD). The UFMD was established to mitigate tree loss and maximize tree planting during land development, enforce tree conservation requirements and suppress populations of Gypsy Moth, Emerald Ash Borer and other forest pests. The division also implements programs needed to sustain the rich level of environmental, ecological and socio-economic services provided by the County's tree canopy. The UFMD is aligned with the mission of Stormwater Services as it strives to "*improve water quality and stormwater management through tree conservation.*" Tree canopy and forest soils contribute significant levels of water pollution and stormwater runoff mitigation services. Recent analysis has estimated that the County would need to invest \$1.9 billion dollars in infrastructure to match the level of stormwater management that is provided by its tree canopy during a ten-year storm event.

Stormwater Regulatory Program

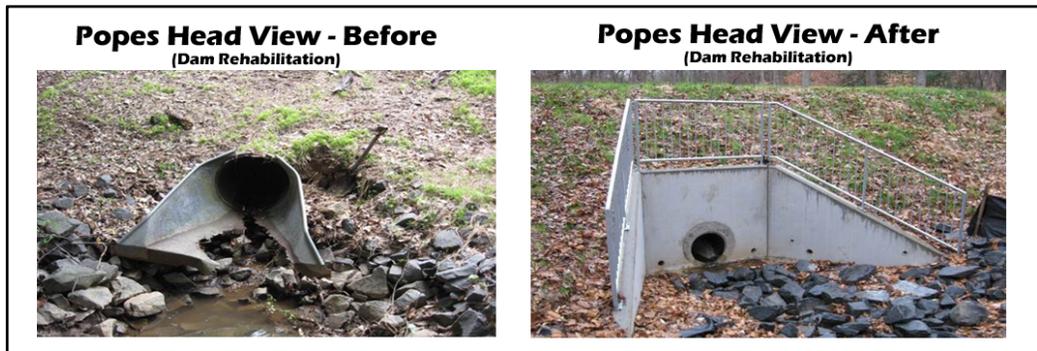
The County is required by Federal Law to operate under the conditions of a state issued Municipal Separate Storm Sewer System (MS4) Permit. The MS4 Permit allows the County to discharge stormwater from its stormwater systems into state and federal waters. The County currently owns and/or operates approximately 7,000 piped outfalls within the stormwater system that are governed by the permit. The current permit was issued in 2002 and expired in 2007, and the County has been operating under a state issued administrative extension, while the state and the EPA agree to new permit requirements. A draft permit has been prepared for the County which indicates that significant enhancements to all facets of the program will be required. In addition to the requirements outlined in the draft permit conditions, a recent EPA audit of the County's program identified the need for the County to initiate a high risk and

Fund 40100 Stormwater Services

industrial site inspection program for private properties throughout the County. This is anticipated to require a robust inspection and enforcement program to monitor stormwater discharges from all industrial facilities in the County. The permit further requires the County to better document the stormwater management facility inventory, enhance public out-reach and education efforts, increase water quality monitoring efforts, provide stormwater management and stormwater control training to all County employees, and thoroughly document all of these enhanced efforts. County staff are currently developing the procedures to implement these additional requirements. Funding in the amount of \$5.0 million is included for the Stormwater Regulatory Program in FY 2014.

Dam Safety and Facility Rehabilitation

The County currently has more than 6,000 Stormwater management facilities in service that range in size from small rain gardens to



large state regulated flood control dams, and by permit is responsible for inspecting and maintaining both County owned and privately owned facilities. This inventory increased by over 175 facilities between FY 2010 and FY 2011, and is projected to continually increase as new developments and redevelopment sites are required to install stormwater management controls. In addition, the County is required to provide a facility retrofit program to improve stormwater management controls on all existing stormwater management facilities that were developed and constructed prior to current standards being in place. This program maintains the control structures that include the dams that control and treat the water flowing through County owned facilities. This initiative also includes the removal of sediments that occur in both wet and dry stormwater management facilities to ensure that adequate capacity is maintained to treat the stormwater. The program results in approximately 25 retrofit projects annually that require redesign and construction management activities as well as contract management and maintenance responsibilities. Additionally, this initiative funds the next phase of the Kingstowne Park Dam, which failed in October 2010. Funding in the amount of \$4.0 million is included for Dam Safety and Facility Rehabilitation in FY 2014.

Fund 40100 Stormwater Services

Conveyance System Rehabilitation

The County owns and operates approximately 1,600 miles of underground stormwater pipe and paved channels with estimated replacement value of over one billion dollars. The County began performing internal

inspections of the pipes in FY 2006. The initial results showed that more than 5 percent of the pipes were in complete failure and an additional 15 percent of them

required immediate repair. Increased MS4 permit regulations apply to these 1,600 miles of existing conveyance systems and 43,000 stormwater structures. The permit requirements do not address the current backlog of operational maintenance and rehabilitation needs of the entire stormwater conveyance system infrastructure, but they are additive and significantly increase inspection, reporting and management actions related to the infrastructure system. Acceptable industry standards indicate that one dollar re-invested in infrastructure saves seven dollars in the asset's life and \$70 dollars if asset failure occurs. The goal of this program is to inspect pipes on a 10-year cycle and rehabilitate pipes and paved channels before total failure occurs. Funding in the amount of \$4.5 million is included for Conveyance System Rehabilitation in FY 2014.



Stream and Water Quality Improvements

This program funds water quality projects necessary to mitigate the impacts to local streams and the Chesapeake Bay resulting from urban stormwater runoff. This includes water quality projects such as construction of stormwater management ponds, implementation of low impact development techniques on stormwater

facilities, stream restorations, and approximately 1,700 water quality projects identified in the completed countywide Watershed Plans. In addition to the new permit requirements, the EPA, who is the



federal regulator that oversees the Federal Clean-Water Act, completed an audit of the County's current Stormwater program in June 2011. The full impact of the audit findings has not been finalized. In addition, the Chesapeake Bay Total Maximum Daily Load (TMDL) requirements are the regulatory process by which pollutants entering impaired water bodies are reduced. The Chesapeake Bay TMDL was established by the EPA and requires that MS4 communities, as well as other dischargers, significantly reduce the nitrogen, phosphorous and sediment loads entering waters draining to the Bay

Fund 40100

Stormwater Services

by 2025. Compliance with the Bay TMDL will require the County to undertake construction of new stormwater facilities, retrofit existing facilities and properties, and increase maintenance. Preliminary estimates indicate that the projects needed to bring the County's stormwater system into compliance with the Bay TMDL could cost between \$70 and \$90 million per year. The Bay TMDL facility retrofit requirement is additive to the current design and construction efforts associated with 1,700 Watershed Plan projects and ongoing stream and flood mitigation projects. Funding in the amount of \$8.6 million is included for Stream and Water Quality Improvements in FY 2014.

Emergency and Flood Control Program

This program supports flood control projects for unanticipated flooding events that impact storm systems and flood residential properties. The program will provide annual funding for scoping, design, and minor construction activities related to flood mitigation projects. Funding in the amount of \$0.9 million is included for the Emergency and Flood Control Program in FY 2014.

Stormwater Related Contributory Program

Contributory funds are provided to the Northern Virginia Soil and Water Conservation District (NVSWCD) and the Occoquan Watershed Monitoring Program (OWMP). The NVSWCD is an independent subdivision of the Commonwealth of Virginia that provides leadership in the conservation and protection of Fairfax County's soil and water resources. It is governed by a five-member Board of Directors, three of whom are elected every four years by the voters of Fairfax County and two who are appointed by the Virginia Soil and Water Conservation Board. Accordingly, the work of NVSWCD supports many of the environmental efforts set forth in the Board of Supervisors' Environmental Excellence 20-year Vision Plan. The goal of the NVSWCD is to continue to improve the quality of the environment and general welfare of the citizens of Fairfax County by providing them with a means of dealing with soil, water conservation and related natural resource problems. It provides County agencies with comprehensive environmental evaluations for proposed land use changes with particular attention to the properties of soils, erosion potential, drainage and the impact on the surrounding environment. NVSWCD has consistently been able to create partnerships and leverage state, federal and private resources to benefit natural resources protection in Fairfax County. FY 2014 funding of \$460,064 is included in Fund 40100 for the County contribution to the NVSWCD.

The OWMP and the Occoquan Watershed Monitoring Laboratory (OWML) were established to ensure that water quality is monitored and protected in the Occoquan Watershed. Given the many diverse uses of the land and water resources in the Occoquan Watershed (agriculture, urban residential development, commercial and industrial activity, water supply, and wastewater disposal), the OWMP plays a critical role as the unbiased interpreter of basin water quality information. FY 2014 funding of \$112,559 is included in Fund 40100 for the County contribution to the OWMP.

Fund 40100 Stormwater Services

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$11,919,049	\$14,421,771	\$16,117,075	\$16,310,837
Operating Expenses	2,022,960	2,356,435	2,506,224	2,409,495
Capital Equipment	104,845	140,432	238,355	76,000
Capital Projects	13,349,239	25,070,961	46,452,008	23,618,267
Subtotal	\$27,396,093	\$41,989,599	\$65,313,662	\$42,414,599
Less:				
Recovered Costs	(\$1,347,322)	(\$2,214,599)	(\$2,214,599)	(\$2,214,599)
Total Expenditures	\$26,048,771	\$39,775,000	\$63,099,063	\$40,200,000
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	145 / 145	171 / 171	172 / 172	172 / 172

Fund 40100 Stormwater Services

- ◆ **Operational Requirements** **\$1,744,353**

An increase of \$1,744,353 is necessary to fund requirements associated with the Stormwater Services operational budget primarily based on actual experience with both Personnel Services and Fringe Benefits. The change is not related to new positions, but it simply reflects the current salaries and hours worked on capital projects. This increase includes an amount of \$1,691,293 dedicated to Personnel Services and an amount of \$53,060 dedicated to Operating Expenses. The Stormwater Services' operating budget was held at a fairly stable level in the past several years and is in need of realignment to more closely reflect actual experience.

- ◆ **Capital Equipment** **\$76,000**

Capital Equipment funding of \$76,000 is included for requirements associated with replacement equipment that has outlived its useful life and new equipment used to carryout stormwater services activities. The equipment includes \$26,000 in replacement equipment, of which, \$16,000 is to replace two material spreaders used to support emergency response efforts; and \$10,000 is to replace two equipment hauler trailers that are essential for the use of hauling medium size equipment such as Bob-Cat skid steers and small Kubota tractors. Funding in the amount of \$50,000 is required for the purchase of new equipment, of which, \$20,000 is for one Yale fork lift with cab used in order to make the delivery of goods and supplies at multiple storage facilities more efficient; and \$30,000 is for one B3300 Kubota tractor with backhoe and bush hog attachments used to support the increasing maintenance service levels for Low Impact Development (LID) facilities.

- ◆ **Capital Projects** **\$23,618,267**

Funding in the amount of \$23,618,267 has been included in FY 2014 for priority stormwater capital projects.

- ◆ **Transfer to the General Fund for Indirect Costs**

It should be noted that \$1,000,000 is included as a transfer to the General Fund beginning in the FY 2014 Advertised Budget Plan to partially offset central support services supported by the General Fund which benefit Fund 40100, Stormwater Services. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$23,324,063**

As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$23,324,063 due to the carryover of unexpended project balances in the amount of \$23,566,722 and a net decrease to both capital projects and operating expenses of \$242,659. Capital projects were decreased \$438,539 associated with the completion of the rehabilitation of both Lake Woodglen and Lake Barton. In addition, this decrease was partially offset by an increase of \$87,614 in encumbrances, the appropriation of higher than anticipated revenues of \$74,164, and the appropriation of operational savings in FY 2012 of \$34,102.

Fund 40100 Stormwater Services

- ◆ **Position Movement** \$0
 During FY 2013, Fund 40140, Refuse Collection and Recycling Operations, transferred 1/1.0 FTE position to Fund 40100, Stormwater Services, to support additional and specialized inspections required at a growing number of Low Impact Development facilities, including facilities with bio-retention, vegetated swales and green roofs.

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Stormwater Services				
MS4 permit violations received	0	0	0 / 0	0
Percent of Emergency Action Plans current	100%	100%	100% / 100%	100%
Percent of commuter facilities available 365 days per year	100%	100%	100% / 100%	100%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/40100.pdf

Performance Measurement Results

In FY 2012, the objective to receive no MS4 permit violations related to inspection and maintenance of public and private stormwater management facilities was met. It should be noted that the current MS4 permit was issued in 2002 and expired in 2007, and the County has been operating under a state issued administrative extension, while the state and the EPA agree to new permit requirements.

The objective to update 100 percent of the emergency action plans that Stormwater is responsible to update annually was met. The emergency program cost, per population, was less than anticipated, due to a lower amount of events requiring an emergency response. The estimated dollar loss based on claims paid out was less than estimated.

Lastly, the objective to keep 100 percent of the commuter facilities operational for 365 days was met. Maintenance costs per vehicle trip were less than anticipated due to reduced snow events in FY 2012, reduction in non-routine work and contract negotiations with a new vendor. Patronage complaints were lower than estimated.

Fund 40100 Stormwater Services

FUND STATEMENT

Fund 40100, Stormwater Services

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$11,986,928	\$0	\$23,243,478	\$0
Revenue:				
Stormwater Service District Levy	\$28,869,611	\$39,775,000	\$39,775,000	\$41,200,000
Federal Emergency Management Agency FEMA Grant	\$711,145	0	80,585	0
American Recovery and Reinvestment Act (ARRA) (Woodglen Lake) ¹	15,134	0	0	0
American Recovery and Reinvestment Act (ARRA) (Lake Barton) ²	71,787	0	0	0
Miscellaneous	4,553	0	0	0
Total Revenue	\$29,672,230	\$39,775,000	\$39,855,585	\$41,200,000
Transfer In:				
Stormwater Management Fund (318) ³	\$7,633,091	\$0	\$0	\$0
Total Transfers In	\$7,633,091	\$0	\$0	\$0
Total Available	\$49,292,249	\$39,775,000	\$63,099,063	\$41,200,000
Expenditures:				
Personnel Services	\$11,919,049	\$14,421,771	\$16,117,075	\$16,310,837
Operating Expenses	2,022,960	2,356,435	2,506,224	2,409,495
Recovered Costs	(1,347,322)	(2,214,599)	(2,214,599)	(2,214,599)
Capital Equipment	104,845	140,432	238,355	76,000
Capital Projects ^{3,4}	13,349,239	25,070,961	46,452,008	23,618,267
Total Expenditures	\$26,048,771	\$39,775,000	\$63,099,063	\$40,200,000
Transfers Out:				
General Fund (10001) ⁴	\$0	\$0	\$0	\$1,000,000
Total Transfers Out	\$0	\$0	\$0	\$1,000,000
Total Disbursements	\$26,048,771	\$39,775,000	\$63,099,063	\$41,200,000
Ending Balance⁵	\$23,243,478	\$0	\$0	\$0
Tax Rate Per \$100 of Assessed Value	\$0.015	\$0.020	\$0.020	\$0.020

Fund 40100 Stormwater Services

¹ On December 7, 2009, the Board of Supervisors approved funding of up to \$2,229,971 associated with a project agreement between the Natural Resources Conservation Service (NRCS) and Fairfax County for the rehabilitation of Woodglen Lake. Funding from the NRCS was available as a result of the American Recovery and Reinvestment Act of 2009. An amount of \$1,123,834 was received and the project is complete.

² On July 13, 2010, the Board of Supervisors approved funding of up to \$3,079,789 associated with a project agreement between the Natural Resources Conservation Service (NRCS), the Northern Virginia Soil and Water Conservation District (NVSWCD) and Fairfax County for the rehabilitation of Lake Barton. Funding from the NRCS was available as a result of the American Recovery and Reinvestment Act of 2009. On September 28, 2010 an amendment to this agreement was signed, increasing the total funding to \$3,779,591. An amount of \$2,343,841 was received and the project is complete.

³ Balances in Fund 318, Stormwater Management Program have been transferred to Fund 40100, Stormwater Services, as originally anticipated at the time Fund 40100, Stormwater Services, was established. The consolidation of stormwater capital project funds in Fund 40100 will allow more efficient management of funding for stormwater projects, as well as prepare for the implementation of the Fairfax County Unified System (FOCUS).

⁴ Funding in the amount of \$1,000,000 is transferred to the General Fund to partially offset central support services supported by the General Fund which benefit Fund 40100. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

⁵ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 40100 Stormwater Services

FY 2014 Summary of Capital Projects

Fund 40100, Stormwater Services

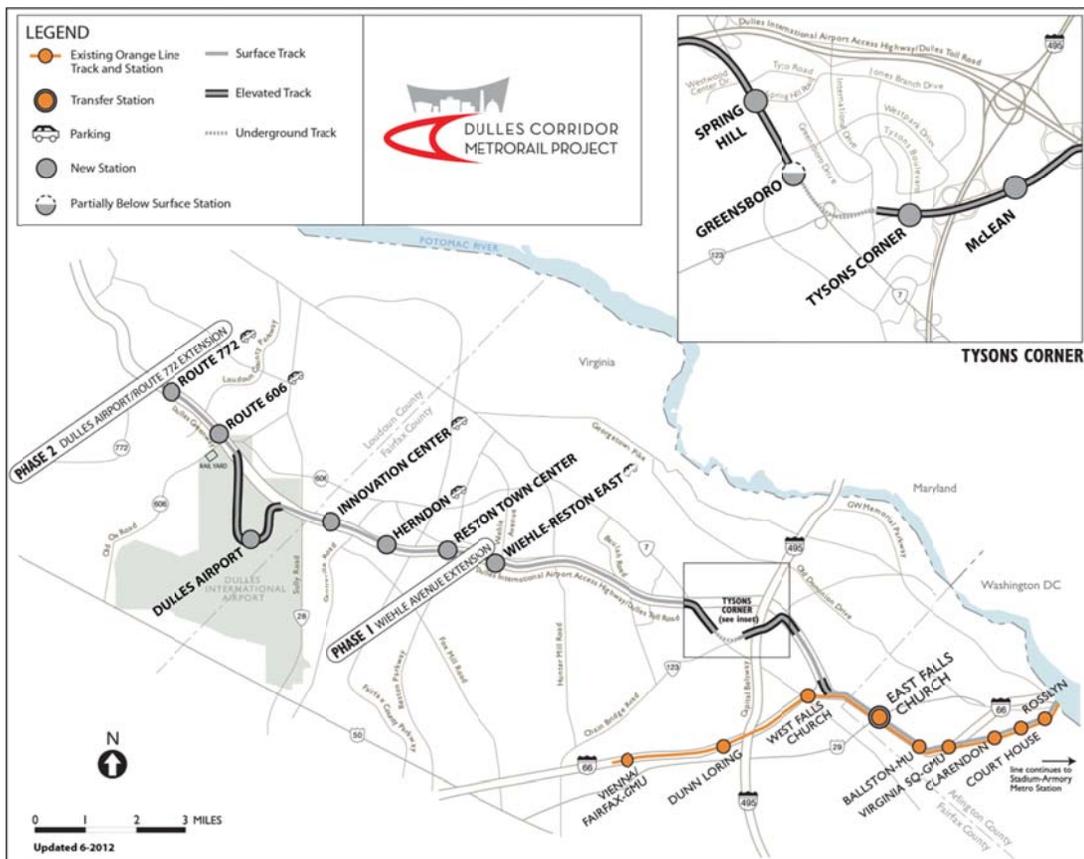
Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G25-006-000	Stormwater Regulatory Program	\$22,496,651	\$3,807,597.30	\$11,094,057.93	\$5,000,000
2G25-007-000	NVSWCD Contributory	1,772,692	429,293.00	460,064.00	460,064
2G25-008-000	Occoquan Monitoring Contributory	450,236	112,559.00	112,559.00	112,559
SD-000031	Stream & Water Quality Improvements	24,559,503	2,323,222.79	12,733,712.11	8,616,095
SD-000032	Emergency and Flood Response Projects	5,236,091	2,333,203.24	1,478,332.95	900,000
SD-000033	Dam Safety and Facility Rehabilitation	15,832,084	1,492,117.14	8,507,619.25	4,029,549
SD-000034	Conveyance System Rehabilitation	22,024,695	2,744,839.43	11,753,908.56	4,500,000
SD-000035	ARRA - Lake Barton	243,312	106,407.20	136,904.59	0
SD-000036	ARRA - Woodglen Lake	174,850	0.00	174,849.52	0
Total		\$92,790,114	\$13,349,239.10	\$46,452,007.91	\$23,618,267

Fund 40110

Dulles Rail Phase I Transportation Improvement District

Focus

Metrorail service is planned to be extended approximately 23 miles from an area east of West Falls Church station, along the median of the Dulles Connector Road (DCR) through Tysons Corner, then further out the Dulles International Airport Access Highway (DIAAH), through Dulles International Airport, to Route 772 in Loudoun County. The total cost of the Rail to Dulles Project currently is estimated to be \$5.9 billion. Due to financial constraints imposed by the federal government, the project is currently expected to be completed in two phases. The Phase I cost is approximately \$2.9 billion for the segment from the Metrorail Orange line to the Wiehle Avenue in Reston, including construction of five new stations. The names of the five stations in Fairfax County are as follows: McLean, Tysons Corner, Greensboro, Spring Hill, and Wiehle-Reston East and are noted in the below map.



The Phase I cost of \$2.9 billion is being financed by the federal government, the Commonwealth of Virginia, Fairfax County, and revenue from the Dulles Toll Road (DTR). In March 2009, the Federal Transit Administration executed a Full Funding Grant Agreement with Metropolitan Washington Airports Authority (MWAA) for \$900 million for Phase I of the project. A portion of Fairfax County’s share of Phase I, \$400 million, is being financed from the Phase I Tax District; the remaining funding for Phase I is a combination of state and DTR funds.

The total project costs are expected to be shared by the federal government, the Commonwealth, Fairfax County, Loudoun County, MWAA, and operation of the Dulles Toll Road. It should be noted that the County’s participation rate is determined on the basis of total project costs and sharing the cost of common features necessary to complete an operational line, such as soft costs, rail yards and power stations.

Fund 40110

Dulles Rail Phase I Transportation Improvement District

The primary source of revenue to support construction of the rail line is expected to be tolls from the Dulles Toll Road. Control and operation of the Dulles Toll Road was transferred to MWA on November 1, 2008. The local funding partners, Fairfax County, Loudoun County, and MWA have entered into an agreement which specifies the level of funding responsibility for each partner; the Fairfax share is approximately 16.1 percent of total costs and approximately \$467.8 million for Phase I. The Phase I Tax District will cover \$400 million of the County's total cost for Phase I. Additionally, landowners in Phase II submitted a petition to the Board of Supervisors to form a Phase II tax district which would commit \$330 million to the County's share of Phase II funding.

On January 21, 2004, a petition was filed with the Clerk to the Board of Supervisors (the Petition) by owners of commercial and industrial property (the Petitioners) asking the Board of Supervisors to create a Phase I Dulles Rail Transportation Improvement District (the Phase I District), as provided by Chapter 15 of Title 33.1 of the Code of Virginia, as amended (the Act). Fund 40110, Dulles Rail Phase I Transportation Improvement District, was formerly Fund 121. The Act is similar although not identical to the law that empowered the Board and the Board of Supervisors of Loudoun County to create the Route 28 Highway Transportation Improvement District (the Route 28 District) in both counties in 1988.

The Phase I District was approved and established by the Board of Supervisors on February 23, 2004, following a public hearing. The Phase I District is governed by a District Commission, consisting of four Board members and the Chairman of the Commonwealth Transportation Board (CTB) or his/her designee. The District Commission is advised by a District Advisory Board, composed of landowner representatives. This is the same basic governance structure used for the Route 28 Tax District.

Commercial and industrial property within the district created pursuant to the Act can be taxed to raise funds for transportation improvements in the district. Such a district can be created upon the petition of the owners of at least 51 percent, measured by land area or assessed value, of the real property located within the proposed district that is zoned or used for commercial or industrial purposes. The properties listed on the signature pages of the Petition constituted over 64 percent of such property located within the Phase I District, measured by assessed value.

Per the Code of Virginia § 33.1-435, properties zoned to permit multi-unit residential use but not yet used for that purpose and multi-unit properties primarily leased or rented to residential tenants or other occupants by an owner who is engaged in such a business are deemed to be in commercial use for purposes of the Act. No other residential properties are subject to any tax that may be levied on behalf of such a district, even if they are within the boundaries of such a district.

The boundaries, as proposed by the Petitioners, encompass most if not all of the Tysons Corner Urban Center, commercial and industrial properties near the Wiehle-Reston East Metrorail station, and the necessary Dulles Airport Access Road (DAAR) right-of-way. The proposed transportation improvements include that portion in the Phase I District of the capital improvements described as the Locally Preferred Alternative (LPA) in a resolution of the Board of Directors of the Washington Metropolitan Area Transit Authority (WMATA), adopted on November 21, 2002.

Fund 40110

Dulles Rail Phase I Transportation Improvement District

The Petitioners will contribute up to \$400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. Included in the proposal is a provision for full coverage of the long-term financing costs for the County's net share of construction costs. At the maximum contribution, under the current plan, the total expected cost including interest costs over the life of the district to be provided by the tax on behalf of the Phase I District is approximately \$882.5 million. As of December 2012, funds from the tax district are expected to fund 86 percent the County's expected share of Phase I costs. Funding requirements in excess of the amount to be provided by the District are expected to be funded by other available revenue sources.

The plan as set forth in the Petition contains specific provisions regarding timing, tax rates, total costs, and percentage of costs to be paid with Phase I District revenue. The plan contemplates the establishment of a Revenue Stabilization Fund (RSF) with early collection of taxes commencing in FY 2005 to build reasonable reserves to help maintain the rate parameters in view of the cyclic pattern of changes in assessed value from year to year. It is anticipated that the RSF and perhaps other rate or coverage covenants will be required by rating agencies to achieve an investment grade rating on bonds issued that are supported by Phase I District tax revenues.

Under the terms of the petition, before any Phase I District revenues are committed the tax rate is capped at 22 cents per \$100 of assessed value, and taxes collected accumulate in the RSF. If a federal Full Funding Grant Agreement (FFGA) for Phase I is not executed, then the owners of 51 percent of the commercial and industrial property within the Phase I District may petition for its dissolution, and individual property owners can ask for the return of taxes previously paid and accumulated in the RSF. The Federal Transit Administration (FTA) received the Full Funding Grant Agreement application on October 22, 2008 and approved it and forwarded it to the Secretary of the United States Department of Transportation and the Office of Management and Budget on December 19, 2008 for their approval. Secretary Peters, after reviewing the FFGA application with OMB, approved the FFGA on January 7, 2009, and forwarded it to Congress for their approval. The FFGA between the FTA and the MWAA was executed on March 10, 2009.

Before committing Phase I District tax revenues, the District Commission must determine that the District's actual share of the financing will not exceed \$400 million of construction funds for Phase I costs, and that a tax rate of no more than 29 cents per \$100 of assessed value will be sufficient to meet the Phase I District's obligations at an assumed rate of growth in assessed value of 1.5 percent. If at the time the District Commission expects either of those parameters to be exceeded, then they must seek approval from the owners of 51 percent of the commercial or industrial property within the Phase I District before proceeding to commit the revenues.

However, once Phase I District revenues have been committed, allowing the financing to be put into place for the sale of bonds supported by those revenues, there is no "hard" cap on the Phase I District tax rate other than the statutory cap of 40 cents per \$100 of assessed value. Thus there would be full latitude to set the tax rate up to the statutory maximum, if necessary, to meet the obligations of the Phase I District, e.g., if necessary to meet debt service requirements in the event assessed value growth rates cannot be sustained at 1.5 percent or greater.

Fund 40110

Dulles Rail Phase I Transportation Improvement District

On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority (EDA), there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. On November 4, 2010, the Virginia Supreme Court affirmed the lower court ruling.

On May 26, 2011, the EDA issued the first series of Phase I EDA bonds in the amount of \$205.7 million which provided \$220.1 million (includes bond premium) for the construction of the Phase I project. On October 10, 2012, the second and final Phase I EDA bond issue was issued in the amount of \$42.4 million which provided \$48.4 million (includes bond premium) for the construction of the Phase I project. These two issues together with an estimated \$131.5 million in total equity contribution from taxes collected will fully fund the County's obligation of providing \$400 million for Phase I of the project.

As of January 2013, construction for Phase I was 87 percent complete. MWAA expects substantial completion of construction of Phase I to occur in the Summer of 2013, and WMATA to begin revenue service in December 2013. Additional construction activities provided by DCMP are as follows:

- ◆ All five stations are well along in their construction and near completion.
- ◆ Construction of pedestrian bridges which provide access to the stations across some of the region's busiest roads – Route 123, Route 7 and the Dulles International Airport Access Highway/Dulles Toll Road– will be completed in January-February 2013 with installations at the Spring Hill Station on Route 7 and at the Tysons Corner Station on Route 123 at Tysons Boulevard.
- ◆ Testing of the line started in late 2012 with the running of a clearance car, a specifically outfitted vehicle with feelers extending all around it, to mirror the area that a Metrorail car would be expected to use during normal revenue operations and check for obstructions that may be in its path.
- ◆ Track work is almost complete and work continues at the tie-in with the existing Orange Line near I-66 and the Dulles Connector Road.

On April 10, 2012 the Fairfax County Board of Supervisors confirmed the County's participation in Phase II which has a projected cost estimate of \$2.8 billion. For additional cost and project information about the Dulles Rail project Phase II, please see Fund 40120, Dulles Rail Phase II Transportation Improvement District, contained in Volume 2, Capital Construction and Other Operating Funds.

Fund 40110

Dulles Rail Phase I Transportation Improvement District

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Debt Service Adjustments** **\$280,080**
An increase of \$280,080 or 1.6 percent from the FY 2013 Adopted Budget Plan amount of \$17,066,583 due to adjustments necessary to accommodate higher than estimated debt service payments.

- ◆ **Construction Payments** **(\$35,000,000)**
A decrease of \$35,000,000 from the FY 2013 Adopted Budget Plan based on actual construction payment schedules to MWAA.

- ◆ **District Expenses** **\$100,000**
An increase of \$100,000 from the FY 2013 Adopted Budget Plan for estimated District Expenses.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$17,315,499**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved increased expenditures of \$17,315,499 in Operating Expenses, to allow for construction payments to MWAA.

Fund 40110

Dulles Rail Phase I Transportation Improvement District

FUND STATEMENT

Fund 40110, Dulles Rail Phase I Transportation Improvement District

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$65,391,666	\$43,613,276	\$74,087,495	\$29,122,140
Revenue:				
Real Estate Taxes-Current	\$22,645,531	\$24,221,727	\$24,221,727	\$24,006,837
Interest on Investments	418,629	195,000	195,000	0
Total Revenue	\$23,064,160	\$24,416,727	\$24,416,727	\$24,006,837
Total Available	\$88,455,826	\$68,030,003	\$98,504,222	\$53,128,977
Expenditures:				
Debt Service	\$14,368,331	\$17,066,583	\$17,066,583	\$17,346,663
Construction Payments	0	35,000,000	52,315,499	0
District Expenses	0	0	0	100,000
Total Expenditures	\$14,368,331	\$52,066,583	\$69,382,082	\$17,446,663
Total Disbursements	\$14,368,331	\$52,066,583	\$69,382,082	\$17,446,663
Ending Balance ¹	\$74,087,495	\$15,963,420	\$29,122,140	\$35,682,314
Tax rate per \$100 Assessed Value	\$0.22	\$0.22	\$0.22	\$0.22

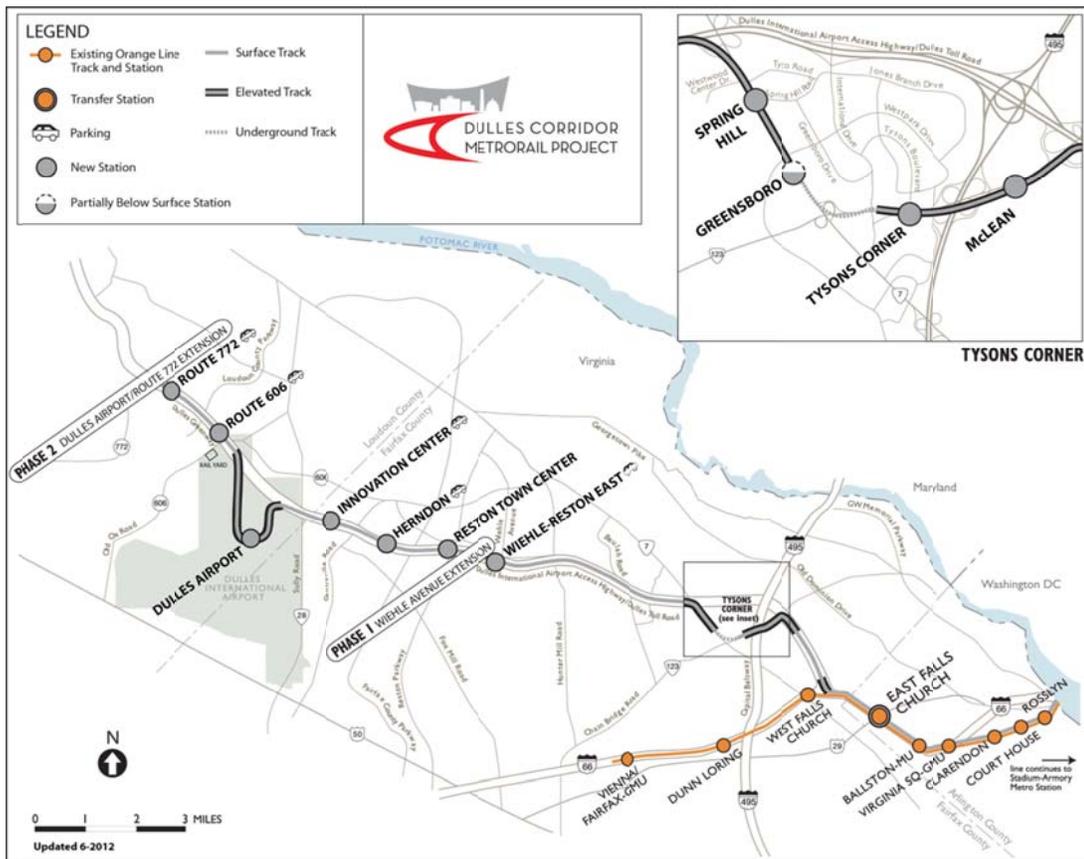
¹ The ending balance has been fluctuating based on cash funding of construction due to delays in the sale of bonds necessitated by legal challenges. Legal challenges to the sale of the bonds were resolved in early 2011 and a total of \$205.705 million in bond were sold in May 2011. The second and final bond sale for the Phase 1 Tax District of \$42.39 million were sold in September 2012. The decrease in ending balance is also a result of the increased construction activity occurring and MWAA payments invoiced to the County. The ending balance includes the Residual Fund, the Debt Service Reserve Fund, and the Revenue Stabilization Fund.

Fund 40120

Dulles Rail Phase II Transportation Improvement District

Focus

The purpose of Dulles Metrorail is to provide high-quality, high-capacity transit service in the Dulles Corridor. New Metrorail service in the corridor will result in travel time savings between the corridor and downtown D.C., expand the reach of the existing regional rail system, offer a viable alternative to automobile travel and support future transit-oriented development along the corridor. The Phase II cost is approximately \$2.7 billion for the segment from the Wiehle-Reston East Metrorail Station to Route 772 in Loudoun County, and includes the construction of three new stations in Fairfax County. These stations are as follows and noted in the map below: Reston Town Center, Herndon, and Innovation Center.



On October 9, 2009 a petition (the "Petition") was filed with the Clerk to the Board of Supervisors to create the Phase II Dulles Rail Transportation Improvement District (the "Phase II District"). As required by Code of Virginia Ann. § 33.1-431, the Petition was signed by owners of more than 51 percent of the commercial and industrial property within the proposed Phase II District, measured by assessed value, that would be subject to a special tax pursuant to Code of Virginia Ann. § 33.1-435 (a "District Tax"). Pursuant to that statute, following a public hearing on December 7, 2009, the Board created the Phase II District on December 21, 2009. It should be noted that on November 10, 2009, the Town of Herndon approved the creation of the Phase II District. Fund 40120, Dulles Rail Phase II Transportation Improvement District, was formerly Fund 122.

Phase II of the Dulles Metrorail project (the "Project") will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie

Fund 40120

Dulles Rail Phase II Transportation Improvement District

near the Project on either side of the right-of-way of the Dulles Airport Access and Toll Road (“DTR”) within Fairfax County, will be taxed to help Fairfax County fund the County’s 16.1 percent share of the Project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax rate of \$0.05 per hundred dollars of assessed value was adopted for FY 2011 for commercial and industrial properties within the Phase II District, and a tax rate of \$0.10 per hundred dollars of assessed value was adopted for FY 2012 for commercial and industrial properties within the Phase II District. For FY 2013, this tax rate increased to \$0.15 per hundred dollars of assessed value. Per the petition, the tax rate in FY 2014 will increase to \$0.20 per hundred dollars of assessed value and remain at this rate until full revenue operations commence on Phase II, which is expected in late 2017 / early 2018. At that time, the rate may be set at the level necessary to support the District’s debt obligations. For planning purposes the Phase II District may not enter into a financing agreement unless it is reasonably believed that it can be accomplished within the maximum rate established by the Petition of \$0.25 per hundred dollars of assessed value.

The original funding plan was that the federal government, through grants from the Federal Transit Administration (FTA), would pay 50 percent of the entire Metrorail Project cost (i.e., both Phases I and II), the Commonwealth would pay 25 percent using DTR revenues, and local governments would pay 25 percent. That plan was based on an early cost estimate made a number of years ago and prior to preliminary engineering and environmental studies that resulted in an improved estimate for the total project cost.

However, the Full Funding Agreement later entered into with the federal government provides for a federal share for Phase I only (Interstate 66 to Wiehle Avenue) and caps that contribution at \$900 million, which necessarily changes the percentages for the partners’ shares. At this time, no federal funds have been committed to Phase II. The current absence of federal funds for Phase II has resulted in the DTR taking over the share of Phase II costs that the original plan had “assigned” to the federal government.

No funds may be expended until certain other conditions are met. Among these conditions is completion of the preliminary design and cost estimate for Phase II, acceptable to the Board of Supervisors, was completed in 2012. Other key conditions include: 1) appropriate commitments from all sources contributing to Phase II are in place to assure completion of the Phase II Transportation Improvements; 2) the Phase II District’s share of the aggregate capital cost does not exceed \$330,000,000; 3) the County’s share of aggregate costs remain reasonably consistent with currently anticipated contributions; and 4) there is no “Supplemental Tax” on the commercial and industrial real estate within the Phase II District that exceeds \$0.11 per \$100 of assessed value unless a credit or other benefit is extended substantially equivalent to the Supplemental Tax.

As a result of increases in estimated project costs and the lack of a federal funding commitment for Phase II, the original funding plan was revised. The current funding structure for the full project including both Phase I and Phase II is as follows:

- ◆ Fairfax County (16.1 percent), Loudoun County (4.8 percent) and the Airports Authority (4.1 percent) contribution is 25 percent.
- ◆ Federal contribution is 17.1 percent, which is based upon a fixed FTA grant for Phase I of \$900 million.
- ◆ The Commonwealth contribution is 5.2 percent, which is based upon a fixed contribution of \$275 million consisting of non-toll road funding.
- ◆ The DTR contribution provides the remaining amount, and is 52.7 percent.

Fund 40120

Dulles Rail Phase II Transportation Improvement District

In late 2011, the County, in addition to the other local funding partners, approved the Memorandum of Agreement (MOA) to proceed with Phase II of the Project. The MOA provides for the following major points of agreement:

- ◆ MWAA agrees that the Airport station will be an aerial station.
- ◆ The Commonwealth agrees to seek \$150 million from the General Assembly to be used to reduce the burden on DTR users.
- ◆ USDOT agrees to provide up to a \$30 million credit subsidy for TIFIA loans to be made to Fairfax, Loudoun, and MWAA. Fairfax and Loudoun may apply for the maximum amount of TIFIA credit assistance for which each will qualify based on their share of the total cost of the Project, and MWAA will apply for the balance available.
- ◆ Fairfax and Loudoun agree to use their best efforts individually to find third party funding for the 5 garages (3 in Loudoun and 2 in Fairfax) and the Route 28 station (Fairfax), but if and to the extent they are unable to do so, then whatever portion is not funded by third party revenues will be shared as currently provided by the Funding Agreement.
- ◆ Other Phase II cost savings opportunities will be implemented, including a reduction in the size of the Metrorail yard and shop facilities at the Airport, for additional cumulative net Project cost savings of \$125 million as estimated by USDOT, 75 percent of which (\$94 million) would be cost savings for DTR users.
- ◆ A reallocation of estimated third party funding credits from what would have resulted from the Funding Agreement is expected to produce additional cost savings for DTR users of as much as \$242 million.
- ◆ WMATA agrees to cooperate with Fairfax to make such amendments in agreements between the two parties as shall be necessary to permit parking revenues from the two garages included in the Fairfax Facilities to be used to pay for the cost of constructing the garages, if Fairfax deems it appropriate to use such parking revenues for that purpose.
- ◆ Virginia, Fairfax, Loudoun, WMATA, and MWAA agree to form a Coordinating Committee composed of their respective chief executive officers (including Fairfax's County Executive) to implement the MOA and to regularly monitor progress in planning, designing, and constructing Phase II.
- ◆ Virginia and MWAA agree that they have reached a separate agreement on a Project Labor Agreement for Phase II that will be consistent with Federal statutory and regulatory requirements and Virginia law.
- ◆ The MOA explicitly recognizes that nothing in it required Fairfax to pay or will result in Fairfax paying more than 16.1 percent of the total Project cost as previously agreed in the Funding Agreement.
- ◆ There will be continuing FTA oversight of the Project.

Project updates based on 100 percent of Preliminary Engineering in March 2012 note the cost for Phase II is projected at \$2.7 billion. In May 2013, MWAA is expected to award the Design-Build contract for Phase II. The completion of construction for Phase II is anticipated for late 2017 / early 2018.

On April 10, 2012 the Fairfax County Board of Supervisors confirmed the County's participation in Phase II of the Project. As part of the financial deal, Fairfax County agreed to make its best efforts to pay for building the Route 28 Station, along with the parking garage at this station and at Herndon Monroe, outside of the Project. The county is looking at several options that include using a public-private partnership, developer contributions, parking revenue, and/or federal or state grants. If the county is not

Fund 40120

Dulles Rail Phase II Transportation Improvement District

successful in funding the two garages and station outside of the project, Fairfax will only pay for 16.1 percent of the cost for these facilities. On July 3, 2012 Loudoun County voted to confirm their participation in Phase II.

The total County 16.1 percent share of the Project is estimated to be approximately \$900 million. The County's two tax districts will contribute \$400 million from the Phase I tax district and \$330 million from the Phase II tax district. The County will examine all funding options available for the \$170 million balance due to the project.

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ FY 2014 funding remains at the same level as the FY 2013 Adopted Budget Plan.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this fund since approval of the FY 2013 Adopted Budget Plan.

Fund 40120

Dulles Rail Phase II Transportation Improvement District

FUND STATEMENT

Fund 40120, Dulles Rail Phase II Transportation Improvement District

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$3,014,931	\$9,234,251	\$9,756,139	\$20,318,311
Revenue:				
Real Estate Taxes	\$6,725,578	\$11,049,068	\$11,049,068	\$14,470,344
Interest on Investments	21,495	13,104	13,104	14,634
Total Revenue	\$6,747,073	\$11,062,172	\$11,062,172	\$14,484,978
Total Available	\$9,762,004	\$20,296,423	\$20,818,311	\$34,803,289
Expenditures:				
Operating Expenses	\$5,865	\$500,000	\$500,000	\$500,000
Total Expenditures	\$5,865	\$500,000	\$500,000	\$500,000
Total Disbursements	\$5,865	\$500,000	\$500,000	\$500,000
Ending Balance¹	\$9,756,139	\$19,796,423	\$20,318,311	\$34,303,289
Tax rate per \$100 Assessed Value²	\$0.10	\$0.15	\$0.15	\$0.20

¹ The ending balance will be accumulating in anticipation of the sale of bonds to fund the district's share of the project.

² Per the Petition the annual tax rate will increase 5 cents per year, which is reflected by the increase from \$0.15 in FY 2013 to \$0.20 in FY 2014. The rate will be held at \$0.20 until full revenue operations commence on Phase II, which is expected in late 2017 / early 2018.

Fund 40180

Tysons Service District

Focus

On June 22, 2010, the Board of Supervisors adopted an amendment to the Comprehensive Plan for Tysons. This action was the culmination of a multi-year effort that created a new vision for Tysons as the walkable, transit-oriented downtown for Fairfax County. This vision reflected the status of Tysons as the County's urban center and the powerful economic engine that Tysons brings to both the County and to the Commonwealth.

At its meeting on March 29, 2011, the Board of Supervisors requested that the Planning Commission, working with staff, develop an inclusive process to address, among other things financing the infrastructure in Tysons. In response, the Planning Commission reconstituted its Tysons Committee ("the Committee"). The Committee adopted an inclusive process, which included 24 meetings over a period of seventeen months. During its deliberations, the Committee sought information and input from all stakeholders. Based upon this input, the Committee developed recommendations, which were then approved by the Planning Commission on September 20, 2012. On October 16, 2012, the Board heard public comment on the Planning Commission's recommendations. At the conclusion of the comments, among other motions, the Board endorsed the Planning Commission's funding plan and directed staff to come forward with an item for authorization of a public hearing on the proposed Tysons Service District, and on October 30, 2012, the Board authorized the advertisement of the public hearing for December 4, 2012. The Board then voted to establish the District on January 8, 2013.

The funding plan is a multi-faceted approach to funding transportation infrastructure in Tysons. Funding sources are proposed for each of the four major components of the infrastructure: the grid of streets is proposed to be funded primarily by in-kind and per square foot/per unit road fund contributions from developers/landowners; neighborhood and access improvements and transit are proposed to be funded primarily from public sources; and, the Tysons-wide Road improvements are proposed to be funded primarily by public sources for the projects outside of the boundaries of Tysons and by developer/landowner sources for the improvements inside of Tysons. This fourth category is projected to cost approximately \$506 million in 2012 dollars. Funding for that component is proposed to come from two sources in equal amounts: \$253 million (50%) from per square foot/per unit road fund contributions from developers/landowners and \$253 million (50%) from a Tysons Service District.

The Service District would have a boundary generally consistent with the Tysons Corner Urban Center, as defined in the Comprehensive Plan. Most of the Tysons-wide Road improvements are contained within the proposed boundary and the improvements therein would benefit the entire community within Tysons. The Planning Commission also recommended that a Tysons Service District Advisory Board (Advisory Board) be created to aid the Board in developing recommendations related to the annual tax rates for the potential service district. All Advisory Board members will be appointed by the Board of Supervisors.

The Service District would fund projects that benefit all of the residential and non-residential landowners within Tysons. Initial projects are anticipated to be those projects that would provide the most benefit to the most properties. The Planning Commission also made a recommendation that the County conduct a review concerning the status of the initial projects, yearly tax rates, future increments of projects and their timing.

Fund 40180 Tysons Service District

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ There are no expenditures currently required in this fund.

Fund 40180 Tysons Service District

FUND STATEMENT

Fund 40180, Tysons Service District

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$0	\$0	\$0	\$0
Revenue:				
Real Estate Taxes-Current ¹	\$0	\$0	\$0	\$5,067,472
Interest on Investments	0	0	0	0
Total Revenue	\$0	\$0	\$0	\$5,067,472
Total Available	\$0	\$0	\$0	\$5,067,472
Expenditures:				
Debt Service	\$0	\$0	\$0	\$0
Construction Payments	0	0	0	0
District Expenses	0	0	0	0
Total Expenditures	\$0	\$0	\$0	\$0
Total Disbursements	\$0	\$0	\$0	\$0
Ending Balance ²	\$0	\$0	\$0	\$5,067,472
Tax rate per \$100 Assessed Value	-	-	-	\$0.09

¹ Estimate based on January 1, 2013 assessed values at the Advertised tax rate of \$0.09 per \$100 of assessed value. Revenues reflect one half year collection of taxes based on the creation of the district on January 8, 2013. The Board of Supervisors will consider this rate as part of the FY 2014 Budget process.

² The ending balance will be accumulating in anticipation of the sale of bonds to fund \$253 million toward the districts share of transportation infrastructure improvements in Tysons.

Fund 50000

Federal-State Grant Fund

Mission

To provide reserves for unanticipated and anticipated grants awarded to Fairfax County from federal, state, and other funding sources. The reserves enable Fairfax County to accept grant funding to enhance services provided to the residents of Fairfax County.

Focus

In order to provide a comprehensive summary of grant awards to be received by the County in FY 2014, awards *already received* and awards *anticipated to be received* by the County for FY 2014 are included in the Fund 50000 (formerly Fund 102), Federal-State Grant Fund budget. The total FY 2014 appropriation within Fund 50000, Federal-State Grant Fund is \$90,980,204, an increase of \$4,168,236, or 4.8 percent, over the FY 2013 Adopted Budget Plan total of \$86,811,968.



In order to secure grant funding, the grantor often requires that a certain percentage of funds be matched from local funding sources. In FY 2014, the General Fund commitment for Local Cash Match totals \$5,057,965, an increase of \$430,236, or 9.3 percent, over the total FY 2013 anticipated need for Local Cash Match of \$4,627,729.

Prior appropriation of the anticipated grants will allow for grants to be handled in an expeditious manner when actual awards are received. As specific grants are awarded and approved, a supplemental appropriation of the required funds is made to the specific agency or agencies administering a grant. This increase in a specific agency grant appropriation is offset by a concurrent decrease in the grant reserve. The list of anticipated grants to be received in FY 2014 was developed based on prior awards and the most recent information available concerning future awards. A detailed list of grant programs by agency, including a description of the grant programs funded, the number of positions supported, the residents served, and the funding sources (federal/state grant funds, General Fund support, and other support) is included. In addition, an amount of \$5,075,000 is included as part of the reserve to allow for grant awards that cannot be anticipated.

The current County policy for grant application and award is based on certain pre-established criteria. If a grant is \$100,000 or less, with a required Local Cash Match of \$25,000 or less, with no significant policy implications, and if the grantor does not require Board of Supervisors' approval, the agency can work directly with the Department of Management and Budget to receive the award and reallocate funding from the anticipated/unanticipated reserve directly to the agency. Please note it is anticipated that this policy will be reviewed and updated based on the new requirements associated with FOCUS. If an award exceeds these limitations but was listed in the Anticipated Grant Awards table in the Adopted Budget for the current fiscal year, the Board of Supervisors' approval is not required unless the actual funding received differs significantly from the projected funding listed in the budget. For any grant that does not meet all of the specified criteria, the agency must obtain Board of Supervisors' approval in order to apply for or accept the grant award.

Funding in Reserve within Fund 50000

An amount of \$90,980,204 is included in FY 2014 as a reserve for grant awards. Grant awards are principally funded by two general sources – federal/state grant funding and Local Cash Match. The FY 2014 reserves for each of these sources are estimated for anticipated grant awards and for

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unanticipated grant awards. The Reserve for Grant Funding and the Reserve for Local Cash Match are shown on the fund statement as both estimated revenue and estimated expenditures.

In FY 2014, the Reserve for Grant funding is \$85,922,239, including the Reserve for Anticipated Grant Funding of \$80,922,239 and the Reserve for Unanticipated Grant Funding of \$5,000,000. This reflects an increase of \$3,738,000, or 4.5 percent, over the FY 2013 Adopted Budget Plan Reserve for Grant Funding of \$82,184,239. The increase is primarily attributable to increases in estimated funding for grants in the Department of Housing and Community Development, Department of Family Services, Office to Prevent and End Homelessness, Police Department, Fire and Rescue Department and the Office of Emergency Preparedness.

In FY 2014, the Reserve for Local Cash Match is \$5,057,965 including the Reserve for Anticipated Local Cash Match of \$4,982,965 and the Reserve for Unanticipated Local Cash Match of \$75,000. This reflects an increase of \$430,236, or 9.3 percent, over the FY 2013 Adopted Budget Plan Reserve for Local Cash Match of \$4,627,729. This increase in Local Cash Match requirements is due an increase in requirements for the Office to Prevent and End Homelessness, Juvenile and Domestic Relations District Court, and the Fire and Rescue Department, offset by a decrease in requirements for the Department of Transportation, Department of Family Services, and Police Department.

The Reserve for Local Cash Match is a projection of the County contributions required for anticipated and unanticipated grant awards. The anticipated Local Cash Match required by agencies is as follows:

AGENCY	FY 2014 ADVERTISED LOCAL CASH MATCH
Department of Transportation	\$121,200
Department of Family Services	\$3,662,833
Office to Prevent and End Homelessness	\$970,059
Department of Neighborhood and Community Services	\$122,073
Juvenile and Domestic Relations District Court	\$11,900
Police Department	\$52,050
Fire and Rescue Department	\$42,850
Reserve for Unanticipated Grant Awards	\$75,000
Total	\$5,057,965

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The following table provides funding levels for the FY 2014 Advertised Budget Plan for the fund including the awards in the reserves for anticipated and unanticipated awards. Actual grant awards received in FY 2014 may differ from the attached list.

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Department of Housing and Community Development					
Shelter Plus Care - Merged SPC 1 (1380009)	0/0.0	\$505,922	\$0	\$505,922	\$0
Funding provided by the U.S. Department of Housing and Urban Development supports rental assistance for 29 units of permanent housing for 34 homeless persons with serious mental illness. Required in-kind support services match is provided by an existing program of Pathway Homes and the Fairfax-Falls Church Community Services Board.					
Shelter Plus Care - Merged SPC 2 (1380010)	0/0.0	\$563,933	\$0	\$563,933	\$0
Funding provided by the U.S. Department of Housing and Urban Development supports rental assistance for 32 units of permanent housing for 40 homeless persons with serious mental illness. Required in-kind support services match is provided by an existing program of Pathway Homes and the Fairfax-Falls Church Community Services Board.					
Shelter Plus Care - Merged SPC 10 (1380011)	0/0.0	\$258,058	\$0	\$258,058	\$0
Funding provided by the U.S. Department of Housing and Urban Development supports rental assistance for 15 units of permanent housing for 16 homeless persons with serious mental illness. Required in-kind support services match is provided by an existing program of Pathway Homes and the Fairfax-Falls Church Community Services Board.					
Shelter Plus Care - Merged SPC 9 (1380012)	0/0.0	\$364,938	\$0	\$364,938	\$0
Funding provided by the U.S. Department of Housing and Urban Development supports rental assistance for 21 units of permanent housing for 24 homeless persons with serious mental illness. Required in-kind support services match is provided by an existing program of Pathway Homes and the Fairfax-Falls Church Community Services Board.					
TOTAL – DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT	0/0.0	\$1,692,851	\$0	\$1,692,851	\$0
Office of Human Rights and Equity Programs					
U.S. Equal Employment Opportunity Commission Contract (1390001)	2/1.9	\$74,600	\$0	\$74,600	\$0
The U.S. Equal Employment Opportunity Commission (EEOC) program is the result of a contractual agreement reached between the Fairfax County Office of Human Rights and Equity Programs and the Federal EEOC. This agreement requires the Office of Human Rights to investigate complaints of employment discrimination in Fairfax County. Any individual who applies for employment or is employed in Fairfax County is eligible to use these services.					

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FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
HUD Fair Housing Complaints Grant (1390002)	3/3.0	\$60,000	\$0	\$60,000	\$0
The U.S. Department of Housing and Urban Development (HUD) provides funding to assist the Fairfax County Office of Human Rights and Equity Programs with its education and outreach program on fair housing and to enforce compliance (includes investigating complaints of illegal housing discrimination in Fairfax County) with the County's Fair Housing Act.					
TOTAL - OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS	5/4.9	\$134,600	\$0	\$134,600	\$0
Department of Transportation					
Marketing and Ridesharing Program (1400021)	6/6.0	\$606,000	\$121,200	\$484,800	\$0
The Virginia Department of Transportation Marketing and Ridesharing grant encourages commuters to rideshare, assists commuters in their ridesharing efforts, and promotes the use of Fairfax County bus and rail services. Any County resident or any non-County resident working in Fairfax County may use this program. A 20 percent Local Cash Match is required.					
Employer Outreach Program (1400022)	3/3.0	\$254,077	\$0	\$254,077	\$0
Funding provided by the Virginia Department of Transportation for the Employer Outreach Program is used to decrease air pollution by promoting alternative commuting modes. Transportation Demand Management programs, customized for each participant employment site, are implemented in partnership between the employer and the County.					
CMAQ Countywide Transit Stores (1400090)	0/ 0.0	\$500,000	\$0	\$500,000	\$0
Congestion Mitigation and Air Quality (CMAQ) funds are provided by the Virginia Department of Rail and Public Transportation for the countywide transit stores. The countywide transit stores provide transit information, trip planning, fare media, and ridesharing information to Fairfax County residents and visitors seeking alternatives to driving alone. These facilities encourage transit usage and reduce reliance on single occupant vehicles.					
Base Realignment and Closure Act (BRAC) (1400023)	3/3.0	\$114,267	\$0	\$114,267	\$0
Funding from the Office of Economic Adjustment, Department of Defense, will continue to be used to undertake studies of transportation, land use and public facilities impacts associated with the 2005 BRAC actions at Fort Belvoir. Funding for the required Local Cash Match of 10 percent will be met using in-kind match.					
Transportation Projects (TBD)	0/0.0	\$2,500,000	\$0	\$2,000,000	\$500,000
The Department of Transportation (DOT) receives funding for transportation projects from various sources, including the Congestion Mitigation Air Quality program, Regional Surface Transportation Program, Job Access/Reverse Commute program, and Federal Appropriations. Due to the appropriation cycle of the federal government, it is unknown specifically how much the County will receive; however, it is anticipated that the County will receive at least \$2,500,000, including \$500,000 in Local Cash Match (LCM). Please note funding in Fund 40010 (formerly Fund 124), County and Regional Transportation Projects, will be used to meet the LCM requirements. DOT will formally notify the Board prior to spending these funds.					
TOTAL - DEPARTMENT OF TRANSPORTATION	12/12.0	\$3,974,344	\$121,200	\$3,353,144	\$500,000

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FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Department of Family Services					
Sexual Assault Treatment and Prevention (1670001)	3/1.5	\$117,774	\$0	\$117,774	\$0
The Department of Criminal Justice Services provides funding through federal Victims of Crime Act (VOCA) monies to provide trauma recovery treatment for victims of sexual assault and outreach to community groups and service provider to expand their knowledge of sexual violence issues and available services within the community.					
V-Stop (1670002)	1/0.5	\$25,463	\$0	\$25,463	\$0
The Department of Criminal Justice Services provides funding through federal Violence Against Women Act (VAWA) monies to provide one part-time volunteer coordinator for the Victim Assistance Network (VAN). Volunteers are then trained to staff VAN's 24-hour hotline for sexual and domestic violence calls, facilitate domestic violence and sexual assault supports groups, provide community education and assist with office duties.					
Domestic Violence Crisis (1670003)	0/0.0	\$30,000	\$0	\$30,000	\$0
The Virginia Department of Social Services provides funding to assist victims of domestic violence and their families who are in crisis. The grant supports one apartment unit at the Women's Shelter, as well as basic necessities such as groceries and utilities.					
Office for Violence Against Women - Domestic Violence Grant (1670051)	6/5.5	\$465,758	\$0	\$465,758	\$0
The Department of Justice, Office for Violence Against Women provides funds to develop and strengthen effective responses to violence against women. This program encourages communities to treat sexual assault, domestic violence, dating violence and stalking as serious crimes by strengthening the criminal justice response to these crimes and promoting a coordinated community response. Victim safety and offender accountability are the center piece of projects funded under the program. In FY 2014 this grant is being transferred from the Department of Neighborhood and Community Services to the Department of Family Services in an effort to consolidate domestic violence services.					
Workforce Investment Act					
Fairfax County receives funding from the U.S. Department of Labor for the Workforce Investment Act (WIA) of 1998. WIA is a work-first approach to employment and training for adults, youth and dislocated workers. Funding in the following programs is anticipated.					
WIA Adult Program (1670004)	9/9.0	\$1,053,057	\$0	\$1,053,057	\$0
The WIA Adult Program focuses on meeting needs of businesses for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area, and other services for dislocated workers.					
WIA Youth Program (1670005)	8/8.0	\$1,034,066	\$0	\$1,034,066	\$0
The WIA Youth Program focuses on preparation for post-secondary educational opportunities or employment by linking academic and occupational learning. Programs include tutoring, study skills training and instruction leading to completion of secondary school, alternative school services, mentoring by adults, paid and unpaid work experience, occupational skills training, leadership development, support services and other services for disadvantaged youth ages 14 to 21.					

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FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
WIA Dislocated Worker Program (1670006)	7/7.0	\$1,539,723	\$0	\$1,539,723	\$0
The WIA Dislocated Worker Program focuses on meeting the business needs for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop Centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area and other services for dislocated workers.					
Subtotal - WIA	24/24.0	\$3,626,846	\$0	\$3,626,846	\$0
Virginia Serious and Violent Offender Re-Entry (1670007)	1/1.0	\$171,112	\$0	\$171,112	\$0
The Virginia Serious and Violent Offender Re-Entry (VASAVOR) program provides services to ex-offenders recently released from prison. Services include job skills training, education, career assessment, employment counseling and job seeking skills.					
Fairfax Bridges to Success (1670008)	2/2.0	\$229,447	\$0	\$229,447	\$0
The U.S. Department of Health and Human Services provides this funding through the Virginia Department of Social Services to facilitate successful employment and movement toward self-sufficiency for Temporary Assistance for Needy Families (TANF) participants who have disabilities.					
Inova Health System (1670010)	12/12.0	\$856,816	\$0	\$0	\$856,816
Funding under the Inova Health Systems grant covers the personnel costs of grant eligibility workers stationed at the Inova Fairfax and Inova Mount Vernon hospitals for the purposes of identifying, accepting and processing applications for financial/medical assistance of County residents who are at the time hospitalized. Inova reimburses Fairfax County for 100 percent of all personnel services costs (salary and County benefits) on a monthly basis for the positions.					
Base Realignment and Closure (1670036)	1/1.0	\$909,520	\$0	\$909,520	\$0
The Department of Labor provides this grant to assist workers who are impacted by the closure of military bases in Northern Virginia. Funding for this grant is used to pay for training expenses of eligible dislocated workers.					
Jail Pre-Release Center (1670034)	0/0.0	\$9,934	\$0	\$9,934	\$0
This grant provides training for those who are currently in prison to prepare them to enter the work force after leaving prison.					
Volunteer Income Tax Assistance (VITA) (1670012)	0/0.0	\$77,838	\$0	\$77,838	\$0
The Internal Revenue Service provides funding directly to Fairfax County to provide free tax preparation services for the underserved low-income population, which includes the elderly, disabled, limited English proficient, non-urban and Native American taxpayers.					
Virginia Community Action Partnership (1670011)	0/0.0	\$8,000	\$0	\$0	\$8,000
The Virginia Community Action Partnership (VACAP) EITC Initiative supports community groups and local coalitions throughout the Commonwealth as they provide free tax preparation services to low-income working individuals and families.					

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Educating Youth through Employment (EYE) Program (1670044)	0/0.0	\$131,668	\$0	\$131,668	\$0
The U.S. Department of Labor provides funding for a summer initiative that recruits, screens and matches youth ages 16 to 21 with professional opportunities in the private sector and other area businesses. Participants are required to attend intensive training workshops before and during their summer work experiences.					
MegaJob Fair (1670015)	0/0.0	\$15,000	\$0	\$0	\$15,000
This funding from the Skill Source Group supports the annual Mega Job Fair and Career Expo, which invites public and private employers to the Fairfax County Government Center to provide information to job seekers about potential employment opportunities.					
<i>Fairfax Area Agency on Aging</i>					
The Department of Family Services administers Aging Grants which includes federal funds granted to localities under the Older Americans Act and state funds from the Virginia Department for the Aging. With additional support from the County, these funds provide community-based services such as case management/consultation services, legal assistance, insurance counseling, transportation, information and referral, volunteer home services, home delivered meals, nutritional supplements and congregate meals. In addition, the regional Northern Virginia Long-Term Care Ombudsman Program serves the jurisdictions of Alexandria, Arlington, Fairfax, Loudoun, and Prince William.					
Community Based Services (1670016)	8/7.5	\$852,206	\$0	\$800,142	\$52,064
Community-Based Services provides services to adults age 60 and older to enable them to live as independently as possible in the community. This includes assisted transportation, information and referral, telephone reassurance, volunteer home services, insurance counseling, and other related services.					
Long Term Care Ombudsman (1670017)	6/6.0	\$741,902	\$534,833	\$86,866	\$120,203
The Long Term Care Ombudsman Program, serving the City of Alexandria and the counties of Arlington, Fairfax, Loudoun and Prince William, improves quality of life for the more than 10,000 residents in 110 nursing and assisted living facilities by educating residents and care providers about patient rights and by resolving complaints against nursing and assisted living facilities, as well as home care agencies, through counseling, mediation and investigation. More than 60 trained volunteers are part of this program. The program also provides information about long-term care providers and educates the community about long-term care issues.					
Homemaker/Fee for Service (1670018)	0/0.0	\$250,784	\$0	\$250,784	\$0
Fee for Service provides home-based care to adults age 60 and older to enable them to remain in their homes rather than in more restrictive settings. Services are primarily targeted toward those older adults who are frail, isolated, of a minority group, or in economic need.					
Congregate Meals Program (1670019)	0/0.0	\$1,754,795	\$1,005,969	\$534,700	\$214,126
The Congregate Meals program provides one meal a day, five days a week, which meets one third of the dietary reference intake for older adults. Congregate Meals are provided in 29 congregated meal sites around the County including the County's senior and adult day health centers, several private senior centers and other sites serving older adults such as the Alzheimer's Family Day Center. Congregate Meals are also provided to residents of the five County senior housing complexes.					

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FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Home Delivered Meals (1670020)	1/1.0	\$1,279,880	\$89,976	\$1,009,542	\$180,362
Funding supports the Home-Delivered Meal program and the Nutritional Supplement program. Home-Delivered Meals provides meals to frail, homebound, low-income residents age 60 and older who cannot prepare their own meals. Meals are delivered through partnerships with 22 community volunteer organizations that drive 49 delivery routes. The Nutritional Supplement program targets low-income and minority individuals who are unable to consume sufficient calories from solid food due to chronic disabling conditions, dementia, or terminal illnesses.					
Care Coordination (1670021)	8/8.0	\$724,847	\$446,658	\$278,189	\$0
Care Coordination Services are provided to elderly persons at-risk of institutionalization who have deficiencies in two or more activities of daily living through the DFS Adult Care Network Program. Care Coordination Services include intake, assessment, plan of care development, implementation of the plan of care, service monitoring, follow-up and reassessment.					
Family Caregiver (1670022)	1/1.0	\$380,383	\$165,891	\$208,492	\$6,000
Caregiver Support provides education and support services to caregivers of persons 60 and older, or older adults caring for grandchildren. Services include scholarships for respite care, gap-filling respite and bathing services, assisted transportation (which is also reflected in Community-Based Services), assistance paying for supplies and services, and other activities that contribute to the well-being of senior adults and help to relieve caregiver stress.					
Subtotal – Fairfax Area Agency on Aging	24/23.5	\$5,984,797	\$2,243,327	\$3,168,715	\$572,755
Independent Living Initiatives Grant Program (1670023)	0/0.0	\$66,699	\$0	\$66,699	\$0
The U.S. Department of Health and Human Services Independent Living Initiatives Grant Program, administered through the Virginia Department of Social Services, provides comprehensive services for older youth in foster care to develop skills necessary to live productive, self-sufficient and responsible adult lives. The program directly serves youth in foster care through the age of 20.					
Foster and Adoptive Parent Training Grant (1670024)	0/0.0	\$276,267	\$176,858	\$99,409	\$0
The Virginia Department of Social Services Foster and Adoptive Parent Training Grant provides for the enhancement of community education regarding foster care and adoption; pre-service training, in-service training, and in-home support of agency-approved foster and adoptive parents and volunteers; training for child welfare staff; and employee educational stipends. A 64.02 percent Local Cash Match is required.					
Promoting Safe and Stable Families (1670026)	8/7.0	\$691,251	\$107,144	\$584,107	\$0
These Virginia Department of Social Services funds are used to develop, expand, and deliver family preservation and family support services. A 15.5 percent Local Cash Match is required.					
USDA Child and Adult Care Food Program (1670028)	8/8.0	\$4,064,395	\$0	\$4,064,395	\$0
The U.S. Department of Agriculture (USDA) Child and Adult Care Food Program provides partial reimbursement for snacks served to children in family day care homes. Funds also provide for nutrition training, monitoring, and technical assistance. The program serves infants to children age 12 in approved day care homes.					

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FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
USDA School-Age Child Care Snacks (1670029)	0/0.0	\$375,000	\$0	\$375,000	\$0
The U.S. Department of Agriculture provides partial reimbursement for snacks served to children in the School-Age Child Care (SACC) program. The program serves school-age children, grades K-6.					
<i>U.S. Department of Health and Human Services Head Start Programs</i>					
Head Start is a national child development program that serves income eligible families with young children. Families served by Head Start grants receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. The overall match requirements for Head Start grants are 20 percent. In addition to Local Cash Match, the agency uses in-kind services to meet this required match total.					
Head Start (1670030)	32/27.6	\$4,997,402	\$659,106	\$4,338,296	\$0
Head Start is a national child development program that serves income-eligible families with children 3 to 5 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 434 children.					
Early Head Start (1670032)	24/24.0	\$3,929,327	\$360,680	\$3,568,647	\$0
The Early Head Start program is a national child development program that serves income eligible families with children birth to 3 years of age. Families served by Early Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 252 children birth to 3 years of age, as well as pregnant mothers.					
Subtotal – Head Start Programs	56/51.6	\$8,926,729	\$1,019,786	\$7,906,943	\$0
USDA Greater Mount Vernon Head Start (1670041)	0/0.0	\$103,000	\$0	\$103,000	\$0
The Virginia Department of Health, with federal pass-through funds from the U.S. Department of Agriculture, provides partial reimbursement for meals and snacks served to Head Start children in the Greater Mount Vernon Community Head Start program.					
USDA Greater Mount Vernon Early Head Start (1670042)	0/0.0	\$26,000	\$0	\$26,000	\$0
The Virginia Department of Health, with federal pass-through funds from the U.S. Department of Agriculture, provides partial reimbursement for meals and snacks served to Early Head Start children in the Greater Mount Vernon Community Head Start program.					
Virginia Preschool Initiative (1670031)	4/4.0	\$3,957,350	\$100,000	\$3,857,350	\$0
The Virginia Department of Education Preschool Initiative allows Fairfax County to serve approximately 969 at-risk four-year-olds in a comprehensive preschool program in various settings throughout the County, including community pre-schools, family child care homes, and Fairfax County Public Schools. The Virginia Department of Education requires a Local Cash Match, which varies from year to year based on the state composite index. The anticipated state composite index for FY 2014 will require \$100,000 in Local Cash Match from the County with the balance of required Local Cash Match provided by the Fairfax County Public Schools.					

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FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Virginia Infant and Toddler Specialist (ITS) Network (1670033)	4/3.5	\$230,707	\$0	\$230,707	\$0
Funds are provided by Child Development Resources, Inc. to establish a Virginia Infant and Toddler Specialist Network office in the Northern 1 Region (encompassing Arlington County, Fairfax County, Loudoun County, City of Alexandria, City of Fairfax, and City of Falls Church) to provide training and professional development to child care centers and family child care providers to strengthen practices and enhance the healthy growth and development of infants and toddlers (birth to 36 months of age).					
Child Care Quality Initiative Program (1670043)	0/0.0	\$101,406	\$15,718	\$85,688	\$0
The Virginia Department of Social Services provides funds to develop, expand, and deliver family preservation and family support services to enhance the quality and supply of child care services in the community. A 15.5 percent Local Cash Match is required.					
Virginia Star Quality Initiative Program (1670040)	0/0.0	\$73,500	\$0	\$73,500	\$0
The Virginia Department of Social Services provides funds to support the implementation of a quality rating and improvement system for a select number of early childhood programs in Fairfax County.					
TOTAL - DEPARTMENT OF FAMILY SERVICES	154/145.1	\$31,552,277	\$3,662,833	\$26,436,873	\$1,452,571
Health Department					
Immunization Action Plan (1710001)	0/0.0	\$67,843	\$0	\$67,843	\$0
The U.S. Department of Health and Human Services Immunization Action Plan provides funding for outreach and education services regarding immunizations for children from low-income families within the community.					
Women, Infants, and Children (1710002)	49/49.0	\$3,452,523	\$0	\$3,452,523	\$0
The U.S. Department of Agriculture provides funding for the Women, Infants, and Children (WIC) Grant. This program provides food, nutrition education, and breastfeeding promotion for pregnant, postpartum, or breastfeeding women, infants, and children under age 5. The award is based on participation levels (i.e., clients enrolled in the program).					
WIC - Peer Counseling Program (1710007)	0/0.0	\$350,393	\$0	\$350,393	\$0
The U.S. Department of Agriculture provides funding for the Women, Infants, and Children Grant. This program provides enhancements to the continuity and consistency of WIC's breastfeeding promotion efforts by offering mother-to-mother breastfeeding support.					
Perinatal Health Services (1710003)	4/4.0	\$270,720	\$0	\$270,720	\$0
The U.S. Department of Health and Human Services Perinatal Health Services Grant provides nutrition counseling for low-income pregnant women to reduce the incidence of low birth weight in Fairfax County.					

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FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Tuberculosis Grant (1710004)	2/2.0	\$168,000	\$0	\$168,000	\$0
The Centers for Disease Control and Prevention Tuberculosis Control Program, administered by the Virginia Department of Health, Tuberculosis Control Division, provides funding to coordinate tuberculosis case investigation, case management, and reporting activity for Fairfax County. These efforts include timely reporting of newly diagnosed cases, monitoring the follow-up of tuberculosis suspects to ensure timely diagnosis and treatment, and assisting nursing staff with investigation of contact with active cases of tuberculosis in the County.					
PHEP&R (Public Health Emergency Preparedness & Response) for Bioterrorism (1710005)	2/2.0	\$226,933	\$0	\$226,933	\$0
For the Public Health Emergency Preparedness and Response (PHEP&R) grants, the Centers for Disease Control and Prevention (CDC) provide funding for ongoing development of public health preparedness and response efforts through the Virginia Department of Health. The goal of this grant is to have an emergency response plan that is coordinated with local agencies, hospitals, physicians, and laboratories in the County and the region. Focus Area A funds totaling \$105,132 support 1/1.0 FTE Management Analyst III position serving the Fairfax/Falls Church Health District in the development and implementation of a coordinated emergency response plan. Focus Area B funds totaling \$95,326 support a 1/1.0 FTE District Epidemiologist position that provides surveillance and investigation of general communicable diseases, disease outbreaks, and other diseases of public health significance. The Citizen's Readiness Initiative components of this grant total \$26,475 and supports emergency preparedness and response equipment, training and exercise costs for the agency's Incident Management Team, and maintenance service for the emergency generator at the Health Department's headquarters building.					
Medical Reserve Corp Capacity Building Grant - NACCHO (1710006)	0/0.0	\$5,000	\$0	\$0	\$5,000
The Health Department receives \$5,000 from the National Association of City and County Health Officials. These funds will be used to build the capacity of the Fairfax Medicaid Reserve Corp unit specifically to support recruitment and outreach activities.					
Virginia Department of Health (VDH) Sexually Transmitted Disease Control and Prevention Grant (1710008)	0/0.0	\$80,000	\$0	\$80,000	\$0
The Health Department receives funding from the Virginia Department of Health in support of supplies and reagent costs associated with laboratory testing to control and prevent sexually transmitted diseases.					
Tuberculosis Outreach and Laboratory Support Services Grant (1710011)	0/0.0	\$19,690	\$0	\$19,690	\$0
The Health Department receives funding from the Virginia Department of Health in support of outreach and laboratory support services including mileage reimbursements, communications charges, and staff time required to support operations within the Communicable Diseases Division.					
TOTAL - HEALTH DEPARTMENT	57/57.0	\$4,641,102	\$0	\$4,636,102	\$5,000

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Office to Prevent and End Homelessness					
Community Housing and Resource Program - Award Three (1730001)	0/0.0	\$865,417	\$433,837	\$431,580	\$0
The U.S. Department of Housing and Urban Development Community Housing and Resource Program assists homeless families in making the transition from living in shelters to permanent housing. The program offers 36 transitional housing units and various supportive services.					
RISE (Reaching Independence through Support and Education) Supportive Housing Grant (1730002)	0/0.0	\$520,346	\$67,000	\$453,346	\$0
The U.S. Department of Housing and Urban Development RISE Supportive Housing Grant is a renewable grant that provides 20 units of transitional housing. Funding also provides support services for families through a partnership of private nonprofit organizations and County agencies.					
Housing Locators for Homeless Families Freddie Mac Foundation Grant (1730003)	0/0.0	\$150,000	\$0	\$0	\$150,000
The Freddie Mac Foundation grant increases nonprofit capacity in the Housing Locator Program to assist homeless individuals and families to more rapidly locate housing opportunities.					
Emergency Solution Grant (1730004)	0/0.0	\$938,444	\$469,222	\$469,222	\$0
The U.S. Department of Housing and Urban Development Emergency Solutions Grant (ESG) funding must be used to support prevention and rapid re-housing activities through the housing relocation and stabilization services that are provided by the community case managers and the Housing Locators Program contracted through several nonprofit organizations. HUD allocates funding in two phases at different times of the fiscal year with approximately 65 percent of funds arriving early in the year, and 35 percent arriving on the latter part of the year. A 50 percent Local Cash Match is required.					
TOTAL - OFFICE TO PREVENT AND END HOMELESSNESS	0/0.0	\$2,474,207	\$970,059	\$1,354,148	\$150,000
Fairfax-Falls Church Community Services Board					
Health Planning Region II Projects					
The Fairfax-Falls Church Community Services Board receives funding for Health Planning Region II projects including: Acute Care; Discharge Assistance; Crisis Stabilization; Recovery Services; and Systematic, Therapeutic, Assessment, Respite, and Treatment (START) projects. The Region is made up of the following jurisdictions: the counties of Arlington, Fairfax, Loudoun and Prince William, and the City of Alexandria, with funds being passed through the Commonwealth of Virginia, Department of Behavioral Health and Developmental Services. Services provided help keep consumers from being placed in institutional settings or help them transition back into the community. When needed, placements can be made to an inpatient psychiatric facility or to a crisis stabilization unit (if a hospital level of care is not needed).					

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Regional Acute Care (1750003)	4/4.0	\$2,349,705	\$0	\$2,349,705	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocates this funding to Health Planning Region II for local inpatient purchases of service for consumers with risk issues who need inpatient treatment but cannot be admitted to the state psychiatric hospital due to capacity or complex clinical issues.					
Regional Discharge Assistance (1750004)	0/0.0	\$1,749,374	\$0	\$1,749,374	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocates this funding to Health Planning Region II to provide discharge assistance purchases of service for consumers with serious mental illness who have not been able to leave a state hospital without funding for a specialized treatment program.					
Regional Crisis Stabilization (1750005)	0.5/0.5	\$833,667	\$0	\$833,667	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocates this funding to Health Planning Region II to provide crisis stabilization services for both individuals in crisis who need a crisis stabilization program and/or individuals with intellectual disabilities and mental illness who are at-risk of hospitalization. The position supported and funded by this grant splits time equally between this grant and grant number 1750025 (Regional ID Crisis Stabilization START).					
Regional Recovery Services (1750006)	0/0.0	\$543,192	\$0	\$543,192	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocate this funding to Health Planning Region II to provide project-based services with and for consumers who are empowered in their recovery through participation in consumer-run services.					
Regional ID Crisis Stabilization START (1750025)	0.5/0.5	\$1,536,097	\$0	\$1,536,097	\$0
START (Systematic, Therapeutic, Assessment, Respite and Treatment) is a linkage model to promote a system of care in the provision of community services, natural supports and mental health issues. Service provided help divert individuals from unnecessary institutionalization and keep them in their communities and homes, and include mobile crisis teams, alternative crisis community placements, and short-term crisis stabilization beds in small settings. The position supported and funded by this grant splits time equally between this grant and grant number 1750005 (Regional Crisis Stabilization).					
Subtotal - Health Planning Region II Projects	5/5.0	\$7,012,035	\$0	\$7,012,035	\$0
<i>Department of Behavioral Health and Developmental Services Programs</i>					
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services (DBHDS) provides funding to the CSB for a range of services that include these "restricted" State funds. These funds are for specific services or targeted populations such as treatment in an Adult Detention Center or diversion from incarceration. Youth are an example of a targeted population to be served by these funds. Services provided by these funds are reported back to DBHDS as part of required reporting for the State Performance Contract.					
Jail & Offender Services (1750012)	3/3.0	\$185,857	\$0	\$185,857	\$0
Funding is used to provide treatment services in the Adult Detention Center.					

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Homeless Assistance Program, PATH (1750013)	3/3.0	\$171,031	\$0	\$171,031	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocates these funds for services for individuals who are homeless or at imminent risk of becoming homeless, and who suffer from serious mental illness (SMI) or SMI and co-occurring substance use disorders (SUDs).					
Jail Diversion Services (1750015)	4/3.5	\$321,050	\$0	\$321,050	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocate funds for supporting jail diversion initiatives. This program consists of four main support areas: crisis intervention trained police officers; a drop-off assessment and triage center based at Woodburn; a jail-based forensic unit; and intensive case management services.					
MH Initiative - State, SED Initiative (non-Mandated CSA HM) (1750016)	4/4.0	\$322,592	\$0	\$322,592	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocate these funds for mental health services to seriously emotionally disturbed children. Case management services are provided to children eligible under the State Plan who reside in a community setting, in gaining access to needed medical, social, educational and other services.					
MH Juvenile Detention (1750017)	1/1.0	\$111,724	\$0	\$111,724	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocate these funds for services to children and adolescents in juvenile detention centers receiving CSB services. Services include emergency, consumer monitoring, assessment and evaluation, or early intervention services.					
MH Transformation (1750018)	1/1.0	\$70,000	\$0	\$70,000	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocate these funds for pre-discharge planning for persons being discharged from a State mental health facility. Discharge is the process by which the CSB documents the completion of a person's episode of care in a program area.					
MH Law Reform (1750019)	6/6.0	\$530,387	\$0	\$530,387	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocate funds for mandatory outpatient treatment services. Funding is used only for individuals for whom a judge or special justice has issued a mandatory outpatient treatment order and for whom the CSB has developed an initial mandatory outpatient treatment plan.					
MH Child & Adolescent Services (1750020)	1/1.0	\$75,000	\$0	\$75,000	\$0
The Commonwealth of Virginia Department of Behavioral Health and Developmental Services allocate these funds to provide intensive care coordination/wrap around services to court involved children and adolescents. Funding also provides additional psychiatric services for children and adolescents placed in juvenile detention centers.					
Subtotal- Department of Behavioral Health & Developmental Services Programs	23/22.5	\$1,787,641	\$0	\$1,787,641	\$0

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
IDEA Part C (1750001)	17/17.0	\$3,043,418	\$0	\$3,043,418	\$0
<p>The Commonwealth of Virginia Department of Behavioral Health and Developmental Services provides funding for early intervention services for infants and toddlers ages birth to 3 years who need strategies to assist them in acquiring basic developmental skills such as sitting, crawling, walking and talking. The Infant and Toddler Connection (ITC) is a statewide program that provides federally-mandated early intervention services as outlined in Part C of the Individuals with Disabilities Education Act (IDEA). ITC provides services including physical, occupational and speech therapy; developmental services; medical, health and nursing services; hearing and vision services; assistive technology (e.g., hearing aids, adapted toys and mobility aids); family training and counseling; service coordination; and transportation.</p>					
High Intensity Drug Trafficking Area, HIDTA (1750002)	1/1.0	\$400,000	\$0	\$400,000	\$0
<p>The U.S. Office of National Drug Control Policy provides funding through a subaward with the University of Maryland - Washington/Baltimore HIDTA for residential and day treatment medical detoxification services. The programs seeks to reduce the incidence and prevalence of alcohol and drug abuse by providing prevention, treatment, and rehabilitation.</p>					
Supportive Housing, HUD (1750011)	1/1.0	\$260,213	\$0	\$260,213	\$0
<p>The U.S. Department of Housing provides funding for Homeless Assistance Program which is authorized by the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11381).</p>					
VASAVOR (1750014)	1/1.0	\$134,417	\$0	\$134,417	\$0
<p>The Commonwealth of Virginia Department of Corrections provides funding for focused, evidence-based mental health therapeutic interventions for supervised referred offenders who are being released from the Fairfax County Adult Detention Center or from a Department of Corrections Facility and assigned to Probation and Parole District 29 as part of the Virginia Serious and Violent Offenders Re-entry (VASAVOR) Initiative.</p>					
TOTAL – FAIRFAX-FALLS CHURCH COMMUNITY SERVICES BOARD	48/47.5	\$12,637,724	\$0	\$12,637,724	\$0
Department of Neighborhood and Community Services					
Summer Lunch Program (1790001)	0/0.0	\$489,021	\$122,073	\$366,948	\$0
<p>Funding is awarded by the U.S. Department of Agriculture (USDA) to provide free lunches to all children 18 years of age or younger that attend eligible sites for Rec-Pac/RECQuest or any other approved community location during the summer months. This program distributes nutritious lunches to children throughout the County and site participation is increased annually pursuant to request by the Board of Supervisors.</p>					
Local Government Challenge Grant (1790002)	0/0.0	\$5,000	\$0	\$5,000	\$0
<p>The Virginia Commission for the Arts Local Government Challenge Grant is awarded to jurisdictions that support local arts programs for improving the quality of the arts. The funding awarded to Fairfax County will be provided to the Arts Council of Fairfax County for distribution.</p>					

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Youth Smoking Prevention Program (1790003)	1/0.9	\$60,000	\$0	\$0	\$60,000
The Virginia Tobacco Settlement Foundation awards funding for a comprehensive tobacco, alcohol, and drug prevention program for teens. The program's goals include educating youth about tobacco products and addictions, including empowering them with life skills on resisting substance use by providing information on the social and health benefits for staying tobacco, alcohol, and drug free.					
Joey Pizzano Memorial Fund (1790008)	1/1.0	\$82,077	\$0	\$0	\$82,077
The Joey Pizzano Memorial Fund funds a swim and water safety program for school-age children with disabilities that helps develop new leisure activities for beginning swimmers and enhance levels of more experienced swimmers.					
Computer Sciences Corporation (CSC) - Computer Learning Center Partnership (CLCP) Grant (1790005)	0/0.0	\$50,000	\$0	\$0	\$50,000
The CSC Contribution/CLCP Grant Program funds the establishment of a three-year partnership with the Office of Public-Private Partnerships (OP3), DNCS, and CSC to provide funding, volunteer, and operational support to the Kingsley Commons Computer Learning Center Partnership. The program uses emerging technology to support the growth of communities and provides participants with access to high tech resources. The funding supports staffing, including a homework tutor, specialty software, and provision of technology mentors to share expertise in computer science technology.					
Community Transformation Grant (1790010)	3/3.0	\$499,000	\$0	\$499,000	\$0
The Community Transformation Grant from the U.S. Department of Health and Human Services, Centers for Disease Control and Prevention funds the creation of healthier communities by building capacity to implement broad evidence- and practice-based policy, environmental, programmatic and infrastructure changes. The project will result in the development of a community-driven plan for the implementation of strategies designed to promote health and prevent chronic disease in the Fairfax community.					
TOTAL - DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES	5/4.9	\$1,185,098	\$122,073	\$870,948	\$192,077
Juvenile and Domestic Relations District Court					
Juvenile Accountability Incentive Block Grant (1810001)	0/0.0	\$44,000	\$4,400	\$39,600	\$0
Federal funding from the Office of Juvenile Justice and Delinquency Prevention through the Virginia Department of Criminal Justice Services is used to provide Juvenile Drug Treatment Court support, contract treatment services for juvenile offenders and skills training for probation staff. A 10 percent Local Cash Match is required.					

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Opportunity Neighborhoods (1810006)	0/0.0	\$65,000	\$0	\$65,000	\$0
<p>The Opportunity Neighborhood project, located in Mount Vernon, is designed to develop a community of opportunity, centered around strong schools, that allow children to learn, grow, and succeed. The goal is to wrap children in high-quality, coordinated health, social, community, and educational supports from the cradle to college to career. The project is being undertaken collaboratively with Fairfax County Public Schools (with support from corporate partner Capital One), the JDRC Court Services Unit, other Fairfax County human services agencies, the Police Department, and the Center for the Study of Social Policy (CSSP). Department of Criminal Justice Services grant funds will support the project in two areas. First, CSSP consultants will guide specific portions of the planning for the development especially in the area of community engagement process that gives voice across all diverse populations. Second, a portion of the funds will be set aside to support testing creative approaches for services to youth involved in or on the risk-pathway to involvement in the juvenile justice system and their families.</p>					
Byrne Justice Assistance Grant/Evidence Based Practice Evaluation (1810008)	0/0.0	\$75,000	\$7,500	\$67,500	\$0
<p>To achieve its mission and to address disproportionate minority contact, the Court Services Unit (CSU) has undertaken several major initiatives to incorporate evidence-based practices into its intake, probation, and residential programs. The Byrne Justice Assistance Grant enables the CSU to engage outside experts to review practice changes from an implementation perspective and help ensure the most positive outcomes possible. The goal is to understand what evidence-based practices have been implemented, how well they are implemented, and what factors are needed to improve the overall alignment of the practices into the CSU context. The outcome of the study will help the CSU to continue improving the quality and effectiveness of its services. A 25 percent Local Cash Match is required.</p>					
TOTAL - JUVENILE AND DOMESTIC RELATIONS COURT	0/0.0	\$184,000	\$11,900	\$172,100	\$0
General District Court					
Comprehensive Community Corrections Act (1850000)	9/8.8	\$731,069	\$0	\$731,069	\$0
<p>The Court Services Division of the General District Court provides pre-trial and post-trial supervision of defendants and offenders in the community as mandated by the Comprehensive Community Corrections Act (CCCA) Grant. This award from the Virginia Department of Criminal Justice Services will continue to support 9/8.8 SYE grant positions that provide pre-trial services, including supervision of staff in the Court Services Division and client services in the General District Court, and provide probation services in the General District Court and the Juvenile and Domestic Relations District Court.</p>					
Police Department					
Seized Funds (1900001, 1900002, 1900005, 1900006)	0/0.0	\$1,754,985	\$0	\$1,754,985	\$0
<p>The Seized Funds Program provides additional funding for law enforcement activities under authority of the Comprehensive Crime Control Act of 1984 and the Anti-Drug Abuse Act of 1986. These funds are released by the Department of Justice from asset seizures in connection with illegal narcotics activity. The program serves residents of Fairfax County.</p>					

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Victim Witness Assistance (1900007)	5/5.0	\$182,029	\$0	\$182,029	\$0
The Virginia Department of Criminal Justice Services provides funding to support 5/5.0 SYE positions in the Victim Witness Unit who deliver critical services to victims and witnesses of criminal activity.					
Someplace Safe (1900008)	1/1.0	\$52,993	\$13,248	\$39,745	\$0
The Virginia Department of Criminal Justice Services provides funding for the Victim Witness Unit's Someplace Safe Program, which provides a police response to domestic violence cases in Fairfax County. The required Local Cash Match is 25 percent.					
I-95/495 Patrol Augmentation (1900009)	0/0.0	\$294,000	\$0	\$294,000	\$0
The Virginia Department of Transportation provides funding to support incident management operations and local neighborhood enforcement efforts in the areas adjacent to the High Occupancy Toll (HOT) Lanes project.					
Bulletproof Vest Program (1900010)	0/0.0	\$77,604	\$38,802	\$38,802	\$0
The U.S. Department of Justice, Bureau of Justice Assistance provides funding for the purchase of new or replacement ballistic vests for the protection of sworn law enforcement officers. One vest may be purchased per officer per year under the provisions of this program. A Local Cash Match of 50 percent is required.					
DMV Traffic Safety Programs (1900013)	0/0.0	\$183,900	\$0	\$183,900	\$0
The Virginia Department of Motor Vehicles (DMV) provides funding to support the cost of a traffic safety information and enforcement program in Fairfax County.					
Justice Assistance Grant (JAG) (1900014)	0/0.0	\$98,362	\$0	\$98,362	\$0
Formerly the Local Law Enforcement Block Grant program, the Justice Assistance Grant provides funding for equipment, technology, and other services designed to reduce crime and improve public safety in Fairfax County.					
VDOT - Dulles Metrorail Project (1900016)	0/0.0	\$475,000	\$0	\$475,000	\$0
The Virginia Department of Transportation provides funding to support incident management operations and local neighborhood enforcement efforts in the areas adjacent to the Dulles Metrorail construction project.					
TOTAL - POLICE DEPARTMENT	6/6.0	\$3,118,873	\$52,050	\$3,066,823	\$0
Fire and Rescue Department					
Virginia Department of Fire Programs (1920001)	10/10.0	\$2,786,734	\$0	\$2,786,734	\$0
The Fire Programs Fund award provides funding for fire services training; constructing, improving and expanding regional fire service training facilities; public fire safety education; purchasing firefighting equipment or firefighting apparatus; or purchasing protective clothing and protective equipment for firefighting personnel. Program revenues may not be used to supplant County funding for these activities. The program serves residents of Fairfax County, as well as the towns of Clifton and Herndon.					

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
Four-for-Life (1920002)	0/0.0	\$918,178	\$0	\$918,178	\$0
<p>The Virginia Department of Health, Division of Emergency Services Four-for-Life Program is funded from the \$4 fee included as part of the annual Virginia motor vehicle registration. Funds are set aside by the Commonwealth for local jurisdictions for emergency medical services purposes, including the training of Emergency Medical Services (EMS) personnel and the purchase of necessary equipment and supplies. Funds are allocated based on the vehicle registrations processed in each locality.</p>					
Assistance to Firefighters Act Grant (1920003)	0/0.0	\$214,250	\$42,850	\$171,400	\$0
<p>The primary goal of the Assistance to Firefighters Grants is to meet the firefighting and emergency response needs of fire departments and nonaffiliated emergency medical services organizations. Awards are made to local fire departments on a competitive basis. Eligible categories for a specific award period are determined by the Federal Emergency Management Agency (FEMA). Categories include training, wellness and fitness programs, vehicles, equipment, personal protective equipment, and fire prevention programs. A 20 percent Local Cash Match is required.</p>					
FEMA Urban Search and Rescue (1920005)	4/4.0	\$1,200,000	\$0	\$1,200,000	\$0
<p>The responsibilities and procedures for national urban search and rescue activities under the Robert T. Stafford Disaster Relief Emergency Act are set forth in a cooperative agreement between the Federal Emergency Management Agency (FEMA) and the County. Funding is provided to enhance, support and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies.</p>					
FEMA Urban Search and Rescue Activations	0/0.0	\$1,000,000	\$0	\$1,000,000	\$0
<p>The responsibilities and procedures for national urban search and rescue activities provided by the Department's Urban Search and Rescue Team are identified in cooperative agreements with the Federal Emergency Management Agency (FEMA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to activations are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (VA-TF1).</p>					
OFDA International Urban Search and Rescue (1920006)	3/3.0	\$1,700,000	\$0	\$1,700,000	\$0
<p>A cooperative agreement with the U.S. Agency for International Development (USAID), Office of Foreign Disaster Assistance (OFDA) exists to provide emergency urban search and rescue services internationally. Funding is provided to enhance, support, and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies. Year five of a five year agreement is anticipated to begin FY 2014. The total value of this agreement over the five-year grant period (exclusive of deployment costs) is anticipated to be in excess of \$5,000,000.</p>					

Fund 50000 Federal-State Grant Fund

FY 2014 ANTICIPATED GRANT AWARDS					
ANTICIPATED GRANT	GRANT FUNDED POSITION/FTE	TOTAL PROJECTED FUNDING	SOURCES OF FUNDING		
			GENERAL FUND	FEDERAL/ STATE	OTHER
OFDA International Urban Search and Rescue Activations	0/0.0	\$2,500,000	\$0	\$2,500,000	\$0
<p>The responsibilities and procedures for international urban search and rescue activities provided by the Department's Urban Search and Rescue Team are identified in a cooperative agreement with the Office of Foreign Disaster Assistance (OFDA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to an activation are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (USAID SAR 1).</p>					
TOTAL – FIRE AND RESCUE DEPARTMENT	17/17.0	\$10,319,162	\$42,850	\$10,276,312	\$0
Emergency Preparedness					
Emergency Management Performance Grant (IHS0012)	1/1.0	\$59,897	\$0	\$59,897	\$0
<p>The Department of Homeland Security provides funding to enhance the capacity of localities to develop and maintain a comprehensive emergency management program with support for planning, training, and equipment procurement activities.</p>					
State Homeland Security Program	0/0.0	\$200,000	\$0	\$200,000	\$0
<p>The Department of Homeland Security funds the State Homeland Security Program (SHSP) to enhance the capacity of state and local emergency responders to prevent, respond to and recover from a weapons of mass destruction terrorism incident involving chemical, biological, radiological, nuclear and explosive devices and cyber attacks.</p>					
Urban Areas Security Initiative	5/5.0	\$13,000,000	\$0	\$13,000,000	\$0
<p>The Department of Homeland Security funds the Urban Areas Security Initiative (UASI) program to assist local governments in high-density urban areas to enhance capabilities in the areas of law enforcement, emergency medical services, emergency management, fire service, public works, public safety communications, and public health through the purchase of response equipment that will be necessary to prepare for and respond to emergencies arising out of terrorist or other mass casualty events affecting public safety. Positions associated with UASI funding are in the Office of Emergency Management (3/3.0 FTE), the Health Department (1/1.0 FTE), and the Fire and Rescue Department (1/1.0 FTE).</p>					
TOTAL – EMERGENCY PREPAREDNESS	6/6.0	\$13,259,897	\$0	\$13,259,897	\$0
Fund 50000 Summary					
<i>Reserve for Anticipated Grants (subtotal of grants in above table)</i>	<i>319/309.2</i>	<i>\$85,905,204</i>	<i>\$4,982,965</i>	<i>\$78,622,591</i>	<i>\$2,299,648</i>
<i>Reserve for Unanticipated Grants</i>	<i>0/0.0</i>	<i>\$5,075,000</i>	<i>\$75,000</i>	<i>\$5,000,000</i>	<i>\$0</i>
TOTAL FUND	319/309.2	\$90,980,204	\$5,057,965	\$83,622,591	\$2,299,648

Fund 50000 Federal-State Grant Fund

Agency Position Summary

319 Grant Positions / 309.2 Grant FTE

Position Detail Information

OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS

EEOC (1390001)

1 Human Rights Specialist II, PT
1 Administrative Assistant II
2 Positions
1.9 FTE

HUD (1390002)

1 Administrative Assistant III
2 Human Rights Specialists II
3 Positions
3.0 FTE

DEPARTMENT OF TRANSPORTATION

Marketing and Ridesharing Program (1400021)

1 Transportation Planner III
1 Transportation Planner II
1 Communications Specialist I
1 Communications Specialist II
1 Information Officer III
1 Administrative Assistant II
6 Positions
6.0 FTE

Employer Outreach Program (1400022)

1 Transportation Planner II
2 Transportation Planners I
3 Positions
3.0 FTE

Base Realignment and Closure Act

(BRAC) (1400023)

2 Transportation Planners III
1 Transportation Planner V
3 Positions
3.0 FTE

DEPARTMENT OF FAMILY SERVICES

Sexual Assault Treatment and

Prevention (1670001)

2 Social Workers III, 2 PT
1 Social Worker II, PT
3 Positions
1.5 FTE

V-Stop (1670002)

1 Volunteer Services Coordinator, PT
1 Position
0.5 FTE

WIA Adult Program (1670004)

2 Human Service Workers IV
7 Human Service Workers III
9 Positions
9.0 FTE

WIA Youth Program (1670005)

1 Human Service Worker IV
3 Human Service Workers III
4 Human Service Workers II
8 Positions
8.0 FTE

WIA Dislocated Worker Program (1670006)

1 Financial Specialist II
1 Human Service Worker IV
5 Human Service Workers III
7 Positions
7.0 FTE

Virginia Serious and Violent Offender Re-Entry (VASAVOR) (1670007)

1 Human Service Worker III
1 Position
1.0 FTE

Fairfax Bridges to Success (1670008)

1 Human Service Worker III
1 Human Service Worker II
2 Positions
2.0 FTE

Inova Health System (1670010)

1 Human Service Worker IV
4 Human Service Workers III
6 Human Service Workers II
1 Human Services Assistant
12 Positions
12.0 FTE

Community Based Services (1670016)

1 Social Work Supervisor
2 Social Workers III
4 Social Workers II
1 Administrative Assistant II, PT
8 Positions
7.5 FTE

Long Term Care Ombudsman (1670017)

1 Social Work Supervisor
5 Social Workers III
6 Positions
6.0 FTE

Home Delivered Meals (1670020)

1 Social Worker III
1 Position
1.0 FTE

Care Coordination (1670021)

2 Public Health Nurses II
1 Social Work Supervisor
1 Administrative Assistant II
2 Social Workers II
1 Mental Health Therapist
1 Social Worker III
8 Positions
8.0 FTE

Family Caregiver (1670022)

1 Management Analyst II
1 Position
1.0 FTE

Promoting Safe and Stable Families (1670026)

1 Management Analyst II, PT
2 Social Workers III
3 Social Workers II, 1 PT
1 Human Services Coordinator II
1 Administrative Assistant II
8 Positions
7.0 FTE

USDA Child and Adult Care Food Program (1670028)

1 Child Care Specialist III
3 Child Care Specialists I
1 Business Analyst II
1 Administrative Assistant V
2 Administrative Assistants IV
8 Positions
8.0 FTE

Base Realignment and Closure Act (BRAC) (1670036)

1 Human Services Worker III
1 Position
1.0 FTE

Fund 50000

Federal-State Grant Fund

Head Start Federal Program (1670030)

1 Financial Specialist III
 1 Child Care Program Admin. I
 1 Head Start Coordinator, PT
 1 Public Health Nurse III
 1 Child Care Specialist III
 6 Child Care Specialists II, 3 PT
 3 Human Service Workers II, 3 PT
 6 Day Care Center Teachers II, 1 PT
 6 Day Care Center Teachers I
 1 Day Care Center Aide, PT
 2 Administrative Assistants IV, 1 PT
 1 Administrative Assistant III, PT
 1 Human Services Assistant, PT
 1 Cook's Aide, PT
 32 Positions
 27.6 FTE

Virginia Preschool Initiative (1670031)

1 Child Care Specialist III
 3 Child Care Specialists II
 4 Positions
 4.0 FTE

Early Head Start (1670032)

1 Head Start Coordinator
 1 Business Analyst I
 1 Child Care Specialist II
 8 Child Care Specialists I
 6 Day Care Center Teachers II
 6 Day Care Center Teachers I
 1 Cook's Aide
 24 Positions
 24.0 FTE

Virginia ITS Network (1670033)

4 Child Care Specialists II, 1 PT
 4 Positions
 3.5 FTE

DOJ - Office for Violence Against Women (1670051)

1 Social Worker II, PT
 2 Assistant Commonwealth Attorneys II
 1 Probation Counselor II
 1 Administrative Assistant III
 1 Administrative Assistants II
 6 Positions
 5.5 FTE

HEALTH DEPARTMENT

WIC (1710002)

1 Nutrition Program Coordinator
 1 Nutrition Program Supervisor
 4 Sr. Public Health Nutritionists
 10 Public Health Nutritionists
 23 Nutritionist Assistants I
 8 Nutritionist Assistants II
 1 Business Analyst I
 1 Administrative Assistant IV
 49 Positions
 49.0 FTE

Perinatal Health Services (1710003)

4 Human Services Assistants
 4 Positions
 4.0 FTE

Tuberculosis Grant (1710004)

1 Public Health Nurse III
 1 Human Services Assistant
 2 Positions
 2.0 FTE

EP&R for Bioterrorism Grant (1710005)

1 Emergency Management Specialist III
 1 Epidemiologist
 2 Positions
 2.0 FTE

FAIRFAX-FALLS CHURCH COMMUNITY SERVICES BOARD

IDEA Part C (1750001)

12 Intellectual Disability Specialists II
 2 Physical Therapists II
 1 Speech Pathologist II
 1 Administrative Assistant III
 1 Occupational Therapist II
 17 Positions
 17.0 FTE

High Intensity Drug Trafficking Area (HIDTA) (1750002)

1 Substance Abuse Counselor II
 1 Position
 1.0 FTE

Regional Acute Care (1750003)

1 Mental Health Sup./Spec.
 1 CSB Service Director
 1 Administrative Assistant III
 1 Financial Specialist II
 4 Positions
 4.0 FTE

Regional Crisis Stabilization (1750005) and Regional ID Crisis Stabilization - START (1750025)

1 Mental Health Manager
 1 Position
 1.0 FTE

Supportive Housing Program, Self Sufficiency Through Housing & Treatment - HUD (1750011)

1 Substance Abuse Counselor II
 1 Position
 1.0 FTE

Jail & Offender Services Initiative (1750012)

1 Mental Health Therapist
 1 Substance Abuse Counselor II
 1 Mental Health Sup./Spec.
 3 Positions
 3.0 FTE

Homeless Assistance Program, PATH (1750013)

3 Mental Health Therapists
 3 Positions
 3.0 FTE

VASAVOR - Going Home to Stay (1750014)

1 Mental Health Therapist
 1 Position
 1.0 Staff Year

State Jail Diversion (17500015)

2 Mental Health Therapists, 1 PT
 1 Mental Health Manager
 1 MH/ID/ADS Aide
 4 Positions
 3.5 FTE

MH Initiative - State, SED Initiative (non-mandated CSA) (1750016)

4 Mental Health Therapists
 4 Positions
 4.0 FTE

MH Juvenile Detention (1750017)

1 MH/ID/ADS Sr. Clinician
 1 Position
 1.0 FTE

Fund 50000

Federal-State Grant Fund

**MH Transformation, Forensic
Discharge Planner (1750018)**

1 MH/ID/ADS Sr. Clinician
1 Position
1.0 FTE

**MH Law Reform Emergency Services
& Comprehensive Treatment & Recovery
(1750019)**

1 Mental Health Therapist
1 Food Service Supervisor
3 Mental Health Sup./Specs.
1 MH/ID/ADS Aide, PT
6 Positions
6.0 FTE

**MH Child & Adolescent Services - Children's
Intensive Wrap-Around Services; Juvenile
Detention Psychiatric Services (1750020)**

1 Mental Health Therapist
1 Position
1.0 FTE

**DEPARTMENT OF NEIGHBORHOOD
AND COMMUNITY SERVICES**

Youth Smoking Prevention (1790003)

1 Park/Recreation Specialist I, PT
1 Position
0.9 FTE

**Joey Pizzano Memorial Fund
(1790008)**

1 Park/Recreation Specialist II
1 Position
1.0 FTE

Community Transformation Grant (1790010)

1 Health Promotion and Privacy Coordinator
1 Management Analyst II
1 Management Analyst III
3 Positions
3.0 FTE

GENERAL DISTRICT COURT

CCCA Grant (1850000)

1 Probation Counselor III
6 Probation Counselors II, 1 PT
1 Probation Supervisor I
1 Administrative Assistant II
9 Positions
8.8 FTE

POLICE DEPARTMENT

Victim Witness Assistance (1900007)

1 Probation Counselor III
3 Probation Counselors II
1 Human Services Assistant
5 Positions
5.0 FTE

Someplace Safe (1900008)

1 Probation Counselor III
1 Position
1.0 FTE

FIRE AND RESCUE DEPARTMENT

Fire Programs (1920001)

1 Fire Battalion Chief
2 Fire Lieutenants
1 Human Resources Generalist I
2 Fire Technicians
1 Communications Specialist II
2 Life Safety Education Specialists
1 Photographic Specialist, PT
10 Positions
10.0 FTE

Urban Search & Rescue (1920005)

1 Financial Specialist III
1 Management Analyst I
1 Fire Technician
1 Administrative Assistant III
4 Positions
4.0 FTE

International Search & Rescue (1920006)

1 Fire Battalion Chief
1 Program and Procedures Coord.
1 Material Mgmt Specialist III
3 Positions
3.0 FTE

EMERGENCY PREPAREDNESS

**Emergency Management Performance
Grant (1HS0012)**

1 Financial Specialist II
1 Position
1.0 FTE

Urban Areas Security Initiative Grants

3 Emergency Management Specialists III
1 Emergency Management Specialist II
1 Fire Battalion Chief
5 Positions
5.0 FTE

PT Denotes Part Time

Fund 50000 Federal-State Grant Fund

FUND STATEMENT

Fund 50000, Federal-State Grant Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance¹	\$32,032,208	\$742,262	\$39,350,468	\$656,415
Revenue:				
Federal Funds	\$46,911,594	\$0	\$104,757,885	\$0
Federal Funds - ARRA ²	1,244,043	0	148,221	0
State Funds	22,581,558	0	21,340,490	0
Other Revenue	3,009,915		1,543,168	
Other Match	6,632	0	2,391,640	0
Seized Funds	3,105,759	0	3,156	0
Reserve for Estimated Grant Funding	0	82,184,239	43,524,326	85,922,239
Total Revenue	\$76,859,501	\$82,184,239	\$173,708,886	\$85,922,239
Transfers In:				
General Fund (10001)				
Local Cash Match	\$3,362,042	\$0	\$4,523,158	\$0
Reserve for Estimated Local Cash Match	888,810	4,627,729	104,571	5,057,965
Aging Grants and Programs (103)	3,380,628	0	0	0
Total Transfers In	\$7,631,480	\$4,627,729	\$4,627,729	\$5,057,965
Total Available	\$116,523,189	\$87,554,230	\$217,687,083	\$91,636,619

Fund 50000 Federal-State Grant Fund

FUND STATEMENT

Fund 50000, Federal-State Grant Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
ARRA Funding ²	\$1,267,905	\$0	\$140,705	\$0
Emergency Preparedness ³	14,151,932	0	30,268,435	0
Department of Human Resources	12,694	0	12,306	0
Economic Development Authority	1,500,000	0	0	0
Capital Facilities	725,837	0	5,041,098	0
Department of Housing and Community Development	1,592,020	0	2,338,175	0
Office of Human Rights	244,737	0	610,510	0
Department of Transportation	3,149,712	0	33,757,812	0
Fairfax County Public Library	(5,772)	0	5,771	0
Department of Family Services	26,067,022	0	37,436,339	0
Health Department	4,778,137	0	6,148,612	0
Office to Prevent and End Homelessness	1,809,962	0	1,972,027	0
Fairfax-Falls Church Community Services Board	9,694,520	0	17,727,045	0
Department of Neighborhood and Community Services	1,194,658	0	1,956,570	0
Juvenile & Domestic Relations District Court	812,589	0	1,990,753	0
Commonwealth's Attorney	65,347	0	410,209	0
General District Court	661,358	0	761,826	0
Police Department	2,493,955	0	10,780,623	0
Office of the Sheriff	(3,078)	0	180,121	0
Fire and Rescue Department	6,959,186	0	17,813,008	0
Unclassified Administrative Expenses	0	86,811,968	47,678,723	90,980,204
Total Expenditures	\$77,172,721	\$86,811,968	\$217,030,668	\$90,980,204
Total Disbursements	\$77,172,721	\$86,811,968	\$217,030,668	\$90,980,204
Ending Balance⁴	\$39,350,468	\$742,262	\$656,415	\$656,415

¹ The *FY 2013 Revised Budget Plan* is \$8,677,555, including the FY 2013 Adopted Budget of \$4,627,729. \$3,860,819 is Local Cash Match previously appropriated to agencies but not yet expended and \$189,007 returned to the reserve as the result of grant closeouts.

² Represents funding received by the Department of Family Services, Health Department, Office to Prevent and End Homelessness, Fairfax-Falls Church Community Services Board, and the Department of Vehicle Services as part of the American Recovery and Reinvestment Act of 2009 (ARRA).

³ Emergency Preparedness grant funding is reflected as a separate category in order to centrally identify grant funds earmarked for security and emergency preparedness requirements. Agencies currently involved in this effort include the Office of Public Affairs, Department of Information Technology, Health Department, Police Department, Fire and Rescue Department, and the Office of Emergency Management.

⁴ The Ending Balance in Fund 50000, Federal-State Grant Fund, fluctuates primarily due to timing, as some revenues received late in the fiscal year have not been by spent by June 30 as the time period for spending grant funds often continues beyond the end of the fiscal year.

Fund S10000 Public School Operating

Focus

Expenditures required for operating, maintaining and supporting the instructional program of Fairfax County Public Schools (FCPS) are recorded in Fund S10000 (formerly Fund 090), Public School Operating. These expenditures include the costs for salaries and related employee benefits, materials, equipment and services, as well as costs for projected changes in membership and inflation. Revenue to support these expenditures is provided by a transfer from the County General Fund, state and federal aid, tuition payments from the City of Fairfax, as well as other fees and transfers.



It should be noted that the following fund statement reflects the FY 2014 Fairfax County Public School Superintendent's Proposed Budget, which was released on January 10, 2013 and included a request for a 5.5 percent increase to the General Fund transfer. Adjustments to the Superintendent's Proposed Budget, adopted by the Fairfax County School Board on February 7, 2013 are discussed in the Overview volume of the County's FY 2014 Advertised Budget Plan.

All financial schedules included in the FY 2014 Advertised Budget Plan reflect a 2.0 percent increase in the General Fund transfer as proposed by the County Executive. The proposed County General Fund transfer for school operations in FY 2014 totals \$1,716,988,731.

More details on the FCPS budget can be found at <http://www.fcps.edu/news/fy2014.shtml>.

Fund S10000 Public School Operating

FUND STATEMENT

Fund S10000, Public School Operating Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$161,131,944	\$57,491,613	\$154,255,429	\$51,328,499
Reserve Available	\$99,577,374	\$60,600,000	\$104,928,493	\$16,910,502
Revenue:				
Sales Tax	\$158,278,002	\$157,048,649	\$160,842,492	\$164,059,342
State Aid	320,112,363	343,880,420	384,026,986	384,127,535
Federal Aid	64,388,133	41,367,235	54,461,607	42,489,699
City of Fairfax Tuition	37,951,980	37,785,143	44,607,639	43,686,416
Tuition, Fees, and Other	20,672,111	17,932,819	16,271,299	16,920,149
Total Revenue²	\$601,402,589	\$598,014,266	\$660,210,023	\$651,283,141
Transfers In:				
County General Fund (10001)	\$1,610,834,722	\$1,683,322,285	\$1,683,322,285	\$1,775,701,373
County Cable Communications (40030) ³	600,000	600,000	600,000	600,000
Total Transfers In	\$1,611,434,722	\$1,683,922,285	\$1,683,922,285	\$1,776,301,373
Total Available	\$2,473,546,629	\$2,400,028,164	\$2,603,316,230	\$2,495,823,515
Total Expenditures²	\$2,188,382,816	\$2,353,308,729	\$2,500,907,794	\$2,455,168,095
Transfers Out:				
School Construction Fund (S31000)	\$7,438,659	\$7,766,120	\$7,616,120	\$12,538,992
School Grants & Self-Supporting Fund (S50000)	14,367,709	19,376,992	21,376,992	21,376,992
School Adult & Community Education Fund (S43000)	400,000	400,000	1,400,000	400,000
Consolidated County & Schools Debt Fund (20000)	3,773,523	3,776,323	3,776,323	3,776,923
Total Transfers Out	\$25,979,891	\$31,319,435	\$34,169,435	\$38,092,907
Total Disbursements	\$2,214,362,707	\$2,384,628,164	\$2,535,077,229	\$2,493,261,002

Fund S10000 Public School Operating

FUND STATEMENT

Fund S10000, Public School Operating Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Ending Balance	\$259,183,922	\$15,400,000	\$68,239,001	\$2,562,513
Flexibility Reserve	\$8,000,000	\$0	\$0	\$0
VRS Reserve Available from Prior Years	60,600,000	15,400,000	16,910,502	0
Employee Compensation Reserve	0	0	0	0
Other Commitments	77,561,444	0	0	0
Budgeted Beginning Balance	57,491,613	0	0	0
Future Year Beginning Balance	44,328,493	0	51,328,499	0
Textbook Replacement	7,723,500	0	0	2,562,513
Teacher Evaluation	2,036,537	0	0	0
Clinical Support for Students	442,335	0	0	0
ACE Transfer	1,000,000	0	0	0
Available Ending Balance	\$0	\$0	\$0	\$0

¹The *FY 2013 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their *FY 2013 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2013 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 23, 2013.

²In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$1,501,220 have been reflected as an increase to FY 2012 revenue and audit adjustments in the amount of \$1,213,832 have been reflected as a decrease to FY 2012 expenditures. Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter package.

³The County's total transfer from Fund 40030, Cable Communications Fund, to support school requirements in FY 2013 is \$5,148,169, of which \$600,000 is reflected in this fund statement and \$4,548,169 is reflected in the fund statement for Fund S50000, Public School Grants and Self-Supporting Programs. Similarly, \$600,000 of the total FY 2014 transfer of \$4,732,175 from Fund 40030 to Fund S50000 is also reflected in this fund statement.

Fund S40000

Public School Food and Nutrition Services

Focus

Fund S40000 (formerly Fund 191), Food and Nutrition Services, totals \$96.4 million in FY 2014 for all Food and Nutrition Services' operational and administrative costs. This fund is entirely self-supporting and is operated under the federally-funded National School Lunch and Child Nutrition Acts.



The Food and Nutrition Services program:

- Procures, prepares and serves lunches, breakfasts, and a la carte items to over 149,000 customers daily;
- Offers breakfasts in 173 schools and centers;
- Contracts meal provision to day care centers, Family and Early Childhood Education Program (FECEP) centers, and snack provision to all School-Age Child Care (SACC) programs and After School Middle School programs;
- Provides meals and nutrition counseling at senior nutrition sites and Meals-on-Wheels programs.

Other responsibilities include nutrition education, enforcement of sanitary practices, specifications for food and equipment, and layout and design of kitchens in new schools.

No support from Fund S10000, School Operating Fund, is required as sufficient revenues are derived from food sales and federal and state aid.

Fund S40000

Public School Food and Nutrition Services

FUND STATEMENT

Fund S40000, Public School Food and Nutrition Services

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$18,660,911	\$16,983,484	\$18,809,734	\$15,690,511
Revenue:				
Food Sales	\$46,262,751	\$46,767,876	\$46,767,876	\$48,233,348
Federal Aid	29,040,205	27,929,822	31,021,247	31,517,587
State Aid	883,163	836,574	836,574	892,202
Other Revenue	59,854	56,503	56,503	54,950
Total Revenue²	\$76,245,973	\$75,590,775	\$78,682,200	\$80,698,087
Total Available	\$94,906,884	\$92,574,259	\$97,491,934	\$96,388,598
Total Expenditures ²	\$76,251,961	\$75,590,775	\$81,801,423	\$82,297,558
Food and Nutrition Services				
General Reserve ³	\$0	\$16,983,484	\$15,690,511	\$14,091,040
Total Disbursements	\$76,251,961	\$92,574,259	\$97,491,934	\$96,388,598
Inventory Change	(\$154,811)	\$0	\$0	\$0
Ending Balance	\$18,809,734	\$0	\$0	\$0

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²In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$4,139 have been reflected as a decrease to FY 2012 revenue and audit adjustments in the amount of 85,632 have been reflected as an increase to FY 2012 expenditures. Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter package.

³Any unused portion of the allocated Food and Nutrition Services General Reserve carries forward into the subsequent budget year. Accordingly, the FY 2014 beginning balance is the projected ending balance for FY 2013 of \$0 plus the estimated balance for the reserve of \$15,690,511.

Fund S43000

Public School Adult and Community Education

Focus

Fund S43000 (formerly Fund 193), Public School Adult and Community Education, provides lifelong literacy and education opportunities for all residents and students of Fairfax County through adult education programs such as basic skill education, high school completion and English for Speakers of Other Languages (ESOL). FY 2014 expenditures are estimated at \$9.0 million.

The Fund also provides for pre-kindergarten through grade 12 support programs, including behind-the-wheel driver education, SAT preparation, summer school, before- and after-school enrichment activities and remediation support.



Fund S43000

Public School Adult and Community Education

FUND STATEMENT

Fund S43000, Public School Adult and Community Education

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$197,748	\$86,271	(\$974,678)	\$0
Revenue:				
State Aid	\$634,614	\$685,243	\$923,731	\$923,731
Federal Aid	804,877	662,139	1,781,117	1,781,117
Tuition	6,612,994	8,628,087	5,284,577	5,464,964
Industry, Foundation, Other	357,018	378,969	400,349	439,687
Total Revenue²	\$8,409,503	\$10,354,438	\$8,389,774	\$8,609,499
Transfers In:				
School Operating Fund (S10000)	\$400,000	\$400,000	\$1,400,000	\$400,000
Total Transfers In	\$400,000	\$400,000	\$1,400,000	\$400,000
Total Available	\$9,007,251	\$10,840,709	\$8,815,096	\$9,009,499
Total Expenditures	\$9,981,929	\$10,840,709	\$8,815,096	\$9,009,499
Total Disbursements	\$9,981,929	\$10,840,709	\$8,815,096	\$9,009,499
Ending Balance	(\$974,678)	\$0	\$0	\$0

¹ The *FY 2013 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their *FY 2013 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2013 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 23, 2013.

² In order to account for revenues in the proper fiscal year, audit adjustments in the amount of \$64,660 have been reflected as an increase to FY 2012 revenue. Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter package.

Fund S50000

Public School Grants and Self-Supporting Programs

Focus

Fund S50000 (formerly Fund 192), Public School Grants and Self-Supporting Programs, totals \$70.1 million for FY 2014 and consists of two subfunds: the Grants Subfund and the Summer School and Standards of Learning (SOL) Remediation Subfund. FY 2014 revenue reflects federal, state and private industry grants, summer school fees and transfers from Fund S10000, School Operating, and Fund 40030, Cable Communications.

Fund S50000

Public School Grants and Self-Supporting Programs

FUND STATEMENT

Fund S50000, Public School Grants and Self-Supporting Programs

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$14,855,343	\$686,953	\$13,025,450	\$844,715
Revenue:				
State Aid	\$8,295,287	\$10,058,302	\$10,366,105	\$9,778,373
Federal Aid	32,969,206	32,695,982	46,257,060	31,066,002
Tuition	1,870,169	1,747,470	1,969,447	1,969,447
Industry, Foundation, Other	1,307,229	426,421	1,003,110	499,535
Total Revenue²	\$44,441,891	\$44,928,175	\$59,595,722	\$43,313,357
Transfers In:				
School Operating Fund Grants (S10000)	\$8,865,952	\$8,865,952	\$8,865,952	\$8,865,952
School Operating Fund Summer School (S10000)	5,501,757	10,511,040	12,511,040	12,511,040
Cable Communications Fund (40030) ³	3,126,203	4,548,169	4,548,169	4,548,169
Total Transfers In	\$17,493,912	\$23,925,161	\$25,925,161	\$25,925,161
Total Available	\$76,791,146	\$69,540,289	\$98,546,333	\$70,083,233
Total Expenditures ^{2,4}	\$63,765,696	\$69,540,289	\$97,701,618	\$70,083,233
Total Disbursements	\$63,765,696	\$69,540,289	\$97,701,618	\$70,083,233
Ending Balance	\$13,025,450	\$0	\$844,715	\$0

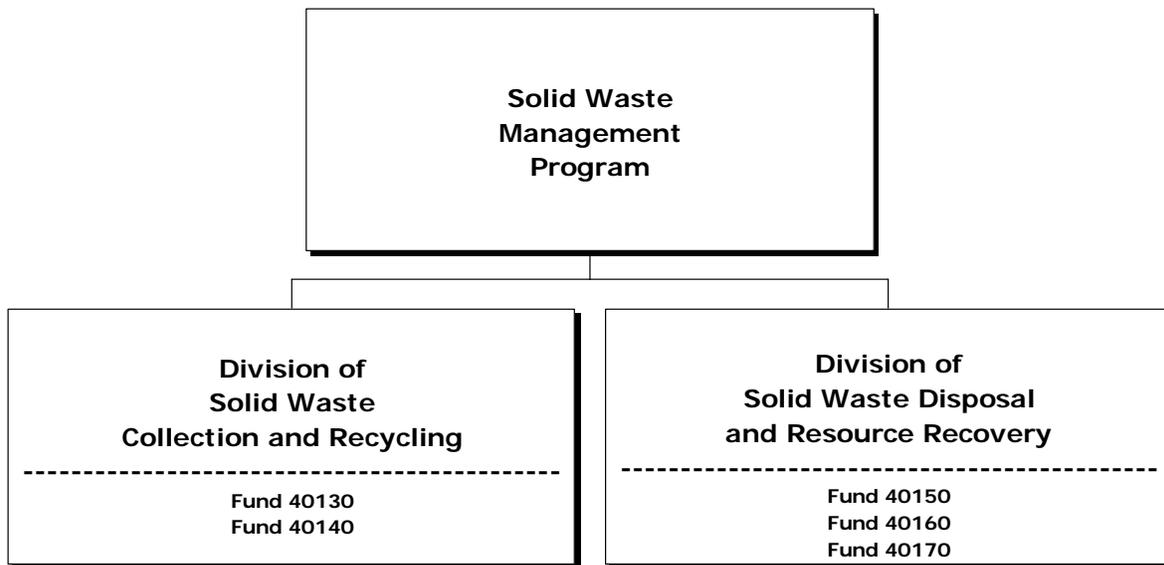
¹ The *FY 2013 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their *FY 2013 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2013 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 23, 2013.

² In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$1,414,180 have been reflected as a decrease to FY 2012 revenue and audit adjustments in the amount of \$125,201 have been reflected as an increase to FY 2012 expenditures. Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter package.

³ The FY 2014 transfer from Fund 40030, Cable Communications, as well as the corresponding expenditures which it supports, will be adjusted to reflect the final amount from the County, currently anticipated to be \$4,732,175. In addition, the County's total transfer from Fund 40030, Cable Communications Fund, to support school requirements in FY 2013 is \$5,148,169, of which \$4,548,169 is reflected in this fund statement and \$600,000 in Fund S10000, Public School Operating Fund. Similarly, \$600,000 from the FY 2014 transfer from Fund 40030 to Fund S50000 is reflected in the fund statement for Fund S10000.

⁴ Expenditures shown for the *FY 2013 Adopted Budget Plan* are adjusted based on the final transfer from Fund 40030, Cable Communications, received each year. The County's financial schedules reflect total expenditures as appropriated by the Board of Supervisors when adopting the budget.

Solid Waste Management Program



Mission

To protect the public interest through solid waste management planning and regulatory oversight of the County's refuse ordinances by providing efficient and effective collection, recycling, and disposal of solid waste for customers in an environmentally responsible manner.

Focus

The Solid Waste Management Program is responsible for the management and long-range planning for all refuse and recycling within the County. Operations include a County-owned and operated refuse transfer station, a privately owned and operated Energy/Resource Recovery Facility (E/RRF), two closed municipal solid waste landfills, a regional Ashfill operated by the County, two recycling and disposal facilities, eight drop-off sites for recyclable materials, and equipment and facilities for refuse collection, disposal, and recycling operations. The operation of the Solid Waste Management Program is achieved through the Division of Solid Waste Collection and Recycling and the Division of Solid Waste Disposal and Resource Recovery in the Department of Public Works and Environmental Services.

Division of Solid Waste Collection and Recycling

The Division of Solid Waste Collection and Recycling (DSWCR) manages two funds including Leaf Collection and Refuse Collection and Recycling Operations.

Fund 40130, Leaf Collection, provides curbside vacuum leaf collection within Fairfax County's 38 approved leaf collection districts. For FY 2014, approximately 25,000 homes are included within these districts. Revenue for Fund 40130 is derived from a levy charged to homeowners within leaf collection districts. The FY 2014 leaf collection levy will remain as it has for several years at a rate of \$0.015 per \$100 of assessed real estate value.

Fund 40140, Refuse Collection and Recycling Operations, provides for collection of refuse and recycling from approximately 44,000 individual households within Fairfax County's approved sanitary districts. Revenue to support residential collection operations is derived from the refuse collection fee. In FY 2014, the annual collection fee of \$345 for sanitary district customers is recommended to remain at the same level as it has for several years.

Solid Waste Management Program

The fund also supports collection of refuse and recycling from properties owned and occupied by Fairfax County. Revenue for this service is collected from County agencies to which the service is provided. The division also provides funds for management of the solid waste collection services for General Fund programs including Community Cleanup, Court/Board Directed Cleanups, Evictions and Health Department Referral operations.

The County's Recycling Program is also funded through Fund 40140. This program consists of all outreach and education about the County's entire solid waste management program; operation of the eight County recycling drop off centers; opportunities to recycle items not collected at the curb such as computers, televisions, rechargeable batteries, and compact fluorescent lamps; document shredding opportunities for County residents; and participation in all major County events.

Division of Solid Waste Disposal and Resource Recovery

The Division of Solid Waste Disposal and Resource Recovery manages three funds. Fund 40150, Refuse Disposal, provides for delivering refuse collected throughout Fairfax County to the Energy/Resource Recovery Facility (E/RRF), the Prince William County Facility or other appropriate landfill; transferring yard waste to compost facilities; coordinating the facility use agreement between Fairfax and Prince William Counties; operating the County's automotive battery recycling program, white goods recycling program (i.e., refrigerators, dishwashers, washers and dryers, etc.) and Household Hazardous Waste (HHW) program; managing the Recycling and Disposal Centers; and providing brush mulching services. Fund 40160, Energy/Resource Recovery Facility, provides for the disposal of refuse at the E/RRF. Fund 40170, I-95 Refuse Disposal, provides management and operational control at the I-95 Ashfill for all regional participants, and long-term monitoring and care of the closed portion of the I-95 Sanitary Landfill.

The system has experienced a slight increase in waste tonnage, reflecting modest improvement in the regional and national economy. Previously, the system had experienced a decrease in waste tonnage, reflecting both the downturn in the national and regional economy as well as an increase in recycling within the County.

Under the current industry environment, the County's competitive pricing system for Fund 40150, Refuse Disposal, has proven to be sufficient to cover the current disposal operation costs as well as the cost of the non-revenue generating programs. These non-revenue based programs include the countywide recycling education/outreach; the Household Hazardous Waste program; maintenance and environmental monitoring of the closed I-66 landfill; and the Code Enforcement Program. In FY 2014, the system disposal charge and the Recycling and Disposal Center fee are set at \$60 per ton, the same level as FY 2013. A contractual disposal rate for FY 2014 will be negotiated with private waste haulers, but is anticipated to be \$54 per ton, an increase of \$1 from \$53 per ton in FY 2013.

Fund 40160, Energy/Resource Recovery Facility (E/RRF), funds the County's waste-to-energy facility which annually processes over 1 million tons of waste. This waste is used to generate electrical power in excess of 80 megawatts, enough to power approximately 75,000 homes annually. The County charges a tip fee to all users of the E/RRF and subsequently pays the contractual disposal fee to Covanta Fairfax, Incorporated (CFI) from these revenues. The formula-driven contract between the County and CFI is based on support requirements for incinerator operations. The yearly estimate is calculated using expenses for plant operations and maintenance costs, and other pass through costs such as landfilling incinerator ash, reagents and utilities costs are significantly offset by credits derived from the sale of electricity to Dominion Virginia Power and recovery of ferrous and non-ferrous metals from the ash. The current contract with CFI will remain in effect until February 1, 2016. The staff continues to explore

Solid Waste Management Program

alternatives for waste disposal in the future. Careful management of the Service Agreement with CFI, stable revenues from electricity sales, increase in metal recycling, and final payment of the construction bonds have allowed the County to hold down disposal fees charged to customers. Based on the uncertainty of disposal methods and rates in the future, the disposal rate of \$29 per ton will remain the same through FY 2014.

Fund 40170, I-95 Refuse Disposal, funds the County's I-95 (Landfill) Ashfill which has served the solid waste disposal needs of the residents of Fairfax County as well as the needs of participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Ashfill closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Ashfill continues to operate as a model facility - meeting operations permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. Increasing costs associated with maintenance and groundwater remediation related to the closed portion of the landfill are anticipated. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills or recycling facilities for final disposal. In FY 2014, the Refuse Disposal fee will increase to \$19.50 per ton from the FY 2013 level of \$17.50 per ton. It should be noted that the ash disposal rate at I-95 is anticipated to increase in future years to accommodate operational requirements and provide sufficient reserve funding for capital projects and post closure care.

Specific description, discussion, and funding requirements for each fund of the Solid Waste Management Program can be found on the subsequent pages.

OPERATIONAL FEE STRUCTURE

Solid Waste Operations Fee Structure¹

	Fund 40130, Leaf Collection	Fund 40140, Refuse Collection and Recycling Operations	Fund 40150, Refuse Disposal	Fund 40160, E/RRF	Fund 40170, I-95 Refuse Disposal
FY 2014 Fee	\$0.015/\$100 Assessed Property Value	\$345 Curbside	\$60/Ton, System Fee \$54 Estimated (to be negotiated) Contract/Discount \$60/Ton, Recycling and Disposal Center	\$29/Ton	\$19.50/Ton
FY 2013 Fee	\$0.015/\$100 Assessed Property Value	\$345 Curbside	\$60/Ton, System Fee \$53 Negotiated Contract/Discount \$60/Ton Recycling and Disposal Center	\$29/Ton	\$17.50/Ton
Who Pays	Leaf District Residents	Sanitary District Residents	Private Collectors, Residents and County Agencies through Fund 40140	The County through Fund 40150	E/RRF, Fund 40150, and Participating Jurisdictions

¹ There are numerous special rates that have been negotiated and implemented as needed which are not reflected in the structure above. Examples include varying miscellaneous charges for yard debris (brush, grass, and leaves), tires, and others.

Solid Waste Management Program

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Output:				
Total tons of sanitary district refuse and recyclables	78,907	78,017	78,000 / 79,806	78,000
Total County tons recycled	445,625	472,646	450,000 / 571,116	500,000
Ton of material delivered to the E/RRF	966,703	996,051	1,020,000 / 1,038,812	1,020,000
Efficiency:				
Cost per ton of refuse and recyclables collected in the sanitary districts	\$172.49	\$169.02	\$195.57 / \$185.47	\$202.84
Disposal cost avoidance by recycling (\$ million)	\$23.40	\$25.90	\$23.80 / \$30.30	\$26.50
Cost per ton of material disposed (contract rate)	\$55.00	\$55.00	\$53.00 / \$53.00	\$53.00
Service Quality:				
Percent of customers or citizens rating refuse services as good or better	94.9%	91.0%	95.0% / 98.10%	95.0%
Did the division meet the mandated recycling rate?	Yes	Yes	Yes / Yes	Yes
Tons delivered to E/RRF in excess of GAT	35,953	65,301	89,250 / 108,062	89,250
Outcome:				
Customer satisfaction deviation from 95 percent target	(0.1%)	(4.0%)	0.0% / 3.1%	0.0%
Total County recycling rate	42.0%	47.0%	25.0% / 47.0%	40.0%
Percent of GAT Met	106.90%	107.02%	109.59% / 111.61%	109.59%

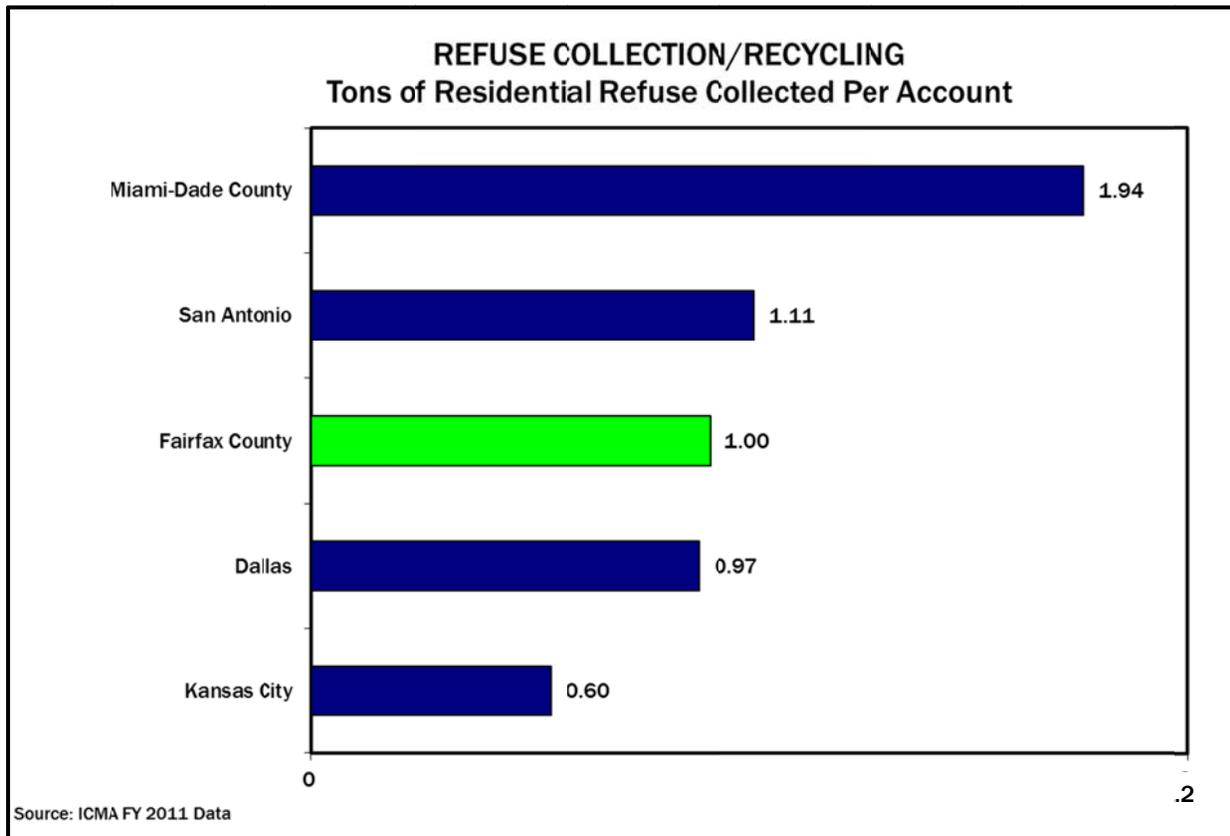
Performance Measurement Results

The performance measures for the Solid Waste Management Program were met and exceeded in FY 2012. The program exceeded the service quality measure of 95 percent of its customer rating refuse services good or better by 3.1 percent, exceeded the state-mandated recycling rate by 22 percent, and exceeded the tonnage requirement to deliver to the E/RRF by 108,062 tons or 11.61 percent. It is interesting to note that 47 percent of the waste collected in Fairfax County by any organization was recycled while in the county's sanitary districts 50 percent of all waste collected was recycled.

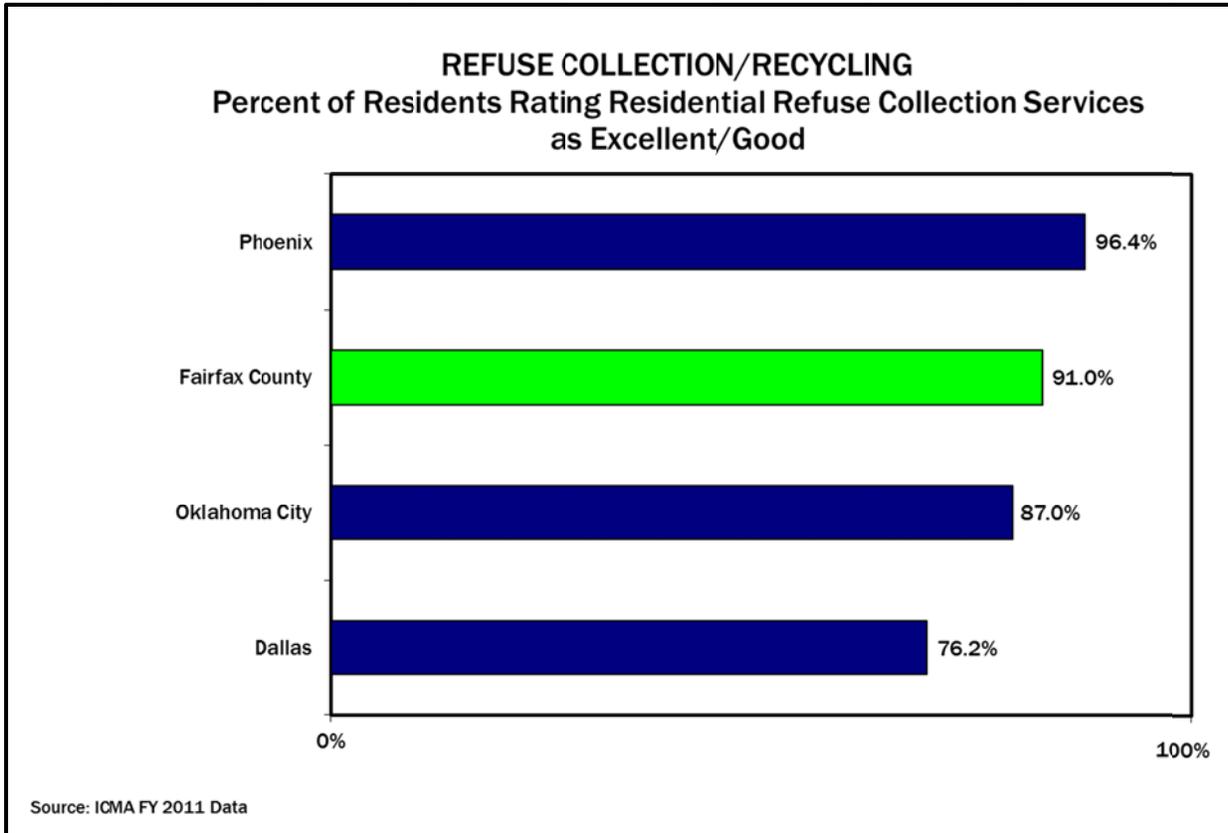
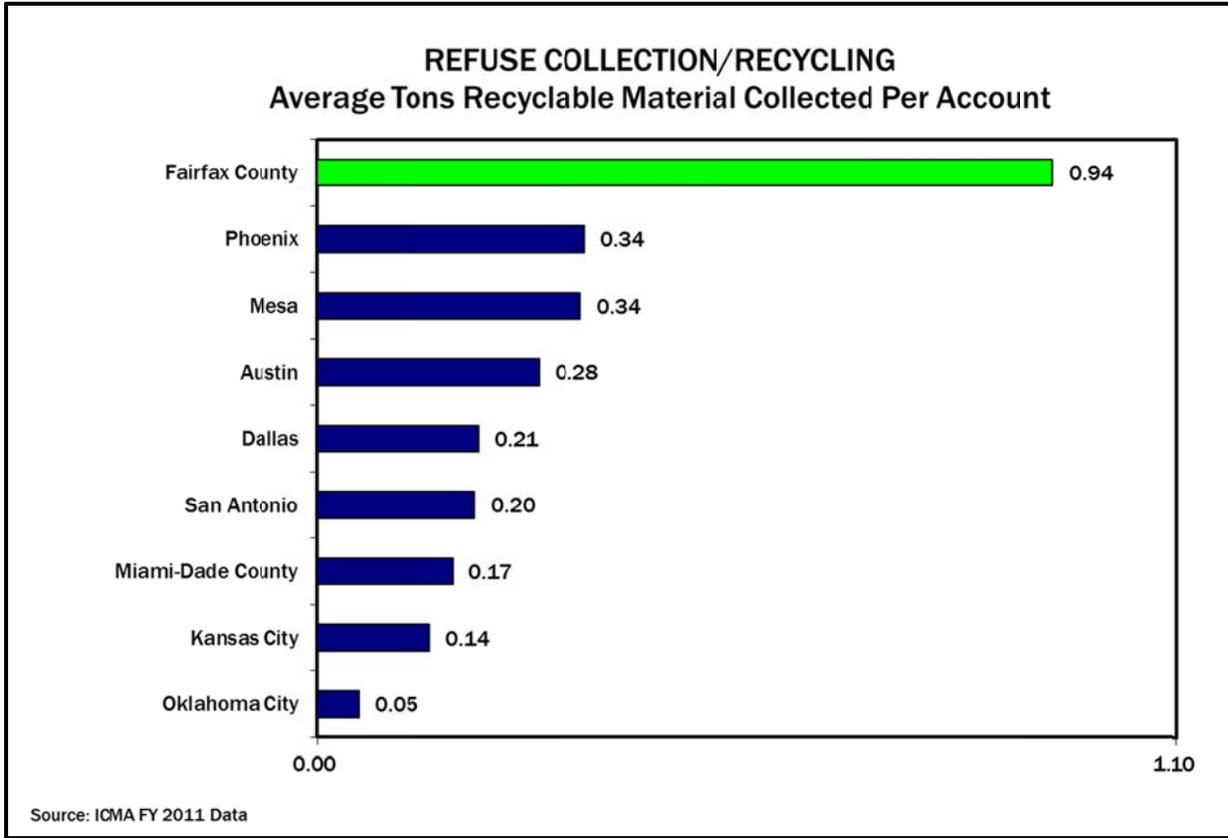
Solid Waste Management Program

Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. Since 2000, Fairfax County has participated in the International City/County Management Association's (ICMA) benchmarking effort. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time necessary to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2011 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Refuse Collection/Recycling is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark. However, as shown on the following pages, Fairfax County compares favorably in both efficiency and effectiveness.



Solid Waste Management Program



Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

Mission

To provide funding support for the elimination of unsanitary conditions that present a hazard to the environment and to the health, safety and welfare of County residents.

Focus

The General Fund provides funding to operate the Community Cleanup Program, Court/Board-directed Cleanups, the Health Department Referral Program, the Eviction Program and Emergency Storm Cleanup.

The Division of Solid Waste Collection and Recycling (DSWCR) through Fund 40140, Refuse Collection and Recycling Operations, provides equipment and personnel for program operations. The Community Cleanup Program supports community and civic associations' efforts to enhance and maintain the appearance of neighborhoods and the environment. In addition, the agency eliminates hazardous conditions identified by the Fairfax County Courts, the Fairfax County Board of Supervisors, the Fairfax County Health Department and the Fairfax County Sheriff's Office with regards to evictions.

All charges incurred by Fund 40140, Refuse Collection and Recycling Operations, for providing collection and disposal services for these programs are billed to the General Fund. The overall cost to the General Fund is reduced by the cleanup fees recovered from property owners for cleanup work performed on their property at the direction of the Health Department or the County Courts. The recovered funds are returned to the General Fund.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the [FY 2014 Advertised Budget Plan](#) for those items.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Solid Waste General Fund Programs	\$136,055	\$358,325	\$358,325	\$195,076
Total Expenditures	\$136,055	\$358,325	\$358,325	\$195,076
Income:				
Cleanup Fees ¹	\$0	\$2,900	\$2,900	\$2,900
Total Income	\$0	\$2,900	\$2,900	\$2,900
NET COST TO THE COUNTY	\$136,055	\$355,425	\$355,425	\$192,176

¹ The overall cost to the General Fund is reduced by fees recovered from property owners, who are charged for cleanup work performed on their property at the direction of the Health Department, or by sanctions imposed at the direction of the County Court for cleanups stemming from zoning violations.

Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

◆ **Reductions** (\$163,249)

A decrease of \$163,249 reflects the following reduction utilized to balance the FY 2014 budget:

Title	Impact	Posn	FTE	Reduction
Reduced funding for Solid Waste Community Clean Up	This reduction will result in a 45 percent decrease to the Community Clean Up Program. The County provides support to community and civic organizations in their efforts to clean up, enhance and maintain the appearance of their neighborhoods. The Clean up program also provides funding for the elimination of hazardous conditions identified by the Courts, Board of Supervisors, Health Department and the Sheriff's Office primarily associated with evictions. In FY 2012, funding for this program allowed for the completion of 28 community clean ups, 12 mandatory clean ups associated with evictions and referrals and 34 storm related clean ups. This reduction will result in less clean up and maintenance support and only the most critical clean up efforts will be provided.	0	0.00	\$163,249

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments** \$0

As part of the FY 2012 Carryover Review, the Board of Supervisors made no changes to this agency.

Fund 40130 Leaf Collection

Mission

To provide vacuum leaf collection service at the streetline for all customers within designated sanitary leaf districts on three separate occasions during the leaf collection season (the period from October through January) in order to enhance the County's aesthetic environment.

Focus

The Division of Solid Waste Collection and Recycling currently provides for leaf collection and disposal within 38 Fairfax County Collection Districts. Fund 40130, Leaf Collection (formerly Fund 108). Leaf Districts are established and abolished through a petition process approved by the Board of Supervisors. This process could result in an increase or a decrease in the number of residential or commercial properties within a specific collection district, or a district could be totally eliminated. Petition approvals affect the number of units serviced in a given year.



All leaves collected are either transported to a composting facility in Loudoun County or Prince William County or mulched and provided to citizens. Revenue is derived from a collection levy (service fee) that is charged to homeowners and businesses within the leaf districts. The FY 2014 levy is \$0.015 per \$100 of assessed real estate value, an amount that is unchanged from the FY 2013 level. This will generate an estimated \$2,056,686 in revenue in FY 2014. The County will continue to monitor the impact of real estate values on this fund, to ensure that sufficient funds and balances are available from leaf assessment revenue to cover future year costs.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the [FY 2014 Advertised Budget Plan](#) for those items.

Leaf Collection supports the following County Vision Elements:



Creating a Culture of Engagement



Connecting People and Places



Practicing Environmental Stewardship



Exercising Corporate Stewardship

Fund 40130 Leaf Collection

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Operating Expenses	\$1,780,588	\$2,438,035	\$2,438,035	\$2,290,182
Capital Equipment	0	108,000	108,000	18,000
Total Expenditures	\$1,780,588	\$2,546,035	\$2,546,035	\$2,308,182

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Leaf Collection Costs** **(\$147,853)**
 A decrease of \$147,853 in Operating Expenses is based on historical experience and actual costs associated with leaf collections. Projections for FY 2014 are based on the actual number of leaf collection districts and will provide sufficient funding for a leaf season with unpredictable weather.
- ◆ **Capital Equipment** **\$18,000**
 Funding of \$18,000 in Capital Equipment is included for the replacement of one trailer mounted leaf machine which has outlived its useful life and is more cost effective to be replaced based on age, mileage criteria and repair costs.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$0**
 As part of the FY 2012 Carryover Review, the Board of Supervisors made no changes to this fund.

Fund 40130 Leaf Collection

FUND STATEMENT

Fund 40130, Leaf Collection

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$3,214,530	\$2,730,846	\$3,448,453	\$3,027,180
Revenue:				
Interest on Investments ¹	\$11,563	\$8,192	\$8,192	\$6,058
Rental of Equipment	48,280	50,400	50,400	48,510
Sale of Equipment	0	18,000	18,000	3,000
Miscellaneous Revenue	0	0	0	0
Leaf Collection Levy/Fee	1,954,668	2,048,170	2,048,170	2,056,686
Total Revenue	\$2,014,511	\$2,124,762	\$2,124,762	\$2,114,254
Total Available	\$5,229,041	\$4,855,608	\$5,573,215	\$5,141,434
Expenditures:				
Operating Expenses	\$1,780,588	\$2,438,035	\$2,438,035	\$2,290,182
Capital Equipment	0	108,000	108,000	18,000
Total Expenditures	\$1,780,588	\$2,546,035	\$2,546,035	\$2,308,182
Total Disbursements	\$1,780,588	\$2,546,035	\$2,546,035	\$2,308,182
Ending Balance²	\$3,448,453	\$2,309,573	\$3,027,180	\$2,833,252
Equipment Replacement Reserve	\$846,902	\$738,902	\$738,902	\$800,000
Rate Stabilization Reserve ³	1,883,944	1,570,671	2,288,278	2,033,252
Unreserved Balance	\$717,607	\$0	\$0	\$0
Leaf Collection Levy/Fee per \$100 Assessed Value	\$0.015	\$0.015	\$0.015	\$0.015

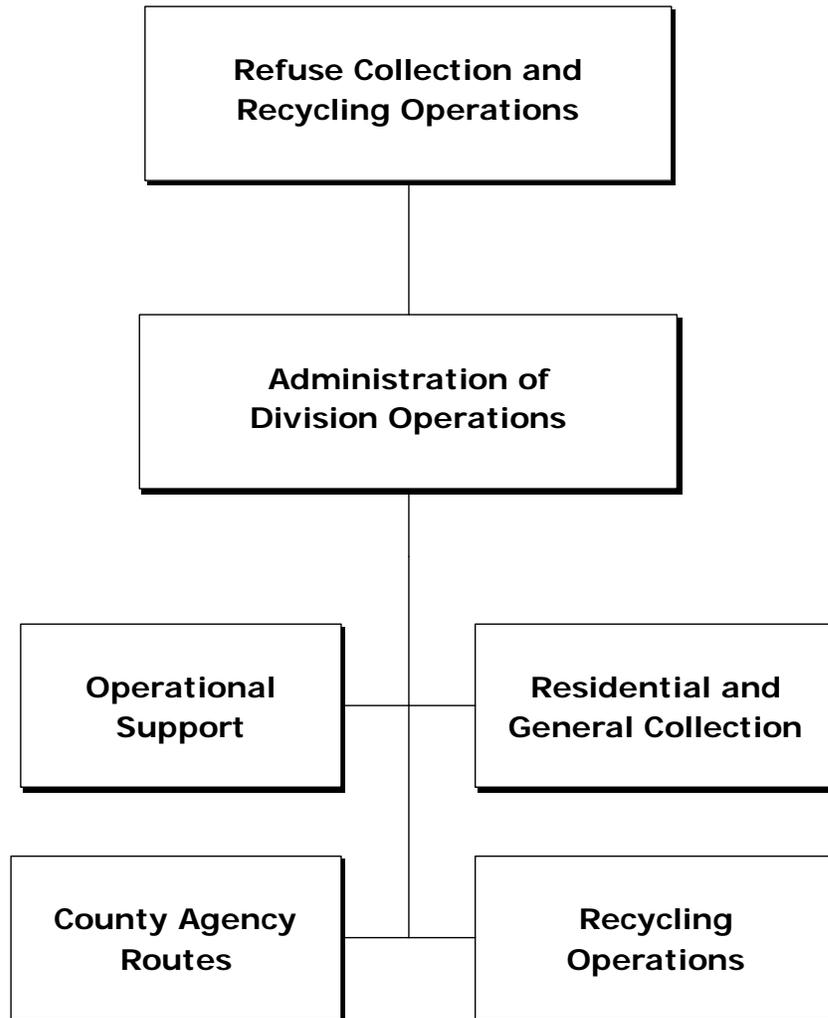
¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$1,861.90 has been reflected as a decrease to FY 2012 Interest on Investments revenue. This audit adjustment has been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustment will be included in the FY 2013 Third Quarter package.

² Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of expenditure requirements.

³ The Rate Stabilization Reserve provides funds to mitigate against any need for an unusually large rate increase in a future year.

Fund 40140

Refuse Collection and Recycling Operations



Mission

To protect Fairfax County citizens against disease, pollution, and other contamination associated with the improper disposal of refuse, by providing efficient and economical refuse collection services to citizens in 83 refuse collection sanitary districts and to Fairfax County agencies. To reduce the County's municipal solid waste stream through the effective development, implementation and management of comprehensive waste reduction and recycling programs to ensure that Fairfax County meets or exceeds the Commonwealth of Virginia's mandated goal of recycling 25 percent of the solid waste stream.

Focus

The Division of Solid Waste Collection and Recycling (DSWCR) is responsible for the collection of refuse and recyclable materials within Fairfax County's sanitary districts, County agencies and eight un-manned recycling drop-off centers. The agency coordinates the County's waste reduction and recycling program. It is also responsible for the administration and program operations of the Solid Waste General Fund Programs (e.g., Health Department Referrals, Community Cleanups, Evictions and Court-Ordered Cleanups) on behalf of the County. Fund 40140, Refuse Collection and Recycling Operations (formerly Fund 109).

Fund 40140

Refuse Collection and Recycling Operations

Residents within sanitary refuse collection districts, which are created by the Board of Supervisors upon citizen petition, are charged an annual fee for weekly refuse and recycling collection service through the semi-annual property tax collection system. In FY 2014, the rate of \$345 will remain the same as the FY 2013 Adopted Budget Plan level.

DSWCR is responsible for the collection of refuse from County agencies and several institutions including George Mason University and Northern Virginia Community College, Annandale Campus. Revenue is derived from billings to County agencies and other institutions based on the cubic yard capacity of the containers assigned to individual agencies as needed to provide adequate service. The cost per cubic yard is formula-driven and is based on fiscal year operating expenses.

DSWCR will continue two programs designed to address oversized piles of waste and illegal dumping throughout the County. The first program, entitled *MegaBulk*, provides residents with a convenient and cost-competitive way to remove oversized piles of waste while providing a revenue stream for the collection program. This service is provided by DSWCR operational staff and is billed individually to each customer based on the size of the pile of refuse that is placed at the curb. Residents who request this service from DSWCR, are provided with a price for the service prior to collection and may pay by check or credit card. Residents are not obligated to use the service even after a price quote is provided, as they may elect to use another company to perform the work.

**Refuse Collection and Recycling Operations supports
the following County Vision Elements:**



Creating a Culture of Engagement



Practicing Environmental Stewardship

The second program entitled *Clean Streets Initiative (CSI)*, partners with the Fairfax County Health Department to respond to complaints about uncollected waste dumped or illegally placed on properties throughout the County. The Health Department refers the complaint to DSWCR to contact the property owner to compel him/her to remove the waste. If the owner refuses to remove the waste, then DSWCR staff removes the material for disposal and the owner is billed for the service. If the owner still refuses to pay, a lien is placed on the property for the price of the service.

In FY 2011 and FY 2012, new rolling recycling carts were distributed to the County's approximate 44,000 residential refuse and recycling collection customers. These carts allow residents to recycle cans, bottles, paper and cardboard in the same container which then can be rolled to the curb and provide more collection capacity than the former containers. These new containers have been outfitted with radio frequency identification tags (RFID). The RFID tag allows the County to attach the serial number of the cart to an individual address in order to ensure that each residence has the appropriate containers and to track the location of the carts. In FY 2012, DSWCR also invested in a new customer service software system to better serve residents. The new system is a web-based tool hosted on a server maintained by the contractor. This software promotes improved tracking and scheduling of customer service requests and allows customers to schedule special pick-ups on line.

Recycling Operations is responsible for providing the overall management of solid waste reduction and recycling programs that are required by the County and for developing plans for future recycling

Fund 40140

Refuse Collection and Recycling Operations

programs and waste reduction systems. The goal in FY 2014 is to maintain the recycling rate in the municipal solid waste stream at or above the Commonwealth of Virginia mandated goal of 25 percent. Based on Calendar Year 2012, the current rate is 47 percent. (Please note that the annual recycling rate for Fairfax County is calculated on a calendar year basis as required by state rules.)

Agency performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2014 Advertised Budget Plan for those items.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$10,599,633	\$10,641,879	\$10,641,879	\$10,741,128
Operating Expenses	8,010,499	9,455,653	9,455,653	9,856,532
Capital Equipment	2,124,858	2,680,600	3,492,504	2,850,000
Capital Projects	14,201	125,000	982,512	125,000
Subtotal	\$20,749,191	\$22,903,132	\$24,572,548	\$23,572,660
Less:				
Recovered Costs	(\$975,817)	(\$843,332)	(\$843,332)	(\$860,753)
Total Expenditures	\$19,773,374	\$22,059,800	\$23,729,216	\$22,711,907
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	149 / 149	149 / 149	147 / 147	147 / 147

<u>Administration of Division Operations</u>	<u>Operational Support</u>	<u>County Agency Routes</u>
1 Deputy Director, DPWES and Recycling	1 Refuse Superintendent	4 Heavy Equipment Operators
1 Public Works Environmental Services Manager	2 Asst. Refuse Superintendents	1 Engineering Technician I
1 Management Analyst III	2 Public Works Environmental Services Specialists	1 Heavy Equipment Supervisor
2 Management Analysts II	1 Administrative Assistant III	
1 Safety Analyst	3 Administrative Assistants II	<u>Recycling Operations</u>
1 Network/Telecomm. Analyst I	1 Welder I	1 Public Works Environmental Services Specialist
4 Administrative Assistants IV	1 Welder II	1 Management Analyst IV
1 Administrative Assistant III	2 Equipment Repairers	1 Management Analyst II
	2 Senior Maintenance Workers	2 Management Analysts I
	4 Maintenance Supervisors	1 Internet/Intranet Architect I
		3 Heavy Equipment Operators
	<u>Residential and General Collections</u>	1 Information Officer III
	1 Management Analyst II	1 Engineering Technician II
	1 Public Works Environmental Services Specialist	1 Maintenance Worker
	4 Heavy Equipment Supervisors	
	10 Heavy Equipment Operators	
	30 Motor Equipment Operators	
	51 Maintenance Workers	
	1 Safety Analyst	

TOTAL POSITIONS
147 Positions / 147.0 FTE

Fund 40140

Refuse Collection and Recycling Operations

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$96,621**

An increase of \$96,621 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014. In addition, this adjustment results in an increase to Work Performed for Others of \$17,421 based on salary requirements.

- ◆ **Other Post-Employment Benefits** **\$2,628**

An increase of \$2,628 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

- ◆ **Operating Expense Increases** **\$100,879**

An increase of \$100,879 associated with the State Litter grant which supports litter prevention and recycling program activities and is entirely offset by State revenues. In the past this grant was accounted for at the Third Quarter Review; however, based on the receipt of the grant for many years, it is now budgeted as part of the annual budget process.

- ◆ **Intergovernmental Charges** **\$300,000**

A net increase of \$300,000 in Operating Expenses for Department of Vehicle Services charges is based on anticipated charges for fuel, vehicle replacement, and maintenance-related costs.

- ◆ **Capital Equipment** **\$2,850,000**

Funding of \$2,850,000 for the replacement of Capital Equipment including \$1,500,000 for five automated refuse trucks, \$500,000 for two cranes to collect and transport residential refuse, \$610,000 for three rear loading packers, \$70,000 for two pick-up trucks, and \$170,000 for one roll-off truck used to transport commercial compactors. These replacement items have all exceeded their useful life and are required to be replaced based on age, mileage, and frequency of costly repairs, excessive downtime and overall condition of the equipment.

- ◆ **Capital Projects** **\$125,000**

Funding of \$125,000 is included for an on-going project to repair and renovate the Newington equipment facility. This phase involves the replacement of worn and leaking metal siding and gutters on the main building, four obsolete garage doors and flooring in the this 20 year old facility, all of which are in an advance state of deterioration.

Fund 40140

Refuse Collection and Recycling Operations

◆ **Transfer to the General Fund for Indirect Costs**

It should be noted that \$535,000 is included as a transfer to the General Fund beginning in the FY 2014 Advertised Budget Plan to partially offset central support services supported by the General Fund which benefit Fund 40140, Refuse Collection and Recycling Operations. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments** **\$1,669,416**

As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved an increase of \$1,669,416, including encumbered carryover of \$811,904 and the carryover of unexpended capital project balances of \$857,512.

◆ **Position Movement** **\$0**

During FY 2013, Fund 40140, Refuse Collection and Recycling Operations, transferred 1/1.0 FTE position to Fund 40100, Stormwater Services, to support additional and specialized inspections required at a growing number of Low Impact Development facilities, including facilities with bio-retention, vegetated swales and green roofs. In addition, 1/1.0 FTE position was transferred to Fund 40150, Refuse Disposal, to support transfer station operations.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 40140

Refuse Collection and Recycling Operations

FUND STATEMENT

Fund 40140, Refuse Collection

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$11,266,686	\$9,892,024	\$11,633,002	\$8,975,596
Revenue:				
Interest on Investments ¹	\$44,923	\$29,851	\$29,851	\$18,775
Refuse Collection Fees ²	15,971,285	15,886,416	15,886,416	15,701,137
Refuse Disposal Fees	524,292	2,006,211	2,006,211	1,790,000
Leaf Collection Fees	435,004	902,736	902,736	722,876
Sale of Assets and Recyclables	408,969	395,734	395,734	664,000
Miscellaneous Revenues	1,252,314	741,112	741,112	313,327
Charges for Services	1,081,764	409,140	409,140	415,587
Replacement Reserve Fees	320,260	700,610	700,610	674,255
State Litter Funds	100,879	0	0	100,879
Total Revenue	\$20,139,690	\$21,071,810	\$21,071,810	\$20,400,836
Total Available	\$31,406,376	\$30,963,834	\$32,704,812	\$29,376,432
Expenditures:				
Personnel Services	\$10,599,633	\$10,641,879	\$10,641,879	\$10,741,128
Operating Expenses ¹	8,010,499	9,455,653	9,455,653	9,856,532
Recovered Costs ³	(975,817)	(843,332)	(843,332)	(860,753)
Capital Equipment	2,124,858	2,680,600	3,492,504	2,850,000
Capital Projects	14,201	125,000	982,512	125,000
Total Expenditures	\$19,773,374	\$22,059,800	\$23,729,216	\$22,711,907
Transfers Out:				
General Fund (10001) ⁴	\$0	\$0	\$0	\$535,000
Total Transfers Out	\$0	\$0	\$0	\$535,000
Total Disbursements	\$19,773,374	\$22,059,800	\$23,729,216	\$23,246,907
Ending Balance⁵	\$11,633,002	\$8,904,034	\$8,975,596	\$6,129,525
Wheeled Container Reserve ⁶	\$250,000	\$250,000	\$250,000	\$250,000
Collection Equipment Reserve	368,995	397,870	397,870	368,995
Recycling Equipment Reserve	305,260	305,260	305,260	305,260
PC Replacement Reserve ⁷	60,000	60,000	60,000	60,000
Construction and Infrastructure Reserve ⁸	1,907,769	1,782,769	1,782,769	1,224,467
Rate Stabilization Reserve ⁹	4,000,000	3,740,135	3,811,697	1,455,803
Residential/General Equipment Reserve ¹⁰	3,000,000	2,368,000	2,368,000	2,465,000
Unreserved Balance	\$1,740,978	\$0	\$0	\$0
Levy per Household Unit ²	\$345/Unit	\$345/Unit	\$345/Unit	\$345/Unit

Fund 40140

Refuse Collection and Recycling Operations

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$6,493.90 has been reflected as a decrease to FY 2012 Interest on Investments revenue and an audit adjustment in the amount of \$53,505.36 has been reflected as an increase to FY 2012 Operating Expenses expenditures. These audit adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

² The FY 2014 levy/collection fee per household unit is set at \$345 per unit. Although the Refuse Collection levy is separate and not a Real Estate Tax, it is included on and collected as part of the County's Real Estate Tax bill. This amount does not include approximately 451 units which will be billed directly by the agency.

³ Recovered Costs represent billings to Fund 40130, Leaf Collection, for its share of the total administrative costs for the Division of Collection and Recycling. Also included is an amount billed to Fund 40150, Refuse Disposal, for administrative costs for the recycling program which is coordinated by Fund 40140, Refuse Collection and Recycling Operations.

⁴ Funding in the amount of \$535,000 is transferred to the General Fund to partially offset central support services supported by the General Fund which benefit Fund 40140. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

⁵ Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions and expenditure requirements.

⁶ The Wheeled Container Reserve sets aside funds to purchase/replace single-stream recycling and trash collection containers for sanitary district customers.

⁷ The PC Replacement Reserve provides funding for the timely replacement of obsolete computer equipment.

⁸ The Construction and Infrastructure Reserve funds emergency repairs necessary at the Newington Solid Waste Facility.

⁹ The Rate Stabilization Reserve provides funds to mitigate against any need for an unusually large rate increase in a future year.

¹⁰ The Residential/General Equipment Reserve provides the Residential and General Collections Cost Center with set aside funds for future anticipated equipment purchases and is calculated annually based on replacement value and age of equipment.

Fund 40140

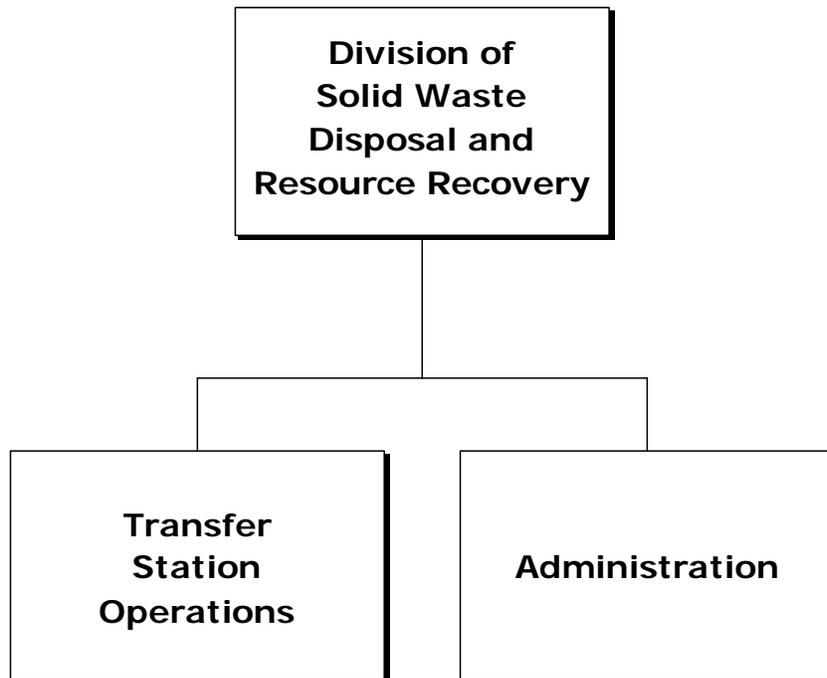
Refuse Collection and Recycling Operations

FY 2014 Summary of Capital Projects

Fund 40140, Refuse Collection and Recycling Operations

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
SW-000001	Newington Refuse Facility Enhancements	\$2,068,039	\$14,201.34	\$982,511.51	\$125,000
Total		\$2,068,039	\$14,201.34	\$982,511.51	\$125,000

Fund 40150 Refuse Disposal



Mission

To protect Fairfax County citizens against disease, pollution and other contamination associated with the improper disposal of refuse, through safe and sanitary transportation of solid waste from the I-66 Transfer Station to the Energy/Resource Recovery Facility (E/RRF). The agency also transports debris generated through the Yard Waste program to disposal facilities in Prince William and Loudoun Counties. In addition, this agency operates the Household Hazardous Waste program and the Recycling and Disposal Center, including all associated technical and administrative functions.

Focus

Fund 40150 (formerly Fund 110), Refuse Disposal, has the primary responsibility for coordinating the disposal of solid waste generated within Fairfax County by channeling the collected refuse to the E/RRF. Refuse that cannot be burned in the E/RRF is directed to a landfill or disposed of through a contractor. Yard debris is transported to Prince William County or a private compost facility. Other operations coordinated within this fund are the Recycling and Disposal Center, the Household Hazardous Waste (HHW) program, the Ordinance Enforcement program, the Brush Grinding program, the White



Fund 40150 Refuse Disposal

Goods program and the Battery program. The Administrative Cost Center performs the tasks associated with the overall administrative, technical and management functions for those funds that comprise the Division of Solid Waste Disposal and Resource Recovery. These funds are: 40150, Refuse Disposal; 40160 Energy Resources Recovery Facility; and 40170, I-95 Refuse Disposal.

The system has experienced a slight increase in waste tonnage, reflecting modest improvement in the regional and national economy.

In FY 2014, both the system disposal charge and the recycling and disposal center fee will remain at \$60 per ton, the same as in FY 2013. The contractual disposal rate for FY 2014 is anticipated to be \$54 per ton, an increase of \$1 from \$53 per ton in FY 2013. This rate increase is required to support operational requirements, maintain identified reserves, and avoid sharp rate increases in the near future. Based on this adjustment and the current projected increase in waste tonnage, the total FY 2014 revenue for the fund is projected to be \$50,342,750, an increase of \$88,998 over the FY 2013 Adopted Budget Plan total of \$50,253,752.

**Refuse Disposal supports
the following County Vision Elements:**

-  **Maintaining Safe and Caring Communities**
-  **Creating a Culture of Engagement**
-  **Practicing Environmental Stewardship**
-  **Exercising Corporate Stewardship**

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2014 Advertised Budget Plan for those items.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$9,971,943	\$10,709,528	\$10,709,528	\$10,813,028
Operating Expenses	35,958,711	38,683,304	38,683,304	39,423,694
Capital Equipment	1,842,238	2,843,000	4,707,494	1,969,000
Capital Projects	1,462,271	2,050,000	2,354,323	0
Subtotal	\$49,235,163	\$54,285,832	\$56,454,649	\$52,205,722
Less:				
Recovered Costs	(\$586,410)	(\$725,223)	(\$725,223)	(\$778,337)
Total Expenditures	\$48,648,753	\$53,560,609	\$55,729,426	\$51,427,385
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	144 / 144	144 / 144	145 / 145	145 / 145

Fund 40150 Refuse Disposal

<u>Administration</u>	<u>Transfer Station Operations</u>	
1 Director, DSWDRR	1 Public Works Environmental Services Manager	1 Code Specialist II
1 Public Works Environmental Services Manager	5 Asst. Refuse Superintendents	8 Lead Refuse Operators
1 Engineering Technician II	1 Engineer III	3 Maintenance Trade Helpers II
1 Public Works Environmental Services Specialist	3 Heavy Equipment Supervisors	1 Administrative Assistant III
1 Management Analyst III	2 Management Analysts II	1 Administrative Assistant II
1 Management Analyst II	5 Engineering Technicians II	1 Safety Analyst
1 Network/Telecom Analyst II	3 Engineering Technicians I	1 Welder II
1 Financial Specialist II	3 Environmental Technicians II	1 Welder I
3 Administrative Assistants IV	10 Weighmasters	
2 Administrative Assistants III	56 Heavy Equipment Operators	
3 Administrative Assistants II	1 Motor Equipment Operator	
	3 Senior Maintenance Workers	
	19 Maintenance Workers	
TOTAL POSITIONS		
145 Positions / 145.0 FTE		

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$98,033**
 An increase of \$98,033 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.
- ◆ **Other Post-Employment Benefits** **\$5,467**
 An increase of \$5,467 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.
- ◆ **Increased E/RRF Expenditures** **\$740,390**
 An increase of \$740,390 in Operating Expenses is due to a projected 1.5 percent increase in waste tonnage from nearly 930,250 tons to 943,755 tons, primarily due to an increase in construction debris tonnage.
- ◆ **Capital Equipment** **\$1,969,000**
 Funding of \$1,969,000 in Capital Equipment includes \$720,000 for the replacement of six refuse trailers, \$560,000 for four tractors, \$495,000 for one caterpillar rubber tire loader, \$170,000 for one roll-off truck and \$24,000 for one road tractor. These replacement items have all exceeded their useful life and are required to be replaced based on age, mileage, frequency of costly repairs, excessive downtime and overall condition of the equipment.

Fund 40150 Refuse Disposal

- ◆ **Recovered Costs** (\$53,114)
An increase of \$53,114 in Recovered Costs reflects the anticipated level of recovered administration overhead costs from other Solid Waste funds and is associated with the salary adjustments necessary to support the market rate adjustments in FY 2013.

- ◆ **Transfer to the General Fund for Indirect Costs**
It should be noted that \$535,000 is included as a transfer to the General Fund beginning in the FY 2014 Advertised Budget Plan to partially offset central support services supported by the General Fund which benefit Fund 40150, Refuse Disposal. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** \$2,168,817
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved an increase of \$2,168,817 including encumbered carryover of \$1,524,309, the carryover of unexpended capital project balances of \$304,323 and unencumbered carryover of \$340,185. The unencumbered carryover was associated with replacement equipment which was approved in FY 2012, but due to delays associated with the specialized nature of the equipment, will not be delivered until FY 2013.

- ◆ **Position Movement** \$0
During FY 2013, 1/1.0 FTE position was transferred from Fund 40140, Refuse Collection and Recycling Operations, to Fund 40150, Refuse Disposal, to support transfer station operations.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 40150 Refuse Disposal

FUND STATEMENT

Fund 40150, Refuse Disposal

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$15,314,608	\$12,068,389	\$15,322,032	\$7,346,358
Revenue:				
Interest on Investment ¹	\$40,694	\$55,977	\$55,977	\$25,160
Refuse Disposal Revenue ¹	46,839,402	47,748,935	47,748,935	48,634,590
Miscellaneous Revenue:				
White Goods	\$690,957	\$900,000	\$900,000	\$800,000
Rent of Equipment, Space	264,087	280,000	280,000	280,000
Sale of Equipment	179,342	1,029,000	1,029,000	274,000
Licensing Fees	72,480	66,840	66,840	69,000
Miscellaneous ¹	569,215	173,000	173,000	260,000
Subtotal Miscellaneous Revenue	\$1,776,081	\$2,448,840	\$2,448,840	\$1,683,000
Total Revenue	\$48,656,177	\$50,253,752	\$50,253,752	\$50,342,750
Total Available	\$63,970,785	\$62,322,141	\$65,575,784	\$57,689,108
Expenditures:				
Personnel Services	\$9,971,943	\$10,709,528	\$10,709,528	\$10,813,028
Operating Expenses ¹	35,958,711	38,683,304	38,683,304	39,423,694
Capital Equipment	1,842,238	2,843,000	4,707,494	1,969,000
Recovered Costs	(586,410)	(725,223)	(725,223)	(778,337)
Capital Projects	1,462,271	2,050,000	2,354,323	0
Total Expenditures	\$48,648,753	\$53,560,609	\$55,729,426	\$51,427,385
Transfers Out:				
General Fund (10001) ²	\$0	\$2,500,000	\$2,500,000	\$535,000
Total Transfers Out	\$0	\$2,500,000	\$2,500,000	\$535,000
Total Disbursements	\$48,648,753	\$56,060,609	\$58,229,426	\$51,962,385
Ending Balance³	\$15,322,032	\$6,261,532	\$7,346,358	\$5,726,723
Reserves:				
Equipment Reserve ⁴	\$1,835,918	\$2,056,809	\$2,056,809	\$2,000,000
Operating and Maintenance Reserve ⁵	5,107,848	1,133,193	2,218,019	686,277
Environmental Reserve	2,000,000	2,000,000	2,000,000	2,000,000
Construction Reserve ⁶	3,059,770	1,009,770	1,009,770	975,446
PC Replacement Reserve	64,853	61,760	61,760	65,000
Unreserved Balance	\$3,253,643	\$0	\$0	\$0
System Disposal Rate/Ton ⁷	\$60	\$60	\$60	\$60
Discounted Disposal Rate/Ton ⁸	\$53	\$53	\$53	\$54

Fund 40150

Refuse Disposal

¹In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$6,217.03 has been reflected as a decrease to FY 2012 Interest on Investments, \$140,874.17 has been reflected as an increase to FY 2012 Refuse Disposal Revenue, \$63,414.19 has been reflected as an increase to FY 2012 Miscellaneous revenues, and \$493,673.02 has been reflected as a decrease to FY 2012 Operating Expenses. These audit adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

²The FY 2013 Transfer Out to the General Fund represents an unused balance of an FY 2008 General Fund transfer into Fund 40150, Refuse Disposal. Moreover, funding in the amount of \$535,000 is transferred to the General Fund in FY 2014 to partially offset central support services supported by the General Fund which benefit Fund 40150. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

³Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

⁴The Equipment Reserve provides for the timely replacement of equipment required to operate the I-66 Transfer Station. Funds are transferred from Refuse Disposal revenue to the Equipment Replacement Reserve, as are proceeds from the sale of equipment. Reserve needs are calculated based on individual vehicle age, anticipated retirement date, and anticipated replacement value.

⁵The Operating and Maintenance Reserve provides funds to react to unanticipated events such as significant changes in waste quantities, increases in contract disposal rates at composting facilities and landfills, increases in fuel costs, significant reductions in revenues, etc. The reserve also acts as a rate stabilization reserve, allowing smooth transition to rate changes minimizing the impact on customers.

⁶The Construction Reserve provides for future improvements at the I-66 Transfer Station.

⁷The FY 2014 System Disposal rate is projected to remain at \$60 per ton subject to market conditions.

⁸In August 1998 (FY 1999), Fairfax County implemented a contractual rate discount that was offered to any hauler that guaranteed all of its collected refuse or a specified tonnage amount would be delivered to the Energy/Resource Recovery Facility (E/RRF) or other County disposal sites. The System Disposal rate in FY 2014 is expected to increase to \$54 per ton in order to maintain identified reserves and avoid significant increases in rates in the future.

Fund 40150

Refuse Disposal

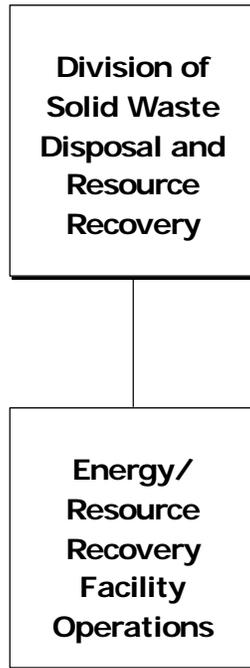
FY 2014 Summary of Capital Projects

Fund 40150, Refuse Disposal

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
SW-000002	I-66 Transfer Station Workers Facility	\$4,650,750	\$1,462,270.65	\$304,323.24	\$0
SW-000004	I-66 Administrative Building Renovation	1,200,000	0.00	1,200,000.00	0
SW-000005	I-66 Retaining Wall Ramp Rehab	850,000	0.00	850,000.00	0
Total		\$6,700,750	\$1,462,270.65	\$2,354,323.24	\$0

Fund 40160

Energy/Resource Recovery Facility



Mission

To serve Fairfax County residents by providing effective and environmentally-sound solid waste disposal by converting waste-to-energy; by reducing the need for landfill space through volume reduction of solid waste; by reducing the greenhouse gas emissions both by not landfilling waste and by generating renewal energy; and by recovering ferrous and non-ferrous metal from the ash and recycling them; and by managing the operational contract in the best interests of the residents.



Aerial view of the I-95 Energy/Resource Recovery Facility

Focus

Fund 40160 (formerly Fund 112) supports the management of the contract for the I-95 Energy/Resource Recovery Facility (E/RRF), owned and operated by Covanta Fairfax, Inc. (CFI). Under the terms of the Service Agreement, the County delivers municipal solid waste (MSW) for which it pays a disposal fee to CFI. With the approval of its Title V (Air) Permit in January 2007, the facility has the flexibility to operate at a level above its nameplate rating of 3,000 tons per day. Pursuant to an agreement between Dominion Virginia Power and CFI, signed in 1987 and amended in 1996, Dominion Virginia Power purchased up to 80 megawatts of electricity, enough to power about 75,000 homes annually. An amendment approved in FY 2008 allows CFI to generate and sell additional electricity over 80 megawatts; this additional electricity revenue further keeps the rate paid to Covanta low and benefits County residents.

Fund 40160

Energy/Resource Recovery Facility

The County charges a disposal fee to all users of the E/RRF and subsequently pays the contractual disposal fee to CFI. Revenues from the sale of electricity and recycled ferrous metals are used to offset the cost of the disposal fee charged by CFI. In FY 2012, over 75 tons of ferrous metal were recovered each day and almost 4 tons per day of nonferrous metal. This additional recycling helps support the County's excellent overall recycling rate of 47 percent. Starting in January 2013, the County will also begin receiving a 10 percent share of the recovered nonferrous metal revenue. Further, the County receives a fee for certain merchant waste processed by CFI when capacity is available; during FY 2012, over 19,500 tons of merchant waste came into the facility.

When the E/RRF is not able to handle the amount of waste available, some waste is diverted to Virginia landfills. During FY 2012 there were only 12,880 tons of diverted waste, a 75 percent reduction in bypassed waste from the previous year.

County staff must be constantly vigilant in balancing waste as a commodity to ensure that it is disposed of efficiently, cost-effectively and with minimal environmental consequences. Careful management of the Service Agreement with CFI, revenues from electricity sales, increasing metals recycling, and final payment of the construction bonds have allowed the County to hold down disposal fees charged to customers. In FY 2014, the rate will remain at \$29 per ton. Funding from the Rate Stabilization Reserve will be used to buffer against any long term adjustments to the tip fee.

The June 2012 annual stack test indicated that the overall air emissions reductions from the E/RRF, resulting from the Clean Air Act retrofits in 2000, remained well below permit limits with a further reduction in particulate matter from FY 2010.

Energy/Resource Recovery Facility Emissions Results ¹ -- June 2012		
Constituent	Permit Limit	Average E/RRF Result
Sulfur Dioxide (SO ₂)	29 ppm	5.25
Carbon Monoxide (CO)	100 ppm	3.75
Nitrogen Oxides (NO _x)	205 ppm	181.0
Hydrochloric Acid (HCL)	29 ppm	3.97
Particulate Matter (PM)	27 mg/dscm	2.61
Mercury (Hg)	0.080 mg/dscm	.0013
Lead (Pb)	0.44 mg/dscm	.01

ppm = parts per million

mg = milligram

ng = nanogram

Dscm = dry standard cubic meter

¹ Covanta Fairfax Inc. Annual Determination of Compliance with Permitted Emission Limits and 40 CFR, Subpart Cb Report, (COV Report No. 3640 Volumes 1), pages 12-15 for testing conducted May 28-June 8, 2012.

Fund 40160

Energy/Resource Recovery Facility

Ash testing, performed by an independent laboratory during May 7-13, 2012, characterized the ash from the E/RRF as non-hazardous waste. This means that the ash can continue to be disposed at the I-95 Ashfill under its permit for non-hazardous materials. The ash conditioning system that was added to the E/RRF in FY 2005 is providing stabilization for the ash that is placed in the I-95 Ashfill.

The E/RRF has helped reduce the overall Fairfax County waste system carbon emissions by about one ton of carbon for every ton of waste processed. This calculation includes the reduction in overall carbon dioxide generated by the waste management system, due to emission reductions that are realized by not transporting waste to a landfill, the actual carbon dioxide that would be generated at the landfill as well as the carbon dioxide that would be emitted to produce electricity using a fossil fuel.

The Energy/Resource Recovery Facility supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Creating a Culture of Engagement



Practicing Environmental Stewardship



Exercising Corporate Stewardship

CFI and the County have negotiated an innovative project that allows the E/RRF to use reclaimed water from the Noman Cole Wastewater Treatment Plant as the cooling water at the E/RRF, saving millions of gallons of potable water each year. The project will become operational in FY 2013. The County is always exploring new technology to ensure that the E/RRF continues to provide the required environmental service of waste processing while having the least environmental impact possible.

The Fairfax County and Covanta Fairfax, Inc. agreement extends through February 1, 2016. Currently the County is exploring all the options available for waste disposal after that time.

The Household Hazardous Waste (HHW) program, the three Conditionally Exempt Small Quantity Generator events, rechargeable battery collection, eleven "Electric Sundays," and other programs continue to remove significant amounts of materials with hazardous properties from the waste stream of the E/RRF. These measures contribute to the facility maintaining a low environmental impact for the amount of waste disposed by County residents.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the [FY 2014 Advertised Budget Plan](#) for those items.

Fund 40160

Energy/Resource Recovery Facility

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$852,655	\$850,043	\$850,043	\$916,309
Operating Expenses	15,925,742	18,817,550	18,817,550	20,535,512
Capital Equipment	31,108	0	0	0
Total Expenditures	\$16,809,505	\$19,667,593	\$19,667,593	\$21,451,821
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	12 / 12	12 / 12	12 / 12	12 / 12
1 Management Analyst III		1 Heavy Equipment Operator	1 Administrative Assistant II	
1 Management Analyst II		1 Administrative Assistant IV	5 Weighmasters	
1 Engineering Technician II		1 Administrative Assistant III		
TOTAL POSITIONS				
12 Positions / 12.0 FTE				

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$65,811**

An increase of \$7,370 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014. In addition, an amount of \$58,441 is included to support extra pay and part time non-merit salaries based on actual experience in previous years.
- ◆ **Other Post-Employment Benefits** **\$455**

An increase of \$455 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.
- ◆ **Increased Contractor Costs** **\$1,717,962**

An increase of \$1,717,962 in Operating Expenses is primarily due to an increase in disposal payments to Covanta Fairfax, Inc. based on actual experience and requirements associated with operations and maintenance.

Fund 40160 Energy/Resource Recovery Facility

◆ **Transfer to the General Fund for Indirect Costs**

It should be noted that \$42,000 is included as a transfer to the General Fund beginning in the FY 2014 Advertised Budget Plan to partially offset central support services supported by the General Fund which benefit Fund 40160, Energy/Resource Recovery Facility. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments**

\$0

As part of the *FY 2012 Carryover Review*, the Board of Supervisors made no adjustments to this fund.

Fund 40160

Energy/Resource Recovery Facility

FUND STATEMENT

Fund 40160, Energy/Resource Recovery Facility (E/RRF)

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$22,395,866	\$35,455,269	\$36,396,132	\$48,622,139
Revenue:				
Disposal Revenue	\$30,699,462	\$31,193,600	\$31,193,600	\$31,193,600
Other Revenue:				
Interest on Investments ¹	72,087	200,000	200,000	100,000
Miscellaneous ^{1,2}	38,222	500,000	500,000	125,000
Subtotal Other Revenue	\$110,309	\$700,000	\$700,000	\$225,000
Total Revenue	\$30,809,771	\$31,893,600	\$31,893,600	\$31,418,600
Total Available	\$53,205,637	\$67,348,869	\$68,289,732	\$80,040,739
Expenditures:				
Personnel Services	\$852,655	\$850,043	\$850,043	\$916,309
Operating Expenses ¹	15,925,742	18,817,550	18,817,550	20,535,512
Capital Equipment	31,108	0	0	0
Total Expenditures	\$16,809,505	\$19,667,593	\$19,667,593	\$21,451,821
Transfers Out:				
General Fund (10001) ³	\$0	\$0	\$0	\$42,000
Total Transfers Out:	\$0	\$0	\$0	\$42,000
Total Disbursements	\$16,809,505	\$19,667,593	\$19,667,593	\$21,493,821
Ending Balance⁴	\$36,396,132	\$47,681,276	\$48,622,139	\$58,546,918
Tipping Fee Reserve ⁵	\$1,500,000	\$1,500,000	\$1,500,000	\$1,500,000
Rate Stabilization Reserve ⁶	24,896,132	36,181,276	37,122,139	47,046,918
Operations and Maintenance Reserve ⁷	10,000,000	10,000,000	10,000,000	10,000,000
Unreserved Ending Balance	\$0	\$0	\$0	\$0
Disposal Rate/Ton	\$29	\$29	\$29	\$29

Fund 40160

Energy/Resource Recovery Facility

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$433.58 has been reflected as an increase to FY 2012 Interest on Investments revenue, an audit adjustment in the amount of \$1,915.00 has been reflected as a decrease to FY 2012 Miscellaneous revenue, and an audit adjustment in the amount of \$1,032,855.25 has been reflected as an increase to FY 2012 Operating Expenses expenditures. These audit adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

² Miscellaneous Revenue is generated by the excess amount that Covanta Fairfax, Inc. (CFI) charges for the disposal of Supplemental Waste.

³ Funding in the amount of \$42,000 is transferred to the General Fund to partially offset central support services supported by the General Fund which benefit Fund 40160. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

⁴ Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

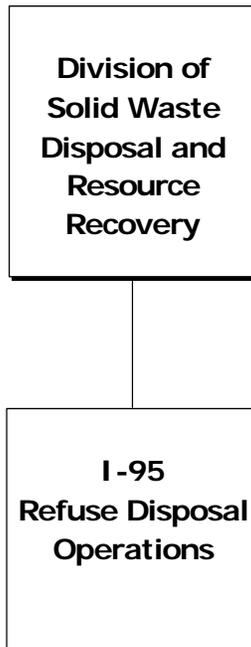
⁵ The Tipping Fee Reserve is used to buffer against sharp annual changes in tip fees. Potential changes could result from issues such as tax changes regarding energy sales, power deregulation, state or EPA environmental fees, and/or contract changes.

⁶ The Rate Stabilization Reserve (RSR) is used to buffer against long term adjustments to tip fees. Although tipping fees are maintained at the current rate, future disposal needs are undetermined, therefore, this reserve continues to rise to prevent sharp rate increases in the future.

⁷ The Operations and Maintenance Reserve is maintained for ongoing improvements and enhancements to the E/RRF including emissions control efforts. Future projects may include additional retrofits to the air pollution control systems for reductions in nitrogen oxides. The reserve will fund the County's share of the initial capital expenditures on the improvements.

Fund 40170

I-95 Refuse Disposal



Mission

To manage the I-95 Complex and to provide a site where solid waste and recyclable materials from County citizens are gathered and properly disposed, and a deposit site where ash from the Energy/Resource Recovery Facility (E/RRF) and other participating municipalities can be properly disposed.

Focus

The County has operated the I-95 Sanitary Complex for more than 25 years, and has served the solid waste disposal needs of the residents of the participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Complex closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Ashfill continues to operate as a model facility - meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills for final disposal.



The ash disposal fee in FY 2014 for Fund 40170 (formerly Fund 114), I-95 Refuse Disposal, will increase to \$19.50 per ton from \$17.50 per ton to provide adequate funding for operations and capital projects and maintain acceptable post closure reserves. The post closure reserve is required for a 30 year period after the ashfill is closed and is mandated by federal and state regulations. The current post closure reserve is \$26.5 million or approximately 54 percent of the permit requirement of \$49.2 million. In the past, high interest earning rates had provided sufficient funds to accommodate operating expenditures, as well as provide adequate reserve funding required for capital projects and

Fund 40170

I-95 Refuse Disposal

post closure care. It had allowed the fund to maintain the lower ash disposal fee of \$11.50 per ton from FY 2001 to FY 2009. Since that time, interest earnings have continued to decline and operational requirements have exceeded available resources. This rate increase is part of a phased approach to stabilize the fund and continue to build the state and federally mandated post closure reserve requirements.

I-95 Refuse Disposal supports the following County Vision Elements:



Creating a Culture of Engagement



Connecting People and Places



Exercising Corporate Stewardship

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the [FY 2014 Advertised Budget Plan](#) for those items.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$3,204,679	\$3,469,755	\$3,469,755	\$3,506,589
Operating Expenses	4,119,121	4,255,570	4,255,570	4,446,064
Capital Equipment	1,109,178	1,475,000	1,586,011	685,000
Capital Projects	3,723,913	700,000	10,378,721	3,000,000
Total Expenditures	\$12,156,891	\$9,900,325	\$19,690,057	\$11,637,653
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	41 / 41	41 / 41	41 / 41	41 / 41
1 Engineer V	4 Engineering Technicians II	1 Motor Equipment Operator		
1 Engineer III	2 Engineering Technicians I	2 Weighmasters		
1 Sr. Environmental Specialist	1 Refuse Superintendent	1 Management Analyst I		
3 Public Works Environmental Services Specialists	3 Asst. Refuse Superintendents	1 Administrative Assistant II		
1 PW/ES Technical Specialist	1 Industrial Electrician III	1 Senior Maintenance Worker		
1 Engineering Technician III	1 Industrial Electrician II	6 Maintenance Workers		
	9 Heavy Equipment Operators			
TOTAL POSITIONS				
41 Positions /41.0 FTE				

Fund 40170

I-95 Refuse Disposal

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$35,277**

An increase of \$31,070 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014. In addition, an amount of \$4,207 is included to support part-time non-merit salaries based on actual experience in previous years.

- ◆ **Other Post-Employment Benefits** **\$1,557**

An increase of \$1,557 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

- ◆ **Operational costs** **\$90,494**

An increase of \$90,494 in operational costs is primarily due to rising costs associated with the leachate removal process.

- ◆ **Intergovernmental Charges** **\$100,000**

A net increase of \$100,000 in Operating Expenses for Department of Vehicle Services charges is based on anticipated charges for fuel, vehicle replacement, and maintenance-related costs.

- ◆ **Capital Equipment** **\$685,000**

Funding of \$685,000 in Capital Equipment includes \$220,000 for the replacement of one Grader that is used to maintain the gravel shoulders and drainage ditches on either side of the main road around the ashfill site, \$400,000 for one dozer used to maneuver ash on the ashfill, and \$65,000 for one forklift. These replacement items have all exceeded their useful life and are required to be replaced based on age, mileage, frequency of costly repairs, excessive downtime and overall condition of the equipment.

- ◆ **Capital Projects** **\$3,000,000**

Funding of \$3,000,000 for Capital Projects has been included in FY 2014. Of this amount, \$1,500,000 is included to continue to upgrade the leachate collection, conveyance and monitoring system, and \$1,500,000 is for closure activities at the site. As areas of the ashfill are filled and closed, capping must be completed. In addition, some of the areas that were closed nearly 15 years ago have experienced settlement problems and the capping systems in these areas must be replaced.

- ◆ **Transfer to the General Fund for Indirect Costs**

It should be noted that \$175,000 is included as a transfer to the General Fund beginning in the FY 2014 Advertised Budget Plan to partially offset central support services supported by the General Fund which benefit Fund 40170, I-95 Refuse Disposal. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

Fund 40170 I-95 Refuse Disposal

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$9,789,732**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved encumbered carryover of \$111,011 and the carryover of unexpended project balances in the amount of \$9,678,721.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 40170 I-95 Refuse Disposal

FUND STATEMENT

Fund 40170, I-95 Refuse Disposal

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$50,912,477	\$35,251,451	\$44,911,139	\$33,224,630
Revenue:				
Interest on Investments ¹	\$141,340	\$187,736	\$187,736	\$167,239
Refuse Disposal Revenue	5,611,738	7,074,575	7,074,575	8,612,961
Other Revenue:				
Fees, Ashfill Permit	\$18,168	\$7,200	\$7,200	\$7,200
Sale of Equipment ¹	182,450	533,000	533,000	225,000
Sale of Methane Gas	191,600	191,600	191,600	191,600
Miscellaneous Revenue	10,257	9,437	9,437	9,437
Subtotal Other Revenue	\$402,475	\$741,237	\$741,237	\$433,237
Total Revenue	\$6,155,553	\$8,003,548	\$8,003,548	\$9,213,437
Total Available	\$57,068,030	\$43,254,999	\$52,914,687	\$42,438,067
Expenditures:				
Personnel Services	\$3,204,679	\$3,469,755	\$3,469,755	\$3,506,589
Operating Expenses	4,119,121	4,255,570	4,255,570	4,446,064
Capital Equipment	1,109,178	1,475,000	1,586,011	685,000
Capital Projects ²	3,723,913	700,000	10,378,721	3,000,000
Total Expenditures	\$12,156,891	\$9,900,325	\$19,690,057	\$11,637,653
Transfers Out:				
General Fund (10001) ³	\$0	\$0	\$0	\$175,000
Total Transfers Out	\$0	\$0	\$0	\$175,000
Total Disbursements	\$12,156,891	\$9,900,325	\$19,690,057	\$11,812,653
Ending Balance⁴	\$44,911,139	\$33,354,674	\$33,224,630	\$30,625,414
Reserves				
Active Cell Closure Liability Reserve ⁵	\$2,696,753	\$476,667	\$562,752	\$562,752
Environmental Reserve ⁶	5,000,000	5,000,000	5,000,000	2,000,000
Construction Reserve ⁷	380,967	0	0	0
Capital Equipment Reserve ⁸	1,126,600	1,145,000	1,145,000	1,200,000
Post-Closure Reserve ⁹	26,000,000	26,700,000	26,483,871	26,829,655
PC Replacement Reserve ¹⁰	47,131	33,007	33,007	33,007
Unreserved Ending Balance	\$9,659,688	\$0	\$0	\$0
Disposal Fee/Ton ¹¹	\$15.50	\$17.50	\$17.50	\$19.50

Fund 40170

I-95 Refuse Disposal

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$36,245.58 has been reflected as a decrease to FY 2012 Interest on Investments revenue and an audit adjustment in the amount of \$179,883.26 has been reflected as a decrease to FY 2012 Sale of Equipment revenue. These audit adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

³ Funding in the amount of \$175,000 is transferred to the General Fund to partially offset central support services supported by the General Fund which benefit Fund 40170. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

⁴ Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions and expenditure requirements.

⁵ The Active Cell Closure Liability Reserve is necessary for the closure of active disposal cells of the Ashfill and is necessary for ashfilling activities to progress in accord with state requirements.

⁶ The Environmental Reserve assures that the County has funds to implement, or at least start to implement, unplanned actions to protect the environment or meet regulatory requirements. Specific examples of future environmental projects are likely to include: Landfill Gas Control Projects, Stormwater Management, Wastewater (Leachate) Management, and Groundwater protective measures.

⁷ The Construction Reserve provides funds to meet the requirements of current and future construction projects necessary for the operation of the I-95 Ashfill, such as drainage and road-related projects.

⁸ The Capital Equipment Reserve provides for the timely replacement of equipment required to operate the I-95 Ashfill. Funds are transferred from Ash Disposal Revenue to equipment reserve as are proceeds from the sale of equipment. The reserve requirement is based on a replacement schedule comprised of yearly payments to the reserve, which are based on the useful life of the equipment and vehicles.

⁹ The Post-Closure Reserve is required for a 30-year period after the ashfill closes and is mandated by federal and state regulations. The FY 2014 projected reserve of \$26.8 million represents approximately 55 percent of the estimated requirement of \$49,152,000 and is not sufficient to cover all identified costs. Additional funds will be set aside in future years.

¹⁰ The PC Replacement Reserve provides for the timely replacement of obsolete computer equipment.

¹¹ Effective July 1, 2000 the jurisdictional fee for ash disposal was reduced from \$14/ton to \$11.50/ton. The rate remained at \$11.50/ton from FY 2001 to FY 2009, and was increased to \$13.50/ton in FY 2010 to meet operating and post closure reserve requirements. The rate is proposed to be raised to \$19.50/ton in FY 2014.

Fund 40170 I-95 Refuse Disposal

FY 2014 Summary of Capital Projects

Fund 40170, I-95 Refuse Disposal

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G25-001-000	Area 3 Lined Landfill Construction	\$24,187,561	\$533,516.08	\$2,240,961.48	\$0
2G25-002-000	I-95 Landfill Leachate Facility	4,521,000	57,973.00	2,460,749.83	1,500,000
2G25-003-000	Paved Ditch Extension Areas	1,173,900	0.00	362,818.00	0
2G25-004-000	I-95 Landfill Closure	57,766,579	3,107,398.98	4,543,555.84	1,500,000
SW-000003	Methane Gas Recovery	2,703,050	25,025.34	770,635.78	0
Total		\$90,352,090	\$3,723,913.40	\$10,378,720.93	\$3,000,000

Internal Service Funds

Overview

Internal Service Funds account for services provided by specific County agencies to other County agencies on a cost reimbursement basis. The services consist of insurance, central acquisition of commonly used supplies and equipment, vehicle fleet maintenance, communications, and data processing. Revenues of these funds consist primarily of charges to County agencies for these services. Specific funds included in this group are:

FAIRFAX COUNTY INTERNAL SERVICE FUNDS

- ◆ **Fund 60000, County Insurance Fund**, is utilized to meet the County's casualty obligations, liability exposures, and worker's compensation requirements.
- ◆ **Fund 60010, Department of Vehicle Services**, ensures that the County, School and Park Authority vehicle fleet is responsive to the transportation needs of all customer agencies and is operated in a safe and cost-effective manner.
- ◆ **Fund 60020, Document Services Division**, supports the printing, copier, and micrographic services to County and School agencies.
- ◆ **Fund 60030, Technology Infrastructure Services**, is managed by the Department of Information Technology and provides Data Center and Network Services to County agencies. Infrastructure costs associated with the operation and maintenance of the mainframe, data communications, PC replacements, and radio networks are billed to user agencies.
- ◆ **Fund 60040, Health Benefits Fund**, is the County's self-insurance fund which provides health insurance benefits to Fairfax County employees.

FAIRFAX COUNTY PUBLIC SCHOOLS INTERNAL SERVICE FUNDS

- ◆ **Fund S60000 Public School Insurance Fund**, is an insurance fund that provides administration of workers' compensation accounts, centralization of self-insurance accounts for automobile and general liability, and commercial insurance for other liabilities.
- ◆ **Fund S62000, Public School Health and Flexible Benefits Fund**, is the Fairfax County Public Schools self-insurance fund which provides health insurance benefits to its employees.
- ◆ **Fund S63000, Public School Central Procurement**, facilitates accounting of orders for textbooks, supplies, and equipment for the Fairfax County Public Schools.

Fund 60000

County Insurance Fund

Mission

To ensure the health and safety of County residents, employees, and public officials, and to protect the County's financial assets. The agency is committed to providing the highest quality customer service in managing the County's risks and exposures.

AGENCY DASHBOARD			
Key Data	FY 2010	FY 2011	FY 2012
1. County Population	1,081,726	1,096,798	1,109,668
2. County Employees	14,631	15,060	14,528
3. Medical Expenses Inflation (CPI)	2.9%	3.2%	3.9%
4. County-Owned Building Values	\$2,334,117,367	\$2,346,082,234	\$2,344,484,934
5. Investment Return Rate on Insurance Fund	1.0%	0.75%	0.6%
6. Average Age of Workers' Compensation Claim	10.20 Years	9.44 Years	10.94 Years

Focus

Fairfax County has a statutory responsibility to provide Workers' Compensation benefits, including medical treatment and loss of wages due to related disability, to employees who sustain occupational injuries and illnesses. Fund 60000 (formerly Fund 501), County Insurance Fund, was established to fulfill this obligation. The Fund also provides for countywide commercial insurance and self-insurance. The County self-insures automobile and general liability claims. Special commercial coverage is provided for aviation insurance on County helicopters, real property coverage, and Fire and Rescue Department vehicle damage insurance. Administrative expenses of risk management programs are paid through this Fund.

The County Insurance Fund supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Exercising Corporate Stewardship

Fairfax County provides a wide range of services to its employees and residents, which in turn create potential risks and exposures to the County. Some of these risks include injuries involving County employees or damage to County property; injuries to residents or damage to residents' property; automobile accidents; incidents arising from police activity; the actions of public officials; and the operation and maintenance of sewage and storm management systems.

The Risk Management Division approaches its mission from both internal and external perspectives. Recognizing that an organization the size of Fairfax County will experience losses, Risk Management staff work to mitigate losses and manage financial liabilities. This is accomplished through both self-insurance (which generally applies to losses expected to occur regularly, such as Workers' Compensation,

Fund 60000

County Insurance Fund

automobile and general liability, and police professional and public officials liability) and commercial insurance (for losses which occur infrequently but tend to be large exposures, such as real property losses, aircraft liability, and damage to high-value vehicles). Recognizing the importance of prompt and fair resolution of claims against the County, claims administration uses both in-house staff and a contract claims administrator. Risk Management is committed to the prevention of injuries in the workplace and focuses on programs that address countywide injury prevention and reduction through training and awareness campaigns. Finally, Risk Management staff focuses on building and using partnerships with other County agencies, the community, and neighboring jurisdictions to mitigate risks and to ensure excellent communication with the residents of Fairfax County.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised												
FUNDING																
Expenditures:																
Personnel Services	\$1,518,144	\$1,398,842	\$1,398,842	\$1,415,179												
Operating Expenses	18,828,380	21,391,043	21,391,043	22,241,043												
Capital Equipment	0	0	0	0												
Subtotal	\$20,346,524	\$22,789,885	\$22,789,885	\$23,656,222												
Less:																
Recovered Costs	(\$311,931)	(\$250,000)	(\$250,000)	(\$250,000)												
Total Expenditures	\$20,034,593	\$22,539,885	\$22,539,885	\$23,406,222												
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)																
Regular	14 / 14	14 / 14	14 / 14	14 / 14												
<table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">1 Risk Manager</td> <td style="width: 33%;">2 Loss Prevention Analysts II</td> <td style="width: 33%;">1 Administrative Assistant IV</td> </tr> <tr> <td>1 Insurance Manager</td> <td>1 Claims Specialist III</td> <td>2 Administrative Assistants III</td> </tr> <tr> <td>1 Loss Prevention Analyst IV</td> <td>1 Claims Specialist II</td> <td></td> </tr> <tr> <td>2 Loss Prevention Analysts III</td> <td>2 Claims Specialists I</td> <td></td> </tr> </table>					1 Risk Manager	2 Loss Prevention Analysts II	1 Administrative Assistant IV	1 Insurance Manager	1 Claims Specialist III	2 Administrative Assistants III	1 Loss Prevention Analyst IV	1 Claims Specialist II		2 Loss Prevention Analysts III	2 Claims Specialists I	
1 Risk Manager	2 Loss Prevention Analysts II	1 Administrative Assistant IV														
1 Insurance Manager	1 Claims Specialist III	2 Administrative Assistants III														
1 Loss Prevention Analyst IV	1 Claims Specialist II															
2 Loss Prevention Analysts III	2 Claims Specialists I															
TOTAL POSITIONS																
14 Positions / 14.0 FTE																

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$16,337**
 An increase of \$16,337 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this agency in FY 2014.
- ◆ **Reductions** **\$0**
 It should be noted that no reductions to balance the FY 2014 budget are included in this agency, based on both mandated requirements and the limited ability to generate personnel savings from vacancies given the small number of personnel that staff this agency.

Fund 60000 County Insurance Fund

- ◆ **General Insurance Costs** \$850,000
A net increase of \$850,000 in Operating Expenses is primarily due to anticipated litigation in automobile liability claims as well as expected increases in insurance premiums.
- ◆ **General Fund Transfer**
It should be noted that the General Fund transfer to this fund is increased by \$1,493,046 to offset increased insurance expenditures. This increased transfer will allow the Reserve for Catastrophic Occurrences to remain funded at the same level as the *FY 2013 Revised Budget Plan*.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the *FY 2013 Revised Budget Plan* since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the *FY 2012 Carryover Review*, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this fund since approval of the FY 2013 Adopted Budget Plan.

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
County Insurance Fund				
Percentage of claims processed within 30 days	97%	96%	98% / 96%	98%
Preventable accidents per 100,000 miles driven	0.90	0.89	0.60 / 0.80	0.60
Ratio of premium paid to value of assets covered	0.148%	0.123%	0.150% / 0.126%	0.138%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/60000.pdf

Performance Measurement Results

Workers' Compensation costs are the single greatest challenge to the Insurance Fund. A key factor in containing costs and expediting the return to work of injured employees is prompt and adequate medical evaluation. Awareness of the County's programs in this area, coupled with efficient reporting systems, serve both employee and County interests. The Risk Management Division now averages seven days reporting time. With 96 percent of all claims being processed within 30 days, the program approaches its ambitious goal of processing 98 percent of all claims within 30 business days from the date of incident.

Driver safety and accident prevention programs remain a priority to the County. There was a slight decrease in preventable accidents in FY 2012. Stability is anticipated in this area for FY 2013 and County staff continues to maintain the goal of reducing accident rates.

The commercial insurance portfolio is a key element in protecting the assets of the County against losses in a major event; it ensures that the County is not faced with major property, Workers' Compensation, and liability losses during periods when it cannot afford the costs associated with losses. While the actual premiums tend to increase, County staff successfully continues to maintain low rates for those premiums, surpassing the goal of a 0.150 percent premium rate by attaining a 0.126 percent rate in FY 2012.

Fund 60000

County Insurance Fund

FUND STATEMENT

Fund 60000, County Insurance Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$42,234,526	\$48,392,026	\$50,025,612	\$49,398,903
Revenue:				
Interest	\$150,476	\$275,000	\$275,000	\$275,000
Workers' Compensation	501,145	515,000	515,000	515,000
Other Insurance	119,692	105,859	105,859	105,859
Total Revenue	\$771,313	\$895,859	\$895,859	\$895,859
Transfer In:				
General Fund (10001)	\$27,054,366	\$21,017,317	\$21,017,317	\$22,510,363
Total Transfer In	\$27,054,366	\$21,017,317	\$21,017,317	\$22,510,363
Total Available	\$70,060,205	\$70,305,202	\$71,938,788	\$72,805,125
Expenditures:				
Administration	\$1,609,874	\$1,577,622	\$1,577,622	\$1,593,959
Workers' Compensation	12,793,340	13,795,000	13,795,000	13,795,000
Self Insurance Losses	2,185,003	3,496,465	3,496,465	4,196,465
Commercial Insurance Premium	3,344,586	3,527,000	3,527,000	3,677,000
Automated External Defibrillator	101,790	143,798	143,798	143,798
Total Expenditures	\$20,034,593	\$22,539,885	\$22,539,885	\$23,406,222
Expense for Net Change in Accrued Liability ¹	\$0	\$0	\$0	\$0
Total Disbursements	\$20,034,593	\$22,539,885	\$22,539,885	\$23,406,222
Ending Balance ¹	\$50,025,612	\$47,765,317	\$49,398,903	\$49,398,903
Restricted Reserves:				
Accrued Liability	\$40,416,658	\$40,416,658	\$40,416,658	\$40,416,658
AED Replacement Reserve	863,241	863,241	863,241	863,241
PC Replacement Reserve	7,200	7,200	7,200	7,200
Reserve for Catastrophic Occurrences	8,738,513	6,478,218	8,111,804	8,111,804

¹ As the Expense for Net Change in Accrued Liability does not affect the cash balance of the fund, the Ending Balance is calculated using Total Available less total Expenditures, not Disbursements.

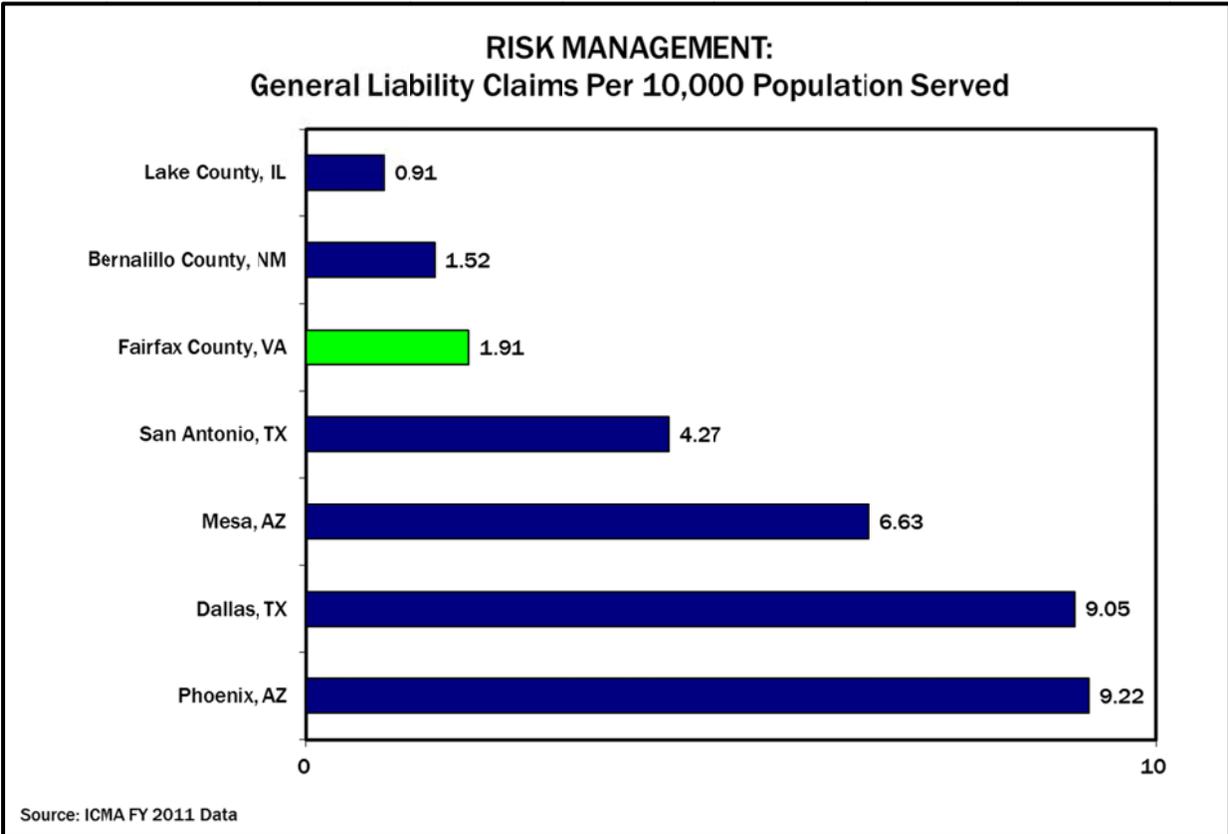
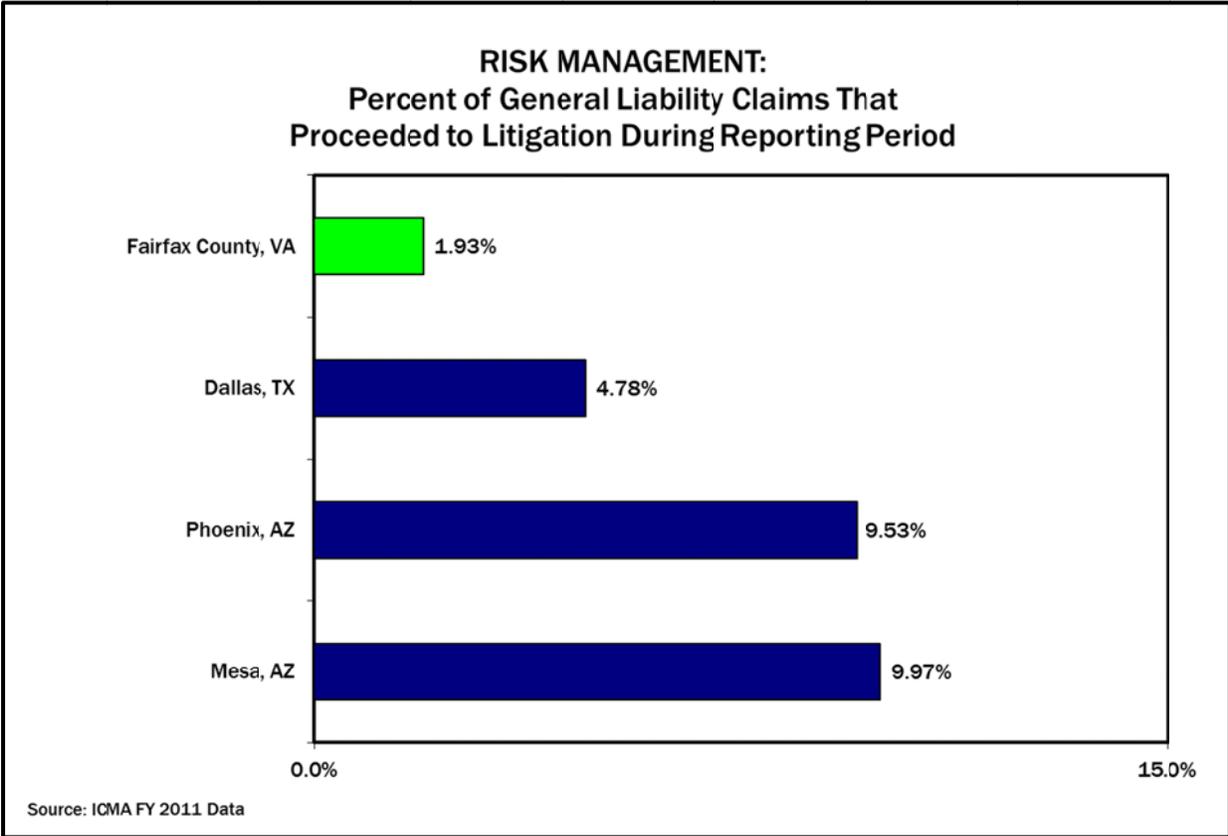
Fund 60000 County Insurance Fund

Benchmarking

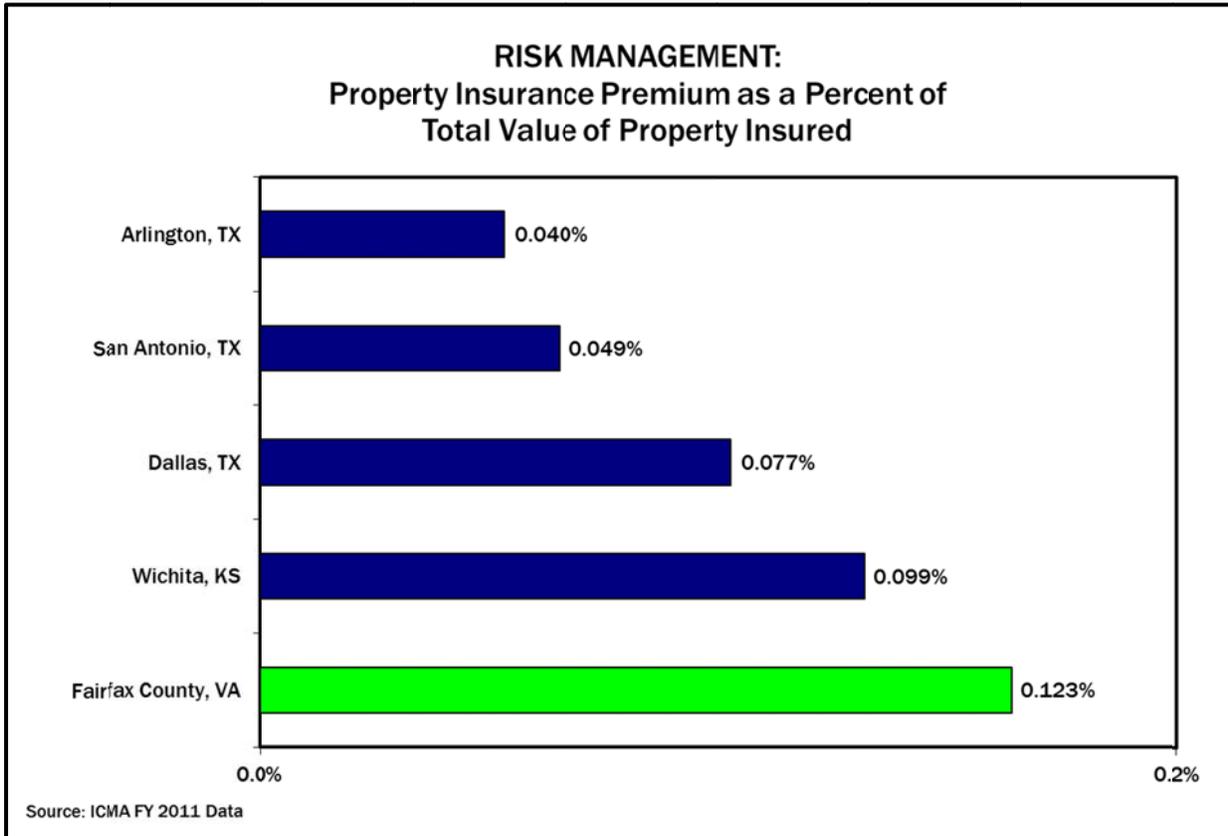
As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. More than 180 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest possible accuracy and comparability of data. As a result of the time required to collect the data and undergo ICMA's comprehensive data cleaning processes, information is always available with a one-year delay. FY 2011 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Risk Management is one of the service areas for which Fairfax County provides data. As can be seen on the following pages, Fairfax County compares favorably to the other large jurisdictions that provided data for this template. The County's General Liability claims, expenditures and percent that proceeded to litigation during the reporting period are all relatively low compared to the other responding cities and counties. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are more inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

Fund 60000

County Insurance Fund

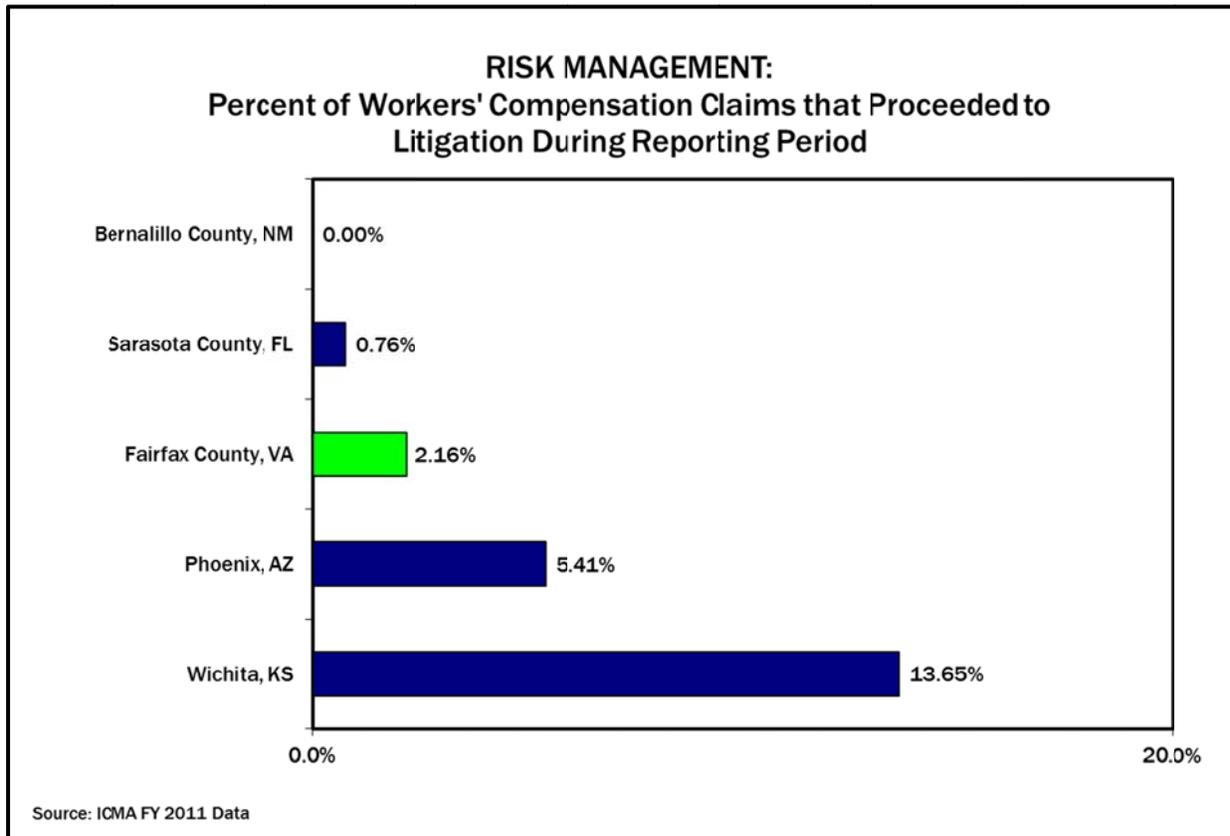


Fund 60000 County Insurance Fund



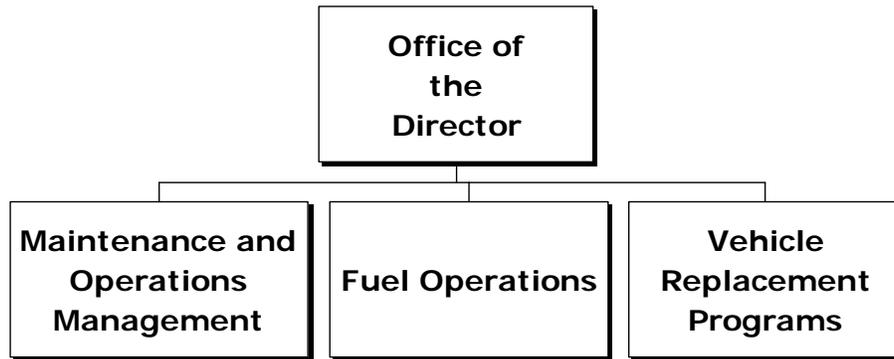
Fund 60000

County Insurance Fund



Fund 60010

Department of Vehicle Services



Mission

To establish efficient and effective delivery of fleet services by providing customer agencies with safe, reliable, economical, and environmentally-sound transportation and related support services which are responsive to the needs of customer departments, and which conserve the value of the vehicle and equipment investment.

Focus

The Department of Vehicle Services (DVS), Fund 60010 (formerly Fund 503), provides management and maintenance services to the County's vehicle fleet and maintenance support to the Fairfax County Public Schools (FCPS). At the end of FY 2012, there was a combined County and School fleet of approximately 5,810 units, of which 5,761 are maintained by DVS. Of these units, approximately 2,290 units belong to FCPS. The remaining 3,520 County units consist of approximately 1,490 units with specialized equipment (i.e., dump trucks, wreckers) or a police package, 740 vehicles over one half ton in capacity, 660 "light fleet" vehicles supporting programs and services, and 630 non-motorized units (trailers, mowers, snow plow blades). Not included in the County fleet count are vehicles owned by Fairfax Water, FASTRAN programs, or FAIRFAX CONNECTOR buses.

The department has four maintenance facilities. The Jermantown and West Ox facilities are located on the western side of the County, and the Newington and Alban facilities are located on the southern end of the County. These facilities provide timely, responsive and efficient vehicle repairs/services for a broad range of equipment from small engines to large and complex fire apparatus. Towing and road services are also provided at competitive prices ensuring a quick and effective response when needed. Two body shops located within the Newington and West Ox facilities provide prompt completion of minor repairs, reducing time out of service. A bid advertisement was accomplished in late June 2011 to rebuild the DVS Newington facility on the current site while continuing operations in the existing facility. Construction is on schedule with a scheduled occupancy date of June 2013.

DVS manages the County's Vehicle Replacement Fund, which accumulates funding over a vehicle's life in order to pay for the replacement of that vehicle when it meets replacement criteria. The current replacement criteria include the age, mileage, and condition of the vehicle. This fund is intended primarily for General Fund agencies. As of July 2012, 33 agencies participate in the fund, which includes approximately 2,265 units. Additionally, DVS manages funds for Helicopter, Boat, and Police Specialty Vehicle Replacement for the Police Department; an Ambulance and a Large Apparatus Replacement Fund for the Fire and Rescue Department; and a FASTRAN Bus Replacement Fund for the Department of

Fund 60010 Department of Vehicle Services

Neighborhood and Community Services. These funds allow the Police Department, Fire and Rescue Department, and Department of Neighborhood and Community Services to make fixed annual payments to ensure the availability of future funds for a regular replacement program.

DVS manages the County's highway vehicle fuel program, including maintenance of the County's 53 fuel sites. These sites are located at police stations, fire stations, schools, DVS maintenance facilities, Public Works facilities and Park Authority maintenance centers. DVS coordinates with Agency Directors to maintain tight controls over fuel issues to ensure agencies charge fuel directly to their agency vehicle codes and minimize the use of miscellaneous fuel codes.

Other services provided by DVS include: emergency roadside repair; oversight and records maintenance, including security administration for the County's Fleet Maintenance Information System (MIS); analysis of current fleet usage; evaluation of new technologies; operation of the County's motor pool; technical support/review of vehicle and equipment specifications; and initiation of purchase requests for certain County vehicles and related equipment.

**The Department of Vehicle Services supports
the following County Vision Elements:**



Practicing Environmental Stewardship



Exercising Corporate Stewardship

DVS continues to maintain the M4 Fleet maintenance system and provide regular training on all relevant modules to staff and customer departments. The M4 system tracks all parts issues, commercial charges and labor charges to vehicles and equipment, and provides customer departments a regular preventive maintenance schedule. The M4 system is aging and will be unsupported within a few years. In June 2012 DVS began the transition from M4 to the follow-on Fleet MIS, M5. The transition is scheduled for completion in early 2013.

DVS works to ensure that departments and agencies have the fleet means to support their missions, while maintaining fleet levels that are appropriate to actual program and service requirements. As part of this responsibility, the Fleet Utilization Management Committee (FUMC) will continue to routinely review the vehicle and equipment fleet to ensure that fleet size, use, and practices are consistent with best practices and in compliance with established policy. Also, the FUMC will continue to review and approve requests for fleet additions to ensure there is a legitimate need for fleet growth.

DVS continues to strive for economically responsible environmental stewardship by working increased fuel efficiency and reduced emissions and petroleum consumption characteristics into vehicle specifications. Specifications for new, heavy duty trucks favor the cleanest diesel engines. In anticipation of the possible adoption of ethanol as a motor fuel, DVS continues to add "flex-fuel" vehicles that can use either E85, gasoline, or any combination. The hybrid fleet now includes 116 vehicles, including six plug-in hybrids, one heavy-duty hybrid-electric truck, one plug-in hybrid-electric school bus, and one hydraulic hybrid refuse collection truck. As plug-in hybrids and electric vehicles continue to come to market, the department plans to procure small numbers of them when practical for evaluation.

Fund 60010

Department of Vehicle Services

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$18,760,071	\$20,222,248	\$20,222,248	\$20,457,894
Operating Expenses	48,843,289	46,113,437	46,645,416	48,628,222
Capital Equipment	14,001,648	14,438,475	18,627,026	16,776,767
Total Expenditures	\$81,605,008	\$80,774,160	\$85,494,690	\$85,862,883
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	258 / 258	258 / 258	258 / 258	258 / 258

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$235,646**
 An increase of \$235,646 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.
- ◆ **Operating Expenses** **\$2,514,785**
 A net increase of \$2,514,785 is due primarily to an increase of \$1.87 million, or just over 12 percent, associated with higher costs for non-fuel related Operating Expenses primarily in the area of commercial automotive repair services, oil, tires and parts. It should be noted that funding requirements in these categories have been steadily increasing over the past several years; however, limited funding adjustments have been possible as significant funding increases required for fuel have taken precedence. The agency has largely absorbed these costs in recent fiscal years but is not able to do so in FY 2014. The remaining increase of \$640,466 is associated with fuel-related costs due primarily to higher price per gallon and total gallons used estimates. In FY 2013, the budget was developed using an unleaded price of \$2.94 per gallon and a diesel price of \$3.02 per gallon. The FY 2014 budget estimates a user price of \$2.97 per gallon for unleaded and \$3.04 per gallon for diesel. These rates reflect a one percent increase over the FY 2013 level and appear to be a reasonable increase given price levels over recent months.
- ◆ **Capital Equipment** **\$16,776,767**
 Capital Equipment funding of \$16,776,767 includes the following: \$8,581,006 for the purchase of 325 vehicles that are projected to meet age and mileage criteria for replacement in FY 2014; \$5,576,760 for the purchase of eight vehicles out of the Fire Apparatus Replacement Fund; \$980,342 for the replacement of two vehicles out of the Police Special Vehicle Replacement Fund; \$924,659 for necessary facility equipment including five fuel tanks, twelve heavy duty vehicle lifts, one canopy, three A/C recycling machines, one heavy duty truck wheel balancer and one tire balancing machine; \$594,000 for the replacement of two vehicles out of the Ambulance Replacement Fund; and \$120,000 to purchase two buses out of the FASTRAN Bus Replacement Fund.

Fund 60010

Department of Vehicle Services

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments** **\$4,720,530**

As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered funding of \$3,598,584, an adjustment of \$787,875 to allow for the replacement of ambulances that are scheduled to meet age and mileage criteria in FY 2013, and an appropriation of \$334,071 from the Police Specialty Vehicle Reserve to allow the Police Department to replace an over 30-year old bus that has been used for a long period as a hostage negotiator vehicle with a hostage rescue vehicle as that is a higher department necessity at this time.

Cost Centers

The Department of Vehicle Services provides services in support of the County's fleet in three distinct cost centers: Maintenance and Operations Management, Vehicle Replacement Program, and Fueling Operations. The majority of the agency's positions and funding are centered in Maintenance and Operations Management.

Maintenance and Operations Management

The Maintenance and Operations Management Cost Center provides centralized maintenance and repair services and performs required special tasks on vehicles and equipment owned by County agencies and Fairfax County Public Schools (FCPS) through the use of County staff and contractors. DVS ensures that these vehicles and equipment are maintained in safe operational condition and are in accordance with all federal, state, and County policies, procedures and regulations, and ensure that vehicles are maintained as efficiently and cost-effectively as possible with consideration to the customer's requirements.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$34,722,240	\$35,597,439	\$35,949,241	\$38,005,330
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	256 / 256	256 / 256	256 / 256	256 / 256
1 Director	1	1 Material Mgmt. Assistant	1	1 Maintenance Trade Helper II
2 Assistant Directors	6	6 Assistant Superintendents	1	1 Business Analyst III
3 Administrative Assistants IV	1	1 Inventory Mgmt. Supervisor	1	1 Network Telecom Analyst II
2 Administrative Assistants III	2	2 Management Analysts III	1	1 Information Technology Tech. II
8 Administrative Assistants II	1	1 Management Analyst II	17	17 Motor Mech. Supervisors
3 Material Mgmt. Supervisors	1	1 Human Resource Generalist I	5	5 Motor Equipment Superintendents
1 Material Mgmt. Specialist III	101	101 Mechanics II	3	3 Auto Body Repairers II
9 Material Mgmt. Specialists II	71	71 Mechanics I	3	3 Auto Body Repairers I
11 Material Mgmt. Specialists I				
TOTAL POSITIONS				
256 Positions / 256.0 FTE				

Fund 60010

Department of Vehicle Services

Vehicle Replacement Programs

The Vehicle Replacement Programs Cost Center manages the Vehicle Replacement Reserve which accumulates funding over the life of a vehicle (or equipment) in order to pay for the replacement of the vehicle at such time as the vehicle meets replacement criteria. This reserve is intended primarily for General Fund agencies. In addition, the cost center manages six other specialty vehicle replacement funds for the Police Department, Fire and Rescue Department and the Department of Neighborhood and Community Services. These reserves ensure the systematic replacement of vehicles which have completed their cost-effective life cycles.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$14,037,997	\$14,128,415	\$18,128,202	\$15,852,108
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	1 / 1	1 / 1	1 / 1	1 / 1
1 Motor Equipment Superintendent				
TOTAL POSITIONS				
1 Position / 1.0 Staff Year				

Fueling Operations

The Fueling Operations Cost Center manages the County's highway vehicle fuel program by purchasing approximately 10 million gallons of fuel annually at a significant cost savings to agencies. In addition, the cost center is responsible for managing the automated fuel system and maintaining the County's 53 fuels sites while ensuring compliance with federal and state underground storage tank regulations.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$32,844,771	\$31,048,306	\$31,417,247	\$32,005,445
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	1 / 1	1 / 1	1 / 1	1 / 1
1 Heavy Equipment Operator				
TOTAL POSITIONS				
1 Position / 1.0 Staff Year				

Fund 60010

Department of Vehicle Services

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Maintenance and Operations Management				
Vehicle availability rate	98.1%	97.6%	97.0% / 97.5%	97.0%
Percent of days 97 percent target was achieved	100.0%	89.8%	90.0% / 82.7%	90.0%
Vehicle Replacement Programs				
Percent of vehicles meeting criteria that are replaced	27.0%	94.0%	100.0% / 100.0%	100.0%
Fueling Operations				
Price savings between in-house and commercial stations: unleaded gasoline	\$0.157	\$0.143	\$0.050 / \$0.138	\$0.100
Price savings between in-house and commercial stations: diesel	\$0.326	\$0.200	\$0.150 / \$0.205	\$0.100

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/60010.pdf

Performance Measurement Results

In FY 2012, DVS was able to ensure, on a countywide basis, that customer agency vehicles were available for use and were in safe operational condition. A total of 5,761 County and School units (motorized and non-motorized) were maintained. It should be noted that “units maintained” in any given year may include vehicles authorized as additions in a previous year, but not received until the indicated year.

The number of vehicles in the Vehicle Replacement Reserve (VRR) decreased in FY 2012 primarily due to normal fluctuations in the number of vehicles in the VRR at different points in time. DVS replaced 100 percent of VRR vehicles that met the established criteria in FY 2012.

The Fueling Operations measures examine the cost savings between County contracts and private providers, as well as how satisfied County vehicle drivers are with fueling operations. In FY 2012, gallons of fuel purchased increased and fuel prices experienced a significant upward trend leading to an increase in the average cost per gallon. Given the amount of fuel gallons used by the County, the savings remain significant. As in past years, County customers purchasing unleaded gasoline and diesel fuel continue to benefit from cost savings per gallon compared to commercial prices.

Fund 60010

Department of Vehicle Services

FUND STATEMENT

Fund 60010, Department of Vehicle Services

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$40,594,945	\$30,976,993	\$39,315,369	\$29,245,655
Vehicle Replacement Reserve	\$9,456,223	\$7,808,899	\$8,634,297	\$4,379,550
Facility Infr./Renewal Reserve	1,271,721	996,721	1,271,721	1,021,631
Ambulance Replacement Reserve	3,033,484	2,437,484	3,257,776	1,983,401
Fire Apparatus Repl. Reserve	7,901,199	7,845,403	10,318,890	8,834,570
School Bus Replacement Reserve	17,019	17,019	17,019	17,019
FASTRAN Bus Repl. Reserve	1,717,610	1,717,610	1,792,572	1,867,534
Helicopter Replacement Reserve	4,061,061	12,911	66,089	706,089
Boat Replacement Reserve	229,046	298,065	229,046	298,065
Police Specialty Vehicle Reserve	2,988,685	3,234,445	2,988,685	2,862,434
Fuel Operations Reserve	1,273,208	1,057,996	1,887,147	397,050
Fuel Price Stabilization Reserve	4,000,000	4,000,000	4,000,000	4,000,000
Other	4,645,689	1,550,440	4,852,127	2,878,312
Unreserved Beginning Balance	\$0	\$0	\$0	\$0
Revenue:				
Vehicle Replacement Charges ¹	\$4,409,657	\$6,294,749	\$7,394,749	\$6,492,735
Ambulance Repl. Charges	224,292	214,000	214,000	514,000
Fire Apparatus Repl. Charges	4,893,632	3,134,000	3,134,000	3,834,000
FASTRAN Bus Repl Charges	74,962	74,962	74,962	74,962
Helicopter Repl. Charges	2,300,000	640,000	640,000	640,000
Boat Replacement Charges	0	69,019	69,019	69,019
Police Specialty Veh. Charges	0	245,760	245,760	245,760
Vehicle Fuel Charges	33,454,120	29,927,150	29,927,150	31,658,781
Other Charges ¹	34,968,769	33,725,336	33,725,336	36,351,563
Total Revenue	\$80,325,432	\$74,324,976	\$75,424,976	\$79,880,820
Total Available	\$120,920,377	\$105,301,969	\$114,740,345	\$109,126,475

Fund 60010

Department of Vehicle Services

FUND STATEMENT

Fund 60010, Department of Vehicle Services

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Expenditures:				
Vehicle Replacement	\$5,231,583	\$6,911,315	\$9,599,496	\$8,581,006
Facility Infrastructure/Renewal	0	0	250,090	0
Ambulance Replacement	0	850,500	1,638,375	594,000
Fire Apparatus Replacement	2,475,941	6,366,600	6,518,320	5,576,760
FASTRAN Bus Replacement	0	0	0	120,000
Helicopter Replacement	6,294,972	0	0	0
Police Specialty Replacement	0	0	372,011	980,342
Fuel Operations:				
Fuel	32,236,572	30,138,129	30,339,517	30,761,810
Other Fuel Related Expenses	603,609	910,177	1,077,730	1,244,021
Other:				
Personnel Services	18,828,334	20,156,433	20,156,433	20,391,306
Operating Expenses	15,928,614	15,380,946	15,474,947	17,255,265
Capital Equipment ¹	5,383	60,060	67,771	358,373
Total Expenditures	\$81,605,008	\$80,774,160	\$85,494,690	\$85,862,883
Total Disbursements	\$81,605,008	\$80,774,160	\$85,494,690	\$85,862,883
Ending Balance²				
	\$39,315,369	\$24,527,809	\$29,245,655	\$23,263,592
Vehicle Replacement Reserve	\$8,634,297	\$4,757,510	\$4,379,550	\$2,291,279
Facility Infr./Renewal Reserve	1,271,721	996,721	1,021,631	1,021,631
Ambulance Replacement Reserve	3,257,776	1,950,984	1,983,401	1,903,401
Fire Apparatus Replacement Reserve	10,318,890	6,512,803	8,834,570	7,091,810
School Bus Replacement Reserve	17,019	17,019	17,019	17,019
FASTRAN Bus Repl. Reserve	1,792,572	1,792,572	1,867,534	1,822,496
Helicopter Replacement Reserve	66,089	652,911	706,089	1,346,089
Boat Replacement Reserve	229,046	367,084	298,065	367,084
Police Specialty Veh. Reserve	2,988,685	3,480,205	2,862,434	2,127,852
Fuel Operations Reserve	1,887,147	0	397,050	50,000
Fuel Price Stabilization Reserve	4,000,000	4,000,000	4,000,000	4,000,000
Other	4,852,127	0	2,878,312	1,224,931
Unreserved Ending Balance	\$0	\$0	\$0	\$0

¹ In order to account for expenditures and revenues in the proper fiscal year, audit adjustments in the amount of \$1,187,616.68 have been reflected as a decrease to FY 2012 expenditures and \$180,420.68 have been reflected as a decrease to FY 2012 revenues. These adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

² The Ending Balance in Fund 60010, Department of Vehicle Services, fluctuates based on vehicle replacement requirements in a given year. Except in rare cases, vehicles are not replaced until they have met both established age and mileage criteria. In years where more vehicles meet their criteria and are replaced, the ending balance will be lower (and vice versa).

Fund 60010

Department of Vehicle Services

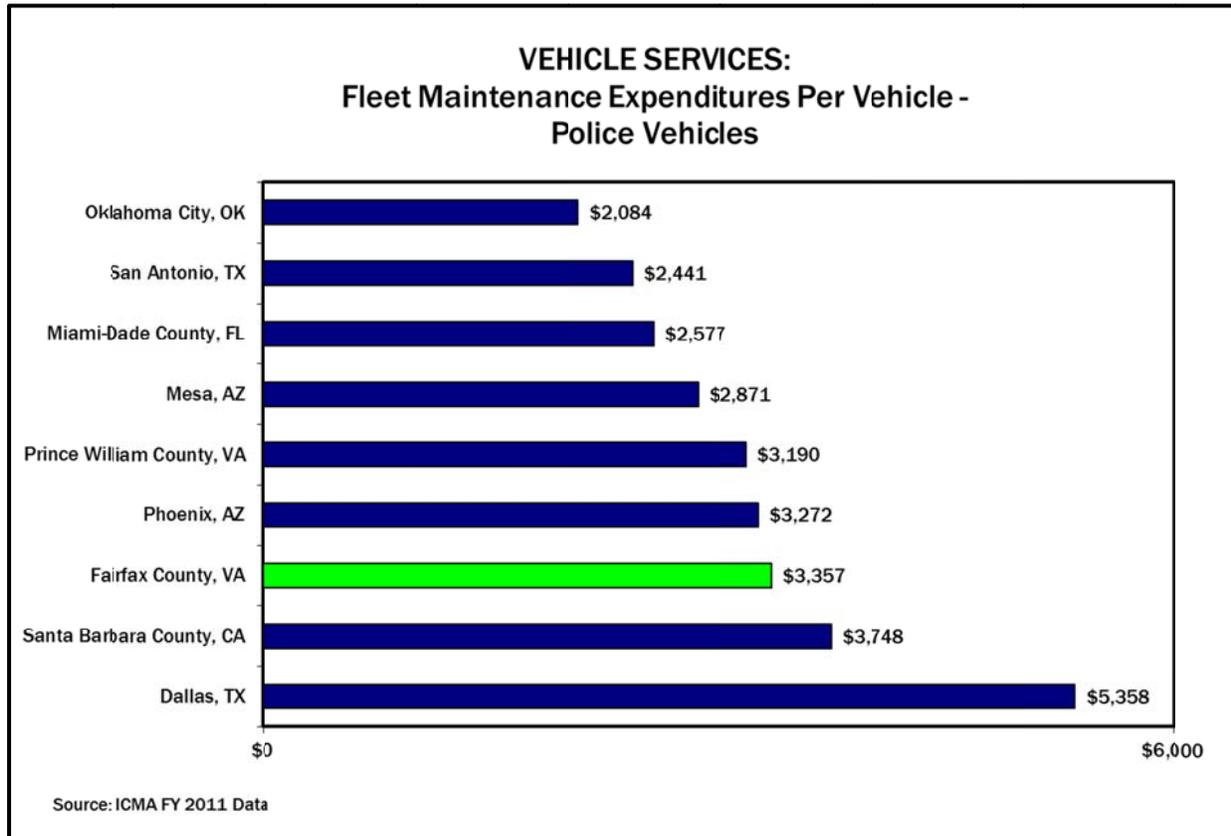
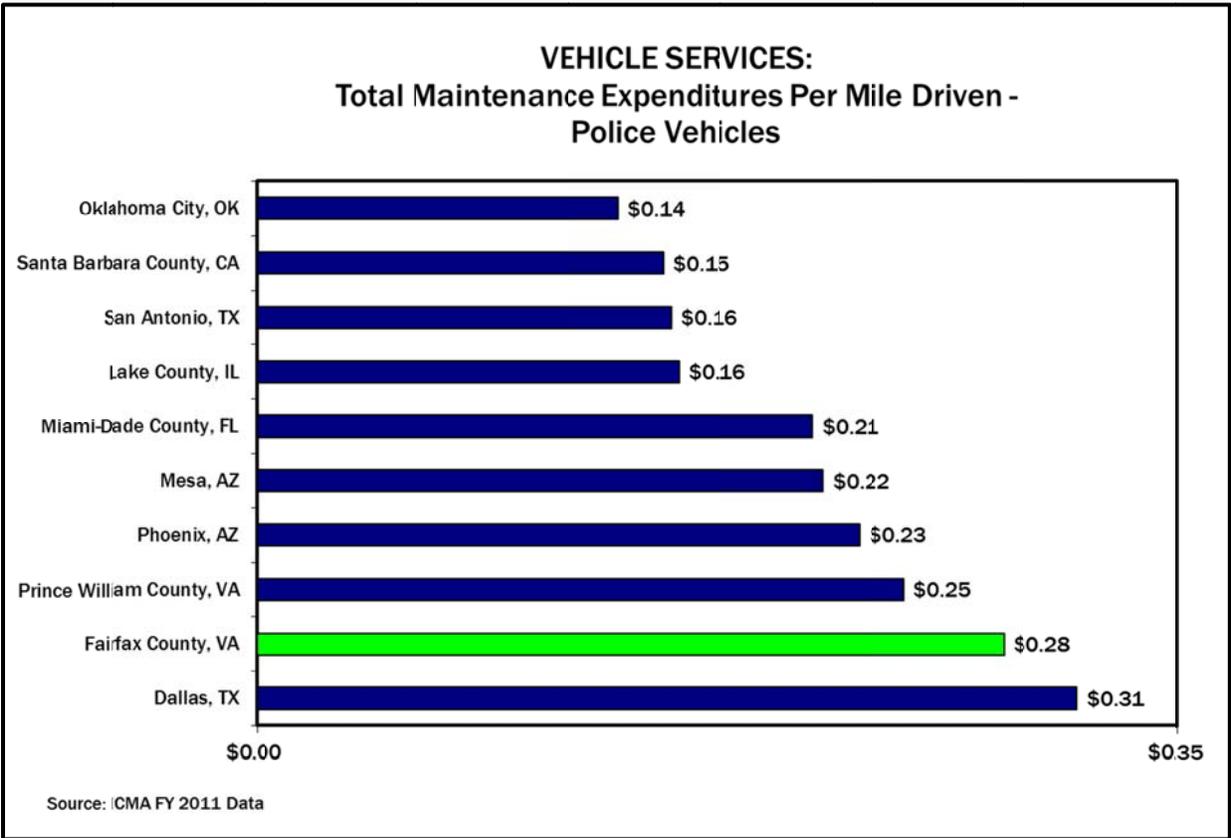
Benchmarking

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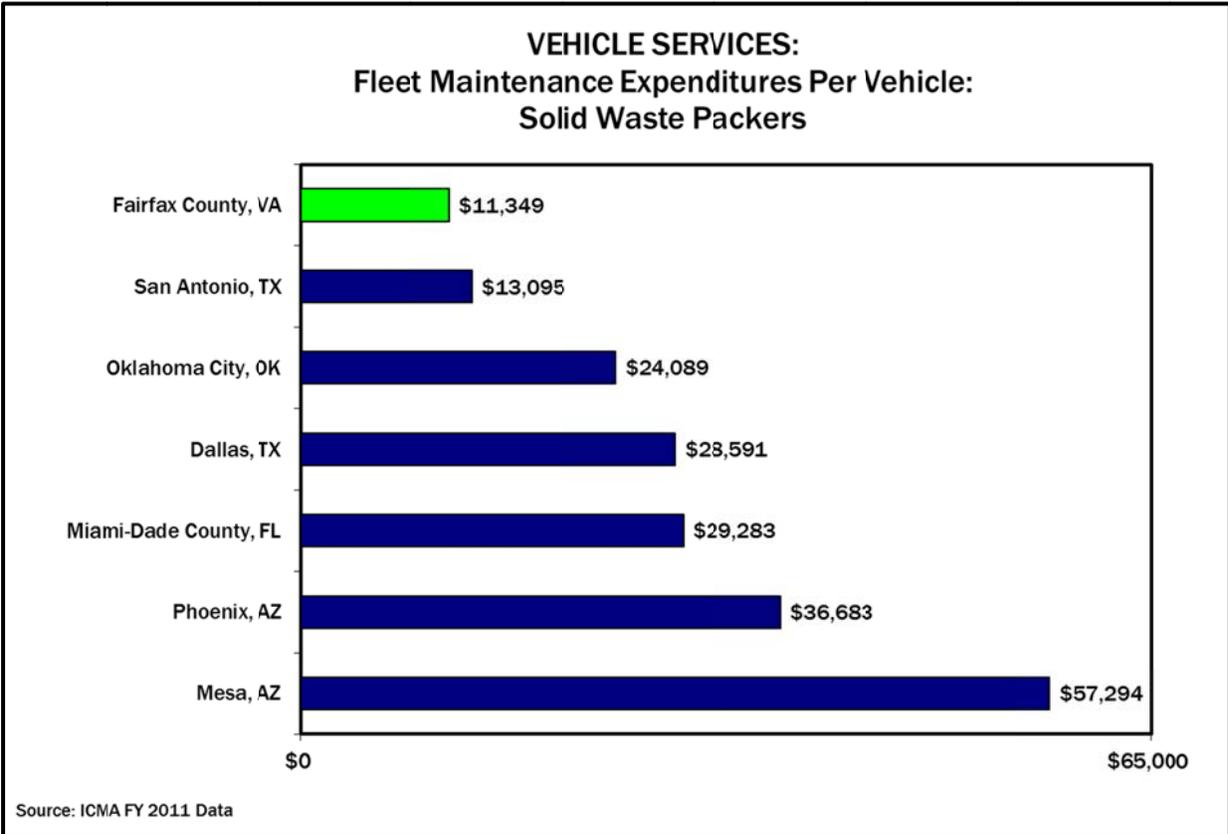
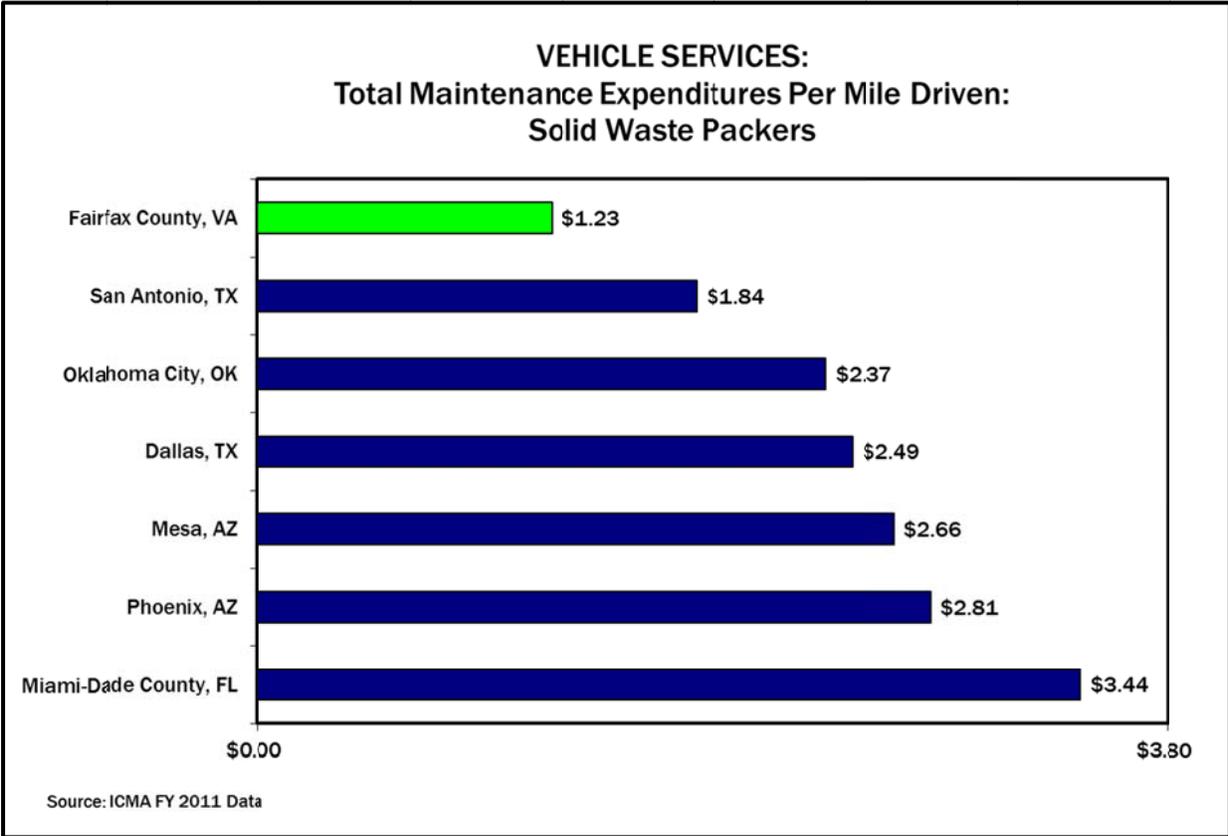
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Fund 60010

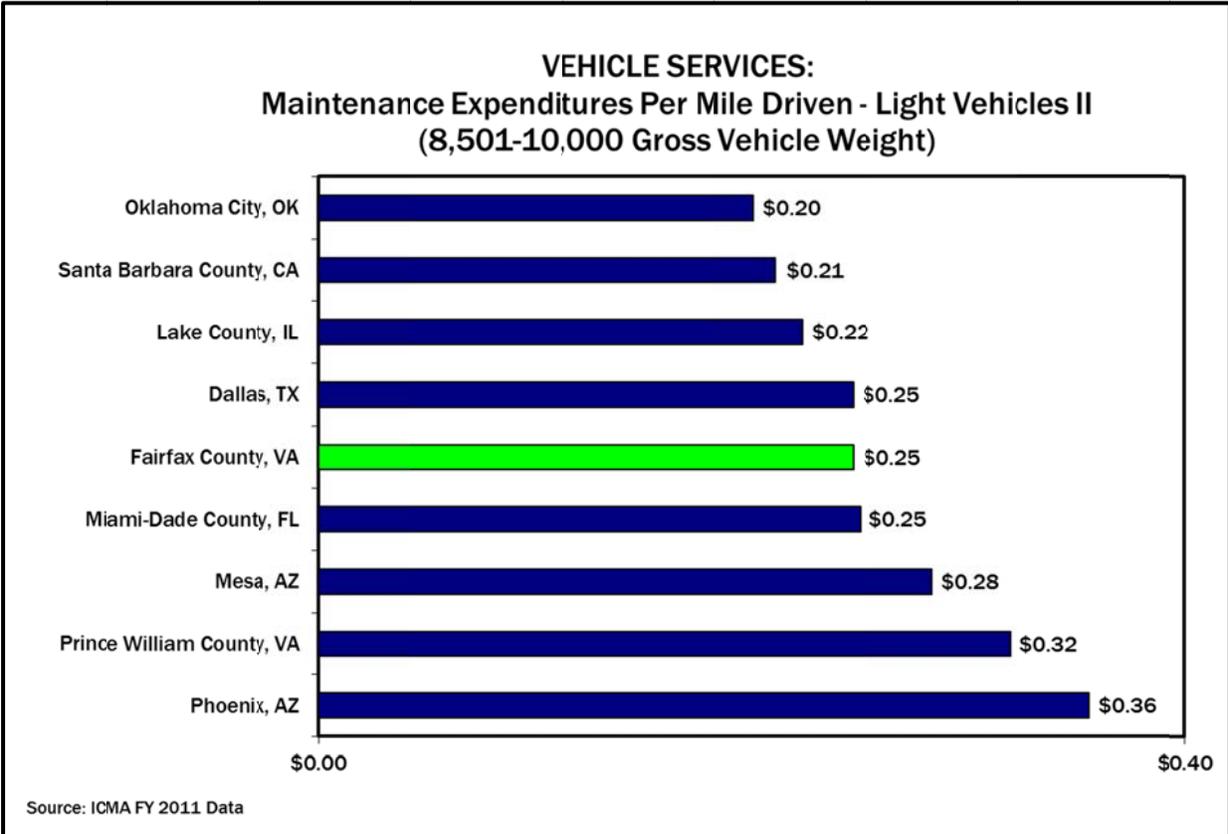
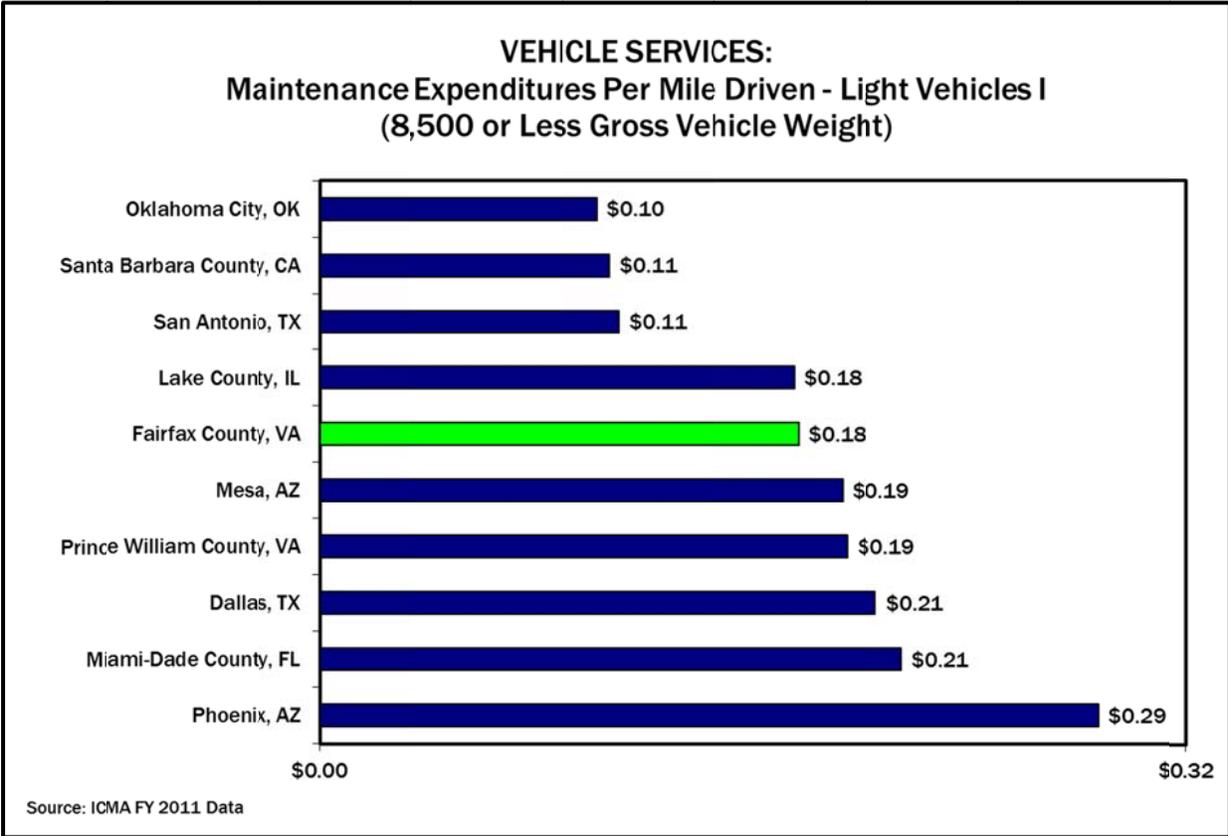
Department of Vehicle Services



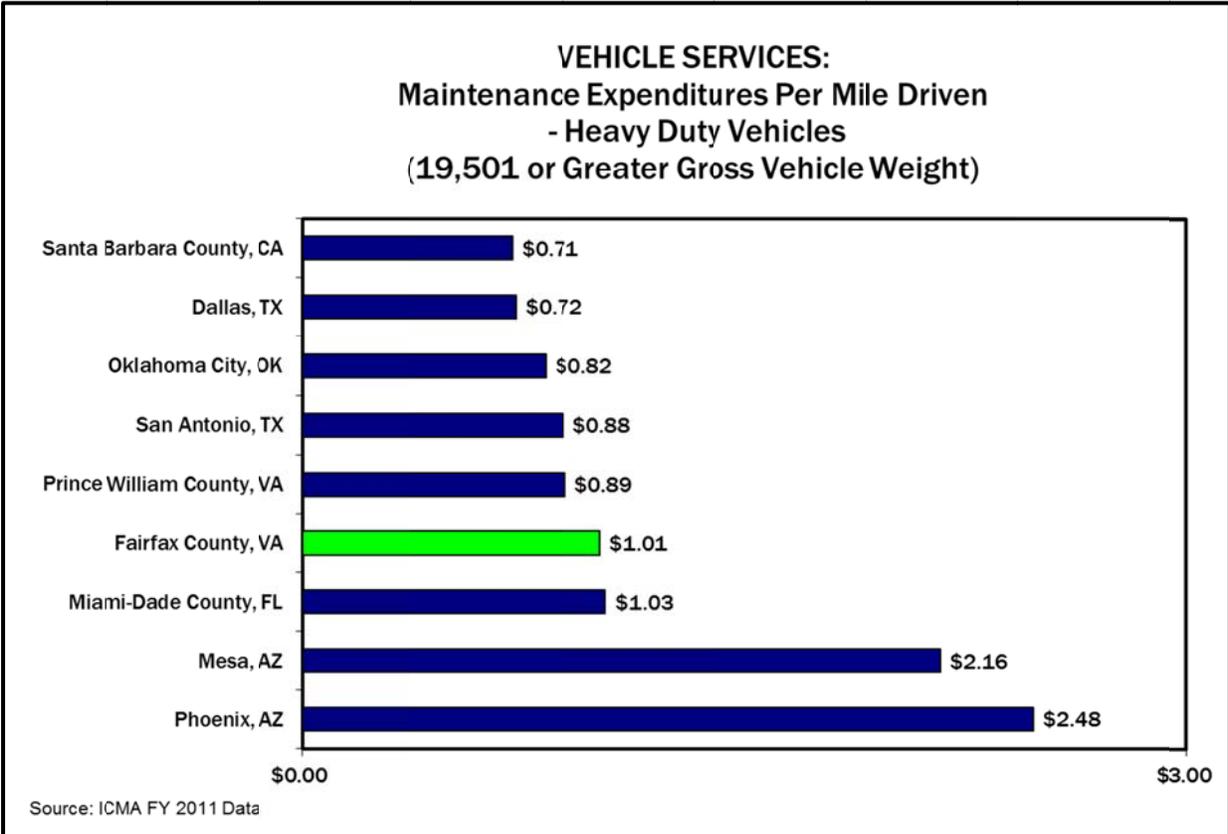
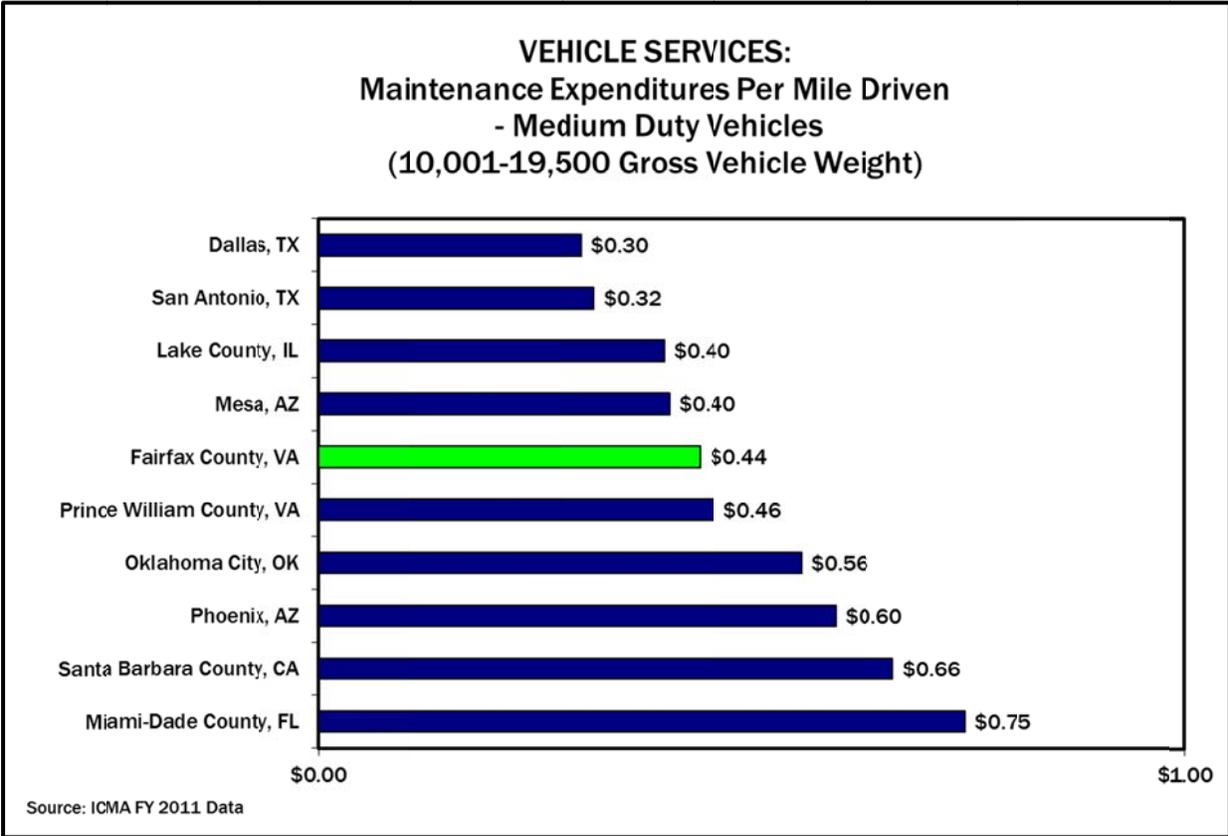
Fund 60010 Department of Vehicle Services



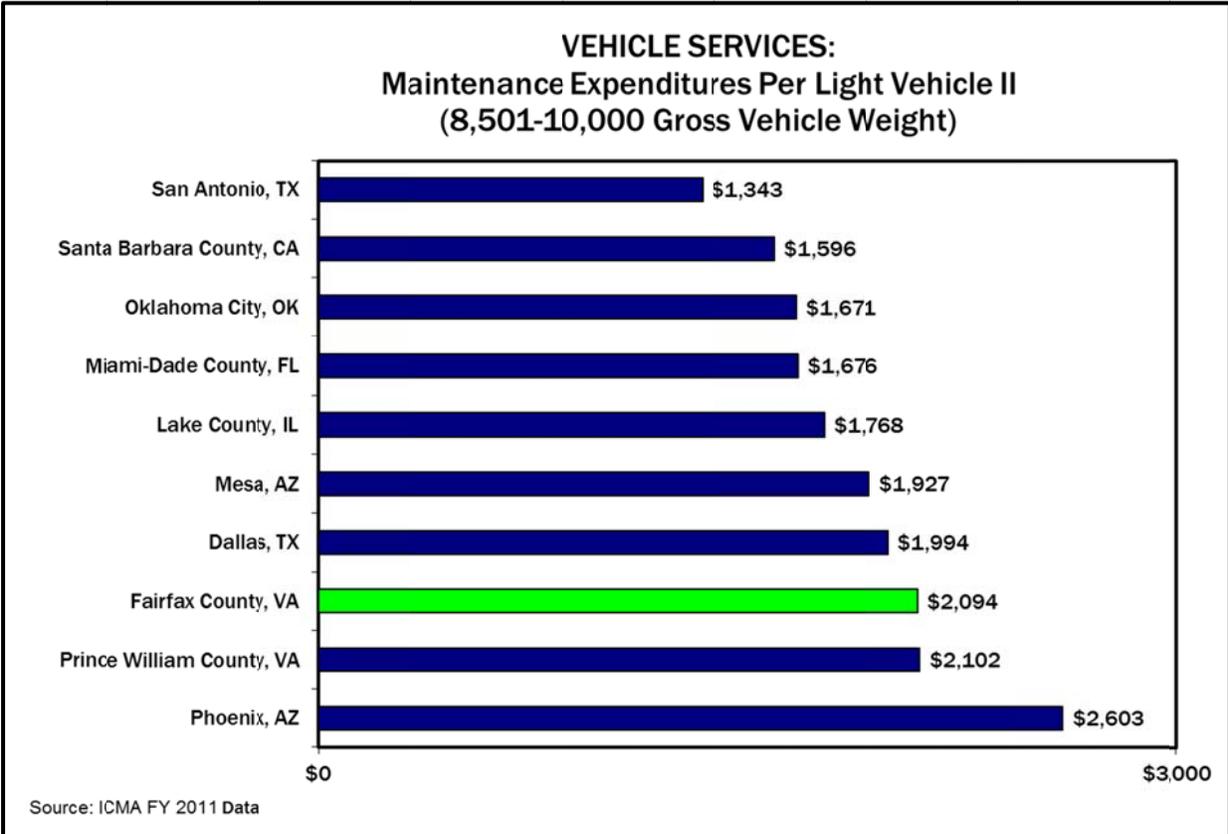
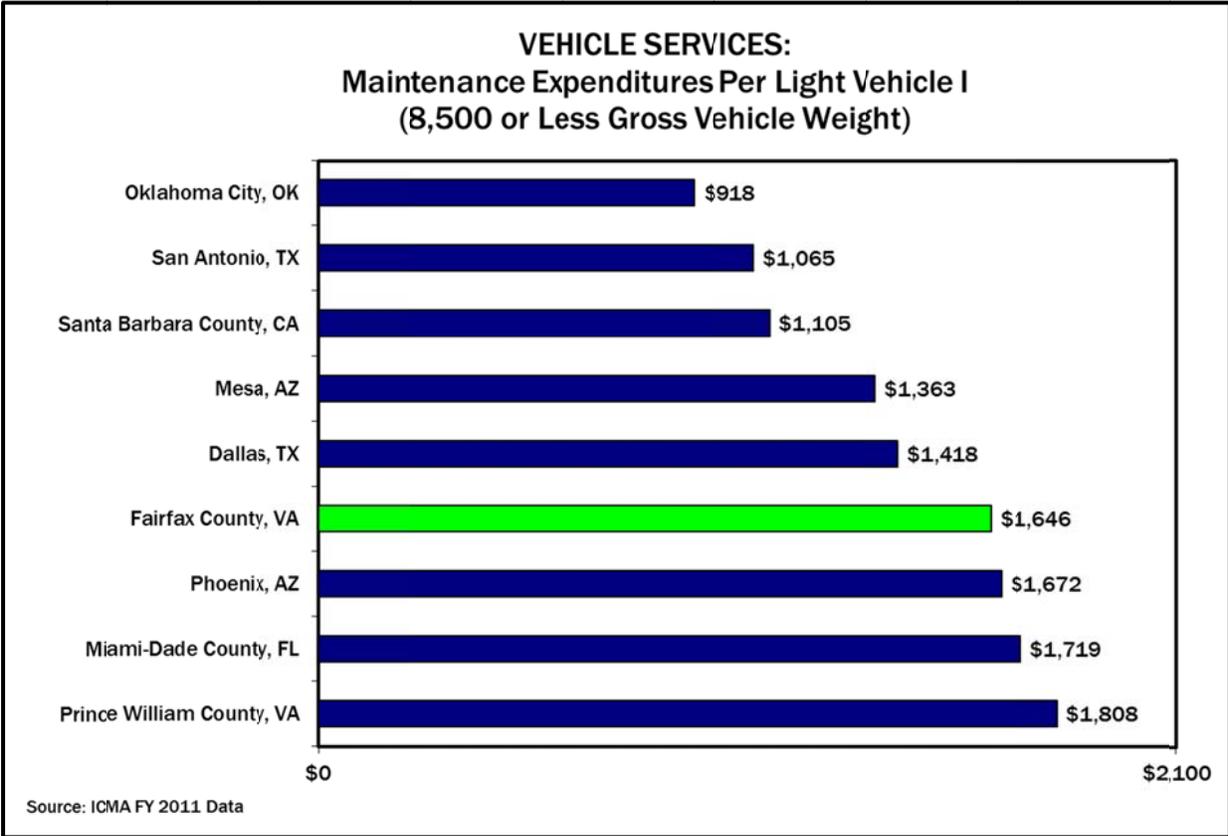
Fund 60010 Department of Vehicle Services



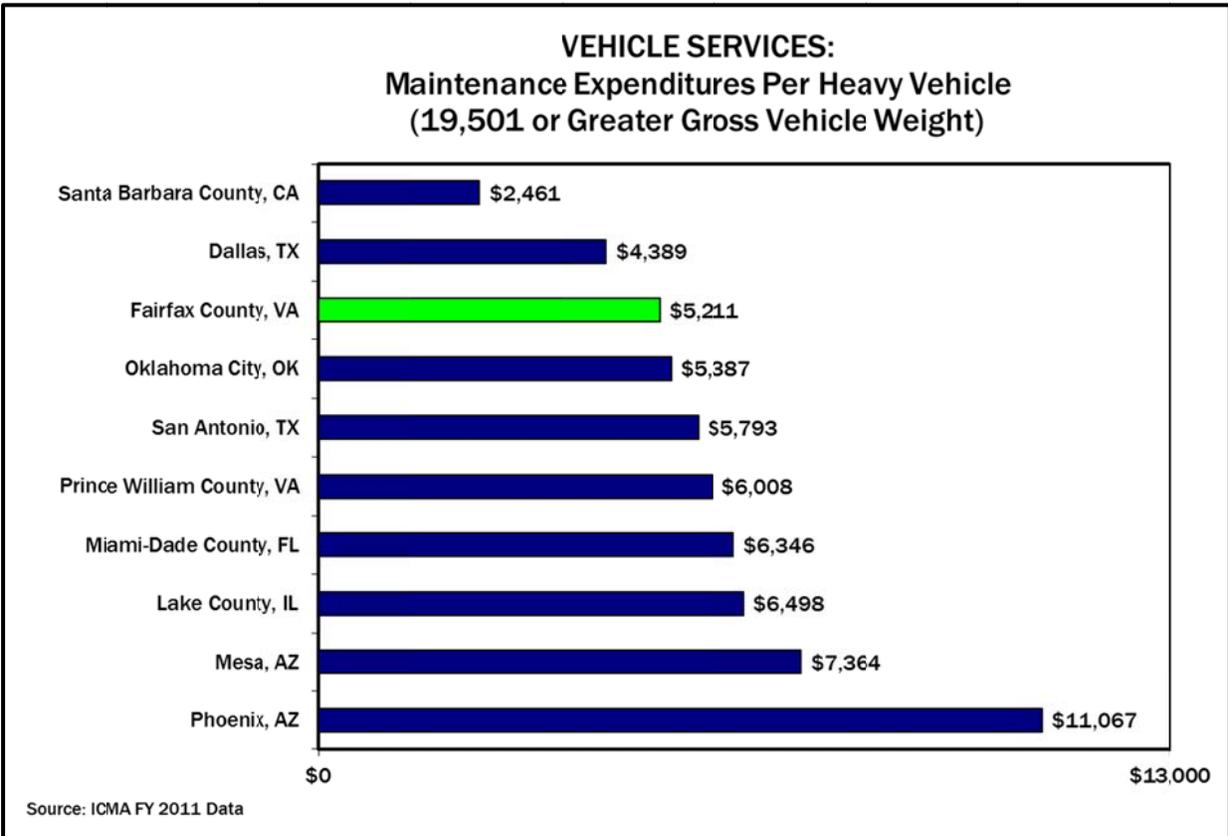
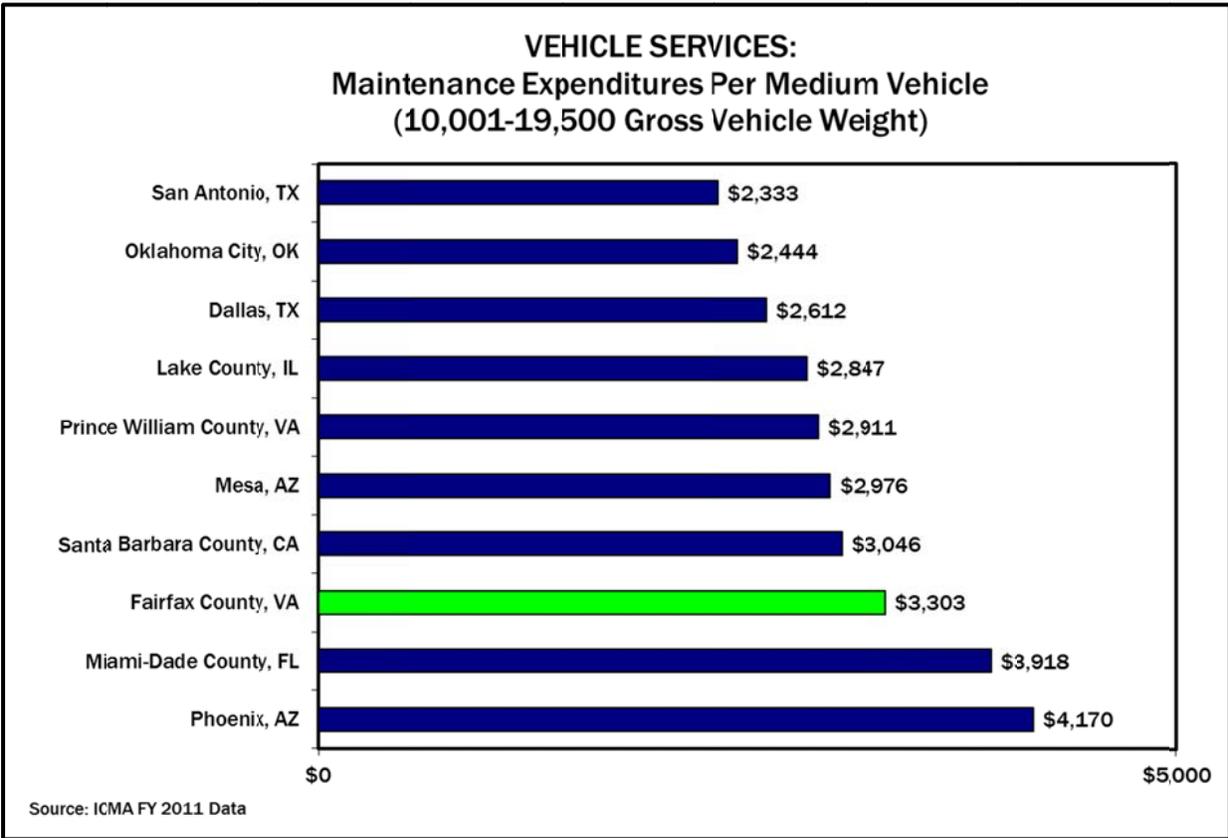
Fund 60010 Department of Vehicle Services



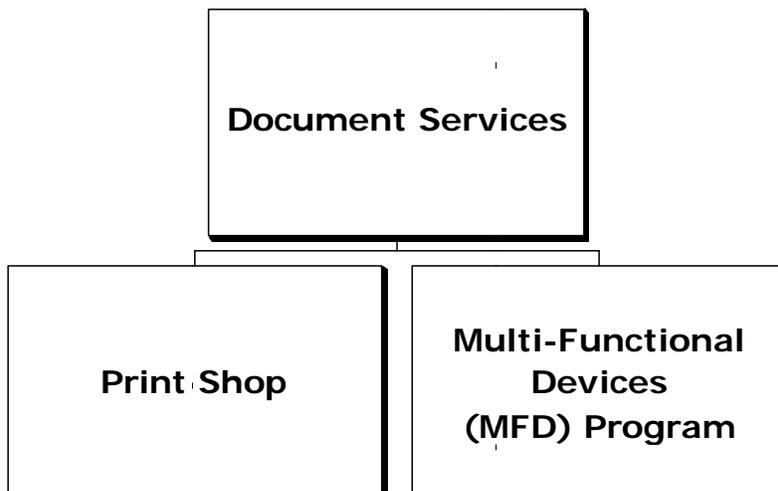
Fund 60010 Department of Vehicle Services



Fund 60010 Department of Vehicle Services



Fund 60020 Document Services



To provide quality and timely high-speed production printing and output services to County agencies as well as to the Fairfax County Public School System.

Focus

As part of the FY 2011 Adopted Budget Plan, the Printing and Duplicating Services (the Print Shop), previously managed by the Department of Cable and Consumer Services (DCCS), was consolidated under the Department of Information Technology (DIT) that already provided enterprise network-based distributed print resources for county agencies as part of its services. The Print Shop is responsible for providing high-speed digital black and white and color printing, offset printing, and bindery services. In FY 2012 Printing and Duplicating Services produced over 12.2 million digital black and white impressions, over 1.6 million digital color impressions, and over 4,700 billable hours in offset printing. The Print Shop fully recovered over 100 percent of its expenses, despite a 3.7 percent decrease in its total revenue. The Print Shop works closely with the County's Data Center in its work output requirements. The Print Shop also conducts printing consultations to advise County and Fairfax County Public Schools staff regarding printing requirements and provides recommendations on available printing methods, document layout, and bindery options. Fund 60020 (formerly Fund 504), Document Services, manages these various programs. All direct labor and material costs associated with these services, as well as an equipment replacement reserve fee, are recovered from customer agencies.

Document Services supports the following County Vision Elements:

	<i>Maintaining Healthy Economies</i>
	<i>Exercising Corporate Stewardship</i>

The Department of Information Technology also manages the authorized fleet of large and mid-sized Multi-Functional Devices (MFDs) that are used throughout County government for copying, printing, faxing, and scanning. Program activities include administration of the County's MFD fleet contract; day-to-day management of the service delivery; and integration with the County's technology infrastructure,

Fund 60020 Document Services

including network and Microsoft applications. MFDs are installed in buildings across the County and are linked to individual workstations via the County's enterprise network. Office copies, or more appropriately "impressions," produced in FY 2012 using printers in the MFD Program increased 3.6 million from the FY 2011 actual of 48.8 million. This 7.4 percent increase in document output appears to be the result of a greater reliance on MFDs as opposed to desktop printers or networked printers purchased by individual agencies. DIT's expectation is that impressions will increase slightly in both FY 2013 and FY 2014, as departments retire their relatively expensive and less efficient local and network printers.

DIT also uses job-based accounting and tracking software to help identify program costs that can be recovered from non-General Fund sources. Meridian Imaging Solutions provides these services. DIT continues to explore opportunities to maximize the digital capabilities, integration and overall efficiency of the Print Shop operation, Data Center output functions, and the MFD fleet, with expansion expected in the area of document scanning.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$762,604	\$857,614	\$857,614	\$919,307
Operating Expenses	3,602,840	3,734,423	3,982,830	3,979,983
Capital Equipment	1,123,233	1,500,000	1,610,000	1,192,747
Capital Projects	0	0	0	0
Total Expenditures	\$5,488,677	\$6,092,037	\$6,450,444	\$6,092,037
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	10 / 10	10 / 10	10 / 10	10 / 10
1 Printing Services Manager	1	1 Printing Shift Supervisor	5	5 Print Shop Operators II
2 Customer Services Specialists	1	1 Digital Printing Analyst		
TOTAL POSITIONS				
10 Positions / 10.0 FTE				

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$61,693**
An increase of \$7,828 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. In addition, an increase of \$53,865 is included to cover additional employee benefits-related costs based on actual experience. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.

Fund 60020 Document Services

- ◆ **Operating Expenses**
\$245,560
- An increase of \$245,560 is primarily associated with a reallocation from Capital Equipment in order to properly reflect a portion of expenses associated with the MFD lease and service contract as Operating Expenses, partially offset by a small decrease due to lower Print Shop related expenditure requirements.
- ◆ **Capital Equipment**
\$1,192,747
- Funding of \$1,192,747 for Capital Equipment is included as a portion of monthly lease expenses associated with the MFD program must be capitalized.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments**
\$358,407
- As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered funding of \$248,407 primarily for supplies and Print Shop maintenance requirements. In addition, unencumbered funding of \$110,000 was approved to fund the replacement of a programmable folder and envelope printer that were beyond their useful lifespan and no longer economical to repair and maintain.

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate FY 2013
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	
Document Services				
Percent of offset expenses recovered	99%	106%	100% / 109%	100%
Percent of digital black and white expenses recovered	116%	106%	100% / 109%	100%
Percent of digital color expenses recovered	114%	106%	100% / 109%	100%
Percent change in cost per copy	0.00%	0.00%	0.00% / 0.00%	0.00%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/60020.pdf

Fund 60020 Document Services

Performance Measurement Results

In FY 2012, the Print Shop recovered well over 100 percent of offset and digital black and white and digital color expenses.

In FY 2010, the County entered into a four-year contract with Meridian Imaging Solutions introducing new Ricoh hardware, software and increased functionality. Even with this transition, the cost per copy and client charge per office copy remained a consistent \$0.045. The MFD program has not seen an increase in cost per copy over the last three fiscal years and this is expected to continue going forward; however, this estimate is dependent upon the cost of paper remaining relatively stable. If paper costs increase significantly, then there will likely be a corresponding increase in the cost per copy. It is also noteworthy that even though the deployment of replacement MFDs continued in FY 2012 and many users had to grow accustomed to new equipment, there was an increase in client satisfaction from 84 percent in FY 2011 to 91 percent in FY 2012.

Fund 60020 Document Services

FUND STATEMENT

Fund 60020, Document Services

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$2,188,180	\$1,583,350	\$2,064,267	\$1,401,163
Revenue:				
County Receipts	\$2,017,847	\$2,301,549	\$2,301,549	\$2,301,549
School Receipts	855,622	977,767	977,767	977,767
Equipment Replacement Reserve	93,062	109,791	109,791	109,791
Total Revenue	\$2,966,531	\$3,389,107	\$3,389,107	\$3,389,107
Transfer In:				
General Fund (10001) ¹	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233
Total Transfer In	\$2,398,233	\$2,398,233	\$2,398,233	\$2,398,233
Total Available	\$7,552,944	\$7,370,690	\$7,851,607	\$7,188,503
Expenditures:				
Personnel Services	\$762,604	\$857,614	\$857,614	\$919,307
Operating Expenses	3,602,840	3,734,423	3,982,830	3,979,983
Capital Equipment	1,123,233	1,500,000	1,610,000	1,192,747
Total Expenditures	\$5,488,677	\$6,092,037	\$6,450,444	\$6,092,037
Total Disbursements	\$5,488,677	\$6,092,037	\$6,450,444	\$6,092,037
Ending Balance²	\$2,064,267	\$1,278,653	\$1,401,163	\$1,096,466
Print Shop Replacement Equipment Reserve	\$581,731	\$604,939	\$661,648	\$685,522
PC Replacement Reserve ³	10,000	15,000	15,000	10,000
Print Shop Operating Reserve ⁴	1,472,536	658,714	724,515	400,944
Unreserved Ending Balance	\$0	\$0	\$0	\$0

¹ The General Fund transfer supports the equipment lease for the County's Multi-Functional Device (MFD) program.

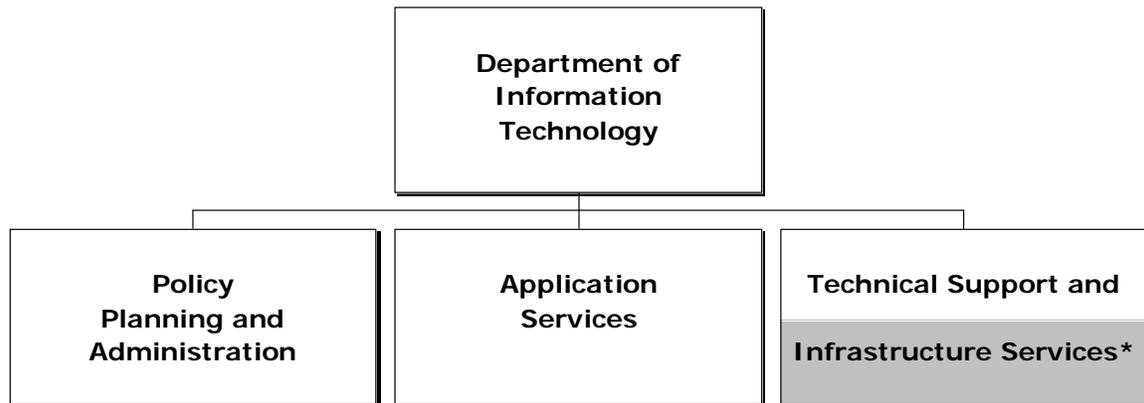
² The ending balance supports the three reserves for the agency and fluctuates depending upon the needs of the fund in a given year.

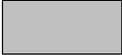
³ The PC Replacement Reserve provides for the timely replacement of computer equipment for the activities in this fund.

⁴ The Print Shop Operating Reserve is used to provide financial support to the Print Shop program as the technical and business practices in the industry evolve.

Fund 60030

Technology Infrastructure Services



 - Fund 60030, Technology Infrastructure Services

* All staffing and operating support for Infrastructure Services is found in Volume 2, Fund 60030.

Mission

To provide a reliable and secure technology infrastructure foundation required to support County business processes and systems that strengthen the public service commitment of Fairfax County.

Focus

Fund 60030 (formerly Fund 505), Technology Infrastructure Services, provides the underlying technology foundation supporting information systems and communications for Fairfax County Government. This consists of the enterprise portfolio of computers, data communications equipment, radio systems, the data center operations, and other critical infrastructure providing the essential foundational technology that supports Fairfax County government. The Department of Information Technology (DIT) coordinates all aspects of information technology for the County and plays an enabling role in advancing the strategic value of technology to transform work processes and provide quality services to customers. DIT manages technology as an enterprise asset and is responsible for direction and execution of information technology and communications systems by supporting and managing services and Fund 60030.

The County's centralized approach to common infrastructure systems and operations provides economies and efficiencies through consolidation and leveraging of resources. Optimum performance is achieved by automated information technology (IT) support processes and enterprise-wide security tools, ensuring data integrity and system-use accountability. County IT architecture employs industry-standard products and best practices for efficient solution delivery and support. Fund 60030, Technology Infrastructure Services, includes technology activities and communication services that support systems and operations for County agencies, including the management of County desktop computers (PCs and mobiles), servers, enterprise office-productivity software, e-mail and messaging system (Microsoft suite) and databases (Oracle, SQL, and Access). Fund 60030 also supports the operations of the County's primary data center (the Enterprise Technology Operation Center, or ETOC), monitoring and maintenance of enterprise data communications networks, and the Public Service Radio System and Radio Center services.

Fund 60030 Technology Infrastructure Services

One of the County's major technology assets is the fiber Institutional Network (I-Net) which provides a private secure network infrastructure connecting over 400 County and Fairfax County Public Schools buildings serving data, voice and video transport. DIT manages the I-Net infrastructure and services. I-Net equipment and related maintenance is directly supported from the I-Net program funds from Fund 40030 Cable Communications, through an annual transfer to Fund 60030.

New IT projects are implemented through Fund 10040, IT Projects, while some other IT systems are implemented by agencies. However, all new IT systems require infrastructure services, and thus may incrementally increase supporting infrastructure service obligations, which, depending on requirements, may result in upward pressure on infrastructure costs. Protective measures such as network security tools are typically incorporated in the infrastructure portfolio.

Technology Infrastructure Services supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Creating a Culture of Engagement



Connecting People and Places



Maintaining Healthy Economies



Exercising Corporate Stewardship

Fund 60030 is an internal service fund supported by revenues from County agencies and other entities such as the Fairfax County Public Schools (FCPS). Expenditures are primarily driven by the customer agencies' use of the IT utility, software licenses, data center operations, computer equipment refresh, PC replacement program, network carrier services, Radio Center, and support staff.

DIT also provides intra-governmental services including the operation and maintenance of the County data center, mainframe, servers and data storage and back-up 24 hours per day, seven days per week. DIT is also responsible for the safeguarding of County software license obligations, data repositories and information assets; and the on-going maintenance of County data and radio communication networks. The County's enterprise network provides bandwidth securely connecting County agencies to the vast array of business applications available on the County mainframe or server platforms (over 16,000 end-user PC devices, over 600 servers and 400 production databases). Based on energy efficiency initiatives, DIT was able to achieve major goals in server consolidation, which provided cost efficiencies in supporting applications and databases. The data center charge-back also supports the Fairfax County Unified System (FOCUS), which is a joint initiative between Fairfax County Government and Fairfax County Public Schools that replaced the legacy budget, finance, procurement, and human resources systems with a single unified system. In addition, the chargeback also maintains the current mainframe environment, which will remain operational until the transition of the remaining legacy systems to other platforms.

Another activity within Fund 60030 is the management of the PC Replacement Reserve, which ensures that funding is available for scheduled desktop device technology refreshes. The regularly scheduled replacement of this equipment helps County agencies remain current with technology advancements that could affect their services. The PC Replacement schedule was deferred for one year in FY 2010 to allow

Fund 60030 Technology Infrastructure Services

for necessary budget reductions. In FY 2014, the PC Replacement Program will permanently move from a four year to a five year replacement cycle as part of a long-term PC replacement strategy that is in development. In FY 2014, no new PCs will be added to the existing 11,481 PCs in the program; however, a detailed inventory is in process and recommendation for future requirements are in development. The cost per PC in the program includes PC hardware, required software licenses, IT security agent, protected disposal, and desk-side staff support for over 16,000 endpoints, including support for IT Service Desk technician dispatch encompassing PCs outside the program. The County's program has been recognized as a cost-effective value, best-practice model in the governmental and commercial sectors, fully optimizing the allocation of IT assets and providing efficient and predictable desktop maintenance and support. DIT continually reviews various service options for efficiencies in the acquisition and deployment of equipment. However, the program, as structured, remains cost effective and competitive against other current options. Other options are under consideration for future restructuring of the PC Replacement Program, such as a varied cost structure and schedules to accommodate desktop and laptop PCs, with implementation anticipated as part of the FY 2015 budget process. The long-term strategy takes into consideration the overall evolution of user preference regarding mobility and the lower cost for devices such as tablets, which may be adequate for some workers.

In addition to the areas mentioned above, Fund 60030 also supports the staff positions dedicated to coordinating radio repair and providing radio engineering services to County agencies and the FCPS system. Operational maintenance of the radio network is of primary importance to the County public safety agencies, public works agencies, FCPS and other County agencies. In addition to overseeing the operations of the public safety and public service radio systems, the Radio Center staff members also work with regional counterparts on interoperability initiatives so as to ensure 24/7 communication with other jurisdictions. To support the operational and maintenance requirements of the systems, costs are recovered from the County user agencies and FCPS.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$6,885,247	\$6,817,539	\$6,817,539	\$7,001,965
Operating Expenses	21,994,410	23,041,427	24,932,417	20,395,197
Capital Equipment	1,085,404	4,255,600	4,254,032	3,861,150
Capital Projects	0	0	0	0
Total Expenditures	\$29,965,061	\$34,114,566	\$36,003,988	\$31,258,312
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	73 / 73	73 / 73	73 / 73	73 / 73

Fund 60030

Technology Infrastructure Services

<u>Communication/Infrastructure</u>	<u>Data Center Services</u>	<u>Radio Center Services</u>
<u>Program Management</u>		
1 Info. Tech. Program Director III	1 Info. Tech. Program Manager II	1 Info. Tech. Program Manager II
2 Network/Telecom Analysts IV	1 IT Systems Architect	1 Network/Telecom Analyst IV
1 Management Analyst I	1 Systems Programmer III	3 Network/Telecom Analysts III
	5 Systems Programmers II	4 Network/Telecom Analysts II
	1 Systems Programmer I	1 Communications Technicians
<u>Server/SAN Infrastructure</u>	1 Programmer Analyst III	1 IT Technician II
2 Network/Telecom Analysts IV	1 Programmer Analyst II	
2 Network/Telecom Analysts I	1 Database Administrator II	
	1 Business Analyst I	<u>Network/I-Net</u>
<u>Desktop Support/</u>	1 IT Technician II	1 Info. Tech. Program Director I
<u>PC Replacement</u>	1 Network/Telecom Analyst III	1 Info. Tech. Program Manager I
1 Network/Telecom Analyst III	1 Network/Telecom Analyst I	1 Network/Telecom Analyst IV
22 Enterprise IT Technicians		7 Network/Telecom Analysts III
		4 Network/Telecom Analysts II
		1 Info. Security Analyst IV
TOTAL POSITIONS		
73 Positions / 73.0 FTE		

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$184,426**
 An increase of \$61,864 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. In addition, an increase of \$122,562 is included to account for compensation and benefit expenses needed to properly align personnel expenses with actual expenditures due primarily to increased health care costs. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.
- ◆ **PC Replacement** **(\$1,861,049)**
 A reduction of \$1,861,049 in PC replacement results from the permanent move from a four-year to a five-year PC refresh cycle as part of a long-term PC replacement strategy that is in development. Minimal hardware replacements will occur in FY 2014 while a detailed inventory is completed and recommendations for future program requirements are developed. It should be noted that this reduction will allow for a one-time transfer of \$1.5 million to be made to the General Fund in FY 2014, with the remaining savings being held in Fund 60030 to help address future program requirements. It is anticipated that additional funding will likely be required to address an increased number of PCs in the program beginning in FY 2015.
- ◆ **Mainframe Services Reduction** **(\$482,619)**
 A reduction of \$482,619 results from phasing out selected products supporting legacy financial and HR systems. The FY 2014 mainframe reductions are as follows: \$272,619 to remove legacy databases and tools, \$18,000 in third party products that supported CASPS/FAMIS replaced by FOCUS, \$92,000 in retired third party software (FAMIS), and \$100,000 to reduce mainframe operating system computing power. This reduction is possible as a result of the first round of efficiencies generated from the implementation of FOCUS. The total savings from these reductions identified in the FY 2014 budget is \$983,565 and the elimination of 4 positions.

Fund 60030 Technology Infrastructure Services

- ◆ **Data Network Server Reduction** **(\$380,000)**
A decrease of \$380,000 in Operating expenses reflects a reduction of \$380,000 in Data Network Resiliency which eliminates eleven Verizon backup circuits that are no longer needed as the I-Net has matured to the point where various backup and redundant systems are no longer required.

- ◆ **Other Operating Expenses Adjustments** **\$77,438**
An increase of \$930,000 is included for additional technology services. This funding includes support for ongoing disaster recovery requirements as well as for technical support focused on business process functionality and technical architecture that is used for guiding solution implementations at key milestones, and/or for post implementation modifications and fine-tuning that may be necessary in the system to meet evolving business needs. This is being wholly charged through to the Department of Information Technology from Fund 60030. The increase is partially offset by a decrease of \$852,562 primarily associated with lower circuit and equipment charges and a reduction in leased equipment expenses necessary to support the enterprise data network.

- ◆ **Capital Equipment** **\$3,861,150**
Funding of \$3,861,150 is included for Capital Equipment. Of this total, \$2,661,150, fully supported by a transfer from Fund 40030 (formerly Fund 105), Cable Communications, reflects funding to support the second year of a multi-year refresh plan of core elements of the Institutional Network (I-Net) in alignment with the County's approved IT principles for sustaining a secure and supportable technology infrastructure and to ensure the I-Net remains a functional IT asset for both the County and Schools. The remaining \$1,200,000 is included for the purchase of new capitalized equipment, primarily associated with the replacement and/or upgrade of network equipment, servers and storage area network (SAN) capacity.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$1,889,422**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered funding of \$959,422 for technology related expenses. In addition, an increase of \$930,000 is included for additional technology services. This funding includes support for ongoing disaster recovery requirements as well as for technical support focused on business process functionality and technical architecture that is used for guiding solution implementations at key milestones, and/or for post implementation modifications and fine-tuning that may be necessary in the system to meet evolving business needs. This is being wholly charged through to the Department of Information Technology from Fund 60030.

Fund 60030 Technology Infrastructure Services

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Technology Infrastructure Services				
Business days to fulfill service requests from initial call to completion of request for non-critical requests	4	4	4 / 4	4
Business days to fulfill service requests from initial call to completion of request for critical calls	2	2	2 / 2	2
Business days to fulfill Telecommunications service requests for emergencies	1	1	1 / 1	1
Percent of calls closed within 72 hours	86%	75%	70% / 56%	60%
Percent of first-contact problem resolution	85%	68%	75% / 84%	85%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/60030.pdf

Performance Measurement Results

The percentage of calls that could be resolved upon first contact and closed within 72 hours decreased from 75 percent in FY 2011 to 56 percent in FY 2012 primarily due to FOCUS-related calls being more challenging and time consuming than previous IT service desk calls. The agency is hopeful that newly adopted management strategies will help to manage and decrease the time needed to resolve such user requests in future years. Strengthened enterprise-wide management and image control processes have reduced the time required for resolving end-user workstation requests. In FY 2012 the first contact resolution rate was 84 percent, exceeding both the FY 2011 actual level and the FY 2012 performance target. Customer satisfaction generally continues to be strong due to internal quality control measures and remote resolution capabilities. Efforts in FY 2013 and FY 2014 will focus on enhanced remote resolution and IT Service desk system-workflow services to streamline routine processes. With the implementation of additional FOCUS project phases, it is anticipated that there will be an increase in support calls to the IT Service Desk in FY 2014 and in future years.

Fund 60030 Technology Infrastructure Services

FUND STATEMENT

Fund 60030, Technology Infrastructure Services

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$5,583,372	\$4,029,705	\$5,195,024	\$2,467,073
Revenue:				
Radio Services Charges	\$612,643	\$618,363	\$618,363	\$618,363
PC Replacement Charges	5,875,474	5,884,782	5,884,782	5,884,782
DIT Infrastructure Charges				
County Agencies and Funds ¹	19,622,963	19,504,998	20,434,998	19,952,379
Fairfax County Public Schools	1,651,530	1,717,591	1,717,591	1,786,295
Outside Customers	0	0	0	0
Subtotal DIT Infrastructure Charges	\$21,274,493	\$21,222,589	\$22,152,589	\$21,738,674
Total Revenue	\$27,762,610	\$27,725,734	\$28,655,734	\$28,241,819
Transfers In:				
Cable Communications (40030) ²	\$1,814,103	\$4,620,303	\$4,620,303	\$4,475,253
Total Transfers In	\$1,814,103	\$4,620,303	\$4,620,303	\$4,475,253
Total Available	\$35,160,085	\$36,375,742	\$38,471,061	\$35,184,145
Expenditures:				
Infrastructure Services	\$22,024,482	\$26,135,605	\$27,758,098	\$24,813,634
Radio Center Services	1,091,136	1,043,130	1,053,727	1,169,896
Computer Equipment Replacement Program ³	5,884,394	6,235,831	6,244,331	4,374,782
Technology Infrastructure Equipment	965,049	700,000	947,832	900,000
Total Expenditures	\$29,965,061	\$34,114,566	\$36,003,988	\$31,258,312
Transfer Out:				
General Fund (10001) ³	\$0	\$0	\$0	\$1,500,000
Total Transfer Out	\$0	\$0	\$0	\$1,500,000
Total Disbursements	\$29,965,061	\$34,114,566	\$36,003,988	\$32,758,312
Ending Balance⁴	\$5,195,024	\$2,261,176	\$2,467,073	\$2,425,833
Infrastructure Replacement Reserve (CERF) ⁵	\$3,305,135	\$716,755	\$922,652	\$871,412
PC Replacement Reserve ⁶	1,889,889	1,544,421	1,544,421	1,554,421
Unreserved Balance	\$0	\$0	\$0	\$0

Fund 60030

Technology Infrastructure Services

¹ In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of \$997.32 has been reflected as a decrease to FY 2012 revenues. This audit adjustment has been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2013 Third Quarter package.

² Funding of \$1,814,103 reflects a direct transfer from Fund 40030, Cable Communications, to support staff and equipment costs related to construction of the I-Net. In addition, in FY 2014 an amount of \$2,661,150 is included reflecting the second year of a multi-year commitment to replace and refresh core elements of the I-Net.

³ The PC Replacement program has been delayed in FY 2014 and will permanently move from a four year to a five year replacement cycle as part of a long term PC replacement strategy. A one-time Transfer out to the General Fund of \$1,500,000 has been included based on a lower number of hardware replacements.

⁴ The fluctuation in ending balance is primarily due to the operation of the PC Replacement and Computer Equipment Reserve Programs. The programs collect funding each year, hold it in reserve until needed, and then expend the funds for replacement equipment. The time period for this action varies based on the needs of the programs.

⁵ This reserve is designed to assist in the scheduled replacement of enterprise computer and network assets. The funds are held in this Computer Equipment Replacement Fund (CERF).

⁶ The balance in the PC Replacement Reserve fluctuates annually based on scheduled PC replacements which are now permanently moving to a five-year replacement cycle in FY 2014 as part of a long term PC replacement strategy.

Fund 60040 Health Benefits Fund

Focus

Fund 60040 (formerly Fund 506), Health Benefits Fund, is the administrative unit for the County's self-insured health plans. For the self-insured plans, the County pays only for claims and third party administrative fees. The cost to fund claims expenses is covered by premiums from active employees, the employer, retirees, and retention of interest earnings.

Fairfax County Government offers its employees and retirees four health insurance choices providing various coverage options and competitive premium rates:

- Self-Insured point-of-service (POS) plan – Features a local network of providers with a co-pay structure for office visits and other services.
- Self-Insured open access plan (OAP) with two levels of coverage – Features a national network of providers. High option coverage features a co-pay structure for office visits and other services, while the Low option coverage features co-insurance and modest deductibles.
- Fully-insured health maintenance organization (HMO) – Features care centers located in communities throughout the area with a co-pay structure for office visits and other services.

In Calendar Year (CY) 2007, self-insured vision benefits were added to all health insurance plans. A disease management program was implemented in CY 2009 as part of the County's wellness initiative. This program is used to detect chronic conditions early and provide assistance to those affected to help manage their diseases, resulting in healthier outcomes. In CY 2011, the County's health insurance program was revised to consolidate plans similar in design and implement a new lower cost option. In addition, all plans were changed to offer eligible preventive care services on a zero-cost basis. This change is expected to help stem the cost of coverage for participants while also providing early intervention for chronic conditions or illness. All of the County's health insurance plans are self-insured, with the exception of the HMO plan. Self-insurance allows the County to more fully control all aspects of the plans, including setting premiums to smooth out the impact of increases on employees while maintaining adequate funding to cover claims expenses and reserves. It should be noted that the County is currently in the competitive bid process for health, pharmacy, and flexible spending programs.

As part of the March 2010 passage of comprehensive health care reform legislation, the Early Retiree Reinsurance Program (ERRP) was established to provide reimbursements to participating employers for a portion of the costs of health benefits for early retirees not yet eligible for Medicare. The County applied and was approved for participation in the ERRP, and received total reimbursements of \$2.7 million between FY 2011 and FY 2012. This revenue was reflected in the Health Benefits Fund and used to offset increases in health insurance costs for all participants in the County's self-insured plans. As the ERRP has been discontinued, the County is not expected to receive additional revenue under this program.

As the health care environment is in the midst of significant reform, staff is monitoring changes in the health plan market, incorporating required changes in the County's plans and processes, and examining the overall impact of reform on the County's benefits package. Upon a thorough examination, staff will be developing a long-term strategy to continue to provide cost-effective and comprehensive health care coverage to employees and retirees within the parameters of the new health care laws.

Fund 60040 Health Benefits Fund

The County continues to contribute 85 percent of the total premium for employees enrolled as an individual and 75 percent of the total premium for employees enrolled under either the two-party or family plan. Retirees over the age of 55 currently receive a subsidy from the County toward the cost of health insurance. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service. Details on the retiree health subsidy can be found in the narrative for Fund 73030, OPEB (Other Post-Employment Benefits) Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

As with many employers nationwide, the County has experienced considerable fluctuations in medical costs. Prescription drugs, new medical technologies and increased utilization, as well as the cost of medical malpractice and liability insurance, continue to drive increases in medical costs. After significant increases in claims expenses at the beginning of the decade, cost growth was moderate (at or below 5 percent) in FY 2005 and FY 2006, but has fluctuated within a range of 10-14 percent since FY 2007. Based on estimated FY 2013 average cost growth of over 10 percent, premium increases for January 2012 were set at 6.8 percent for the POS plan, 13.6 percent for the OAP (High Option) plan, and 11.2 percent for the OAP (Low Option) plan. These rates were set with consideration of balancing the impact to employees with ensuring that the premiums for each plan would cover the associated expenses, as each plan has experienced different participation trends and claims experience. Additionally, premiums were set taking into consideration the potential impacts on the County's GASB 45 liability. If premiums are not set appropriately, and increases in retiree claims outpace the growth in premiums, the County's liability under GASB 45 and, consequently, the annual required contribution for OPEB, may increase. It is the County's policy to maintain a net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year. For more information on GASB 45 and other post-employment benefits (OPEB), please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

As a result of continuing increases in cost growth, it is projected that the County will raise premiums by 8 percent for all plans, effective January 1, 2014 for the final six months of FY 2014. It should be noted that these premium increases are budgetary projections only; final premium decisions will be made in the fall of 2013 based on updated experience. Premium decisions will be based on the impact to employees and retirees, the actual claims experience of each plan, the maintenance of adequate reserves, and the impact on the County's GASB 45 liability.

Fund Reserves

To help mitigate the impact of unanticipated cost increases in future years, the County created a Premium Stabilization Reserve in FY 2005. During the years of moderate cost growth, the County was able to accumulate funds within the Premium Stabilization Reserve and these funds were utilized to mitigate premium increases, especially during calendar years 2007 and 2009 when premiums were held flat for the self-insured plans. Due to higher than anticipated claims experience, the Premium Stabilization Reserve was depleted in FY 2012.

In addition to the Premium Stabilization Reserve, the fund maintains an unreserved ending balance based on a percent of claims paid of at least 10 percent. An ending balance of 10 to 15 percent of claims paid is the targeted industry standard based on potential requirements in the event of a plan termination.

Fund 60040 Health Benefits Fund

LiveWell Workforce Wellness Program

In FY 2009, the LiveWell Workforce Wellness Program began as an effort to provide increased opportunities for employees to improve their overall health and well-being, while also serving to curb rising health care costs. The program currently includes subsidized membership fees at County RECenters, weight loss support, influenza vaccinations, and other wellness programming. In FY 2011, the Employee Fitness and Wellness Center (EFWC) was integrated into the LiveWell Program. The EFWC, located at the Government Center, provides convenient access for employees and retirees to cardiovascular and strength training equipment, as well as a variety of fitness classes at a reasonable monthly rate. The center is staffed by the Park Authority, but all associated personnel and operating costs are charged to Fund 60040.

Other components of the LiveWell program include:

- *Reduced membership fees at County RECenters.* In response to employee demand and to promote the importance of overall physical health, a 50 percent subsidy for 6-month and annual memberships at County RECenters is included in the program. As workplace sites for employees are spread throughout the County and, thus, all employees are not located near the EFWC, this benefit allows merit employees and retirees to use all nine County RECenters at a reduced rate.
- *Influenza vaccinations.* Providing flu shots to employees is a simple mechanism to reduce absenteeism due to flu outbreaks and protect the overall health of employees and retirees.
- *Health & Wellness Programming.* LiveWell sponsors workshops throughout the year, at various employee worksites, on a variety of health and wellness topics, including nutrition, stress, exercise, dementia, and weight management.
- *Smoking Cessation.* LiveWell, working with a vendor and the Advisory Council, offers smoking cessation classes for interested employees.
- *Weight Management.* LiveWell subsidizes the membership costs for a weight management program available to employees at worksites, in the community, and online.
- *Partnerships.* LiveWell partners with community programs, such as farmer's markets and bike-to-work campaigns, and county initiatives, such as the promotion of volunteering and financial fitness, to encourage employees to continually seek the benefits of improved well-being.

In October 2012, the County was recognized as a Gold Fit-Friendly organization by the American Heart Association. This recognition was based on the efforts of the LiveWell program to promote a culture of physical activity in the workplace.

A comprehensive wellness program has the potential to reduce the rate of escalation of health care costs, resulting in savings for self-insured plans through cost avoidance. As such, expenses related to the LiveWell initiative are included in Fund 60040, Health Benefits Fund, as it is anticipated that increases in self-insured claims expenses will be mitigated as benefits of the program begin to materialize.

Fund 60040 Health Benefits Fund

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Health Insurance Requirements** **\$15,619,378**
A net increase of \$15,619,378 is attributable to an increase of \$14,824,923 in benefits paid, an increase of \$552,674 in administrative expenses, and an increase of \$241,781 for Incurred But Not Reported (IBNR) claims. These adjustments are based on prior year experience and projected claims as a result of health insurance plan changes implemented in January 2013.

- ◆ **Premium Stabilization Reserve** **(\$1,338,994)**
A decrease of \$1,338,994 reflects an adjustment in the Premium Stabilization Reserve based on available fund balance. The Premium Stabilization Reserve was depleted in FY 2012 due to an unanticipated increase in claims.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$7,674,569**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved an increase of \$7,674,569 to reflect updated experience in claims trends based on FY 2012 actual expenditure levels that indicated that claims had increased at a higher rate than previously projected, particularly among individuals with total claims over \$50,000.

Fund 60040 Health Benefits Fund

FUND STATEMENT

Fund 60040, Health Benefits Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$27,388,846	\$22,495,247	\$19,681,979	\$17,782,178
Revenue:				
Employer Share of Premiums	\$85,356,145	\$94,773,375	\$94,550,875	\$102,753,823
Employee Share of Premiums	25,575,894	26,616,319	28,327,166	30,958,242
Retiree Premiums	24,422,776	27,738,388	26,987,909	28,542,426
Early Retiree Reinsurance Program (ERRP)	674,646	0	0	0
Interest Income	101,069	122,897	101,069	131,476
Administrative Service Charge / COBRA Premiums	455,080	475,298	455,080	570,251
Employee Fitness Center Revenue	66,072	64,426	66,072	55,759
Total Revenue	\$136,651,682	\$149,790,703	\$150,488,171	\$163,011,977
Transfer In:				
General Fund (10001)	\$0	\$0	\$4,000,000	\$0
Total Transfer In	\$0	\$0	\$4,000,000	\$0
Total Available	\$164,040,528	\$172,285,950	\$174,170,150	\$180,794,155
Expenditures:				
Benefits Paid	\$132,898,347	\$139,647,458	\$147,694,148	\$154,472,381
Administrative Expenses	5,425,327	5,743,640	5,880,388	6,296,314
Premium Stabilization Reserve ¹	0	1,338,994	0	0
Incurred but not Reported Claims (IBNR)	5,564,461	1,241,311	2,071,436	1,483,092
LiveWell Program	470,414	742,000	742,000	742,000
Total Expenditures	\$144,358,549	\$148,713,403	\$156,387,972	\$162,993,787
Total Disbursements	\$144,358,549	\$148,713,403	\$156,387,972	\$162,993,787
Ending Balance:				
Fund Equity	\$36,943,940	\$40,330,242	\$37,115,575	\$38,616,857
IBNR	17,261,961	16,757,695	19,333,397	20,816,489
Ending Balance	\$19,681,979	\$23,572,547	\$17,782,178	\$17,800,368
Premium Stabilization Reserve ¹	\$0	\$246,248	\$0	\$0
ERRP Reserve ²	674,646	0	0	0
Unreserved Ending Balance	\$19,007,333	\$23,326,299	\$17,782,178	\$17,800,368
Percent of Claims	14.3%	16.7%	12.0%	11.5%

¹ Fluctuations in the Premium Stabilization Reserve are the result of reconciliations of budget to actual experience.

² ERRP revenues of \$674,646, which were received in FY 2012, will be used to offset increases in health insurance costs in FY 2013. The County is not anticipated to receive any additional revenue under this program.

Fund S60000

Public School Insurance Fund

Focus

Fund S60000 (formerly Fund 590), Public School Insurance Fund, provides administration for workers' compensation insurance, self-insurance funds for automobile and general liability, and the purchase of commercial insurance for other liabilities. FY 2014 expenditures are estimated at \$23.5 million.

Fund S60000

Public School Insurance Fund

FUND STATEMENT

Fund S60000, Public School Insurance Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$40,900,952	\$40,568,841	\$43,759,142	\$41,125,827
Revenue:				
Workers' Compensation:				
School Operating Fund (S10000)	\$9,238,928	\$9,238,928	\$9,238,928	\$9,238,928
School Food & Nutrition Services Fund (S40000)	277,166	324,284	324,284	324,284
Other Insurance				
School Operating Fund (S10000)	4,468,127	4,468,127	4,468,127	4,468,127
Insurance Proceeds	543,559	50,000	50,000	50,000
Federal and State Revenue	117,415	0	0	0
Total Revenue	\$14,645,195	\$14,081,339	\$14,081,339	\$14,081,339
Total Available	\$55,546,147	\$54,650,180	\$57,840,481	\$55,207,166
Expenditures:				
Workers' Compensation				
Administration	\$767,864	\$860,093	\$867,568	\$899,973
Claims Paid	5,474,220	8,462,593	8,412,593	8,939,759
Claims Management	808,572	745,807	795,807	1,000,000
Other Insurance	4,736,349	6,508,673	6,638,686	6,586,458
Allocated Reserve ²	0	0	9,375,748	6,030,897
Subtotal Expenditures	\$11,787,005	\$16,577,166	\$26,090,402	\$23,457,087
Net Change in Accrued Liabilities				
Workers' Compensation	\$1,770,590	\$0	\$0	\$0
Other Insurance	84,749	0	0	0
Net Change in Accrued Liabilities	\$1,855,339	\$0	\$0	\$0
Total Expenditures³	\$13,642,344	\$16,577,166	\$26,090,402	\$23,457,087
Total Disbursements	\$13,642,344	\$16,577,166	\$26,090,402	\$23,457,087
Ending Balance	\$43,759,142	\$38,073,014	\$31,750,079	\$31,750,079
Restricted Reserves:				
Workers' Comp Accrued Liability	\$27,481,058	\$27,211,124	\$27,481,058	\$27,481,058
Other Insurance Accrued Liability	4,269,021	4,707,306	4,269,021	4,269,021
Reserve for Catastrophic Occurrences	12,009,063	6,154,584	0	0
Unreserved Balance	\$0	\$0	\$0	\$0

¹ The FY 2013 Revised Budget Plan reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their FY 2013 Midyear Review. The Fairfax County School Board adjustments will be officially reflected in the County's FY 2013 Third Quarter Review, which will be acted upon by the Board of Supervisors on April 23, 2013.

² Any unused portion of the allocated reserve is carried forward into the subsequent budget year. Accordingly, the FY 2014 beginning balance is the projected ending balance for FY 2013 plus the estimated balance for the allocated reserves, for a total of \$41,125,827.

³ FY 2012 expenditures include year-end audit adjustments totaling (\$21,288).

Fund S62000 Public School Health and Flexible Benefits

Focus

Fund S62000 (formerly Fund 591), Health and Flexible Benefits, provides for the administration of health and dental care benefit plans for employees and retirees. In addition, the Health and Flexible Benefits Fund administers two Flexible Spending Accounts, which enable employees to realize savings by setting aside pre-tax dollars, through Fairfax County Public Schools (FCPS) payroll deductions, for eligible health care and dependent care costs. FY 2014 expenditures are estimated at \$388.6 million.



Fund S62000

Public School Health and Flexible Benefits

FUND STATEMENT

Fund S62000, Public School Health and Flexible Benefits

	FY 2012 Actual ²	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$52,012,885	\$48,259,277	\$48,736,478	\$46,099,540
Revenue:				
Employer/Employee Premiums	\$242,898,671	\$259,063,310	\$259,063,311	\$279,926,455
Retiree/Other Health Premiums	41,458,873	44,512,923	44,512,923	48,073,960
Interest Income and Rebates	3,700,521	4,189,899	4,189,899	4,189,899
Medicare Part D	2,728,439	3,254,730	3,254,730	3,254,730
Flexible Spending Account Withholdings	7,359,034	7,045,692	7,045,692	7,045,691
Total Revenue	\$298,145,538	\$318,066,554	\$318,066,555	\$342,490,735
Total Available	\$350,158,423	\$366,325,831	\$366,803,033	\$388,590,275
Expenditures:				
Health Benefits Paid	\$230,057,129	\$235,079,000	\$244,780,785	\$264,852,809
Premiums Paid	52,531,933	55,936,000	55,936,000	58,229,376
Health Administrative Expenses	10,461,920	11,782,016	11,782,016	12,253,297
Flexible Spending Accounts Reimbursements	6,950,518	6,916,692	6,916,692	6,916,692
FSA Administrative Expenses	138,445	129,000	129,000	129,000
Claims Incurred but not Reported (IBNR)	19,660,000	21,789,000	20,819,000	22,019,000
IBNR Prior Year Credit	(18,378,000)	(20,630,000)	(19,660,000)	(20,819,000)
Premium Stabilization Reserve ³	0	55,324,123	46,099,540	45,009,101
Total Expenditures	\$301,421,945	\$366,325,831	\$366,803,033	\$388,590,275
Total Disbursements	\$301,421,945	\$366,325,831	\$366,803,033	\$388,590,275
Ending Balance	\$48,736,478	\$0	\$0	\$0
Less:				
Premium Stabilization Reserve	\$48,736,478	\$0	\$0	\$0
Unreserved Balance	\$0	\$0	\$0	\$0

¹ The *FY 2013 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their *FY 2013 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2013 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 23, 2013.

² Restated to reflect the exclusion of compensated absences.

³ The Premium Stabilization Reserve is appropriated for budgeting purposes to offset fluctuations in health insurance costs during the fiscal year. This reserve is to be carried forward as beginning balance for FY 2014.

Fund S63000 Public School Central Procurement

Focus

Fund S63000 (formerly Fund 592), Public School Central Procurement, facilitates accounting of orders for textbooks, supplies, library materials, printing and equipment for the Fairfax County Public Schools (FCPS). Central purchases processed through this fund will be charged to individual school accounts; therefore, this Internal Service clearing account does not increase the total FCPS budget. FY 2014 expenditures are estimated at \$6.5 million



Fund S63000

Public School Central Procurement

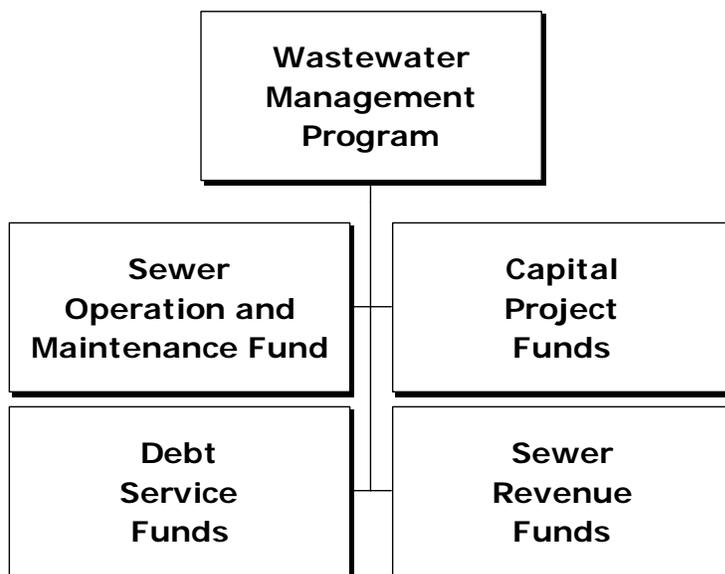
FUND STATEMENT

Fund S63000, Public School Central Procurement

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$223,023	\$223,023	\$332,650	\$332,650
Revenue:				
Sales to Schools/Departments	\$7,187,412	\$6,500,000	\$6,500,000	\$6,500,000
Total Revenue	\$7,187,412	\$6,500,000	\$6,500,000	\$6,500,000
Total Available	\$7,410,435	\$6,723,023	\$6,832,650	\$6,832,650
Expenditures:				
Purchase for Resale	\$7,077,785	\$6,500,000	\$6,500,000	\$6,500,000
Total Expenditures	\$7,077,785	\$6,500,000	\$6,500,000	\$6,500,000
Total Disbursements	\$7,077,785	\$6,500,000	\$6,500,000	\$6,500,000
Inventory Change				
Ending Balance	\$332,650	\$223,023	\$332,650	\$332,650

¹ The *FY 2013 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their *FY 2013 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2013 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 23, 2013.

Wastewater Management Program Overview



Focus

The Wastewater Management Program (WWM) is operated, maintained and managed within the Department of Public Works and Environmental Services (DPWES). The program currently includes the County-owned Noman M. Cole, Jr. Pollution Control Plant (67 million gallons per day (mgd) capacity), nearly 3,390 miles of sewer lines, 65 pump stations, 59 flow-metering stations, and covers approximately 234 square miles of the County's 407 square-mile area. Capacity entitlement at the other regional facilities totals 90 mgd. A total of 363,543 households and businesses (new and existing) in Fairfax County are connected to public sewer as of June 30, 2012.

In addition to providing County residents and businesses with sewer service, Fairfax County provides sewer service to other nearby entities through "Sales of Service" agreements with Arlington and Loudoun Counties, the cities of Falls Church and Fairfax, the towns of Herndon and Vienna, and Fort Belvoir. These entities share the capital and operating costs of WWM based on actual wastewater flow and reserved treatment capacity.

Strategic planning and overall business monitoring is the responsibility of the Wastewater Management Leadership Team, whose responsibilities focus on long range planning, strategic thinking, continuous improvement processing, wastewater capacity, and financial management. This team is comprised of employees from three divisions within WWM, Collections, Treatment, and Planning and Monitoring.

The Wastewater Collection Division (WCD) is responsible for the County's wastewater collection and conveyance system consisting of sewers, force mains, pumping stations and metering stations. The WCD has a proactive sewer system maintenance program that facilitates a safe and effective wastewater collection system. In FY 2012, approximately 203 miles of sewer lines were inspected by Closed Circuit Television (CCTV) crews and approximately 502 miles of sewer lines were cleaned to ensure maximum flow carrying capacity and reduce sewer backups and overflows. Over the last five years, WCD has rehabilitated approximately 97 miles of sewer lines to protect the environment and residents of Fairfax County.

The Wastewater Treatment Division (WTD) is responsible for operating and maintaining the County's wastewater treatment facility, the Noman M. Cole, Jr. Pollution Control Plant (NCPCP). The WTD

Wastewater Management Program Overview

continues to produce a quality effluent to meet regulatory and permit requirements, despite major construction occurring throughout the plant site. The NCPCP continues to make significant efforts to be a "good neighbor" by constructing an odor control system, which improves the air quality around the plant.

The Wastewater Planning and Monitoring Division (WPMD) is responsible for the agency's fiscal planning, engineering planning and wastewater monitoring. The WPMD continues to effectively monitor the long-term planning needs for the Wastewater Management Program in terms of infrastructure upgrades, maintenance and expansions. The WPMD ensures that all financial requirements are fulfilled by maintaining a rate structure to adequately recover all operating and maintenance costs, capital improvements and debt service obligations. The WPMD also plans for system capacity, both in the conveyance system and treatment facilities, by initiating expansion and improvement projects to keep pace with increased wastewater flows. The WPMD safeguards the environment by ensuring compliance with water quality standards and prevention of toxic discharges into the collection system.

WPMD is currently monitoring the Chesapeake Bay water quality program which requires reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit would include a requirement that nutrient removal be performed using "State of the Art" technology and meet a waste load allocation (cap) for the nutrients nitrogen and phosphorus by 2013. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate these more stringent nutrient discharge requirements. FY 2014 will be the first full year of operation of the new Moving Bed Biological Reactor (MBBR) facility for nutrient removal. The Moving Bed Biological Reactors support the wastewater treatment process that removes nutrients from the wastewater and is the final treatment process that ensures compliance with the plant nutrient discharge limits.

The Wastewater Management Program is primarily supported by Sewer Service Charges received from existing customers which are used to fully recover program operation and maintenance costs, debt service payments and capital project requirements attributable to improving wastewater treatment effluent quality as mandated by state and federal agencies. The Sewer Service Charge rate included in the 5-year plan approved by the Board of Supervisors as part of the FY 2013 Adopted Budget Plan was proposed to increase 8 percent in FY 2014. This would have increased the Sewer Service Charge from \$6.55 to \$7.07 per 1,000 gallons of water consumption in FY 2014, resulting in an anticipated increase in the annual cost to the typical household of \$37.44. Wastewater Management staff in consultation with Public Resources Management Group is proposing a revised billing process for both Sewer Service and Base charges which will result in an increase of 6 percent, a reduction of 2 percent from the FY 2013 projection, or an increase in the annual cost to the typical household of \$29.16.

The level of revenue required in FY 2014 to allow the system to: meet permit conditions, meet and maintain all of the required financial targets through FY 2017, maintain competitive rates with neighboring utilities, continue to preserve AAA credit rating and require less debt to support capital projects would require an increase of 6 percent. The level of revenue required is consistent with the analysis included in the January 2013 Wastewater Revenue Sufficiency and Rate Analysis report. This reduction in revenue requirements is possible due to operational savings such as savings associated with chemicals used in the treatment of wastewater, energy consumption savings due to replacing fix drive pumps with variable drive pumps, lower than anticipated utility requirements and other operational efficiencies throughout the program.

Wastewater Management Program Overview

In FY 2014, staff is proposing a more balanced rate implementation program by increasing the Base Charge instead of the Sewer Service Charge. This approach is consistent with other utilities in the area and provides for a more equitable rate structure by recovering a portion of the Program's fixed costs while holding the Sewer Service Charge at the current rate of \$6.55 per 1,000 gallons water consumed. The impact of the proposed increase in the Base Charge on a typical household is effectively the same as if the Sewer Service Charge were to increase by 6 percent. Currently, Fairfax County uses the winter quarter average to determine sewer invoices. The proposal to increase the Base Charge in order to recover the fixed cost of providing sewer service to those customers who do not consume water in the winter months, but consume water during the remainder of the year, will no longer be subsidized by the other customers. The current Base Charge of \$5.50 per quarter recovers only the cost of customer billing as charged by Fairfax Water. The Base Charge is proposed to increase \$7.29 per quarter for a total Base Charge of \$12.79 per quarterly bill. The proposed Base Charge will recover 100 percent of the cost of billing and approximately 5.2 percent of the Program's fixed costs. Industry practice for fixed cost recovery rate is 25 percent. In order to strive towards such recovery rate, a phase-in approach is being proposed through FY 2017 to increase the Base Charge, and maintain the Sewer Service Charge at \$6.55 per 1,000 gallons water consumed. The current System, including sewer lines, facilities, purchased capacity and equipment is valued at approximately \$1.9 billion. Based on the age and required maintenance of the system, it is imperative that reinvestment continues to be addressed. The implementation of the proposed increases to the Base Charge, will help ensure that all users of the system share in the fixed costs associated with reinvestment and operations.

Year	Sewer Service Charge Per 1,000 gallons water consumed	New Base Charge Per Quarterly Bill	Percent Cost Recovered
2013	\$6.55	\$ 5.50	3.52%
2014	\$6.55	\$12.79	8.70%
2015	\$6.55	\$20.36	13.51%
2016	\$6.55	\$25.34	16.37%
2017	\$6.55	\$30.45	19.09%

The table below reflects the Wastewater Management Program's projected fiscal health in FY 2014 and FY 2015. The financial planning process incorporates these indicators that are interrelated and structured to identify the adequacy of rates from a cash flow, business, and compliance standpoint. These indicators are used by the rating agencies to determine the Program's credit rating.

FY 2014 Calculated Financial Indicators Comparison

Financial Indicator	Target	Achieved	FY 2013	FY 2014	FY 2015
Net Revenue Margin	Min. 45.0%	Yes	46.0%	46.20%	47.20%
Days Working Capital [1]	90 to 120	Yes	166	304	291
Debt Coverage Senior	Min. 3.00x	Yes	3.33x	3.85x	3.73x
Debt Coverage All-Debt	Min 1.75x	Yes	1.84x	2.14x	2.10x
Debt Coverage Ratio	Min. 1.25	Yes	1.25	1.25	1.25
Net Capital Funds Available Ratio	Min 3.0%	Yes	2.50%	4.10%	3.70%
Debt to Net Plant in Service	Max 50.0%	Yes	49.10%	45.30%	49.00%
Outstanding Debt per Connection	Max \$3,000	Yes	\$2,545	\$2,349	\$2,591
Anticipated Sewer Bond Sales Through FY 2018					\$192.0 M

[1] Exclusive of Availability Charges in Fund 69300 Sewer Construction Improvement Fund. Calculated based on Operating Expenses and 360 days.

Wastewater Management Program Overview

Availability Charges are one-time “tap fees” charged to new customers for initial access to the system. The revenue from Availability Charges is used to offset the costs of expanding major treatment facilities. In FY 2014, Availability Charges will remain at \$7,750 for single-family homes based on current projections of capital requirements. Rates are based on requirements associated with treatment plant upgrades and interjurisdictional payments that result from population growth, stringent treatment requirements and inflation. The FY 2014 rate is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2013 Wastewater Revenue Sufficiency and Rate Analysis report. Rates are expected to remain at the FY 2013 level through FY 2017.

The system supplements the capacity of its own collections and treatment facilities through "Treatment by Contract" agreements with the DC Water, the Alexandria Renew Enterprises (AREnew), the Upper Occoquan Service Authority (UOSA) and Arlington County. As stated in the individual agreements, the County pays its share of operating, capital and/or debt costs of each entity's system based on actual wastewater flows and allocated capacity, respectively.

The series 2012 Sewer Revenue Bonds in the amount of \$110 million support capital projects including enhanced nutrient removal upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities. An original bond amount of \$150 million was proposed but reduced to \$110 million due to the sale of 2.0 mgd of capacity to Prince William County which was approved by the Board of Supervisors on January 25, 2011, providing approximately \$40 million in revenues for the system. It is anticipated that the proposed billing changes in FY 2014, will support the County's ability to maintain high bond ratings (AAA by Fitch Investor Service and Standard and Poor's Corporation and Aa1 by Moody's Investors Service, Inc.) from rating agencies. These high credit ratings have enabled the County to sell bonds on behalf of the Program at interest rates lower than those obtained by most sewer authorities, thereby achieving savings throughout the life of the bonds.

The Wastewater Management Program has issued debt to fund major expansion and upgrade projects for both its own plant and its portion at the “Treatment by Contract” facilities. The following table shows the projected annual debt service payments along with remaining debt service as of June 30, 2013.

Wastewater Management Debt Service			
Years	Principal	Interest	Total
2014	\$20,557,008	\$28,761,946	\$49,318,954
2015	21,673,767	27,833,406	49,507,173
2016	22,734,864	26,913,841	49,648,705
2017	23,746,603	25,920,837	49,667,440
2018	24,791,562	24,883,833	49,675,395
2019	25,883,095	23,795,723	49,678,818
2020	27,030,373	22,676,353	49,706,726
2021-2044	464,631,941	224,031,685	688,663,626
TOTAL	\$631,049,213	\$404,817,624	\$1,035,866,837

Wastewater Management Program Overview

In FY 2014, the County is projected to provide for the treatment of 103.53 million gallons of wastewater per day. Approximately 39 percent of this flow is treated at the NCPCP. The flow is distributed between the NCPCP and the interjurisdictional facilities as detailed in the table below. The table also includes the capacity utilization percentage and the available (unused) capacity for each plant.

Treatment Plant	Capacity (MGD)	FY 2014 Projected Daily Average (MGD)	Capacity Utilization (%)	Available Capacity (MGD)
DCWASA Blue Plains	31.0	29.10	93.9%	1.90
Noman M. Cole, Jr.	67.0	40.64	60.7%	26.36
Alexandria Renew Enterprises	32.4	19.06	58.8%	13.34
Arlington County	3.0	2.01	67.0%	0.99
Upper Occoquan Service Authority	22.6	12.72	56.3%	9.88
Loudoun Water	1.0	0.00	0.0%	1.00
Total	157.0	103.53	65.9%	53.47

To ensure that WWM remains competitive and provides a high performance operation including improvements to the technical and managerial capacities that will continue to enhance service quality, customer service and financial planning, WWM closely monitors the following areas:

	FY 2012 (Actual)	FY 2013 (Adopted)	FY 2014 (Projection)
Sewer Service Charge, \$/1,000 gallons	\$5.27	\$6.01	\$6.55
Treatment Costs, \$/MGD	\$1,350	\$1,375	\$1,391
Number of Sewer System Overflows	9	15	15
Odor Complaints per year	27	20	18

The WWM is comprised of seven separate funds under a self-supporting fund structure (Enterprise Funds) consistent with the Sewer Bond Resolution adopted by the Board of Supervisors in July 1985. For more detailed information of the operational aspects of the various programs, refer to the narrative of Fund 69010, Sewer Operation and Maintenance, which immediately follows this Overview. The following is a brief description of the seven active funds:

- ◆ **Fund 69000** - Sewer Revenue is used to credit all operating revenues of the system, as well as most of the interest on invested fund balances. Revenues recorded in this fund are transferred to the various funds to meet their operational requirements. The remaining fund balances are used to set aside funds for various reserves and future system requirements.
- ◆ **Fund 69010** - Sewer Operation and Maintenance provides funding for the three divisions responsible for the management and operation of the program, supported by a transfer from Fund 69000.
- ◆ **Fund 69300** - Sewer Construction Improvements provides funding for the repair, rehabilitation and improvement requirements of the entire program's infrastructure, supported by a transfer from Fund 69000.

Wastewater Management Program Overview

- ◆ **Fund 69020** - Sewer Bond Parity Debt Service is used to record principal, interest and fiscal agent fees for the 2004, 2009 and 2012 Sewer Revenue Bonds in accordance with the current Sewer Bond Resolution, supported by a transfer from Fund 69000.
- ◆ **Fund 69030** - Sewer Bond Debt Reserve provides debt reserve funds for the 2004, 2009 and 2012 Sewer Revenue Bonds in accordance with the current Sewer Bond Resolution, which are funded from the issuance of sewer revenue bonds and/or program revenues.
- ◆ **Fund 69040** - Sewer Bond Subordinate Debt Service records all debt service payments on the UOSA revenue bonds and VRA loans. All future issues or refinancing of debt arising from interjurisdictional capacity rights may be treated as subordinate obligations of the system as provided by the General Bond Resolution for Sewer Revenue Bonds. Funding is supported by a transfer from Fund 69000.
- ◆ **Fund 69310** - Sewer Bond Construction provides for major program construction projects, which are funded from the issuance of sewer revenue bonds and/or program revenues.

Fund 69000 Sewer Revenue

Focus

All Availability Charges and Sewer Service Charges associated with the Wastewater Management Program are credited to this fund as system revenues. The total receipts from all revenue sources are used to finance the following: Operation and Maintenance (Fund 69010); Construction Improvement Projects (Fund 69300); Debt Service (Fund 69020); and Subordinate Debt Service (Fund 69040). Any remaining balance in Fund 69000 (formerly Fund 400), Sewer Revenue, is used for future year requirements and required reserves.



The Program's Availability Charge and Sewer Service Charge are based on staff analysis and consultant recommendations included in the January 2013 Wastewater Revenue Sufficiency and Rate Analysis.

Availability Charges

The Availability Charge is a one-time charge to new customers for initial connection to the system. The revenue from the Availability Charge is used to offset the costs of expanding treatment facilities. In FY 2014, Availability Charge will remain at \$7,750 for single-family homes based on current projections of capital requirements. Rates are based on requirements associated with treatment plant upgrades and interjurisdictional payments that result from population growth, more stringent treatment requirements and inflation. The FY 2014 rate is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2013 Wastewater Revenue Sufficiency and Rate Analysis report prepared by Public Resource Management Group, a financial consultant to the Wastewater Management Program. Rates are expected to remain at the FY 2013 level through FY 2017. The following table displays the rates by category.

Category	FY 2013 Availability Fee	FY 2014 Availability Fee
Single Family	\$7,750	\$7,750
Townhouses and Apartments	\$6,200	\$6,200
Hotels/Motels	\$1,938	\$1,938
Nonresidential	\$401/fixture unit	\$401/fixture

Sewer Service Charges and Base Charges

Sewer Service Charges are revenues received from existing customers and are used to fully recover program operation and maintenance costs, debt service payments and capital project requirements attributable to improving wastewater treatment effluent quality as mandated by state and federal agencies. The Sewer Service Charge included in the 5-year plan approved by the Board of Supervisors as part of the FY 2013 Adopted Budget Plan was proposed to increase 8.0 percent in FY 2014. This would have increased the Sewer Service Charge from \$6.55 to \$7.07 per 1,000 gallons of water consumption in FY 2014, resulting in an anticipated increase in the annual cost to the typical household of \$37.44.

Fund 69000 Sewer Revenue

Wastewater Management staff in consultation with Public Resources Management Group is proposing a revised billing process for both Sewer Service and Base charges which will result in an increase of 6 percent, a reduction of 2 percent from the FY 2013 projection, or an increase in the annual cost to the typical household of \$29.16.

The level of revenue required in FY 2014 to allow the system to: meet permit conditions, meet and maintain all of the required financial targets through FY 2017, maintain competitive rates with neighboring utilities, continue to preserve AAA credit rating and require less debt to support capital projects would require an increase of 6 percent. The level of revenue required is consistent with the analysis included in the January 2013 Wastewater Revenue Sufficiency and Rate Analysis report. This reduction in revenue requirements is possible due to operational savings such as savings associated with chemicals used in the treatment of wastewater, energy consumption savings due to replacing fix drive pumps with variable drive pumps, lower than anticipated utility requirements and other operational efficiencies throughout the program.

In FY 2014, staff is proposing a more balanced rate implementation program by increasing the Base Charge instead of the Sewer Service Charge. This approach is consistent with other utilities in the area and provides for a more equitable rate structure by recovering a portion of the Program's fixed costs while holding the Sewer Service Charge at the current rate of \$6.55 per 1,000 gallons water consumed. The impact of the proposed increase in the Base Charge on a typical household is effectively the same as if the Sewer Service Charge were to increase by 6 percent. Currently, Fairfax County uses the winter quarter average to determine sewer invoices. The proposal to increase the Base Charge in order to recover the fixed cost of providing sewer service to those customers who do not consume water in the winter months, but consume water during the remainder of the year, will no longer be subsidized by the other customers. The current Base Charge of \$5.50 per quarter recovers only the cost of customer billing as charged by Fairfax Water. The Base Charge is proposed to increase \$7.29 per quarter for a total Base Charge of \$12.79 per quarterly bill. The proposed Base Charge will recover 100 percent of the cost of billing and approximately 5.2 percent of the Program's fixed costs. Industry practice for fixed cost recovery rate is 25 percent. In order to strive towards such recovery rate, a phase-in approach is being proposed through FY 2017 to increase the Base Charge, and maintain the Sewer Service Charge at \$6.55 per 1,000 gallons water consumed. The current System, including sewer lines, facilities, purchased capacity and equipment is valued at approximately \$1.9 billion. Based on the age and required maintenance of the system, it is imperative that reinvestment continues to be addressed. The implementation of the proposed increases to the Base Charge will help ensure that all users of the system share in the fixed costs associated with reinvestment and operations.

Year	Sewer Service Charge Per 1,000 gallons water consumed	New Base Charge Per Quarterly Bill	Percent Cost Recovered
2013	\$6.55	\$ 5.50	3.52%
2014	\$6.55	\$12.79	8.70%
2015	\$6.55	\$20.36	13.51%
2016	\$6.55	\$25.34	16.37%
2017	\$6.55	\$30.45	19.09%

Fund 69000 Sewer Revenue

The FY 2014 Sewer Service Charge and the Base Charge will generate an additional \$9.7 million in revenue over the *FY 2013 Revised Budget Plan* amount and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to meet new, more stringent nitrogen discharge limitations from wastewater treatment plants. Other sources of revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years.

The table below reflects the Wastewater Management Program's projected fiscal health in FY 2014 and FY 2015. The financial planning process incorporates these indicators that are interrelated and structured to identify the adequacy of rates from a cash flow, business, and compliance standpoint. These indicators are used by the rating agencies to determine the Program's credit rating.

Calculated Financial Indicators

Financial Indicator	Target	Achieved	FY 2014	FY 2015
Net Revenue Margin	Min. 45.0%	Yes	46.2%	47.2%
Days Working Capital [1]	90 to 120	Yes	304	291
Debt Coverage Senior	Min. 3.00x	Yes	3.85x	3.73x
Debt Coverage All-in	Min 1.75x	Yes	2.14x	2.10x
Net Capital Funds Available Ratio	Min 3.0%	Yes	4.1%	3.7%
Debt to Net Plant in Service	Max 50.0%	Yes	45.3%	49.0%
Outstanding Debt per Connection	Max \$3,000	Yes	\$2,349	\$2,591
Anticipated Sewer Bond Sales Through FY 2018				\$192.0 M

[1] Exclusive of Availability Charges in Fund 69300 Sewer Construction Improvement Fund. Calculated based on Operating Expenses and 360 days.

The series 2012 Sewer Revenue Bonds in the amount of \$110 million support capital projects including enhanced nutrient removal upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities. An original bond amount of \$150 million was proposed but reduced to \$110 million due to the sale of 2.0 mgd of capacity to Prince William County which was approved by the Board of Supervisors on January 25, 2011, providing approximately \$40 million in revenues for the system. It is anticipated that the proposed billing changes in FY 2014 will support the County's ability to maintain high bond ratings (AAA by Fitch Investor Service and Standard and Poor's Corporation and Aa1 by Moody's Investors Service, Inc.) from rating agencies. These high credit ratings have enabled the County to sell bonds on behalf of the Program at interest rates lower than those obtained by most sewer authorities, thereby achieving savings throughout the life of the bonds.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no revisions to this fund since approval of the FY 2013 Adopted Budget Plan.

Fund 69000 Sewer Revenue

FUND STATEMENT

Fund 69000, Sewer Revenue

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$96,393,648	\$117,973,930	\$119,595,619	\$132,436,983
Revenue:				
Lateral Spur Fees	\$87,000	\$10,000	\$10,000	\$51,000
Sales of Service	10,544,496	8,929,364	8,929,364	10,727,717
Availability Charges	28,959,575	12,927,000	12,927,000	19,984,500
Connection Charges	125,430	30,000	30,000	76,250
Sewer Service Charges	148,891,691	161,767,000	161,767,000	171,473,020
Miscellaneous Revenue	323,720	150,000	150,000	150,000
Sale Surplus Property	30,527	30,000	30,000	30,000
Interest on Investments	428,116	748,000	748,000	485,000
Total Revenue	\$189,390,555	\$184,591,364	\$184,591,364	\$202,977,487
Total Available	\$285,784,203	\$302,565,294	\$304,186,983	\$335,414,470
Transfers Out:				
Sewer Operation and Maintenance (69010)	\$86,000,000	\$93,750,000	\$93,750,000	\$96,000,000
Sewer Construction Improvements (69300)	29,000,000	30,000,000	30,000,000	84,489,000
Sewer Bond Parity Debt Service (69020)	25,554,960	21,000,000	21,000,000	12,000,000
Sewer Bond Subordinate Debt Service (69040)	25,633,624	27,000,000	27,000,000	27,500,000
Total Transfers Out	\$166,188,584	\$171,750,000	\$171,750,000	\$219,989,000
Total Disbursements	\$166,188,584	\$171,750,000	\$171,750,000	\$219,989,000
Ending Balance¹	\$119,595,619	\$130,815,294	\$132,436,983	\$115,425,470
Management Reserves:				
Operating and Maintenance Reserve ²	\$45,000,000	\$45,000,000	\$45,000,000	\$45,000,000
New Customer Reserve ³	23,000,000	23,000,000	23,000,000	23,000,000
Virginia Resource Authority Reserve ⁴	6,637,072	6,637,072	6,637,072	6,637,072
Capital Reinvestment Reserve ⁵	23,000,000	29,500,000	29,500,000	29,500,000
Total Reserves	\$97,637,072	\$104,137,072	\$104,137,072	\$104,137,072
Unreserved Balance	\$21,958,547	\$26,678,222	\$28,299,911	\$11,288,398

Fund 69000 Sewer Revenue

¹ The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements, operation and maintenance expenses and capital improvements.

² The Operating and Maintenance Reserve provides for unforeseen expenses associated with sewer system emergencies. This reserve is targeted to be maintained at a level between \$25 and \$45 million. This level of reserve is based on industry practice to maintain existing customer reserves at a level which can support 30 and 180 days of working capital and approximately 50 percent of one year's requirements for rehabilitation and replacement of the current system's assets.

³ The New Customer Reserve provides for debt service and administrative expenses associated with new customer debt, until such time as adjustments to availability charges can be accommodated. This reserve is targeted to be maintained at approximately \$22 to \$23 million. This level of reserve is based on payment expenses associated with one year of debt service and administrative expenses associated with new customer debt.

⁴ The Virginia Resource Authority Reserve was established in anticipation of debt service reserve requirements for Virginia Resource Authority loans related to future treatment plant issues.

⁵ The Capital Reinvestment Reserve is intended to address both anticipated and unanticipated increases within the Capital Improvement Program. This reserve will provide for significant rehabilitation and replacement of emergency infrastructure repairs as well as fluctuations in project scope requirements. It is anticipated that this reserve will eventually reach an amount which is 3.0 percent of the total five year capital funding plan or approximately \$30,000,000. A reserve of 3.0 percent of the five year capital plan is consistent with other utilities and is recommended by rating agencies.

Fund 69010

Sewer Operation and Maintenance



Mission

To safely collect and treat wastewater in compliance with all regulatory requirements using state-of-the-art technology in the most cost-effective manner in order to improve the environment and enhance the quality of life in Fairfax County.

Focus

The Wastewater Management Program includes wastewater collection and conveyance, wastewater treatment, and planning and monitoring program areas. The primary functions are to strategically plan, efficiently operate and effectively maintain the wastewater system in the best interest of the County and its customers. Funding for Fund 69010 (formerly Fund 401), Sewer Operation and Maintenance, is financed by a transfer from Fund 69000, Sewer Revenue, which is used to credit all system revenues collected, including availability fees and sewer service charges associated with the program.

This program operates and maintains nearly 3,390 miles of sewer, 65 pump stations and 59 flow-metering stations. Treatment of wastewater generated is provided primarily through five regional wastewater collection and treatment plants. The regional treatment approach takes advantage of economies of scale in wastewater treatment and ensures the economical and efficient operation and management of the program.



Photo of the Noman M. Cole Jr. Pollution Control Plant

One of the five regional plants is the County's owned and operated Noman M. Cole, Jr. Pollution Control Plant (NCPCP), which is currently permitted to treat 67 million gallons per day (mgd) of flow. Other regional facilities where the County has purchased treatment capacity include the District of Columbia Water's Blue Plains Treatment Plant with 31 mgd capacity; Alexandria Renew Enterprises Treatment Plant with 32.4 mgd capacity; Upper Occoquan Service Authority's Treatment Plant with 22.6 mgd capacity; Arlington County's Treatment Plant with 3 mgd capacity; and Loudoun Water's Broad Run Plant with 1 mgd. Fairfax County utilizes all of these facilities to accommodate a total capacity of 157 mgd.

Fund 69010

Sewer Operation and Maintenance

The Wastewater Management Program is funded by revenues generated by the customers of the sanitary sewer system and recorded in Fund 69000, Sewer Revenue. Sewer Service Charges support system operation and maintenance costs, debt service payments, and capital projects attributable to supporting and improving wastewater treatment services for existing customers. Availability Charges support a proportional share of system costs and capital projects attributable to growth of the system required to support new customers. Existing customers are defined as those who have paid an availability charge for access to the system and receive wastewater treatment services. New customers are those who have not paid the availability charge. Upon payment of the availability charge and connection to the system, a new customer becomes an existing customer. The County allocates expenses, interest income, bond proceeds, debt service payments, capital improvement project costs and funding, and operating costs between existing and new users of the system. In accordance with the County's "Growth Pays for Growth Policy," both existing and new customers must pay for their share of the system's total annual revenue requirements.

A number of trends that may influence the operation and maintenance of the sanitary sewer system over the next two to five years include the following:

Chesapeake Bay Water Quality Program Requirements - The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed using "State of the Art" technology and meet a waste load allocation (cap) for the nutrients nitrogen and phosphorous by 2013. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate these more stringent nutrient discharge requirements. FY 2014 will be the first full year of operation of the new Moving Bed Biological Reactor facility for nutrient removal.

Capacity, Maintenance, Operation, and Management (CMOM) - The United States Environmental Protection Agency (USEPA) has implemented sanitary sewer overflow (SSO) regulations, which would require municipalities to develop and implement a Capacity, Management, Operation and Maintenance (CMOM) program to eliminate any sewer overflows and back-ups from the wastewater collection systems. The County has implemented the CMOM program is featured on the EPA's website at the following link at http://www.epa.gov/npdespub/pubs/sso_casestudy_fairfax.pdf.

Capital Improvements - Reinvestment in the sewer system infrastructure ensures optimum operation of all wastewater facilities. This initiative, closely related to CMOM endeavors, emphasizes capital improvements to wastewater collection and treatment facilities to meet requirements of the future sanitary sewer overflow regulations by the USEPA. The program continues to take a proactive stance toward infrastructure rehabilitation; however, CMOM regulations could greatly affect operations.

Integration of Information Technology - The Geographic Information System (GIS), the Supervisory Control and Data Acquisition (SCADA) system and the Infrastructure Computerized Maintenance Management System (ICMMS) require integration for optimal use. Computing and information technology are an integral part of every aspect of the Wastewater Management Program operations. Today's high customer expectations and increasing reliance on consistent 24-hour services lead to an increasing dependence on stable and reliable integrated information technologies that infuse the business process. Presently, the Enterprise Asset Management system (EAM) has successfully integrated with GIS and ICMMS system which provide reports for the SCADA system. The EAM system and SCADA system

Fund 69010

Sewer Operation and Maintenance

are not yet integrated. Future customer service needs will require a full enterprise integration of the critical information technology systems to reduce total cost of ownership, increase availability of critical business data in the right format, and improve the quality and delivery of services to sewer customers.

Asset Management Program - As a result of evaluating the program's financial management strategies, an Asset Management Program was developed. The first phase aligned the program's capital asset policies and procedures with the County's fixed asset policies and developed a process in which to evaluate the program's infrastructure. The second phase developed criteria to identify the program's critical assets. After the criteria were tested and accepted, they were applied to all program assets. Phase three will be the condition assessment of all assets beginning with the most critical assets.

Sewer Operation and Maintenance supports the following County Vision Elements:



Creating a Culture of Engagement



Practicing Environmental Stewardship



Exercising Corporate Stewardship

Based on these operational requirements and trends, the Sewer Service Charge rate included in the 5-year plan approved by the Board of Supervisors as part of the FY 2013 Adopted Budget Plan was proposed to increase 8 percent in FY 2014. This would have increased the Sewer Service Charge from \$6.55 to \$7.07 per 1,000 gallons of water consumption in FY 2014, resulting in an anticipated increase in the annual cost to the typical household of \$37.44. Wastewater Management staff in consultation with Public Resources Management Group is proposing a revised billing process for both Sewer Service and Base charges which will result in an increase of 6 percent, a reduction of 2 percent from the FY 2013 projection, or an increase in the annual cost to the typical household of \$29.16.

The level of revenue required in FY 2014 to allow the system to: meet permit conditions, meet and maintain all of the required financial targets through FY 2017, maintain competitive rates with neighboring utilities, continue to preserve AAA credit rating and require less debt to support capital projects would require an increase of 6 percent. The level of revenue required is consistent with the analysis included in the January 2013 Wastewater Revenue Sufficiency and Rate Analysis report. This reduction in revenue requirements is possible due to operational savings such as savings associated with chemicals used in the treatment of wastewater, energy consumption savings due to replacing fix drive pumps with variable drive pumps, lower than anticipated utility requirements and other operational efficiencies throughout the program.

In FY 2014, staff is proposing a more balanced rate implementation program by increasing the Base Charge instead of the Sewer Service Charge. This approach is consistent with other utilities in the area and provides for a more equitable rate structure by recovering a portion of the Program's fixed costs while holding the Sewer Service Charge at the current rate of \$6.55 per 1,000 gallons water consumed. The impact of the proposed increase in the Base Charge on a typical household is effectively the same as if the Sewer Service Charge were to increase by 6 percent. Currently, Fairfax County uses the winter quarter average to determine sewer invoices. The proposal to increase the Base Charge in order to recover the fixed cost of providing sewer service to those customers who do not consume water in the winter

Fund 69010

Sewer Operation and Maintenance

months, but consume water during the remainder of the year, will no longer be subsidized by the other customers. The current Base Charge of \$5.50 per quarter recovers only the cost of customer billing as charged by Fairfax Water. The Base Charge is proposed to increase \$7.29 per quarter for a total Base Charge of \$12.79 per quarterly bill. The proposed Base Charge will recover 100 percent of the cost of billing and approximately 5.2 percent of the Program's fixed costs. Industry practice for fixed cost recovery rate is 25 percent. In order to strive towards such recovery rate, a phase-in approach is being proposed through FY 2017 to increase the Base Charge, and maintain the Sewer Service Charge at \$6.55 per 1,000 gallons water consumed. The current System, including sewer lines, facilities, purchased capacity and equipment is valued at approximately \$1.9 billion. Based on the age and required maintenance of the system, it is imperative that reinvestment continues to be addressed. The implementation of the proposed increases to the Base Charge will help ensure that all users of the system share in the fixed costs associated with reinvestment and operations.

Year	Sewer Service Charge Per 1,000 gallons water consumed	New Base Charge Per Quarterly Bill	Percent Cost Recovered
2013	\$6.55	\$ 5.50	3.52%
2014	\$6.55	\$12.79	8.70%
2015	\$6.55	\$20.36	13.51%
2016	\$6.55	\$25.34	16.37%
2017	\$6.55	\$30.45	19.09%

The table below reflects the Wastewater Management Program's projected fiscal health in FY 2014 and FY 2015. The financial planning process incorporates these indicators that are interrelated and structured to identify the adequacy of rates from a cash flow, business, and compliance standpoint. These indicators are used by the rating agencies to determine the Program's credit rating.

FY 2014 Calculated Financial Indicators Comparison

Financial Indicator	Target	Achieved	FY 2013	FY 2014	FY 2015
Net Revenue Margin	Min. 45.0%	Yes	46.0%	46.20%	47.20%
Days Working Capital [1]	90 to 120	Yes	166	304	291
Debt Coverage Senior	Min. 3.00x	Yes	3.33x	3.85x	3.73x
Debt Coverage All-Debt	Min 1.75x	Yes	1.84x	2.14x	2.10x
Debt Coverage Ratio	Min. 1.25	Yes	1.25	1.25	1.25
Net Capital Funds Available Ratio	Min 3.0%	Yes	2.50%	4.10%	3.70%
Debt to Net Plant in Service	Max 50.0%	Yes	49.10%	45.30%	49.00%
Outstanding Debt per Connection	Max \$3,000	Yes	\$2,545	\$2,349	\$2,591
Anticipated Sewer Bond Sales Through FY 2018				\$192.0 M	

[1] Exclusive of Availability Fees in Fund 69300 Sewer Construction Improvement Fund. Calculated based on Operating Expenses and 360 days.

The series 2012 Sewer Revenue Bonds in the amount of \$110 million support capital projects including enhanced nutrient removal upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities. An original bond amount of \$150 million was proposed but reduced to \$110 million due to the sale of 2.0 mgd of capacity to Prince William County which was approved by the Board of Supervisors on January 25, 2011, providing approximately \$40 million in revenues for the system. It is anticipated that the proposed billing changes in FY 2014 will support the

Fund 69010

Sewer Operation and Maintenance

County's ability to maintain high bond ratings (AAA by Fitch Investor Service and Standard and Poor's Corporation and Aa1 by Moody's Investors Service, Inc.) from rating agencies. These high credit ratings have enabled the County to sell bonds on behalf of the Program at interest rates lower than those obtained by most sewer authorities, thereby achieving savings throughout the life of the bonds.

The FY 2014 Sewer Service Charge and the Base Charge will generate an additional \$9.7 million in revenue over the *FY 2013 Revised Budget Plan* amount and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to meet new, more stringent nitrogen discharge limitations from wastewater treatment plants. Other sources of revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$24,757,473	\$26,464,228	\$26,464,228	\$26,709,623
Operating Expenses	61,026,122	66,819,252	68,966,844	67,069,252
Capital Equipment	1,004,458	1,325,074	2,290,482	618,108
Capital Projects	0	0	0	0
Subtotal	\$86,788,053	\$94,608,554	\$97,721,554	\$94,396,983
Less:				
Recovered Costs	(\$400,954)	(\$687,567)	(\$687,567)	(\$368,888)
Total Expenditures	\$86,387,099	\$93,920,987	\$97,033,987	\$94,028,095
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	321 / 320.5	321 / 320.5	321 / 320.5	321 / 320.5

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$233,209**
 An increase of \$233,209 in Personnel Services reflects the full year impact of the 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.
- ◆ **Other Post-Employment Benefits** **\$12,186**
 An increase of \$12,186 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, Volume 2, of the FY 2014 Advertised Budget Plan.

Fund 69010

Sewer Operation and Maintenance

- ◆ **Intergovernmental Charges** **\$250,000**
A net increase of \$250,000 in Operating Expenses for Department of Vehicle Services charges is based on anticipated charges for fuel, vehicle replacement, and maintenance-related costs.

- ◆ **Recovered Costs** **\$318,679**
A decrease of \$318,679 in Recovered Costs is based on actual experience and a reduction in wastewater maintenance projects being charged to other agencies.

- ◆ **Capital Equipment** **\$618,108**
Capital Equipment funding of \$618,108 is included primarily for requirements associated with replacement equipment that has outlived its useful life and is not cost effective to repair. This equipment includes: \$203,565 for six replacement vehicles and one new vehicle to provide transportation for crews and their equipment; \$92,795 for Closed Circuit Television (CCTV) cameras and equipment for use during inspections to identify sewer back-ups and overflows; \$50,000 for a 60 kilowatt portable diesel generator for use during power outages to prevent sanitary sewer overflows; and \$12,000 for welding equipment used for maintenance requirements. In addition, an amount of \$259,748 is required for the replacement of critical laboratory and computer equipment at the Noman M. Cole, Jr. Pollution Control Plant.

- ◆ **Transfer to the General Fund for Indirect Costs**
It should be noted that \$1,800,000 is included as a transfer to the General Fund beginning in the FY 2014 Advertised Budget Plan to partially offset central support services supported by the General Fund which benefit Fund 69010, Sewer Operation and Maintenance. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$3,113,000**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved encumbered funding of \$2,147,591 in Operating Expenses and \$965,409 in Capital Equipment.

Fund 69010

Sewer Operation and Maintenance

Cost Center

Wastewater Collection

The Wastewater Collect Division is responsible for the operation and maintenance of the collection system which includes the physical inspection of sewer lines, the rehabilitation of aging and deteriorated sewer lines, and pumping stations; raise manholes, sewer line location and marking for the Miss Utility Program. The division also responds to emergency repair of sewer lines and provides 24-hour hotline and service response to homeowners in the County.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$14,159,124	\$15,387,076	\$16,550,163	\$15,227,618
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	147 / 147	145 / 145	142 / 142	142 / 142

<u>Collection Program</u>	<u>Gravity Sewers</u>	<u>Pumping Stations</u>
1 Director	1 Public Works Env. Services Manager	1 Public Works Env. Services Manager
1 Human Resources Generalist III		
1 Safety Analyst	1 Maintenance Superintendent	1 Engineer III
1 Inventory Manager	5 Senior Maintenance Supervisors	1 Industrial Electrician Supervisor
1 Material Mgmt. Specialist III	2 Public Works Env. Services Specialists	1 Instrumentation Supervisor
2 Admin. Assistant IV	9 Engineering Technicians II	1 Plant Maintenance Supervisor
1 Admin. Assistant III	1 Engineer V	2 Industrial Electricians III
2 Admin. Assistants II	16 Engineering Technicians I	4 Instrumentation Technicians III
1 Material Mgmt. Specialist I	13 Heavy Equipment Operators	4 Industrial Electricians II
1 Material Mgmt. Assistant	4 Maintenance Crew Chiefs	6 Plant Mechanics III
	3 Motor Equipment Operators	3 Instrumentation Technicians II
	2 Truck Drivers	8 Plant Mechanics II
	12 Senior Maintenance Workers	1 Instrumentation Technician I
	18 Maintenance Workers	2 Engineering Technicians III
	1 Engineer III	
	5 Environmental Services Sups.	
	1 Maintenance Supervisor	
	1 Map Drafter	

TOTAL POSITIONS
142 Positions / 142.0 FTE

Fund 69010

Sewer Operation and Maintenance

Wastewater Treatment

The Wastewater Treatment Division includes a variety of activities to support the preliminary and primary treatment of wastewater which includes regulatory requirements associated with the Chesapeake Bay, Clean Water Act and other environmental standards. The plant also provides enhanced odor control services, water and energy management and water reuse.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$18,693,339	\$20,091,072	\$20,659,545	\$20,350,177

AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)

Regular	135 / 135	137 / 137	137 / 137	137 / 137
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<u>Noman M. Cole, Jr., Pollution Control Plant</u>		<u>Operations</u>		
1 Director	1	1 Engineer V	2	Industrial Electricians III
1 Public Works Env. Svcs. Spec.	3	3 Engineers III	3	Instrumentation Technicians III
1 Info. Tech. Prog. Manager I	1	1 Plant Operations Superintendent	6	Instrumentation Technicians II
1 Database Administrator I	6	1 Plant Operations Supervisors	1	Senior Maintenance Supervisor
1 Material Mgmt. Specialists I	9	6 Plant Operations Supervisors	4	Industrial Electricians II
2 Network/Telecomm. Analysts I	24	9 Plant Operators III	7	Plant Mechanics III
3 Network/Telecomm. Analysts II	15	24 Plant Operators II	2	Welders II
1 Safety Analyst	2	15 Plant Operators I	8	Plant Mechanics II
2 Network/Telecomm. Analysts I	2	2 Engineering Technicians III	2	Painters I
1 Inventory Manager	1	1 Engineering Technician II	4	Maintenance Workers
1 Heavy Equipment Supervisor	2	<u>Maintenance</u>	1	HVAC II
1 Info. Technology Technician II	1	2 Public Works Env. Svcs. Mgrs.	1	General Building Maint. Worker I
1 Administrative Assistant IV	1	1 Industrial Electrician Supervisor		
2 Heavy Equipment Operators	2	1 Instrumentation Supervisor		
3 Administrative Assistants III	1	2 Plant Maintenance Supervisors		
1 Material Mgmt. Driver	2	1 Painter II		
1 Data Analyst I	1	2 Industrial Electricians I		
	1	1 Senior Maintenance Worker		
	1	1 Chief Building Maintenance		

TOTAL POSITIONS

137 Positions / 137.0 FTE

Wastewater Planning and Monitoring

The Wastewater Planning and Monitoring Division, assesses and monitors long-term planning needs for the Wastewater Management Program and conducts environmental monitoring for regulatory compliance and to protect the wastewater system and the environment. The staff also determines and plans for infrastructure expansion requirements and financial demands for the entire wastewater system.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$53,534,636	\$58,442,839	\$59,824,279	\$58,450,300

AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)

Regular	39 / 38.5	39 / 38.5	42 / 41.5	42 / 41.5
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Fund 69010

Sewer Operation and Maintenance

<u>Financial Management and Planning</u>	<u>Engineering Planning and Analysis</u>	<u>Environmental Monitoring</u>
1 Deputy Director Public Works	1 Engineer V	1 Chief, Environmental Monitoring
1 Director	1 Engineer IV	2 Asst. Environmental Services Directors
1 Management Analyst IV	1 Geog. Info. Spatial Analyst III	4 Environmental Health Specs. II
1 Financial Specialist IV	1 Geog. Info. Spatial Analyst II	2 Environmental Technologists III
1 Financial Specialist III	1 Geog. Info. Spatial Analyst I	3 Environmental Technologists II
1 Programmer Analyst III	1 Engineering Technician III	7 Environmental Technologists I
1 Financial Specialist II	4 Engineers III	1 Management Analyst II
1 Env. Services Technical Specialist		
1 Administrative Assistant IV		
2 Administrative Assistants III, 1PT		
1 Management Analyst I		
TOTAL POSITIONS		
42 Positions / 41.5 FTE		PT Denotes Part-Time Position

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Wastewater Planning and Monitoring				
Compliance with Title V air permit and State water quality permit	100%	100%	100% / 100%	100%
Blockages causing sewer back-ups per year (FY 2012, 5-yr. avg. = 13)	11	15	15 / 10	13
Average household sewer bill compared to other providers in the area	One of the lowest	One of the lowest	One of the lowest / One of the lowest	One of the lowest
Debt Coverage Ratio: (Revenue - Operating Cost/Debt)	1.28	1.44	1.25 / 1.51	1.25

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/69010.pdf

Performance Measurement Results

The Wastewater Management Program continues to comply with Title V air permit and State water quality permit requirements to maintain 100 percent compliance. Sanitary sewage blockages decreased in FY 2012 due to increased effort by maintenance crews to clean the sewer lines.

When comparing average annual sewer service billings for the regional jurisdictions, Fairfax County has a lower average annual sewer service billing at \$494. Other regional jurisdictions range from \$413 to \$621 (as of January 1, 2013). The average sewer service billings for the other regional jurisdictions have been developed by applying each jurisdiction's sewer service rate to appropriate Single Family Residence Equivalents (SFRE) water usage determined from an analysis of Fairfax Water's historical average water usage records for SFREs. Based on the latest rate comparison, Fairfax County had one of the lower annual sewer service charges. The program is able to maintain its competitive rates while providing quality service to its customers, protecting the environment, and maintaining sufficient financial resources to fully fund the program's initiatives.

Fund 69010

Sewer Operation and Maintenance

FUND STATEMENT

Fund 69010, Sewer Operation and Maintenance

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$5,640,993	\$87,946	\$5,253,894	\$1,969,907
Transfer In:				
Sewer Revenue (69000)	\$86,000,000	\$93,750,000	\$93,750,000	\$96,000,000
Total Transfer In	\$86,000,000	\$93,750,000	\$93,750,000	\$96,000,000
Total Available	\$91,640,993	\$93,837,946	\$99,003,894	\$97,969,907
Expenditures:				
Personnel Services	\$24,757,473	\$26,464,228	\$26,464,228	\$26,709,623
Operating Expenses	61,026,122	66,819,252	68,966,843	67,069,252
Recovered Costs	(400,954)	(687,567)	(687,567)	(368,888)
Capital Equipment	1,004,458	1,325,074	2,290,483	618,108
Total Expenditures	\$86,387,099	\$93,920,987	\$97,033,987	\$94,028,095
Transfer Out:				
General Fund (10001) ¹	\$0	\$0	\$0	\$1,800,000
Total Transfers Out	\$0	\$0	\$0	\$1,800,000
Total Disbursements	\$86,387,099	\$93,920,987	\$97,033,987	\$95,828,095
Ending Balance^{2,3}	\$5,253,894	(\$83,041)	\$1,969,907	\$2,141,812

¹ Funding in the amount of \$1,800,000 is transferred to the General Fund to partially offset central support services supported by the General Fund which benefit Fund 69010, Sewer Operation and Maintenance. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

² The Wastewater Management Program maintains fund balances at adequate levels relative to projected operation and maintenance expenses. These costs change annually; therefore, funding for sewer operations and maintenance is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

³ The negative ending balance in FY 2013 Adopted Budget Plan was due to Personnel Services increases associated with the market rate adjustment and performance based scale and salary increases approved by the Board of Supervisors. This negative balance has been corrected by operational savings realized in FY 2013.

Fund 69020 Sewer Bond Parity Debt Service

Focus

Fund 69020 (formerly Fund 403), Sewer Bond Parity Debt Service, records debt service obligations incurred from bonds issued in accordance with the 1986 Sewer Bond Resolution. Bond proceeds are used to fund capital improvement requirements in the Wastewater Management Program including upgrades to the treatment facilities serving the County and construction of nutrient removal facilities for the removal of nitrogen as required by the State Water Control Board. The removal of nitrogen will improve the quality of the effluent produced at all of the treatment plants.



An amount of \$21,957,307 is required for this fund in FY 2014 including \$7,388,750 in principal payments and \$14,563,557 in interest payments associated with outstanding 2004, 2009 and 2012 Sewer Revenue Bonds, as well as \$5,000 in fiscal agent fees. FY 2014 interest and principal payments reflect a decrease due to lower than anticipated principal and interest payments associated with the 2012 sale, based on favorable interest rates and a decrease in the bond sale amount from \$150 to \$105.8 million. The reduction in bond funding requirements was based on revenue received from the sale of capacity to Prince William County in FY 2011 as approved by the Board of Supervisors on January 25, 2011. Fiscal agent fees are included for the management of all sewer bond accounts. All debt service payments are supported by Sewer System Revenues.

	Principal	Interest	Fees	Total
Sewer Revenue Bonds:				
2004	\$3,155,000	\$3,526,644		\$6,681,644
2009	2,793,750	6,920,713		9,714,463
2012	1,440,000	4,116,200		5,556,200
Subtotal-Debt Service	\$7,388,750	\$14,563,557		\$21,952,307
Fiscal Agent Fees			\$5,000	\$5,000
Total	\$7,388,750	\$14,563,557	\$5,000	\$21,957,307

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this fund since approval of the FY 2013 Adopted Budget Plan.

Fund 69020

Sewer Bond Parity Debt Service

FUND STATEMENT

Fund 69020, Sewer Bond Parity Debt Service

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$3,597,208	\$3,022,363	\$12,855,263	\$10,281,077
Transfer In:				
Sewer Revenue (69000) ¹	\$25,554,960	\$21,000,000	\$21,000,000	\$12,000,000
Total Transfer In	\$25,554,960	\$21,000,000	\$21,000,000	\$12,000,000
Total Available	\$29,152,168	\$24,022,363	\$33,855,263	\$22,281,077
Expenditures:				
Principal Payment ²	\$5,380,000	\$7,428,281	\$7,428,281	\$7,388,750
Interest Payments ²	10,888,789	16,115,905	16,115,905	14,563,557
Fiscal Agent Fees	3,116	5,000	5,000	5,000
Total Expenditures	\$16,271,905	\$23,549,186	\$23,549,186	\$21,957,307
Non Appropriated:				
Amortization Expense ³	\$25,000	\$25,000	\$25,000	\$25,000
Total Disbursements	\$16,296,905	\$23,574,186	\$23,574,186	\$21,982,307
Ending Balance⁴	\$12,855,263	\$448,177	\$10,281,077	\$298,770

¹ This fund is supported by a transfer in from Fund 69000, Sewer Revenue.

² The bond principal and interest payments are shown as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

³ In order to capitalize bond costs, this category is designated as an annual non-appropriated amortization expense. An amount of \$25,000 includes the 2004, 2009 and the 2012 sewer revenue bond sales.

⁴ The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. Fund balances fluctuate from year to year based on actual debt requirements and are used to cover amortization of issuance costs.

Fund 69030 Sewer Bond Debt Reserve

Focus

Fund 69030 (formerly Fund 406), Sewer Bond Debt Reserve, fulfills the County's requirement to maintain a Reserve Fund for existing and planned sewer bonds. As outlined in the 1986 Bond Resolution, this reserve is required to be the lesser of the maximum principal and interest requirements for any bond year or 125 percent of the average annual principal and interest requirements for the bonds.

No funding is required for Fund 69030, Sewer Bond Debt Reserve, in FY 2014. The current balance of \$26,261,123 is at a sufficient level to satisfy the legal reserve requirements of \$6,900,348 for the 2004 Sewer Revenue Refunding bonds, \$9,654,775 for the 2009 Sewer Revenue Bonds and \$9,706,000 for the 2012 Sewer Revenue Bonds. The 2012 series Sewer Revenue Bonds in the amount of \$105.8 million will support capital projects including enhanced nutrient removal upgrades to meet current environmental regulations, replacement and rehabilitation of aging sewer lines, plant upgrades at both the Noman M. Cole, Jr. Pollution Control Plant and other treatment by contract wastewater treatment facilities, and required deposits to bond reserves.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this fund since approval of the FY 2013 Adopted Budget Plan.

Fund 69030 Sewer Bond Debt Reserve

FUND STATEMENT

Fund 69030, Sewer Bond Debt Reserve

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$16,555,123	\$26,261,123	\$16,555,123	\$26,261,123
Revenue:				
Bond Proceeds	\$0	\$0	\$9,706,000	\$0
Total Revenue	\$0	\$0	\$9,706,000	\$0
Total Available	\$16,555,123	\$26,261,123	\$26,261,123	\$26,261,123
Total Expenditures	\$0	\$0	\$0	\$0
Total Disbursements	\$0	\$0	\$0	\$0
Ending Balance¹	\$16,555,123	\$26,261,123	\$26,261,123	\$26,261,123

¹ The fund balance provides a sufficient level to satisfy the legal reserve requirements of \$6,900,348 for the 2004 Sewer Revenue Refunding bonds, \$9,654,775 for the 2009 Sewer Revenue Bonds and \$9,706,000 for the 2012 Sewer Revenue Bonds. These reserves provide for one year of principal and interest as required by the Sewer System's General Bond Resolution.

Fund 69040

Sewer Bond Subordinate Debt Service

Focus

Fund 69040 (formerly Fund 407), Sewer Bond Subordinate Debt Service, provides debt service funding for the Upper Occoquan Service Authority (UOSA) Bond Series and the Virginia Resources Authority (VRA) loans. The UOSA Bond Series is based on the County's portion of the UOSA plant expansion from 27.0 million gallons per day (mgd) to 54.0 mgd. Two low-interest VRA loans from the State Revolving Fund Program were used to fund the County's share of construction costs for the Alexandria Renew Enterprises Treatment Plant upgrade for ammonia removal as required by the State Water Control Board.

All debt service payments are supported by Sewer System Revenues through a transfer from Fund 69000, Sewer Revenue. Pursuant to the Sewer Bond resolution and respective agreements, these debt obligations are subordinate to the County's Sewer Revenue Bonds and therefore, the payments are made from this fund.

Funding in the amount of \$28,419,768 will provide for the FY 2014 principal and interest requirements, including an amount of \$21,782,696 for the UOSA plant requirements, and \$6,637,072 for the VRA debt requirements. UOSA debt is structured so that no principal payments are made during the construction phase of the project. Interest is capitalized and principal payments begin once construction is substantially complete.

The following table identifies the payments required in FY 2014.

	Principal	Interest	Total
UOSA PLANT EXPANSION:			
1995A	\$0	\$1,568,697	\$1,568,697
2003	2,360,434	1,134,474	3,494,908
2004	4,874,008	499,658	5,373,666
2005	0	2,660,060	2,660,060
2007A	0	2,621,743	2,621,743
2007B	0	2,552,749	2,552,749
2010	664,811	1,847,837	2,512,648
2011	0	456,079	456,079
2012	0	542,146	542,146
Subtotal – UOSA	\$7,899,253	\$13,883,443	\$21,782,696
VRA DEBT PAYMENTS:			
FY 2001 VRA Loan	\$2,189,971	\$809,313	\$2,999,284
FY 2002 VRA Loan	2,628,287	1,009,501	3,637,788
Subtotal – VRA	\$4,818,258	\$1,818,814	\$6,637,072
Total	\$12,717,511	\$15,702,257	\$28,419,768

Fund 69040 Sewer Bond Subordinate Debt Service

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this fund since approval of the FY 2013 Adopted Budget Plan.

Fund 69040

Sewer Bond Subordinate Debt Service

FUND STATEMENT

Fund 69040, Sewer Bond Subordinate Debt Service

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$1,164,990	\$74,330	\$1,396,287	\$1,639,642
Transfer In:				
Sewer Revenue (69000)	\$25,633,624	\$27,000,000	\$27,000,000	\$27,500,000
Total Transfer In	\$25,633,624	\$27,000,000	\$27,000,000	\$27,500,000
Total Available	\$26,798,614	\$27,074,330	\$28,396,287	\$29,139,642
Expenditures:				
Principal Payment ¹	\$11,065,122	\$11,561,910	\$11,561,910	\$12,717,511
Interest Payment ^{1,2}	14,337,205	15,194,735	15,194,735	15,702,257
Total Expenditures	\$25,402,327	\$26,756,645	\$26,756,645	\$28,419,768
Total Disbursements	\$25,402,327	\$26,756,645	\$26,756,645	\$28,419,768
Ending Balance³	\$1,396,287	\$317,685	\$1,639,642	\$719,874

¹ The bond principal and interest payments are shown here as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

² The Wastewater Management Program makes principal and interest payments to the Upper Occoquan Service Authority (UOSA) in advance of the principal and interest due dates based on the original agreement with UOSA. UOSA credits the Wastewater Program any interest earning from the advanced payments; therefore, the interest payment actuals are normally lower than anticipated.

³ The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. These costs change annually and therefore, fund balances fluctuate from year to year based on actual debt service requirements.

Fund 69300

Sewer Construction Improvements

Focus

Fund 69300 (formerly Fund 402), Sewer Construction Improvements, provides for wastewater management construction projects through a transfer of funds from Fund 69000, Sewer Revenue. All projects in Fund 69300 are fully supported by sewer system revenues.

Funding in the amount of \$84,489,000 is included in Fund 69300, Sewer Construction Improvements, in FY 2014. FY 2014 is an increase of \$54,489,000 or 81.6 percent over the FY 2013 Adopted Budget Plan level. This increase is primarily due to the transition of financing from bond funding to cash

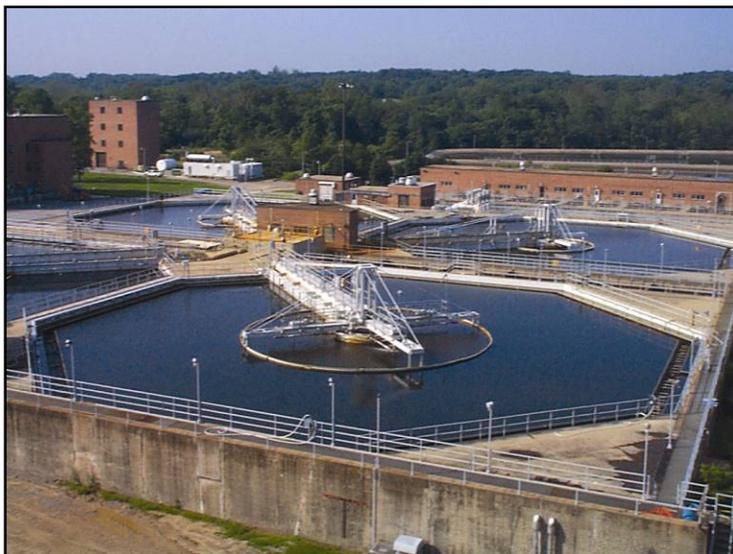


Photo of the Noman M. Cole Jr. Pollution Control Plant

funding to meet critical wastewater capital construction requirements. Funding for Blue Plains and Alexandria Renew Enterprises Upgrade Replacement and Renewal projects were previously included in Fund 69310, Sewer Bond Construction. Funding these upgrade projects with system revenues will allow the County to reduce debt issuance and interest expenses. FY 2014 funding will provide for the following projects:

Blue Plains Upgrade Replacement and Rehabilitation

This project funds the County's share of upgrades to the DC Water's Blue Plains Treatment Plant. Funding in the amount of \$26,690,000 is included for facility improvements to comply with nutrient discharge limits. Projects supporting the Enhanced Nitrogen Removal Program include, providing an additional 40 million gallons of new anoxic reactor capacity for nitrogen removal, a new post aeration facility, pump station, and other new facilities to store and feed methanol and alternative sources of carbon. Construction continues on this project and is scheduled to be completed in 2016. In addition, funding will also provide for the Clean Rivers Project to prevent combined storm and sanitary overflows during major storm events by storing the overflow in tunnels until the plant has capacity to fully treat the water. This project is currently under construction and is scheduled to be completed by summer 2016. The County is responsible for 31 of the 370 mgd or 8.38 percent of capacity at the Blue Plains Treatment Plant.

Alexandria Renew Enterprises Upgrade Replacement and Renewal

This project funds the County's share of the upgrades to the Alexandria Renew Enterprises (AREnew) Treatment Plant. Fairfax County is one of many jurisdictions which participate in the Joint Capital Improvement Program. Funding supports the design and construction of a State of the Art Nitrogen Upgrade Program for nitrogen removal. The State of the Art Nitrogen Upgrade Program will be completed in 6 phases to allow the spread of design and construction costs over an 8 year period. The long range plan was completed in 2008, and 2 of the 6 phases were completed in 2011; the remaining phases will be completed by 2016. FY 2014 funding in the amount of \$25,225,000 is included for engineering design, construction management, landscape architecture and engineering services during construction to comply with the nutrient discharge limits. The County is responsible for 32.4 of the 54 mgd or 60 percent of capacity at the Alexandria Renew Enterprises' Treatment Plant.

Fund 69300

Sewer Construction Improvements

Collection System Replacement and Rehabilitation

This is a continuing project established to implement systematic rehabilitation of the County's more than 3,390 miles of sanitary sewer lines. Rehabilitation includes the use of trenchless technology to approximately 20 miles of sewer per year. FY 2014 funding in the amount of \$11,210,000 is included to continue the systematic rehabilitation of the County's force mains. Currently Dead Run Force Main and Difficult Run Force Main are in the design phase for rehabilitation. There are nine other force mains scheduled for rehabilitation in FY 2014, including: Barcroft I, Barcroft II, Langley School, Mt. Vernon Terrance, Wellington I, Ravenwood, Springfield, Wayne Wood I, and Wayne Wood II.

Pumping Stations

This project provides for the planned replacement of pumping stations throughout the County. FY 2014 funding of \$7,712,000 is included for the regularly scheduled repair, renovation, and replacement of pumping station equipment and facilities.

Noman Cole Treatment Plant Renewal

This project provides for the continuation of systematic rehabilitation of structures and equipment at the Noman M. Cole, Jr. Pollution Control Plant (NMCPCP). FY 2014 funding in the amount of \$8,730,000 is included for the rehabilitation and replacement of pumps, gates, and valves; rehabilitation of grit removal facilities; stormwater runoff improvements and rehabilitation of chemical facilities.

Extension and Improvement Projects

Funding in the amount of \$3,000,000 is included to satisfy the annual appropriation requirement for the County's new Extension and Improvement (E&I) Program as approved by the Board of Supervisors on April 12, 2011. This policy adjusts the Connection Charges such that the future cost of the E&I Program is shared equally between the County's Sewer Fund and the property owners seeking public sewer service.

Robert P. McMath Facility Improvements

This project will provide funding for improvements at the Robert P. McMath Facility. The McMath Facility houses staff who support pump station operation and maintenance; collection system maintenance, inspections and metering; the Miss Utility Program, the Trouble Response Center and administrative staff to support field operations for the Wastewater Collection Division. FY 2014 funding in the amount of \$1,050,000 is included to support interior repairs such as interior lighting upgrades for energy conservation.

Integrated Sewer Metering

This project will provide for the planned replacement of sewer meters throughout the County. FY 2014 funding in the amount of \$286,000 is provided for the replacement of sewer meters used for measuring wastewater flow to and from other jurisdictions for billing and monitoring purposes as well as portable meters used in infiltration and inflow studies to measure wet weather flows.

Arlington Wastewater Treatment Plant Rehabilitation

This project will provide funding for plant upgrades at the Arlington Wastewater Treatment Plant. FY 2014 funding in the amount of \$586,000 is included for annual repair and rehabilitation work for various facilities as scheduled in Arlington County's Capital Improvement Program. The County is responsible for 3.0 of the 40 mgd or 7.5 percent of capacity at the Arlington Wastewater Treatment Plant.

Fund 69300 Sewer Construction Improvements

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments**

As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved an increase of \$31,758,367 due to the carryover of unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 69300

Sewer Construction Improvements

FUND STATEMENT

Fund 69300, Sewer Construction Improvements

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$33,017,450	\$0	\$31,758,367	\$0
Transfers In:				
Sewer Revenue (69000)	\$29,000,000	\$30,000,000	\$30,000,000	\$84,489,000
Total Transfer In	\$29,000,000	\$30,000,000	\$30,000,000	\$84,489,000
Total Available	\$62,017,450	\$30,000,000	\$61,758,367	\$84,489,000
Total Expenditures	\$30,259,083	\$30,000,000	\$61,758,367	\$84,489,000
Total Disbursements	\$30,259,083	\$30,000,000	\$61,758,367	\$84,489,000
Ending Balance¹	\$31,758,367	\$0	\$0	\$0

¹ The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 69300

Sewer Construction Improvements

FY 2014 Summary of Capital Projects

Fund 69300, Sewer Construction Improvements

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G25-063-000	Fund Contingency		\$0.00	\$4,865,334.91	\$0
WW-000001	Pumping Stations	18,952,619	1,655,698.46	8,908,230.82	7,712,000
WW-000002	Dogue Creek Rehabilitation/Replacement	23,378,000	5,105,237.52	11,227,392.24	0
WW-000003	Little Rocky Run Upgrades	475,865	193,235.46	101,276.93	0
WW-000004	Robert P. Mcmath Facility Improvements	2,070,000	233,388.23	522,737.19	1,050,000
WW-000005	Integrated Sewer Metering	1,132,906	0.00	114,019.40	286,000
WW-000006	Extension & Improvement Projects	11,538,114	2,188,291.49	4,994,621.47	3,000,000
WW-000007	Collection System Replacement and Rehab.	57,962,909	12,428,442.15	25,331,232.10	11,210,000
WW-000009	Noman Cole Treatment Plant Renewal	17,016,000	2,569,104.51	3,129,914.72	8,730,000
WW-000018	Replacement and Transmission-Rehab.	63,750,766	(8,057.50)	434,997.77	0
WW-000019	Replacement and Renewal-Treatment	24,940,042	(32,075.00)	54,427.00	0
WW-000020	Arlington WWTP Rehab.	2,586,000	134,419.00	1,865,581.00	586,000
WW-000021	ASA WWTP Upgrades and Rehab.	31,225,000	5,791,398.83	208,601.17	25,225,000
WW-000022	Blue Plains WWTP Upgrades and Rehab.	26,690,000	0.00	0.00	26,690,000
Total		\$281,718,221	\$30,259,083.15	\$61,758,366.72	\$84,489,000

Fund 69310

Sewer Bond Construction

Focus

Fund 69310 (formerly Fund 408), Sewer Bond Construction, provides for major sewer system construction projects including upgrades and expansions of sewer treatment plants utilized by Fairfax County residents that are funded primarily from the sale of sewer revenue bonds. Funding is provided from either Fund 69310, Sewer Bond Construction, or Fund 69300, Sewer Construction Improvements, to continue to meet state regulatory requirements for nitrogen removal and plant upgrades at the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Renew Enterprise (AREnew), the Arlington County Treatment Plant, and the Noman M. Cole, Jr. Pollution Control Plant.

The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants. The County's National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed at the "Limits of Technology." The County has a nitrogen discharge annual mass limit of 612,158 pounds per year which is achievable at capacity flow if the County's effluent has an average nitrogen concentration of 3.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements.



The 2012 series Sewer Revenue Bond in the amount of \$105.8 million will support capital projects including enhanced nutrient removal upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities as well as capital projects for the replacement and rehabilitation of sewer line projects and plant facility upgrades. No funding is included for Fund 69310 in FY 2014. Work will continue on existing and previously funded projects.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved an increase of \$117,307,939 due to the carryover of unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 69310 Sewer Bond Construction

FUND STATEMENT

Fund 69310, Sewer Bond Construction

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$47,578,200	\$0	\$3,923,073	\$30,591
Revenue:				
Sale of Bonds ¹	\$0	\$0	\$100,486,414	\$0
Interest on Investments	130,591	400,000	400,000	0
Virginia Water Quality Improvement Grant ²	10,270,459	0	12,529,043	0
Total Revenue	\$10,401,050	\$400,000	\$113,415,457	\$0
Total Available	\$57,979,250	\$400,000	\$117,338,530	\$30,591
Total Expenditures	\$54,056,177	\$0	\$117,307,939	\$0
Total Disbursements	\$54,056,177	\$0	\$117,307,939	\$0
Ending Balance³	\$3,923,073	\$400,000	\$30,591	\$30,591

¹ An amount of \$110.1 million in revenue bonds was issued to support enhanced nitrogen removal projects and upgrades within the Capital Improvement Program including \$100.4 million in this fund and \$9.7 million to be reserved in Fund 69030, Sewer Bond Debt Reserve for legal requirements.

² Reflects Virginia Water Quality Improvement Fund Point Source grant approved by the Board of Supervisors on February 23, 2009 for nitrogen removal requirements associated with the Chesapeake Bay Program. In FY 2012 an amount of \$10,270,459 was received and \$12,529,043 is anticipated in FY 2013 and beyond.

³ The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 69310

Sewer Bond Construction

FY 2014 Summary of Capital Projects

Fund 69310, Sewer Bond Construction

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G25-064-000	Fund Contingency		\$0.00	\$12,539,158.97	\$0
WW-000010	ARRA-Noman Cole Water Reuse	16,720,000	1,523,551.50	1,405,564.95	0
WW-000011	DC Blue Plains WWTP Upgrades	91,878,580	24,399,400.29	19,462,379.16	0
WW-000012	Arlington WWTP Upgrades	34,750,000	2,116,217.00	6,253,620.29	0
WW-000013	ASA WWTP Upgrades	49,551,000	2,160,154.51	19,197,277.41	0
WW-000016	Noman Cole Treatment Plant Upgrades	76,364,268	13,375,914.69	33,006,641.32	0
WW-000017	Noman Cole Treatment Plant Renovations	44,294,000	10,480,939.17	25,443,296.41	0
Total		\$313,557,848	\$54,056,177.16	\$117,307,938.51	\$0

Agency and Trust Funds

Overview

Agency Funds are custodial in nature and are maintained to account for funds received and disbursed by the County for various governmental agencies and other organizations. Agency Funds include two holding funds for revenue collected for the Route 28 Tax District and the Mosaic District Community Development Authority. Trust Funds account for assets held by the County in a trustee capacity and include four retirement trust funds and two trust funds to pre-fund other post-employment benefits.

Route 28 Tax District

- ◆ Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District in 1987. The District was formed to accelerate planned highway improvements to State Route 28 that relied on slower pay-as-you-go financing. The owners of industrial and commercial property within the District are subject to an additional tax assessment of 18 cents per \$100 of assessed value.
 - **Fund 70000 - Route 28 Tax District**

Mosaic District Community Development Authority

- ◆ The Board of Supervisors approved the Mosaic District Community Development Authority (CDA) on April 27, 2010. The District consists of a land area of approximately 31 acres within Fairfax County on a site located in the southwest quadrant of the intersection of Lee Highway and Gallows Road in the Merrifield area, approximately 12 miles west of Washington D.C. The District is part of a mixed-use development that is expected to be developed by Eskridge (E&A), LLC, a South Carolina limited liability company to include residential, retail, hotel and office components. The CDA will fund a \$30.0 million dollar portion of the public facilities to be constructed on the site through a 30-year bond to be issued by the CDA whose debt service will be paid by a self-assessment. The CDA will also fund a \$42.0 million dollar portion of the public facilities to be constructed on the site (road improvements, parks, and a small portion of the parking garage) through a 22-year bond also issued through the CDA whose debt service will be paid through incremental real estate tax revenues. Liability for the debt service will be secured by the CDA, not the County.
 - **Fund 70040 - Mosaic District Community Development Authority**

Retirement Trust Funds

- ◆ Each of the four retirement funds derives income from employer contributions, employee contributions, and returns on investments. Payments are made from these funds to eligible retirees based on established benefit formulas. Three retirement trust funds comprise the Fairfax County Employee Retirement Systems and are administered by the Fairfax County Retirement Administration Agency. The fourth retirement fund is for educational employees and is administered by Fairfax County Public Schools.
 - **Fund 73000 - Fairfax County Employees' Retirement System**
 - **Fund 73010 - Uniformed Retirement System**
 - **Fund 73020 - Police Officers Retirement System**
 - **Fund S71000 - Educational Employees' Supplementary Retirement**

Agency and Trust Funds

Other Post-Employment Benefits (OPEB) Trust Funds

- ◆ Beginning in FY 2008, Fairfax County and Fairfax County Public Schools were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits (OPEBs). GASB 45 requires that the County and Schools accrue the cost of the retiree health subsidy and other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability in order to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. Fund 73030, OPEB Trust Fund, and Fund S71100, Public School OPEB Trust Fund, allow the County and Schools to capture long-term investment returns, make progress towards reducing the unfunded liability, and pre-fund the cost of post-employment health care and other non-pension benefits.
 - **Fund 73030 - OPEB Trust Fund**
 - **Fund S71100 - Public School OPEB Trust Fund**

Fund 70000

Route 28 Tax District

Focus

Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District (District) on December 21, 1987. Under Virginia law such a district may be formed only upon the joint petition of owners of at least 51 percent of the land area in each county which is within the boundaries of the proposed district and which has been zoned or is used for commercial or industrial purposes. Fund 70000 (formerly Fund 700), Route 28 Tax District, was formed to provide improvements to State Route 28 which connects State Route 7 in eastern Loudoun County to U.S. Route 50 and Interstate 66 in western Fairfax County, running approximately parallel to the County's western border. State Route 28 provides access to Dulles International Airport, along with the Dulles Access Road, which connects the Capital Beltway to Dulles International Airport. This District was formed upon landowner petition to accelerate planned highway improvements proposed by the state which relied primarily on slower pay-as-you-go financing from the Northern Virginia region's share of the State Primary Road Fund allocation. Under the terms of the agreement with the state, the District will fund 75 percent of defined Phase I and Phase II improvements and the state will fund 25 percent.

The District, administered by a Commission appointed by the Board of Supervisors of both counties, may subject the owners of industrial and commercial property within the District to a maximum additional tax assessment of \$0.20 cents per \$100 of assessed value. The FY 2014 tax rate for this district is \$0.18 cents per \$100 of assessed value. These funds, in addition to funds received through the State Primary Road Fund allocation formula, are to be used for the road improvements and debt service on bonds issued by the state. Improvements completed for Phase I of the Route 28 project included widening the existing road from two to six lanes and upgrading three major intersections. Legislation authorizing the issuance of Commonwealth Transportation Board (CTB) revenue bonds up to \$160.7 million plus issuance expenses to finance the Phase I improvements to Route 28 was enacted during the 1988 Virginia General Assembly and became effective July 1, 1988. This legislation stipulates that the additional tax assessment in the District and funds allocated to the highway construction district, in which Route 28 lies, would reimburse the state for its debt service payments on its bonds. The Commonwealth issued \$138.5 million in revenue bonds for the District in September 1988.

Fairfax County and Loudoun County entered into a contract with the District and agreed to levy an additional tax assessment, as requested by the District, collect the tax, and pay all tax revenues to a Fiscal Agent for distribution. The contract specifies that the counties shall pay all revenues collected on behalf of the District to Trustees jointly designated by the CTB and the counties, and the District in turn shall notify the County of the required payment and request a rate sufficient to collect that amount, up to a maximum of \$0.20 cents per \$100 of assessed value. The rate is set at \$0.18 cents per \$100 dollars of assessed value. In FY 2014, an amount of \$10,707,629 has been included for Fairfax County collections based on estimated tax collections and an allowance for potential property buy outs, late payments, and penalties.

In August 2002 Fairfax County, Loudoun County, the Commonwealth Transportation Board and the Fairfax County Economic Development Authority (EDA) entered into contractual agreements to provide for construction of a portion of additional improvements on Route 28 (Phase II improvements). Specifically, six separated grade interchanges would be constructed to ease traffic congestion. Funding totaling \$201.7 million was made available from a joint financing plan providing \$75.4 million from CTB funds allocated from the state six-year primary and secondary road plan, approximately \$36.3 million of remaining CTB Route 28 bond authorization, and approximately \$90.0 million of bond funds issued by the EDA in 2003 and 2004 and supported by the two counties. Construction of the first six interchanges was completed in FY 2008.

Fund 70000

Route 28 Tax District

In October 2006, the CTB, the counties and the Fairfax County EDA approved the financing plan for the construction of the final four interchanges at Willard Road, Frying Pan Park Road, CIT/Innovation Drive and Nokes Boulevard. The plan included acceptance of a \$5.0 million grant and a \$20.0 million loan from the State Transportation Partnership Opportunity Fund (TPOF); issuance of Route 28 District revenue bonds; and use of surplus District tax revenues to fund the \$119.2 million project estimate. Fairfax County EDA Revenue bonds were planned to be issued in two series. A total of \$41.505 million were issued on February 27, 2007 and \$51.505 million were issued on July 9, 2008. It should be noted that on July 24, 2007, the CTB notified the District Commission that an additional \$23,936,772 was approved in the CTB's FY 2008-2013 Six Year Improvement Plan as payment toward the State Obligation under the District Contract. Therefore, this additional funding fully replaced the \$20,000,000 originally planned for the TPOF loan.

All bond issues will be fully supported by District tax revenue. In order to maximize revenues available for new debt service, the CTB refunded its outstanding 1992 bonds in October 2002. At the same time the CTB issued \$36.4 million of new bonds for construction, representing the balance of bond authorization remaining from the 1988 acts of the General Assembly. The Fairfax County EDA issued Transportation Contract Revenue bonds in the amount of \$33.375 million in October 2003 and issued \$57.4 million in August 2004 as well as \$41.505 million on February 27, 2007 and \$51.505 million on July 9, 2008. In the event that District revenues are not sufficient to make debt service payments, the state bonds are backed by the appropriated state allocations to the Northern Virginia Transportation District. The EDA bonds are supported by a Revenue Stabilization Fund (RSF) that is equal to the maximum annual EDA debt service and is created from surplus revenue collections. As a further credit enhancement for the proposed EDA bonds, both Fairfax and Loudoun Counties have pledged a joint moral obligation in the event that tax revenues and the RSF are not sufficient to support EDA debt service. It should be noted that due to the strong financial status of the fund, the Route 28 District Advisory Board recommended on March 18, 2009 a two cent decrease in the tax rate from \$0.20 to \$0.18 per \$100 of assessed value. This tax rate decrease was subsequently adopted by the Board of Supervisors on April 27, 2009.

In March 2011, the Route 28 District Advisory Board recommended to approve \$6.0 million in Project Completion Funds for final design plans for four priority sections of Route 28 widening from six to eight lanes. These design areas include the following: Priority 1 – Route 28 southbound between Sterling Boulevard and the Dulles Toll Road; Priority 2 – the Route 28 southbound bridge over the Dulles Toll Road; Priority 3 – Route 28 northbound between McLearn Road and the Dulles Toll Road; and Priority 4 – Route 28 southbound between the Dulles Toll Road and Route 50.

Favorable market conditions in the spring of 2012 allowed for a refunding opportunity of outstanding district debt obligations. The District Commission approved a resolution to proceed with refunding the Series 2003 and Series 2004 EDA revenue bonds at their March 2012 annual meeting. Concurrent with the EDA refunding, the CTB agreed to a refunding of the Transportation Contract Revenue Refunding Bonds Series 2002 from its original Capital Appreciation Bonds (CABs) to Current Interest Bonds (CIBs). On May 9, 2012, two separate competitive bond sales occurred that resulted in combined savings of \$22.48 million.

In October 2012, the Commission considered the next steps for completion of the Hot Spot Improvements. The staff recommended that the Commission delay additional debt until the District's debt service coverage is stronger, and to apply for a series of TPOF grants or loans to construct the improvements until the District's credit and debt coverage factors improve. County staff recommended the use of a

Fund 70000 Route 28 Tax District

portion of the Route 28 District Project Completion Fund to construct the Route 28 Southbound Bridge over Dulles Toll Road, as has been designed. The estimated cost of this project is \$4,339,500.

Additionally, the Commission discussed the importance of constructing the northbound bridge over the Dulles Toll Road. This project was not originally included in the four spot widening projects that had recently been designed. However, discussions between the Route 28 Corridor Improvements contractor and the Metropolitan Washington Airports Authority (MWAA) have highlighted the importance of construction of the bridges over the Dulles Toll Road in a timely manner. MWAA will begin construction of Phase 2 of the Dulles Corridor Metrorail Project in mid- to late-2013, which will involve construction of facilities in the vicinity of the Route 28/Dulles Toll Road Intersection. MWAA noted that completion of any construction activities in this location is recommended prior to the mobilization of its contractor, to avoid any conflicts between the two contractors and allow their respective activities to be properly scheduled and coordinated. MWAA cautioned that construction of these bridge projects would be severely restricted during the Silver Line construction and after it is completed. Route 28 contractors estimate that substantial additional costs to the District would be incurred as a result of the delay and the restrictions.

Due to the timeliness of both these projects, the Commission considered the need to move forward with the design for the northbound bridge. The Commission members then voted to recommend approval to fund the construction of the southbound bridge and design of the northbound bridge from the Route 28 PCF, in the amount of no more than \$5,000,000. The Commission also voted to authorize Fairfax and Loudoun County staffs to apply immediately for TPOF grant funding in the amount of \$5.0 million (the maximum allowed under TPOF guidelines) for the northbound bridge in FY 2013 and to apply for further funding in FY 2014 to continue the remaining spot widening improvements.

There is no change in the tax rate of \$0.18 per \$100 of assessed value for FY 2014. The following chart depicts the current financing structure:

Current Bonds¹

Bond Year	CTB Debt 2002 & 2012 Ref	EDA 2003, 2004, 2007, 2008, and 2012 Ref	Total
2012	\$7,529,625	\$9,752,250	\$17,281,875
2013	7,259,155	8,534,449	15,793,604
2014	7,209,719	9,948,188	17,157,907
2015	7,216,819	10,709,213	17,926,031
2016	7,212,819	11,182,013	18,394,832
2017	7,215,019	11,184,363	18,399,382
2018	7,212,269	11,189,613	18,401,882
2019	8,639,519	10,619,463	19,258,982
2020	8,639,519	10,614,288	19,253,807
2021	8,644,519	10,610,200	19,254,719
2022	8,644,519	10,614,075	19,258,594
2023	8,644,519	10,610,313	19,254,832
2024	8,644,519	10,609,588	19,254,107
2025	8,644,519	10,615,500	19,260,019

Fund 70000 Route 28 Tax District

Bond Year	CTB Debt 2002 & 2012 Ref	EDA 2003, 2004, 2007, 2008, and 2012 Ref	Total
2026	8,644,519	10,611,150	19,255,669
2027	8,644,519	10,610,750	19,255,269
2028	3,484,519	10,609,875	14,094,394
2029	3,481,169	10,613,450	14,094,619
2030	3,485,269	10,612,438	14,097,707
2031	3,480,269	10,612,338	14,092,607
2032	3,480,469	10,612,088	14,092,557
2033	-	18,716,863	18,716,863
2034	-	19,298,213	19,298,213
2035	-	19,298,325	19,298,325
2036	-	19,298,038	19,298,038
2037	-	19,295,813	19,295,813
Total	\$146,057,791	\$316,982,857	\$463,040,647

¹ Represents the revised debt profile of the district following the refunding bond sales that occurred in May 2012.

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Fiscal Agent Payments** **\$128,996**
An increase of \$128,996 or 1.2 percent over the FY 2013 Adopted Budget Plan amount of \$10,578,633 for estimated payments to the fiscal agent is projected primarily due to assessed value adjustments anticipated for FY 2014 which include tax estimates of \$9,707,629 based on projections for the January 1, 2013 assessments and an allowance for one-time buy-outs and late payments of \$1,000,000.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$25,626**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved appropriation of \$25,626 remaining in the fund balance. All taxes collected, as well as tax district buy-out funds, are remitted to the fiscal agent on a monthly basis as collected.

Fund 70000 Route 28 Tax District

FUND STATEMENT

Fund 70000, Route 28 Tax District

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$35,458	\$0	\$25,626	\$0
Revenue:				
Real Estate Taxes-Current ¹	\$9,045,186	\$9,578,633	\$9,578,633	\$9,707,629
Revenue from Buy Outs	0	1,000,000	1,000,000	1,000,000
Interest on Investments	1,878	0	0	
Total Revenue	\$9,047,064	\$10,578,633	\$10,578,633	\$10,707,629
Total Available	\$9,082,522	\$10,578,633	\$10,604,259	\$10,707,629
Expenditures:				
Payments to the Fiscal Agent	\$9,056,896	\$10,578,633	\$10,604,259	\$10,707,629
Total Expenditures	\$9,056,896	\$10,578,633	\$10,604,259	\$10,707,629
Total Disbursements	\$9,056,896	\$10,578,633	\$10,604,259	\$10,707,629
Ending Balance²	\$25,626	\$0	\$0	\$0
Tax rate per \$100 Assessed Value	\$0.18	\$0.18	\$0.18	\$0.18

¹ Estimate to provide for sufficient appropriation includes projected tax collections based on assessments, and allowances for late payments, penalties and permitted property buy-outs. All monies collected are required to be remitted to the Fiscal Agent monthly as collected.

² As all monies collected are required to be remitted to the Fiscal Agent monthly as collected the ending balance should be zero unless as of the closing period there were pending remittances to the Fiscal Agent.

Fund 70040

Mosaic District Community Development Authority

Focus

The purpose of Fund 70040 (formerly Fund 716), Mosaic District Community Development Authority, is to provide the necessary accounting structure for revenue collections and anticipated bond proceeds from the sale of Mosaic District Community Development Authority (CDA) bonds for this project. The District was created in order to provide a vehicle for financing certain public improvements that are needed to develop the District in accordance with existing zoning. The County agreed to create the District for that purpose in order to promote economic development generally and development of an especially desirable nature (i.e., mixed-use urban) in particular. The public improvements to be financed through the District include all or a portion of the following infrastructure, facilities, and services: sanitary sewers mains and lines; water mains and lines, pump stations, and water storage facilities; storm sewer mains and lines; landscaping and related site improvements; parking facilities; sidewalks and walkway paths; storm water management and retention systems; lighting; street and directional signage; wetlands mitigation; roads, curbs, and gutters; public park and plaza facilities; open space areas; public school improvements; and any and all facilities and services appurtenant to the above including the acquisition of land.

On October 15, 2007, the Board of Supervisors approved a rezoning of properties subsequently included in the District in RZ 2005-PR-041, a request by the private developer to rezone 31.31 acres of land to the Planned Development Commercial (PDC) and Planned Residential Mix (PRM) Districts in order to develop the portion of Merrifield designated as the town center in the Comprehensive Plan. More specifically, the site is located south of Lee Highway/Rt. 29, west of Yates Way, east of Eskridge Road and north of the Luther Jackson Middle School. The project was approved for approximately 1,000 dwelling units, a multi-plex theatre, 125,000 square feet of office space, 500,000 square feet of other non-residential uses and a 150 room hotel. Among the public improvements are two parks, the realignment and widening of Eskridge Road, the widening of Lee Highway, improvements to the Lee Highway/Gallows Road intersection and construction of a grid of streets. Virtually all parking will be provided in structures. Two Proffered Conditions Amendments have subsequently been approved which modified certain uses and layout of the site.

On July 21, 2008, the Board of Supervisors adopted 16 Principles for Public Investment in Support of Commercial Redevelopment ("Principles") in order to provide policy guidance related to requests for public investment in designated redevelopment, revitalization and other strategic areas of the County and endorsed a process whereby such requests would be evaluated.

The County has various funding methods available that can be used to assist commercial investment. One mechanism by which public investment may be requested is through the establishment of a CDA, which can be established to provide a broad range of public infrastructure and services. A CDA is established by petition to the Board from a majority (51 percent) of land owners within a proposed area, and is governed by appointees of the Board of Supervisors. The 51 percent can be based on either land area or assessed value. A CDA is a flexible tool that can be funded by ad valorem special taxes or special assessments, as negotiated with petitioners. It typically covers a relatively small area, such as a single shopping mall, a downtown redevelopment area, a mixed use development, and usually involves a single or small group of owners. No general fund or debt impact is intended, unless the CDA is coupled with tax increment financing.

Pursuant to Article 6 of Title 15.2 of the [Code of Virginia](#), prior to accepting any petitions for the creation of a CDA, the Board must act to assume the power to consider such request. The Board held a public

Fund 70040

Mosaic District Community Development Authority

hearing on September 8, 2008, after which the Board adopted an ordinance by which the County assumed the power to consider petitions for the establishment of CDAs.

The Board of Supervisors adopted an Ordinance that established the Mosaic District (CDA) on April 27, 2009, on the land that is encompassed by RZ 2005-PR-041. The Ordinance establishing the Mosaic District CDA was amended on April 27, 2010, and again on April 26, 2011. The last amendment included the imposition of a special assessment to be levied on the properties within the District. On April 26, 2011, the Board also approved the bond resolution and amendments to the Board's by-laws, and endorsed the special assessment report that provided the basis for the allocation of the special assessment among the various parcels within the District.

County staff and the County's financial and bond consultants negotiated terms and conditions for the Memorandum of Understanding (MOU) among the County, the CDA and the developer. In summary, the MOU proposed the following:

- ◆ Fund a \$30.0 million dollar portion of the public facilities to be constructed on the site through a 30 year bond to be issued by the District whose debt service will be paid by a self-assessment.
- ◆ Fund a \$42.0 million dollar portion of the public facilities to be constructed on the site (road improvements, parks, and a small portion of the parking garage) through a 22 year bond also issued through the District whose debt service will be paid through incremental real estate tax revenues. Liability for the debt service will be secured by the District, not the County.

In June, 2011, the CDA issued \$46,980,000 Revenue Bonds, Series 2011A, and the CDA issued in July, 2011 an additional \$18,670,000 Revenue Bonds, Taxable Series 2011A-T. Proceeds from the CDA Bonds are to be used to finance certain public infrastructure improvements within the Mosaic District to support a mixed-use development to be constructed within the Mosaic District. The CDA Bonds are payable primarily from certain incremental real estate tax revenues collected by the County in the District and certain special assessments imposed and collected by the County within the District. The payment of incremental real estate tax revenues and special assessments, as applicable, by the County to the CDA for debt service payments on the CDA Bonds are subject to appropriation by the County.

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Fiscal Agent Payments** **\$742,497**
An increase of \$742,497 or 49.7 percent over the FY 2013 Adopted Budget Plan amount of \$1,492,499 for estimated payments to the fiscal agent is projected primarily due to assessed value adjustments anticipated for FY 2014 from January 1, 2013 assessments.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this fund since approval of the FY 2013 Adopted Budget Plan.

Fund 70040

Mosaic District Community Development Authority

FUND STATEMENT

Fund 70040, Mosaic District Community Development Authority

	FY 2012 Actual ¹	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$0	\$0	\$0	\$0
Revenue:				
TIF Revenue - Series A	\$0	\$1,492,499	\$1,492,499	\$2,234,996
Total Revenue	\$0	\$1,492,499	\$1,492,499	\$2,234,996
Total Available	\$0	\$1,492,499	\$1,492,499	\$2,234,996
Expenditures:				
TIF Revenue - Series A to Trustee	\$0	\$1,492,499	\$1,492,499	\$2,234,996
Total Expenditures	\$0	\$1,492,499	\$1,492,499	\$2,234,996
Total Disbursements	\$0	\$1,492,499	\$1,492,499	\$2,234,996
Ending Balance	\$0	\$0	\$0	\$0

¹ During the *FY 2011 Carryover Review*, a full review and classification of this fund was conducted by the Department of Finance, who determined that the fund statement should only reflect Tax Increment Financing (TIF) revenue and Special Assessment taxes collected and distributed to the trustee. As a result, the January 2013 assessments are projected to generate \$2.23 million in TIF revenues that will be distributed to the trustee. The Community Development Authority, while related to the County, is a legally separate Authority and is not considered a component unit of the County. Proceeds from the bond sale in June 2011 totaling \$64,801,855 were received directly by the trustee for the Community Development Authority, therefore, the activity is not reflected in the County statements.

Employee Retirement Systems Overview

Fairfax County employee retirement systems include the Fairfax County Employees' Retirement System (Fund 73000, formerly Fund 601), the Uniformed Retirement System (Fund 73010, formerly Fund 600), and the Police Officers Retirement System (Fund 73020, formerly Fund 602). Each of these systems is funded from employees' contributions based on a fixed percentage of pay, County contributions based on a variable percentage of employee pay as determined by actuarial analysis, and return on investments. In order to assure the continued soundness of each fund, an actuarial valuation is conducted annually and, if appropriate, an adjustment is made to the employer contribution rate.

For the Fairfax County Employees' Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, for County employees and Fairfax County Public Schools (FCPS) for school employees. For the Uniformed Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, in the County's General Fund, for uniformed public safety employees in General Fund agencies and Fund 40090, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Police Officers Retirement Trust Fund, the full amount of the employer's contribution comes from Agency 89, Employee Benefits, in the County's General Fund.

On March 18, 2002 the Board of Supervisors adopted a corridor approach to employer contributions. The corridor approach adds further stability to the employer contribution rates and continues to adequately fund the retirement systems. In the corridor method of funding, a fixed contribution rate is assigned to each system and the County contributes at the fixed rate unless the system's funding ratio falls outside the pre-selected corridor of 90-120 percent or if benefit enhancements are approved. At the Board of Supervisors' direction, staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained, as it has cushioned the County from dramatic rate increases in the past and is currently providing insulation from the global financial crisis. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. This solution will allow the County to maintain the flexibility afforded by the current policy with the understanding that increasing contributions to the retirement systems, when feasible from a budgetary perspective, will improve the systems' financial position. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

A Deferred Retirement Option Plan (DROP) was added as a benefit enhancement for members of the Uniformed and Police Officers Retirement Systems in FY 2004 and was added for members of the Employees' Retirement System in FY 2006.

Retirees are eligible to receive a Cost-of-Living Adjustment (COLA) composed of a base COLA which is the lesser of the Consumer Price Index (CPI) for the 12 months ending on the previous year's March 31, or 4.0 percent. If certain conditions are met, an additional 1.0 percent COLA can be awarded at the discretion of each retirement system's Board of Trustees. This additional ad-hoc COLA results in an increase in the employer contribution rate. Staff reviewed the ad-hoc COLA policy at the Board of Supervisors' direction in FY 2010 and concluded that it is important for an individual Board of Trustees to maintain the discretion to grant an ad-hoc COLA for its retirees and that the criteria used to grant a COLA among the three systems be consistent. However, it was determined that the financial conditions that must be met in order for a Board of Trustees to consider granting an ad-hoc COLA should be strengthened, especially since the granting of such a COLA impacts the employer contribution rates and,

Employee Retirement Systems Overview

thus, requires County funding. As a result, the Fairfax County Code was changed to require that the retirement system must have an actuarial surplus - demonstrated by having a funding ratio exceeding 100 percent - before an ad-hoc COLA can be considered.

As directed by the Board of Supervisors, the Department of Human Resources contracted with a benefits consultant to conduct a comprehensive review of the retirement plans. The consultant's report was presented in February and March 2012. Based on the results of this study, the Board of Supervisors adopted several modifications to the retirement systems, which apply only to new employees who are hired on or after January 1, 2013. These changes include:

- Increasing the minimum retirement age for normal service retirement from 50 to 55 in the Employees' system;
- Increasing the rule of 80 (age plus years of service) to the rule of 85 in the Employees' system;
- Placing a cap on the use of sick leave for purposes of determining retirement eligibility and benefits at 2,080 hours for all three retirement systems; and
- For the DROP program, removing the pre-Social Security supplement from balances accumulated during the DROP period in the Employees' and Uniformed systems. It should be noted that, as members of the Police Officers system do not participate in Social Security, they do not receive a pre-Social Security supplement.

No changes were made to benefits for current employees. The savings resulting from these changes will first be incorporated in the employer contribution rates for FY 2015.

Funding Status

The global financial crisis during FY 2009 resulted in significant losses in the value of the invested assets of all three retirement systems. Capital markets rebounded significantly in FY 2010 and FY 2011, and the retirement systems achieved strong positive results for each year. The investment returns for FY 2012 were mixed, as the Employees' system continued to achieve strong investment returns while the Uniformed and Police Officers systems incurred small losses. The FY 2012 investment results and demographic experience affected the funding ratios as demonstrated in the table below. It should be noted that the table below displays the corridor funding ratios, which have been adjusted to reflect the unfunded liability already being amortized as a result of benefit changes and ad hoc retiree COLAs that were adopted since the corridor method was established.

	June 30, 2011	June 30, 2012
Employees'	72.5%	73.1%
Uniformed	82.2%	81.1%
Police Officers	83.3%	82.9%

Employer Contribution Rates

Following the actuarial funding policy currently in effect, contribution rates are adjusted only to fund approved benefit enhancements, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls below 90 percent or rises above 120 percent.

Though the funding ratio of the Employees' system increased, the employer contribution rate must be increased due to growth in unfunded liabilities as reflected in the FY 2012 actuarial valuation. The

Employee Retirement Systems Overview

funding ratios for the Uniformed system and the Police Officers system declined, and the employer contribution rates for both systems must be increased as a result of investment returns that fell short of the long-term target of 7.5 percent.

The proposed FY 2014 employer contribution rates for each of the three retirement systems, as well as the cost impact to the General Fund as a result of adjustments, are as follows:

	FY 2013 Rates (%)	FY 2014 Rates (%)	Percentage Point Change (%)	Net General Fund Impact
Employees'	19.05	19.30	0.25	\$835,202
Uniformed	35.00	36.43	1.43	\$2,016,792
Police Officers	33.15	33.87	0.72	<u>\$751,510</u>
Total				\$3,603,504

- ◆ The employer contribution rate for the Employees' system is required to increase by 0.25 percentage points based on FY 2012 experience as reflected in the annual actuarial valuation.
- ◆ The employer contribution rate for the Uniformed system is required to increase by 1.43 percentage points based on FY 2012 experience as reflected in the annual actuarial valuation.
- ◆ The employer contribution rate for the Police Officers system is required to increase by 0.72 percentage points based on FY 2012 experience as reflected in the annual actuarial valuation.

It should also be noted that, in line with the recommendation to move gradually to a 95-105 percent corridor and in recognition of the need to increase the employer contribution rates in order to improve the systems' financial position, a change to the amortization schedule was initiated in FY 2011. Prior to FY 2011, if the funding ratio fell below 90 percent, the unfunded actuarial accrued liability (UAAL) below 90 percent was amortized over 15 years in order to get back to a 90 percent level. For FY 2011, the employer contribution rates were increased to allow for an amortization to a 91 percent level, in accordance with the phased approach to move towards the 95 percent target. For FY 2014, this change has been maintained to continue to allow for an amortization to a 91 percent level.

For more information on the General Fund impact of these employer contribution rate changes, please refer to the Agency 89, Employee Benefits, narrative in the Nondepartmental program area section of Volume 1.

Employee Retirement Systems Overview

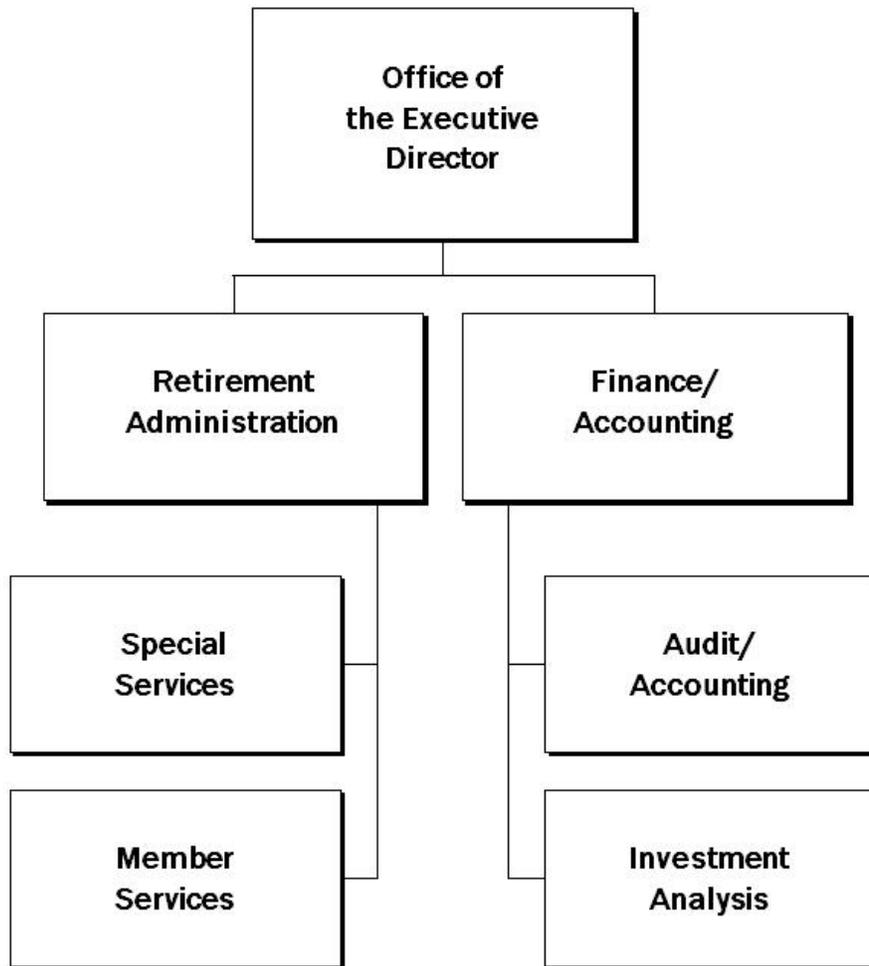
The following table displays relevant information about each retirement system:

EMPLOYEES COVERED							
Uniformed Retirement	Fairfax County Employees' Retirement				Police Officers Retirement		
Fire and Rescue Personnel; Uniformed Sheriff's Office employees; Animal Control Officers; Helicopter Pilots; Non-administrative staff in the Department of Public Safety Communications.	County employees not covered under Uniformed or Police Officers system; certain FCPS employees including food service, custodial, bus drivers, part-time and substitute teachers, maintenance staff.				Fairfax County Police Officers.		
CONDITIONS OF COVERAGE							
Uniformed Retirement	Fairfax County Employees' Retirement				Police Officers Retirement		
At age 55 with 6 years of service or after 25 years of service.	At age 65 with 5 years of service or earlier when age and years of service combined equal 80 if hired before 1/1/13; or 85 if hired on or after 1/1/13. Not before age 50 if hired before 1/1/13; or age 55 if hired on or after 1/1/13. For reduced "early retirement" benefits, when age and years of service combined equal 75.				At age 55 or after 20 years of police service if hired before 7/1/81; or 25 years of service if hired on or after 7/1/81.		
EMPLOYEE CONTRIBUTIONS (% of Pay)							
	Uniformed Retirement		Fairfax County Employees' Retirement				Police Officers Retirement
	Plan A	Plan B	Plan A	Plan B	Plan C	Plan D	
Up to Wage Base	4.00%	7.08%	4.00%	5.33%	4.00%	5.33%	10.00%
Above Wage Base	5.75%	8.83%	5.33%	5.33%	5.33%	5.33%	
Plan C	4.00%						
Plan D	7.08%						
FY 2014 EMPLOYER CONTRIBUTIONS (% of Pay)							
Uniformed Retirement	Fairfax County Employees' Retirement				Police Officers Retirement		
36.43%	19.30%				33.87%		

Employee Retirement Systems Overview

INVESTMENT MANAGERS AS OF JUNE 30, 2012		
Uniformed Retirement	Fairfax County Employees' Retirement	Police Officers Retirement
<ul style="list-style-type: none"> ▪ Acadian Asset Management ▪ Advisory Research ▪ Artha Capital ▪ Artio Global Investors ▪ Ashmore Investment Management ▪ BlackRock, Inc. ▪ Bluecrest Capital ▪ BNY Mellon ▪ Brandywine Global Investment Management ▪ Bridgewater Associates ▪ The Clifton Group ▪ Cohen & Steers Capital Management ▪ DoubleLine Capital ▪ FrontPoint Partners ▪ Gramercy Advisors ▪ Gresham Investment Management ▪ Harbourvest Partners ▪ JP Morgan Investment Management ▪ King Street Capital ▪ Marathon Asset Management ▪ NCM Capital Management ▪ Optima Management ▪ Orbimed Advisors ▪ Pacific Investment Management Co. ▪ Pantheon Ventures ▪ UBS Realty Advisors ▪ Victory Capital Management ▪ Wellington Management Company 	<ul style="list-style-type: none"> ▪ Artio Global Investors ▪ BlackRock, Inc. ▪ BNY Mellon ▪ Brandywine Global Investment Management ▪ Bridgewater Associates ▪ The Clifton Group ▪ Cohen & Steers Capital Management ▪ Columbia Wanger Asset Management ▪ Dearborn Partners ▪ DePrince, Race & Zollo ▪ DoubleLine Capital ▪ Eagle Trading ▪ Enhanced Investment Technologies ▪ FrontPoint Partners ▪ Gramercy Advisors ▪ JP Morgan Investment Management ▪ LSV Asset Management ▪ MacKay Shields ▪ Marathon Asset Management ▪ Pacific Investment Management Co. ▪ Post Advisory Group ▪ Pzena Investment Management ▪ Sands Capital Management ▪ Shenkman Capital Management ▪ Stark Investments ▪ Trust Company of the West 	<ul style="list-style-type: none"> ▪ Acadian Asset Management ▪ AQR Capital Management ▪ BlackRock, Inc. ▪ Bluecrest Capital ▪ BNY Mellon ▪ Bridgewater Associates ▪ The Clifton Group ▪ Cohen & Steers Capital Management ▪ Dodge & Cox Investment Managers ▪ DoubleLine Capital ▪ FrontPoint Partners ▪ Gramercy Advisors ▪ Grantham, Mayo, Van Otterloo ▪ King Street Capital ▪ Loomis Sayles ▪ McKinley Capital Management ▪ MetWest Asset Management ▪ Oaktree Capital Management ▪ Pacific Investment Management Co. ▪ Pzena Investment Management ▪ Starboard

Retirement Administration Agency



Mission

As an agent of the Boards of Trustees of the Employees', Uniformed, and Police Officers Retirement Systems, the mission of the Retirement Administration Agency is to administer the systems according to the terms established by the County of Fairfax and to do so in a manner that:

- ◆ Safeguards and invests the assets of the systems;
- ◆ Maximizes cost effectiveness of the retirement programs by optimizing long-term investment returns within an acceptable level of variation in required funding and by maintaining efficient administrative operations;
- ◆ Maximizes the value of retirement plans in retaining County personnel through communications, education, and counseling programs and by providing quality service;
- ◆ Fulfills the obligations of the systems to retirees by providing timely and accurate payments and by providing quality service; and
- ◆ Provides technical support and advice to County management and the Board of Supervisors regarding retirement benefits.

Retirement Administration Agency

Focus

The Retirement Administration Agency contributes to the County's corporate stewardship through sound management of County resources and assets. To accomplish its specific mission, the Retirement Administration Agency will focus on:

- ◆ Support for the Boards of Trustees;
- ◆ Services to active employees and retirees;
- ◆ Accurate accounting and control of plan assets;
- ◆ Accuracy of data;
- ◆ Cost efficiency of processes; and
- ◆ Investment return and risk control.

Under the direction of the Boards of Trustees for the Fairfax County Employees', Police Officers, and Uniformed Retirement Systems, the Retirement Administration Agency processes benefit payments to eligible Fairfax County retirees and beneficiaries. The agency also processes payments for the retiree health benefit subsidy and provides counseling and comprehensive information pertaining to benefits to active and retired County employees.

The agency receives revenues from various sources, including employee and employer contributions to the various retirement systems, employee payback, and return on investments, to finance the three employee retirement systems. Employee contributions are based on a fixed percentage of pay. For the Employees' Retirement System,

employer contributions come from Agency 89, Employee Benefits, for County employees and from Fairfax County Public Schools (FCPS) for school employees. For the Uniformed Retirement System, employer contributions come from two sources: Agency 89, Employee Benefits, for uniformed public safety employees in General Fund agencies and Fund 40090, E-911, for the non-administrative staff in the Department of Public Safety Communications. Employer contributions for the Police Officers Retirement System come solely from Agency 89, Employee Benefits, in the County's General Fund. Adjustments are made to the employer's contribution rate only to fund approved benefit enhancements, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls out of the 90 to 120 percent funding corridor. It should be noted that staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. At a future date, when the

**The Retirement Administration Agency supports
the following County Vision Element:**



Exercising Corporate Stewardship

Retirement Administration Agency

funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

Some revenues are also generated through employee payback, a process by which employees who have left the County can make a “payback” contribution and return to their previous standing in the retirement system upon their return to County employment. Additionally, significant revenues are achieved through returns on fund investments. Revenue projections are based on an assumed actuarial rate of return of 7.5 percent. An actuarial valuation is conducted annually for each of the three funds to assure the continued soundness of the retirement systems. In addition, an experience study – which compares actual experience to actuarial assumptions, both economic and demographic – is conducted once every five years to ensure that the plan is being valued appropriately. Experience studies of each System were last conducted in FY 2011. The assumption changes adopted by the Boards of Trustees as a result of those studies were incorporated in the actuarial valuations for FY 2011 and their impacts were included in the employer contribution rates beginning in FY 2013.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$2,720,438	\$2,956,855	\$2,956,855	\$3,083,494
Operating Expenses	348,766,490	402,185,475	402,185,475	432,433,442
Capital Equipment	9,062	0	0	0
Total Expenditures	\$351,495,990	\$405,142,330	\$405,142,330	\$435,516,936
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	25 / 25	25 / 25	25 / 25	25 / 25
<hr/>				
<u>OFFICE OF THE DIRECTOR</u>	<u>Special Services</u>	<u>FINANCE/ACCOUNTING</u>		
1 Executive Director	1 Programmer Analyst III	1 Financial Specialist IV		
1 Administrative Assistant IV	1 Programmer Analyst II	<u>Audit/Accounting</u>		
	1 Communications Specialist II	1 Accountant I		
<u>RETIREMENT ADMINISTRATION</u>	<u>Membership Services</u>	<u>Investment Analysis</u>		
1 Deputy Director	1 Management Analyst III	1 Chief Investment Officer		
2 Administrative Assistants II	1 Management Analyst II	3 Senior Investment Managers		
	1 Financial Specialist II	1 Investment Analyst		
	3 Retirement Counselors			
	4 Administrative Assistants V			
<hr/>				
TOTAL POSITIONS¹				
25 Positions / 25.0 FTE				

¹ It should be noted that 1/1.0 FTE Accountant III resides in the Retirement Administration Agency, but is accounted for and financed by Fund 73030, OPEB Trust Fund. The 25/25.0 SYE positions shown above are financed jointly by the three retirement trust funds (Fund 73000, Fund 73010, and Fund 73020).

Retirement Administration Agency

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$25,990**
An increase of \$25,990 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this agency in FY 2014.

- ◆ **Fringe Benefits** **\$99,700**
A net increase of \$99,700 in Personnel Services is primarily attributable to increases in employer retirement contribution rates and health insurance expenses, based on actual enrollment and premium increases.

- ◆ **Other Post-Employment Benefits** **\$949**
An increase of \$949 in Personnel Services reflects required adjustments associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

- ◆ **Benefit Payments** **\$27,586,000**
An increase of \$27,586,000 in Operating Expenses reflects increased payments of \$24,721,000 to retirees due to a higher number of retirees and higher individual payment levels, an increase in payments to beneficiaries of \$535,000, and an increase of \$2,330,000 in the allowance for refunds based on projected turnover of active members. It should be noted that, since benefits are pre-funded during an employee's active career, the employer contribution rates as calculated through the actuarial valuation process already reflect the increased level of benefit payments.

- ◆ **Investment Management Fees** **\$2,443,894**
An increase of \$2,443,894 in Operating Expenses reflects an increase in investment management fees due to anticipated gain in assets and the investment strategies adopted by the Boards of Trustees.

- ◆ **Other Operating Expenses** **\$218,073**
A net increase of \$218,073 in all other Operating Expenses reflects the net impact of several adjustments.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this agency since approval of the FY 2013 Adopted Budget Plan.

Retirement Administration Agency

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Retirement Administration Agency				
Percent of retiree payments processed on time: Fairfax County Employees	100%	100%	100% / 100%	100%
Percent of retiree payments processed on time: Uniformed	100%	100%	100% / 100%	100%
Percent of retiree payments processed on time: Police Officers	100%	100%	100% / 100%	100%
Deviation from actuarial rate of return (total plan): Fairfax County Employees	17.7%	16.1%	0.0% / 1.0%	0.0%
Deviation from actuarial rate of return (total plan): Uniformed	8.0%	16.7%	0.0% / (7.8%)	0.0%
Deviation from actuarial rate of return (total plan): Police Officers	13.3%	17.7%	0.0% / (8.1%)	0.0%
Deviation from S&P 500 (large cap equities): Fairfax County Employees	7.3%	2.3%	0.0% / (4.8%)	0.0%
Deviation from S&P 500 (large cap equities): Uniformed	1.3%	5.4%	0.0% / 8.1%	0.0%
Deviation from S&P 500 (large cap equities): Police Officers	6.2%	2.0%	0.0% / 1.1%	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Fairfax County Employees	21.5%	18.9%	0.0% / 13.0%	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Uniformed	11.3%	7.6%	0.0% / (0.2%)	0.0%
Deviation from Barclays Capital Aggregate (fixed income): Police Officers	12.7%	10.3%	0.0% / 0.2%	0.0%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/73010.pdf

Performance Measurement Results

During FY 2012, markets continued to be volatile with returns fluctuating significantly month by month. The rates of return were mixed across the three systems. The Employees' system, with significant exposure to the fixed income markets and less exposure to equities, returned 8.3 percent. The Uniformed system returned a negative 0.5 percent and the Police Officers system returned a negative 0.6 percent. To provide a context for these returns, the median return in the BNY Mellon public fund universe was 1.1 percent. To put these returns in the context of the capital markets, for the year ending June 30, 2012, the S&P 500 Index rose 5.4 percent and the Barclay's Aggregate Bond Index rose 7.5 percent. However, reflecting the crisis in Europe, non-U.S. equities fell by 13.4 percent in the developed markets and 14.4 percent in the emerging markets. The commodity index also declined by over 14 percent.

In addition to comparing one-year returns to general market results, they should also be considered over multi-year periods relative to the returns achieved by other public pension plans. For the last three-year period, all three systems had very strong results relative to their peers across the country and were in the top quartile of the BNY Mellon public plan universe. The Employees' system was in the 2nd percentile and returned 19.3 percent per year, the Police Officers system placed in the 9th percentile and returned 13.5 percent per year, and the Uniformed system placed in the 13th percentile and returned 13.1 percent per year. The dispersion of investment results among the three systems over this period is attributable to

Retirement Administration Agency

differences in the systems' asset allocation strategies and the varying degrees to which each system's investment management firms added value.

While FY 2012 results were mixed, the very high investment returns achieved over the last three years have strengthened the financial position of the systems, and the shortfalls resulting from the impact of the global financial crisis on FY 2009 results have now been fully included in the actuarial funding ratios and contribution requirements. Employer contribution rates and funding ratios are calculated based on a number of actuarial assumptions, including an actuarially determined rate of return. The actuarial rate of return uses a smoothing methodology to phase in total recognition of a given year's returns above or below the long-term expected rate of 7.5 percent. This smoothing is done to mitigate volatility in funding requirements, recognizing the cyclical nature of capital market returns. However, this smoothing process does not include the impact of any liability gains or losses, which are determined by comparing actual experience, such as rates of retirement and death, against actuarial assumptions. Funding policy and calculations include an average compound return of 7.5 percent over the long term. Including the results through FY 2012, the actual compound annual returns achieved since 1981, the earliest date for which data is available, have been 10.3 percent for the Employees' system, 9.3 percent for the Uniformed system, and 10.0 percent for the Police Officers system.

Retirement Administration Agency

FUND STATEMENT

Fund 73000, Fairfax County Employees' Retirement

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$2,984,863,644	\$3,106,128,833	\$3,172,646,122	\$3,302,709,173
Revenue:				
County Employer Contributions	\$82,935,389	\$84,978,779	\$84,978,779	\$99,331,566
County Employee Contributions	22,905,459	23,548,813	23,548,813	23,238,040
School Employer Contributions	31,838,392	28,275,105	28,275,105	33,050,727
School Employee Contributions	8,685,187	9,830,915	9,830,915	9,701,177
Employee Payback	111,429	300,000	300,000	150,000
Return on Investments ¹	172,228,519	231,929,513	231,929,513	243,681,230
Total Realized Revenue	\$318,704,375	\$378,863,125	\$378,863,125	\$409,152,740
Unrealized Gain/(Loss) ^{1,2}	\$91,122,021	\$0	\$0	\$0
Total Revenue	\$409,826,396	\$378,863,125	\$378,863,125	\$409,152,740
Total Available	\$3,394,690,040	\$3,484,991,958	\$3,551,509,247	\$3,711,861,913
Expenditures:				
Administrative Expenses ¹	\$2,726,027	\$3,217,620	\$3,217,620	\$3,419,496
Investment Services ¹	15,941,815	16,526,454	16,526,454	18,888,344
Payments to Retirees	195,335,182	219,849,000	219,849,000	238,677,000
Beneficiaries	4,259,397	4,927,000	4,927,000	5,120,000
Refunds	3,781,497	4,280,000	4,280,000	6,450,000
Total Expenditures	\$222,043,918	\$248,800,074	\$248,800,074	\$272,554,840
Total Disbursements	\$222,043,918	\$248,800,074	\$248,800,074	\$272,554,840
Ending Balance ³	\$3,172,646,122	\$3,236,191,884	\$3,302,709,173	\$3,439,307,073

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$45,375,287.52 have been reflected as an increase to FY 2012 revenue, primarily associated with adjustments necessary to record a net gain from the unrealized appreciation of investments, as well as to record interest and dividend revenue in the proper fiscal period. In addition, audit adjustments in the amount of \$18,320.32 have been reflected as an increase to FY 2012 expenditures in order to appropriately account for administrative expenses and investment management fees. The audit adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter Package.

² Unrealized gain/(loss) will be reflected as an actual revenue at the end of each fiscal year.

³ The Employees' Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Retirement Administration Agency

FUND STATEMENT

Fund 73010, Uniformed Retirement

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$1,220,411,972	\$1,284,296,711	\$1,208,858,858	\$1,276,679,877
Revenue:				
Employer Contributions	\$50,351,335	\$51,124,073	\$51,124,073	\$54,325,025
Employee Contributions	10,488,780	10,732,294	10,732,294	10,989,176
Employee Payback	114,317	100,000	100,000	100,000
Return on Investments ¹	94,700,309	96,297,748	96,297,748	94,707,791
Total Realized Revenue	\$155,654,741	\$158,254,115	\$158,254,115	\$160,121,992
Unrealized Gain/(Loss) ^{1,2}	(\$93,496,370)	\$0	\$0	\$0
Total Revenue	\$62,158,371	\$158,254,115	\$158,254,115	\$160,121,992
Total Available	\$1,282,570,343	\$1,442,550,826	\$1,367,112,973	\$1,436,801,869
Expenditures:				
Administrative Expenses ¹	\$835,550	\$1,091,426	\$1,091,426	\$1,179,844
Investment Services ¹	4,915,144	6,803,670	6,803,670	6,877,639
Payments to Retirees	66,621,257	80,844,000	80,844,000	83,459,000
Beneficiaries	740,346	754,000	754,000	878,000
Refunds	599,188	940,000	940,000	850,000
Total Expenditures	\$73,711,485	\$90,433,096	\$90,433,096	\$93,244,483
Total Disbursements	\$73,711,485	\$90,433,096	\$90,433,096	\$93,244,483
Ending Balance ³	\$1,208,858,858	\$1,352,117,730	\$1,276,679,877	\$1,343,557,386

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$31,100,719.61 have been reflected as an increase to FY 2012 revenue, primarily associated with adjustments necessary to record a net decrease in the unrealized depreciation of investments, as well as to record interest and dividend revenue in the proper fiscal period. In addition, audit adjustments in the amount of \$198,369.32 have been reflected as an increase to FY 2012 expenditures in order to appropriately account for administrative expenses and investment management fees. The audit adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter Package.

² Unrealized gain/(loss) will be reflected as an actual revenue at the end of each fiscal year.

³ The Uniformed Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Retirement Administration Agency

FUND STATEMENT

Fund 73020, Police Retirement

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$1,035,145,961	\$1,085,005,955	\$1,017,445,487	\$1,076,170,734
Revenue:				
Employer Contributions	\$31,700,690	\$32,593,928	\$32,593,928	\$34,992,420
Employee Contributions	10,071,779	10,396,921	10,396,921	10,555,783
Employee Payback	37,289	0	0	0
Return on Investments ¹	35,721,407	81,643,558	81,643,558	79,806,888
Total Realized Revenue	\$77,531,165	\$124,634,407	\$124,634,407	\$125,355,091
Unrealized Gain/(Loss) ^{1,2}	(\$39,491,052)	\$0	\$0	\$0
Total Revenue	\$38,040,113	\$124,634,407	\$124,634,407	\$125,355,091
Total Available	\$1,073,186,074	\$1,209,640,362	\$1,142,079,894	\$1,201,525,825
Expenditures:				
Administrative Expenses ¹	\$650,328	\$897,026	\$897,026	\$951,444
Investment Services	2,689,203	5,015,134	5,015,134	5,023,169
Payments to Retirees	49,087,112	56,164,000	56,164,000	59,442,000
Beneficiaries	2,956,043	3,323,000	3,323,000	3,541,000
Refunds	357,901	510,000	510,000	760,000
Total Expenditures	\$55,740,587	\$65,909,160	\$65,909,160	\$69,717,613
Total Disbursements	\$55,740,587	\$65,909,160	\$65,909,160	\$69,717,613
Ending Balance ³	\$1,017,445,487	\$1,143,731,202	\$1,076,170,734	\$1,131,808,212

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$19,058,118.86 have been reflected as an increase to FY 2012 revenue, primarily associated with adjustments necessary to record a net decrease in the unrealized depreciation of investments, as well as to record interest and dividend revenue in the proper fiscal period. In addition, an audit adjustment in the amount of \$4,206.46 has been reflected as an increase to FY 2012 expenditures in order to appropriately account for administrative expenses and investment management fees. The audit adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter Package.

² Unrealized gain/(loss) will be reflected as an actual revenue at the end of each fiscal year.

³ The Police Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

Fund 73030

OPEB Trust Fund

Focus

Fund 73030 (formerly Fund 603), OPEB Trust Fund, was created to capture long-term investment returns and make progress towards reducing the unfunded actuarial accrued liability under GASB 45 and funds the cost of other post-employment benefits (OPEBs) including health care, life insurance, and other non-pension benefits offered to retirees, such as the County's retiree health benefit subsidy.

GASB 45

Beginning in FY 2008, the County's financial statements were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits. This standard addresses how local governments should account for and report their costs related to post-employment health care and other non-pension benefits. Historically, the County funded these benefits on a pay-as-you-go basis. GASB 45 requires that the County accrue the cost of other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. A valuation is performed to calculate the County's actuarial accrued liability and the associated annual required contribution (ARC). The liability and ARC are calculated annually, and adjustments are made due to benefit enhancements, medical trend experience, and normal growth assumptions. It is the County's policy to maintain a net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year.

The actuarial valuation as of July 1, 2012 under GASB 45 calculated the County's actuarial accrued liability (AAL), excluding the Schools portion, at approximately \$503.8 million and the unfunded actuarial accrued liability as \$400.5 million, as shown below.

Valuation Results as of July 1, 2012	
(in thousands)	
Actuarial Accrued Liability (AAL)	\$503,786
Plan Assets	\$103,270
Unfunded Actuarial Accrued Liability	\$400,516
Annual Required Contribution (ARC)	\$38,858

It should be noted that the July 1, 2012 AAL of \$503.8 million increased from the July 1, 2011 AAL of \$474.2 million primarily due to actual retiree claims experience.

The liability includes the retiree health benefit subsidy, which is paid out to County retirees, as well as the liability associated with an "implicit" subsidy provided to retirees. As premiums for the County's self-insured health plans are set using the blended experience of active employees and retirees, retiree premiums are lower than if they were set solely using the experience of the retiree group. GASB 45 requires that the County calculate and include the liability for this implicit subsidy. The differential between actuarial assumptions related to retiree claims and premiums and actual claims experience and premiums is the primary driver behind the liability related to the implicit subsidy. When claims experience is favorable compared to premium increases and actuarial assumptions, the implicit subsidy liability is likely to decline. Conversely, if the County experiences an unanticipated spike in retiree claims expenses, the implicit subsidy liability could increase. The impact of the difference between actuarial assumptions and actual experience is magnified by the fact that, similar to pension benefits, the County

Fund 73030 OPEB Trust Fund

must project the impact over a 30-year period. Thus, a small change in the implicit subsidy in a single year is compounded over time. It should be noted that the County is credited an effective contribution towards the ARC each year to recognize actual expenses incurred related to the implicit subsidy, i.e. the difference between retiree claims and retiree premiums.

The ARC is funded through the combination of a General Fund transfer, contributions from other funds, and the implicit subsidy contribution described above. FY 2013 funding includes a General Fund transfer of \$28.0 million and contributions from other funds of \$4.2 million. It should be noted that the implicit subsidy contribution is calculated by the County's actuaries after the close of the fiscal year, but has been estimated to remain at the FY 2012 level of \$10.0 million. The FY 2014 Advertised Budget Plan maintains the General Fund transfer at \$28.0 million and increases contributions from other funds to \$4.3 million.

Primarily due to the County's commitment to fully fund the ARC in the baseline budget and a higher than anticipated implicit subsidy contribution, the County had a net OPEB asset of \$2.0 million at the end of FY 2012. Based on preliminary estimates of the implicit subsidy contribution, it is projected that current funding levels will fully fund the FY 2013 ARC, resulting in a net OPEB asset of \$5.3 million for FY 2013 as displayed in the chart below.

Net OPEB Asset		
(in thousands)		
	FY 2012	FY 2013
	Actual	Estimate
Annual Required Contribution (ARC)	\$37,640	\$38,858
<i>Adjustments to ARC</i>	\$60	(\$26)
Annual OPEB Cost (AOC)	\$37,700	\$38,832
Resources to Apply toward the ARC:		
<i>Transfer from the General Fund</i>	\$27,737	\$28,000
<i>Contributions from Other Funds</i>	\$3,849	\$4,183
<i>Implicit Subsidy Contribution</i>	\$9,953	\$9,953
Carryover of Prior Year Asset/(Obligation)	(\$1,797)	\$2,042
Net OPEB Asset/(Obligation)	\$2,042	\$5,346

After exploring numerous alternatives as to how to prudently invest and accumulate resources for OPEB, County staff recommended, and the Board of Supervisors approved on February 25, 2008, County participation in the Virginia Pooled OPEB Trust Fund in cooperation with the Virginia Municipal League (VML)/Virginia Association of Counties (VACo) Finance Program and other jurisdictions in the Commonwealth of Virginia. The County is represented on the Board of Trustees for the pooled trust and actively participates in decision-making to prudently invest accumulated resources for OPEB. It should be noted that the Virginia Pooled OPEB Trust Fund is used for investment purposes only; funds accumulated for OPEB are still accounted for in Fund 73030.

Retiree Health Benefit Subsidy

The County provides monthly subsidy payments to eligible retirees to help pay for health insurance. Prior to July 2003, the monthly subsidy was \$100 for all eligible retirees. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service as detailed in the following table. It should be noted that for those retired prior to July 2003, the monthly subsidy is the greater of

Fund 73030 OPEB Trust Fund

\$100 and the amounts below. There is not a reduction in subsidy payments for employees who retired prior to July 1, 2003 but do not meet the revised requirements for years of service. However, those employees who retired prior to July 1, 2003 with 15 or more years of service were eligible for the increased subsidy as of July 1, 2003. The retiree health benefit subsidy is provided to retirees on a discretionary basis, and the Board of Supervisors reserves the right to reduce or eliminate the benefit in the future if the cost of the subsidy becomes prohibitive or an alternative is chosen to aid retirees in meeting their health insurance needs.

Retiree Health Benefit Subsidy	
Years of Service at Retirement	Monthly Subsidy
5 to 9	\$30
10 to 14	\$65
15 to 19	\$155
20 to 24	\$190
25 or more	\$220

The current subsidy structure became effective January 1, 2006 and includes a 25 percent increase approved by the Board of Supervisors in response to the implementation of the Medicare Part D prescription drug benefit. This increase qualified the County's self-insured health insurance plan to be deemed as actuarially equivalent to the Medicare Part D program. Employers who offer an actuarially equivalent program are eligible to receive a subsidy from the Centers for Medicare and Medicaid Services (CMS) based on retiree enrollment in their plans. The County receives the CMS subsidy on retirees and spouses enrolled in the County's self-insured health plan who do not enroll in Medicare Part D. The federal funding from CMS completely offsets the cost of the 25 percent increase to the retiree subsidy. In addition to the increase, the subsidy structure was changed so that retirees no longer receive a reduced subsidy upon reaching the age of Medicare eligibility.

Primarily as a result of the March 2010 passage of comprehensive health care reform legislation, the health care environment is in the midst of significant changes. Staff is continuing to examine the impact of reform on the County's current benefit options and will be developing a long-term strategy to provide cost-effective and comprehensive health care coverage to retirees.

During FY 2014, the average number of subsidy recipients, including new retirees who are eligible to receive the retiree health benefit subsidy, is expected to increase by 299, or 9.2 percent, from 3,238 in FY 2013 to 3,537 in FY 2014. Estimates of the average number of subsidy recipients are based on a review of the projected number of retirements and health subsidy eligibility for personnel already retired from the Fairfax County Employees', Uniformed, and Police Officers Retirement Systems. Retirees who become eligible to receive the subsidy are paid based on the period of eligibility within the fiscal year, which may or may not comprise a full year of payments. It should be noted that in FY 2006, the Board of Supervisors approved an additional benefit to Health Department employees who remained in the Virginia Retirement System (VRS) after their conversion from state to County employment in 1995. Current and future retirees who participate in a County health plan are eligible to receive the differential between the County retiree health benefit subsidy for which the employee is eligible based on years of service, which currently has a maximum of \$220 per month, and that provided by VRS, which has a maximum of \$120 per month. Furthermore, effective July 1, 2006, the County began providing the maximum retiree health benefit subsidy of \$220 per month to those Police officers who were hired before

Fund 73030 OPEB Trust Fund

July 1, 1981 and retired or will retire with full retirement benefits with 20, but less than 25, years of service. These Police officers previously received a subsidy of \$190 per month.

Initiatives

- ◆ Provide an appropriate funding level to support the retiree health benefit subsidy and make progress towards reducing the County's unfunded OPEB liability.
- ◆ Continue to allow for the timely and accurate distribution of retiree health benefit subsidy payments.
- ◆ Estimate actuarial liabilities to comply with GASB's accounting requirements for post-employment benefits other than pensions.
- ◆ Invest fund assets appropriately in order to facilitate the capture of long-term investment returns.
- ◆ Continue to develop, considering the impacts of health care reform, a long-term County strategy to provide retiree medical benefits.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$105,602	\$109,631	\$109,631	\$110,956
Operating Expenses	17,087,393	7,517,685	7,517,685	8,307,319
Capital Equipment	0	0	0	0
Total Expenditures	\$17,192,995	\$7,627,316	\$7,627,316	\$8,418,275
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	1 / 1	1 / 1	1 / 1	1 / 1
1 Accountant III				
TOTAL POSITIONS				
1 Position / 1.0 FTE				

It should be noted that the 1/1.0 FTE Accountant III position resides in the Retirement Administration Agency and is financed by Fund 73030, OPEB Trust Fund.

Fund 73030

OPEB Trust Fund

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$1,325**
An increase of \$1,325 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.

- ◆ **Benefit Payments** **\$695,282**
An increase of \$695,282 is attributable to a projected increase in the number of retirees receiving the retiree health benefits subsidy.

- ◆ **Administrative Expenses** **\$94,352**
An increase of \$94,352 in Operating Expenses is primarily associated with an anticipated increase in investment services and actuarial fees.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this fund since approval of the FY 2013 Adopted Budget Plan.

Fund 73030 OPEB Trust Fund

FUND STATEMENT

Fund 73030, OPEB Trust Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$87,050,168	\$112,690,645	\$113,693,810	\$139,609,727
Revenue:				
CMS Medicare Part D Subsidy	\$1,495,907	\$1,300,000	\$1,300,000	\$1,300,000
Investment Income ¹	52,783	60,000	60,000	60,000
Implicit Subsidy ¹	9,953,000	0	0	0
Other Funds Contributions	3,848,764	4,183,233	4,183,233	4,321,540
Total Realized Revenue	\$15,350,454	\$5,543,233	\$5,543,233	\$5,681,540
Unrealized Gain/(Loss) ^{1,2}	\$749,183	\$0	\$0	\$0
Total Revenue	\$16,099,637	\$5,543,233	\$5,543,233	\$5,681,540
Transfers In:				
General Fund (10001)	\$27,737,000	\$28,000,000	\$28,000,000	\$28,000,000
Total Transfers In	\$27,737,000	\$28,000,000	\$28,000,000	\$28,000,000
Total Available	\$130,886,805	\$146,233,878	\$147,237,043	\$173,291,267
Expenditures:				
Benefits Paid	\$7,026,009	\$7,426,517	\$7,426,517	\$8,121,799
Implicit Subsidy ¹	9,953,000	0	0	0
Administrative ¹	213,986	200,799	200,799	296,476
Total Expenditures	\$17,192,995	\$7,627,316	\$7,627,316	\$8,418,275
Total Disbursements	\$17,192,995	\$7,627,316	\$7,627,316	\$8,418,275
Reserved Ending Balance ³	\$113,693,810	\$138,606,562	\$139,609,727	\$164,872,992

¹ In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$2,752,444.06 have been reflected as an increase to FY 2012 revenue, primarily as a result of a net gain from the unrealized appreciation of investments, as well as to record interest revenue in the proper fiscal period. Audit adjustments in the amount of \$19,511.36 have been reflected as an increase to FY 2012 expenditures in order to appropriately account for program fees and administrative expenses. In addition, an audit adjustment in the amount of \$9,953,000.00 has been reflected as an increase to both FY 2012 revenues and expenditures. This adjustment, which nets to \$0, is required to accurately reflect the County's contribution and benefit payments for the implicit subsidy to retirees. These adjustments have been included in the FY 2012 Comprehensive Annual Financial Report (CAFR). Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter Package.

² Unrealized gain/(loss) will be reflected as an actual revenue at the end of the fiscal year.

³ The Reserved Ending Balance in Fund 73030, OPEB Trust Fund, represents the amount of assets held in reserve by the County to offset the estimated Unfunded Actuarial Accrued Liability for other post-employment benefits. The balance is anticipated to grow each year as a result of contributions and investment returns. The \$164.9 million reserve in FY 2014 is applied toward the liability of \$503.8 million calculated as of July 1, 2012.

Fund S71000

Educational Employees' Supplementary Retirement

Focus

Fund S71000 (formerly Fund 691), Educational Employees' Supplementary Retirement Fund, is a qualified retirement plan under section 401(a) of the Internal Revenue Code and is required to operate under specific provisions of the Code and in conformance with general trust law. Responsibility for general administration and operation of the fund is vested in a Board of Trustees. FY 2014 expenditures are estimated at \$195.6 million.

Fund S71000

Educational Employees' Supplementary Retirement

FUND STATEMENT

Fund S71000, Educational Employees' Supplementary Retirement

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$1,886,988,080	\$2,028,577,921	\$1,827,750,696	\$1,986,062,963
Receipts:				
Contributions	\$102,167,214	\$108,161,811	\$109,470,495	\$116,720,329
Investment Income	11,465,431	234,903,388	234,903,388	252,588,557
Total Revenue²	\$113,632,645	\$343,065,199	\$344,373,883	\$369,308,886
Total Available	\$2,000,620,725	\$2,371,643,120	\$2,172,124,579	\$2,355,371,849
Total Expenditures ²	\$172,870,029	\$190,645,039	\$186,061,616	\$195,644,581
Total Disbursements	\$172,870,029	\$190,645,039	\$186,061,616	\$195,644,581
Ending Balance	\$1,827,750,696	\$2,180,998,081	\$1,986,062,963	\$2,159,727,268

¹The *FY 2013 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their *FY 2013 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2013 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 23, 2013.

²In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$48,223,167 have been reflected as an increase to FY 2012 revenue and audit adjustments in the amount of \$22,724 have been reflected as an increase to FY 2012 expenditures. Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter package.

Fund S71100

Public School OPEB Trust Fund

Focus

Fund S71100 (formerly Fund 692), Public School Other Post-Employment Benefits (OPEB) Trust Fund, was established by the School Board in FY 2008 as a mechanism to accumulate and invest assets to fund the Fairfax County Public School (FCPS) system's other post-employment benefits.

In July 2004, the Governmental Accounting Standards Board (GASB) issued Statement No. 45, "Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions." This standard addresses how the school system should account for and report its costs related to post-employment health care and other non-pension benefits, such as the program subsidizing the cost of health benefit coverage and premiums for eligible retirees and their surviving spouses.

Program participants may continue medical coverage by paying the appropriate subsidized premiums (explicit subsidy) based on years of service and the retirement plan under which the retiree is covered. In addition, FCPS subsidizes the premium rates paid by the retirees by allowing them to participate in the medical plans at the reduced or blended group premium rates for both active and retired employees (implicit subsidy). These rates provide an implicit subsidy for retirees because, on an actuarial basis, their current and future claims are expected to result in higher costs to the program on average than those of active employees. GASB 45 requires that FCPS calculate and include the liability for this implicit subsidy.

An actuarial valuation is performed to determine the actuarial accrued liability and the corresponding Annual Required Contribution (ARC) based on the 30-year amortization of this liability and an additional amount necessary to pre-fund benefits accrued by active employees during the current year. Funding contributions towards the ARC are determined by the School Board. The FY 2014 projected ARC is \$38.9 million, as determined by the most recent actuarial valuation. FCPS will contribute a total of \$47.3 million in FY 2014 as part of a proposed multiyear plan to fund OPEB.

Fund S71100

Public School OPEB Trust Fund

FUND STATEMENT

Fund S71100, Public School OPEB Trust Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan ¹	FY 2014 Superintendent's Proposed
Beginning Balance	\$40,051,085	\$54,530,585	\$49,648,958	\$64,568,458
Revenue:				
Employer Contributions	\$42,426,870	\$47,255,000	\$47,255,000	\$47,255,000
Net Investment Income	(335,995)	5,000,000	5,000,000	5,000,000
Total Revenue²	\$42,090,875	\$52,255,000	\$52,255,000	\$52,255,000
Total Available	\$82,141,960	\$106,785,585	\$101,903,958	\$116,823,458
Total Expenditures²	\$32,493,002	\$37,335,500	\$37,335,500	\$37,335,500
Total Disbursements	\$32,493,002	\$37,335,500	\$37,335,500	\$37,335,500
Reserved Ending Balance	\$49,648,958	\$69,450,085	\$64,568,458	\$79,487,958

¹ The *FY 2013 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 20, 2012 during their *FY 2013 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2013 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 23, 2013.

² In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of \$1,258,501 have been reflected as an increase to FY 2012 revenue and audit adjustments in the amount of \$13,199 have been reflected as an increase to FY 2012 expenditures. Details of the FY 2012 audit adjustments will be included in the FY 2013 Third Quarter package.

Housing and Community Development Program Overview



Introduction

The Housing Overview section describes the programs and projects operated by the Fairfax County Department of Housing and Community Development (HCD) and the multiple sources of funds that support these activities.

As a County agency, HCD undertakes many programs on behalf of the Board of Supervisors. HCD also serves as the administrative arm of the Fairfax County Redevelopment and Housing Authority (FCRHA), a separate legal entity that was established in 1966 pursuant to Chapter 1, Title 36 of the Code of Virginia. FCRHA's roles include planning, design, production, rehabilitation and maintenance of housing, for low- and moderate-income households, and assisting in the revitalization of neighborhoods in Fairfax County. Eleven Commissioners are appointed to the FCRHA for four-year terms by the Board of Supervisors. A chairman and vice-chairman are then selected by a vote of the commissioners.

Housing Blueprint

In January 2010, the Board of Supervisors endorsed a new affordable housing policy, known as the "Housing Blueprint", which focuses on providing housing for those with the greatest need, including homeless families and individuals, persons with disabilities, and households with extremely low incomes. The Blueprint also emphasizes partnering with the County's non-profit community to provide creative affordable housing solutions, refocusing of existing resources, and fostering the development of workforce housing through land use policies and public/private partnerships. The Blueprint has four goals:

- ◆ To end homelessness in 10 years;
- ◆ To provide affordable housing options to those with special needs;
- ◆ To streamline and reduce the waiting lists for affordable housing by half in 10 years;¹ and
- ◆ To produce workforce housing sufficient to accommodate projected job growth.

¹In December 2012, the Fairfax County Redevelopment and Housing Authority (FCRHA) was notified by the U.S. Department of Housing and Urban Development (HUD) that it has been designated as a "Moving to Work" (MTW) agency. As a result of the changes associated with the MTW designation, the Board of Supervisors will be asked to consider updating the Housing Blueprint's waiting list goal for FY 2014 to focus more broadly on meeting the needs of low-income working families, inclusive of those on the FCRHA's waiting lists.

A set of specific Blueprint metrics is established each year using a combination of existing resources and additional County funding; the Blueprint metrics called for the creation of a locally-funded "Bridging Affordability" program to address the homelessness and waiting list goals (see details in the subsequent Volume 2 Fund 30300, The Penny for Affordable Housing narrative). The commitment of resources and metrics reflect the Board-adopted 10-Year Plan to Prevent and End Homelessness and the recommendations of the Fairfax County Affordable Housing Advisory Committee, in concert with the FCRHA, the interagency Housing Options Group, and the Fairfax-Falls Church Community Services Board, including priority recommendations regarding the County funds requested for Blueprint projects and programs.

Housing and Community Development Program Overview

Funding Sources Supporting HCD Operations

The sources supporting HCD's operations include County funds, FCRHA revenue bonds, federal grants, private capital, revenue from program operations (e.g., developer fees, rent from tenants of housing owned by the FCRHA and income from repayment of loans) and interest income. As a result of these multiple, complex funding streams, HCD administers 19 funds. Some funds are appropriated by the Board of Supervisors, while others are allocated by the FCRHA. All are included in this budget in order to provide a complete financial overview. These 19 funds encompass all of the operations of HCD/FCRHA with the exception of nine housing developments that are operated by outside management companies under contract with the FCRHA and/or are owned by the FCHRA in partnership with private investors. Separate financial records are maintained for these developments.

FY 2014 anticipated expenditures supporting the HCD and FCRHA activities total \$115,094,970 including \$8,082,601 in General Fund support, \$28,512,781 in other County appropriated funds, and \$78,499,588 in Non-County appropriated funds. Total revenue for FY 2014 is anticipated to be \$115,041,008 as shown on the Consolidated Fund Statement. Receipts from federal/state sources are anticipated to be \$65,447,865 or 56.9 percent of total funding sources. More detailed descriptions of FY 2014 funding levels may be found in the narratives for each fund following this Overview.

Because HCD's programs are supported by multiple sources of funds, the Agency Mission and Focus, Program Goals, and Performance Measures are consolidated in this Overview rather than appearing with each fund. This Overview also provides summary information on the organization, staffing and consolidated budget for HCD.

Mission

To create and preserve affordable housing and caring, livable communities; serve the diverse needs of Fairfax County's residents through innovative programs, partnerships and effective stewardship; and foster a respectful supportive workplace.

Focus

HCD connects with the residents of Fairfax County at their roots – home, neighborhood and community. All HCD programs, activities and services revolve around this important link and can be grouped in three service areas: **Affordable Housing; Neighborhood Preservation and Revitalization; and Capital Formation and Capacity Building.**

Affordable Housing supports individuals and families in their effort to find homes that are safe, affordable, and stable through rental housing, partnerships with non-profits and other organizations, rental subsidies and homeownership opportunities.

Neighborhood Preservation and Revitalization focuses on sustaining and improving communities.

Capital Formation and Capacity Building focuses on development of partnerships with private investors and other public agencies resulting in capital investment and financial support for the HCD and FCRHA mission.

These service areas encompass all of the activities of the 19 HCD funds. The total FY 2014 Advertised Budget Plan of \$115.1 million can be distributed to these service areas and the general costs of running the department. It should be noted that many of the functional areas of HCD cross these service areas, so an exact allocation to the service areas is not possible. The FY 2014 Advertised Budget Plan is \$2.4 million more than the FY 2013 Adopted Budget Plan, primarily due to projected increases in Housing Choice

Housing and Community Development Program Overview

Voucher Program and Public Housing funding from the U.S. Department of Housing and Urban Development (HUD) that were based on the FY 2013 awards; additional funding for affordable housing programs; and increases for the full-year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees; offset by reductions in HUD awards for the Community Development Block Grant and the HOME Investment Partnership Grant, and homeowner and business resale transactions. The preliminary estimates from HUD indicate there likely will be reductions from the FY 2013 funding award amounts for FY 2014. Appropriate revisions to the current FY 2014 budgets will be made as part of the *FY 2013 Carryover Review*. See subsequent Housing Fund narratives in Volume 2.

Highlighted below are the main functions included in each of the service areas.

The Fairfax County Park Authority supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Connecting People and Places



Building Livable Spaces

Affordable Housing:

Housing Blueprint

The Housing Blueprint, originally adopted by the Board in January 2010, represents a shift in emphasis for the County's affordable housing policies as the County recovers from the recent recession. The Blueprint focuses on providing housing for those with the greatest need, including homeless families and individuals, persons with special needs, and households with extremely low-incomes. The Blueprint has four goals: 1) to prevent and end homelessness in ten years; 2) to provide affordable housing opportunities to those with special needs; 3) to streamline and reduce the waiting lists for affordable housing by half in ten years¹; and 4) to produce workforce housing sufficient to accommodate projected job growth. Each year the Housing Blueprint includes specific metrics to achieve these goals, using a combination of existing federal and County resources, as well as proposed County funding for the Bridging Affordability program and affordable housing development by partners.

Affordable Housing Preservation

Over the past years, a total of 2,470 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,218 units are preserved for 20 years or longer. The FCRHA's major affordable housing preservation successes include: Wedgewood Apartments, 672 units (Mason District); Janna Lee Village, 319 units (Lee District); Madison Ridge, 216 units (Sully District); Crescent Apartments, 180 units (Hunter Mill District); Coralain Gardens, 105 units (Mason District); Sunset Park Apartments, 90 units (Mason District); and Hollybrooke II, 98 units and Hollybrooke III, 50 units (Mason District).

¹As noted earlier, due to the FCRHA's designation by HUD as a "Moving to Work" agency, the Board of Supervisors will be asked to consider updating the Housing Blueprint's waiting list goal for FY 2014 to focus more broadly on meeting the needs of low-income working families, inclusive of those on the FCRHA's waiting lists.

Housing and Community Development Program Overview

Bridging Affordability Program

Authorized as part of the FY 2011 Adopted Budget Plan and included in the Housing Blueprint, the “Bridging Affordability” Program is designed to provide funding to non-profits, via a competitive process, for use as rental subsidies and as capital for the acquisition of additional affordable units to address the homelessness and waiting list goals of the Blueprint. In June 2011, Fairfax County awarded a contract to Northern Virginia Family Service (NVFS), which is leading a collaborative of nine non-profit organizations. The collaborative includes NVFS, Alternative House, FACETS, Good Shepherd Housing and Family Services, New Hope Housing, Reston Interfaith, Shelter House, United Community Ministries and Volunteers of America Chesapeake. The collaborative is providing rental subsidies and an array of supportive services to program participants. As of December 2012, a total of 164 households have been served through the Bridging Affordability Program; the average income served was \$19,982, or approximately 23 percent of the Area Median Income (AMI) for a family of two. A total of \$4,948,614 has been either expended or was committed to existing program participants, or about 93 percent of the \$5.3 million NVFS contract. The Bridging Affordability Program is funded, subject to annual allocation, with program income from the County-owned Wedgewood Apartments property.

First-Time Homebuyers Program and Moderate Income Direct Sales Program

This program offers new and resale homes at below market prices. These homes are built by private developers and are located throughout the County. HCD markets the homes and, in most cases, provides financing assistance to first-time homebuyers. In FY 2012, a total of 32 families purchased homes via the Fairfax County First-Time Homebuyers program. Through FY 2012, 2,209 homes have been sold to first-time homebuyers as a result of these programs since 1992.

Homeownership Resource Center and Homebuyer Education

The Homeownership Resource Center, located on the first floor of the FCRHA headquarters building on Pender Drive, serves nearly 400 persons per month, providing information on homeownership, homeownership education, one-on-one and group counseling sessions, opportunities to meet with lenders, applicant briefings, and coordination of resources for current and prospective first-time homebuyers.

Through a partnership with the Virginia Housing and Development Authority (VHDA), local lenders and housing professionals, six-hour homeownership education classes were provided to potential Fairfax County homebuyers in FY 2012. Completion of the class qualifies graduates to participate in the First-Time Homebuyers Program and the ability to access below-market financing, down payment and closing cost assistance. Classes have been offered in English, Spanish, Vietnamese, Korean, and American Sign Language.

In FY 2012, a total of 5,437 households were served through orientations, application sessions, the Homeownership Resource Center, and yearly housing fairs and events. Also, in FY 2012, 1,536 owner occupancy affidavits were mailed out to households in the First-Time Homebuyers Program and tracked to verify owner occupancy. Forty-three properties were monitored for failure to comply with the program restrictions or due to active foreclosure notice. Staff periodically checked the public records on all properties for compliance regarding the deed restrictions. Notices on all 32 for sale properties in FY 2012 were recorded in the land records referencing the deed restrictions.

Housing and Community Development Program Overview

Compliance Monitoring

Compliance monitoring is an ongoing activity which encompasses a variety of HCD programs. This activity includes monitoring of:

- ◆ Over 1,500 properties sold through the First-Time Homebuyer Program (including “for-sale” Affordable Dwelling Units (ADUs));
- ◆ Over 1,000 privately-owned and operated rental ADUs which are located in large multifamily apartment properties across the County;
- ◆ An estimated 2,164 Workforce Housing units which have been committed to be built by private developers as of December 2012;
- ◆ 2,989 Fairfax County/FCRHA-owned Public Housing and Fairfax County Rental Program (FCRP) multifamily units, 19 residential studio units, 504 senior independent units and 112 beds of assisted living; and
- ◆ Over 3,500 Housing Choice Vouchers.

In addition, HCD monitors the use of federal funds received by Fairfax County and granted to a variety of agencies and organizations. These programs include the Community Development Block Grant (CDBG) and the HOME Investment Partnership (HOME) program.

FCRHA Rental Housing Programs

This function includes properties owned by the FCRHA under the Fairfax County Rental Program (FCRP) for households with modest means, as well as properties owned by limited partnerships affiliated with the FCRHA. In addition, it encompasses properties owned by the FCRHA and operated under the federal Public Housing Program and rental subsidies managed by the FCRHA and funded by the federal Housing Choice Voucher (HCV) Program for those with very low incomes. In FY 2012, the average income of households served in the FCRHA’s major multifamily affordable rental housing and tenant subsidy programs, including the federal Public Housing and HCV programs, and the FCRP, was approximately \$26,387, or 27 percent of the Area Median Income (AMI) for a family of three (the average household size in these programs). This meets the U.S. Department of Housing and Urban Development’s (HUD) definition of “extremely low income”. A total of 18,403 individuals were housed in these programs in FY 2012. In FY 2012, the FCRHA was again named a HUD “High Performer” for the HCV and Public Housing programs.

In September 2010, HCD established the PROGRESS Center: the Partnership for Resident Opportunities, Growth, Resources and Economic Self Sufficiency Center. The Center is housed within HCD and staffed by existing employees, each bringing a rich background and experience in HCD housing programs and human services. The Center is focused on Public Housing residents, participants in the HCV program, and the residents at Fairfax County Rental Program properties such as Stonegate and Murraygate. The Center is a resource within HCD for staff addressing client issues that can range from job loss to behavior issues to residents in crisis. The PROGRESS Center is focusing on a number of critical areas of need, including employment and training opportunities, as well as services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities.

Housing and Community Development Program Overview

Consistent with its November 2010 Lines of Business (LOBS) presentation to the Fairfax County Board of Supervisors, HCD is reorganizing its Housing Application Center and process, to ensure the delivery of sensitive, pro-active customer service designed to achieve the goal of helping applicants find a home. HCD has also established an Asset Management Division, utilizing existing staff and consolidating resources, to focus on the financial performance, physical condition, capital improvements and accountability of the FCRHA's affordable housing properties.

FCRHA Becomes HUD "Moving to Work" Agency

In December 2012, the FCRHA was notified that its application to be designated a "Moving to Work" agency by HUD was successful. The elite Moving to Work (MTW) designation gives housing authorities the flexibility to create programs that work best for their residents, allowing them to design and test innovative, locally-designed strategies to improve cost-effectiveness and help families achieve self-sufficiency.

The FCRHA's MTW plan is a critical component of its Total Housing Reinvention for Individual Success, Vital Services and Economic Empowerment (THRIVE) initiative. THRIVE is designed to link residents to services and programs offered by other County agencies and non-profit partners, with the goal of helping them become more self-sufficient. These programs are intended to help residents better manage their money, train for a new job, pursue college or other training, become a better parent, learn English, improve their health, and perhaps even purchase a home. MTW will bring about several key changes including:

- ◆ Creating a housing continuum that seamlessly joins together the County's housing programs including Public Housing and Housing Choice Vouchers, and establishes goals to help residents move toward self-sufficiency;
- ◆ Expanding its already strong community partnerships with nonprofit organizations to provide self-sufficiency services ranging from "ready-to-rent" training, to job readiness, through homebuyer education and beyond;
- ◆ Reducing the burden both on staff and residents related to such things as re-certifications and inspections, which will allow staff to focus more on people, not paperwork. This new focus will allow County case workers to link residents to the services, such as job training and education, that they need to become and remain self-sufficient.

The FCRHA will implement the programmatic and organization changes associated with the MTW designation during the transformation to the delivery of housing assistance in Fairfax County.

FCRHA Development Activities

HCD, in conjunction with the FCRHA, facilitates the development of affordable housing by non-profit and for-profit developers through incentives and financing. HCD and FCRHA also build and own housing for low- and moderate-income families and individuals, and households with special needs. In addition, FCRHA partners with private investors, through limited partnerships, to develop and operate affordable housing. Per its November 2010 LOBS review, HCD plans further utilization of the construction management resources of the Department of Public Works and Environmental Services (DPWES) for County-funded projects.

As of January 2013, HCD and the FCRHA are actively engaged in a variety of development activities. A site plan for the development of The Residences at North Hill Park, to include 67 units of manufactured housing in the Mount Vernon District, was on its third and final review. An unsolicited proposal for the site, submitted under the Virginia Public-Private Educational Facilities Infrastructure Act (PPEA), was

Housing and Community Development Program Overview

received from a private developer and is under consideration as an alternative approach through the Fairfax County Department of Purchasing and Supply Management.

HCD staff briefed the Board of Supervisors' Housing Committee in June 2012 on the status of the development of six units of permanent housing at the Hanley Shelter campus in the Springfield District. The Housing Committee was informed that HCD plans to construct the facility in partnership with the DPWES; the full Board will be notified via a memorandum when a contract is awarded for construction.

A rezoning application and preliminary development plan were submitted and approved for The Residences at the Government Center, a 270-unit affordable/workforce housing project on the campus of the Fairfax County Government Center in the Braddock District. The final site plan was submitted to Fairfax County and is under review pending approval. Anticipated construction start date is May 2013.

In addition, HCD and the FCRHA are working in partnership with other County agencies on the redevelopment of the Crescent Apartments, a 180-unit apartment complex acquired by the County in FY 2006 and located adjacent to Lake Anne in Reston. This development is being closely coordinated with Lake Anne revitalization efforts; a solicited PPEA was issued by the County and responses were being considered as of January 2013. Similarly, a solicited PPEA was issued for the renovation and expansion of the Lewinsville Senior Complex in the Dranesville District.

Active Adult Housing and Assisted Living

This activity provides 504 affordable active adult rental apartments in Fairfax, Herndon, Springfield, Lincolnia, McLean, and the Mount Vernon/Gum Springs areas of Fairfax County, including the new 90-unit Olley Glen facility. In addition, this activity provides 112 beds of assisted living at Braddock Glen in Fairfax (Braddock District) and at the Lincolnia Senior Center and Residence in Alexandria (Mason District).

Relocation Services and Monitoring

This program provides technical assistance and monitoring for preservation initiatives. This activity also includes relocation services for all federally-funded projects throughout the agency. In FY 2012, staff conducted relocation reviews of 36 projects for compliance with the federal Uniform Relocation Act and the Fairfax County Voluntary Relocation Assistance Guidelines.

Relocation Advisory Services for Condominium Conversion

These services provide technical assistance to developers under both the Fairfax County Relocation Guidelines and Fairfax County Code for projects where there is substantial rehabilitation and condominium conversion. Technical assistance under the federally mandated Uniform Relocation Act is provided if federal funds are involved in the project.

Affordable/Workforce Housing

The Board of Supervisors created a Workforce Housing Program through amendments to the Fairfax County Comprehensive Plan and Zoning Ordinance, and the adoption of a new Board policy. The Workforce Housing Program, based on the recommendations of the Board-appointed High-Rise Affordability Panel, is a proffer-based incentive system to encourage developers to provide workforce housing in the County's mixed-use development centers. The Board's action sets forth the expectation that 12 percent of all new residential units will be affordable to a range of moderate-incomes up to 120 percent of the AMI. As of December 2012, a total of 2,164 Workforce Dwelling Units had been committed by private developers in rezoning actions approved by the Board of Supervisors. Development of these

Housing and Community Development Program Overview

units is expected to begin in two to three years. A total of 61 rental workforce units had been constructed as of December 2012.

Neighborhood Preservation:

Home Repair for the Elderly

This activity provides a crew to assist qualified elderly and disabled homeowners in making minor repairs at no charge. In FY 2012, a total of 113 qualified disabled or elderly homeowners received free repairs.

Capital Formation and Capacity Building:

Impact of Potential Federal Fiscal Year 2013 “Sequestration”

In January 2013, the Congress enacted the American Taxpayer Relief Act of 2012 to mitigate the effects of the “fiscal cliff” created by the Budget Control Act of 2011. As a part of this action, the cuts to discretionary funding in Federal Fiscal Year 2013 provided for in the Budget Control Act, the so-called “sequestration”, were temporarily delayed. Based on available information, it is anticipated that Fairfax County’s federally-funded affordable housing programs would experience significant reductions, in addition to the dramatic cuts already enacted in recent years. For example, it is anticipated that Fairfax County could lose approximately \$361,294 in Community Development Block Grant (CDBG) program funding, which supports numerous County and nonprofit community development and housing activities. The HOME Investment Partnership Program (HOME) allocation, which provides rental assistance and funding for affordable housing development would also be reduced. It is also anticipated that the sequestration could reduce the number of households served in the Housing Choice Voucher program by nearly 300, and also reduce operating support for the Public Housing Program.

Funding Opportunities

This activity focuses on identifying and applying for available funding opportunities to leverage and supplement County funds for projects and programs. It includes federal entitlement grants such as CDBG and HOME grants and loans, as well as private financing. A recent example of the FCRHA’s success in applying for federal funds is the award of a \$486,000 federal Resident Opportunity and Self-Sufficiency (ROSS) Grant in 2012 to fund two service coordinators over three years in the Public Housing program. This grant will continue to fund two staff members in the HCD PROGRESS Center.

Partnering

This activity links the FCRHA financing abilities with those of the private sector (non-profit and for-profit) to generate additional financial resources. Non-profit corporations or limited liability corporations (LLC) formed by the FCRHA partner with private investors and benefit from Federal Low Income Housing Tax Credits to fund FCRHA affordable housing for families and seniors. In addition, the FCRHA issues revenue bonds to raise funds from private investors to fund affordable housing and community facilities.

Consolidated Plan/Consolidated Community Funding Advisory Committee (CCFAC)

HCD provides leadership in developing and implementing the County’s annual Consolidated Plan in conjunction with the CCFAC, a citizen committee. The Consolidated Plan is the required annual application for several entitlement grants to the County from the U.S. Department of Housing and Urban Development (HUD), which provided approximately \$6.2 million for local housing and community development programs in FY 2013. In FY 2012, HCD staff facilitated the development of the HUD-required Consolidated Plan – One Year Action Plan for FY 2013.

Housing and Community Development Program Overview

Human Services

This activity provides resources to the County’s non-profit partners through the Consolidated Community Funding Pool (CCFP) for critical human services such as youth programs, housing support services, and services targeted toward the County’s immigrant population. A significant portion of the funding comes from CDBG, administered by HCD, which also supports CCFP planning and administers contract awards.

Affordable Housing Service Area

Goal

To implement the Board of Supervisors’ Affordable Housing Goal that “opportunities should be available to all who live or work in Fairfax County to purchase or rent safe, decent, affordable housing within their means.” This goal will be achieved through providing affordable housing preservation and development, technical assistance, and financing services in conjunction with the FCRHA and both for- and non-profit community partners; managing and maintaining quality affordable rental housing; administering rental housing subsidies in accordance with federal regulations and local policies; and providing homeownership opportunities to eligible households.

Key Performance Measures

Affordable Housing Preservation

Objectives

- ◆ To preserve 2,790 units of affordable housing by the end of fiscal year 2014 (from 2004 to 2014) and to leverage every \$1 in local funds invested in preservation with \$3 in non-County resources.

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Output:				
Number of affordable housing units preserved	27	33	29 / 34	160
Efficiency:				
Amount of General County funds per affordable housing unit preserved (1)	\$7,509	\$5,838	\$10,000 / \$0	\$30,000
Service Quality:				
Amount of funds leveraged per \$1 of County funds for units preserved (1)	\$14	\$18	\$3 / NA	\$3
Outcome:				
Cumulative number of affordable units preserved since April 2004	2,403	2,436	2,465 / 2,470	2,630

(1) No County funding was expended on new affordable housing preservation actions in FY 2012; however, County funding was expended in FY 2013 under the Housing Blueprint for the preservation of Mount Vernon House senior apartments, which is reflected in the current estimate.

Performance Measurement Results

In FY 2012, a total of 34 affordable units were preserved via FCRHA financing using federal funds, exceeding the target of 29 units. In FY 2012, the allocation for Fund 30300, The Penny for Affordable Housing Fund was expended on debt service for two prior years’ preservation projects, the Crescent (180 units; Hunter Mill District) and Wedgewood Apartments (672 units; Braddock District), as well as the new Bridging Affordability rental subsidy program. With the dedication of Fund 30300 primarily to debt

Housing and Community Development Program Overview

service on the Crescent and Wedgewood properties and rent subsidies, it is anticipated that future preservation efforts will rely on federal funding as well as County funding.

Public Housing

Objectives

- ◆ To obtain a Public Housing Assessment System (PHAS) rating of at least 85 percent or better on a 100-point scale and maintain an occupancy rate of 95 percent or better.

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Output:				
Clients housed	2,866	2,839	2,850 / 2,818	2,850
Number of New Households Served	NA	NA	75 / 84	85
Efficiency:				
Average income served as percentage of Area Median Income	23%	23%	30% / 23%	30%
Service Quality:				
Percent on-time re-certifications	99%	99%	95% / 99%	95%
Percent on-time inspections	100%	99%	95% / 100%	95%
Outcome:				
Occupancy Rate	99%	NA	95% / 99%	95%
HUD's PHAS rating (1)	89%	NA	85% / 94%	85%

(1) FCRHA achieved HUD High Performer status for the Public Housing Program in FY 2012.

Performance Measurement Results

In FY 2012, the Public Housing program continued to provide high quality housing to over 2,800 Fairfax County residents and maintained a high occupancy rate of 99 percent at the properties. Agency indicators in nearly every area of the Public Housing Assessment System (PHAS) are rated very highly, resulting in an overall PHAS rating of 94 percent in FY 2012, which gave the FCRHA HUD "High Performer" Status. The average household income served by the Public Housing program in FY 2012 was \$22,412, or 23 percent of the Area Median Income for a family of three (meets HUD definition of "extremely low-income").

Housing and Community Development Program Overview

Fairfax County Rental Program (FCRP)

Objectives

- ◆ To maintain an overall occupancy rate of 95 percent or higher for FCRP multi-family properties.

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Output:				
Individuals housed	5,666	5,578	5,600 / 5,662	5,600
Number of units in program (1)	2,069	2,063	2,063 / 2,063	2,063
Efficiency:				
Average income served as a percentage of Area Median Income	39%	38%	45% / 40%	40%
Service Quality:				
Percent on-time re-certifications(2)	99%	99%	95% / 98%	95%
Outcome:				
Occupancy rate	97%	98%	95% / 98%	95%

(1) Includes all FCRP multifamily units, the Woodley Hills mobile home park and the Coan Pond working singles residences; does not include senior housing properties and certain special needs programs.

(2) Measure includes all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties.

Performance Measurement Results

In FY 2012, there were 2,063 housing units for families and singles in the program, and 5,662 individuals were housed. The occupancy rate was 98 percent and the average household income served was \$38,336, or 40 percent of the Area Median Income for a family of three (meets HUD definition of “very low-income”). A total of 98 percent of re-certifications of all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties, were conducted on-time.

Housing and Community Development Program Overview

Housing Choice Voucher

Objectives

- ◆ To obtain a Section 8 Management Assessment rating of 90 percent or better on a 100-point scale in the categories of timeliness and quality of inspections, rent calculations, lease-ups and contract enforcement, as well as in nine other areas specified by HUD.

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Output:				
Individuals housed	8,138	9,103	8,500 / 9,317	9,000
Efficiency:				
Average income served as a percentage of Area Median Income	21%	21%	30% / 21%	30%
Service Quality:				
Utilization rate	100%	100%	98% / 99%	98%
Percent on-time inspections	100%	100%	95% / 100%	95%
Percent on-time re-certifications	100%	100%	95% / 100%	95%
Outcome:				
HUD SEMAP rating (1)	102%	102%	90% / 100%	90%

(1) FCRHA achieved HUD "High Performer" status for the Housing Choice Voucher program in FY 2012.

Performance Measurement Results

The FCRHA's federal Housing Choice Voucher program housed over 9,000 individuals in FY 2012. The average household income served in FY 2012 was \$20,329, or approximately 21 percent of the Area Median Income for a family of three (meets HUD definition of "extremely low-income"). The targets for utilization rate, percent of on-time inspections, and percent of on-time re-certifications were all met. In addition, the FCRHA was again designated a "High Performer" by the United States Department of Housing and Urban Development (HUD), receiving a 100 percent HUD SEMAP score; this demonstrates highly effective stewardship of this vital federal affordable housing resource.

Housing and Community Development Program Overview

Elderly Housing Programs

Objectives

- ◆ To maintain an Assisted Living occupancy rate of 86 percent or higher and maintain a customer satisfaction rating of 92 percent or higher.
- ◆ To maintain an Independent Living occupancy rate of 95 percent or higher and maintain a customer satisfaction rating of 90 percent or higher.

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Output:				
Assisted Living clients housed (1)	111	108	112 / 102	102
Independent Living individuals housed (2)	447	410	504 / 504	504
Efficiency:				
Assisted Living cost per client (3)	\$28,580	\$31,625	\$30,000 / \$36,224	\$33,000
Independent Living cost per client	\$10,550	\$10,551	\$12,000 / \$10,734	\$12,000
Service Quality:				
Assisted Living occupancy rate (4)	95%	96%	95% / 91%	86%
Independent Living occupancy rate	96%	99%	95% / 100%	95%
Outcome:				
Assisted living overall customer satisfaction rating	96%	93%	90% / 100%	92%
Independent Living overall customer satisfaction rating	96%	91%	90% / 95%	90%

(1) Refers to the number of beds in use as of the end of the fiscal year.

(2) Refers to households served in all senior independent living units, including those managed by the FCRHA and properties managed by third-party firms under contract with the FCRHA, as of the end of FY 2012. Estimates for FY 2012 and FY 2013 include the Olley Glen community.

(3) Includes all operating costs except major capital expenditures.

(4) Individuals housed and occupancy rate lower due to attrition of residents in anticipation of building renovations at the Lincolnia Assisted Living Facility (Mason District).

Performance Measurement Results

Elderly Housing – Assisted Living

In FY 2012, a total of 102 individuals were housed at two assisted living developments with 112 beds/units (Braddock Glen and the Lincolnia Senior Center and Residence) achieving a 91 percent occupancy rate with 100 percent satisfaction. The cost per client was \$36,224 in FY 2012.

Elderly Housing – Independent Living

In FY 2012, a total of 504 individuals were housed, and the cost per client was \$10,734. The properties, including those managed by the FCRHA and those managed by third-party firms under contract with the FCRHA, achieved a 100 percent occupancy rate in FY 2012. The overall customer service satisfaction rating was 95 percent.

Housing and Community Development Program Overview

Homeownership

Objectives

- ◆ To obtain a Program Assessment rating of 95 percent or better on indicators addressing sales rate, foreclosures and rate of participation.

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Output:				
First-time homebuyers	63	51	50 / 32	30
Efficiency:				
Cost per new homeowner	\$5,306	\$5,788	\$5,000 / \$7,981	\$8,000
Non-County funds leveraged for Fairfax County First-Time Homebuyers (1)	\$7,288,968	\$3,070,876	\$1,750,000 / \$0	\$0
Service Quality:				
Participant satisfaction survey scores	94%	97%	95% / 92%	95%
Outcome:				
Assessment rating	93%	95%	95% / 99%	95%

(1) Non-County funds consist primarily of VHDA first-trust mortgage funds. VHDA financing is not currently available to ADU purchasers due to an issue with FHA Mortgagee Letter 94-2 and the covenants on ADUs in Fairfax County. Staff, working with the Office of the County Attorney, has requested a waiver from the FHA.

Performance Measurement Results

The number of new and resale units varies from year to year, due to a variety of external factors such as real estate market conditions and the economy. The pace of real estate development in the County determines the timing of the production of affordable dwelling units (ADUs) within new residential developments. In FY 2012, 32 first time homebuyers achieved homeownership utilizing HCD programs. The cost per homeowner rose because fewer homebuyers purchased in FY 2012, due in part to a lack of access to VHDA/FHA mortgages for the purchase of ADUs. In addition, the VHDA SPARC program, which provided significant resources for first-trust mortgages in the past, was discontinued by VHDA.

The service delivery satisfaction rate was 92 percent and a program Assessment rating of 99 percent was achieved.

Housing and Community Development Program Overview

Neighborhood Preservation

Objectives

- ◆ To preserve and improve County residential properties by providing home improvement/rehabilitation services and financing to income-eligible households and non-profit organizations.

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Output:				
Number of properties improved and enhanced (1)	150	134	100 / 113	NA
Efficiency:				
Amount of General County funds per property improved or enhanced (2)	\$2,398	\$2,615	\$2,400 / \$113	NA
Service Quality:				
Amount of leveraged funds per \$1 of County funds (2)	\$1	\$1	\$1 / \$16	NA
Outcome:				
Cumulative number of properties improved or enhanced since 2000 through County programs	1,378	1,512	1,612 / 1,625	NA

(1) Actual Measure to be discontinued for FY 2013 due to the indefinite suspension of the Home Improvement Loan Program (HILP). FY 2012 actuals show results only for the Home Repair for the Elderly Program (HREP).

(2) Actual result reflects the suspension of the HILP program, which utilized a larger amount of local funds than HREP.

Performance Measurement Results

In FY 2012, 113 properties were improved or enhanced, and the amount of County General funds used per property improved or enhanced was \$113. As of the end of FY 2012, a total of 1,625 properties were improved through County programs.

Housing and Community Development Program Overview

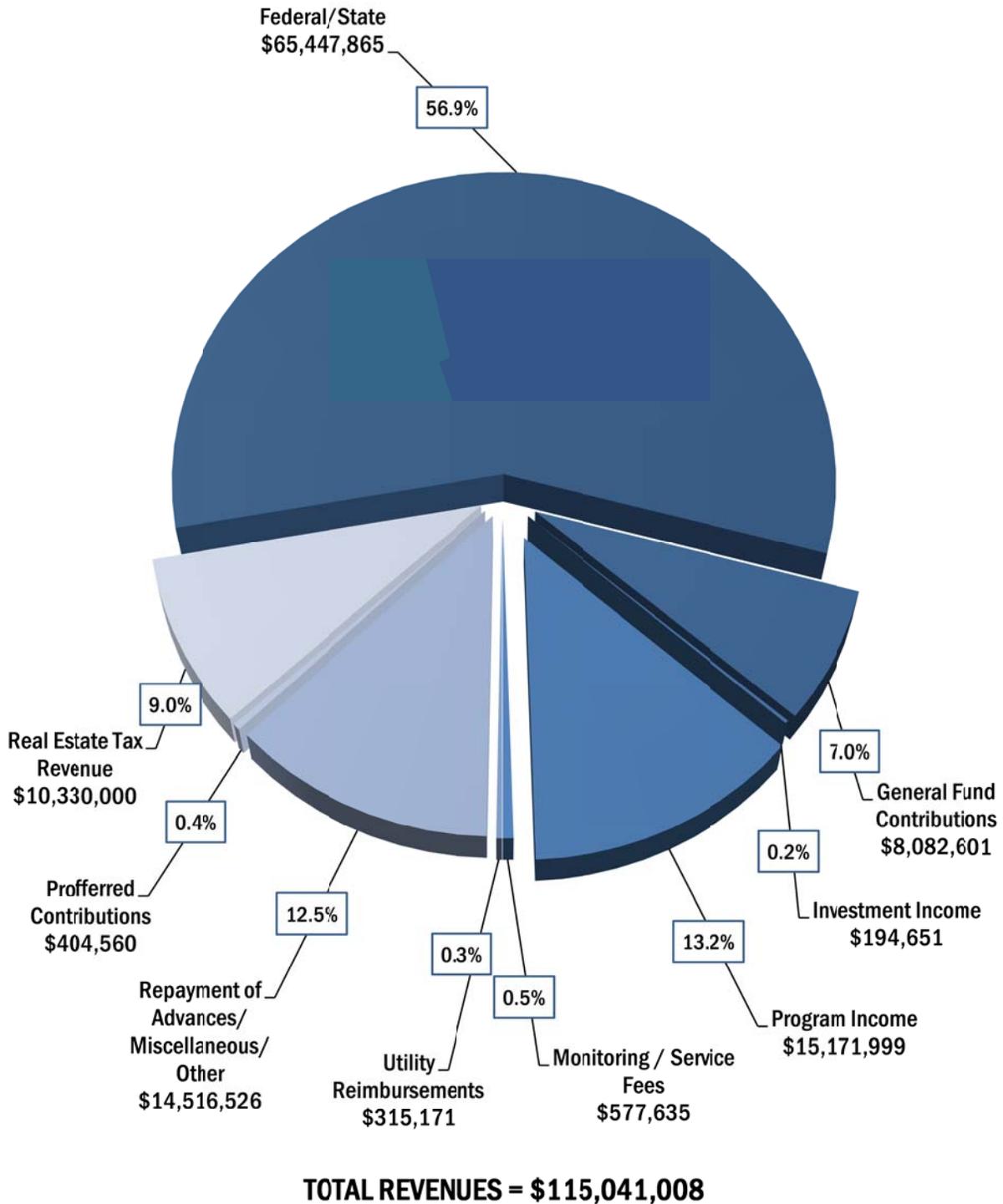
CONSOLIDATED FUND STATEMENT

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$67,805,407	\$43,229,793	\$80,204,001	\$45,186,952
Revenue:				
Federal/State	\$66,735,581	\$62,591,261	\$79,337,251	\$65,447,865
General Fund Contributions	8,030,479	8,789,856	8,812,933	8,082,601
Program Income	16,471,707	17,377,403	22,674,008	15,171,999
Sale of Bonds	0	0	4,370,000	0
Investment Income	592,706	182,785	182,785	194,651
Monitoring/Service Fees	1,377,831	923,536	867,711	577,635
Utility Reimbursements	242,439	192,585	242,439	315,171
Repayment of Advances	429	7,008	7,008	6,323
Proffered Contributions	1,351,838	372,340	372,340	404,560
Real Estate Tax Revenue	9,650,000	9,975,000	9,975,000	10,330,000
Miscellaneous/Other	17,160,771	11,937,797	14,445,589	14,510,203
Total Revenue¹	\$121,613,781	\$112,349,571	\$141,287,064	\$115,041,008
Total Available	\$189,419,188	\$155,579,364	\$221,491,065	\$160,227,960
Expenditures:				
Personnel Services	\$14,996,109	\$17,426,510	\$17,568,436	\$17,428,965
Operating Expenses	70,175,395	70,079,196	81,740,742	72,818,558
Capital Equipment	0	0	0	0
Grant Projects	11,740,416	7,802,196	20,213,799	5,819,507
Capital Projects	12,303,267	17,358,244	56,781,136	19,027,940
Total Expenditures¹	\$109,215,187	\$112,666,146	\$176,304,113	\$115,094,970
Total Disbursements	\$109,215,187	\$112,666,146	\$176,304,113	\$115,094,970
Ending Balance	\$80,204,001	\$42,913,218	\$45,186,952	\$45,132,990

¹ Designations are based on fund category, for example, Fund 30300, The Penny for Affordable Housing Fund is included in Capital Projects although some funding is used to support Operating Expenses. Fund 81060, FCRHA Internal Service Fund, was included as a separate housing fund beginning in FY 1998. Revenues and expenditures for this fund are included in the Consolidated Fund Statement, but do not increase total funding available to the agency. As such, this funding is netted out of the Program Area Summary by Fund.

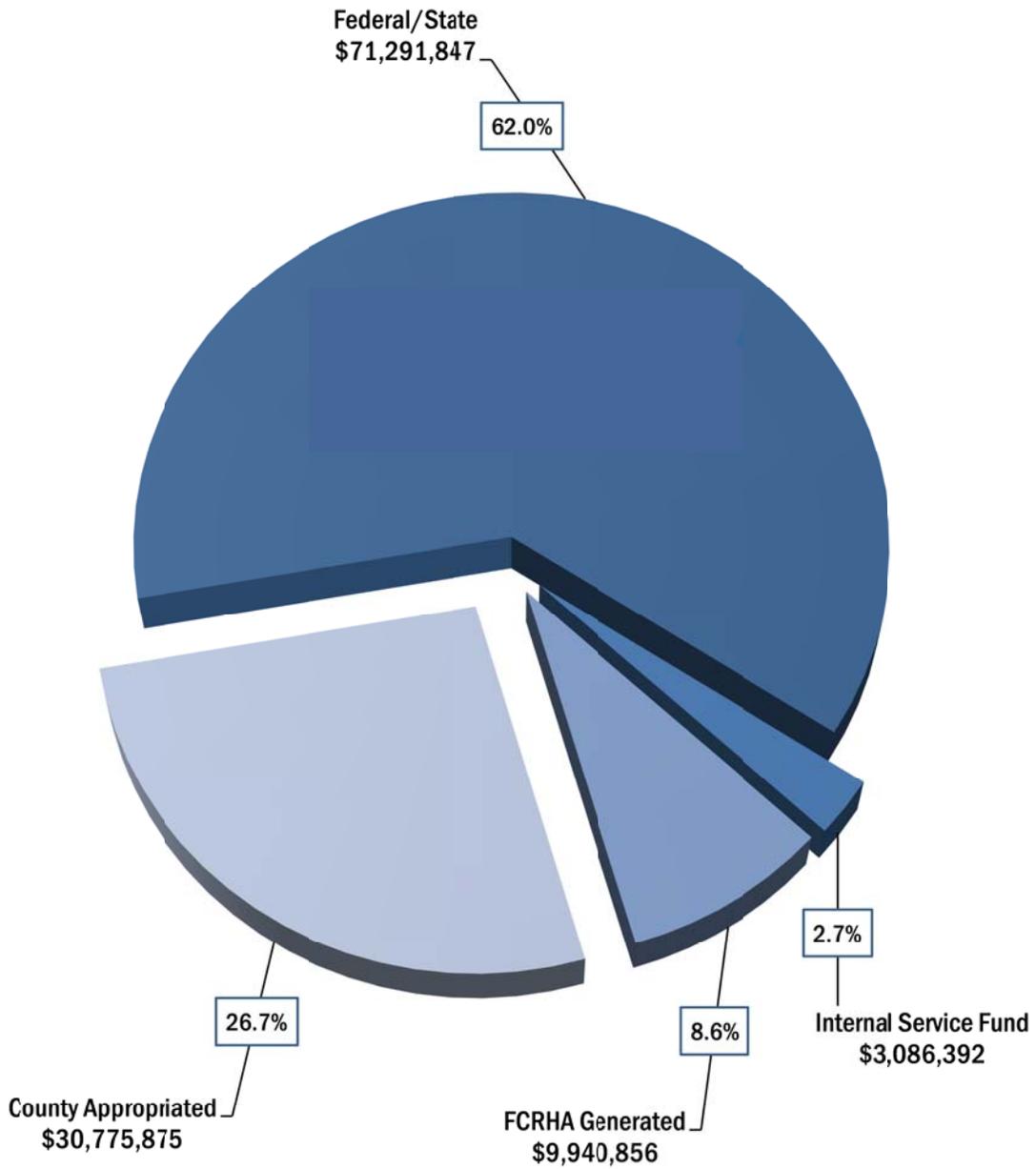
Housing and Community Development Program Overview

HOUSING PROGRAMS FY 2014 SOURCE OF FUNDS



Housing and Community Development Program Overview

HOUSING PROGRAMS FY 2014 EXPENDITURES



TOTAL EXPENDITURES = \$115,094,970

Housing and Community Development Program Overview

Budget and Staff Resources

Program Area Summary by Fund

Category	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
FUNDING				
<u>County Appropriated Funds:</u>				
Operating:				
Department of Housing and Community Development	\$5,227,106	\$5,687,809	\$5,710,886	\$6,230,225
40330 Elderly Housing Programs	3,998,581	4,219,074	4,744,434	3,321,887
40360 Homeowner and Business Loan Programs	2,163,674	3,910,249	11,813,027	2,431,943
Total Operating Expenditures	\$11,389,361	\$13,817,132	\$22,268,347	\$11,984,055
Capital:				
30300 The Penny for Affordable Housing	\$9,845,605	\$16,502,150	\$36,276,889	\$18,298,400
30310 Housing Assistance Program	569,549	0	7,170,788	0
40300 Housing Trust	80,499	451,361	6,820,503	493,420
Total Capital Expenditures	\$10,495,653	\$16,953,511	\$50,268,180	\$18,791,820
Total County Appropriated Fund Expenditures	\$21,885,014	\$30,770,643	\$72,536,527	\$30,775,875
<u>Federal/State Support:</u>				
50800 Community Development Block Grant	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
50810 HOME Investment Partnerships Grant	2,914,671	2,383,767	8,747,006	1,405,283
81500 Housing Grants	229,834	0	242,849	0
81510 Housing Choice Voucher Program	51,949,205	50,924,700	53,712,050	54,952,190
81520 Public Housing Projects Under Management	9,419,325	9,799,772	10,195,075	10,520,150
81530 Public Housing Projects Under Modernization	1,068,896	0	2,924,905	0
Total Federal/State Support	\$74,177,842	\$68,526,668	\$87,045,829	\$71,291,847
<u>FCRHA Generated Funds:</u>				
81000 FCRHA General Operating	\$2,820,425	\$3,136,947	\$3,148,970	\$3,021,539
81020 Non-County Appropriated Rehabilitation Loan	0	1,000	1,000	1,000
81030 FCRHA Revolving Development	43,487	0	912,045	0
81050 FCRHA Private Financing	695,231	404,733	2,676,006	236,120
81060 FCRHA Internal Service	2,953,607	3,153,965	3,393,025	3,086,392
81100 Fairfax County Rental Program	4,948,740	4,918,325	4,581,898	4,902,519
81200 Housing Partnerships	1,690,841	1,753,865	2,008,813	1,779,678
Subtotal, FCRHA Funds	\$13,152,331	\$13,368,835	\$16,721,757	\$13,027,248
Less:				
81060 FCRHA Internal Service	(\$2,953,607)	(\$3,153,965)	(\$3,393,025)	(\$3,086,392)
Total, FCRHA Funds	\$10,198,724	\$10,214,870	\$13,328,732	\$9,940,856

Housing and Community Development Program Overview

Program Area Summary by Fund

Category	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Total, All Sources	\$109,215,187	\$112,666,146	\$176,304,113	\$115,094,970
Less:				
81060 FCRHA Internal Service	(\$2,953,607)	(\$3,153,965)	(\$3,393,025)	(\$3,086,392)
NET TOTAL, ALL SOURCES	\$106,261,580	\$109,512,181	\$172,911,088	\$112,008,578
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	113 / 113	112 / 112	113 / 113	114 / 114
Grant	118 / 118	118 / 118	118 / 118	118 / 118
Total Positions	231 / 231	230 / 230	231 / 231	232 / 232

Note: Fund 50800, Community Development Block Grant (CDBG), and Fund 50810, HOME Investment Partnership Grant (HOME), are federally-supported County Appropriated funds and have been reflected under the Federal/State Support Category. While the Board of Supervisors appropriates funding in these funds by project, the source of revenue is the federal government. The FY 2014 preliminary estimated federal funding for CDBG is \$4,414,224 and for HOME is \$1,405,283, and is based on the actual FY 2013 award. Preliminary estimates from HUD indicate there likely will be reductions from the FY 2013 funding award amount for FY 2014, and necessary project adjustments will be made as part of the *FY 2013 Carryover Review*.

<u>ORGANIZATIONAL MANAGEMENT AND DEVELOPMENT</u>	<u>RENTAL HOUSING PROPERTY MANAGEMENT</u>	<u>COMMUNITY / NEIGHBORHOOD IMPROVEMENT</u>
General Fund:	FCRP (cont.)	General Fund:
1 Director	2 Housing Services Specialists IV (1T)	1 Deputy Director
1 Deputy Director	3 Housing Services Specialists II	1 HCD Division Director
1 HCD Division Director	1 Chief, Bldg. Maintenance Section	1 Real Estate/Grant Mgr.
1 Finance Manager	1 Material Management Spec. III	1 Finance Manager
1 Financial Specialist IV	1 Electrician II	3 H/C Developers IV
1 Contract Analyst III	1 Engineering Technician II	1 Administrative Assistant IV
2 Accountants II	1 Plumber II	
2 Accountants I	3 General Bldg. Maint. Workers II	CDBG:
1 H/C Developer IV	2 General Bldg. Maint. Workers I	2 HCD Division Directors
1 Human Resources Generalist II	1 Administrative Assistant V (1T)	5 H/C Developers IV
1 Info. Tech. Prog. Mgr. I	1 Administrative Assistant IV	2 H/C Developers III
2 Network/Telecom. Analysts II	1 Administrative Assistant III	1 GIS Analyst II
4 Administrative Assistants IV	1 Human Services Assistant	1 Accountant III
4 Administrative Assistants III		1 Administrative Assistant V
	Housing Partnerships:	2 Administrative Assistants IV
FCRHA:	1 Housing Services Specialist III	1 Sr. Maintenance Supervisor
1 H/C Developer V	1 Housing Services Specialist II	2 Gen. Bldg. Maint. Workers I
1 H/C Developer IV	1 Housing Services Specialist I	
1 Housing Services Specialist III	1 HVAC II	AFFORDABLE HOUSING FINANCE
1 Information Officer II	2 Gen. Bldg. Maint. Workers II	General Fund
1 Financial Specialist IV	1 Plumber I	1 H/C Developer I
1 Financial Specialist III	1 Administrative Assistant III	
1 Accountant III	1 Administrative Assistant II	HOMEOWNERSHIP
1 Accountant II		CDBG
1 Administrative Assistant V	Public Housing :	1 H/C Developer V
2 Administrative Assistants IV	1 HCD Division Director	1 Housing Services Specialist IV
1 Administrative Assistant III	1 H/C Developer V	3 Housing Services Specialists II
2 Administrative Assistants II	1 Management Analyst I	1 Administrative Assistant IV
1 GIS Analyst II	1 Human Svcs. Coordinator II	
	2 Hsg. Services Specialists V	FCRHA
RENTAL HOUSING PROPERTY MANAGEMENT	2 Hsg. Services Specialists III	1 H/C Developer V
General Fund:	12 Hsg. Services Specialists II	3 H/C Developers III
2 HCD Division Directors	3 Hsg. Services Specialists I	1 H/C Developer II
1 Housing Services Specialist V	1 Financial Specialist IV	
1 H/C Developer IV	1 Accountant III	
1 H/C Developer II	1 Trades Supervisor	
1 Material Mgmt. Supervisor	4 HVACs I	
1 Administrative Associate	6 Gen. Bldg. Maint. Workers II	
2 Administrative Assistants IV	4 Gen. Bldg. Maint. Workers I	
2 Administrative Assistants III	1 Locksmith II	
	2 Plumbers II	
	1 Administrative Assistant IV	
	3 Administrative Assistants III	
	1 Administrative Assistant II	

Housing and Community Development Program Overview

<p>Elderly Housing Programs:</p> <ul style="list-style-type: none"> 1 Director of Senior Housing 1 Housing Services Spec. V 0 Housing Services Specs. IV (-1T) 1 Housing Services Spec. III 1 Housing Services Spec. II 1 Housing Services Spec. I 1 Sr. Mech. Sys. Supervisor 1 Trades Supervisor 1 Electrician II 3 Facility Attendants II 1 General Bldg. Maint. Worker I 1 Maintenance Trade Helper II 0 Administrative Assts. V (-1T) <p>FCRHA:</p> <ul style="list-style-type: none"> 1 Hsg. Services Specialist IV 1 Asst. Supr. Facilities Support <p>FCRP:</p> <ul style="list-style-type: none"> 1 Chief Accounting Fiscal Officer 1 H/C Developer V 1 H/C Developer II 1 Housing Manager 	<p>Public Hsg./Mod:</p> <ul style="list-style-type: none"> 1 H/C Developer V 2 H/C Developers III 	<p><u>AFFORDABLE RENTAL HOUSING SUBSIDIES</u></p> <p>Hsg. Choice Voucher :</p> <ul style="list-style-type: none"> 3 Hsg. Services Specialists V 5 Hsg. Services Specialists III 24 Hsg. Services Specialists II 1 Network/Telecom. Analyst III 1 Accountant II 1 Administrative Assistant IV 2 Administrative Assistants III 1 Administrative Assistant II 1 Human Services Assistant <p><u>GRANTS MANAGEMENT</u></p> <p>FCRHA</p> <ul style="list-style-type: none"> 1 H/C Developer V 1 Management Analyst III 1 Hsg. Services Specialist IV (1) <p>Housing Grants :</p> <ul style="list-style-type: none"> 2 Hsg. Services Specialists III 1 Hsg. Services Specialist II 1 Housing Comm. Dev. III <p>HOME</p> <ul style="list-style-type: none"> 1 H/C Developer IV 1 Hsg. Services Specialist II
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TOTAL POSITIONS

114 Regular Positions (1) / 114.0 Regular FTE (1.0)

118/118.0 FTE Grant Positions in Funds 50800, 50810, 81500, 81510, 81520, and 81530

(T) Denotes Transferred Position

() Denotes New Position

Housing Fund Structure

In many cases HCD service areas span multiple elements of the fund structure which follows. For example, the General Fund and the FCRHA Operating staff support most of the activities of the Department.

◆ **County General Fund**

▪ **Fund 10001, General Operating**

This fund supports positions in Agency 38, HCD, and provides limited support for expenses such as administrative and maintenance staff costs, as well as a portion of condominium fees for certain FCRHA-owned units, limited partnership real estate taxes, and building maintenance. For FY 2013, the portion of condominium fees paid from the General Fund were reduced by 39 percent.

◆ **FCRHA General Operating**

▪ **Fund 81000, FCRHA General Operating**

This fund includes all FCRHA revenues generated by rental income, financing fees earned from issuance of bonds, monitoring and service fees charged to developers, investment income, project reimbursements, consultant fees, and ground rents on land leased to developers. Revenues support operating expenses for the administration of the private activity bonds, Home Improvement Loan Program (HILP) loan processing staff and other administrative costs, which crosscut many of the housing programs.

Housing and Community Development Program Overview

- ◆ Local Rental Housing Program
 - Fund 81100, Fairfax County Rental Program (FCRP)

Fund 81100 covers the operation of housing developments that are owned or managed by the FCRHA, other than federally-assisted public housing and certain County-supported rental housing. This includes operating costs for the FCRP units, the Woodley Hills Estates manufactured housing development, and projects regulated by the Virginia Housing Development Authority, including group homes for the physically disabled and developmentally disabled. These latter units are owned and maintained by FCRHA; however, programs for the residents are administered by the Fairfax-Falls Church Community Services Board.
 - Fund 81200, FCRHA Housing Partnerships

Fund 81200 was established in FY 2002 to budget and account for revenue and expenditures related to the housing developments owned by partnerships between FCRHA and private investors. Financial records for these partnerships are maintained separately, outside the County financial systems, in order to meet accounting and reporting requirements, but are included in the consolidated audit. However, HCD provides staff support to some of these developments and procures goods and services on behalf of these partnerships which are reflected in Fund 81200. Previously, these items were included in Fund 81100.
- ◆ Federal Section 8 Rental Assistance
 - Fund 81510, Housing Choice Voucher Program

The Housing Choice Voucher program is a federal housing rental assistance program for lower income families to assist them in leasing housing in the private marketplace. A portion of rent payments is provided by HUD, through HCD, and is calculated under various formulas, incorporating family income and the fair market rent for various types of housing in the Washington Metropolitan Area. The FCRHA administers the program, providing rental vouchers to eligible participants and rental subsidies to certain housing developments.
- ◆ Public Housing Program
 - Fund 81520, Public Housing, Projects Under Management
 - Fund 81530, Public Housing, Projects Under Modernization

These funds represent the Federal Public Housing Program that supports the operation, modernization, or acquisition of rental housing to be owned and operated by local housing authorities such as the FCRHA. The Public Housing Program had been divided into two separate components: projects in operation and modernization of existing Public Housing facilities. Under the program qualifications for Public Housing, units are leased to low-income tenants, and tenants pay no more than 30 percent of adjusted income toward dwelling rent or a minimum of \$50 per month.
- ◆ Special Revenue Funds
 - Fund 40300, Housing Trust Fund
 - Fund 40330, Elderly Housing Programs
 - Fund 40360, Homeowner and Business Loan Programs
 - Fund 50800, Community Development Block Grant (CDBG)
 - Fund 50810, HOME Investment Partnership Grant (HOME)
 - Fund 81020, Non-County Appropriated Rehabilitation Loan Program

These funds include housing programs which have a variety of sources of revenue, including rental income, federal/state support, bank funds, or proffered contributions. Fund 40300 utilizes proffered contributions from private developers, County contributions, and investment earnings to encourage the preservation, development, and redevelopment of affordable housing by the

Housing and Community Development Program Overview

FCRHA, non-profit sponsors, and the private sector. Elderly Housing Programs in Fund 40330 provide for the operation of FCRHA-owned affordable housing for the low- and moderate-income elderly of the County. The Homeowner and Business Loan Programs in Fund 40360 support homeowner assistance, such as the Moderate Income Direct Sales Program, which aids homeowners in the purchase of homes, as well as a federal grant aimed at providing loans to small and minority businesses. The CDBG program in Fund 50800 is a federal grant that is used to conserve and upgrade neighborhoods through the provision of public facilities, support for community services and stimulation of development of low- and moderate-income housing. The HOME program in Fund 50810 is a federal grant program that supports provision of affordable housing through acquisition, rehabilitation, new construction, and tenant-based rental assistance. Fund 81200, Non-County Appropriated Rehabilitation Loan Fund, represents funds raised from private sources for the rehabilitation and upgrading of housing, and works in conjunction with County-appropriated funds in the CDBG and the Homeowner and Business Loan Program funds.

◆ Capital Projects

- Fund 30300, The Penny for Affordable Housing Fund
- Fund 30310, Housing Assistance Program

These funds provide County support for both affordable housing and limited community revitalization capital projects. Fund 30300, The Penny for Affordable Housing Fund, is designed to provide funds to quickly and significantly impact the availability of affordable housing in the County within established criteria. In FY 2011, HCD established the Bridging Affordability program. It was conceived during the development of the Housing Blueprint, and was intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including persons with special needs, including those with disabilities. Per the draft FY 2014 Housing Blueprint, it is anticipated that approximately 100 homeless individuals and families and persons with special needs will be served using Bridging Affordability funding. Consistent with the Board's direction in the Housing Blueprint, the Bridging Affordability Program is administered by HCD with specific grants made to one or more of the County's non-profit partners. HCD will continue to provide program compliance, inspect units and administer the contracts with the non-profit partners. As designated by the Housing Blueprint, a portion of the operations revenue at the County-owned Wedgewood property will be used to fund the program, including two merit positions that will support the program. Fund 30310, Housing Assistance Program, supports countywide residential improvement and repair projects, including staff resources, marketing, consultant services and capitalized projects.

◆ FCRHA Development Support

- Fund 81030, FCRHA Revolving Development
- Fund 81050, FCRHA Private Financing

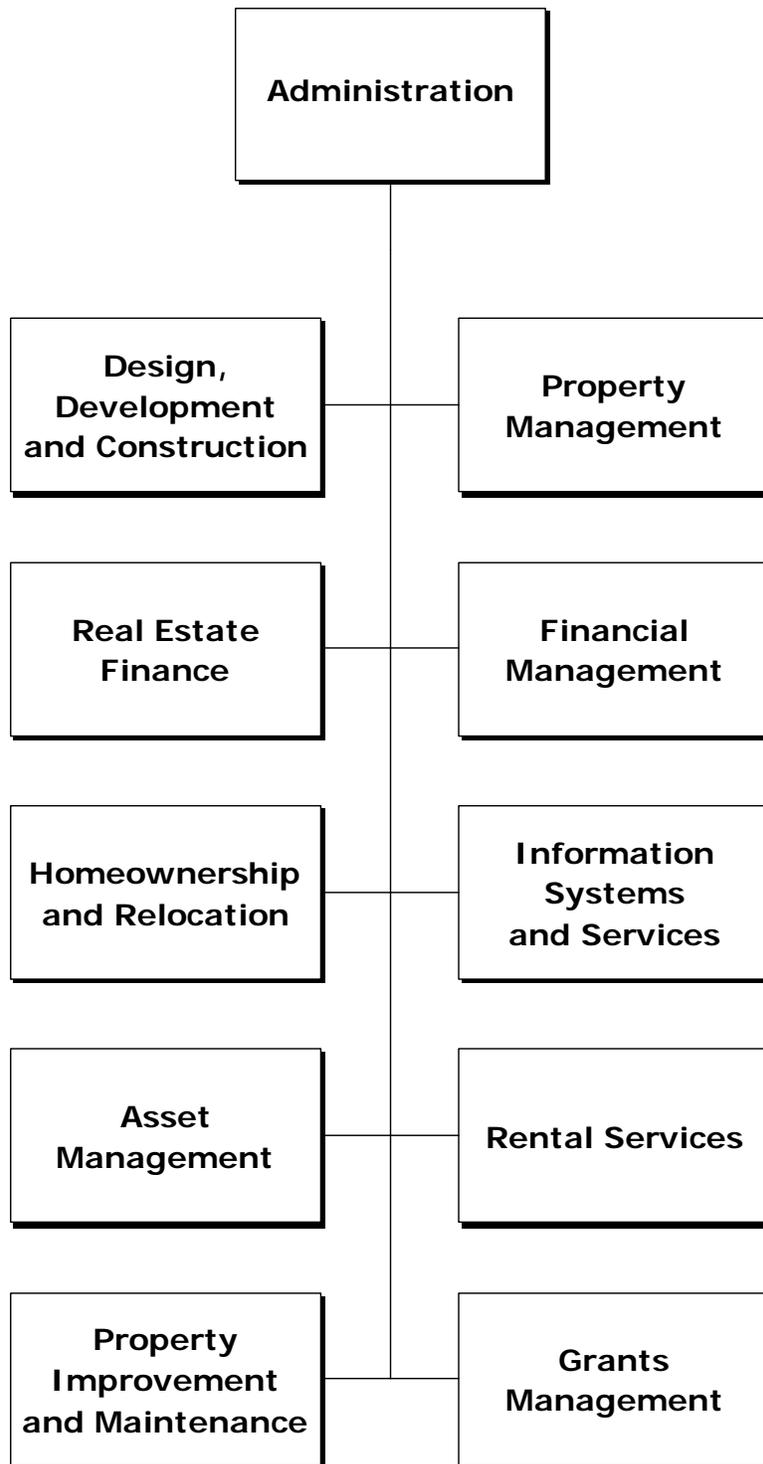
Fund 81030 provides development support for proposed new FCRHA projects and provides temporary advances for architectural and engineering plans, studies, or fees for which federal, state, County, or private funds will reimburse the FCRHA at a later date. Funding capital improvement projects for existing FCRP units is also provided. Fund 81050, FCRHA Private Financing, is used to budget and report costs for two types of funds: those borrowed by the FCRHA from private lenders and other sources, and funds for FCRHA projects which are generated through the sale of FCRHA bonds.

Housing and Community Development Program Overview

- ◆ FCRHA Internal Service Fund
 - Fund 81060, FCRHA Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying, insurance, and audits which have been budgeted and paid from one of the FCRHA's funds and then allocated to the other funds proportionate to their share of the costs. This fund also includes costs associated with the maintenance and operation of FCRHA housing development, such as service contracts for extermination, custodial work, elevator maintenance, and grounds maintenance. The fund allows one contract to be established for goods and services, as opposed to multiple contracts in various funds.

- ◆ FCRHA Grant Fund
 - Fund 81500, Housing Grants, was established in FY 2000 to administer grants awarded to the FCRHA. The grants currently in this fund are awarded by the U.S. Department of Housing and Urban Development (HUD), based on competitive applications for funding, and provide for rent subsidies, counseling services, support services, operating expenses, and property improvements. HCD established the PROGRESS Center in FY 2011 to focus on a number of critical areas including employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities for our program residents. The key to connecting FCRHA residents to these services and resources will be partnerships established with other county agencies. Such partnerships already exist but are in the process of being formalized. They include partnerships with the Northern Virginia Workforce Investment Board and its non-profit employment training and job placement arm—The SkillSource Group, Inc. (SkillSource) and partnerships with sister county agencies including the Fairfax County Department of Family Services (DFS) and the Fairfax-Falls Church Community Services Board (CSB). The federal ROSS (Residential Opportunity Self Sufficiency) Grant totaling \$480,000 will be used to support this program.

Department of Housing and Community Development



Department of Housing and Community Development

Mission

To provide the residents of the County with safe, decent and more affordable housing for low- and moderate-income households. In addition, the Department of Housing and Community Development seeks to preserve, upgrade and enhance existing neighborhoods through conservation and rehabilitation of housing, and through the provision of public facilities and services.

Focus

The Fairfax County Department of Housing and Community Development (HCD) provides housing opportunities for low- and moderate-income residents in Fairfax County and assists in the renovation and improvement of neighborhoods. HCD, which acts as staff to the Fairfax County Redevelopment and Housing Authority (FCRHA), supports, develops and administers a wide variety of FCRHA programs, including:

- ◆ Rental housing and tenant subsidies;
- ◆ Specialized housing;
- ◆ Loans for home ownership and home improvement;
- ◆ Affordable housing finance; and
- ◆ Community development.

County resources within the General Fund provide support for positions in Agency 38, Housing and Community Development. These positions coordinate the County's community development and improvement programs, support the development and operation of FCRHA-assisted housing, and provide critical support in financial management, computer network operations and policy planning.

The General Fund also supports the federal public housing and local rental programs by funding a portion of the administrative and maintenance staff costs, as well as condominium fees, limited partnership real estate taxes and building maintenance.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$3,476,986	\$4,336,485	\$4,336,485	\$4,389,153
Operating Expenses	1,991,370	1,863,824	1,886,901	2,353,572
Capital Equipment	0	0	0	0
Subtotal	\$5,468,356	\$6,200,309	\$6,223,386	\$6,742,725
Less:				
Recovered Costs	(\$241,250)	(\$512,500)	(\$512,500)	(\$512,500)
Total Expenditures	\$5,227,106	\$5,687,809	\$5,710,886	\$6,230,225
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	43 / 43	42 / 42	43 / 43	43 / 43

Department of Housing and Community Development

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$52,668**
 An increase of \$52,668 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.
- ◆ **Operating Expenses** **\$515,000**
 An increase of \$515,000 in Operating Expenses is the result of a shift of funding previously included as a General Fund transfer to Fund 30310, Housing Assistance Program. This funding provides support for countywide initiatives related to the Homeownership Program/Partnership for Resident Opportunities, Growth, Resources and Economic Self-Sufficiency (PROGRESS) Center; property financing; and project development within Fairfax County. As a result of the adjustment, there is no impact to the General Fund.
- ◆ **Reductions** **(\$25,252)**
 A decrease of \$25,252 reflects the following reduction utilized to balance the FY 2014 budget:

Title	Impact	Posn	FTE	Reduction
Eliminate General Fund Support for Refuse Disposal	The reduction of \$25,252 provided for General Fund refuse disposal support at various FCRHA rental properties can be absorbed within the non-appropriated Public Housing and Fairfax County Rental Program budgets.	0	0.00	\$25,252

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$23,077**
 As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$23,077 in encumbered carryover.
- ◆ **Position Adjustment** **\$0**
 Subsequent to the FY 2012 Carryover Review, a transfer of 1/1.0 FTE position from Agency 67, Department of Family Services to the Department of Housing and Community Development is associated with an interdepartmental realignment.

Department of Housing and Community Development

Cost Centers

Organizational Management & Development

Organizational Management and Development supports the core business areas of the FCRHA and HCD by providing financial management to the agency's various programs and responding to computer network requests from employees; answers public information requests from citizens, departments and other interested individuals and groups; conducts data collection and analysis; and, provides administrative management of the department.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$2,162,627	\$3,039,053	\$2,345,118	\$2,374,768
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	24 / 24	23 / 23	23 / 23	23 / 23
1 Director		2 Accountants II	4 Administrative Assistants IV	
1 Deputy Director		2 Accountants I	4 Administrative Assistants III	
1 HCD Division Director		1 Housing/Community Developer IV		
1 Finance Manager		1 Human Resources Generalist II		
1 Financial Specialist IV		1 Info. Tech. Program Manager I		
1 Contract Analyst III		2 Network/Telecom Analysts II		
TOTAL POSITIONS				
23 Positions/ 23.0 FTE				

Rental Housing Property Management

Rental Housing Property Management provides services to manage and maintain affordable housing that is decent, safe and sanitary for eligible families; to maintain FCRHA housing in accordance with community standards; and, to provide homeownership opportunities to eligible households. The division also provides asset management services and rental assistance.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$2,358,980	\$1,674,241	\$2,391,252	\$2,867,993
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	10 / 10	10 / 10	11 / 11	11 / 11
2 HCD Division Directors		1 Material Management Supervisor	2 Administrative Assistants IV	
1 Housing Services Specialist V		1 Housing/Community Developer IV	2 Administrative Assistants III	
		1 Housing/Community Developer II	1 Administrative Associate	
TOTAL POSITIONS				
11 Positions / 11.0 FTE				

Department of Housing and Community Development

Affordable Housing Finance

Affordable Housing Finance plans, implements and maintains community-based and department-based support services designed to improve the quality of life for residents in low- and moderate-income communities, and provides financial services in order to facilitate the preservation and development of affordable housing. The division also provides financing services to the FCRHA, non-profits and other affordable housing providers; ensures compliance with federal laws; and, provides economic opportunities to low- and moderate-income residents.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	(\$10,163)	\$208,062	\$208,063	\$211,549
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	1 / 1	1 / 1	1 / 1	1 / 1
1 Housing/Community Developer I				
TOTAL POSITIONS				
1 Position / 1.0 FTE				

Community/Neighborhood Improvement

Community Neighborhood Improvement addresses current program needs associated with countywide residential improvement and repair projects within the Department of Housing and Community Development, home repair programs for the elderly, and the development of FCRHA properties.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$715,662	\$766,453	\$766,453	\$775,915
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	8 / 8	8 / 8	8 / 8	8 / 8
1 Deputy Director	1 Finance Manager	1 Administrative Assistant IV		
1 HCD Division Director	3 Housing/Community Developers IV			
1 Real Estate/Grant Manager				
TOTAL POSITIONS				
8 Positions / 8.0 FTE				

Fund 30300

The Penny for Affordable Housing Fund

Focus

Fund 30300 (formerly Fund 319), The Penny for Affordable Housing Fund, formerly known as the Housing Flexibility Fund, was established in FY 2006 and is designed to serve as a readily available local funding source with the flexibility to address emerging local affordable housing needs. For fiscal years 2006 through 2009, the Board of Supervisors dedicated revenue commensurate with the value of one cent from the Real Estate tax rate to the Preservation of Affordable Housing, a major County priority. In FY 2010, the Board of Supervisors reduced The Penny for Affordable Housing Fund by 50 percent in order to balance the FY 2010 budget. From FY 2006 through FY 2013, the fund has provided a total of \$136.1 million for affordable housing in Fairfax County; a total of \$18.3 million is provided in FY 2014.

Between FY 2000 and FY 2007, the mean assessed value of residential property in Fairfax County rose over 180 percent. The national financial crisis precipitated declines in the County's mean assessed housing value over the next four years. The County has seen modest rises in values from FY 2012 through FY 2014. While the FY 2014 value is below the FY 2007 peak, the mean assessed value in FY 2014 is equivalent to that of FY 2006 and approximately 138 percent higher than FY 2000. According to the United States Department of Housing and Urban Development (HUD), Fairfax County remains a high cost area for homeownership.

Fairfax County also remains a high cost area for rental housing. Between 2002 and 2010, Fairfax County lost approximately 8,051 non-subsidized rental units affordable to households earning up to 70 percent of the Area Median Income (AMI), or \$72,450 for a family of four in FY 2010. The percentage of rental units affordable at 70 percent of AMI fell from 75 percent in 2002 to 56 percent in 2008, and remained at 56 percent in 2010. The AMI for Fairfax County in FY 2013, as published by HUD, is \$107,300. The annual income needed to afford a two bedroom apartment at the HUD-published fair market rate of \$1,506 per month was estimated to be \$60,240 in FY 2012. This is over 50 percent of the AMI for a family of four, meaning that there are many working families for whom living in Fairfax County is a significant financial struggle.

According to the Virginia Tech Center for Housing Research, the total current affordable housing gap for low- and moderate-income renters in Fairfax County (those earning 80 percent of the AMI and below) is approximately 28,405 units. Based on job growth and housing data prepared by the Center for Regional Analysis at George Mason University, and assuming that 65 percent of new workers will be housed in the County, it is estimated that there is a need for at least 50,206 net new affordable units for households earning up to \$124,000 per year (slightly over 115 percent of the AMI) by 2030. Taken together, this represents a need for nearly 79,000 units of affordable workforce housing in Fairfax County within the next 17 years.

Fund 30300 represents the County's financial commitment to preserving and creating affordable housing opportunities by dedicating a portion of its revenue specifically for affordable and workforce housing. To maximize the effectiveness of these funds, the Board of Supervisors recommended a minimum leverage ratio of 3:1 with non-County funds and that units funded by Fund 30300 remain affordable at a minimum for a period of time consistent with the County's Affordable Dwelling Unit Ordinance, which was amended to be 30 years effective February 2006.

Over the past years, a total of 2,470 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,218 units are preserved for 20 years or longer. A variety of funding sources were used to preserve these units; however, Fund 30300 funds were

Fund 30300

The Penny for Affordable Housing Fund

critical for the preservation efforts associated with five large multifamily complexes that were purchased by private nonprofits and which represent a significant portion of the units preserved: 216 units in Madison Ridge in Centreville (Sully District), 148 units in Hollybrooke II and III in the Seven Corners area of Falls Church (Mason District), 90 units in Sunset Park Apartments in Falls Church (Mason District), 319 units in Janna Lee Villages in the Hybla Valley area (Lee District) and 105 units in Coralain Gardens located on Arlington Boulevard (Route 50) in Falls Church (Mason District). Fund 30300 was also instrumental in preserving two large complexes: 180 units at the Crescent apartment complex in Reston (Hunter Mill District) and 672 units at the Wedgewood Apartments complex in Annandale (Braddock District). These projects were purchased by the County and are being managed by the Fairfax County Redevelopment and Housing Authority as part of the low- and moderate-income rental program. Without the availability of Fund 30300, both of these apartment complexes may have been lost as affordable housing.

In the past, the Board of Supervisors has used the flexibility of Fund 30300 to address emerging local affordable housing opportunities and needs other than preservation. In FY 2009, the fund provided \$6.3 million for the construction of 90 units of affordable active senior living at Olley Glen (Braddock District). The fund also provided \$0.8 million in FY 2009 to support the Silver Lining Initiative, which provides below-market second trusts to income-qualified Fairfax County first-time homebuyers purchasing foreclosed homes. Most recently, Fund 30300 has been used to provide funding for rental subsidies via the Bridging Affordability program.

During its retreat in June 2009, the Board of Supervisors reaffirmed the County's commitment to affordable housing and discussed the use of affordable housing resources in future fiscal years. In response to these discussions, the "Housing Blueprint" was adopted by the Board in 2010. The Blueprint was a collaborative effort among County agencies, non-profits and advocates and laid out the priorities for housing, including four principal goals: 1) To end homelessness in 10 years; 2) To provide affordable housing options to those with special needs; 3) To reduce the waiting lists for affordable housing by half in 10 years; and 4) To produce workforce housing sufficient to accommodate projected job growth. Please note that December 2012, the Fairfax County Redevelopment and Housing Authority (FCRHA) was notified by the U.S. Department of Housing and Urban Development (HUD) that it has been designated as a "Moving to Work" (MTW) agency. As a result of the changes associated with the MTW designation, the Board of Supervisors will be asked to consider updating the Housing Blueprint's waiting list goal for FY 2014 to focus more broadly on meeting the needs of low-income working families, inclusive of those on the FCRHA's waiting lists. The effort also supports the shift of emphasis from preserving affordable housing to: 1) providing housing for those in greatest need, 2) partnering with non-profits, 3) refocusing existing resources, 4) bridging the affordability gap, 5) completing projects in the pipeline and 6) promoting workforce housing through land use policy and private sector partnerships.

The collaborative process that resulted in the Blueprint also helped to create specific FY 2011 metrics for each of the four overarching Blueprint goals. In addition to refocusing existing resources and other efforts, the FY 2011 Blueprint metrics called for the creation of a locally-funded "Bridging Affordability" program to address the homelessness and waiting list goals. The Board subsequently provided, as part of the FY 2011 budget process, a total of \$4.1 million in project revenue from the County-owned Wedgewood Apartments complex for the Bridging Affordability program. The program commenced in June 2011 with the selection of a coalition of nine non-profits to operate the program.

Fund 30300

The Penny for Affordable Housing Fund

The Bridging Affordability program is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the FCRHA, the Fairfax-Falls Church Community Services Board, the Office to End and Prevent Homelessness and the homeless shelters. Through a competitive request for proposals (RFP) process in FY 2011, an award of \$3.8 million was made to Northern Virginia Family Service (NVFS) to provide long-term rental subsidies to up to 300 households and those on the County's waiting lists over a three-year period. In FY 2012 the Board of Supervisors approved \$4,318,400 in funding for Bridging Affordability and these funds are also serving homeless individuals and families and households on the County's waiting lists. HCD provides program compliance, inspects units and administers the contracts with non-profit partners. As designated by the Housing Blueprint, a portion of the operations revenue at the County-owned Wedgewood property is being used to fund two merit positions that support this program.

In FY 2014, Fund 30300 funding of \$18,298,400 is comprised of \$10,330,000 in Real Estate Tax Revenue, \$5,218,400 in operating revenue from the Wedgewood and Crescent Apartments, and \$2,750,000 in Affordable Housing Partnership Program loan repayments which includes a one-time Olley Glen repayment of approximately \$2.5 million. FY 2014 funding is allocated as follows: \$5,750,963 for Wedgewood for the annual debt service, \$4,318,400 to fund the Bridging Affordability Program portion of the Housing Blueprint, \$3,349,000 for Crescent Apartments for the annual debt service, \$1,300,000 for Community Challenge, and \$255,000 for the Affordable/Workforce Housing. Additionally, \$3,325,037 in funding is identified for the FY 2014 Blueprint project, which could be combined with \$1,674,963 in anticipated available funding from the repayments to Fund 30300 as part of the *FY 2013 Carryover Review*.

It should be noted that for Crescent Apartments, the County is reviewing Requests for Proposals (RFP) seeking redevelopment of the Crescent property. However, this process is not expected to be completed by March 1, 2013, when the payment for the outstanding principal of \$26.73 million for the five-year Bond Anticipation Note (BAN) becomes due. On February 5, 2013, the County rolled the BAN (Series 2013) for an additional two year period. The two year term is designed to provide staff adequate time to complete the selection process and the follow on negotiations that would lead to Board approval of the redevelopment plan. As a result, the FY 2014 debt service amount remains level at \$3,349,000 to continue paying down outstanding principal on the BAN. In FY 2015, the Series 2013 BAN will be paid off with a permanent financing plan.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$19,774,739**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$19,774,739 associated with the carryover of \$19,246,679 in unexpended project balances and the appropriation of \$528,060 in FY 2012 program income.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30300

The Penny for Affordable Housing Fund

FUND STATEMENT

Fund 30300, The Penny for Affordable Housing Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$9,423,884	\$0	\$19,774,739	\$0
Revenue:				
Real Estate Tax Revenue Associated with The Penny for Affordable Housing	\$9,650,000	\$9,975,000	\$9,975,000	\$10,330,000
Miscellaneous ¹	10,546,460	5,468,400	5,468,400	7,968,400
Total Revenue	\$20,196,460	\$15,443,400	\$15,443,400	\$18,298,400
Transfer In:				
General Fund (10001)	\$0	\$1,058,750	\$1,058,750	\$0
Total Transfers In	\$0	\$1,058,750	\$1,058,750	\$0
Total Available	\$29,620,344	\$16,502,150	\$36,276,889	\$18,298,400
Total Expenditures	\$9,845,605	\$16,502,150	\$36,276,889	\$18,298,400
Total Disbursements	\$9,845,605	\$16,502,150	\$36,276,889	\$18,298,400
Ending Balance²	\$19,774,739	\$0	\$0	\$0

¹ Miscellaneous revenue includes contributions from operations of the Crescent Apartment complex and the Wedgewood Apartment complex. The anticipated amounts to be received are \$900,000 and \$4,318,400 respectively. In addition, an estimated Affordable Housing Partnership Program loan repayment of \$2,750,000 has been projected including a one-time Olley Glen repayment of approximately \$2.5 million.

² Capital projects are budgeted based on the total project costs. Many projects span multiple years, and therefore, funding for those projects are carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30300

The Penny for Affordable Housing Fund

FY 2014 Summary of Capital Projects

Fund 30300, The Penny for Affordable Housing

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2H38-072-000	Affordable/Workforce Housing		\$247,150.00	\$249,374.78	\$255,000
2H38-075-000	Crescent Apartments-Debt Service		3,336,113.32	4,411,639.56	3,349,000
2H38-081-000	Wedgewood		5,754,062.51	2,348,988.29	0
2H38-082-000	Wedgewood-Debt Service		0.00	5,753,063.00	5,750,963
2H38-083-000	Silver Lining Initiative		0.00	141,902.00	0
2H38-084-000	Bridging Affordability Program		508,279.50	12,071,920.50	4,318,400
2H38-150-000	Wedgewood Renovation	1,500,000	0.00	1,500,000.00	0
2H38-180-000	Blueprint		0.00	7,000,000.37	3,325,037
2H38-181-000	Matching Grants to Non-Profits		0.00	1,500,000.00	0
2H38-182-000	Community Challenge		0.00	1,300,000.00	1,300,000
Total		\$1,500,000	\$9,845,605.33	\$36,276,888.50	\$18,298,400

Fund 30310

Housing Assistance Program

Focus

Fund 30310 (formerly Fund 340), Housing Assistance Program has been a source of funds for the development of low- and moderate-income housing and support of public improvement projects in low- and moderate-income neighborhoods. In addition, proceeds from the U.S. Department of Housing and Urban Development (HUD) Section 108 Loan provide for public improvement projects in five of the County's Conservation Areas: Bailey's, Fairhaven, Gum Springs, James Lee and Jefferson Manor.

No FY 2014 funding is included for Fund 30310. The General Fund transfer support has been redirected to Agency 38, Department of Housing and Community Development to better align funding with services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$7,170,788**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$7,170,788 due to the carryover of unexpended project balances in the amount of \$7,157,621 and the appropriation of \$13,167 associated with the reconciliation of previously authorized but unissued bond proceeds for the Woodley-Nightingale mobile home park.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 30310

Housing Assistance Program

FUND STATEMENT

Fund 30310, Housing Assistance Program

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$2,564,708	\$22,599	\$2,510,159	\$22,599
Revenue:				
Bond Proceeds ¹	\$0	\$0	\$4,370,000	\$0
Grant Proceeds	0	0	6,299	0
Section 108 Proceeds	0	0	306,929	0
Total Revenue	\$0	\$0	\$4,683,228	\$0
Transfer In:				
General Fund (10001)	\$515,000	\$0	\$0	\$0
Total Transfer In	\$515,000	\$0	\$0	\$0
Total Available	\$3,079,708	\$22,599	\$7,193,387	\$22,599
Expenditures:				
Capital Projects	\$569,549	\$0	\$7,170,788	\$0
Total Expenditures	\$569,549	\$0	\$7,170,788	\$0
Total Disbursements	\$569,549	\$0	\$7,170,788	\$0
Ending Balance²	\$2,510,159	\$22,599	\$22,599	\$22,599

¹ It should be noted that in the Fall of 1988 a Commercial and Development Bond Referendum was approved, of which \$9.7 million was designated for the redevelopment of the Woodley-Nightingale mobile home park. The amount of \$4,370,000 represents the authorized but unissued bond proceeds for this project.

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 30310

Housing Assistance Program

FY 2014 Summary of Capital Projects

Fund 30310, Housing Assistance Program

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2H38-085-000	Woodley Hills Estate	\$3,364,417	\$43,384.22	\$2,304,096.48	\$0
2H38-086-000	Emergency Housing	578,448	10,908.91	85,509.67	0
2H38-087-000	Bailey's Road Improvements	298,604	0.00	45,824.49	0
2H38-088-000	Fairhaven Public Improvements-Sec 108	187,642	0.00	87,159.06	0
2H38-089-000	Fairhaven Public Improvements-County	1,600,754	0.00	308,824.63	0
2H38-090-000	Gum Springs Public Improvements	8,561	0.00	5,517.35	0
2H38-092-000	James Lee Community Center	170,645	0.00	3,441.20	0
2H38-093-000	James Lee Road Improvement		0.00	14,268.99	0
2H38-094-000	James Lee Road Improvement-WPFO		0.00	1,699.05	0
2H38-095-000	James Lee Road Improvement-Sec 108	98,043	0.00	25,414.49	0
2H38-097-000	Jefferson Manor Public Improvements		0.00	786.01	0
2H38-098-000	Jefferson Manor Public Improvements-Sec 108	2,000,000	0.00	1,544,743.90	0
2H38-099-000	Jefferson Manor Public Improvements-County	6,209,671	0.00	222,418.01	0
2H38-100-000	108 Loan Issuance Costs		255.73	115,552.27	0
2H38-101-000	Commerical Revitalization Studies Program		0.00	1,871.78	0
2H38-102-000	Commerce Street Redevelopment	2,158,422	0.00	2,079,049.23	0
2H38-103-000	Richmond Highway Corridor	100,000	0.00	23,364.51	0
2H38-104-000	Bailey's SE Quadrant Towncenter Community Vision	75,000	0.00	367.13	0
2H38-105-000	Revitalization Field Services		0.00	5,476.24	0
2H38-106-000	Community Improvement Program Costs		515,000.00	180.00	0
2H38-107-000	Huntington Flood Insurance Program		0.00	295,224.00	0
Total		\$16,850,207	\$569,548.86	\$7,170,788.49	\$0

Fund 40300

Housing Trust Fund

Focus

Fund 40300 (formerly Fund 144), Housing Trust Fund, was created in FY 1990 to reflect the expenditures and revenues of funds earmarked to encourage and support the acquisition, preservation, development and redevelopment of affordable housing by the Fairfax County Redevelopment and Housing Authority (FCRHA), non-profit sponsors and private developers. The fund is intended to promote endeavors that will furnish housing to low- and moderate-income individuals in Fairfax County by providing low-cost debt and equity capital in the form of loans, grants and equity contributions. Only capitalized costs are eligible for funding from the Housing Trust Fund.

Under the criteria approved by the FCRHA and the Board of Supervisors for the Housing Trust Fund, highest priority is assigned to projects which enhance existing County and FCRHA programs, acquire, construct or preserve housing which will be maintained for lower income occupants over the long term, promote affordable housing and leverage private funds.

In FY 1996, the Board of Supervisors authorized the FCRHA to implement a pre-development fund as a component of the Housing Trust Fund.

On behalf of the County, the FCRHA administers the Housing Trust Fund, and on an on-going basis, accepts and reviews applications from non-profit corporations and private developers for contributions from this source. The FCRHA forwards its recommendations of projects to be funded to the Board of Supervisors based on this review. The FCRHA itself may submit proposals meeting the Housing Trust Fund criteria to the Board of Supervisors at any time for the Board's approval.

In FY 2014, revenues are estimated to be \$493,420, an increase of \$42,059 or 9.3 percent more than the FY 2013 Adopted Budget Plan. This increase is primarily attributable to higher proffered contributions based on an average of the past three years' actuals. FY 2014 expenditures of \$493,420 will be allocated to two projects, the Rehabilitation of FCRHA Properties and the Undesignated Housing Trust Fund, for reallocation to specific projects when identified and approved.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$6,369,142**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$6,369,142 associated with the carryover of unexpended project balances in the amount of \$4,761,357 and to appropriate additional program income of \$1,607,785 received in FY 2012.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 40300 Housing Trust Fund

FUND STATEMENT

Fund 40300, Housing Trust Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$4,722,102	\$229,060	\$6,598,202	\$229,060
Revenue:				
Proffered Contributions	\$1,351,838	\$372,340	\$372,340	\$404,560
Investment Income	441,208	12,311	12,311	19,574
Miscellaneous Revenue	163,553	66,710	66,710	69,286
Total Revenue	\$1,956,599	\$451,361	\$451,361	\$493,420
Total Available	\$6,678,701	\$680,421	\$7,049,563	\$722,480
Expenditures:				
Capital Projects	\$80,499	\$451,361	\$6,820,503	\$493,420
Total Expenditures	\$80,499	\$451,361	\$6,820,503	\$493,420
Total Disbursements	\$80,499	\$451,361	\$6,820,503	\$493,420
Ending Balance¹	\$6,598,202	\$229,060	\$229,060	\$229,060
Reserved Fund Balance ²	\$229,060	\$229,060	\$229,060	\$229,060
Unreserved Ending Balance	\$6,369,142	\$0	\$0	\$0

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

² The Reserved Fund Balance reflects revenue receivable to the Housing Trust Fund for interest owed by Reston Interfaith on an equity lien held by the FCRHA.

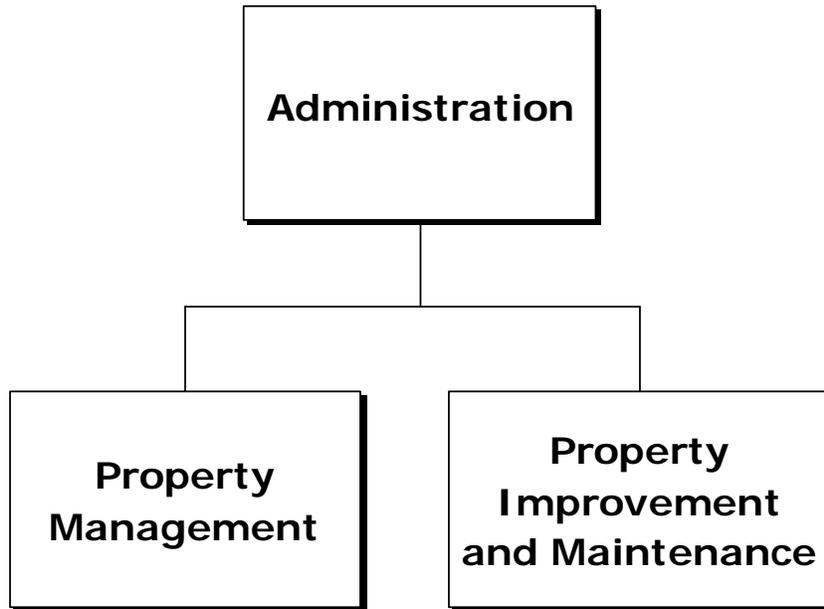
Fund 40300 Housing Trust Fund

FY 2014 Summary of Capital Projects

Fund 40300, Housing Trust

Project #	Description	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2H38-060-000	Undesignated Housing Trust Fund	\$0.00	\$515,000.00	\$293,420
2H38-061-000	Little River Glen II	0.00	4,698.05	0
2H38-064-000	Lewinsville Expansion Project	65,730.00	1,931,540.07	0
2H38-065-000	Reservation/Emergencies & Opportunities	0.00	150,131.48	0
2H38-066-000	Land/Unit Acquisition	0.00	1,675,671.21	0
2H38-067-000	Housing First: Hanley Transitional Housing	0.00	1,000,000.00	0
2H38-068-000	Rehabilitation of FCRHA Properties	0.00	884,213.98	200,000
2H38-070-000	Bond Release Projects	14,769.00	0.00	0
2H38-071-000	Mondloch House	0.00	328,543.40	0
2H38-148-000	James Lee Road Improvement	0.00	623.88	0
2H38-149-000	AHPP Tier III	0.00	200,000.00	0
2H38-153-000	Non-Profit Blueprint	0.00	130,081.00	0
Total		\$80,499.00	\$6,820,503.07	\$493,420

Fund 40330 Elderly Housing Programs



Mission

To manage affordable rental housing acquired by the FCRHA for the benefit of the elderly, and to maintain and preserve the units for long-term rental availability.

Focus

Fund 40330 (formerly Fund 141), Elderly Housing Programs, accounts for personnel, operating, and equipment costs related to the County's support of the operation of the three locally funded elderly housing developments owned or leased by the Fairfax County Redevelopment and Housing Authority (FCRHA). The three elderly housing developments funded in Fund 40330, Elderly Housing Programs, are: Lewinsville Senior Residences in McLean (Dranesville District), Little River Glen in Fairfax (Braddock District) and Lincolnia Senior Center and Residence in the Lincolnia area of the Mason District. Funding for five facilities, Gum Springs located in the Mount Vernon District, Morris Glen in the Lee District, Olley Glen in the Braddock District, Herndon Harbor House in the Dranesville District and Braddock Glen in Fairfax (Braddock District) are not presented in Fund 40330, Elderly Housing Programs. Although they are owned by a limited partnership of which the FCRHA is the managing general partner, the facilities are managed by private firms. The Housing and Community Development (HCD) staff administers the contracts between the FCRHA and the private firms hired to manage the facilities. Together, in FY 2014, these eight facilities will provide for 610 congregate housing units, four Adult Day Health Care Centers, a 52-bed Adult Care Residence, and a 60-unit assisted living facility that is affordable to low-income elderly.

In FY 2014, the operation of the Elderly Housing Programs will be supported in part with rental income, a state auxiliary grant for indigent care in the Adult Care Residence component at the Lincolnia Center, County support, and rental subsidy from the federally funded HOME program. The County's General Fund transfer of approximately \$1.85 million supports approximately 56 percent of expenditures in Fund 40330. The Gum Springs, Morris Glen, Olley Glen, Herndon Harbor and Braddock Glen facilities are self-supporting and do not require County General Fund support in Fund 40330.

Fund 40330

Elderly Housing Programs

Other costs related to the County's housing program at these sites, including the operating costs of senior centers, adult day care centers, and a congregate food program, are reflected in the agency budgets for the Department of Neighborhood and Community Services, the Health Department, Fund 50000, Federal/State Grant Fund, and capital project requirements are funded in Fund 20000, Consolidated Debt Service.

The elderly projects are briefly described below:

- Lewinsville Senior Residences is a housing facility in McLean (Dranesville District) comprised of 22 efficiency units and a congregate living area serving the residential needs of low-to-moderate income elderly. The Department of Housing and Community Development (HCD) manages the residential facility, reviews applications to determine eligibility requirements, and provides maintenance services. A congregate meal program is funded through Fund 50000, Federal/State Grant Fund. The FCRHA leases a portion of this facility for the elderly housing program from the County. In addition, the facility also houses a senior recreation program serving an average of 59 senior citizens, which is run by the County's Department of Neighborhood and Community Services, and an Adult Day Health Care program run by the Health Department serving an average of 28 senior citizens. FY 2014 funding provided in Fund 40330 for the operation of the elderly housing component of this facility is \$296,695.
- Little River Glen is a 120-unit facility which opened in the fall of 1990. It is spread over five buildings on an eight-acre site in the Braddock District and serves the residential needs of low-to-moderate income elderly. Four different models of one-bedroom units are available. The space is designed for senior citizens who are capable of living independently and desire to participate in social and recreational opportunities provided on-site. There is a Senior Center with lounges, recreation/activity rooms, and a commercial kitchen. The Department of Neighborhood and Community Services runs the Senior Center and Fund 50000, Federal/State Grant Fund, funds the congregate meal program. FY 2014 funding provided in Fund 40330 for the operation of this facility is \$1,813,092.
- Lincolnia Senior Center and Residence is a multi-purpose facility which opened in January 1990 in the Mason District in response to the residential needs of low-income and indigent elderly. It consists of two separate residential areas: a Congregate Residence of 26 units which provides independent living for senior citizens with limited means and a 52-bed Adult Care Residence for elderly residents who require assistance with the activities of daily living. The FCRHA leases the residential portion of this facility for the elderly housing program from the County. In FY 2013 the Lincolnia Center was transitioned to a third-party management company. Funding for a management contract in the amount of approximately \$1.4 million for the Lincolnia independent living and adult care program will cover the operational costs of the facility and the cost of care giving staffs that provide services 24 hours a day for the assisted living component of the Lincolnia facility. The Lincolnia Center also houses a Senior Center with recreation/activity rooms, a commercial kitchen, lounges, and an Adult Day Health Care Center. The Department of Neighborhood and Community Services administers the Senior Center and the Health Department staffs and operates the Adult Day Health Care Center. A congregate meal program is administered by the management company and funded by Fund 50000, Federal/State Grant Fund, for all program participants and residents. FY 2014 funding provided in Fund 40330 for the operation of this facility is \$1,212,100.

Fund 40330

Elderly Housing Programs

The elderly projects owned by the limited partnership are briefly described below:

- Gum Springs Glen is a 60-unit garden retirement community for independent seniors which opened in May 2003, in the Mount Vernon District. Gum Springs Glen consists of two two-story buildings with 30 apartments plus common space in each building. There are 56 one-bedroom residential apartments of approximately 425 square feet and four two-bedroom apartments with approximately 550 square feet. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income. In addition to the residential units, the lower level of Gum Springs Glen provides space for a Head Start program and training center which is operated by the Department of Family Services, Office for Children.
- Morris Glen is a 60-unit garden apartment community located in the Lee District in the Manchester Lakes Community. The facility was completed in December 1995 for moderate-income seniors capable of independent living. Morris Glen consists of two two-story residential buildings and a small community building. Four different models of one-bedroom units are available as well as a large amount of common area in each residential building. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income.
- Olley Glen is a new 90-unit active senior development on the FCRHA's Glens at Little River senior housing campus in the Braddock District. The total development cost for the project was \$24,037,988 including \$17,033,859 in non-county funds, as well as \$704,129 from the Housing Trust Fund and \$6,300,000 from The Penny for Affordable Housing Fund. The project was completed in October 2010. This property is owned as a partnership and is managed by the FCRHA and budgeted in Fund 81200, Housing Partnerships.
- Herndon Harbor House is an adult care community developed in three phases. Opened in October 1998, Herndon Harbor House I is a 60-unit community that includes two 30-unit residential buildings and is located in the Town of Herndon in the Dranesville District. The facility is managed and maintained by a private contractor with HCD staff serving as contract administrator. Expenditures are supported by rental income. Herndon Harbor House II includes an additional 60 units of congregate housing, for a total of 120 units, and an Adult Day Health Care Center, both of which were opened in FY 2001. Phase III is a Senior Center completed in May 2005.
- Braddock Glen is a 60-unit affordable, assisted living facility which opened in July 2006. This facility is managed and maintained by a private contractor and the Adult Day Health Care Center is operated by the Fairfax County Health Department. The senior recreation program which is conducted inside the facility is operated by a private contractor, and a congregate meal program is funded by Fund 50000, Federal/State Grant Fund.

Certain expenses reflected in this fund are not directly related to housing operations. The FCRHA, as landlord of these facilities, has inter-agency agreements, which provide for budgeting by HCD for common area expenses for utilities, telecommunications, maintenance, custodial services, and contracts. The facilities provide space for general community use, as well as for services provided by other County agencies.

Fund 40330

Elderly Housing Programs

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$1,103,980	\$1,102,737	\$1,102,737	\$942,580
Operating Expenses	2,894,601	3,116,337	3,641,697	2,379,307
Capital Equipment	0	0	0	0
Total Expenditures	\$3,998,581	\$4,219,074	\$4,744,434	\$3,321,887
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	15 / 15	15 / 15	15 / 15	13 / 13
RENTAL HOUSING PROPERTY MANAGEMENT				
1 Director of Senior Housing	1	Hsng. Services Specialist II	1	General Building Maintenance Worker I
1 Hsng. Services Specialist V	1	Hsng. Services Specialist I	0	Administrative Assistant V (-1T)
0 Hsng. Services Specialist IV (-1T)	1	Senior Mechanical Systems Supervisor	1	Maintenance Trade Helper II
1 Hsng. Services Specialist III	1	Trades Supervisor	3	Facility Attendants II
	1	Electrician II		
TOTAL POSITIONS				
13 Positions (-2T) / 13.0 (-2.0T) FTE				

(-T) Denotes Transferred Position

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$12,392**
 An increase of \$12,392 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.
- ◆ **Project-Based Budgeting Adjustments** **\$30,764**
 An increase of \$30,764 in Personnel Services is primarily associated with salary and fringe benefit adjustments based on project-based budgeting requirements.
- ◆ **Operating and Maintenance Costs** **(\$737,030)**
 A net decrease of \$737,030 in Operating Expenses is primarily associated with a reduction in third party contract costs for the Lincolnia facility, offset by an increase in expenses for contractual services and repair and maintenance based on prior year's actuals at the Little River Glen and Lewinsville properties.

Fund 40330 Elderly Housing Programs

◆ **Reductions** (\$203,313)

A decrease of \$203,313 and 2/2.0 FTE positions reflects the following reductions utilized to balance the FY 2014 budget:

Title	Impact	Posn	FTE	Reduction
Transfer Funding Source for a Filled Housing Services Specialist Position	Transfer a Housing Services Specialist IV position that provides central support for the Department of Housing and Community Development's (HCD) Homeownership Division, from Elderly Housing Programs to Fund 81100, Fairfax County Rental Program, a non-appropriated fund. The impact of changing the funding source for this position will better align the position's funding source with its function. The amount of the reduction includes salary and benefits.	1	1.00	\$132,131
Transfer Funding Source for a Filled Administrative Assistant V Position	Transfer an Administrative Assistant V position that provides central support for the Department of Housing and Community Development's (HCD) Property Management Division, from Elderly Housing Programs to Fund 81100, Fairfax County Rental Program, a non-appropriated fund. The impact of changing the funding source for this position will better align the position's funding source with its function. The amount of the reduction includes salary and benefits.	1	1.00	\$71,182

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

◆ **Carryover Adjustments** \$525,360

As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$525,360 due to encumbered carryover for building maintenance, management contract services and capital improvement expenditures.

Fund 40330 Elderly Housing Programs

FUND STATEMENT

Fund 40330, Elderly Housing Programs

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$1,593,103	\$1,079,147	\$1,945,858	\$1,586,997
Revenue:				
Rental Income	\$1,934,454	\$1,925,834	\$1,925,834	\$1,319,785
Miscellaneous Revenue	114,760	18,702	61,410	101,270
HOME Rental Assistance	297,939	355,032	355,032	154,805
Total Revenue	\$2,347,153	\$2,299,568	\$2,342,276	\$1,575,860
Transfer In:				
General Fund (10001)	\$2,004,183	\$2,043,297	\$2,043,297	\$1,852,376
Total Transfer In	\$2,004,183	\$2,043,297	\$2,043,297	\$1,852,376
Total Available	\$5,944,439	\$5,422,012	\$6,331,431	\$5,015,233
Expenditures:				
Personnel Services	\$1,103,980	\$1,102,737	\$1,102,737	\$942,580
Operating Expenses	2,894,601	3,116,337	3,641,697	2,379,307
Total Expenditures	\$3,998,581	\$4,219,074	\$4,744,434	\$3,321,887
Total Disbursements	\$3,998,581	\$4,219,074	\$4,744,434	\$3,321,887
Ending Balance¹	\$1,945,858	\$1,202,938	\$1,586,997	\$1,693,346
Replacement Reserve	\$1,945,858	\$1,202,938	\$1,586,997	\$1,693,346
Unreserved Ending Balance	\$0	\$0	\$0	\$0

¹Ending Balances fluctuate due to program adjustments, carryover of operating expenditures, audit adjustments and adjustments in the General Fund transfer.

Fund 40360

Homeowner and Business Loan Programs

Mission

The overall goals of the Homeowner and Business Loan Programs are to enhance the quality of life and economic base of the County by providing support for homeownership, to repair and upgrade existing housing, and to assist small and minority businesses. One focus is to provide a means and opportunity for low- and moderate-income households to become homeowners in the County through the First-Time Homebuyers Program operated by the FCRHA and offering units through the Moderate Income Direct Sales (MIDS) Program and Fairfax County's Affordable Dwelling Unit (ADU) Ordinance. The second focus is to provide affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement, resulting in the elimination of health and safety code violations, enhancing the quality and appearance of existing housing and retaining existing affordable housing. The third focus is to provide business assistance and counseling services as well as direct loans to qualified minority businesses.

Focus

Fund 40360 (formerly Fund 143), Homeowner and Business Loan Programs, is comprised of three programs designed to meet the agency mission as detailed below:

- ◆ The First-Time Homebuyers Program is designed to provide affordable homeownership opportunities for low- to moderate-income families who otherwise could not afford to purchase a home. The Moderate Income Direct Sales (MIDS) program as established in 1978, acquired or constructed units sold by the FCRHA to moderate income families, with second trust loans provided to make the loan amount affordable. The resale price of the unit is limited, and the FCRHA has the right of first refusal when the home is resold. Since 1993, the FCRHA has been marketing units that are provided under provisions of Fairfax County's Affordable Dwelling Unit Ordinance. These units also serve low- and moderate-income households who are first-time homebuyers earning at least \$25,000. Homes range in price from \$70,000 to \$180,000. Restrictive covenants apply that limit the sales price, and require owners to occupy the home. Homes purchased currently have a 30-year control period. The FCRHA has the right of repurchase or the right to assign the purchase to a new homebuyer. Applicants for both ADU units and MIDS units are required to participate in homeownership education classes and obtain a pre-conditional approval from a lender to participate in drawings to receive these homes.
- ◆ The Home Improvement Loan Program (HILP) provides loans to low- and moderate-income individuals to repair, modernize, or expand the living space for their families to help alleviate overcrowded conditions. Funds are also loaned to homeowners who are cited for health and housing code violations, and for replacement housing, if necessary. Grants are provided for low-income elderly or disabled residents through the Elderly Home Repair Program to make needed repairs and provide for handicapped accessibility, to prevent displacement, and to allow these individuals to live in safe and sanitary housing. County appropriated funds within Fund 40360, Homeowner and Business Loan Programs, are used in conjunction with federal funding in Fund 50800, Community Development Block Grant, to increase the assistance available to County residents.

Fund 40360

Homeowner and Business Loan Programs

- ◆ The Small and Minority Business Loan program was initiated in FY 1996, and Fund 40360, Homeowner and Business Loan Programs, was expanded to include the receipt of federal funds for the operations of this program which provides loans to qualified small and minority businesses. Program funds are administered by the Community Business Partnership (formerly the South Fairfax Regional Business Partnership, Inc.) through an agreement with the Department of Housing and Community Development. Loan repayments from the business loans will be received as revenue in Fund 40360, Homeowner and Business Loan Programs, and will be used to pay debt service on the Section 108 Loan 7.

Program Income from HILP and MIDS programs will provide direct loans, consistent with the business plan approved by the FCRHA and the Board of Supervisors.

FY 2014 revenues are projected to be \$2,431,943 with the actual results reliant upon economic conditions, participants ability to repay rehabilitation loans and the real estate market environment for MIDS and ADU resale properties and second trusts. The Section 108 loan will be repaid according to scheduled payments. In addition to the funding in Fund 40360, the HILP Program initiated a two percent loan origination fee as of July 1, 1996 on all loans settled by the program. The revenue generated by this program goes directly into Fund 81000, FCRHA General Operating to support staff costs associated with the program.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Operating Expenses	\$2,163,674	\$3,910,249	\$11,813,027	\$2,431,943
Total Expenditures	\$2,163,674	\$3,910,249	\$11,813,027	\$2,431,943

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Moderate Income Direct Sales Program** (\$1,532,479)
A decrease of \$1,532,479 is due to lower expenditures for a decreased number of units purchased and resold.
- ◆ **Home Improvement Loan Program** \$55,881
An increase of \$55,881 is due to higher expenditures for loan repayments and administrative costs based on a previous three-year average of activity.
- ◆ **Small and Minority Business Loan Program** (\$1,708)
A decrease of \$1,708 is due to lower expenditures for U.S. Department of Housing and Urban Development Section 108 Loan 7 repayments based on the repayment schedule.

Fund 40360 Homeowner and Business Loan Programs

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$7,902,778**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$7,902,778 due to carryover of unexpended FY 2012 balances anticipated for the FY 2013 Moderate Income Direct Sales (MIDS) Program, County Rehabilitation Loans and Grants Program, and Business Loan Program.

Fund 40360

Homeowner and Business Loan Programs

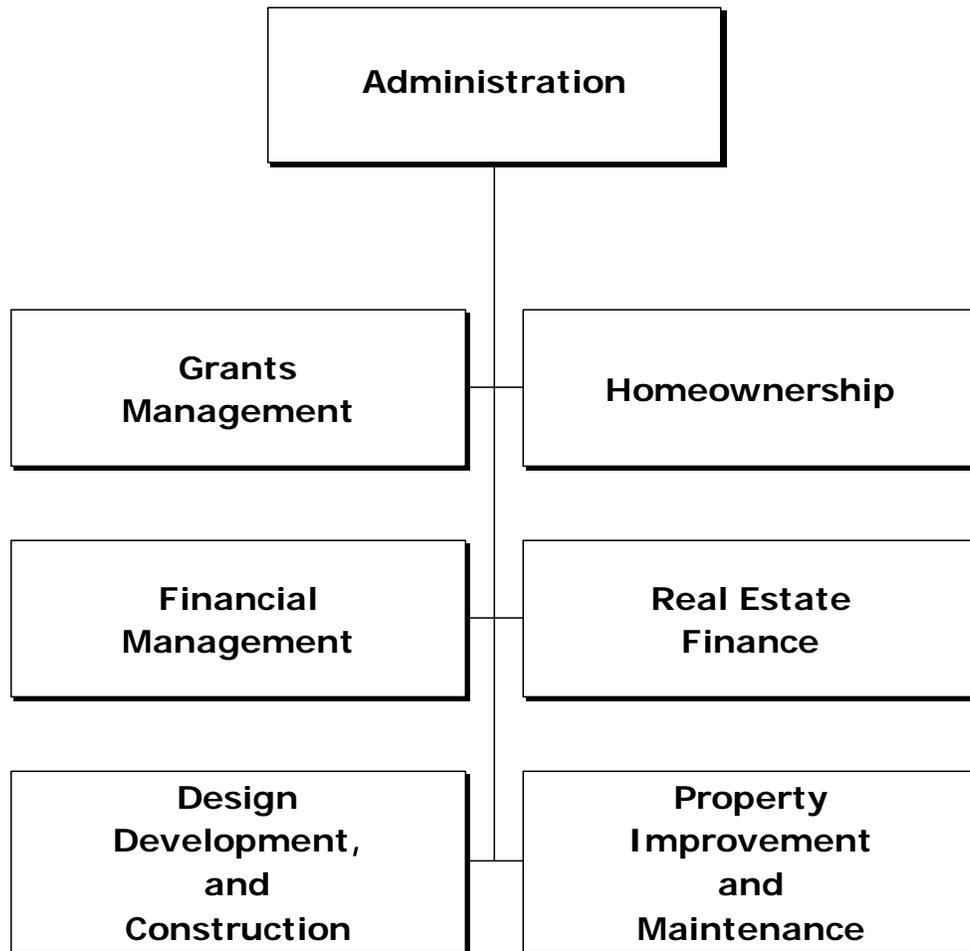
FUND STATEMENT

Fund 40360, Homeowner and Business Loan Programs

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$3,336,124	\$3,263,353	\$3,563,128	\$3,263,353
Revenue:				
Program Income (MIDS)	\$2,216,535	\$3,688,639	\$9,476,335	\$2,156,160
County Rehabilitation Loan Repayments	163,779	175,660	1,279,516	231,541
Business Loan Program	10,364	45,950	757,401	44,242
Total Revenue	\$2,390,678	\$3,910,249	\$11,513,252	\$2,431,943
Total Available	\$5,726,802	\$7,173,602	\$15,076,380	\$5,695,296
Expenditures:				
Moderate Income Direct Sales Program (MIDS)	\$1,835,557	\$3,688,639	\$8,838,736	\$2,156,160
Rehabilitation Loans and Grants	281,195	175,660	2,034,583	231,541
Business Loan Program	46,922	45,950	939,708	44,242
Total Expenditures	\$2,163,674	\$3,910,249	\$11,813,027	\$2,431,943
Total Disbursements	\$2,163,674	\$3,910,249	\$11,813,027	\$2,431,943
Ending Balance¹	\$3,563,128	\$3,263,353	\$3,263,353	\$3,263,353

¹ Projects are budgeted based on the total program costs and most programs span multiple years. Therefore, funding is carried forward each fiscal year and ending balances fluctuate, reflecting the carryover of these funds.

Fund 50800 Community Development Block Grant



Mission

To conserve and upgrade low- and moderate-income neighborhoods through the provision of public facilities, home improvements, public services, and economic development, and to stimulate the development and preservation of low- and moderate-income housing.

Focus

Fund 50800 (formerly Fund 142), Community Development Block Grant (CDBG), seeks to stimulate the development and preservation of low- and moderate-income housing and the provision of loans, public facilities, and improvements directed toward conserving and upgrading low- and moderate-income neighborhoods. It also supports the provision of special outreach and assistance services to low- and moderate-income households. Fairfax County receives an annual Community Development Block Grant through the U.S. Department of Housing and Urban Development (HUD). The use of these funds is subject to eligibility criteria established by Congress for the program and must meet one of three national objectives: (1) benefit to the low- and moderate-income population of the County; (2) elimination of slums and blight; and (3) meet urgent needs. Specific uses of each annual grant are outlined in the Consolidated Plan One-Year Action Plan. The Board of Supervisors has designated the Consolidated Community Funding Advisory Committee (CCFAC) as the citizen advisory group charged with overseeing the Consolidated Plan process. The Consolidated Plan also incorporates the recommendations of the Fairfax County Redevelopment and Housing Authority (FCRHA) concerning the use of CDBG funds. The

Fund 50800

Community Development Block Grant

CCFAC forwards the Plan to the Board of Supervisors for a public hearing and adoption. The Plan is then forwarded to HUD for approval and final grant award.

Historically, CDBG funds have been used for:

- development and preservation of affordable housing;
- neighborhood improvements in communities designated as Conservation or Redevelopment Areas by the Board of Supervisors;
- programs providing needed services to the low- and moderate-income population;
- financial and technical assistance to homeowners for housing rehabilitation and repair;
- payments on loans used for affordable housing development; and
- costs to administer this grant and related programs.

FY 2014 Initiatives

Funding in the amount of \$4,414,224 is estimated for FY 2014 (Program Year 39). Preliminary estimates from HUD indicate there likely will be reductions from the FY 2013 funding award amount for FY 2014, and any needed adjustments to the estimate will be made as part of the *FY 2013 Carryover Review* after the final HUD award is received. The following identifies some of the projected funding initiatives:

- ◆ A portion of the County's CDBG entitlement will be combined with County General Funds and the Community Services Block Grant into a Consolidated Community Funding Pool (CCFP), providing funds through a competitive process to non-profit organizations for human services and affordable housing development and preservation. In FY 2014, it is initially projected that an amount of \$1,575,159 will be available for the CCFP.
- ◆ An amount of \$62,258 is currently projected for the Fair Housing Program implementation, including conducting and reporting on fair housing tests, filing fair housing complaints, training rental agents and housing counselors in the County's rental market, establishing and staffing the Fair Housing Task Force, and continuing to study and report on the County's fair housing needs.
- ◆ An amount of \$115,599 is projected to support staff and operating costs for the Home Repair for the Elderly Program. This program provides minor home repairs to low-income elderly or disabled residents to enable these individuals to live in safe and sanitary housing.
- ◆ Funding of \$1,218,150 is projected to be available for payments on Section 108 Loans. These loans, approved by the Board of Supervisors and HUD, are designated for affordable housing preservation and development, the reconstruction of Washington Plaza, Strawbridge Square, Olley Glen and road and storm drainage improvements in five conservation areas: Baileys, Fairhaven, Gum Springs, James Lee and Jefferson Manor.

Fund 50800

Community Development Block Grant

- ◆ Also included in Fund 50800 is support for staff and operating costs to provide federally-mandated relocation and advisory services to individuals affected by federally funded County and FCRHA programs. In addition, funding is provided for staff support and operating costs for overall program management and planning for Community Development Block Grant and Section 108 Loan programs. This includes preparation of the annual HUD Consolidated Plan and other program reports, administration and monitoring of non-profit contracts, evaluation of program performance, and planning of the development of affordable housing in the County. In FY 2014, funding for these services is estimated to be \$1,081,025 (Planning and Urban Design, General Administration and Housing Program Relocation projects).
- ◆ The Homeownership Assistance Program provides funding in the amount of \$151,161 for the support of staff in the Relocation Services Branch, who provide support to the First-Time Homebuyer and Moderate Income Direct Sales programs. The main duties of these positions include application data entry, waiting list maintenance, application processing, conducting lotteries, annual occupancy certifications, and counseling applicants.
- ◆ Upon approval of the final HUD award in May 2013, it is anticipated that funding in the amount of \$210,872 for Contingency Fund requirements would be available for allocation to rehabilitation, and/or senior and disabled housing, which will be outlined in the Consolidated Plan One Year Action Plan for FY 2014.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
CDBG Projects	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
Total Expenditures	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Grant	22 / 22	22 / 22	22 / 22	22 / 22
<hr/>				
<u>COMMUNITY / NEIGHBORHOOD IMPROVEMENT</u>	1	1	<u>HOMEOWNERSHIP PROGRAM</u>	
2 HCD Division Director s	2	2	1	H/C Developer V
5 H/C Developers IV	1	1	1	Hsg. Services Specialist IV
2 H/C Developers III	1	1	3	Hsg. Services Specialists II
1 Accountant III	2	2	1	Administrative Assistant IV
<hr/>				
TOTAL POSITIONS				
22 Grant Positions / 22.0 Grant FTE				

Fund 50800

Community Development Block Grant

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$0**
It should be noted that no additional funding is included for the full year impact of the 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees, as the fund will absorb the impact within the existing HUD award authorization. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.

- ◆ **U.S. Department of Housing and Urban Development (HUD) Award** **(\$1,004,205)**
A decrease of \$1,004,205 is associated with the FY 2013 HUD award that was used to project expenditures for this fund in FY 2014.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$5,805,515**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$5,805,515 due to carryover of \$6,012,901 in unexpended project balances and appropriation of \$796,819 of the \$811,413 in unanticipated program income received in FY 2012, offset by a reduction of \$1,004,205 due to amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on May 1, 2012.

A Fund Statement and a Summary of Grant Funding are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 50800

Community Development Block Grant

FUND STATEMENT

Fund 50800, Community Development Block Grant

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$721,772	\$0	\$521,944	\$14,594
Revenue:				
Community Development Block Grant (CDBG)	\$6,743,799	\$5,418,429	\$10,462,263	\$4,414,224
American Recovery and Reinvestment Act of 2009	556,681	0	254,331	0
CDBG Program Income	811,413	0	0	0
Total Revenue	\$8,111,893	\$5,418,429	\$10,716,594	\$4,414,224
Transfers In:				
General Fund (10001)	\$284,190	\$0	\$0	\$0
Total Transfers In	\$284,190	\$0	\$0	\$0
Total Available	\$9,117,855	\$5,418,429	\$11,238,538	\$4,428,818
Expenditures:				
CDBG Projects	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
Total Expenditures	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
Total Disbursements	\$8,595,911	\$5,418,429	\$11,223,944	\$4,414,224
Ending Balance^{1, 2}	\$521,944	\$0	\$14,594	\$14,594

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

² The \$14,594 ending balance is due to additional program income received in FY 2012 and will be appropriated as part of the *FY 2013 Third Quarter Review*.

Fund 50800

Community Development Block Grant

FY 2014 Summary of Grant Funding

Fund 50800, Community Development Block Grant

Grant #	Description	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
1380020	Good Shepherd	\$404,035.00	\$713,476.00	\$0
1380022	RPJ Transition	0.00	10,317.00	0
1380024	Fair Housing Program	77,849.46	148,313.14	62,258
1380026	Rehabilitation of FCRHA Properties	206,262.95	530,144.62	0
1380028	Mondloch House	50,690.60	1,073,572.69	0
1380030	Home Improvement Loan Program	107,921.60	243,017.23	0
1380035	Home Repair for the Elderly	229,055.61	450,592.05	115,599
1380036	Contingency Fund	0.00	7,794.00	210,872
1380039	Planning and Urban Design	316,245.08	369,477.19	399,812
1380040	General Administration	541,962.57	535,037.07	417,531
1380041	Lincolnia Center	0.00	156,209.30	0
1380042	Housing Program Relocation	301,683.49	438,371.84	263,682
1380043	Section 108 Loan Payments	1,234,895.54	1,171,981.58	1,218,150
1380044	West Ox	181,561.25	1,634.29	0
1380046	Homestretch	416,613.00	123,961.57	0
1380053	FACETS	242,052.00	23,354.00	0
1380055	Christian Relief Services	144,102.00	118,368.40	0
1380056	Ethiopian Development Council	76,650.00	40,850.00	0
1380057	Wesley/Coppermine	114,052.00	181,017.10	0
1380058	Bilingual Rehabilitation	115,713.00	133,764.87	0
1380060	Homeownership Assistance Program	290,268.52	629,588.73	151,161
1380061	Magnet Housing	0.00	29,999.84	0
1380062	Senior & Disabled Housing Development	2,796,105.42	996,080.24	0
1380063	Child Care Grant	0.00	646.98	0
1380064	Lewinsville Expansion	0.00	152,876.00	0
1380067	Pathway Homes	178,851.00	0.00	0
1380069	Affordable Workforce Housing	0.00	70,682.63	0
1380070	North Hill	0.00	620,212.86	0
1380073	The Brain Foundation	0.00	503,935.00	0
1380074	Neighborhood Stabilization Program	20,000.00	270.00	0
1380075	Neighborhood Stabilization Program (State)	41,487.80	0.00	0
1380076	Community Havens	0.00	120,446.00	0
1380077	New Hope Housing	103,685.00	95,890.00	0
1380078	Reston Interfaith	152,231.83	906,653.17	0
1380079	Adjusting Factors	0.00	0.00	1,575,159
1380081	Non-Profit Blueprint	251,936.74	584,408.11	0
1380089	Woodley Hills	0.00	41,000.00	0
Total		\$8,595,911.46	\$11,223,943.50	\$4,414,224

Fund 50810

HOME Investment Partnership Grant

Mission

The goal of the HOME Investment Partnership Program (HOME) is to provide affordable housing through acquisition, rehabilitation, new construction and tenant-based rental assistance.

Focus

The HOME Program was established as part of the Cranston-Gonzalez National Affordable Housing Act of 1990. HOME funds are allocated on an annual basis to eligible participating jurisdictions based on a formula allocation system. The HOME Program requires a 25 percent local match from the participating jurisdiction. The local match can come from any Housing and Community Development project, regardless of funding source that is HOME eligible. Any expenditure beginning in October 1992 in qualifying projects can be considered as part of the required matching funds. In FY 2014, the County will have adequate matching funds from all eligible projects to satisfy the requirement. Therefore, no additional local funds will need to be allocated to meet this requirement.

Details for specific projects in Program Year 22 (FY 2014) will be approved by the Board of Supervisors (BOS) and submitted to HUD as part of the Consolidated Plan One-Year Action Plan: Use of Funds for FY 2014 in May 2013. After HUD and Board of Supervisors approval, necessary project adjustments will be made.

FY 2014 Initiatives

In FY 2014, funding of \$1,405,283 represents an estimated award from the U.S. Department of Housing and Urban Development (HUD). Preliminary estimates from HUD indicate there likely will be reductions from the FY 2013 funding award amount for FY 2014, and any needed adjustments to the estimate will be made as part of the *FY 2013 Carryover Review* after the final HUD award is received. FY 2014 funding will provide for the Tenant Based Rental Assistance program and various other new and ongoing projects, including:

- ◆ A planning factor amount of \$613,816 for Tenant-Based Rental Assistance will provide affordable rental housing through tenant-based rental assistance.
- ◆ A minimum 15 percent set-aside of \$210,805 mandated under HOME regulations from the County's total HOME allocation for eligible CHDO's, and a 10 percent set-aside of \$140,528 for administrative expenses (which includes \$24,427 for the Fair Housing program) as permitted under HOME regulations will support Community Housing Development Organizations (CHDO) and Fairfax County Redevelopment and Housing Authority (FCRHA) organizational capacity.
- ◆ Upon approval of the final HUD award in May 2013, it is anticipated that funding in the amount of \$440,134 would be available for allocation to specific projects which will be outlined in the Consolidated Plan One-Year Action Plan: Use of Funds for FY 2014.

Fund 50810

HOME Investment Partnership Grant

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$6,363,239**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$6,363,239 due to carryover of \$7,273,898 in unexpended project balances, the appropriation of \$67,825 in additional program income revenue received in FY 2012, and a decrease of \$978,484 due to the amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on May 1, 2012.

A Fund Statement and a Summary of Grant Funding are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 50810

HOME Investment Partnership Grant

FUND STATEMENT

Fund 50810, HOME Investment Partnership Grant

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$378,356	\$0	(\$180,305)	\$0
Revenue:				
HOME Grant Funds ¹	\$2,288,185	\$2,383,767	\$8,927,311	\$1,405,283
HOME Program Income	67,825	0	0	0
Total Revenue	\$2,356,010	\$2,383,767	\$8,927,311	\$1,405,283
Total Available	\$2,734,366	\$2,383,767	\$8,747,006	\$1,405,283
Expenditures:				
HOME Projects ¹	\$2,914,671	\$2,383,767	\$8,747,006	\$1,405,283
Total Expenditures	\$2,914,671	\$2,383,767	\$8,747,006	\$1,405,283
Total Disbursements	\$2,914,671	\$2,383,767	\$8,747,006	\$1,405,283
Ending Balance^{2,3}	(\$180,305)	\$0	\$0	\$0

¹ FY 2014 HOME funding projections include a planning factor of \$1,405,283 for the following activities: Tenant-Based Rental Assistance, \$613,816; a planning factor of \$440,134 for HOME Development Cost; a set-aside of at least 15 percent, \$210,805, mandated under HOME regulations, from the County's total HOME allocation for eligible Community Housing Development Organizations (CHDOs); and a 10 percent set-aside of \$140,528 for administrative expenses as permitted under HOME regulations (including \$24,427 for the Fair Housing Program).

² Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

³ The FY 2012 negative ending balance was attributed to a delay in receipt of HOME project expenditure reimbursements. These reimbursements will be received in FY 2013.

Fund 50810

HOME Investment Partnership Grant

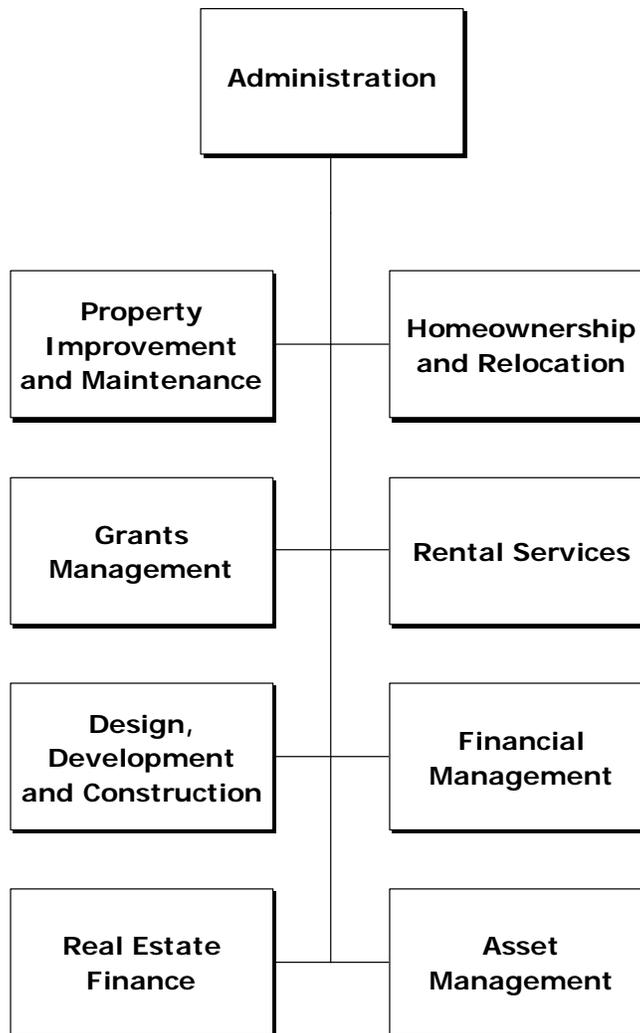
FY 2014 Summary of Grant Funding

Fund 50810, HOME Investment Partnerships Grant

Grant #	Description	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
1380021	Good Shepherd Housing	\$83,526.00	\$0.00	\$0
1380025	Fair Housing Program	1,188.91	81,506.30	24,427
1380027	Rehabilitation of FCRHA Properties	873,701.08	812,245.91	0
1380029	Mondloch House	870.00	1,432,283.75	0
1380048	Reston Interfaith	210,000.00	365,188.40	0
1380049	CHDO Undesignated	0.00	310,804.95	210,805
1380050	Tenant-Based Rental Assistance	152,289.00	1,054,055.27	613,816
1380051	Development Costs	0.00	0.00	440,134
1380052	Administration	272,198.52	761,470.38	116,101
1380065	Housing First	112.53	94,468.33	0
1380071	Partnership for Permanent Housing	132,380.00	212,499.25	0
1380082	Senior & Disabled Housing	374,164.00	1,079,574.95	0
1380083	Non-Profit Blueprint	486,833.00	1,346,741.00	0
1380084	TBRA Non-Elderly Disabled	9,667.00	320,333.00	0
1380085	TBRA Homeless Prevention	87,579.00	212,421.00	0
1380086	TBRA Progress Center	40,162.00	64,143.00	0
1380087	The Brain Foundation	0.00	309,271.00	0
1380088	NOVACO	190,000.00	290,000.00	0
Total		\$2,914,671.04	\$8,747,006.49	\$1,405,283

Fund 81000

Fairfax County Redevelopment and Housing Authority General Operating



Mission

To preserve and increase opportunities for affordable housing in Fairfax County based on need, community priorities and the policy of the Board of Supervisors and the Fairfax County Redevelopment and Housing Authority (FCHRA). Driven by community vision, to lead efforts to revitalize older areas of Fairfax County, to spur private reinvestment, maximize existing infrastructure and public investment, reverse negative perceptions and create employment opportunities.

Focus

Fund 81000 (formerly Fund 940), FCRHA General Operating, includes all FCRHA revenues generated by financing fees earned from the issuance of bonds, monitoring and service fees charged to developers, management fees, investment income, project reimbursements, consultant fees and ground rents on land leased to developers. Revenue supports operating expenses for the administration of the private activity bonds, Home Improvement Loan Program (HILP) staff, and other administrative costs, which crosscut many or all of the housing programs.

Fund 81000

Fairfax County Redevelopment and Housing Authority General Operating

In FY 2014, revenue projections for this Fund are \$3,017,561 a decrease of \$97,234 or 3.1 percent from the FY 2013 Adopted Budget Plan amount. The decrease in revenue is primarily due to an anticipated decrease in developer fee income, partially offset by an increase in program income. Expenditures are \$3,021,539, a decrease of \$115,408 or 3.7 percent from the FY 2013 Adopted Budget Plan amount. This decrease is primarily due to a lower operating budget, which is based on FY 2012 actual expenses, and does not include funding for one-time expenses incurred in FY 2013.

A portion of the staff costs associated with the HILP, FCRHA Partnership for Resident Opportunities, Growth, Resources and Economic Self-Sufficiency (PROGRESS) Center, Homeownership Programs, and FCRHA real estate development and financing activities are supported by the financing and development/management fees generated by these activities.

In FY 2011, HCD established the Bridging Affordability Program. It was conceived during the development of the Housing Blueprint, and is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the FCRHA, the Fairfax-Falls Church Community Services Board, the Office to End and Prevent Homelessness and the homeless shelters. The program makes grants available to one or more of the County's non-profit partners per the Board's direction in the Housing Blueprint, and the Bridging Affordability Program is administered by HCD and funded by the operations revenue of the County-owned Wedgewood property. While the revenue and loans made for this program are recorded in Fund 30300, The Penny for Affordable Housing, a portion of the budget is used to fund two merit positions (in Fund 81000) that support this program by providing program compliance, inspecting units and administering the contracts with non-profit partners.

The FCRHA will continue to make tax-exempt financing available and earn related financing fees. The financing will be used for the agency's own development as well as for the construction or preservation of qualified multi-family housing owned by other developers. However, because many types of projects must compete for an allocation of tax-exempt bond authority from the limited pool of such authority available in the Commonwealth of Virginia, the number of FCRHA tax-exempt bond issues in any year is limited and will vary significantly from year to year. Under this financing mechanism, a percentage of the units in a housing development must meet lower income occupancy requirements. Since 1986, there have been two alternate standards for meeting these requirements. Either 20 percent of the units must be occupied by households with incomes at 50 percent or less of the Washington D.C./Baltimore Metropolitan Statistical Area (MSA) median income (adjusted for household size), or 40 percent of the units must be occupied by households with 60 percent or less of the MSA median income. In addition, the FCRHA will continue to monitor existing tax-exempt financed multi-family housing projects to assure continuing developer compliance with program guidelines.

Fund 81000

Fairfax County Redevelopment and Housing Authority General Operating

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$1,971,596	\$2,020,827	\$2,020,827	\$2,308,118
Operating Expenses	848,829	1,116,120	1,128,143	713,421
Capital Equipment	0	0	0	0
Total Expenditures	\$2,820,425	\$3,136,947	\$3,148,970	\$3,021,539

AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	25 / 25	25 / 25	25 / 25	26 / 26

<u>ORGANIZATIONAL MANAGEMENT & DEVELOPMENT</u>	<u>RENTAL HOUSING PROPERTY MANAGEMENT</u>	<u>GRANTS MANAGEMENT</u>
1 Housing/Community Developer V	1 Housing Services Specialist IV	1 Housing/Community Developer V
1 Housing/Community Developer IV	1 Assistant Supervisor Facilities Support	1 Management Analyst III
1 Housing Services Specialist III		1 Housing Services Specialist IV (1)
1 Financial Specialist IV	<u>HOMEOWNERSHIP PROGRAM</u>	
1 Financial Specialist III	1 HCD Division Director	
1 Accountant III	1 Housing/Community Developer V	
1 Accountant II	3 Housing/Community Developers III	
1 Information Officer II	1 Housing/Community Developer II	
1 GIS Analyst II		
1 Administrative Assistant V		
2 Administrative Assistants IV		
1 Administrative Assistant III		
2 Administrative Assistants II		
<u>TOTAL POSITIONS</u>		
26 Positions (1) / 26.0 FTE (1.0)		() Denotes New Position

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$22,152**
An increase of \$22,152 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.

Fund 81000

Fairfax County Redevelopment and Housing Authority General Operating

- ◆ **Position Adjustments** **\$145,730**

An increase of \$145,730, comprised of \$102,699 in salary expenses and \$43,031 for fringe benefits, is required to support 1/1.0 FTE Housing Services Specialist IV to accommodate increased workload at the Partnership for Resident Opportunities, Growth, Resources and Economic Self Sufficiency (PROGRESS) Center, such as referrals for service coordination and crisis intervention, prevention of eviction cases, assisting with senior and aging in place issues, and providing a greater employment focus.

- ◆ **Other Post-Employment Benefits** **\$3,978**

An increase of \$3,978 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **(\$287,268)**

A decrease of \$287,268 is associated with a reduction of \$402,699 in Operating Expenses based on prior year's actual costs adjusted for one-time expenses, offset by an increase of \$115,431 in Personnel Services primarily due to salary and fringe benefit adjustments based on project-based budgeting requirements.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$12,023**

As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered carryover funding of \$12,023 primarily associated with contractual requirements, interest expenses, travel and miscellaneous program expenses.

Fund 81000

Fairfax County Redevelopment and Housing Authority General Operating

FUND STATEMENT

Fund 81000, FCRHA General Operating

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$12,723,010	\$13,191,964	\$13,495,958	\$13,405,958
Revenue:				
Investment Income	\$31,566	\$26,763	\$26,763	\$31,566
Monitoring/Developer Fees ¹	1,377,831	923,536	867,711	577,635
Rental Income	81,106	77,122	77,122	79,198
Program Income ²	1,726,720	1,767,428	1,767,428	2,017,133
Other Income	376,150	319,946	319,946	312,029
Total Revenue	\$3,593,373	\$3,114,795	\$3,058,970	\$3,017,561
Total Available	\$16,316,383	\$16,306,759	\$16,554,928	\$16,423,519
Expenditures:				
Personnel Services ³	\$1,971,596	\$2,020,827	\$2,020,827	\$2,308,118
Operating Expenses ⁴	848,829	1,116,120	1,128,143	713,421
Total Expenditures	\$2,820,425	\$3,136,947	\$3,148,970	\$3,021,539
Total Disbursements	\$2,820,425	\$3,136,947	\$3,148,970	\$3,021,539
Ending Balance⁵	\$13,495,958	\$13,169,812	\$13,405,958	\$13,401,980
Debt Service Reserve on				
One University Plaza	\$1,754,520	\$1,754,520	\$1,754,520	\$1,524,135
Cash with Fiscal Agent	7,290,154	7,290,154	7,290,154	7,506,315
Unreserved Ending Balance	\$4,451,284	\$4,125,138	\$4,361,284	\$4,371,530

¹ The FY 2014 decrease from the FY 2013 Adopted Budget Plan is primarily due to the elimination of developer fees associated with the Olley Glen project.

² The FY 2014 increase over the FY 2013 Adopted Budget Plan is primarily associated with a one-time administrative fee payment from the Murraygate and Stonegate projects.

³ The FY 2014 increase over the FY 2013 Adopted Budget Plan is primarily due to salary and fringe benefit adjustments based on project-based budgeting requirements.

⁴ The FY 2014 decrease from the FY 2013 Adopted Budget Plan is based on prior years' actual expenditures adjusted for one-time costs.

⁵ Ending balances fluctuate due to adjustments in revenues and expenditures, as well as the carryover of balances each year.

Fund 81020

FCRHA Non-County Appropriated Rehabilitation Loan Program

Mission

To enhance the quality and economic life of existing housing in the County through the provision of affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement.



Focus

Fund 81020 (formerly Fund 945), FCRHA Non-County Appropriated Rehabilitation Loan Program, provides the Fairfax County Redevelopment and Housing Authority (FCRHA) additional options for funding the Home Improvement Loan Program (HILP). The purpose of the Home Improvement Loan Program is to provide financial and technical assistance to low- and moderate-income homeowners for the rehabilitation of their property. The program is designed to preserve the affordable housing stock in the County and to upgrade neighborhoods through individual home improvements. Resources in this fund include bank loans, homeowners' contributions to the cost of rehabilitation and the reprogramming for re-use of payments received on outstanding home improvement loans made through this fund. Additional funding for the Home Improvement Loan Program is provided in Fund 50800, Community Development Block Grant, and Fund 40360, Homeowner and Business Loan Programs.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Operating Expenses	0	1,000	1,000	1,000
Total Expenditures	\$0	\$1,000	\$1,000	\$1,000

Fund 81020
FCRHA Non-County Appropriated
Rehabilitation Loan Program

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ There were no revisions to this fund since approval of the FY 2013 Adopted Budget Plan.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ As part of the FY 2012 Carryover Review, the Board of Supervisors made no adjustments to this fund.

Fund 81020

FCRHA Non-County Appropriated Rehabilitation Loan Program

FUND STATEMENT

Fund 81020, Non-County Appropriated Rehabilitation Loan Program

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$233,562	\$235,562	\$234,267	\$235,467
Revenue:				
Other (Pooled Interest, etc.)	\$705	\$1,200	\$1,200	\$1,000
Homeowners Contributions	0	1,000	1,000	1,000
Total Revenue	\$705	\$2,200	\$2,200	\$2,000
Total Available	\$234,267	\$237,762	\$236,467	\$237,467
Expenditures:				
Homeowners Contributions	\$0	\$1,000	\$1,000	\$1,000
Total Expenditures	\$0	\$1,000	\$1,000	\$1,000
Total Disbursements	\$0	\$1,000	\$1,000	\$1,000
Ending Balance	\$234,267	\$236,762	\$235,467	\$236,467

Fund 81030

FCRHA Revolving Development

Focus

Fund 81030 (formerly Fund 946), Fairfax County Redevelopment and Housing Authority (FCRHA) Revolving Development provides initial funds in the form of advances for projects for which federal, state, or private financing is later available. Initial project costs, such as development support for new site investigations for proposed projects, architectural and engineering plans, studies and fees, are advanced from this fund and are later included in permanent financing plans for repayment to this fund. This funding mechanism ensures that sufficient funding is available to provide adequate plans and proposals for individual projects prior to obtaining construction and permanent project financing.

No funding for advances is required for Fund 81030, FCRHA Revolving Development in FY 2014. As projects are identified and approved by the FCRHA that require Revolving Development funds, adjustments will be made through allocations during the year. Repayment of previously advanced loans totaling \$6,323 is anticipated in FY 2014.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$912,045**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$912,045 associated with the carryover of unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 81030 FCRHA Revolving Development

FUND STATEMENT

Fund 81030, FCRHA Revolving Development

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$5,063,933	\$4,139,417	\$5,039,527	\$4,152,875
Revenue:				
Investment Income	\$18,652	\$18,385	\$18,385	\$18,385
Repayment of Advances	429	7,008	7,008	6,323
Total Revenue	\$19,081	\$25,393	\$25,393	\$24,708
Total Available	\$5,083,014	\$4,164,810	\$5,064,920	\$4,177,583
Expenditures:				
Advances	\$43,487	\$0	\$912,045	\$0
Total Expenditures	\$43,487	\$0	\$912,045	\$0
Total Disbursements	\$43,487	\$0	\$912,045	\$0
Ending Balance¹	\$5,039,527	\$4,164,810	\$4,152,875	\$4,177,583

¹ Ending balances fluctuate due to increases and decreases in investment income and the repayment of advances.

Fund 81030 FCRHA Revolving Development

FY 2014 Summary of Capital Projects

Fund 81030, FCRHA Revolving Development

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2H38-119-000	Lincolnia		\$43,488.24	\$556,511.54	\$0
2H38-121-000	Castellani Meadows		0.00	4,122.59	0
2H38-123-000	Affordable/Workforce Housing		0.00	50,000.00	0
2H38-124-000	Crescent Redevelopment Project		0.00	300,000.00	0
2H38-126-000	Ox Road		(1.64)	1,411.36	0
Total		\$0	\$43,486.60	\$912,045.49	\$0

Fund 81050

FCRHA Private Financing

Focus

Fund 81050 (formerly Fund 948), FCRHA Private Financing, was established to budget and report costs for capital projects which are supported in full or in part by funds borrowed by the Fairfax County Redevelopment and Housing Authority (FCRHA) through the FCRHA sale of notes or bonds, or through equity financing received through the sale of federal low-income housing tax credits. Housing development and improvement projects may be financed with funds borrowed from private lenders, the Virginia Housing Development Authority, or the federal government. At times, the FCRHA has invested in short-term notes of the County to provide an interim source of financing until permanent financing from one of these sources can be secured. Fund 81050, FCHRA Private Financing, permits accounting for the receipt of funds from the lender and disbursements made by the FCRHA so that the total cost of a project can be maintained in the County's financial system and can be reflected on the FCRHA balance sheet.

An amount of \$236,120 is included in FY 2014 for payment of debt service for two Section 108 Loans (Loans 4 and 5) paid by this fund. Debt service payments, in the amount of \$106,345, are budgeted in Fund 50800, Community Development Block Grant (CDBG), and are received as revenue in Fund 81050. The expenditures are made from Fund 81050 to accommodate accounting requirements. The remaining debt service of \$129,775 will be paid from two sources: a scheduled repayment on Loan 5A (partial payment) and remaining loan proceeds from Section 108 Loans 4A/B.

In FY 2014, necessary adjustments will be made to Fund 81050 to track revenue and disbursements, as new projects and additional plans that require private financing are developed and approved by the FCRHA and the Board of Supervisors.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$2,271,273**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$2,271,273 associated with the carryover of unexpended project balances of \$2,321,883 for continuing projects and the appropriation of \$9,705 in unanticipated investment earnings received in FY 2012, offset by a decrease of \$60,315 to close out project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 81050 FCRHA Private Financing

FUND STATEMENT

Fund 81050, FCRHA Private Financing

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$7,109,758	\$4,813,606	\$6,725,613	\$4,076,050
Revenue:				
Section 108 Debt Service	\$301,381	\$26,443	\$26,443	\$116,245
Investment Income	9,705	0	0	0
Total Revenue	\$311,086	\$26,443	\$26,443	\$116,245
Total Available	\$7,420,844	\$4,840,049	\$6,752,056	\$4,192,295
Expenditures:				
Capital Projects	\$695,231	\$404,733	\$2,676,006	\$236,120
Total Expenditures	\$695,231	\$404,733	\$2,676,006	\$236,120
Total Disbursements	\$695,231	\$404,733	\$2,676,006	\$236,120
Ending Balance¹	\$6,725,613	\$4,435,316	\$4,076,050	\$3,956,175

¹ Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 81050 FCRHA Private Financing

FY 2014 Summary of Capital Projects

Fund 81050, FCRHA Private Financing

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2H38-127-000	Undesignated Projects		\$0.00	\$2,198,405.05	\$0
2H38-130-000	Braddock Glen Adult Day Health Care Center	3,780,000	0.00	72,868.43	0
2H38-167-000	Section 108 Loan Payments (14800)		278,863.86	0.00	0
2H38-168-000	Section 108 Loan Payments (24300)		28,357.29	26,443.00	26,168
2H38-169-000	Section 108 Loan Payments (24800)		261,670.50	255,056.01	92,174
2H38-170-000	Section 108 Loan Payments (24900)		126,339.00	123,234.00	117,778
Total		\$3,780,000	\$695,230.65	\$2,676,006.49	\$236,120

Fund 81060

FCRHA Internal Service Fund

Focus

Fund 81060 (formerly Fund 949), Fairfax County Redevelopment and Housing Authority (FCRHA) Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying and audits, which have been budgeted in and expensed from one of the FCRHA's funds, and then allocated out to other funds proportionate to their share of the costs. It also includes costs associated with the maintenance and operation of FCRHA housing developments such as service contracts for extermination, custodial work, elevator maintenance and grounds maintenance. The fund allows one purchasing document to be established for each vendor, as opposed to multiple purchase orders in various funds. Reimbursed charges incurred on behalf of other Department of Housing and Community Development funds are recorded as revenue.

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Program Adjustments** **(\$67,573)**
A decrease of \$67,573 is associated with the Department of Housing and Community Development's continuing transfer of project-specific expenditures from Fund 81060 to each project's home fund to minimize project-related expenditures in Fund 81060.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$239,060**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$239,060 associated with encumbered carryover.

Fund 81060

FCRHA Internal Service Fund

FUND STATEMENT

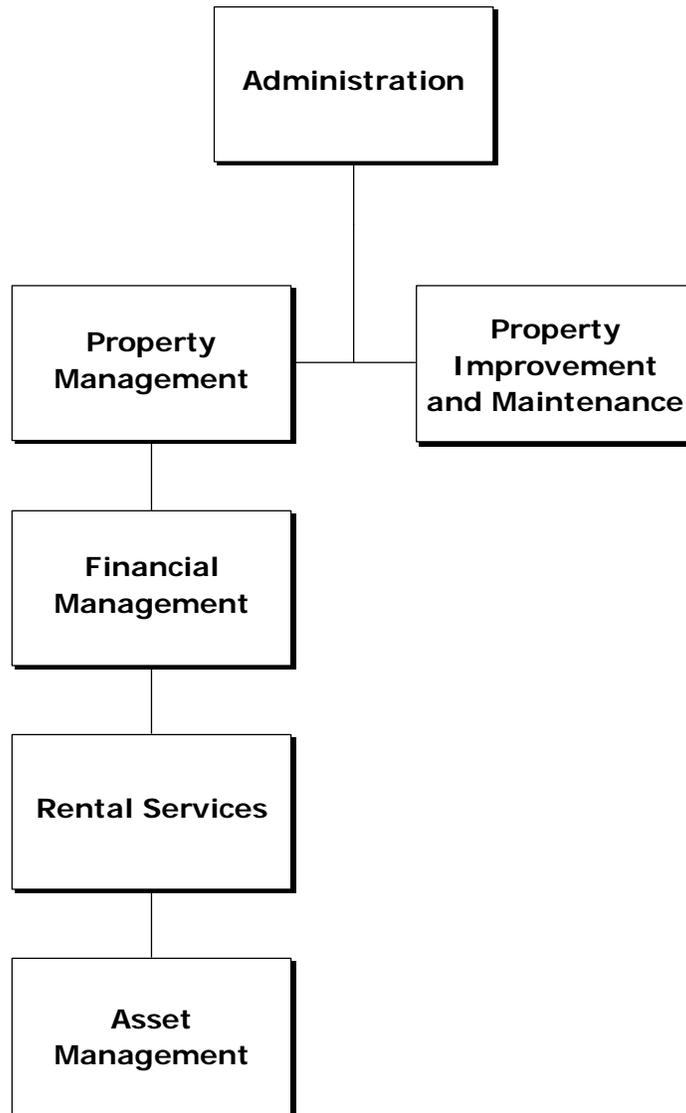
Fund 81060, FCRHA Internal Service Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$0	\$0	\$231	\$0
Revenue:				
Reimbursement from Other Funds	\$2,953,838	\$3,153,965	\$3,392,794	\$3,086,392
Total Revenue	\$2,953,838	\$3,153,965	\$3,392,794	\$3,086,392
Total Available	\$2,953,838	\$3,153,965	\$3,393,025	\$3,086,392
Expenditures:				
Operating Expenses	\$2,953,607	\$3,153,965	\$3,393,025	\$3,086,392
Total Expenditures	\$2,953,607	\$3,153,965	\$3,393,025	\$3,086,392
Total Disbursements	\$2,953,607	\$3,153,965	\$3,393,025	\$3,086,392
Ending Balance¹	\$231	\$0	\$0	\$0

¹ The Ending Balance is reserved for inventory and represents goods to be sold.

Fund 81100

Fairfax County Rental Program



Mission

To manage affordable rental housing acquired by the Fairfax County Redevelopment and Housing Authority (FCRHA) and to maintain and preserve the units for long term rental availability.

Focus

Fund 81100, Fairfax County Rental Program (formerly Fund 941), is a local rental-housing program developed and managed by the Department of Housing and Community Development (HCD) for the Fairfax County Redevelopment and Housing Authority (FCRHA). Fairfax County Rental Program (FCRP) is designed to provide affordable rental housing in the County for low- and moderate-income families. The FCRP includes projects developed by the FCRHA and other privately developed or rehabilitated housing units acquired by the FCRHA or Fairfax County. In FY 2014, a total of 1,423 multifamily, senior independent, specialized units and beds in FCRHA-owned group homes will receive operating support under Fund 81100.

Fund 81100

Fairfax County Rental Program

The operation of this program is primarily supported by tenant rents. In FY 2014 the County's General Fund is being charged directly for payments in support of condominium fees in the amount of \$253,104. In addition, debt service contributions are received from Fund 40330, Elderly Housing Programs, to provide support for the debt service costs of Little River Glen, an elderly housing development owned by the FCRHA. Accounting procedures require that the debt service for this project be paid out of Fund 81100, Fairfax County Rental Program, although the operating costs are reflected in Fund 40330, Elderly Housing Programs. Fund 81100 is also used to account for debt service payments on two facilities owned by the FCRHA and leased to Fairfax County: the United Community Ministries (UCM) offices and the replacement Mondloch I emergency shelter (Creighton Square project).

In addition, HCD staff administers contracts between the FCRHA and private firms hired to manage Hopkins Glen, Crescent Apartments and Wedgewood Apartments.

The following charts summarize the total number of units in the Rental Program and Group Homes in FY 2014 and the projected operating costs associated with the units:

<u>Project Name</u>	<u>Units</u>	<u>2014 Cost</u>	<u>District</u>
Chatham Town	10	\$44,208	Braddock
Charleston Square	1	7,719	Springfield
Little River Square ¹	45	0	Braddock
McLean Hills	25	287,448	Providence
Springfield Green	14	144,329	Lee
Colchester Towne	24	148,593	Lee
Penderbrook	48	533,816	Providence
Island Creek	8	58,301	Lee
Cedar Lakes	3	17,192	Sully
Westbriar	1	7,110	Providence
Faircrest	6	67,330	Sully
Westcott Ridge	10	101,933	Springfield
Laurel Hill	6	65,015	Mt Vernon
Willow Oaks	7	78,996	Sully
Saintsbury Plaza ³	6	29,220	Providence
ParcReston	23	212,594	Hunter Mill
Holly Acres	2	19,377	Lee
Legato Corner Condominiums	13	124,994	Springfield
East Market	4	54,251	Springfield
Madison Ridge	10	56,456	Sully
Lorton Valley	2	12,979	Mt Vernon
Fair Oaks Landing	3	32,960	Springfield
Bryson at Woodland Park	4	52,699	Hunter Mill
Northampton	4	45,062	Lee
Halstead	4	41,860	Providence
Stockwell Manor	3	35,205	Dranesville
Glenwood Mews	9	91,460	Lee
Coan Pond (Working Singles Housing Program)	19	92,700	Providence
FCRHA Operating ²	NA	1,186,884	N/A
Fairfax Ridge Condo	1	6,485	Springfield

Fund 81100 Fairfax County Rental Program

<u>Project Name</u>	<u>Units</u>	<u>2014 Cost</u>	<u>District</u>
Stonegate at Faircrest	1	\$4,552	Springfield
Woodley Homes Estates	115	374,388	Mt. Vernon
Hopkins Glen ¹	91	0	Providence
Crescent Apartments ¹	180	0	Hunter Mill
Wedgewood Apartments ¹	672	0	Braddock
United Community Ministries (Debt Service)	NA	31,640	Lee
Mondloch I Shelter/Creighton Square (Debt Service and operating expenses)	NA	1,176	Lee
Little River Glen (Debt Service)	NA	531,802	Braddock
Units Managed Under Fund 81100			
Subtotal FCRP Operating	1,374	\$4,600,734	

¹ The units at Little River Square, Hopkins Glen, Crescent Apartments and Wedgewood Apartments are part of the FCRP Program. The properties are managed and maintained by private contractors. All funding for these units will be budgeted and reported by the property management firm and reported to the department on a regular basis. It should also be noted that a variety of other FCRP multifamily and senior independent units are owned by FCRHA-controlled partnerships and are either privately managed by third-party entities or are managed directly by the FCRHA under Fund 81200.

² FCRHA operating project tracks occupancy cost allocation to the FCRP.

³ The six units at Saintsbury Plaza are age restricted and managed as senior properties. Senior independent properties, other than Saintsbury Plaza, that are directly managed by the FCRHA are supported under Fund 40330.

The Group Homes program is summarized in the following table including the number of beds and the level of FY 2014 funding:

<u>Project Name</u>	<u>Beds/Units</u>	<u>FY 2014 Cost</u>
Minerva Fisher Group Home	12	\$86,808
Rolling Road Group Home	5	39,373
First Stop Group Home (Sojourn House)	8	72,247
Mount Vernon Group Home	8	12,628
Leland Group Home	8	72,658
Patrick Street Group Home	8	18,071
Subtotal Group Homes	49	\$301,785
Total Beds/Fund Expenditures	1,423	\$4,902,519
Less: Debt Service	NA	(\$531,802)
Total Program Operations	1,423	\$4,370,717

Fund 81100

Fairfax County Rental Program

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$1,759,261	\$2,169,710	\$1,951,705	\$2,037,318
Operating Expenses	3,189,479	2,748,615	2,630,193	2,865,201
Capital Equipment	0	0	0	0
Total Expenditures	\$4,948,740	\$4,918,325	\$4,581,898	\$4,902,519

AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	21 / 21	21 / 21	21 / 21	23 / 23

**RENTAL HOUSING PROPERTY
MANAGEMENT**

1	Chief Accounting Fiscal Officer	1	Housing Manager	1	Material Management Specialist III
1	Hsg. Community Developer V	1	Chief Building Maint. Section	3	General Building Maintenance Workers II
1	Hsg. Community Developer II	1	Electrician II	2	General Building Maintenance Workers I
2	Housing Svcs. Specialists IV (1T)	1	Plumber II	1	Administrative Assistant V (1T)
3	Housing Svcs. Specialists II	1	Engineering Technician II	1	Administrative Assistant IV
		1	Human Services Assistant	1	Administrative Assistant III

TOTAL POSITIONS

23 Positions (2T) / 23.0 FTE (2.0T)

(T) Denotes Transferred Positions

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$26,318**
 An increase of \$26,318 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.
- ◆ **Position Adjustments** **\$203,313**
 An increase of \$203,313 in Personnel Services provides funding for the transfer of 1/1.0 FTE Housing Services Specialist IV and 1/1.0 FTE Administrative Assistant V from Fund 40330, Elderly Housing Programs to Fund 81100, Fairfax County Rental Program in order to align the positions' funding source with functional responsibilities.
- ◆ **Other Post-Employment Benefits** **\$797**
 An increase of \$797 in Personnel Services is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

Fund 81100 Fairfax County Rental Program

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **(\$246,234)**
A net decrease of \$246,234 includes a decrease of \$362,820 for Personnel Services, primarily associated with program adjustments to support project-based budgeting, offset by an increase of \$116,586 for Operating Expenses, primarily associated with additional requirements for condominium fees and professional and consulting services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **(\$336,427)**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved a net decrease of \$336,427 due to a decrease of \$390,529 related to the transition of the Little River Square property to a third party management company, offset by encumbrances of \$54,102.

Fund 81100

Fairfax County Rental Program

FUND STATEMENT

Fund 81100, Fairfax County Rental Program

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$6,574,113	\$6,574,113	\$6,727,416	\$6,727,404
Revenue:				
Dwelling Rents ¹	\$4,267,118	\$4,098,295	\$3,607,204	\$3,979,865
Investment Income	57,619	77,603	77,603	77,603
Other Income	268,487	232,260	232,260	239,776
Intergovernmental Income ²	0	0	156,000	0
Debt Service Contribution (Little River Glen)	508,819	508,819	508,819	508,819
Total Revenue	\$5,102,043	\$4,916,977	\$4,581,886	\$4,806,063
Total Available	\$11,676,156	\$11,491,090	\$11,309,302	\$11,533,467
Expenditures:				
Personnel Services	\$1,759,261	\$2,169,710	\$1,951,705	\$2,037,318
Operating Expenses ³	3,189,479	2,748,615	2,630,193	2,865,201
Total Expenditures	\$4,948,740	\$4,918,325	\$4,581,898	\$4,902,519
Total Disbursements	\$4,948,740	\$4,918,325	\$4,581,898	\$4,902,519
Ending Balance⁴	\$6,727,416	\$6,572,765	\$6,727,404	\$6,630,948
Replacement Reserve	\$6,143,729	\$5,989,078	\$6,143,717	\$6,047,261
Cash with Fiscal Agent	583,687	583,687	583,687	583,687
Unreserved Ending Balance	\$0	\$0	\$0	\$0

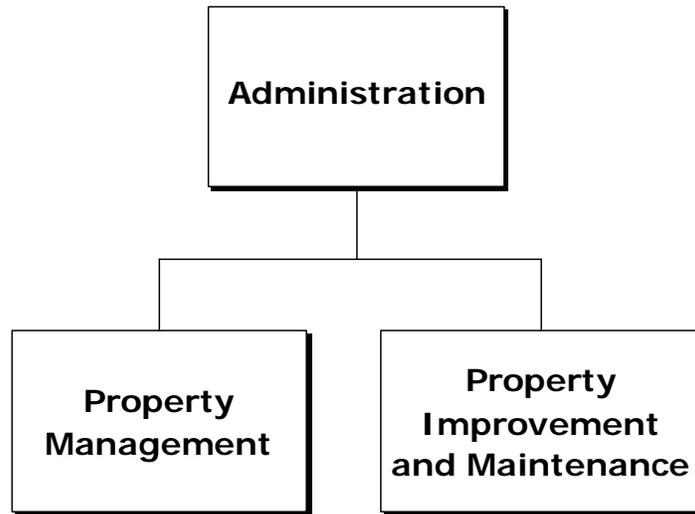
¹ FY 2014 rental revenues are estimated to decrease from the FY 2013 Adopted Budget Plan due to the transition of the Little River Square property to a third party management company, offset by anticipated rent increases of 2 percent at other FCRP properties.

² The FY 2013 Revised Budget Plan reflects an anticipated one-time revenue reimbursement of \$156,000 from the Virginia Housing and Development Authority for capital expenses at the Penderbrook property.

³ FY 2014 expenses reflect a net increase associated with an increase in condominium expenses, offset by the transition of the Little River Square property to a third party management company.

⁴ Ending balances fluctuate due to adjustments in revenues and expenditures, as well as the carryover of balances each fiscal year.

Fund 81200 Housing Partnerships



Mission

To provide affordable rental housing through partnerships between the Fairfax County Redevelopment and Housing Authority (FCRHA) and private investors.

Focus

Fund 81200 (formerly Fund 950), Housing Partnerships, was created in FY 2002 to allow the FCRHA to efficiently track partnership properties in the Federal Low Income Housing Tax Credit program, which promotes private investment in affordable housing through partnerships with nonprofit entities such as the FCRHA. The Housing Partnerships fund supports a portion of the operating expenses for local rental-housing programs that are owned by limited partnerships of which the FCRHA is the managing general partner. In FY 2014, the FCRHA will directly manage five partnership properties: Castellani Meadows, The Green, Tavenner Lane, Murraygate Village and Olley Glen. Some costs of the operation of these five properties are tracked through the County's financial system; however, a separate FCRHA software system, Yardi, is required to maintain partnership accounts and meet partnership calendar year reporting schedules. The operation of these developments is primarily supported by tenant rents with a County contribution for real estate taxes. The revenue collected from rents and property excess income is also monitored by Yardi and utilized by the partnerships to reimburse the FCRHA for expenses incurred to support salaries, maintenance and other operating expenses as identified in Fund 81200.

Six other partnership properties receive a County contribution for real estate taxes, but are managed by a private management company and are not reported in the County's financial system. These other partnership properties include: Herndon Harbor I & II, Gum Springs Glen, Morris Glen, Stonegate, and Cedar Ridge.

Fund 81200 Housing Partnerships

The following chart summarizes the total number of units in the FCRHA managed portion of the Partnership Program in FY 2014 and the projected operating costs associated with the units:

	<u>Units</u>	<u>FY 2014 Cost</u>	<u>District</u>
Castellani Meadows	24	\$149,195	Sully
The Green ¹	24	395,211	Providence, Hunter Mill, and Sully
Tavenner Lane ²	12	131,171	Lee
Murraygate Village	199	832,882	Lee
Olley Glen	90	271,219	Braddock
Total Partnership Program	349	\$1,779,678	

¹ An additional 50 units counted as part of The Green Partnership property are part of the federally assisted Public Housing program and are reflected in Fund 81520, Public Housing Projects Under Management. However, operating expenses for all 74 units are included in Fund 81200 since they are all owned by a limited partnership.

² An additional 12 units at Tavenner Lane are part of the federally assisted Public Housing program and are reflected in Fund 81520, Public Housing Projects Under Management. However, operating expenses for all 24 units are included in Fund 81200 since they are all owned by a limited partnership.

Budget and Staff Resources

<u>Category</u>	<u>FY 2012 Actual</u>	<u>FY 2013 Adopted</u>	<u>FY 2013 Revised</u>	<u>FY 2014 Advertised</u>
FUNDING				
Expenditures:				
Personnel Services	\$644,847	\$769,775	\$769,775	\$746,374
Operating Expenses	1,045,994	984,090	1,239,038	1,033,304
Capital Equipment	0	0	0	0
Total Expenditures	\$1,690,841	\$1,753,865	\$2,008,813	\$1,779,678

AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	9 / 9	9 / 9	9 / 9	9 / 9

<u>RENTAL HOUSING PROPERTY MANAGEMENT</u>					
1	Housing Services Specialist III	1	Housing Services Specialist I	1	Administrative Assistant III
1	Housing Services Specialist II	1	HVAC II	1	Administrative Assistant II
		2	General Building Maintenance Workers II	1	Plumber I
TOTAL POSITIONS					
9 Positions / 9.0 FTE					

Fund 81200

Housing Partnerships

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$8,543**
An increase of \$8,543 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.

- ◆ **Other Post-Employment Benefits** **\$342**
An increase of \$342 in Personnel Services is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **\$16,928**
A net increase of \$16,928 includes an additional \$49,214 for Operating Expenses, primarily associated with an increase in contractual services and repair and maintenance costs, offset by a decrease of \$32,286 for Personnel Services, primarily associated with program adjustments and other necessary adjustments to support project-based budgeting.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$114,948**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved encumbered funding of \$114,948 for grounds maintenance, custodial work, and repairs and maintenance.

- ◆ **Program Adjustments** **\$140,000**
Subsequent to the FY 2012 Carryover Review, an allocation of \$140,000 was provided to fund Real Estate Assessment Center (REAC) inspections for The Green properties. The Fund will be reimbursed by the properties for the expenses incurred for the REAC inspections.

Fund 81200 Housing Partnerships

FUND STATEMENT

Fund 81200, Housing Partnerships

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$324,955	\$314,925	\$29,752	\$29,752
Revenue:				
FCRHA Reimbursements ¹	\$1,395,638	\$1,753,865	\$2,008,813	\$1,779,678
Total Revenue	\$1,395,638	\$1,753,865	\$2,008,813	\$1,779,678
Total Available	\$1,720,593	\$2,068,790	\$2,038,565	\$1,809,430
Expenditures:				
Personnel Services	\$644,847	\$769,775	\$769,775	\$746,374
Operating Expenses ¹	1,045,994	984,090	1,239,038	1,033,304
Total Expenditures	\$1,690,841	\$1,753,865	\$2,008,813	\$1,779,678
Total Disbursements	\$1,690,841	\$1,753,865	\$2,008,813	\$1,779,678
Ending Balance²	\$29,752	\$314,925	\$29,752	\$29,752
Replacement Reserve	\$29,752	\$314,925	\$29,752	\$29,752
Unreserved Ending Balance	\$0	\$0	\$0	\$0

¹ Subsequent to the *FY 2012 Carryover Review*, an allocation of \$140,000 was provided to fund Real Estate Assessment Center (REAC) inspections for The Green properties. The partnerships will reimburse the properties for the expenses incurred for the REAC inspections.

² The Housing Partnerships Fund maintains fund balances at adequate levels relative to projected operating and maintenance requirements. These costs change annually, therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 81500 Housing Grants

Mission

To provide the residents of the County with safe, decent, and more affordable housing for low- and moderate-income households.

Focus

Fund 81500 (formerly Fund 965), Housing Grants, separately tracks grants which are awarded to the Fairfax County Redevelopment and Housing Authority (FCRHA). This fund currently provides accounting for the Resident Opportunity and Self Sufficiency (ROSS) Grant received by the FCRHA from the U.S. Department of Housing and Urban Development (HUD). The ROSS grant is a three-year grant that provides and coordinates supportive services that help public housing residents move toward self-sufficiency. In FY 2013, the Department of Housing and Community Development (HCD) was administering two ROSS programs, the Public Housing Family Self-Sufficiency Program, and the Partnership for Resident Opportunities, Growth, Resources and Economic Self-Sufficiency (PROGRESS) Center.

HUD's Public Housing Family Self-Sufficiency Program provides funds for the FCRHA to support one grant-funded program coordinator position. The coordinator is responsible for leveraging public and private support services for selected Public Housing families to help them achieve economic independence and self-sufficiency.

HCD established the PROGRESS Center in FY 2011 to focus on a number of critical areas including crisis intervention, employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities for program residents. The key to connecting FCRHA residents to these services and resources are partnerships established with other County agencies. They include partnerships with the Northern Virginia Workforce Investment Board and its non-profit employment training and job placement services, The Skill Source Group, Inc. (SkillSource) and partnerships with County agencies including the Department of Family Services, the Department of Neighborhood and Community Services and the Fairfax-Falls Church Community Services Board. The original ROSS grant totaling \$480,000 will be used to support this program, including two grant-funded program coordinator positions.

No FY 2014 funding is included for Fund 81500, Housing Grants at this time. Funding will be allocated at the time of the award from HUD.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$214,047	\$0	\$190,083	\$0
Operating Expenses	15,787	0	52,766	0
Capital Equipment	0	0	0	0
Total Expenditures	\$229,834	\$0	\$242,849	\$0
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Grant	4 / 4	4 / 4	4 / 4	4 / 4

Fund 81500 Housing Grants

GRANTS MANAGEMENT

2	Housing Services Specialists III	1	Housing Services Specialist II	1	Housing Comm. Developer III
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TOTAL POSITIONS

4 Grant Positions/4.0 Grant FTE

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** \$0
It should be noted that no additional funding is included for the full year impact of the 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees, as the fund will absorb the impact within the existing HUD award authorization.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** \$242,849
As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$242,849 associated with carryover of unexpended FY 2012 grant balances.

Fund 81500 Housing Grants

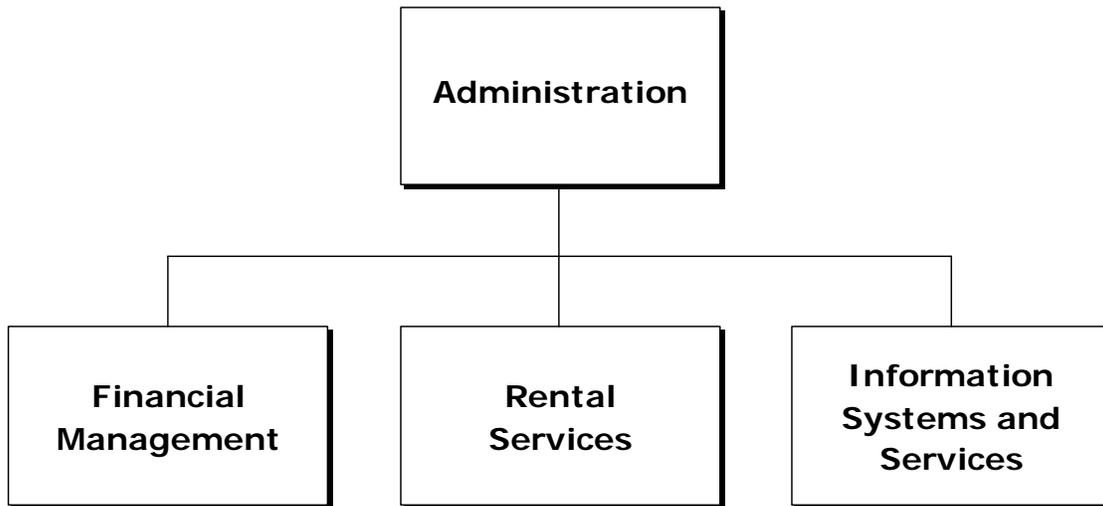
FUND STATEMENT

Fund 81500, Housing Grants

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$0	\$0	\$0	\$0
Revenue:				
ROSS Grant	\$229,834	\$0	\$242,849	\$0
Total Revenue	\$229,834	\$0	\$242,849	\$0
Total Available	\$229,834	\$0	\$242,849	\$0
Expenditures:				
ROSS Grant	\$229,834	\$0	\$242,849	\$0
Total Expenditures	\$229,834	\$0	\$242,849	\$0
Total Disbursements	\$229,834	\$0	\$242,849	\$0
Ending Balance	\$0	\$0	\$0	\$0

Fund 81510

Housing Choice Voucher Program



Mission

To ensure that participants in the Federal Housing Choice Voucher program are provided with decent, safe and affordable private market housing.

Focus

The Housing Choice Voucher program is a Federal Housing Assistance Program for lower income families seeking housing in the private market place. The United States Department of Housing and Urban Development (HUD) provides funds to pay a portion of the family's rent. In most cases, this subsidy is the difference between 30 percent of the eligible family's income and a HUD-approved Fair Market Rent (FMR) for a housing unit, although FMRs are different for the Housing Choice Voucher (HCV) program and the project-based components of the program. The rent subsidy payments are made pursuant to a Housing Assistance Payments (HAP) Contract with the owner of the housing. Housing authorities administer the contract for these subsidy funds on behalf of HUD, which involves making the monthly subsidy payments, verifying that those benefiting from the subsidy are eligible and monitoring compliance with federal regulations. This is done pursuant to an Annual Contribution Contract between the Fairfax County Redevelopment and Housing Authority (FCRHA) and HUD. Administrative fees are established by HUD and earned from HUD by the FCRHA. The administrative fee earned is used to cover expenses associated with administering the Housing Choice Voucher program.

Under Fund 81510 (formerly Fund 966), Housing Choice Voucher program, rental subsidies are provided by HUD to cover the difference between a market-established rent and the rent which is determined to be affordable at a given family's income level. In some cases, the subsidies are associated with a particular housing development and in other cases they are transferable with the tenant. Private developers, local housing authorities and state housing finance agencies all participate in different aspects of the HCV program. Within the Portability Program, one aspect of the HCV program, a tenant from another locality finds housing in Fairfax County and the FCRHA pays the subsidy portion of the rent on behalf of the originating housing authority. A subsequent reimbursement from the originating housing authority is received by the FCRHA as Portability Program Revenue to cover the subsidy payment as well as 80 percent of the originating Housing Authority's administrative fee to cover administrative costs.

Fund 81510 Housing Choice Voucher Program

The FY 2014 funding level of \$54,952,190 consists of housing assistance payments of \$51,267,718 and administrative expenses of \$3,684,472 to support 3,537 Housing Choice Voucher units as part of the Federal Housing Assistance Program for lower income families. The FY 2014 request for this program is based on the Calendar Year 2012 HUD budget for July 2012 through December 2012 and projected for the full fiscal year for HAP and Administrative Fees. In FY 2012, the FCRHA was awarded an additional 10 vouchers resulting in a total of 48 vouchers to serve homeless veterans and their families who are referred by the Veterans Affairs (VA) Administration to HCD. These veterans are assigned a VA case manager who works with the veteran to improve the veteran's general health and mental health, and to enhance the veteran's ability to remain stable, housed, and community integrated.

The FY 2014 revenue projection of \$54,953,222, an increase of \$4,104,239 over the FY 2013 Adopted Budget Plan, is the result of an increase in the Annual Contributions from HUD for Calendar Year 2012; an increase in Annual Contributions for increased leasing activity; and an increase in leasing by HCV Portability participants. In accordance with the HUD funding formula that became effective January 1, 2008, administrative fees are earned by the FCRHA for the lease-up of authorized FCRHA vouchers. The formula is based on a graduated scale for leased units rather than a fixed rate.

Subsequent to final federal approval of Fairfax County's actual award, appropriate revisions to the FY 2014 Fund 81510 budget will be made as part of the *FY 2013 Carryover Review* or a future quarterly review.

The current income limits for most components of the HCV Program as established by HUD, effective as of December 11, 2012, are shown below:

INCOME LIMITS		
Number of Persons	Very Low	Low
1	\$37,600	\$46,750
2	\$42,950	\$53,400
3	\$48,300	\$60,100
4	\$53,650	\$66,750
5	\$57,950	\$72,100
6	\$62,250	\$77,450
7	\$66,550	\$82,800
8	\$70,850	\$88,150

FY 2014 SUMMARY OF PROJECTS	
PROJECTS	NUMBER OF UNITS
Consolidated Vouchers ¹	3,537
Total Contract P-2509 Fund 81510	3,537

¹ Actual number of vouchers issued may be lower than HUD-approved count due to local market conditions.

Fund 81510

Housing Choice Voucher Program

Fund 81510 covers the following components in FY 2014:

- ◆ **Housing Choice Vouchers – 3,537 issued through the FCRHA**
Under this component of the Housing Choice Voucher housing program, local or state housing authorities contract with HUD for housing assistance payment subsidy funds and issue vouchers to eligible households who may lease any appropriately sized, standard quality rental unit from a participating landlord.

- ◆ The housing authority maintains a waiting list of those seeking a Housing Choice Voucher, verifies applicant income eligibility before issuing a voucher, inspects the unit the family selects to ensure compliance with HCV Housing Quality Standards, computes the portion of rent the family must pay or the maximum subsidy, contracts with the landlord to pay the subsidy, recertifies eligibility annually, and maintains required financial records and reports. The owner of the housing (landlord), not the housing authority, selects the families to whom the landlord will rent, and renews or terminates the family's lease in accordance with the terms of the lease.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$2,868,287	\$3,178,735	\$3,178,735	\$3,003,959
Operating Expenses	49,080,918	47,745,965	50,533,315	51,948,231
Capital Equipment	0	0	0	0
Total Expenditures	\$51,949,205	\$50,924,700	\$53,712,050	\$54,952,190
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Grant	39 / 39	39 / 39	39 / 39	39 / 39
<u>AFFORDABLE RENTAL HOUSING</u>				
<u>SUBSIDIES</u>				
1 Network/Telecom Analyst III		1 Admin. Assistant IV		
1 Accountant II		1 Human Services Assistant		
3 Housing Svcs. Specialists V		2 Admin. Assistants III		
5 Housing Svcs. Specialists III		1 Admin. Assistant II		
24 Housing Svcs. Specialists II				
<u>TOTAL POSITIONS</u>				
39 Grant Positions / 39.0 Grant FTE				

Fund 81510

Housing Choice Voucher Program

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$36,001**
An increase of \$36,001 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.

- ◆ **Other Post-Employment Benefits** **\$1,328**
An increase of \$1,328 in Personnel Services is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

- ◆ **Housing Assistance Program** **\$4,109,540**
An increase of \$4,109,540 in HAP is based primarily on projected increases in HCV and Portability In program leasing activity.

- ◆ **Ongoing Administrative Expenses** **(\$119,379)**
A net decrease of \$119,379 in Ongoing Administrative Expenses is due to a decrease of \$212,105 in Personnel Services primarily associated with project-based budgeting adjustments, offset by an increase in anticipated Operating Expenses of \$92,726.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$2,787,350**
As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$2,787,350 associated with an increase in Housing Choice Voucher (HCV) and portability program leasing rates and Housing Assistance Payment (HAP) expense averages in the amount of \$2,771,320, and an increase of \$16,030 for the carryover of FY 2012 encumbrances.

Fund 81510

Housing Choice Voucher Program

FUND STATEMENT

Fund 81510, Housing Choice Voucher Program

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$6,643,321	\$4,844,240	\$7,322,479	\$6,942,466
Revenue:				
Annual Contributions ¹	\$48,636,527	\$46,457,972	\$48,412,284	\$48,609,444
Investment Income	13,241	16,354	16,354	16,354
Portability Program ²	3,714,942	4,329,524	4,858,266	6,282,291
Miscellaneous Revenue	263,653	45,133	45,133	45,133
Total Revenue	\$52,628,363	\$50,848,983	\$53,332,037	\$54,953,222
Total Available	\$59,271,684	\$55,693,223	\$60,654,516	\$61,895,688
Expenditures:				
Housing Assistance Payments ³	\$48,535,071	\$47,158,178	\$49,929,498	\$51,267,718
Ongoing Admin. Expenses ⁴	3,414,134	3,766,522	3,782,552	3,684,472
Total Expenditures	\$51,949,205	\$50,924,700	\$53,712,050	\$54,952,190
Total Disbursements	\$51,949,205	\$50,924,700	\$53,712,050	\$54,952,190
Ending Balance⁵	\$7,322,479	\$4,768,523	\$6,942,466	\$6,943,498
HAP Reserve	\$4,987,910	\$2,488,618	\$4,998,910	\$4,998,910
Operating Reserve	2,334,569	2,279,905	1,943,556	1,944,588
Unreserved Ending Balance	\$0	\$0	\$0	\$0

¹ The FY 2014 Advertised Budget Plan is based on the calendar year 2012 HUD approved budget for July 2012 through December 2012 and projected for the full fiscal year. Adjustments to projected Annual Contributions, if necessary, will be made at a future quarterly review.

² Portability In tenants are being billed to the local originating housing authorities. The increase over the FY 2013 Adopted Budget Plan in Recovered Costs and Servicing Fees is due to an increase in the anticipated lease rate based on Portability In monthly leasing activity. Revenue is based on Recovered Costs of 100 percent for Portability Housing Assistance Payment (HAP) and Utility Allowance Payment (UAP) expenses. A servicing fee will also be earned equal to 80 percent of the originating housing authority's administrative fees.

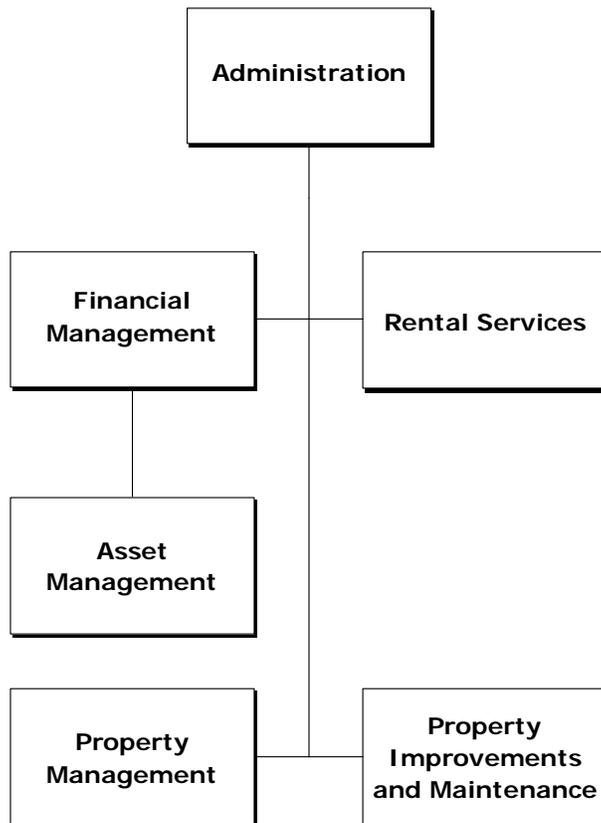
³ The FY 2014 increase over the FY 2013 Adopted Budget Plan is primarily the result of an increase in Annual Contributions to support Housing Assistance Payment expenses and the increase in the anticipated Portability In lease rate.

⁴ The FY 2014 net decrease from the FY 2013 Adopted Budget Plan is primarily associated with project-based budgeting salary and fringe benefits adjustments offset by an increase in anticipated operating expenses.

⁵ The fluctuations in the Ending Balance are primarily a result of projected adjustments in leasing trends and corresponding administrative expenses.

Fund 81520

Public Housing Projects Under Management



Mission

To ensure that all tenants of Fairfax County Redevelopment and Housing Authority's (FCRHA) owned and operated public housing units are provided with decent, safe and adequate housing; maintenance and management; social services referrals; and housing counseling.

Focus

The Federal Public Housing Program is administered by the U.S. Department of Housing and Urban Development (HUD) to provide funds for rental housing serving low income households owned and operated by local housing authorities such as the Fairfax County Redevelopment and Housing Authority (FCRHA). There are two components of this program with each having separate funding for operations and capital improvements. Fund 81520 (formerly Fund 967), Public Housing Projects Under Management, is for management and maintenance of public housing properties and includes an annual federal operating subsidy from HUD. Fund 81530, Public Housing Projects Under Modernization, provides funds for capital improvements and repairs of existing public housing through an annual Capital Fund Grant (formerly the Comprehensive Grant).

Revenues are derived from dwelling rents, HUD provided contributions and subsidies, payments for utilities in excess of FCRHA established standards, investment income, and maintenance charges. Projected FY 2014 revenues of \$10,553,408 represent an increase of \$743,088 or 7.6 percent over the FY 2013 Adopted Budget Plan primarily due to an increase in the projected HUD Operating Subsidy. Effective January 1, 2007, the HUD Operating Subsidy calculation is based on HUD's Final Rule (Revisions to Public Housing Operating Fund) published on September 19, 2005, using a formula

Fund 81520 Public Housing Projects Under Management

developed by HUD to provide a mechanism to align expenditures and revenues for Public Housing Authorities.

The FCRHA is required by HUD to be in compliance with Project Based Accounting and Budgeting, which requires separate reporting for the County's Public Housing properties. The 26 Public Housing properties are grouped into 11 Asset Management Projects (AMPs) for HUD Reporting purposes. In addition to the project reporting requirement, Public Housing Authorities are also required to track and report activities of the Central Office for various types of expenses including indirect administrative costs, which are covered by HUD prescribed management fees. The expenses for the AMPs are covered by program revenues, which are mainly Dwelling Rental Income and HUD Operating Subsidy.

In addition to the public housing support provided in this fund, FY 2014 funds are provided in the General Fund, Agency 38, Department of Housing and Community Development, in support of townhouse/condominium-association fees for a portion of these properties.

The current income limits for the program as established by HUD effective December 11, 2012 are as follows:

INCOME LIMITS		
Number of Persons	Very Low	Low
1	\$37,600	\$46,750
2	\$42,950	\$53,400
3	\$48,300	\$60,100
4	\$53,650	\$66,750
5	\$57,950	\$72,100
6	\$62,250	\$77,450
7	\$66,550	\$82,800
8	\$70,850	\$88,150

The Public Housing projects, as reflected in the following chart, are located throughout the County.

Project Name	HUD Number	Number of Units	Supervisory District
Audubon Apartments	VA 19-01	46	Lee
Rosedale Manor	VA 19-03	97	Mason
Newington Station	VA 19-04	36	Mt. Vernon
The Park	VA 19-06	24	Lee
Shadowood	VA 19-11	16	Hunter Mill
Atrium Apartments	VA 19-13	37	Lee
Villages of Falls Church ¹	VA 19-25	37	Mason
Heritage Woods I	VA 19-26	19	Braddock
Robinson Square	VA 19-27	46	Braddock
Heritage Woods South	VA 19-28	12	Braddock
Sheffield Village	VA 19-29	8	Mt. Vernon
Greenwood	VA 19-30	138	Mason
Briarcliff II	VA 19-31	20	Providence
West Ford II	VA 19-32	22	Mt. Vernon
West Ford I	VA 19-33	24	Mt. Vernon

Fund 81520

Public Housing Projects Under Management

Project Name	HUD Number	Number of Units	Supervisory District
West Ford III	VA 19-34	59	Mt. Vernon
Barros Circle	VA 19-35	44	Sully
Belle View	VA 19-36	40	Mt. Vernon
Kingsley Park	VA 19-38	108	Providence
Scattered Sites	VA 19-39	25	Various
Reston Town Center	VA 19-40	30	Hunter Mill
Old Mill	VA 19-42	48	Lee
Ragan Oaks	VA 19-45	51	Sully
Tavener Lane ²	VA 19-51	12	Lee
Waters Edge	VA 19-52	9	Sully
West Glade ²	VA 19-55	50	Hunter Mill
Scattered ADU Sites	VA 19-56	7	Various
Total Units³		1,065	

¹ This HUD project includes one unit at Heritage Woods South in Braddock District.

² Properties are owned by limited partnerships of which the FCRHA is the managing general partner. Therefore, rental revenue and other expenses for these properties are not reported in Fund 81520.

³ There are projected to be 1,065 units of Public Housing; however, only 1,063 are income producing. There are two units off-line, one of which is used as an office and the other as a community room. Per HUD guidelines, the community room is not reported to HUD when requesting the HUD Operating Subsidy. Tavener Lane and West Glade are reported separately when reporting to HUD, since they are partnership properties and have different reporting requirements. The FY 2014 vacancy rate is projected to be approximately 2 percent for public housing properties, primarily due to normal turnover.

Admissions and Occupancy policies for this program are governed by the Quality Housing and Work Responsibility Act of 1998 (which amended the United States Housing Act of 1937) and are consistent with the objectives of Title VI of the Civil Rights Act of 1964. Eligibility for admission and occupancy to Low-Income Housing requires the applicants to fulfill the following general criteria: (1) qualify as a family, (2) have annual income which does not exceed the income limits for admission to a designated development, and (3) qualify under the Local Preference if head or spouse is employed, attending school or participating in a job training program, a combination thereof at least 30 hours per week; or is 62 or older; or is a primary caretaker of a disabled dependent; or meets HUD's definition of being disabled. In addition, the FCRHA approved a new income policy on May 1, 2008, to support the FCRHA's mission to serve low-income households. Eligible applicants for Public Housing who live or work in Fairfax County, City of Fairfax, City of Falls Church or Town of Herndon can have household income above 50 percent of the AMI and must be from households that pay more than 30 percent of gross income for rent and utilities for the past 90 days (excluding telephone and cable costs), or have household incomes at or below 50 percent of AMI.

Fund 81520

Public Housing Projects Under Management

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$3,171,152	\$3,848,241	\$4,208,172	\$4,001,463
Operating Expenses	6,248,173	5,951,531	5,986,903	6,518,687
Capital Equipment	0	0	0	0
Total Expenditures	\$9,419,325	\$9,799,772	\$10,195,075	\$10,520,150

AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)

Grant	48 / 48	48 / 48	48 / 48	48 / 48
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RENTAL HOUSING PROPERTY

MANAGEMENT

1	HCD Division Director	1	Financial Specialist IV	1	Trades Supervisor
1	Housing Community Developer V	1	Accountant III	4	HVACs I
2	Housing Services Specialists V	1	Human Services Coordinator II	6	General Building Maintenance Workers II
2	Housing Services Specialists III	1	Management Analyst I	4	General Building Maintenance Workers I
12	Housing Services Specialists II	3	Administrative Assistant IV	1	Locksmith II
3	Housing Services Specialists I	3	Administrative Assistants III	2	Plumbers II
		1	Administrative Assistant II		

TOTAL POSITIONS

48 Grant Positions / 48.0 Grant FTE

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$42,043**
 An increase of \$42,043 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.
- ◆ **Other Post-Employment Benefits** **\$1,785**
 An increase of \$1,785 in Personnel Services is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.

Fund 81520

Public Housing Projects Under Management

- ◆ **Project-Based Budgeting Adjustments and Operating Requirements** **\$676,550**
An increase of \$676,550 is associated with \$567,156 in Operating Expenses due to the centralization of costs for the oversight and management of the Capital Fund Program for Public Housing, and repairs and maintenance and utility expenses based on prior year actual expenditures, and \$109,394 in Personnel Services to support project-based budgeting efforts primarily for fringe benefit adjustments.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$395,303**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$395,303 associated with encumbered carryover primarily for utilities, and maintenance and repair expenses.

Fund 81520

Public Housing Projects Under Management

FUND STATEMENT

Fund 81520, Projects Under Management

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$4,219,132	\$3,487,866	\$3,717,886	\$3,382,985
Revenue:				
Dwelling Rental Income	\$5,068,597	\$5,464,053	\$5,464,053	\$5,464,053
Excess Utilities ¹	242,439	192,585	242,439	315,171
Interest on Investments	20,010	30,169	30,169	30,169
Other Operating Receipts	395,270	148,387	148,387	123,637
Management Fee - Capital Fund ²	1,116,656	1,283,738	1,283,738	1,283,738
HUD Operating Subsidy ³	2,075,107	2,691,388	2,691,388	3,336,640
Total Revenue	\$8,918,079	\$9,810,320	\$9,860,174	\$10,553,408
Total Available	\$13,137,211	\$13,298,186	\$13,578,060	\$13,936,393
Expenditures: ⁴				
Administration	\$1,435,054	\$1,506,016	\$1,506,016	\$1,487,799
Central Office ⁵	1,468,675	1,301,986	1,301,986	1,802,406
Tenant Services	55,918	86,526	86,526	55,918
Utilities	2,166,688	2,252,390	2,252,390	2,319,962
Ordinary Maintenance and Operation	4,185,695	4,573,228	4,968,531	4,746,770
General Expenses	66,715	40,238	40,238	66,715
Non-Routine Expenditures	40,580	39,388	39,388	40,580
Total Expenditures	\$9,419,325	\$9,799,772	\$10,195,075	\$10,520,150
Total Disbursements	\$9,419,325	\$9,799,772	\$10,195,075	\$10,520,150
Ending Balance⁶	\$3,717,886	\$3,498,414	\$3,382,985	\$3,416,243

¹ FY 2014 revenues are based on the *FY 2013 Revised Budget Plan* adjusted for changes in the utilities allowance recovery policy, and the inclusion of the Greenwood II property in the billing for excess utility charges.

² Revenue is associated with fees received for the oversight and management of the Central Office. Management Fee revenues that are based on U.S. Department of Housing and Urban Development (HUD) prescribed fees consist of property management, bookkeeping and asset management fees. Fees from Fund 81530, Public Housing Projects Under Modernization, are also included.

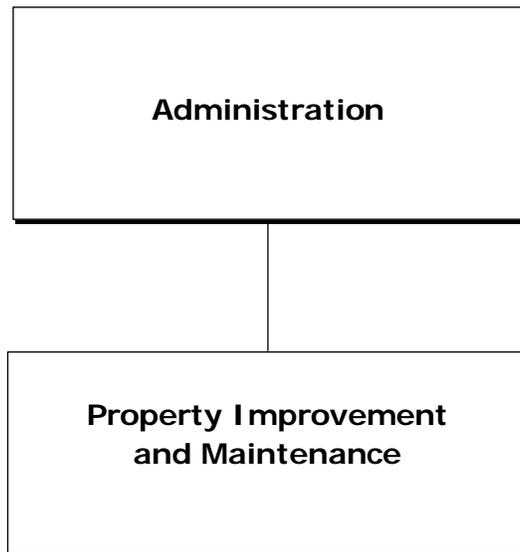
³ HUD Operating Subsidy is based on revenue and expenditures criteria developed by HUD under the Final Rule that was effective January 1, 2007.

⁴ Expenditure categories reflect HUD required cost groupings. Increase in expenditures is primarily associated with costs for the oversight and management of the fund, repair and maintenance expenses and increased utility expenses.

⁵ FY 2014 increase is based on FY 2012 Actuals plus an inflation factor.

⁶ The Ending Balance fluctuates due primarily to revenue adjustments for HUD Operating Subsidy and Management Fee Income, as well as expenditure adjustments related to the oversight and management of the fund.

Fund 81530 Public Housing Projects Under Modernization



Focus

Fund 81530 (formerly Fund 969), Public Housing Projects Under Modernization, receives an annual federal grant, determined by formula, to be used for major physical and management improvements to public housing properties owned by the Fairfax County Redevelopment and Housing Authority (FCRHA). This grant program fund, which was called the Comprehensive Grant Program (CGP) or the Modernization Program, is now referred to as the Capital Fund Program (CFP). It is one of the two components of the Public Housing Program. The other fund supporting this program is Fund 81520, Public Housing Projects Under Management, which supports the daily maintenance and management of public housing properties.

Local public housing authorities submit a five-year comprehensive capital and management improvement plan to the U.S. Department of Housing and Urban Development (HUD) as part of the FCRHA's Five-Year Plan. The plan is updated each year as part of the Annual Plan. HUD reviews the plan and releases the annual capital grant amount that supports administrative and planning expenses as well as improvements to one or more projects. Housing authorities may revise the annual plan/budget to substitute projects as long as they are part of the Five-Year Plan.

Three grant positions are supported in this fund for the administration of the program to include monitoring of all construction in process for projects that have been approved by HUD.

The FCRHA submitted an improvement plan in February 2012 for Program Year 41 (FY 2013) funding and received HUD approval for \$1,457,258. Program Year 41 provides for staff administration and capital improvements for Grant 3380010, Rosedale Manor; Grant 3380013, The Park; Grant 3380017, Atrium; Grant 3380025, Greenwood Apartments; Grant 3380042, Old Mill Site; Grant 3380052, Villages at Falls Church; Grant 3380057, Belle View; and Grant 3380058, Reston Towne Center.

No FY 2014 funding is included for Fund 81530 at this time. Funding will be allocated at the time of the official award from HUD and will provide Program Year 42 funding for new and ongoing projects.

Fund 81530

Public Housing Projects Under Modernization

RENTAL HOUSING PROPERTY
MANAGEMENT

- 1 Housing/Community Developer V
- 2 Housing/Community Developers III

TOTAL POSITIONS
3 Grant Positions / 3.0 Grant FTE

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** \$0
It should be noted that no additional funding is included for the full year impact of the 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees, as the fund will absorb the impact within the existing HUD award authorization. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Out of Cycle Adjustments** \$1,457,258
Subsequent to the approval of the FY 2013 Adopted Budget Plan, an allocation was provided by HUD for Program Year 41 staff administration and capital improvements for Grant 3380010, Rosedale Manor; Grant 3380013, The Park; Grant 3380017, Atrium; Grant 3380025, Greenwood Apartments; Grant 3380042, Old Mill Site; Grant 3380052, Villages at Falls Church; Grant 3380057, Belle View; and Grant 3380058, Reston Towne Center.
- ◆ **Carryover Adjustments** \$1,467,647
As part of the FY 2012 Carryover Review, the Board of Supervisors approved funding of \$1,467,647 due to the carryover of unexpended project balances of \$1,551,098, partially offset by the closeout of two projects in the amount of \$83,451.

A Fund Statement and a Summary of Grants Funding are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 81530

Public Housing Projects Under Modernization

FUND STATEMENT

Fund 81530, Projects Under Modernization

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$2,173,574	\$1,033,941	\$2,177,147	\$1,117,392
Revenue:				
HUD Authorizations	\$0	\$0	\$1,457,258	\$0
HUD Reimbursements ¹	1,072,469	0	407,892	0
Total Revenue	\$1,072,469	\$0	\$1,865,150	\$0
Total Available	\$3,246,043	\$1,033,941	\$4,042,297	\$1,117,392
Expenditures:				
Administration	\$377,289	\$0	\$196,729	\$0
Capital/Related Improvements	691,607	0	2,728,176	0
Total Expenditures	\$1,068,896	\$0	\$2,924,905	\$0
Total Disbursements	\$1,068,896	\$0	\$2,924,905	\$0
Ending Balance²	\$2,177,147	\$1,033,941	\$1,117,392	\$1,117,392

¹This represents the HUD reimbursements for capital improvements, major repairs/maintenance and modernization of public housing properties.

²Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

Fund 81530

Public Housing Projects Under Modernization

FY 2014 Summary of Grant Funding

Fund 81530, Public Housing Projects Under Modernization

Grant #	Description	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
3380007	4500 University Drive	\$533.72	\$194,638.72	\$0
3380010	Rosedale Manor	18,161.10	216,643.32	0
3380013	The Park	0.00	141,645.27	0
3380017	Atrium	3,623.98	84,998.00	0
3380021	Robinson Square	0.00	15,000.00	0
3380025	Greenwood Apartments	20,147.65	685,899.30	0
3380027	Briarcliff II	32,593.95	3,131.85	0
3380030	Westford I	2,153.92	35,000.00	0
3380032	Westford III	10,476.09	103,215.13	0
3380034	Barros Circle	517,015.03	5,588.86	0
3380037	Kinglesy Park	132,686.05	30,707.71	0
3380039	Heritage North	2,490.74	509.26	0
3380042	Old Mill Site	107,370.02	296,524.62	0
3380044	Ragan Oaks	117,873.39	31,082.38	0
3380046	Tavenner Lane	751.93	0.00	0
3380048	The Green	16,165.76	0.00	0
3380051	Newington Station	444.54	568,547.87	0
3380052	Villages at Falls Church	2,232.89	74,998.00	0
3380053	Heritage Woods	889.09	110.91	0
3380056	Westford II	444.67	79,555.33	0
3380057	Belle View	0.00	97,356.53	0
3380058	Reston Towne Center	82,841.43	259,751.57	0
Total		\$1,068,895.95	\$2,924,904.63	\$0



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**FY 2014 ADVERTISED REVENUE & RECEIPTS BY FUND
SUMMARY OF NON-APPROPRIATED FUNDS**

Fund	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan ¹	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HUMAN SERVICES						
Special Revenue Funds						
83000 Alcohol Safety Action Program	\$1,657,041	\$1,679,350	\$1,679,350	\$1,679,350	\$0	0.00%
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)						
Agency Funds						
10031 Northern Virginia Regional Identification System	\$18,753	\$18,799	\$18,799	\$18,799	\$0	0.00%
HOUSING AND COMMUNITY DEVELOPMENT						
Other Housing Funds						
81000 FCRHA General Operating	\$3,593,373	\$3,114,795	\$3,058,970	\$3,017,561	(\$41,409)	(1.35%)
81020 Non-County Appropriated Rehabilitation Loan	705	2,200	2,200	2,000	(200)	(9.09%)
81030 FCRHA Revolving Development	19,081	25,393	25,393	24,708	(685)	(2.70%)
81050 FCRHA Private Financing	311,086	26,443	26,443	116,245	89,802	339.61%
81060 FCRHA Internal Service	2,953,838	3,153,965	3,392,794	3,086,392	(306,402)	(9.03%)
81100 Fairfax County Rental Program	5,102,043	4,916,977	4,581,886	4,806,063	224,177	4.89%
81200 Housing Partnerships	1,395,638	1,753,865	2,008,813	1,779,678	(229,135)	(11.41%)
81500 Housing Grants	229,834	0	242,849	0	(242,849)	(100.00%)
Total Other Housing Funds	\$13,605,598	\$12,993,638	\$13,339,348	\$12,832,647	(\$506,701)	(3.80%)
Annual Contribution Contract						
81510 Housing Choice Voucher Program	\$52,628,363	\$50,848,983	\$53,332,037	\$54,953,222	\$1,621,185	3.04%
81520 Public Housing Projects Under Management	8,918,079	9,810,320	9,860,174	10,553,408	693,234	7.03%
81530 Public Housing Projects Under Modernization	1,072,469	0	1,865,150	0	(1,865,150)	(100.00%)
Total Annual Contribution Contract	\$62,618,911	\$60,659,303	\$65,057,361	\$65,506,630	\$449,269	0.69%
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$76,224,509	\$73,652,941	\$78,396,709	\$78,339,277	(\$57,432)	(0.07%)
FAIRFAX COUNTY PARK AUTHORITY						
Special Revenue Funds						
80000 Park Revenue	\$42,469,859	\$42,510,006	\$42,510,006	\$44,245,269	\$1,735,263	4.08%
Capital Projects Funds						
80300 Park Capital Improvement	\$2,405,763	\$0	\$0	\$0	\$0	-
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$44,875,622	\$42,510,006	\$42,510,006	\$44,245,269	\$1,735,263	4.08%
TOTAL NON-APPROPRIATED FUNDS	\$122,775,925	\$117,861,096	\$122,604,864	\$124,282,695	\$1,677,831	1.37%
Appropriated from (Added to) Surplus	(\$2,454,621)	\$241,962	\$27,166,175	(\$120,181)	(\$27,286,356)	(100.44%)
TOTAL AVAILABLE	\$120,321,304	\$118,103,058	\$149,771,039	\$124,162,514	(\$25,608,525)	(17.10%)

EXPLANATORY NOTE:

The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Non-Appropriated Funds," net of any transfers between funds.

¹ Not reflected are the following adjustments to balance in FY 2014:
Fund 80000, Park Revenue, assumes carryover of \$1,085,000.

**FY 2014 ADVERTISED EXPENDITURES BY FUND
SUMMARY OF NON-APPROPRIATED FUNDS**

Fund	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 201 Advertised Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HUMAN SERVICES						
Special Revenue Funds						
83000 Alcohol Safety Action Program	\$1,650,148	\$1,842,404	\$1,842,404	\$1,859,454	\$17,050	0.93%
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)						
Agency Funds						
10031 Northern Virginia Regional Identification System	\$7,975	\$34,599	\$52,984	\$18,799	(\$34,185)	(64.52%)
HOUSING AND COMMUNITY DEVELOPMENT						
Other Housing Funds						
81000 FCRHA General Operating	\$2,820,425	\$3,136,947	\$3,148,970	\$3,021,539	(\$127,431)	(4.05%)
81020 Non-County Appropriated Rehabilitation Loan	0	1,000	1,000	1,000	0	0.00%
81030 FCRHA Revolving Development	43,487	0	912,045	0	(912,045)	(100.00%)
81050 FCRHA Private Financing	695,231	404,733	2,676,006	236,120	(2,439,886)	(91.18%)
81060 FCRHA Internal Service	2,953,607	3,153,965	3,393,025	3,086,392	(306,633)	(9.04%)
81100 Fairfax County Rental Program	4,948,740	4,918,325	4,581,898	4,902,519	320,621	7.00%
81200 Housing Partnerships	1,690,841	1,753,865	2,008,813	1,779,678	(229,135)	(11.41%)
81500 Housing Grants	229,834	0	242,849	0	(242,849)	(100.00%)
Total Other Housing Funds	\$13,382,165	\$13,368,835	\$16,964,606	\$13,027,248	(\$3,937,358)	(23.21%)
Annual Contribution Contract						
81510 Housing Choice Voucher Program	\$51,949,205	\$50,924,700	\$53,712,050	\$54,952,190	\$1,240,140	2.31%
81520 Public Housing Projects Under Management	9,419,325	9,799,772	10,195,075	10,520,150	325,075	3.19%
81530 Public Housing Projects Under Modernization	1,068,896	0	2,924,905	0	(2,924,905)	(100.00%)
Total Annual Contribution Contract	\$62,437,426	\$60,724,472	\$66,832,030	\$65,472,340	(\$1,359,690)	(2.03%)
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$75,819,591	\$74,093,307	\$83,796,636	\$78,499,588	(\$5,297,048)	(6.32%)
FAIRFAX COUNTY PARK AUTHORITY						
Special Revenue Funds						
80000 Park Revenue	\$39,285,862	\$41,428,593	\$41,428,593	\$42,438,497	\$1,009,904	2.44%
Capital Projects Funds						
80300 Park Capital Improvement	\$2,704,415	\$0	\$22,369,211	\$0	(\$22,369,211)	(100.00%)
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$41,990,277	\$41,428,593	\$63,797,804	\$42,438,497	(\$21,359,307)	(33.48%)
TOTAL NON-APPROPRIATED FUNDS	\$119,467,991	\$117,398,903	\$149,489,828	\$122,816,338	(\$26,673,490)	(17.84%)

**FY 2014 ADVERTISED CHANGES IN FUND BALANCE
SUMMARY OF NON-APPROPRIATED FUNDS**

Fund	Balance 6/30/11	Balance 6/30/12	Balance 6/30/13	Balance 6/30/14	From/ (Added to) Surplus
HUMAN SERVICES					
Special Revenue Funds					
83000 Alcohol Safety Action Program	\$67,047	\$73,940	\$82,844	\$74,698	\$8,146
NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)					
Agency Funds					
10031 Northern Virginia Regional Identification System	\$56,509	\$67,287	\$33,102	\$33,102	\$0
HOUSING AND COMMUNITY DEVELOPMENT					
Other Housing Funds					
81000 FCRHA General Operating	\$12,723,010	\$13,495,958	\$13,405,958	\$13,401,980	\$3,978
81020 Non-County Appropriated Rehabilitation Loan	233,562	234,267	235,467	236,467	(1,000)
81030 FCRHA Revolving Development	5,063,933	5,039,527	4,152,875	4,177,583	(24,708)
81050 FCRHA Private Financing	7,109,758	6,725,613	4,076,050	3,956,175	119,875
81060 FCRHA Internal Service	0	231	0	0	0
81100 Fairfax County Rental Program	6,574,113	6,727,416	6,727,404	6,630,948	96,456
81200 Housing Partnerships	324,955	29,752	29,752	29,752	0
81500 Housing Grants	0	0	0	0	0
Total Other Housing Funds	\$32,029,331	\$32,252,764	\$28,627,506	\$28,432,905	\$194,601
Annual Contribution Contract					
81510 Housing Choice Voucher Program	\$6,643,321	\$7,322,479	\$6,942,466	\$6,943,498	(\$1,032)
81520 Public Housing Projects Under Management	4,219,132	3,717,886	3,382,985	3,416,243	(33,258)
81530 Public Housing Projects Under Modernization	2,173,574	2,177,147	1,117,392	1,117,392	0
Total Annual Contribution Contract	\$13,036,027	\$13,217,512	\$11,442,843	\$11,477,133	(\$34,290)
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$45,065,358	\$45,470,276	\$40,070,349	\$39,910,038	\$160,311
FAIRFAX COUNTY PARK AUTHORITY					
Special Revenue Funds					
80000 Park Revenue	\$5,174,181	\$7,504,865	\$6,283,227	\$6,571,865	(\$288,638)
Capital Project Funds					
80300 Park Capital Improvement	\$25,479,593	\$25,180,941	\$4,661,612	\$4,661,612	\$0
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$30,653,774	\$32,685,806	\$10,944,839	\$11,233,477	(\$288,638)
TOTAL NON-APPROPRIATED FUNDS	\$75,842,688	\$78,297,309	\$51,131,134	\$51,251,315	(\$120,181)

FY 2014 ADVERTISED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

Fund	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
HOUSING AND COMMUNITY DEVELOPMENT						
APPROPRIATED FUNDS						
General Fund						
Department of Housing and Community Development	\$5,227,106	\$5,687,809	\$5,710,886	\$6,230,225	\$519,339	9.09%
Capital Project Funds						
30300 The Penny for Affordable Housing	\$9,845,605	\$16,502,150	\$36,276,889	\$18,298,400	(\$17,978,489)	(49.56%)
30310 Housing Assistance Program	569,549	0	7,170,788	0	(7,170,788)	(100.00%)
Total Capital Project Funds	\$10,415,154	\$16,502,150	\$43,447,677	\$18,298,400	(\$25,149,277)	(57.88%)
Special Revenue Funds						
40300 Housing Trust	\$80,499	\$451,361	\$6,820,503	\$493,420	(\$6,327,083)	(92.77%)
40330 Elderly Housing Programs	3,998,581	4,219,074	4,744,434	3,321,887	(1,422,547)	(29.98%)
40360 Homeowner and Business Loan Programs	2,163,674	3,910,249	11,813,027	2,431,943	(9,381,084)	(79.41%)
50800 Community Development Block Grant	8,595,911	5,418,429	11,223,944	4,414,224	(6,809,720)	(60.67%)
50810 HOME Investment Partnerships Grant	2,914,671	2,383,767	8,747,006	1,405,283	(7,341,723)	(83.93%)
Total Special Revenue Funds	\$17,753,336	\$16,382,880	\$43,348,914	\$12,066,757	(\$31,282,157)	(72.16%)
TOTAL APPROPRIATED HOUSING AUTHORITY	\$33,395,596	\$38,572,839	\$92,507,477	\$36,595,382	(\$55,912,095)	(60.44%)
NON-APPROPRIATED FUNDS						
Other Housing Funds						
81000 FCRHA General Operating	\$2,820,425	\$3,136,947	\$3,148,970	\$3,021,539	(\$127,431)	(4.05%)
81020 Non-County Appropriated Rehabilitation Loan	0	1,000	1,000	1,000	0	0.00%
81030 FCRHA Revolving Development	43,487	0	912,045	0	(912,045)	(100.00%)
81050 FCRHA Private Financing	695,231	404,733	2,676,006	236,120	(2,439,886)	(91.18%)
81060 FCRHA Internal Service	2,953,607	3,153,965	3,393,025	3,086,392	(306,633)	(9.04%)
81100 Fairfax County Rental Program	4,948,740	4,918,325	4,581,898	4,902,519	320,621	7.00%
81200 Housing Partnerships	1,690,841	1,753,865	2,008,813	1,779,678	(229,135)	(11.41%)
81500 Housing Grants	229,834	0	242,849	0	(242,849)	(100.00%)
Total Other Housing Funds	\$13,382,165	\$13,368,835	\$16,964,606	\$13,027,248	(\$3,937,358)	(23.21%)
Annual Contribution Contract						
81510 Housing Choice Voucher Program	\$51,949,205	\$50,924,700	\$53,712,050	\$54,952,190	\$1,240,140	2.31%
81520 Public Housing Projects Under Management	9,419,325	9,799,772	10,195,075	10,520,150	325,075	3.19%
81530 Public Housing Projects Under Modernization	1,068,896	0	2,924,905	0	(2,924,905)	(100.00%)
Total Annual Contribution Contract	\$62,437,426	\$60,724,472	\$66,832,030	\$65,472,340	(\$1,359,690)	(2.03%)
TOTAL NON-APPROPRIATED HOUSING AUTHORITY	\$75,819,591	\$74,093,307	\$83,796,636	\$78,499,588	(\$5,297,048)	(6.32%)
TOTAL HOUSING AND COMMUNITY DEVELOPMENT	\$109,215,187	\$112,666,146	\$176,304,113	\$115,094,970	(\$61,209,143)	(34.72%)

**FY 2014 ADVERTISED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH
APPROPRIATED AND NON-APPROPRIATED FUNDS**

Fund	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan	Increase/ (Decrease) Over Revised	% Increase/ (Decrease) Over Revised
<u>FAIRFAX COUNTY PARK AUTHORITY</u>						
APPROPRIATED FUNDS						
General Fund						
Fairfax County Park Authority	\$22,018,820	\$22,666,464	\$22,921,644	\$22,909,700	(\$11,944)	(0.05%)
Capital Project Funds						
30400 Park Authority Bond Construction	\$11,657,879	\$0	\$39,742,344	\$0	(\$39,742,344)	(100.00%)
TOTAL APPROPRIATED PARK AUTHORITY	\$33,676,699	\$22,666,464	\$62,663,988	\$22,909,700	(\$39,754,288)	(63.44%)
NON-APPROPRIATED FUNDS						
Special Revenue Funds						
80000 Park Revenue	\$39,285,862	\$41,428,593	\$41,428,593	\$42,438,497	\$1,009,904	2.44%
Capital Project Funds						
80300 Park Capital Improvement	\$2,704,415	\$0	\$22,369,211	\$0	(\$22,369,211)	(100.00%)
TOTAL NON-APPROPRIATED PARK AUTHORITY	\$41,990,277	\$41,428,593	\$63,797,804	\$42,438,497	(\$21,359,307)	(33.48%)
TOTAL FAIRFAX COUNTY PARK AUTHORITY	\$75,666,976	\$64,095,057	\$126,461,792	\$65,348,197	(\$61,113,595)	(48.33%)
TOTAL EXPENDITURES	\$184,882,163	\$176,761,203	\$302,765,905	\$180,443,167	(\$122,322,738)	(40.40%)



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Fairfax County Park Authority Trust Funds

Overview

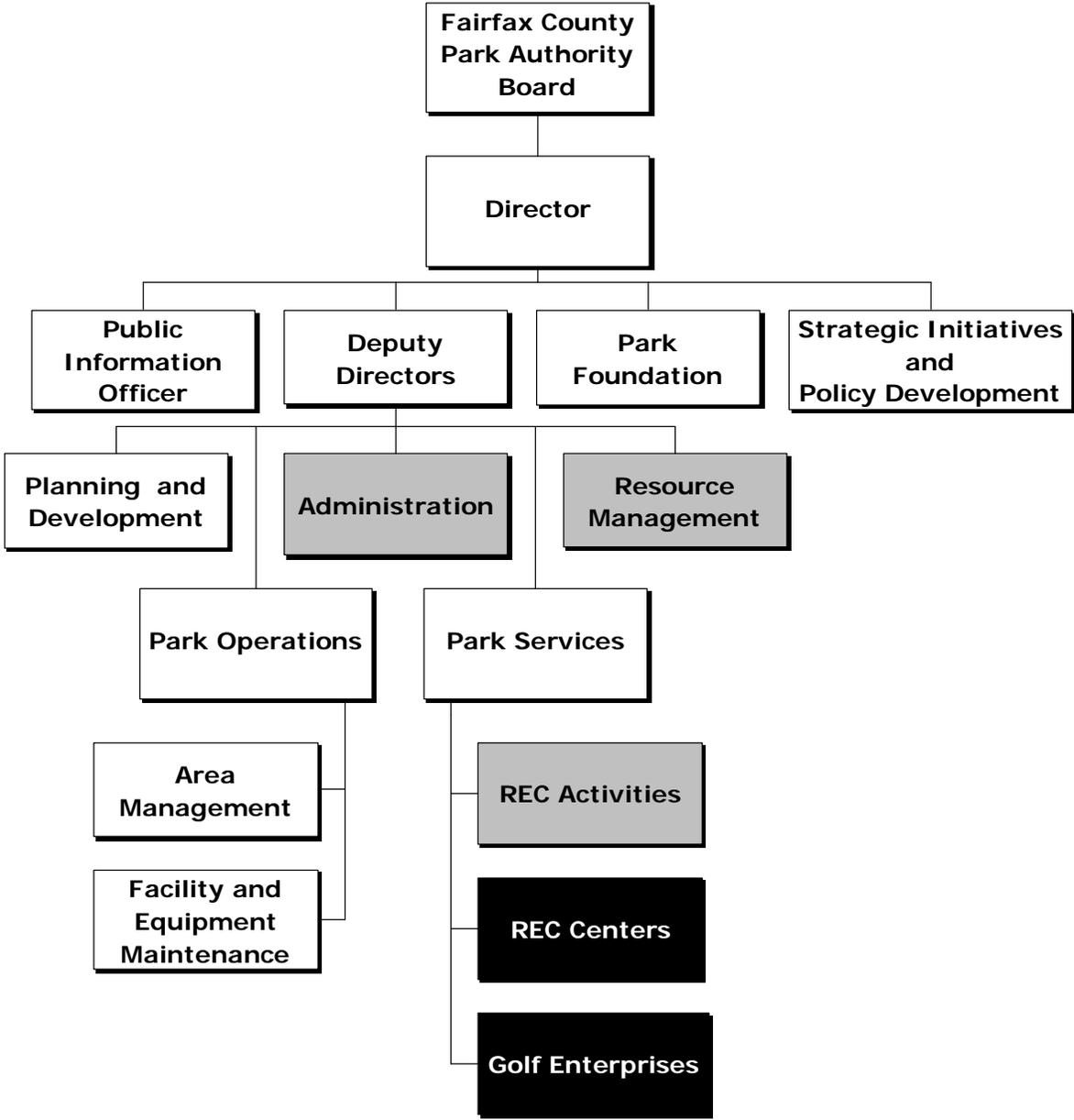
The Fairfax County Park Authority operates two separate and distinct Trust Funds. All funds received by the Park Authority Board under these Trust Funds are held and applied solely to expenditures determined by the Park Authority Board as authorized under the Commonwealth's Park Authorities Act. These funds provide support for the Park Authority which currently maintains and operates 423 parks, and more than 23,000 acres of park land, including recreation centers, historic sites, nature centers, and golf courses.

The Park Authority, in its memorandum of agreement with the Fairfax County Board of Supervisors, adopted budgeting and reporting procedures for its Trust Funds which are used by the General County Government. These two Trust Funds are being published in accordance with this agreement.

- ◆ **Fund 80000 - Park Revenue Fund**

- ◆ **Fund 80300 - Park Capital Improvement Fund**

Fund 80000 Park Revenue Fund



Denotes Cost Centers that are included in both the General Fund and Fund 80000, Park Revenue Fund.

Denotes Cost Center that is only in Fund 80000, Park Revenue Fund.

Fund 80000

Park Revenue Fund

Mission

To set aside public spaces for and assist citizens in the protection and enhancement of environmental values, diversity of natural habitats and cultural heritage; to guarantee that these resources will be available to both present and future generations; to create and sustain quality facilities and services that offer citizens opportunities for recreation, improvement of their physical and mental well-being and enhancement of their quality of life.

Focus

The Fairfax County Park Authority (the Authority), created by legislative action in 1950, serves the most populous jurisdiction in both the Virginia and Washington D.C. metropolitan area with over 1 million people. Under the direction of a Board of Supervisor's appointed 12 member Park Authority Board, the Authority works collaboratively with constituents, partners, stakeholders, and government leaders and appointees to implement Board policies, champion the preservation and protection of natural and cultural resources, and facilitate the development of park and recreation programs and facilities. The Authority oversees the operation and management of a County park system with more than 23,000 acres, 423 parks, nine RECenters centers, eight golf courses, an ice skating rink, 220 playgrounds, 676 public gardens, five nature centers, an equestrian center, 505 Fairfax County Public School owned athletic fields, 275 Park Authority owned athletic fields, 10 historic sites, two waterparks, an horticultural center, and more than 300 miles of trails. The Authority has balanced the dual roles of providing recreational and fitness opportunities to citizens and serving as stewards and interpreters of Fairfax County's natural and cultural resources.

Park Revenue fund supports the following County Vision Elements:

-  **Maintaining Safe and Caring Communities**
-  **Creating a Culture of Engagement**
-  **Connecting People and Places**
-  **Practicing Environmental Stewardship**
-  **Maintaining Healthy Economies**
-  **Building Livable Spaces**
-  **Exercising Corporate Stewardship**

The Authority, a National Gold Medal Award winner and an accredited agency, is one of the largest, most diverse park systems in the nation. The agency offers leisure and recreational opportunities through an array of programmed and un-programmed resources which enrich the quality of life for County residents. This is accomplished through the protection and preservation of open space and natural areas, nature centers, RECenters, historic sites, golf courses, athletic fields, public gardens, horticulture sites, trails, and neighborhood, community, district and countywide parks, as well as stewardship education, park programs, classes, camps and tours. Delivering high quality service in parks is an important focus for the Park Authority as demand and usage continue to grow.

Fund 80000 Park Revenue Fund

Fund 80000 (formerly Fund 170), Park Revenue Fund, is supported from user fees and charges generated at the Authority's revenue supported facilities and is supplemented by donations and grants. Revenue generating facilities include RECenters, golf courses, nature centers, historic sites and various other major parks. The Authority's enabling legislation states that revenues must be spent exclusively for park purposes. Revenue received from RECenters and golf courses is designed to fully recover the annual operating and maintenance costs of programs and services at these facilities, while the revenue received from the lake parks, nature centers, historic sites and various other major parks only covers a portion of the annual costs. The Authority strives to achieve an overall positive net cost recovery in order to contribute to capital repairs for revenue funded facilities necessary to maintain and adapt facilities to meet County residents' service expectations.

Some park operations are funded from both the General Fund and the Park Revenue Fund. For example, the General Fund supports some camps, trips and tours, lakefront park operations and resource management sites and programs. The General Fund pays for the policy, communication and leadership activities of the Director's office, the requirements of the public information, and funds administrative costs for purchasing, accounting, budgeting, and payroll and risk management procedural compliance.



Park Board

The Authority operates under the policy oversight of a Board of Supervisors' appointed 12-member Park Authority Board, in accordance with a Memorandum of Understanding with the County's Board of Supervisors. The Authority manages acquisition, preservation, development, maintenance and operation of its assets and activities through five funds: the Parks General Fund Operating Budget, Park Revenue Fund, County Construction Fund, Park Authority Bond Construction Fund and Park Capital Improvement Fund. The Park Authority Board has direct fiduciary

responsibility for the Park Revenue Fund and the Park Capital Improvement Fund, while the County has fiduciary responsibility for the three other funds. The Authority persistently seeks management initiatives and alternate funding sources to sustain the delivery of quality services and facilities.

In FY 2010, the Park Authority Board approved its focus areas and identified maintaining fiscal sustainability as its number one priority. Maintaining economic vitality is a longstanding component of the Board of Supervisors' vision for Fairfax County. A healthy, functional park system is a critical component of economic vitality and attracts businesses to the County. In order to address the on-going challenges of the financial situation, the Authority created a Financial Sustainability Plan. This Plan focuses on the core services and identifies opportunities for improving the overall cost recovery of the whole organization. It contains clearly defined recommendations that when collectively implemented will position the Authority to be more self-sustainable and less reliant on General Fund dollars.

Fund 80000

Park Revenue Fund

Current Trends

In FY 2004, to address a growing population and evolving recreation desires of County residents, the Authority implemented a comprehensive Needs Assessment study that resulted in a 10-Year Action Plan, including a phased-in 10-year Capital Improvement Program. Indexed for inflation and adjusted land values, completion of this Plan requires \$435 million. This amount includes an estimated requirement of \$120 million over the next 10 years to address the decline of facilities and infrastructure due to age, high usage, and limited resources to perform required life-cycle maintenance. The Needs Assessment was a significant part of the justification for the 2004, 2006, 2008 and 2012 voter approved park bond referendums totaling \$218 million. "Great Parks, Great Communities," a comprehensive park planning effort to develop district-level long range plans, was initiated in 2007 and will continue to serve as a guide for future park development and resource protection to better address changing needs and growth forecasts through 2020.

The Authority continues to be challenged by the current economic situation and resulting budget reductions. Resident demand for services continues to grow due to an increasing population and changing needs and diversity of the community. Parks and park programs also have been a popular recreational outlet during the economic downturn. In order to preserve as many services as possible during the FY 2010 and FY 2011 budget reductions, several program costs were redirected to previous budget years from the General Fund to the Park Revenue Fund.

In FY 2014, the Park Revenue Fund may continue to face financial challenges due to the general economic downturn that has reduced participation in some of the key revenue-generating activities and created stagnation for participation in some other activities. The economic conditions that families are facing are also exerting downward pressure on the pricing of services, limiting the ability to generate additional revenue through fee increases. On the cost side of the equation, the limited merit and seasonal staff pay increases and increased cost of health insurance and other employee benefits has placed additional cost recovery pressure on the fund. In addition, the limited funding in the Set Aside Reserve has made it more difficult to enhance facilities, thereby limiting new income for use of such facilities/services. The Authority must quickly respond to changing expectations in order to maintain customer loyalty and stability in the revenue base. Recent or near-term initiatives include enabling customer-oriented services such as online pass sales/renewals, e-mail classes and camp surveys, electronic distribution of camp registration packets, updated concert series web pages that include new search capability for citizens to find programs, and development of an enhanced Parktakes online web portal.

In order to address the on-going challenges of the financial situation, the Park Authority adopted the FY 2013 – FY 2015 Financial Sustainability Plan, which focuses on the evaluation of core services, options and opportunities for improving the overall cost recovery of the whole organization. The plan contains clearly defined recommendations that when collectively implemented will position the Authority to reach a cost recovery target that is greater (more self-sufficient) than the present day one. In addition to the focus on sustainable operations, capital investments in our facilities and stewardship efforts are also significant to future growth and sustainability.

Fund 80000 Park Revenue Fund

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$25,985,677	\$27,710,110	\$27,710,110	\$27,883,928
Operating Expenses	13,038,144	13,408,591	13,408,591	13,956,598
Capital Equipment	148,231	294,000	294,000	593,000
Bond Expenses	1,062,660	1,069,207	1,069,207	1,058,286
Subtotal	\$39,172,052	\$41,412,701	\$41,412,701	\$42,433,526
Less:				
Recovered Costs	(\$948,850)	(\$1,053,315)	(\$1,053,315)	(\$1,053,315)
Total Expenditures	\$39,285,862	\$41,428,593	\$41,428,593	\$42,438,497
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	245 / 245	245 / 245	245 / 245	245 / 245

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$138,220**
 An increase of \$138,220 in Personnel Services reflects the full year impact of the FY 2013 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this department in FY 2014.
- ◆ **Other Post-Employment Benefits** **\$9,302**
 An increase of \$9,302 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 73030, OPEB Trust Fund, in Volume 2 of the FY 2014 Advertised Budget Plan.
- ◆ **Operational Requirements** **\$574,303**
 An increase of \$574,303 encompasses a number of changes including a \$26,296 increase in Personnel Services and a \$548,007 increase in Operating Expenses. This increase is primarily associated with an increase in limited term salaries to reflect FY 2012 actual experience and the anticipated array of classes and programming scheduled for FY 2014. In addition, FY 2014 revenue is projected to increase and is sufficient to fund operational increases.
- ◆ **Capital Equipment** **\$593,000**
 Funding in the amount of \$593,000 is included for Capital Equipment, including \$263,000 for the replacement of golf course maintenance equipment, such as greens mowers, bunker rakes, range rickers and fairway mowers that have outlived their useful life. In addition, \$330,000 is for the

Fund 80000

Park Revenue Fund

replacement of exercise equipment - an ice resurfer and new scoreboards that have surpassed the recommended age and usage.

- ◆ **Bond Costs** (\$10,921)
A decrease of \$10,921 in Bond costs is consistent with principal and interest requirements for FY 2014.
- ◆ **Transfer to the General Fund for Indirect Costs**
It should be noted that \$775,000 is included as a transfer to the General Fund beginning in the FY 2014 Advertised Budget Plan to partially offset central support services supported by the General Fund which benefit Fund 80000, Park Revenue Fund. These indirect costs include support services such as County Human Resources, Purchasing, Budget and other County administrative services.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** \$0
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved no changes to the expenditure level. However, the transfer to Fund 80300, Park Capital Improvement Fund, was increased by \$1,849,882 to support repairs and renovations for revenue generating facilities that will sustain or improve the life expectancy and functionality of the facilities, funding for the Parknet publication and other Park Information Technology projects, and the Facilities and Services Reserve. In addition, the transfer to Fund 20000, County Debt Service was reduced by \$422,944 to reflect savings associated with the April 2012 refunding of the Laurel Hill Golf Course Revenue Bonds. Savings include an amount of \$266,765 in FY 2012 and \$156,179 in FY 2013. The refunding will realize an amount of \$4.6 million in savings over the life of the bonds.

Cost Centers

The five Cost Centers of the Park Revenue Fund are Administration, Golf Enterprises, REC Activities, Rec Centers and Resource Management. The Cost Centers work together to fulfill the mission of the Fund and carry out the key initiatives for the Fiscal Year.

Administration

The Administration Division implements Park Authority Board policies and provides high quality administrative business support to all levels of the Park Authority in order to assist the other Divisions in achieving Park Authority mission related objectives.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$2,257,236	\$2,213,299	\$2,213,299	\$2,291,886
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	15 / 15	15 / 15	15 / 15	15 / 15

Fund 80000

Park Revenue Fund

1 Training Specialist III	5 Engineers III
1 Network Telecom Analyst I	1 Planner IV
1 Network Telecom Analyst II	2 Construction/Maintenance Project Managers II
1 Internet/Intranet Architect I	1 Senior Right-of-Way Agent
1 Engineer IV	1 Materials Requirement Specialist

TOTAL POSITIONS
15 Positions / 15.0 FTE

Golf Enterprises

The Golf Enterprises Division operates and maintains eight golf courses in Fairfax County that represent 13 percent of all Park Authority's holdings. This division's primary functions and responsibilities include facility operations, maintenance, programming, agency-wide support and customer service.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$8,924,885	\$9,418,111	\$9,418,111	\$9,769,244
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	78 / 78	78 / 78	78 / 78	78 / 78

3 Park/Rec Specialists IV	1 Administrative Assistant III	4 Motor Equip. Operators
3 Park/Rec Specialists III	5 Facility Attendants II	2 Automotive Mechanics II
3 Park/Rec Specialists II	1 Park Management Specialist II	3 Golf Course Superintendents III
7 Park/Rec Specialists I	10 Senior Maintenance Workers	1 Golf Course Superintendent II
9 Park/Rec Assistants	22 Maintenance Workers	4 Golf Course Superintendents I

TOTAL POSITIONS
78 Positions / 78.0 FTE

REC Activities

The REC Activities Division seeks to enrich the community by promoting active, fun, and healthy lifestyles for all.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$3,941,000	\$4,370,954	\$4,370,954	\$4,285,089
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	136 / 136	136 / 136	23 / 23	23 / 23

1 Producer/Director	1 Park Management Specialist I	1 Business Analyst II
1 Park/Rec Specialist IV	1 Park/Rec Assistant	1 Communications Specialist II
1 Park/Rec Specialist III	1 Management Analyst III	2 Communications Specialists I
1 Park/Rec Specialist II	2 Management Analysts II	1 Contract Analyst I
4 Park/Rec Specialists I	1 Management Analyst I	3 Administrative Assistants III
		1 Publications Assistant

TOTAL POSITIONS
23 Positions / 23.0 FTE

Fund 80000 Park Revenue Fund

REC Centers

The Rec Centers Division operates and manages nine RECenters in Fairfax County that provide a wide variety of recreational, aquatic and fitness programs and classes to both citizens and visitors.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$22,198,607	\$23,585,167	\$23,585,167	\$23,932,296
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	0 / 0	0 / 0	113 / 113	113 / 113
1 Recreation Division Supervisor I	8	8 Park/Rec Specialists I	8 Prevent. Maintenance Specs.	
2 Park Management Specialists II	26	26 Park/Rec Assistants	1 Maintenance Crew Chief	
1 Park Management Specialist I	1	1 Facility Attendant I	7 Custodians II	
9 Park/Rec Specialists IV	9	9 Administrative Assistants III	4 Custodians I	
2 Park/Rec Specialists III	1	1 Naturalist/Historian Senior Interpreter	1 Painter II	
31 Park/Rec Specialists II	1	1 Electronic Equipment Tech. II		
TOTAL POSITIONS				
113 Positions / 113.0 FTE				

Resource Management

The Resource Management Division interprets and preserves Fairfax County's natural and cultural resources for the enjoyment, health and inspiration of current and future generations.

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
EXPENDITURES				
Total Expenditures	\$1,964,134	\$1,841,062	\$1,841,062	\$2,159,982
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	16 / 16	16 / 16	16 / 16	16 / 16
1 Historian II	2	2 Park/Rec Specialists I	1 Administrative Assistant III	
2 Historians I	2	2 Naturalists I	2 Facility Attendants II	
1 Park/Rec Specialist IV	2	2 Park/Rec Assistants	1 Custodian II	
1 Park/Rec Specialist II	1	1 Administrative Assistant V		
TOTAL POSITIONS				
16 Positions / 16.0 FTE				

Fund 80000 Park Revenue Fund

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate FY 2013
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	
Administration				
Percent of annual work plan objectives achieved	78%	76%	75% / 63%	75%
Golf Enterprises				
Percent change in rounds played	(3.1%)	(2.6%)	1.0% / 4.6%	0.0%
Cost recovery percentage	120.40%	108.00%	108.10% / 118.60%	109.80%
Resource Management				
Percent change in number of visitor contacts	6.5%	1.0%	3.5% / 10.1%	3.5%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/80000.pdf

Performance Measurement Results

Workload for the Administrative Division has continued to increase as a result of the opening of a number of facilities over the last several years as well as increased audit requirements. The division accomplished 63 percent of its work plan objectives for FY 2012 due to budget constraints; however, the division will work to achieve a target of 75 percent for both FY 2013 and FY 2014. In FY 2012, golf rounds played increased 4.6 percent from FY 2011, as a very mild winter allowed more operating days and higher rates of play. The actual cost recovery in FY 2012 was 118.6%, though that is not likely to be replicated in FY 2013 or FY 2014. Lastly, in the Resource Management Division, there was a 10.1 percent increase in the amount of visitor contacts. This increase may have been due to mild winter weather. The Park Authority will strive to maintain the goal of increasing visitor contacts by at least 3.5 percent in FY 2013 and FY 2014.

Fund 80000 Park Revenue Fund

FUND STATEMENT

Fund 80000, Park Revenue Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$5,174,181	\$5,174,181	\$7,504,865	\$6,283,227
Revenue:				
Interest on Bond Proceeds	\$15,465	\$18,363	\$18,363	\$18,363
Park Fees	41,625,541	41,976,489	41,976,489	43,716,005
Interest	26,469	133,734	133,734	129,481
Donations	802,384	381,420	381,420	381,420
Total Revenue	\$42,469,859	\$42,510,006	\$42,510,006	\$44,245,269
Total Available	\$47,644,040	\$47,684,187	\$50,014,871	\$50,528,496
Expenditures:				
Personnel Services	\$25,985,677	\$27,710,110	\$27,710,110	\$27,883,928
Operating Expenses	13,038,144	13,408,591	13,408,591	13,956,598
Recovered Costs	(948,850)	(1,053,315)	(1,053,315)	(1,053,315)
Capital Equipment	148,231	294,000	294,000	593,000
Subtotal	\$38,223,202	\$40,359,386	\$40,359,386	\$41,380,211
Debt Service: ¹				
Fiscal Agent Fee	\$3,232	\$3,233	\$3,233	\$3,233
Accrued Bond Interest Payable	1,059,428	1,065,974	1,065,974	1,055,053
Subtotal	\$1,062,660	\$1,069,207	\$1,069,207	\$1,058,286
Total Expenditures	\$39,285,862	\$41,428,593	\$41,428,593	\$42,438,497
Transfers Out:				
County Debt Service (20000) ²	\$853,313	\$876,113	\$453,169	\$743,134
General Fund (10001) ³	0	0	0	775,000
Park Capital Improvement Fund (80300)	0	0	1,849,882	0
Total Transfers Out	\$853,313	\$876,113	\$2,303,051	\$1,518,134
Total Disbursements	\$40,139,175	\$42,304,706	\$43,731,644	\$43,956,631
Ending Balance⁴	\$7,504,865	\$5,379,481	\$6,283,227	\$6,571,865
Debt Service Reserve	\$1,915,974	\$1,945,319	\$1,812,341	\$1,801,420
Managed Reserve ⁵	1,957,666	1,659,280	2,017,969	2,068,975
Donation/Deferred Revenue ⁶	1,246,804	1,246,804	1,246,804	1,246,804
Set Aside Reserve ⁷	53,737	528,078	1,206,113	1,454,666
Unreserved Ending Balance	\$2,330,684	\$0	\$0	\$0

Fund 80000

Park Revenue Fund

¹ Debt service represents principle and interest on Park Revenue Bonds which supported the construction of the Twin Lakes and Oak Marr Golf Courses.

² Debt service payments which support the development of the Laurel Hill Golf Club are made from Fund 20000, County Debt Service.

³ Funding in the amount of \$775,000 is transferred to the General Fund to partially offset central support services supported by the General Fund which benefit Fund 80000. These indirect costs include support services such as Human Resources, Purchasing, Budget and other administrative services.

⁴ The Park Revenue Fund maintains fund balances at adequate levels relative to projected operation and maintenance expenses, as well as debt service requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

⁵ The Managed Reserve includes set aside cash flow and emergency reserves for operations as a contingency for unanticipated operating expenses or a disruption in the revenue stream.

⁶ The Donation/Deferred Revenue Reserve includes donations that the Park Authority is obligated to return to donors in the event the donation cannot be used for its intended purpose. It also includes a set aside to cover any unexpected delay in revenue from the sold but unused Park passes.

⁷ The Set Aside Reserve is used to fund renovations and repairs at various park facilities as approved by the Park Authority Board.

Fund 80300

Park Capital Improvement Fund

Focus

This fund was established under the provisions of the Park Authority Act to provide for capital improvements to the agency's revenue-generating facilities and parks, as well as to various park sites. Through a combination of grants, proffers, and donations, this fund provides for specific park improvements. Funding is also derived through lease payments and revenue bonds for golf course development. In addition, transfers from Fund 80000, Park Revenue Fund, often support improvements to park facilities; however, the amount of funding received from Fund 80000 fluctuates from year to year.

No funding is included for Fund 80300 (formerly Fund 371), Park Capital Improvement Fund, in FY 2014. Work will continue on existing and previously funded projects. Moreover, a transfer of \$1,085,000 is recommended from Fund 80300, Park Capital Improvement Fund, Project 2G51-017-000, Contingency, to Fund 30010, County Construction, to support Project PR-000083, Americans with Disabilities Act Improvements, in FY 2014. This transfer is consistent with the recommendations presented in the November 2012 Office of Financial and Program Audit report entitled, Strategies to Reduce County General Fund support of the Park Authority. Funding will provide for the continuation of improvements required as part of the Department of Justice (DOJ) audit and identified in the settlement agreement signed by the Board of Supervisors on January 28, 2011. This adjustment to the contingency project within Fund 80300 will reduce the availability of funding should other projects in progress exceed existing resources.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ **Carryover Adjustments** **\$22,369,211**
As part of the *FY 2012 Carryover Review*, the Board of Supervisors approved funding of \$22,369,211 due to the carryover of unexpended project balances in the amount of \$18,413,676 and an adjustment of \$3,955,535. This adjustment was due to the appropriation of \$2,105,653 in easement fees, donations and Park proffers received in FY 2012 and a transfer of \$1,849,882 from Fund 800-C80000, Park Revenue Fund to support General Park Improvements, the Parknet project and the Facilities and Services Reserve.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

Fund 80300

Park Capital Improvement Fund

FUND STATEMENT

Fund 80300, Park Capital Improvement Fund

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance¹	\$25,479,593	\$4,361,502	\$25,180,941	\$5,746,612
Revenue:				
Interest	\$87,378	\$0	\$0	\$0
Other Revenue ²	2,318,385	0	0	0
Total Revenue	\$2,405,763	\$0	\$0	\$0
Transfers In:				
Park Revenue Fund (80000) ³	\$0	\$0	\$1,849,882	\$0
Total Transfers In:	\$0	\$0	\$1,849,882	\$0
Total Available	\$27,885,356	\$4,361,502	\$27,030,823	\$5,746,612
Total Expenditures	\$2,704,415	\$0	\$22,369,211	\$0
Transfers Out:				
General Construction and Contributions (30010) ⁴	\$0	\$0	\$0	\$1,085,000
Total Transfers Out	\$0	\$0	\$0	\$1,085,000
Total Disbursements	\$2,704,415	\$0	\$22,369,211	\$1,085,000
Ending Balance⁵	\$25,180,941	\$4,361,502	\$4,661,612	\$4,661,612
Lawrence Trust Reserve ⁶	\$1,507,926	\$1,507,926	\$1,507,926	\$1,507,926
Repair and Replacement Reserve ⁷	700,000	700,000	700,000	700,000
Facilities and Services Reserve ⁸	2,153,576	2,153,576	2,453,686	2,453,686
Unreserved Ending Balance	\$20,819,439	\$0	\$0	\$0

¹ The beginning balance in FY 2014 assumes the carryover of \$1,085,000 in FY 2013 expenditure reductions that are required to support Fund 30010, County Construction, Project PR-000083, Americans with Disabilities Act Improvements in FY 2014. This transfer is consistent with the recommendations presented in the November 2012 Office of Financial and Program Audit report entitled, Strategies to Reduce County General Fund support of the Park Authority. Funding will provide for the continuation of improvements required as part of the Department of Justice (DOJ) audit and identified in the settlement agreement signed by the Board of Supervisors on January 28, 2011. An FY 2013 expenditure reduction will be included in the FY 2013 Third Quarter Review.

² Other revenue reflects easements, donations, monopole revenue, and proffer revenue.

³ This fund periodically receives transfers from Fund 80000, Park Revenue Fund in support of park and facility improvements approved by the Park Authority Board.

⁴ In lieu of General Fund support, a transfer of \$1,085,000 from Fund 80300, Park Capital Improvement Fund, to Fund 30010, General Construction and Contributions, will support Project PR-000083, Americans with Disabilities Act Improvements in FY 2014. Funding will provide for the continuation of improvements required as part of the Department of Justice (DOJ) audit and identified in the settlement agreement signed by the Board of Supervisors on January 28, 2011.

⁵ Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year and ending balances fluctuate, reflecting the carryover of these funds.

⁶ This Reserve separately accounts for the Ellanor C. Lawrence monies received for maintenance and renovation to this site. In accordance with the Fairfax County Park Authority Board, the principal amount of \$1,507,926 received from the donation will remain intact, and any interest earned will be used according to the terms of the Trust.

⁷ The Golf Revenue Bond Indenture requires that a repair and replacement security reserve be maintained in the Capital Improvement Fund for repairs to park facilities.

⁸ The Facilities and Services Reserve supports the maintenance and renovation of revenue-generating facilities.

Fund 80300

Park Capital Improvement Fund

FY 2014 Summary of Capital Projects

Fund 80300, Park Capital Improvement

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
2G51-010-000	Stewardship Education	\$135,000	\$39.19	\$43,424.48	\$0
2G51-011-000	Restitutions For VDOT Takings (Rvt)	311,541	64,141.60	133,589.25	0
2G51-012-000	Lake Fairfax Skate Park	2,172	0.00	2,172.00	0
2G51-013-000	Advertising Study	50,000	0.00	3,050.00	0
2G51-014-000	Mark Bleiweis Memorial Field	8,500	0.00	1,281.80	0
2G51-015-000	Fox Mill Park	116,045	0.00	5,180.90	0
2G51-016-000	Telecommunications-Administration	26,000	0.00	26,000.00	0
2G51-017-000	Fund Contingency		0.00	3,263,232.47	0
2G51-018-000	Park Easement Administration	3,396,328	292,474.26	748,653.70	0
2G51-019-000	Historic Artifacts Collections	52,382	0.00	4,289.53	0
2G51-020-000	History Special Events	8,000	0.00	2,909.65	0
2G51-021-000	Park Rental Building Maintenance	1,593,558	0.00	274,284.56	0
2G51-022-000	Archaeology Proffers	169,732	11,564.60	55,719.42	0
2G51-023-000	Stewardship Publications	74,604	0.00	44,273.33	0
2G51-024-000	Stewardship Exhibits	13,325	0.00	3,496.35	0
2G51-025-000	E.C. Lawrence Trust	494,844	28,621.51	313,284.68	0
2G51-026-000	Grants and Contributions	828,928	182,746.50	37,575.16	0
2G51-027-000	Gabrielson Gardens	2,000	0.00	2,000.00	0
2G51-028-000	Land Acquisition Support	156,420	0.00	54,362.43	0
PR-000023	Low Impact Development	150,000	821.21	537.51	0
PR-000024	GIS/Data/Green Infrastructure	180,000	362.12	0.00	0
PR-000025	Lee District Land Acquisition & Develop	542,862	0.00	42,606.53	0
PR-000026	Countywide Trails	55,276	0.00	38,249.45	0
PR-000027	Merrilee Park	17,139	0.00	17,139.00	0
PR-000028	Lee District Telecommunications	248,045	0.00	114,306.31	0
PR-000029	Pimmit Run Maintenance Facility	266,033	0.00	250,069.94	0
PR-000030	Confederate Fortifications Historic Site	105,746	0.00	103,084.18	0
PR-000031	Turner Farm Observatory	13,025	2,319.00	0.00	0
PR-000032	Vulcan	2,407,277	352,811.69	1,783,568.23	0
PR-000033	Spring Hill Park - McLean Youth Soccer	2,433,806	690,984.71	0.00	0
PR-000034	Ft. Willard-Fort Restoration	85,000	8,998.00	1,711.23	0
PR-000035	Ft. Willard-Park (Non-Fort) Development	39,380	684.00	1,816.36	0
PR-000036	Lee District Tree House	259,515	0.00	7,338.40	0
PR-000037	Mt. Vernon Districtwide Parks	317,670	19,701.35	257,183.83	0
PR-000038	Oakton Community Park	100,000	0.00	100,000.00	0
PR-000039	Land Acquisition	10,773,305	0.00	2,483,416.75	0

Fund 80300

Park Capital Improvement Fund

FY 2014 Summary of Capital Projects

Fund 80300, Park Capital Improvement

Project #	Description	Total Project Estimate	FY 2012 Actual Expenditures	FY 2013 Revised Budget	FY 2014 Advertised Budget Plan
PR-000040	Lee Districtwide Parks	302,414	48,105.56	254,308.44	0
PR-000041	Hunter Mill Districtwide Parks	64,363	0.00	64,363.00	0
PR-000042	Clemyjontri - Liberty Swing	95,489	32,175.01	12,466.59	0
PR-000043	Linway Terrace Park Turf Field	687,766	22,831.00	0.00	0
PR-000044	Sully Districtwide Parks	61,598	0.00	61,598.00	0
PR-000045	South Run Park	313,499	1,965.36	90,613.32	0
PR-000046	Beulah Road Park	7,670	0.00	7,670.00	0
PR-000047	Robert E Lee Recreation Center	547,136	419.48	27,770.80	0
PR-000048	Cub Run Stream Valley Park	358,578	1,560.00	247,969.27	0
PR-000049	Frying Pan Park	361,410	0.00	142,196.59	0
PR-000050	Riverbend Park	93,445	0.00	53,364.11	0
PR-000051	Stratton Woods Park	2,000,314	102,725.23	525,985.25	0
PR-000052	Sully Plantation	828,623	6,900.39	505,568.11	0
PR-000053	Green Spring Farm Park	110,000	0.00	60,250.00	0
PR-000054	Mason District Park	687,276	0.00	356,474.70	0
PR-000055	Wakefield Park	2,000,577	9,357.79	59,255.65	0
PR-000056	Stuart Ridge/Sugarland Run Park	24,886	0.00	14,896.00	0
PR-000057	General Park Improvements	12,798,358	353,708.81	1,479,523.52	0
PR-000058	Park Revenue Proffers	11,594,885	296,433.95	5,468,533.77	0
PR-000059	Golf Improvements	2,662,740	0.00	5,307.98	0
PR-000060	Mt. Air Park	46,701	0.00	3,059.92	0
PR-000061	Mastenbrook Volunteer Grant Program	478,205	11,139.80	56,118.26	0
PR-000062	Historic Huntley	465,389	0.00	449,497.83	0
PR-000063	Open Space Preservation	651,542	0.00	251,542.00	0
PR-000066	Providence Area Park Improvements	83,050	0.00	60,000.00	0
PR-000068	West County Recenter	435,000	0.00	11,059.16	0
PR-000069	South Run Stream Valley - Mt Vernon	88,963	0.00	88,963.00	0
PR-000070	Arrowhead Park	158,000	0.00	5,016.38	0
PR-000073	Hunter Mill DIT Wide Telcom.	63,250	0.00	63,250.00	0
PR-000074	Laurel Hill	25,000	0.00	25,000.00	0
PR-000075	Green Springs Classroom	79,650	52,558.80	26,541.20	0
PR-000084	ParkNet	3,127,000	0.00	978,885.58	0
PR-000086	Mt Eagle Park	30,000	29,118.15	881.85	0
PR-000088	Lewinsville Park-Field #2 Synthetic Turf.	770,619	79,145.77	691,473.23	0
Total		\$67,536,854	\$2,704,414.84	\$22,369,210.94	\$0

Fund 83000

Alcohol Safety Action Program

Alcohol Safety Action Program

Mission

To reduce the incidence of driving under the influence of alcohol (DUI) in Fairfax County through completion of a rehabilitative alcohol/drug education program, case management, public education and referral to alcohol/drug treatment programs when necessary.

Focus

The Fairfax County Alcohol Safety Action Program (ASAP), Fund 83000 (formerly Fund 117), serves a probationary function for the Circuit and General District Courts under the supervision of the ASAP Policy Board. The Fairfax ASAP is one of 24 ASAPs in Virginia and clients are court ordered, DMV referred, or voluntary participants. The core programs are state mandated and address essential needs of clients, including: intake, assessment, rehabilitative alcohol/drug education, referral to treatment and case management to individuals charged with, or convicted of, driving under the influence of alcohol (DUI). In addition, ASAP provides alcohol/drug education programs for

habitual offenders, a drug education program for first-time drug possession offenders and programs for adolescent substance abusers. ASAP also participates in outreach activities to educate the community about its mission. Programs are available in English and Spanish. ASAP's continual focus will be the supervision of DUI offenders as well as the enforcement of the Code of Virginia. The agency also continues to rely on partnerships with the courts, the Office of the Commonwealth Attorney and with treatment providers.

The County is the fiscal agent for the Fairfax ASAP which is administered through the Department of Administration for Human Services. ASAP is expected to be a self-supporting agency, funded by client fees with the County primarily providing indirect support through office space and utilities. The State imposes a fee ceiling of \$400 per client for the state-mandated core program. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP, decline. Should surplus client fees above and beyond the balance required for a sufficient reserve fund become available in any fiscal year, the ASAP Policy Board will reimburse the County for the indirect costs noted above, or may request permission from the Board of Supervisors to expend such funds on the program.

The Alcohol Action Safety Action Program supports the following County Vision Elements:



Maintaining Safe and Caring Communities



Creating a Culture of Engagement



Exercising Corporate Stewardship

Fund 83000 Alcohol Safety Action Program

It should be noted that the overall number of clients served in FY 2012 saw an increase of 68 referrals to the core programs over FY 2011. In order to maintain a balanced budget, the agency worked diligently to maximize fee revenue collection and reduce expenditures in targeted areas resulting in the agency ending the fiscal year with a positive fund balance.

Budget and Staff Resources

Category	FY 2012 Actual	FY 2013 Adopted	FY 2013 Revised	FY 2014 Advertised
FUNDING				
Expenditures:				
Personnel Services	\$1,501,714	\$1,630,253	\$1,630,253	\$1,647,303
Operating Expenses	148,434	212,151	212,151	212,151
Capital Equipment	0	0	0	0
Total Expenditures	\$1,650,148	\$1,842,404	\$1,842,404	\$1,859,454
AUTHORIZED POSITIONS/FULL-TIME EQUIVALENT (FTE)				
Regular	21 / 21	21 / 21	21 / 21	21 / 21
1 Probation Supervisor II	1	1 Probation Counselor III	1	1 Administrative Associate
1 Probation Supervisor I	9	9 Probation Counselors II	2	2 Administrative Assistants IV
	1	1 Financial Specialist I	5	5 Administrative Assistants II
TOTAL POSITIONS				
21 Positions / 21.0 FTE				

FY 2014 Funding Adjustments

The following funding adjustments from the FY 2013 Adopted Budget Plan are necessary to support the FY 2014 program:

- ◆ **Employee Compensation** **\$17,050**
An increase of \$17,050 in Personnel Services reflects the full year impact of the 2.5 percent performance-based scale and salary increase, effective January 2013, for non-uniformed employees. It should be noted that no funding is included for additional employee compensation for this fund in FY 2014.

Changes to FY 2013 Adopted Budget Plan

The following funding adjustments reflect all approved changes in the FY 2013 Revised Budget Plan since passage of the FY 2013 Adopted Budget Plan. Included are all adjustments made as part of the FY 2012 Carryover Review, and all other approved changes through December 31, 2012:

- ◆ There have been no adjustments to this fund since approval of the FY 2013 Adopted Budget Plan.

Fund 83000 Alcohol Safety Action Program

Key Performance Measures

Indicator	Prior Year Actuals			Current Estimate
	FY 2010 Actual	FY 2011 Actual	FY 2012 Estimate/Actual	FY 2013
Alcohol Action Safety Program				
Percent of individuals successfully completing education program	NA	84%	85% / 84%	85%

A complete list of performance measures can be viewed at www.fairfaxcounty.gov/dmb/fy2014/advertised/pm/83000.pdf

Performance Measurement Results

A new Outcome Indicator was utilized for FY 2011 that shows the percentage of adults successfully completing the education program, which better reflects the goals and mission of the program. For all clients enrolled in education programs in FY 2012, 84 percent successfully completed the program requirements.

Fund 83000

Alcohol Safety Action Program

FUND STATEMENT

Fund 83000, Alcohol Safety Action Program

	FY 2012 Actual	FY 2013 Adopted Budget Plan	FY 2013 Revised Budget Plan	FY 2014 Advertised Budget Plan
Beginning Balance	\$67,047	\$47,874	\$73,940	\$82,844
Revenue:				
Client Fees	\$1,585,911	\$1,615,036	\$1,615,036	\$1,642,186
ASAP Client Transfer In	33,717	12,000	12,000	20,000
ASAP Client Transfer Out	(10,130)	(24,000)	(24,000)	(12,000)
ASAP Restaff	0	4,000	4,000	0
Interest Income	0	400	400	0
Other Fees	47,543	71,914	71,914	29,164
Total Revenue	\$1,657,041	\$1,679,350	\$1,679,350	\$1,679,350
Transfers In:				
General Fund (10001)	\$0	\$171,958	\$171,958	\$171,958
Total Transfers In	\$0	\$171,958	\$171,958	\$171,958
Total Available	\$1,724,088	\$1,899,182	\$1,925,248	\$1,934,152
Expenditures:				
Personnel Services	\$1,501,714	\$1,630,253	\$1,630,253	\$1,647,303
Operating Expenses	148,434	212,151	212,151	212,151
Capital Equipment	0	0	0	0
Total Expenditures	\$1,650,148	\$1,842,404	\$1,842,404	\$1,859,454
Total Disbursements	\$1,650,148	\$1,842,404	\$1,842,404	\$1,859,454
Ending Balance¹	\$73,940	\$56,778	\$82,844	\$74,698

¹ Ending Balance fluctuations are the result of the uncertain nature of client referrals to ASAP-sponsored programs. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP, decline.